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# PHYSICS LETTERS

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Volume 15, number 1, 1 March 1965

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pp. 43 - 45

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## THE PHONON MASER \*

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Received 15 February 1965

This letter is a proposal for a c.w., continuously tunable, phonon maser. The mechanism is quite analogous to optical pumping schemes, utilizing a double pump to preferentially populate an excited state of a paramagnetic ion.

Consider the four level system of fig. 1. The level configuration is similar to that of ruby, for example, where  $|1\rangle = |^4A_2\rangle$ ,  $|2\rangle = |^2E(E)\rangle$ ,  $|3\rangle = |^2E(2A)\rangle$ , and  $|4\rangle = |^4T_2 \text{ band}\rangle$ . Another system with a somewhat analogous level scheme is  $Tm^{2+} : CaF_2$  [1], where  $|1\rangle = |J = \frac{1}{2}, \Gamma_7\rangle$ ,  $|2\rangle = |J = \frac{1}{2}, \Gamma_7 - \frac{1}{2}\rangle$ ,  $|3\rangle = |J = \frac{1}{2}, \Gamma_7 + \frac{1}{2}\rangle$ , and  $|4\rangle = |4f125d \text{ band}\rangle$ . In the former scheme, the

splitting  $\Delta_{32} = 29 \text{ cm}^{-1}$  is caused by the action of the electric crystalline field in consort with the spin-orbit coupling [2]. In the latter, the splitting is caused by an external magnetic field which Zeeman splits the Kramers doublet states  $|2\rangle$  and  $|3\rangle$ .

It has recently been demonstrated by Geschwind et al. [3] that a local heating of the phonon spectrum at the energy  $\Delta_{32}$  obtains when ruby is illuminated by a high intensity source of

\* Supported in part by the National Science Foundation and the Office of Naval Research NONR-233(68).

\*\* Alfred P. Sloan Foundation Fellow.

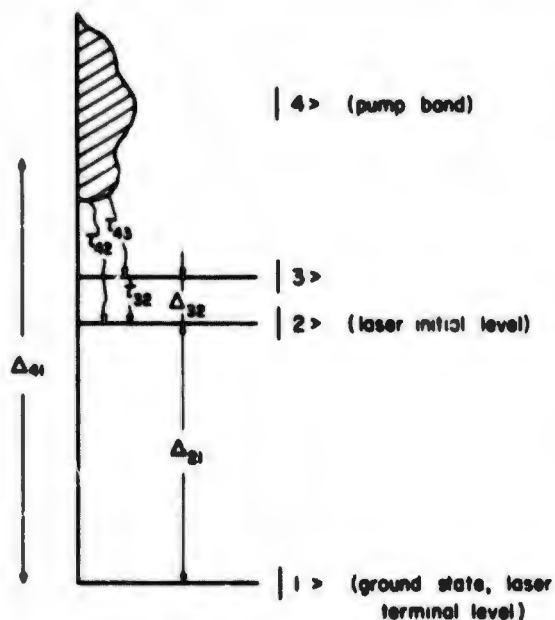


Fig. 1. A schematic of the energy levels for the proposed maser. The laser pump is applied at the energy  $\Delta_{21}$ , along with the pump at the energy  $\Delta_{41}$ . The resulting population inversion between levels  $|3\rangle$  and  $|2\rangle$  gives rise to phonon maser action at energy  $\Delta_{32}$ .

light. This heating is a result of the equality of transitions to the levels  $|3\rangle$  and  $|2\rangle$  from the "storage" level  $|4\rangle$ . Spins in the state  $|3\rangle$  in ruby decay [3] in a time  $\tau_{32} = 4 \times 10^{-10}$  sec to the state  $|2\rangle$ , accompanied by the emission of a single phonon with energy  $\Delta_{32}$ . If this phonon belongs to the lowest (transverse) branch; Orbach and Vredevoe [4] have shown that, at temperatures  $T \ll \Delta_{32}/k$ , it will possess a long lifetime, of the order of  $10^{-2}$  sec at 1°K. It is this transition which is to be regarded as the source of the phonons which participate in maser action.

The population inversion necessary for maser action is brought about as follows. Let there be incident upon the system intense optical pump light at the energy  $\Delta_{41}$ . The intensity must be great enough to excite substantially more than half of the spins out of the ground level  $|1\rangle$ . Let the associated time of transition be denoted by  $\tau_{14}$ . The pump level  $|4\rangle$  is assumed to decay rapidly, with equal probability, to the metastable levels  $|3\rangle$  and  $|2\rangle$  in the characteristic time  $\tau_{43}$ . Next, a laser beam is applied at the energy  $\Delta_{21}$ , preferentially depopulating the metastable level  $|2\rangle$  with a characteristic pumping time  $\tau_{12}$ . (This requirement is easy to satisfy for ruby and  $\text{Tm}^{2+}:\text{CaF}_2$  because both systems are capable of optical maser action at the energy  $\Delta_{21}$ .) If the pump times,  $\tau_{14}$  and  $\tau_{12}$  are sufficiently short, a population inversion between the levels  $|3\rangle$  and  $|2\rangle$  then obtains.

Quantitatively, let  $\tau_R$  represent the fluorescent lifetime for the levels  $|2\rangle$  and  $|3\rangle$  to decay to  $|1\rangle$ . The rate equations governing the populations of the four levels are, in the limit of  $kT \ll \Delta_{ij}$ , and at high pump levels,

$$\begin{aligned} \dot{N}_1 &= (N_3 + N_2)/\tau_R - (N_1 - N_2)/\tau_{12} - (N_1 - N_4)/\tau_{14}; \\ \dot{N}_2 &= N_2/\tau_R - (N_2 - N_1)/\tau_{12} + N_3/\tau_{32} + N_4/\tau_{43}; \\ \dot{N}_3 &= -N_3/\tau_R - N_3/\tau_{32} + N_4/\tau_{43}; \\ \dot{N}_4 &= -2N_4/\tau_{43} - (N_4 - N_1)/\tau_{14}. \end{aligned} \quad (1)$$

In steady state, the left hand sides vanish and the ratio of populations  $N_3/N_2$  becomes,

$$\begin{aligned} N_3/N_2 &= \tau_{32}(\tau_R + \tau_{12})\{\tau_R\tau_{12} + (2\tau_{14} + \tau_{43}) \times \\ &\quad \times (\tau_R + \tau_{32}) + \tau_{12}(\tau_R + \tau_{32})\}^{-1}. \end{aligned} \quad (2)$$

In the limit of pump times  $\tau_{12}$  and  $\tau_{14}$  short compared to  $\tau_{43}$ ,  $\tau_{32}$  and  $\tau_R$ , (this will be difficult to achieve in ruby) the ratio (2) reduces to

$$N_3/N_2 = \tau_R\tau_{32}/\tau_{43}(\tau_R + \tau_{32}). \quad (3)$$

A necessary condition for phonon maser action in this system is that  $N_3/N_2$  be greater than unity. For this to obtain, the rate of phonon production  $1/\tau_{32}$  must not become too small. In the limit  $\tau_{32} \ll \tau_R$ ,  $N_3/N_2 \sim \tau_{32}/\tau_{43}$ , and the requirement  $N_3/N_2 > 1$  then necessitates  $\tau_{32} > \tau_{43}$ , a condition which is not easily satisfied in practice. In ruby, for example, Maiman [5] has argued that  $\tau_{43} \sim 2 \times 10^{-7}$ , though Sturge [6] infers a much shorter time,  $\tau_{43} \sim 10^{-12}$ , from the line width of the  ${}^4T_2$  level. The population ratio  $N_3/N_2$  may therefore lie anywhere between  $10^{-3}$  and  $10^2$  depending on which value is chosen for  $\tau_{43}$ . In any case, one can certainly overcome the difficulty of a short  $\tau_{32}$  by using a salt where the levels  $|2\rangle$  and  $|3\rangle$  are time conjugate states of a Kramers doublet (e.g.  $\text{Tm}^{2+}:\text{CaF}_2$ ). The relaxation time  $\tau_{32}$  can then be closely controlled by varying the strength of the magnetic field [7], the direct phonon relaxation rate being proportional to  $H^5$ .

It is to be emphasized that any four level system of the type shown in fig. 1 which satisfies the requirement that  $\tau_R > \tau_{32} > \tau_{43}$  will be capable of phonon maser action. The choice of a Kramers doublet for the states  $|2\rangle$  and  $|3\rangle$  can be a crucial step, since it insures that the rate inequalities can be satisfied at some value of the magnetic field. The variability of the splitting  $\Delta_{32} = g\beta H$  (and the hence phonon energy) leads to the possibility of continuous magnetic tuning and frequency modulation.

This letter has been materially improved as a result of a conversation with Dr. M. D. Sturge. In addition, very helpful discussions with Drs. J. Ball, R. Braunstein, S. Geschwind and P. Kisliuk are gratefully acknowledged.

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