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Exhibit R-2, RDT&E Budget Item Justification: PB 2023 Office of the Secretary Of Defense **Date:** April 2022

Appropriation/Budget Activity 0400: <i>Research, Development, Test & Evaluation, Defense-Wide</i> / BA 1: <i>Basic Research</i>	R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>
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COST (\$ in Millions)	Prior Years	FY 2021	FY 2022	FY 2023 Base	FY 2023 OCO	FY 2023 Total	FY 2024	FY 2025	FY 2026	FY 2027	Cost To Complete	Total Cost
Total Program Element	-	72.992	76.702	62.386	0.000	62.386	63.847	64.948	65.984	67.228	Continuing	Continuing
010: <i>Basic Research Initiatives</i>	-	42.469	30.854	11.644	0.000	11.644	11.846	12.054	12.148	12.388	Continuing	Continuing
016: <i>Minerva Research Initiative</i>	-	0.000	14.000	17.143	0.000	17.143	17.402	17.602	17.802	18.085	Continuing	Continuing
060: <i>Vannevar Bush Faculty Fellowship</i>	-	30.523	31.848	33.599	0.000	33.599	34.599	35.292	36.034	36.755	Continuing	Continuing

Note

New Start (Y/N): No

In FY 2022, the Minerva Research Initiative was realigned within PE 0601110D8Z from Project Code 010 to new Project Code 016.

A. Mission Description and Budget Item Justification

Basic research provides the Department of Defense (DoD) with a deep and broad awareness of current directions in areas of research important to U.S. military capabilities – including physics and the physical sciences, materials science, chemistry and chemical engineering, electrical engineering, mathematics, computer science, mechanical and aerodynamic engineering, ocean sciences, biological sciences, and the social sciences, among others. Basic research sustains scientific and engineering communities as it generates the critical technical knowledge underpinnings of DoD capabilities. Basic research allows exploration and discovery, yielding disruptive non-incremental advances that can improve or radically change military capabilities, strategy, and operations.

The Basic Research Initiatives program element (PE) supports the defense basic research enterprise in three critical areas: Strategic Support for Basic Research (SSBR), the Minerva Research Initiative (MRI), and the Vannevar Bush Faculty Fellowship (VBFF) Program.

UNCLASSIFIED

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Appropriation/Budget Activity	R-1 Program Element (Number/Name)
0400: <i>Research, Development, Test & Evaluation, Defense-Wide</i> / BA 1: <i>Basic Research</i>	PE 0601110D8Z / <i>Basic Research Initiatives</i>

B. Program Change Summary (\$ in Millions)	FY 2021	FY 2022	FY 2023 Base	FY 2023 OCO	FY 2023 Total
Previous President's Budget	75.542	39.828	0.000	0.000	0.000
Current President's Budget	72.992	76.702	62.386	0.000	62.386
Total Adjustments	-2.550	36.874	62.386	0.000	62.386
• Congressional General Reductions	-	-			
• Congressional Directed Reductions	-	-			
• Congressional Rescissions	-	-			
• Congressional Adds	-	37.000			
• Congressional Directed Transfers	-	-			
• Reprogrammings	-	-			
• SBIR/STTR Transfer	-2.536	-			
• Other Reprogramming	-0.014	-	-	-	-
• FFRDC	-	-0.126	-	-	-
• Adjustments to Budget Year	-	-	47.684	-	47.684
• Economic Assumption	-	-	1.702	-	1.702
• Re-Baselining and Reconstitution for Minerva	-	-	13.000	-	13.000

Congressional Add Details (\$ in Millions, and Includes General Reductions)

Project: 010: *Basic Research Initiatives*

Congressional Add: *Minerva Research Initiative*

Congressional Add: *Defense Experimental Program to Stimulate Competitive Research (DEPSCoR)*

Congressional Add: *National Consortium for the Study of Terrorism and Responses to Terrorism (START)*

Congressional Add: *National Academy of Science (NAS) Study on Confucius Centers*

Congressional Add: *Asymmetric Threat Analysis*

Congressional Add Subtotals for Project: 010

Project: 016: *Minerva Research Initiative*

Congressional Add: *Minerva Research Initiative*

Congressional Add Subtotals for Project: 016

Congressional Add Totals for all Projects

	FY 2021	FY 2022
	16.268	-
	16.011	19.000
	5.000	-
	1.000	-
	-	8.000
	38.279	27.000
	-	10.000
	-	10.000
	38.279	37.000

UNCLASSIFIED

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Appropriation/Budget Activity	R-1 Program Element (Number/Name)
0400: <i>Research, Development, Test & Evaluation, Defense-Wide</i> / BA 1: <i>Basic Research</i>	PE 0601110D8Z / <i>Basic Research Initiatives</i>

Change Summary Explanation

FY 2022 Appropriation includes Congressional Adds, as follows:

\$8.000 million - asymmetric threat analysis

\$10.000 million - Minerva

\$19.000 million - DEPSCOR

FY 2023 funding increase reflects the fact that the FY 2022 President's Budget request did not include out-year funding.

UNCLASSIFIED

Exhibit R-2A, RDT&E Project Justification: PB 2023 Office of the Secretary Of Defense **Date:** April 2022

Appropriation/Budget Activity 0400 / 1					R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>				Project (Number/Name) 010 / <i>Basic Research Initiatives</i>			
COST (\$ in Millions)	Prior Years	FY 2021	FY 2022	FY 2023 Base	FY 2023 OCO	FY 2023 Total	FY 2024	FY 2025	FY 2026	FY 2027	Cost To Complete	Total Cost
010: <i>Basic Research Initiatives</i>	-	42.469	30.854	11.644	0.000	11.644	11.846	12.054	12.148	12.388	Continuing	Continuing

Note

In FY 2022, the Minerva Research Initiative was realigned within PE 0601110D8Z from Project Code 010 to new Project Code 016.

A. Mission Description and Budget Item Justification

The Basic Research Initiatives project, Project Code 010, includes Strategic Support for Basic Research (SSBR) and the Minerva Research Initiative.

SSBR supports oversight, policies, and initiatives to create conditions that allow defense basic research investments to produce high-payoff, transformative scientific breakthroughs for the Department, which can open new approaches of technology dominance and mastery of new domains of warfare. SSBR initiatives support the five Basic Research Office strategic goals: (1) support the modernization priorities set by the Office of the Secretary of Defense (OSD); (2) coordinate and conduct oversight of DoD basic research programs; (3) improve the science and engineering workforce and public outreach; (4) enhance university-industry collaboration; and (5) engage with the academic research community and international partners.

The Minerva Research Initiative, a DoD basic research program in the social sciences directed by the Office of the Secretary of Defense (OSD), funded in partnership with Air Force and Navy University Research Initiatives and executed by the Office of Naval Research (ONR) and the Air Force Office of Scientific Research (AFOSR), seeks to build a fundamental understanding of the sources of present and future conflict.

FY 2020 was the last year of funding requested for the Minerva Research Initiative in this Project Code. In FY 2021, the program received a \$17.000 million Congressional increase in support of Minerva efforts. Beginning in FY 2022, Minerva efforts will be funded under separate Project Code 016.

B. Accomplishments/Planned Programs (\$ in Millions)

	FY 2021	FY 2022	FY 2023
Title: Strategic Support for Basic Research (SSBR)	4.190	3.854	4.644
Description: SSBR supports oversight, policies, and initiatives to create conditions that allow defense basic research investments to produce high-payoff, transformative scientific breakthroughs for the Department, which can open new approaches of technology dominance and mastery of new domains of warfare. SSBR initiatives support the five Basic Research Office strategic goals: (1) support the modernization priorities set by the Office of the Secretary of Defense (OSD); (2) coordinate and conduct oversight of DoD basic research programs; (3) improve the science and engineering workforce and public outreach; (4) enhance university-industry collaboration; and (5) engage with the academic research community and international partners.			
FY 2022 Plans: Plans for FY 2022 are similar in type and scope to those of FY 2021. The Bush Fellows Research Study Team (BFRST) program will award a new class of fellows, selected from active Vannevar Bush Faculty Fellowships (VBFF) classes, according to the			

UNCLASSIFIED

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Appropriation/Budget Activity 0400 / 1	R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>	Project (Number/Name) 010 / <i>Basic Research Initiatives</i>
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B. Accomplishments/Planned Programs (\$ in Millions)	FY 2021	FY 2022	FY 2023
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critical priorities of the Department. The impact of current programs on nation-wide engineering and manufacturing capabilities of the future will be assessed.

FY 2023 Plans:

Plans for FY 2023 are similar in type and scope to those of FY 2022. In addition to continuing the BFRST program, the Basic Research Office will conduct a series of workshops aimed at generating cross-agency strategies to enhance university-government-industry collaborations, as well as with our international partners.

FY 2022 to FY 2023 Increase/Decrease Statement:

Changes reflect minor budget fluctuations.

<i>Title:</i> Vannevar Bush Faculty Fellowship (VBFF) Program	-	-	7.000
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Description: The VBFF Program ensures the DoD has a research portfolio that supports highly creative, innovative, and productive university researchers. The objectives of the program are to: (1) support scientific research that may lead to extraordinary outcomes of relevance to the DoD; (2) educate and train students and post-doctoral researchers for the defense and national security workforce; (3) foster long-term relationships between university researchers and the Department; (4) familiarize select university researchers and their students with DoD's current and future challenges through research and engagement with DoD-employed scientists; and (5) increase the number of exceptionally talented technical experts contributing to the DoD's mission.

FY 2023 Plans:

The FY 2023 budget will allow restoration of a robust and consistent LUCI program (currently, the program can only fund one set of awards every other year), with a class size that is consistent with the program initiation levels and the opportunities provided by the DoD's S&T workforce for innovative and transitional ideas. The second and smaller scope extension allows the funding of a VBFF class size that is also consistent with the number of high-level, highly worthy proposals submitted by world-class investigators at U.S. universities (from 8 to 9 or 10, depending on the quality of proposals).

FY 2022 to FY 2023 Increase/Decrease Statement:

FY 2023 funding for the Vannevar Bush Faculty Fellowship Program is in project 060. The FY 2023 funding request of \$7.000 million will be administratively realigned to project 060 during the year of execution.

Accomplishments/Planned Programs Subtotals	4.190	3.854	11.644
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	FY 2021	FY 2022
<i>Congressional Add:</i> Minerva Research Initiative	16.268	-
<i>FY 2021 Accomplishments:</i> In addition to supporting ongoing awards, Minerva will make new awards received in the FY 2021 Funding Opportunity Announcement (FOA) competition, expand the Defense Education and		

UNCLASSIFIED

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Appropriation/Budget Activity 0400 / 1	R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>	Project (Number/Name) 010 / <i>Basic Research Initiatives</i>	
		FY 2021	FY 2022
Civilian University Research (DECUR) Partnership, and continue to support the Minerva-United States Institute of Peace (USIP) advanced graduate students, developing future researchers working on DoD problems.			
Congressional Add: Defense Experimental Program to Stimulate Competitive Research (DEPSCoR) FY 2021 Accomplishments: DEPSCoR will continue to build on the program started in FY 2019 to expand the DoD research landscape in states that have traditionally not received large quantities of DoD funds, enhancing the capabilities of institutions of higher education and increasing the number of researchers responsive to DoD needs. The program will include a stand-alone DEPSCoR competition which will provide support for at least 20 new basic research grants, outreach to research institutions that have less engagement with the Department by conducting a virtual DEPSCoR-day and up to two outreach events around the country, and support for university capacity building activities in DoD relevant fields. FY 2022 Plans: Continue and expand efforts to connect academic researchers in underrepresented states to DoD research problems. The increased funding for DEPSCoR will allow the Department to reach more universities and researchers capable of performing DoD research, and allow for more awards for both research collaborations and capacity building opportunities.		16.011	19.000
Congressional Add: National Consortium for the Study of Terrorism and Responses to Terrorism (START) FY 2021 Accomplishments: As guided by conversations with Congressional authorizers and appropriators, the National Consortium for the Study of Terrorism and Responses to Terrorism (START) funds will be used to support maintenance and ongoing work on START-maintained datasets, including the Global Terrorism Database. Remaining funds will support 6.1 research on Irregular Warfare problem sets, as informed through conversations with the needs of the Joint Special Operations University and the Special Operations Forces (SOF) community.		5.000	-
Congressional Add: National Academy of Science (NAS) Study on Confucius Centers FY 2021 Accomplishments: The Defense Authorization Act for Fiscal Year 2021 contained a requirement that the Department cease funding (by October 1, 2023) for institutions of higher education (for purposes other than direct support to students for education) that are host to Confucius Institutes. The congressional add will be used to provide funding to the National Academies of Sciences, Engineering, and Medicine (NASEM) to study the impacts of Confucius Institutes on the defense-funded academic research enterprise and to fulfill the Congressional requirement to consult with NASEM before deciding whether to provide waivers for academic institutions hosting Confucius Institutes beyond October 1, 2023. The Basic Research Office is working with other stakeholders within OUSD(R&E) to develop an effective study plan. Once complete, the Basic Research Office will work with NASEM to execute the study and inform Department actions well in advance of the October		1.000	-

UNCLASSIFIED

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	FY 2021	FY 2022
1, 2023 deadline. This study may also serve to build awareness within the academic community about the issues surrounding Confucius Institutes.		
Congressional Add: Asymmetric Threat Analysis	-	8.000
FY 2022 Plans: The funding increase supports social science research related to asymmetric threats and the collaborative structure established with Assistant Secretary of Defense for Special Operations/Low-Intensity Conflict (Policy-SO/LIC) and Joint Special Operations University (JSOU)/United States Special Operations Command (SOCOM) to manage research on asymmetric competition, including the support of relevant datasets used widely by the research community studying asymmetric threats. This program will continue through the National Consortium for the Study of Terrorism and Responses to Terrorism (START) at the University of Maryland and its Applied Research Laboratory for Intelligence and Security (ARLIS) University-Affiliated Research Center (UARC).		
Congressional Adds Subtotals	38.279	27.000

C. Other Program Funding Summary (\$ in Millions)

N/A

Remarks

D. Acquisition Strategy

N/A

UNCLASSIFIED

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COST (\$ in Millions)	Prior Years	FY 2021	FY 2022	FY 2023 Base	FY 2023 OCO	FY 2023 Total	FY 2024	FY 2025	FY 2026	FY 2027	Cost To Complete	Total Cost
016: <i>Minerva Research Initiative</i>	-	0.000	14.000	17.143	0.000	17.143	17.402	17.602	17.802	18.085	Continuing	Continuing

A. Mission Description and Budget Item Justification

The Minerva Research Initiative includes three primary components: (1) a university-based social science basic research grant program, funded in partnership with Air Force and Navy University Research Initiatives; (2) the Defense Education and Civilian University Research (DECUR) Partnership program for professional military education (PME) institutions; and (3) a collaboration with the Congressionally-established United States Institute of Peace (USIP) to award research support to advanced graduate students and early career scholars working on security and peace. All components contribute to Minerva's goals of revitalizing connections between the DoD and academic social science communities and building cultural and foreign area knowledge on topics ranging from China-Russia great power competition, National Defense Strategy (NDS) strategic priorities, to geopolitical power projection strategies in a multi-polar world. This deeper scientific understanding will provide a more informed basis to shape doctrine, analysis, and other strategic and operational decisions made by war planners and warfighters.

B. Accomplishments/Planned Programs (\$ in Millions)

	FY 2021	FY 2022	FY 2023
Title: Minerva Research Initiative (MRI)	-	4.000	17.143
Description: The Minerva Research Initiative includes three primary components: (1) a university-based social science basic research grant program, funded in partnership with Air Force and Navy University Research Initiatives; (2) the Defense Education and Civilian University Research (DECUR) Partnership program for professional military education (PME) institutions; and (3) a collaboration with the Congressionally-established USIP to award research support to advanced graduate students and early career scholars working on security and peace. All components contribute to Minerva's goals of revitalizing connections between the DoD and academic social science communities and building cultural and foreign area knowledge on topics ranging from China-Russia great power competition, NDS strategic priorities, to geopolitical power projection strategies in a multi-polar world. This deeper scientific understanding will provide a more informed basis to shape doctrine, analysis, and other strategic and operational decisions made by war planners and warfighters.			
FY 2022 Plans: With the FY 2022 base budget, Minerva will support research on the social, cultural, behavioral, economic, and political impacts of climate and environmental change. This includes university grants and projects partnering with PME Institutions. Furthermore, the program will continue collaboration with the operational community on all issues for which it has developed expertise among the social science community; it will help implement the DoD plan for social, management, and information sciences as guided in the FY 2021 NDAA Section 220 language; and it will continue collaboration with the USIP in supporting advanced doctoral students pursuing research on DoD topics of interest.			
FY 2023 Plans: With the FY 2023 base budget, Minerva will support research on understanding the social, cultural, behavioral, economic, and political context in which DoD operates. This includes university grants and projects partnering with PME Institutions.			

UNCLASSIFIED

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B. Accomplishments/Planned Programs (\$ in Millions)	FY 2021	FY 2022	FY 2023
Furthermore, the program will continue collaboration with the operational community on all issues for which it has developed expertise among the social science community; it will help implement the DoD plan for social, management, and information sciences as guided in the FY 2021 NDAA Sec. 220 language; and it will continue collaboration with the USIP in supporting advanced doctoral students pursuing research on DoD topics of interest.			
<i>FY 2022 to FY 2023 Increase/Decrease Statement:</i> Office of the Secretary of Defense (OSD) Re-Baselining and Reconstitution for Minerva.			
Accomplishments/Planned Programs Subtotals	-	4.000	17.143

	FY 2021	FY 2022
<i>Congressional Add:</i> Minerva Research Initiative	-	10.000
<i>FY 2022 Plans:</i> Increase continuity in social science research and allow additional focus on social science research in the National Defense Strategy priority areas. Funding will allow for topics other than climate change to be included in the next Minerva solicitation.		
Congressional Adds Subtotals	-	10.000

C. Other Program Funding Summary (\$ in Millions)

N/A

Remarks

D. Acquisition Strategy

N/A

UNCLASSIFIED

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Appropriation/Budget Activity 0400 / 1					R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>				Project (Number/Name) 060 / <i>Vannevar Bush Faculty Fellowship</i>			
COST (\$ in Millions)	Prior Years	FY 2021	FY 2022	FY 2023 Base	FY 2023 OCO	FY 2023 Total	FY 2024	FY 2025	FY 2026	FY 2027	Cost To Complete	Total Cost
060: <i>Vannevar Bush Faculty Fellowship</i>	-	30.523	31.848	33.599	0.000	33.599	34.599	35.292	36.034	36.755	Continuing	Continuing

A. Mission Description and Budget Item Justification

The Vannevar Bush Faculty Fellowship (VBFF) program supports world-class researchers in scientific areas of critical importance to the DoD and ensures the cultivation of exceptional talent. Fellows' research spans a broad set of emerging scientific areas with transformative potential, including Quantum Information Science, Novel Engineered Materials, Cognitive Neuroscience and human-machine interfaces, Engineering Biology, Applied and Computational Mathematics, Networks, Machine Learning and Artificial Intelligence, Manufacturing Science, and others. The program delivers the most innovative and transformational scientific ideas of relevance to the DoD, from the top scientists in the Nation. The program fosters close connections between academia and the defense science and engineering (S&E) enterprise, a primary goal of Strategic Support for Basic Research (SSBR) efforts. Fellows provide the Department the deep scientific expertise from today's leading research universities and collaborate with defense scientists and engineers. This program actively engages and coordinates basic research across the Department.

The project includes support for the Laboratory-University Collaboration Initiative (LUCI) program, which is designed to team scientists in the DoD laboratories with top academic researchers from the programs sponsored or overseen by the Office of the Secretary of Defense (in particular, the VBFF fellows), in order to collaboratively perform basic research on a topic of their choice. Three-year grants allow a deep exchange of ideas between academic and DoD research communities, bring the most recent scientific breakthroughs closer to DoD relevance, and greatly expand the skills and knowledge of the S&E workforce within the laboratories.

B. Accomplishments/Planned Programs (\$ in Millions)

	FY 2021	FY 2022	FY 2023
Title: Vannevar Bush Faculty Fellowship (VBFF) Program	30.523	31.848	33.599
Description: The VBFF Program ensures the DoD has a research portfolio that supports highly creative, innovative, and productive university researchers. The objectives of the program are to: (1) support scientific research that may lead to extraordinary outcomes of relevance to the DoD; (2) educate and train students and post-doctoral researchers for the defense and national security workforce; (3) foster long-term relationships between university researchers and the Department; (4) familiarize select university researchers and their students with DoD's current and future challenges through research and engagement with DoD-employed scientists; and (5) increase the number of exceptionally talented technical experts contributing to the DoD's mission.			
FY 2022 Plans: Plans for FY 2022 are similar in type and scope to those of FY 2021. The VBFF and LUCI class sizes will gradually increase, pending sufficient quality of proposals. Program reviews will be held. The scientific topics of interest will be re-examined and the Funding Opportunity Announcement (FOA) updated accordingly.			
FY 2023 Plans:			

UNCLASSIFIED

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--	-------------------------

Appropriation/Budget Activity 0400 / 1	R-1 Program Element (Number/Name) PE 0601110D8Z / <i>Basic Research Initiatives</i>	Project (Number/Name) 060 / <i>Vannevar Bush Faculty Fellowship</i>
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B. Accomplishments/Planned Programs (\$ in Millions)	FY 2021	FY 2022	FY 2023
<p>Plans for FY 2023 are similar in type to those of FY 2022, but expanded in scope due to the budget increase. As a first priority, the FY 2023 budget will allow restoration of a robust and consistent LUCI program (currently, the program can only fund one set of awards every other year), with a class size that is consistent with the program initiation levels and the opportunities provided by the DoD's S&T workforce for innovative and transitional ideas. The second and smaller scope extension allows the funding of a VBFF class size that is also consistent with the number of high-level, highly worthy proposals submitted by world-class investigators at U.S. universities (from 8 to 9 or 10, depending on the quality of proposals).</p> <p>Funding in the amount of \$7.000 million will be administratively realigned from project 010 to project 060 in FY 2023 for the Vannevar Bush Faculty Fellowship Program.</p> <p><i>FY 2022 to FY 2023 Increase/Decrease Statement:</i> The increase from FY 2022 to FY 2023 will support the increase in class size for VBFF and LUCI programs.</p>			
Accomplishments/Planned Programs Subtotals	30.523	31.848	33.599

C. Other Program Funding Summary (\$ in Millions)

N/A

Remarks

D. Acquisition Strategy

N/A