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Exhibit R-2, RDT&E Budget Item Justification: PB 2014 United States Special Operations Command **DATE:** April 2013

APPROPRIATION/BUDGET ACTIVITY					R-1 ITEM NOMENCLATURE							
0400: Research, Development, Test & Evaluation, Defense-Wide BA 7: Operational Systems Development					PE 1160279BB: Small Business Innovative Research							
COST (\$ in Millions)	All Prior Years	FY 2012	FY 2013 [#]	FY 2014 Base	FY 2014 OCO ^{##}	FY 2014 Total	FY 2015	FY 2016	FY 2017	FY 2018	Cost To Complete	Total Cost
Total Program Element	140.463	10.634	0.000	0.000	-	0.000	0.000	0.000	0.000	0.000	Continuing	Continuing
S050: Small Business Innovative Research	140.463	10.634	0.000	0.000	-	0.000	0.000	0.000	0.000	0.000	Continuing	Continuing

[#] FY 2013 Program is from the FY 2013 President's Budget, submitted February 2012

^{##} The FY 2014 OCO Request will be submitted at a later date

A. Mission Description and Budget Item Justification

This program element consists of a highly competitive three-phase award system that provides qualified small business concerns with the opportunity to propose high quality innovative ideas that meet specific research and development needs of USSOCOM. Small Business Innovative Research (SBIR) is a result of the Small Business Development Act of 1992. It was enacted by Congress in Public Law 97-219, reenacted by Public Law 99-443, and reauthorized by the SBIR Program Reauthorization Act of 2001. Starting in FY 1994, the SBIR program was refocused toward dual use and defense reinvestment efforts. Phase I projects evaluate the scientific technical merit and feasibility of an idea. Awards are up to \$0.100 million with a maximum six-month period of performance. Phase II projects expand the results of, and further pursue, the developments of Phase I. Awards are up to \$0.750 million with a maximum two-year period of performance. Phase III is for commercialization of the results of Phase II and requires the use of private or non-SBIR federal funding. DOD publishes government agency proposal projects twice per year for a consolidated DoD Request for Proposal. USSOCOM then awards its proposed SBIR projects.

B. Program Change Summary (\$ in Millions)	FY 2012	FY 2013	FY 2014 Base	FY 2014 OCO	FY 2014 Total
Previous President's Budget	0.000	0.000	0.000	-	0.000
Current President's Budget	10.634	0.000	0.000	-	0.000
Total Adjustments	10.634	0.000	0.000	-	0.000
• Congressional General Reductions	-	-			
• Congressional Directed Reductions	-	-			
• Congressional Rescissions	-	-			
• Congressional Adds	-	-			
• Congressional Directed Transfers	-	-			
• Reprogrammings	-	-			
• SBIR/STTR Transfer	10.634	-			

Change Summary Explanation

Funding:

FY 2012: Increase due to reprogramming from various program elements for the congressionally mandated Small Business Innovative Research Program.

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Schedule: None. Technical: None		

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Exhibit R-2A, RDT&E Project Justification: PB 2014 United States Special Operations Command										DATE: April 2013		
APPROPRIATION/BUDGET ACTIVITY 0400: <i>Research, Development, Test & Evaluation, Defense-Wide</i> BA 7: <i>Operational Systems Development</i>					R-1 ITEM NOMENCLATURE PE 1160279BB: <i>Small Business Innovative Research</i>				PROJECT S050: <i>Small Business Innovative Research</i>			
COST (\$ in Millions)	All Prior Years	FY 2012	FY 2013[#]	FY 2014 Base	FY 2014 OCO ^{##}	FY 2014 Total	FY 2015	FY 2016	FY 2017	FY 2018	Cost To Complete	Total Cost
S050: <i>Small Business Innovative Research</i>	140.463	10.634	0.000	0.000	-	0.000	0.000	0.000	0.000	0.000	Continuing	Continuing
Quantity of RDT&E Articles												
[#] FY 2013 Program is from the FY 2013 President's Budget, submitted February 2012 ^{##} The FY 2014 OCO Request will be submitted at a later date												
A. Mission Description and Budget Item Justification This project consists of a highly competitive three-phase award system that provides qualified small business concerns with the opportunity to propose high quality innovative ideas that meet specific research and development needs of USSOCOM. The Small Business Innovative Research (SBIR) project is a result of the Small Business Development Act of 1992. It was enacted by Congress in Public Law 97-219, reenacted by Public Law 99-443, and reauthorized by the SBIR Program Reauthorization Act of 2001. Starting in FY 1994, the SBIR program was refocused toward dual use and defense reinvestment efforts. Phase I projects evaluate the scientific technical merit and feasibility of an idea. Awards are up to \$0.100 million with a maximum six-month period of performance. Phase II projects expand the results of, and further pursue, the developments of Phase I. Awards are up to \$0.750 million with a maximum two-year period of performance. Phase III is for commercialization of the results of Phase II and requires the use of private or non-SBIR federal funding. DOD publishes government agency proposal projects twice per year for a consolidated DoD Request for Proposal. USSOCOM then awards its proposed SBIR projects.												
B. Accomplishments/Planned Programs (\$ in Millions)									FY 2012	FY 2013	FY 2014	
Title: Small Business Innovative Research (SBIR)									10.634	0.000	0.000	
FY 2012 Accomplishments: Awarded numerous Phase I and Phase II awards for SBIR Topics: Visibility Decoy Flare, Covert Periscope, Micro Combat ID, Innovative Near Infrared Radar/Short Wave Infrared Radar Sensor, Dual speed Read Out Integration Circuit, Facial Sign Recognition Performance Indicator, Helicopter Hostile Fire Indicator, Combat Swimmer Situational Awareness System Integration, EZTV Video Display.												
Accomplishments/Planned Programs Subtotals									10.634	0.000	0.000	
C. Other Program Funding Summary (\$ in Millions) N/A Remarks												
D. Acquisition Strategy N/A												

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E. Performance Metrics

N/A

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Exhibit R-3, RDT&E Project Cost Analysis: PB 2014 United States Special Operations Command												DATE: April 2013			
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Product Development (\$ in Millions)				FY 2012		FY 2013		FY 2014 Base		FY 2014 OCO		FY 2014 Total			
Cost Category Item	Contract Method & Type	Performing Activity & Location	All Prior Years	Cost	Award Date	Cost	Award Date	Cost	Award Date	Cost	Award Date	Cost	Cost To Complete	Total Cost	Target Value of Contract
Phase I Projects	C/Various	Various:Various	20.296	1.501	Jun 2012	0.000		0.000		-		0.000	0.000	21.797	
Phase II Projects	C/Various	Various:Various	99.087	7.537	Jun 2012	0.000		0.000		-		0.000	0.000	106.624	
Subtotal			119.383	9.038		0.000		0.000		0.000		0.000	0.000	128.421	
Support (\$ in Millions)				FY 2012		FY 2013		FY 2014 Base		FY 2014 OCO		FY 2014 Total			
Cost Category Item	Contract Method & Type	Performing Activity & Location	All Prior Years	Cost	Award Date	Cost	Award Date	Cost	Award Date	Cost	Award Date	Cost	Cost To Complete	Total Cost	Target Value of Contract
Phase I Projects	C/Various	Various:Various	3.582	0.265	Mar 2012	0.000		0.000		-		0.000	0.000	3.847	
Phase II Projects	C/Various	Various:Various	17.498	1.331	Mar 2012	0.000		0.000		-		0.000	0.000	18.829	
Subtotal			21.080	1.596		0.000		0.000		0.000		0.000	0.000	22.676	
Project Cost Totals			140.463	10.634		0.000		0.000		0.000		0.000	0.000	151.097	
Remarks															