

Award Number: W81XWH-12-0255

TITLE: Genetic Networks Activated by Blast Injury to the Eye

PRINCIPAL INVESTIGATOR: Eldon E. Geisert

CONTRACTING ORGANIZATION: Emory University
Atlanta, GA 30322

REPORT DATE: August 2015

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.

1. REPORT DATE August 2015		2. REPORT TYPE Annual		3. DATES COVERED July 15, 2014 - July 14, 2015	
4. TITLE AND SUBTITLE Genetic Networks Activated by Blast Injury to the Eye				Sa. CONTRACT NUMBER	
				Sb. GRANT NUMBER W81XWH-12-1-0255	
				Sc. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Eldon E. Geisert E-Mail: egeiser@emory.edu				Sd. PROJECT NUMBER	
				Se. TASK NUMBER	
				Sf. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Emory University Atlanta, GA 30322				8. PERFORMING ORGANIZATION REPORT	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT The present grant proposes to look at the effects of blast on the eye of the mouse looking at phenotypic changes in the eye and in the changes in gene expression following a 50psi blast. We are using these data to define biomarkers to predict the severity of the injury and to predict eventual outcomes. We have examined the phenotypic changes in the eye in the BXD strains before and 5 days after a 50psi blast and have observed no strain specific change in either the cornea or the IOP. We have completed the normal retina database containing 222 microarrays from 58 strains of mice. We have also prepared the manuscript describing the database and submitted it to Molecular Vision for publication. The database and annotation are complete and will be released to the public upon the acceptance of the manuscript. We have collected 76 retinas from 19 strains 5 days after a 50psi blast. These retinas are being held for a batch RNA isolation and microarray run. Microarrays were run on an additional 27 strains. Next year we will complete the dataset by adding 84 retinas from 21 BXD strains. We have taken a preliminary examination of the blast dataset and found that Sox1 1 is a relatively good marker for injured retinal ganglion cells. These results are presented in a paper currently under revision for publication in Molecular Vision.					
15. SUBJECT TERMS Nothing Listed					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			USAMRMC
Unclassified	Unclassified	Unclassified	Unclassified	51	19b. TELEPHONE NUMBER (include area code)

Table of Contents

No.	Page
1. Introduction	4
2. Keywords	4
3. Accomplishments	5
4. Impact	9
5. Changes/Problems	9
6. Products	9
7. Participants & Other Collaborating Organizations	10
8. Special Reporting Requirements	11
9. Appendices	11

1. INTRODUCTION

In a collaboration with Dr. Tonia Rex we developed a mouse model of blast injury to the eye, which accurately mimics the traumatic blast injury increasingly suffered by warriors under current battlefield conditions (Hines-Beard et al., 2012). Using this mouse model in combination with a powerful combination of systems biology, microarray analysis, expression genetics, and bioinformatics, we are defining the genetic networks activated by the ocular blast injury. At the heart of our approach is a genetic reference panel of mice, the unique resource of BXD recombinant inbred (RI) strain set. The set of RI strains was produced from a genetic cross between the C57BU6J mouse and the DBA/2J mouse. Using 60 BXD strains provides a new and powerful method to defining elements in the genome regulating the response of the eye to blast injury. This allows us to generate specific, testable hypotheses to define the pathways that regulate the response of the eye to blast injury and reactive responses in the retina. As more diverse gene expression data sets become available, comparison of gene expression and regulation in different biological contexts will help identify the regulatory elements controlling the injury response of the eye and the retina. We are currently identifying genetic networks activated by blast injury and the genomic regions controlling these networks. We are also identifying markers for retinal injury and potential targets for intervention.

2 KEYWORDS

Mouse Genomics, Blast Injury, Eye, Retina, Gene Expression, Microarray

3 ACCOMPLISHMENTS

Maior Goals:

Task 1) Quantify the strain-to-strain differences in the severity of blast-induced ocular pathologies, using a set of 60 BXD RI mouse strains and map the genomic loci that regulate the response of the eye to blast injury. In this Task we were measuring intraocular pressure (IOP), central corneal thickness (CCT) and visual acuity.

Task 2) Define the genetic networks activated by blast injury in the eye and in the retina, using transcriptome-wide profiling across the BXD RI strain set. We are using the Affymetrix GeneChip Gene 2.0 ST Mouse Array to characterize the changes occurring following a blast injury to the eye in 60 BXD strains. There were several major benefits to using the new Affymetrix array. Specifically, there are probes for 7,000 non-coding RNAs (RNA that is not converted to protein but does affect the functioning of the cell). We are now finding out that many of these non-coding RNAs play extremely important roles in the body. Within these 7,000 probes, 588 encode microRNAs (small RNAs that regulate protein expression). We are creating an entire normal retina dataset using the Affymetrix GeneChip Gene 2.0 Mouse Array and comparing this data set to a dataset from retinas 5 days after a 50psi blast injury to the eye.

Task 3) Define biomarkers that can predict the severity of injury and eventual outcomes.

This portion of our study was to begin in the latter years of the grant (Months 40 to 48). We are using this to characterize the 50-psi blast injury in advance of resuming the blast microarray study on the BXD RI strain set. Immunostaining sections of retina revealed that SOX11 was upregulated in the neurons of the inner retina following blast. SOX11 labeled cells in the ganglion cell layer and the inner nuclear layer. In the ganglion cell layer SOX11 labeled a majority of the cells, indicating that it was labeling most ganglion cells and displaced amacrine cells. Once the datasets are fully implemented, we will be able to accurately define the changes occurring within the injured retina.

Accomplishments Under These Goals:

Task1:

At the present time we have measured IOP and central corneal thickness on 33 strains of mice before and after a 50psi blast injury to the eye. At the present time there are 117 animals total in the dataset. When we run a student t test on the data there was no significant difference in CCT or IOP before and after blast in the control eyes. This is expected. We also did not see a significant difference in either CCT or IOP 5 days after a 50psi blast to the experimental eye. This is unexpected. We intend to continue measuring CCT and IOP measurements for the next quarter.

Task 2.

A) We have completed the construction of the DoD CDMRP Normal Retinal Dataset. Using the Affymetrix Mouse Gene 2.0 ST array, to interrogate all exons of traditional protein coding genes, non-coding RNAs and microRNAs. These data are presented in a highly interactive database within the GeneNetwork website. In the Normal Retina Database, we quantified mRNA levels of the transcriptome from retinas using the Affymetrix Mouse Gene 2.0 ST array. The Normal Retina Database consists of gene expression data from male and female mice. The dataset includes a total of 55 BXD RI strains, the parental strains (C57Bl/6J and DBA/2J), and a reciprocal cross. In combination with GeneNetwork, the DoD (Department of Defense) CDMRP (Congressionally Directed Medical Research Programs) Normal Retina Database provides a large resource for mapping, graphing, analyzing, and testing complex genetic networks. Protein-coding and non-coding RNAs can be used to map quantitative trait loci (QTLs) that contribute to expression differences among the BXD strains and to establish links between classical ocular phenotypes associated with differences in genomic sequence. With this resource we are able to extract transcriptome signatures for retinal cells and to define genetic networks associated with the maintenance of the normal retina. Ultimately, we will use this database to define changes occurring following blast injury to the retina. The DoD CDMRP Normal Retina Database uses the Affymetrix MouseGene 2.0 ST Array (May 15 2015). The RMA analysis and scaling was conducted by Arthur Centeno. This data set consists of 55 BXD strains, C57BU6J, DBA/2J, an F1 cross between C57BU6J and DBA/2J. A total of 58 strains were quantified. There is a total of 222 microarrays. All of the data from each of the microarrays used in this dataset is publically available on GeneNetwork.org.

Mice were killed by rapid cervical dislocation. Retinas were removed immediately and placed in 1 ml of 160 U/ml RiboLock for 1 min at room temperature. The retinas were removed from the eye and placed in Hank's Balanced Salt solution with RiboLock in 50 μ l RiboLock (Thermo Scientific RiboLock RNase #E00381 40U/ μ l 2500U) and stored in -80°C. The RNA was isolated using a QiaCube. All RNA samples were checked for quality before running microarrays. The samples were analyzed using the Agilent 2100 Bioanalyzer. The RNA integrity values ranged from 7.0 to 10. Our goal was to obtain data for independent biological sample pools from both sexes for most lines of mice. The four batches of arrays included in this final data set collectively represent a reasonably well-balanced sample of males and females, in general without within-strain-by-sex replication.

The data is presented using the Affymetrix Mouse Gene 2.0 ST Array. These expression arrays have been designed with a median of 22 unique probes per transcript. Each unique probe is 25 bases in length, which means that the array measures a median of 550 bases per transcript. The arrays provide comprehensive transcriptome coverage with over 30,000 coding and non-coding transcripts. In addition there is coverage for over 600 microRNAs. For some arrays the RNA was pooled from two retinas and other arrays were run on a single retina. Dr. XiangDi Wang (UTHSC) and Rebecca King (Emory) were involved in the retinal extractions and isolation of RNA. Two different research cores ran the Affymetrix arrays: the Molecular Resource Center at UTHSC (Dr. William Taylor Director) and the Integrated Genomics Core at Emory University by Robert B. Isett (Dr. Michael E. Zwick, Director). In a separate set of experiments we tested a set of arrays from C57BU6J retinas run at each facility to determine if there were batch effects or other confounding differences in the results. We could not detect any significant difference in the arrays run at UTHSC or at Emory University. Thus, we have included both sets of data into the analysis.

Publication: Rebecca King, Lu Lu, Robert W. Williams and Eldon E. Geisert, (2015) Gene Expression and Genetic Networks in the Mouse Retina, Submitted to Molecular Vision (under review).

Task 3) We have identified a list of potential biomarkers for injury to the retinal ganglion cells. The best marker is SOX11 (manuscript being revised). We are using this to characterize the 50psi blast injury in advance of resuming the blast microarray study on the BXD RI strain set. Immunostaining sections of retina revealed that SOX11 was upregulated in the neurons of the inner retina following blast. SOX11 labeled cells in the ganglion cell layer and the inner nuclear layer. In the ganglion cell layer SOX11 labeled a majority of the cells, indicating that it was labeling most ganglion cells and displaced amacrine cells. Amacrine cells in the inner nuclear layer were also lightly labeled by SOX11. On immunoblots there was approximately a 2-fold increase in the intensity of the SOX11 band. The manuscript describing these results is currently being revised to respond to the editorial suggestions.

Publication: Felix L. Struebing, Steven G. Hart, Joseph M. Caron, XiangDi Wang and Eldon E. Geisert (2015) Upregulation of SOX11 in Retinal Ganglion Cells Following Injury. Molecular Vision (under revision prior to resubmission).

Training and Professional Development Opportunities:

Nothing to Report

Dissemination of Results:

Invited Talks:

2014 Genetic Network of Innate Immunity in the Retina: Relevance to CNS Injury and Disease Department of Molecular Physiology and Biophysics University of Iowa.

2014 Genetic Network of Innate Immunity in the Retina: Relevance to CNS Injury and Disease VA Atlanta GA.

ARVO Meeting:

Sidhu C, Lyuboslavsky P, Chrenek MA, Struebing FL, Sellers JT, Setterholm NA, McDonalds FE, Boatright JH, Geisert EE, Iuvone PM: 'Traumatic Blast-Induced Closed Globe Injury Reduces Visual Function in Retinal Ganglion Cells of Thy1-CFP mice: Mitigation by a Small Molecule TrkB Activator', The Association for Research in Vision and Ophthalmology (ARVO) annual meeting, Denver 2015.

Papers Submitted for Publication:

Rebecca King, Lu Lu, Robert W. Williams and Eldon E. Geisert, (2015) Gene Expression and Genetic Networks in the Mouse Retina, Submitted to Molecular Vision (under review).

Felix L. Struebing, Steven G. Hart, Joseph M. Caron, XiangDi Wang and Eldon E. Geisert (2015) Upregulation of SOX11 in Retinal Ganglion Cells Following Injury. Molecular Vision (under revision prior to resubmission).

Plans for Next Reporting Period to Accomplish the Goals:

- 1) Finish Beta testing and open the DoD CDMRP Normal Retina Database to the public in August 2015.
- 2) We will complete the DoD Blast Microarray Database. This will entail running several hundred microarrays along with the costs of analysis. We anticipate this to consume a significant amount of the remaining budget.
- 3) Prepare manuscript describing the Blast database.
- 4) Release the Blast database to the public.

4 IMPACT

Impact on the Development of the Principal Discipline of the Project:

Once the proposed studies are completed they will provide a comprehensive analysis of the molecular pathways activated in the retina by blast injury to the eye.

Impact on Other Disciplines:

When developing Biomarkers for retinal injury, our microarray dataset will provide a means to determine if any specific biomarker could have originated from the retinal injury itself.

Impact on Society Beyond Science and Technology:

Nothing to Report

5) Changes/Problems

Changes in Approach and Reasons for Change:

None

Actual or Anticipated Problems or Delays and Actions or Plans to Resolve Them:

None

Changes that had Significant Impact on Expenditures:

None

Significant Changes in the Use or Care of Human Subjects Vertebrate Animals Biohazards, or Select Agents:

None

6. PRODUCTS

Publications, conference papers, and presentations:

2014 Genetic Network of Innate Immunity in the Retina: Relevance to CNS Injury and Disease Department of Molecular Physiology and Biophysics University of Iowa.

2014 Genetic Network of Innate Immunity in the Retina: Relevance to CNS Injury and Disease VA Atlanta GA.

ARVO Meeting:

Sidhu C, Lyuboslavsky P, Chrenek MA, Struebing FL, Sellers JT, Setterholm NA, McDons FE, Boatright JH, Geisert EE, Iuvone PM: "Traumatic Blast-Induced Closed Globe Injury Reduces Visual Function in Retinal Ganglion Cells of Thy1-CFP mice: Mitigation by a Small Molecule TrkB Activator", The Association for Research in Vision and Ophthalmology (ARVO) annual meeting, Denver 2015.

Papers Submitted for Publication:

Rebecca King, Lu Lu, Robert W. Williams and Eldon E. Geisert, (2015) Gene Expression and Genetic Networks in the Mouse Retina, Submitted to Molecular Vision (under review).

Felix L. Struebing, Steven G. Hart, Joseph M. Caron, XiangDi Wang and Eldon E. Geisert (2015) Upregulation of SOX11 in Retinal Ganglion Cells Following Injury. Molecular Vision (under revision prior to resubmission).

Website(s) or other Internet site(s):

The DoD CDMRP Retina Affy MoGene 2.0 ST Database and the DoD TATRC Retina Affy MoGene 2.0 ST Exon Level Database are hosted on GeneNetwork.org. This database will be open to the public in August 2015. These datasets describe gene expression in the normal retina of the BXD Strains. Both databases can be found under Mice, BXD, retina and then either DoD CDMRP Retina Affy MoGene 2.0 ST Database or DoD CDMRP Retina Affy MoGene 2.0 ST Exon Level Database.

Technologies or techniques:

None

Inventions, patent applications, and/or licenses:

None

Other products:

None

7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

What individuals have worked on the project?

At Emory University (7/15/14 to present):

Becky King, Research Technician (50% effort)
Eldon E. Geisert, Principal Investigator (25% effort)

We have been collaborating with Dr. Mike Iuvone to construct and test a new blast gun. We are currently in the process of writing a manuscript describing the effects of a 50psi blast to the mouse eye.

Has there been a change in the other active support of the PD/PI(s) or senior/key personnel since the last reporting period?

No.

What other organizations have been involved as partners?

None

8. SPECIAL REPORTING REQUIREMENTS

None

9. APPENDICES

A) Preprint of the DoD CDMRP Normal Retina Database paper.

8) Preprint of the SOX11 (marker of retinal injury)_ paper.

1 **Gene Expression and Genetic Networks in the Mouse Retina**

2
3 Rebecca King,¹ Lu Lu,² Robert W. Williams,² and Eldon E. Geisert,¹

4
5 ¹Department of Ophthalmology and Emory Eye Center, Emory University,
6 Atlanta, GA 30322; ²Department of Anatomy and Neurobiology and Center for
7 Integrative and Translational Genomics, University of Tennessee Health Science
8 Center, Memphis, TN 38163
9

10
11
12
13 Corresponding Author:
14 Eldon E. Geisert
15 Professor of Ophthalmology
16 Emory University
17 1365B Clifton Road NE
18 Atlanta GA 30322
19 email: egeiser@emory.edu
20 Phone: 404-778-4239
21
22
23
24
25
26
27
28
29

30 **Abstract**

31
32 **Purpose:** Differences in gene expression provide diverse retina phenotypes and
33 may also contribute to susceptibility to injury and disease. The present study
34 defines the transcriptome of the retina in the BXD RI strain set. Using the
35 Affymetrix Mouse Gene 2.0 ST array, to interrogate all exons of traditional protein
36 coding genes, non-coding RNAs and microRNAs. These data are presented in a
37 highly interactive database within the GeneNetwork website.
38

39 **Methods:** In the Normal Retina Database, quantified mRNA levels of the
40 transcriptome from retinas using the Affymetrix Mouse Gene 2.0 ST array. The
41 Normal Retina Database consists of gene expression data from male and female
42 mice. The dataset includes a total of 55 BXD RI strains, the parental strains
43 (C57Bl/6J and DBA/2J), and a reciprocal cross.
44

45 **Results:** In combination with GeneNetwork, the DoD (Department of Defense)

46 CDMRP (Congressionally Directed Medical Research Programs) Normal Retina
47 Database provides a large resource for mapping, graphing, analyzing, and
48 testing complex genetic networks. Protein-coding and non-coding RNAs can be
49 used to map quantitative trait loci (QTLs) that contribute to expression
50 differences among the BXD strains and to establish links between classical
51 ocular phenotypes associated with differences in genomic sequence. With this
52 resource we are able to extract transcriptome signatures for retinal cells and to
53 define genetic networks associated with the maintenance of the normal retina.
54 Ultimately, we will use this database to define changes occurring following blast
55 injury to the retina.

56
57 **Conclusions:** The high level of variation in mRNA levels found among BXD RI
58 strains of mice make it possible to identify expression networks underlying
59 differences in retina structure and function.

60
61
62
63
64
65
66

67 INTRODUCTION

68 Large-scale sequencing initiatives have led to a new era in understanding
69 gene and genome functions [1-5]. There is now an acute need for powerful
70 approaches to integrate and analyze massive omics data sets. For vision
71 research there are many known single gene variants that cause vision loss,
72 including retinitis pigmentosa [6-9], Usher's syndrome [10, 11] and some forms of
73 glaucoma [12]. However, many ocular diseases have a complex genetic basis
74 with multiple chromosomal loci contributing to differences in susceptibility and
75 severity of disease. Two prominent examples are glaucoma [13-15] and age-
76 related macular degeneration [16, 17]. In addition, the response of the eye and
77 retina to trauma is driven by a host of different genes expressed in a large
78 number of different cell types.

79

80 Until recently, it was extremely difficult to define the genetic and molecular basis
81 of complex diseases or to adequately monitor the response of eye and retina to
82 injury. We have usee a novel and powerful approach relying on systems biology
83 and a mouse genetic reference panel, the BXD family of recombinant inbred (RI)
84 strains. This resource is particularly well suited to define complex genetic
85 networks that are also active in human diseases. This approach not only allows
86 us to identify specific gene variants involved in the retinal disease and response
87 to injury, but also allows us to place corresponding moelcular changes in a global
88 contest in eye and retina.

89
90

91 Our initial efforts of our group explored the genetic diversity of the BXD
92 family of strains to define genetic networks active in the eye (see data sets and
93 refs [18]) and ([19]).

94 In this study we have created the new mouse retinal database that offers a
95 more complete description of the mouse transcriptome. This resource uses the
96 genetic covariance of expression across a panel of 55 BXD strains to identify
97 cellular signatures and genetic networks within the mouse retina. The array we
98 have used provides expression profiling at the exon level for 26,191 well-
99 established annotated transcripts, as well as 9,049 non-coding RNAs including
100 over 600 microRNAs. Using the bioinformatics tools located within GeneNetwork
101 (genenetwork.org), we examine a cellular signature of RPE cells. We also have
102 analyzed a genetic and molecular network involved in neuronal development and
103 axon growth. In both of these examples we highlight the specific benefits of the
104 new database with a special emphasis on microRNAs, non-coding RNAs and the
105 exon level data available with the Affymetrix MouseGene 2.0 ST array.

106 **MATERIALS and METHODS**

107
108
109 The DoD (Department of Defense) CDMRP (Congressionally Directed Medical
110 Research Programs) Normal Retina Database uses the Affymetrix MouseGene
111 2.0 ST Array (May 15 2015). The RMA analysis and scaling was conducted by
112 Arthur Centeno. This data set consists of 55 BXD strains, C57BL/6J, DBA/2J, an
113 F1 cross between C57BL/6J and DBA/2J. A total of 58 strains were quantified.
114 There is a total of 222 microarrays. All of the data from each of the microarrays
115 used in this dataset is publically available on GeneNetwork.org.

116
117 This is RMA expression data that has been normalized using what we call a 2z+8
118 scale, but without special correction for batch effects. The data for each strain
119 was computed as the mean of four samples per strain. Expression values on a
120 log₂ scale range from 3.81 to 14.25 (10.26 units), a nominal range of
121 approximately 1,000-fold. After taking the log₂ of the original non-logged
122 expression estimates, we convert data within an array to a z-score. We then
123 multiply the z-score by 2. Finally, we add 8 units to ensure that no values are
124 negative. The result is a scale with a mean expression of the probes on the array
125 of 8 units and a standard deviation of 2 units. A two-fold difference in expression
126 is equivalent roughly to 1 unit on this scale. The lowest level of expression is 3.81
127 (*Olf1186*) from DoD CDMRP (Normal Retina Database uses the Affymetrix
128 MouseGene 2.0 ST Array (May 15 2015) The highest level of expression is
129 Rhodopsin for *17462036* (Rho). Highest single value is about 14.25.

130
131
132 About the cases used to generate this set of data:

133 Almost all animals are young adults between 60 and 100 days of age. We
134 measured expression in conventional inbred strains, BXD recombinant inbred
135 (RI) strains, and reciprocal F1s between C57BL/6J and DBA/2J.

136

137 BXD strains:
138 The first 32 of these strains are from the Taylor series of BXD strains generated
139 at the Jackson Laboratory by Benjamin A. Taylor. BXD1 through BXD32 were
140 started in the late 1970s, whereas BXD33 through 42 were started in the 1990s.
141 BXD43 and higher were bred by Lu Lu, Jeremy Peirce, Lee M. Silver, and Robert
142 W. Williams starting in 1997 using B6D2 generation 10 advanced intercross
143 progeny. This modified breeding protocol doubles the number of recombinations
144 per BXD strain and improves mapping resolution [20]. All of the Taylor series of
145 BXD strains and many of the new BXD strains are available from the Jackson
146 Laboratory. Several strains were specifically excluded from the dataset. For the
147 BXD43 and higher, the DBA/2J parent carried both the *Tyrp-1* mutation and the
148 *Gpnmb* mutation and these two mutations produce pigment dispersion glaucoma.
149 All of the mice carrying these two mutations were not included in the dataset:
150 BXD53, BXD55, BXD62, BXD66, BXD68, BXD74, BXD77, BXD81, BXD88,
151 BXD89, BXD95 and BXD98. In addition BXD24 was omitted, since it developed a
152 spontaneous mutation, *rd16* (Cep290) which resulted in retinal degeneration and
153 was renamed BXD24b/TyJ [21]. Several additional strains were excluded due to
154 abnormally high *Gfap* levels observed in our Full HEI Retina (April 2010) dataset,
155 these include: BXD32, BXD49, BXD70, BXD83 and BXD89.

156
157 Tissue preparation protocol.
158 Mice were killed by rapid cervical dislocation. Retinas were removed immediately
159 and placed in 1 ml of 160 U/ml RiboLock for 1 min at room temperature. The
160 retinas were removed from the eye and placed in Hank's Balanced Salt solution
161 with RiboLock in 50µl RiboLock (Thermo Scientific RiboLock RNase #EO0381
162 40U/µl 2500U) and stored in -80°C. The RNA was isolated using a QiaCube and
163 the in column DNase procedure. All RNA samples were checked for quality
164 before running microarrays. The samples were analyzed using the Agilent 2100
165 Bioanalyzer. The RNA integrity values for ranged from 7.0 to 10. Our goal was to
166 obtain data for independent biological sample pools from both sexes for most
167 lines of mice. The four batches of arrays included in this final data set collectively
168 represent a reasonably well-balanced sample of males and females, in general
169 without within-strain-by-sex replication.

170
171
172 Affymetrix Mouse Gene 2.0 ST Array: These expression arrays have been
173 designed with a median of 22 unique probes per transcript. Each unique probe is
174 25 bases in length, which means that the array measures a median of 550 bases
175 per transcript. The arrays provide comprehensive transcriptome coverage with
176 over 30,000 coding and non-coding transcripts. In addition there is coverage for
177 over 600 microRNAs. For some arrays the RNA was pooled from two retinas and
178 for other arrays were run on a single retina. Dr. XiangDi Wang (UTHSC) and
179 Rebecca King (Emory) were involved in the retinal extractions and isolation of
180 RNA. The Affymetrix arrays were run by two different research cores: the
181 Molecular Resource Center at UTHSC (Dr. William Taylor Director) and the
182 Integrated Genomics Core at Emory University by Robert B. Isett (Dr. Michael E.

183 Zwick, Director). In a separate set of experiments we tested a set of arrays from
184 C57BL/6J retinas run at each facility to determine if there were batch effects or
185 other confounding differences in the results. We could not detect any significant
186 difference in the arrays run at UTHSC or at Emory University. Thus, we have
187 included both sets of data into the analysis.
188

189
190

191 **RESULTS**

192
193

194 The DoD CDMRP Retina Database presents the retinal transcriptome profiles of
195 52 BXD RI strains in a highly interactive website, GeneNetwork. There are two
196 separate presentations of the microarray data. The first is at the gene level (DoD
197 CDMRP Retina Affy MoGene 2.0 ST (May15) RMA Gene Level Database) and
198 the same data presented at the exon level (DoD CDMRP Retina Affy MoGene
199 2.0 ST (May15) RMA Exon Level Database). For the analysis of these dataset
200 there is a suite of bioinformatics tools integrated into the GeneNetwork website.
201 These tools allow for: the identification of genes that vary across the BXD RI
202 strains, the construction of genetic networks controlling the development of the
203 mouse retina, and the identification of genomic loci underlying complex traits in
204 the retina. In the present paper we present these two new datasets and illustrate
205 their use with two examples. The first was to identify genetic signatures of the
206 retinal pigment epithelium (RPE). The second will identify a genetic network
207 associated with roundabout homolog 2 (*Robo2*) gene and the modulating axonal
208 growth.
209

210
211

210 ***Cellular Signature of RPE in the DoD CDMRP Retina Database***

212 The DoD CDMRP Retina Database has a unique signature for RPE cells. When
213 looking at the expression of the RPE marker *Rpe65* there was an almost biphasic
214 distribution of expression (Figure 1). Many of the strains expressed relatively low
215 levels of *Rpe65* (approximately 7 units on our scale) while other strains had high
216 levels of expression ranging from 2 to 8 fold higher (8 to 11 units). When we
217 examined the dataset for genes with similar expression across the BXD strains, a
218 list of genes uniquely expressed in RPE was observed (Table 1). This cellular
219 signature represents genes that are uniquely expressed within the RPE,
220 including: *Rgr* (retinal G protein coupled receptor), *Lrat* (Lecithin-retinol
221 acyltransferase), *Rdh5* (retinol dehydrogenase 5), *Trf* (transferrin) and *Rrh* (retinal
222 pigment epithelium derived rhodopsin homolog). This signature can also be
223 thought of as the result of genetic networks that drive gene expression within a
224 given cell type. With the new Affymetrix chip we not only have protein-coding
225 genes that correlate with *Rpe65*, but we also have microRNAs and non-coding
226 RNAs. If we examine the top 500 correlates of *Rpe65* (all of which have a
227 correlation higher than 0.8 with *Rpe65*), there are five microRNAs present: *Mir98*,
228 *Mir666*, *Mir449a*, *Mir301b* and *Mir28b*. Using the bioinformatics tools on

229 TargetScan (Targetsan.org) [22-24] we were able to predict targets for each of
230 the microRNAs from the top 500 correlates of *Rpe65*. One microRNA, *Mir666*
231 did not appear on the Targetsan website. The remaining 4 microRNAs did
232 appear on the website. When scanned for targets *Mir98* had 29 targets in the
233 RPE signature, *Mir449a* had 14 targets, *Mir301b* had 13 targets and *Mir28b* had 1
234 target. This type of analysis may be one approach to constructing and
235 understanding microarray networks within a specific cell type like the RPE of the
236 mouse.

237
238
239
240
241

242 **Example of a functional network in the DoD CDMRP Retina Database**

243
244

245 To illustrate the features of the new DoD CDMRP Retina Database, we have
246 chosen one specific gene, *Robo2* (roundabout homolog 2), and will use this gene
247 to demonstrate the analytical powers of the database and the bioinformatics tools
248 associated with GeneNetwork. *Robo2* is highly expressed in the retina with a
249 mean value of 10.7 across the BXD strain set. The expression within individual
250 strains varies from a low of 10.2 to a high of 11.1. This is a \log_2 scale and
251 represents approximately a two-fold difference in expression (Figure 2). When
252 we examine the database for genes with a similar pattern of expression across
253 the BXD strain set, there is a group of genes that are highly correlated with the
254 expression pattern of *Robo2* (Table 3). One example is the third correlate on the
255 list, *Ncam2* (Figure 3) with a value of 0.926. Even the 100th correlate on the list
256 (*Git1*) has a relatively high correlation ($r = 0.873$) with *Robo2* (See Supplemental
257 Table 1).

258 To define the regions of the genome modulating the expression of *Robo2*, we
259 plotted a genome wide scan for *Robo2* (Figure 4). This plot defines regions of
260 the genome that correlate with the level of *Robo2* expression, a quantitative trait
261 locus (QTL). In this interval map there is one significant QTL on chromosome 16
262 (notice the peak reaches the red line on the scan, $p = 0.5$) and there are two
263 suggestive peaks on Chromosome 1 and Chromosome 17 (above the gray line).
264 The expression of *Robo2* is modulated by genomic elements on Chromosome
265 16. There are two types of elements that could be affecting the expression of
266 *Robo2*; a cis-QTL or a gene with a nonsynonymous SNP. If we examine the
267 significant QTL on Chromosome 16 (21-27 Mb), we find there are no significant
268 cis-QTLs at the gene level. With the DoD CDMRP Retina Database it is now
269 possible to look at the individual probes in exons and introns. When we
270 interrogate the DoD CDMRP Retina Exon Level Database, we find one probe
271 (*Affy_17329472*) that lies within the *Leprel1* gene. When we checked the
272 location of the probe with the Verify function on GeneNetwork, the probe lies in
273 an intron and may be a non-coding RNA. However when we examined the RNA-

274 seq data from GeneNetwork it appears that this was detected in an RNA-seq
275 analysis of the hippocampus and thus this may be part of *Leprel1* gene itself.
276 Nonetheless, this probe marks a candidate for modulating the expression of
277 *Robo2*. The second approach is to examine this region for nonsynonymous
278 SNPs. Using the SNP browser in GeneNetwork, we looked at Chromosome 16
279 (21-27 Mb) and found four known genes with nonsynonymous SNPs: *Kng2*,
280 *Kng1*, *BC106179* and *Masp1*. This analysis provides us with five candidates for
281 modulating the expression of *Robo2*.

282 To determine whether this highly correlated set of genes in the *Robo2*
283 network have functional relationship(s), we examined the top 500 correlates of
284 *Robo2* to determine if there were specific functional transcript enrichments using
285 Gene Set (WEB-based GENE SeT AbaLysis Toolkit,
286 <http://bioinfo.vanderbilt.edu/webgestalt/webgestalt.php>). The list of the top 500
287 correlates of *Robo2* was enriched for a number of biological processes (nervous
288 system development, synaptic transmission and neuron differentiations);
289 molecular functions (enzyme binding, PDZ domain binding, inorganic cation
290 transmembrane transporter, and metal ion transmembrane transporter activity);
291 and cellular components (cell projection part, neuron projection, intracellular part,
292 and axon genes). This type of analysis plays a critical role in many genetic
293 networks, defining the functional role of the network. In this specific case the
294 analysis demonstrates that the *Robo2* network is involved in axonal growth and
295 neuronal development.

296

297 **DISCUSSION**

298

299 This paper announces the release of two new BXD retina databases on
300 GeneNetwork. The first is at the gene level (DoD CDMRP Retina Affy MoGene
301 2.0 ST (May15) RMA Gene Level Database). The second dataset is exon level
302 analysis of the same data presented in the first dataset (DoD CDMRP Retina Affy
303 MoGene 2.0 ST (May15) RMA Exon Level Database). In this paper we attempt to
304 emphasize some of the special aspects of these two datasets including an exon
305 level of analysis, the inclusion of microRNAs and many non-coding RNAs. To
306 illustrate many of these new features we presented two different approaches for
307 analysis using the datasets.

308

309 The first was an examination of a cell signature within the dataset. Within the
310 DoD CDMRP Retina Database, there is a pronounced RPE signature. Some
311 strains demonstrate very low levels of expression of *RPE65* while other strains
312 have over 16-fold higher levels of expression. This difference could not be due to
313 differences in expression within the RPE, for we know that all RPE cells express
314 this gene at approximately the same level. We believe that this is due to
315 differences in the time of day the retinas were isolated. The retinal samples at
316 two different locations. At the University of Tennessee samples were usually
317 isolated starting at 10:00 AM and lights on in the animal colony occurred at 6:00
318 AM. Thus, the retinas were isolated at least four hours after lights on. At Emory
319 University, the retinas were isolated starting at 9:00 AM and lights on occurred at

320 7:00 AM or starting at 2 hours after lights on in the animal colony. These
321 differences in the time of day the retinas were isolated may be related to the
322 number of RPE adhering to the retinal samples [25].
323

324

325 There are a number of bioinformatics tools available to the vision research
326 community. These include NEI Bank project
327 (<http://neibank.nei.nih.gov/index.shtml>), which provides transcriptome profiling of
328 the tissues of the eye including mouse and human [26]. The Cepko group has
329 provided the mouse retina serial analysis of gene expression (SAGE) library
330 (<http://itstgp01.med.harvard.edu/retina>) that includes gene expression of the
331 embryonic and postnatal retina [27, 28]. Steve Dager and his group have lists of
332 mapped loci and cloned genes associated with inherited retinal disease on the
333 RetNet website (www.sph.uth.tmc.edu/RetNet/). GENSAT (Gene Expression
334 Nervous System Atlas) now has a section devoted to the Retina Project [29] at
335 www.gensat.org/retina.jsp. The cell specific labeling in the retina for different
336 genes is illustrated using BAC transgenic mice. The pattern of labeling in the
337 retina defines the retinal cells types expressing specific genes. This cellular
338 localization aids in defining localization of genetic networks in the retina. Finally
339 we have posted the data from the study of glaucoma by Howell et al.[30] on the
340 GeneNetwork website under the BXD eye database. These data are very helpful
341 in understanding the role of specific genetic networks in glaucoma (for example,
342 see Templeton et al.[31]).
343

344

345 In conclusion, the DoD CDMRP Retina Databases offered on GeneNetwork is
346 new resource in an expanding variety of bioinformatics tools available to vision
347 research community. Previously we have offered several BXD microarray
348 databases on GeneNetwork included to the vision science community: the
349 transcriptome of the whole eye (Eye M430v2 (Sep08) RMA Database) described
350 in detail by Geisert et al.[18]; a normal retina database (Normal Retina (April
351 2010) RankInv Database) described in detail by Freeman et al.[19]; and the
352 retina 2 days after optic nerve crush (ONC Retina (April 2012) RankInv
353 Database) described in Templeton et al. [32]. This new website offers a unique
354 look at expression at the exon level. In addition, there are many non-protein
355 coding transcripts represented in the dataset. The bioinformatics tools offered on
356 GeneNetwork and these new databases are a unique resource for the vision
357 research community.

358

359 **Acknowledgments**

360

361 This work is supported by DoD CDMRP Grant W81XWH1210255 from the U.S.
362 Army Medical Research & Materiel Command and the Telemedicine and
363 Advanced Technology (to EEG), NIH Grant R01EY017841 (to EEG), Vision Core
364 Grant P030EY006360 (to P Michael Iuvone), and Unrestricted Funds from
365 Research to Prevent Blindness (to Emory University). We would like to thank
XiangDi Wang for her technical assistance in the early phases of this work.

366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411

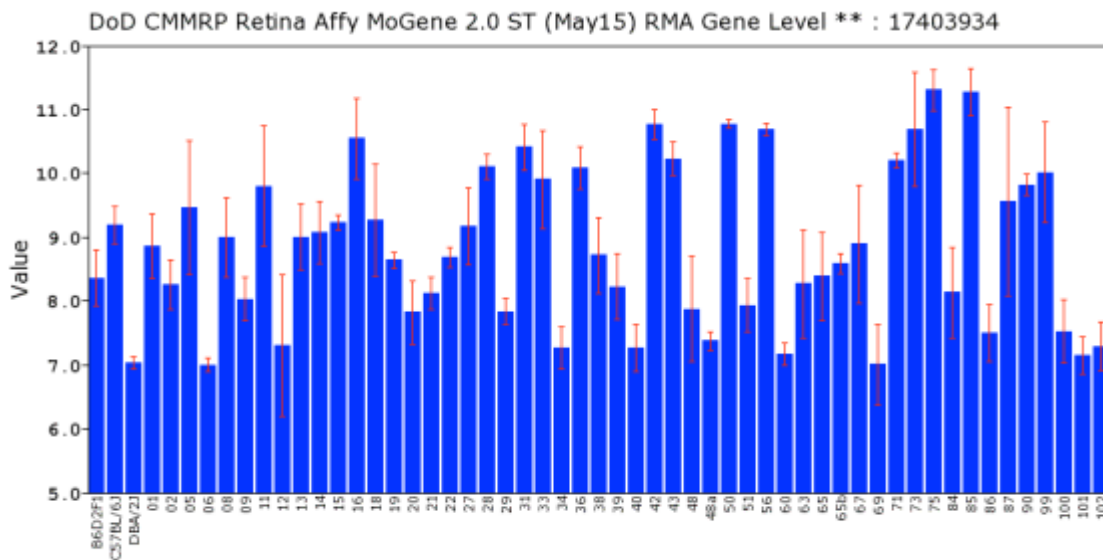
REFERENCES

1. Consortium, E.P., et al., *Identification and analysis of functional elements in 1% of the human genome by the ENCODE pilot project*. Nature, 2007. **447**(7146): p. 799-816.
2. Lander, E.S., et al., *Initial sequencing and analysis of the human genome*. Nature, 2001. **409**(6822): p. 860-921.
3. Pennisi, E., *Genomics. ENCODE project writes eulogy for junk DNA*. Science, 2012. **337**(6099): p. 1159, 1161.
4. Rubin, G.M., et al., *Comparative genomics of the eukaryotes*. Science, 2000. **287**(5461): p. 2204-15.
5. Venter, J.C., et al., *The sequence of the human genome*. Science, 2001. **291**(5507): p. 1304-51.
6. Redmond, T.M., et al., *Rpe65 is necessary for production of 11-cis-vitamin A in the retinal visual cycle*. Nat Genet, 1998. **20**(4): p. 344-51.
7. Allikmets, R., et al., *Mutation of the Stargardt disease gene (ABCR) in age-related macular degeneration*. Science, 1997. **277**(5333): p. 1805-7.
8. Nathans, J. and D.S. Hogness, *Isolation and nucleotide sequence of the gene encoding human rhodopsin*. Proc Natl Acad Sci U S A, 1984. **81**(15): p. 4851-5.
9. Swaroop, A., et al., *Leber congenital amaurosis caused by a homozygous mutation (R90W) in the homeodomain of the retinal transcription factor CRX: direct evidence for the involvement of CRX in the development of photoreceptor function*. Hum Mol Genet, 1999. **8**(2): p. 299-305.
10. Bork, J.M., et al., *Usher syndrome 1D and nonsyndromic autosomal recessive deafness DFNB12 are caused by allelic mutations of the novel cadherin-like gene CDH23*. Am J Hum Genet, 2001. **68**(1): p. 26-37.
11. Adato, A., et al., *Mutation profile of all 49 exons of the human myosin VIIA gene, and haplotype analysis, in Usher 1B families from diverse origins*. Am J Hum Genet, 1997. **61**(4): p. 813-21.
12. Stone, E.M., et al., *Identification of a gene that causes primary open angle glaucoma*. Science, 1997. **275**(5300): p. 668-70.
13. Crooks, K.R., et al., *Genome-wide linkage scan for primary open angle glaucoma: influences of ancestry and age at diagnosis*. PLoS One, 2011. **6**(7): p. e21967.
14. Ulmer, M., et al., *Genome-wide analysis of central corneal thickness in primary open-angle glaucoma cases in the NEIGHBOR and GLAUGEN consortia*. Invest Ophthalmol Vis Sci, 2012. **53**(8): p. 4468-74.

- 412 15. Aung, T., et al., *A common variant mapping to CACNA1A is associated with*
413 *susceptibility to exfoliation syndrome*. Nat Genet, 2015. **47**(4): p. 387-92.
- 414 16. Scheetz, T.E., et al., *A genome-wide association study for primary open angle*
415 *glaucoma and macular degeneration reveals novel Loci*. PLoS One, 2013. **8**(3): p.
416 e58657.
- 417 17. Grassi, M.A., et al., *Complement factor H polymorphism p.Tyr402His and*
418 *cuticular Drusen*. Arch Ophthalmol, 2007. **125**(1): p. 93-7.
- 419 18. Geisert, E.E., et al., *Gene expression in the mouse eye: an online resource for*
420 *genetics using 103 strains of mice*. Molecular vision, 2009. **15**: p. 1730-63.
- 421 19. Freeman, N.E., et al., *Genetic networks in the mouse retina: growth associated*
422 *protein 43 and phosphatase tensin homolog network*. Mol Vis, 2011. **17**: p. 1355-
423 72.
- 424 20. Peirce, J.L., et al., *A new set of BXD recombinant inbred lines from advanced*
425 *intercross populations in mice*. BMC Genet, 2004. **5**: p. 7.
- 426 21. Chang, B., et al., *In-frame deletion in a novel centrosomal/ciliary protein*
427 *CEP290/NPHP6 perturbs its interaction with RPGR and results in early-onset*
428 *retinal degeneration in the rd16 mouse*. Hum Mol Genet, 2006. **15**(11): p. 1847-
429 57.
- 430 22. Garcia, D.M., et al., *Weak seed-pairing stability and high target-site abundance*
431 *decrease the proficiency of lsy-6 and other microRNAs*. Nat Struct Mol Biol,
432 2011. **18**(10): p. 1139-46.
- 433 23. Grimson, A., et al., *MicroRNA targeting specificity in mammals: determinants*
434 *beyond seed pairing*. Mol Cell, 2007. **27**(1): p. 91-105.
- 435 24. Lewis, B.P., C.B. Burge, and D.P. Bartel, *Conserved seed pairing, often flanked*
436 *by adenosines, indicates that thousands of human genes are microRNA targets*.
437 Cell, 2005. **120**(1): p. 15-20.
- 438 25. Ruggiero, L., et al., *alpha5beta5 integrin-dependent diurnal phagocytosis of shed*
439 *photoreceptor outer segments by RPE cells is independent of the integrin*
440 *coreceptor transglutaminase-2*. Adv Exp Med Biol, 2012. **723**: p. 731-7.
- 441 26. Wistow, G., *The NEIBank project for ocular genomics: data-mining gene*
442 *expression in human and rodent eye tissues*. Prog Retin Eye Res, 2006. **25**(1): p.
443 43-77.
- 444 27. Blackshaw, S., et al., *Genomic analysis of mouse retinal development*. PLoS Biol,
445 2004. **2**(9): p. E247.
- 446 28. Blackshaw, S., et al., *Comprehensive analysis of photoreceptor gene expression*
447 *and the identification of candidate retinal disease genes*. Cell, 2001. **107**(5): p.
448 579-89.
- 449 29. Siegert, S., et al., *Genetic address book for retinal cell types*. Nat Neurosci, 2009.
450 **12**(9): p. 1197-204.
- 451 30. Howell, G.R., et al., *Molecular clustering identifies complement and endothelin*
452 *induction as early events in a mouse model of glaucoma*. J Clin Invest, 2011.
453 **121**(4): p. 1429-44.
- 454 31. Templeton, J.P., et al., *Innate immune network in the retina activated by optic*
455 *nerve crush*. Invest Ophthalmol Vis Sci, 2013. **54**(4): p. 2599-606.
- 456 32. Templeton, J.P., et al., *A crystallin gene network in the mouse retina*. Exp Eye
457 Res, 2013. **116**: p. 129-40.

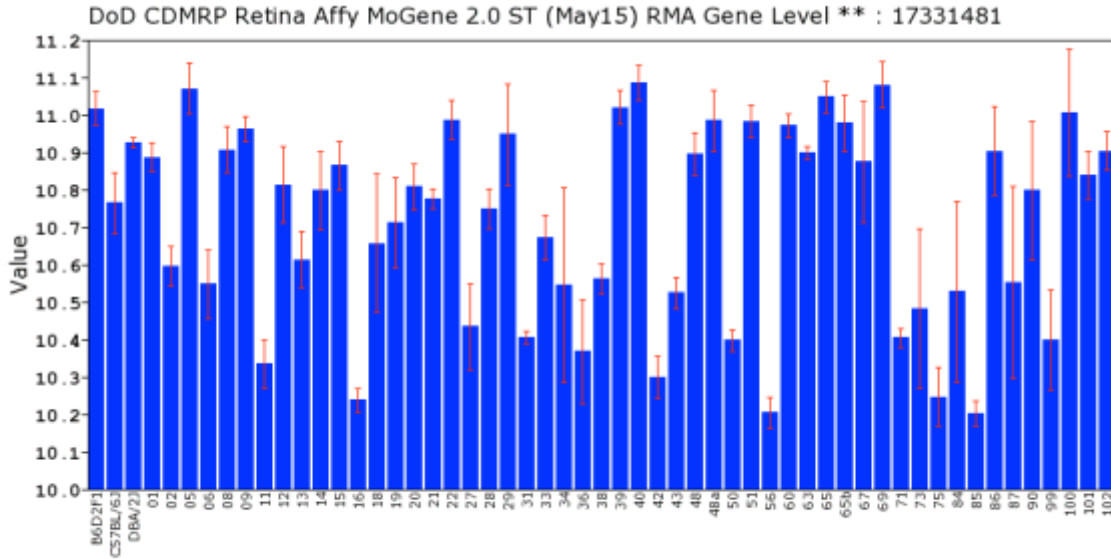
458
459
460
461
462
463
464
465
466
467
468
469

Figures



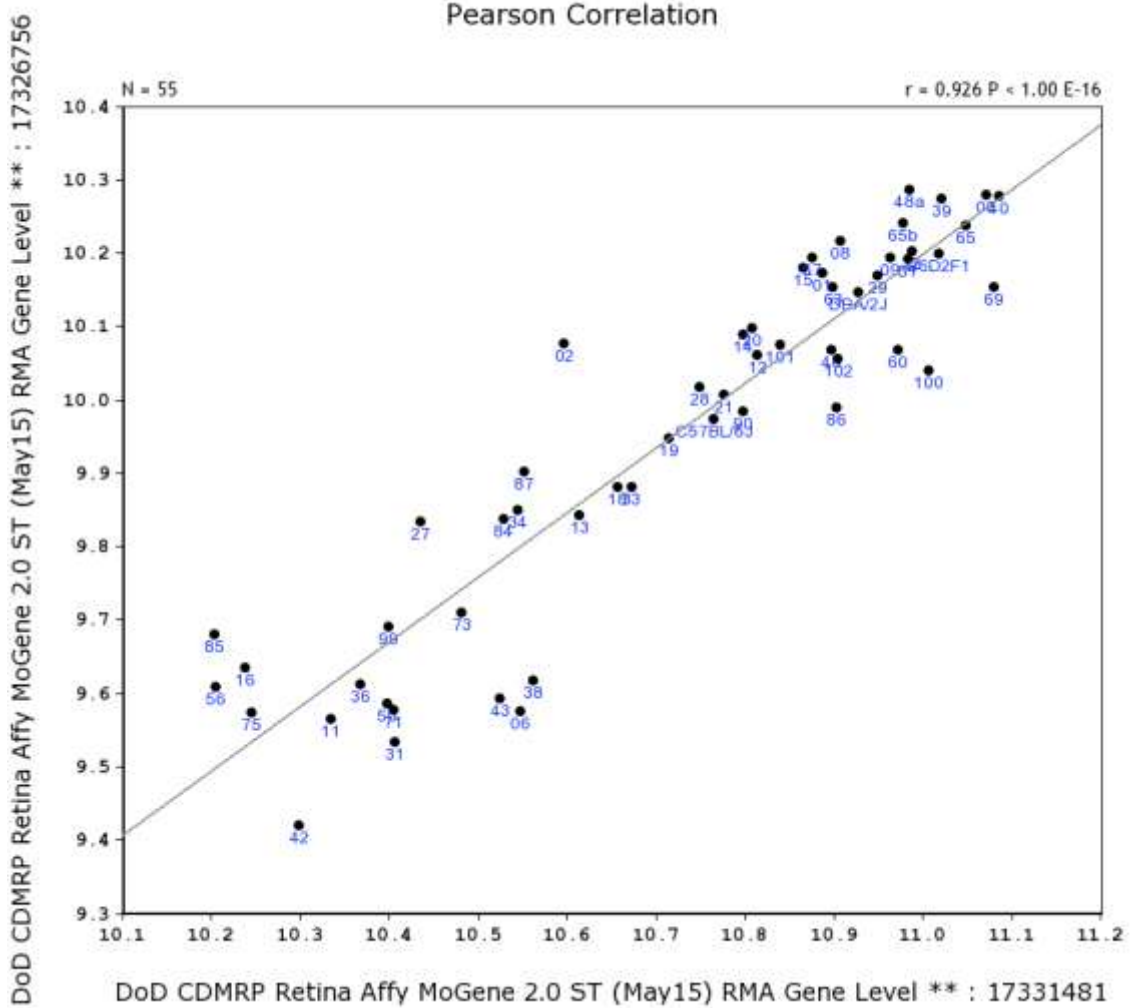
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484

Figure 1 Expression of *Rpe65* across the BXD strains in the DoD CDMRP Normal Retina Dataset. The expression levels of *Rpe65* are shown for many of the BXD strains as mean expression and standard error of the mean. The individual strain identifications are shown along the bottom and the scale is \log_2 . Notice the relatively low levels of *Rpe65* in some stains (DBA/2J, BXD5, BXD12, BXD34, BXD40, BXD48a, BXD60, BXD69, BXD100, BXD101 and BXD102) and 8-fold high levels of expression other strains (BXD16, BXD31, BXD42, BXD43, BXD50, BXD56, BXD75 and BXD85).



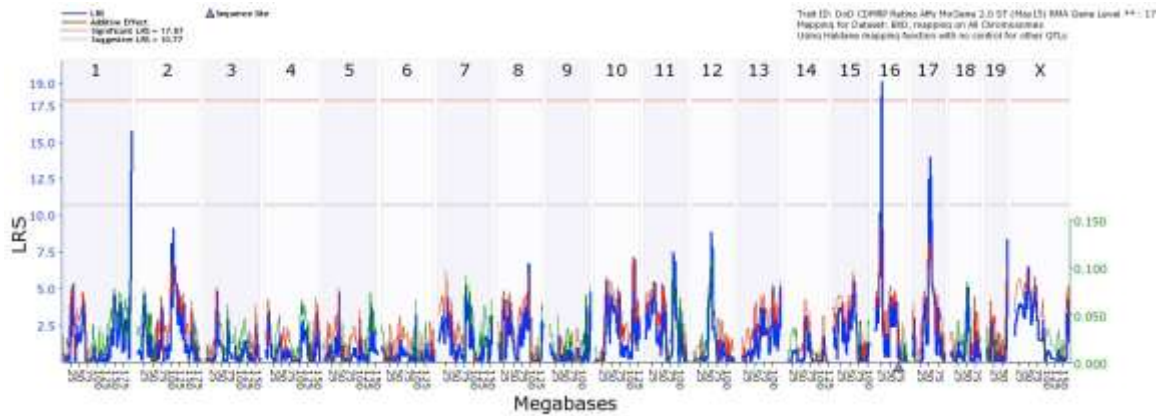
485
 486
 487
 488
 489
 490
 491
 492
 493
 494

Figure 2 Expression of *Robo2* across the BXD strains in the DoD CDMRP Normal Retina Dataset. The expression levels of *Robo2* are shown for many of the BXD strains as mean expression and standard error of the mean. The individual strain identifications are shown along the bottom of the plot and the scale is log₂. Notice the variability in *Robo2* expression across the BXD strains.



495
 496
 497
 498
 499
 500
 501
 502
 503
 504
 505

Figure 3 The Pearson correlation between *Robo2* and *Ncam2*. *Ncam2* was the second highest correlate to *Robo2* in the DoD CDMRP. Notice that in strains where *Robo2* is highly expressed, *Ncam2* is also highly expressed and that in strains where *Robo2* is low, *Ncam2* is also low.



506
 507
 508
 509
 510
 511
 512
 513
 514
 515
 516
 517
 518
 519
 520
 521

Figure 4. Genome-wide Interval Map of *Robo2*. This genome-wide graph displays the quantitative trait loci (QTL) distribution across the DoD CDMRP Normal Retina Dataset. The X-axis plots the locations of the QTLs controlling the transcript expression. Positions are measured in mega-bases from Chromosome 1 to Chromosome X (1-2600 Mb). The y-axis plots Likelihood Ratio Statistic (LRS). The significant levels of individual QTLs are color-coded. The red line represents a genome-wide significance level ($p > 0.05$) and the gray line is suggestive. Notice a significant QTL on Chromosome 16.

Table 1. Correlates of *Rpe65* in the DoD CDMRP Normal Retina Dataset.

Symbol	Description	Location (Chr: Mb)	Mean Expr	Sample r
Rpe65	retinal pigment epithelium 65	Chr3: 159.262145	8.837	1.000
Rgr	retinal G protein coupled receptor	Chr14: 37.850676	10.660	0.985
Pon1	paraoxonase 1	Chr6: 5.118090	7.608	0.976
Ttr	transferrin	Chr18: 20.823751	10.858	0.946
Ernm	ermin, ERM-like protein	Chr2: 57.897524	7.173	0.938
Lrat	lecithin-retinol acyltransferase	Chr3: 82.696501	8.190	0.935
Rdh5	retinol dehydrogenase 5	Chr10: 128.350646	8.763	0.924
Slc6a20a	solute carrier family 6, member 20A	Chr9: 123.545240	8.364	0.916
Trf	transferrin	Chr9: 103.106331	10.660	0.891
Slc26a7	solute carrier family 26, member 7	Chr4: 14.429577	7.979	0.891
Car12	carbonic anhydrase 12	Chr9: 66.561493	7.904	0.890
Cistn1	calsynenin 1	Chr4: 148.960577	12.739	-0.879
Cank2b	calcium	Chr11: 5.869645	8.982	-0.879
Pkm	pyruvate kinase, muscle	Chr9: 59.504175	13.461	-0.876
Thbs1	thrombospondin 1	Chr2: 117.937612	8.372	0.875
Abi1	c-abl oncogene 1, non-receptor tyrosine kinase	Chr2: 31.543896	8.803	-0.873
Itg8	integrin beta 8	Chr12: 120.396495	9.948	0.873
Rrh	retinal pigment epithelium derived rhodopsin homolog	Chr3: 129.507326	10.405	0.873
Tiam1	T-lymphoma invasion and metastasis-inducing	Chr16: 89.787356	9.161	-0.873
Chchd3	coiled-coil-helix-coiled-coil-helix domain containing 3	Chr8: 32.740976	10.721	0.869
Gm5567	predicted gene 5567	Chr6: 40.060252	9.840	-0.869
Hdac5	histone deacetylase 5	Chr11: 102.086139	10.804	-0.868
Cntn2	contactin 2	Chr1: 134.406002	8.840	-0.868
Olfir875	olfactory receptor 875	Chr9: 37.580222	7.601	0.866
Gm19522	predicted gene, 19522	Chr16: 42.884483	7.568	0.866
Sez6l2	seizure related 6 homolog like 2	Chr7: 134.094049	10.136	-0.863
Nrxn2	neurexin II	Chr19: 6.418731	10.141	-0.862
Olfir726	olfactory receptor 726	Chr14: 50.703389	7.145	0.862
Sv2a	synaptic vesicle glycoprotein 2 a	Chr3: 95.985074	12.282	-0.861
Tmem161b	transmembrane protein 161B	Chr13: 84.361901	10.910	0.861
Pccd5	programmed cell death 5	Chr1: 191.101187	11.261	0.860
Fap	fibroblast activation protein	Chr2: 62.339000	7.186	0.860
Cacna1g	calcium channel, voltage-dependent	Chr11: 94.269705	9.954	-0.860
Rapgef1	Rap guanine nucleotide exchange factor (GEF) 1	Chr2: 29.475240	10.495	-0.859
Nsmce2	non-SMC element 2 homolog	Chr15: 59.205753	10.909	0.859
Plxna1	plexin A1	Chr6: 89.266307	10.088	-0.858
Ube2b	ubiquitin-conjugating enzyme E2B	Chr11: 51.798648	11.282	0.858
Aacs	acetoacetyl-CoA synthetase	Chr5: 125.956184	9.520	-0.857
Acsf3	acyl-CoA synthetase family member 3	Chr8: 125.299405	9.514	-0.856
Slc17a7	solute carrier family 17, member 7	Chr7: 52.419291	13.143	-0.856
Sreb12	sterol regulatory element binding factor 2	Chr15: 81.977696	11.306	-0.855
Epb4.9	erythrocyte protein band 4.9	Chr14: 71.001070	9.983	-0.855
Vapa	vesicle-associated membrane protein, associated protein A	Chr17: 65.929392	12.315	0.855
Slc12a5	solute carrier family 12, member 5	Chr2: 164.786302	12.122	-0.854
Card9	caspase recruitment domain family, member 9	Chr2: 26.207696	7.648	-0.854
Cfh	complement component factor h	Chr1: 141.982432	8.586	0.853
Dagla	diacylglycerol lipase, alpha	Chr19: 10.319755	9.568	-0.853
Pde4dip	phosphodiesterase 4D interacting protein (myomegalin)	Chr3: 97.493751	9.553	-0.853
Ank1	ankyrin 1, erythroid	Chr8: 24.085316	9.543	-0.853
Zcchc14	zinc finger, CCHC domain containing 14	Chr8: 124.122603	10.388	-0.853
Ssr3	signal sequence receptor, gamma	Chr3: 65.186870	6.086	0.853
Mterfd1	MTERF domain containing 1	Chr13: 67.007904	9.547	0.853
Apba1	amyloid beta (A4) precursor protein binding, A, member 1	Chr19: 23.833366	10.554	-0.852
Acot13	acyl-CoA thioesterase 13	Chr13: 24.909617	10.632	0.851
Rbi1	retinoblastoma-like 1 (p107)	Chr2: 156.971629	9.071	0.851
Cpxm2	carboxypeptidase X 2 (M14 family)	Chr7: 139.234493	8.069	0.851
Ret	ret proto-oncogene	Chr6: 118.101766	10.226	-0.850
Mospd1	mobile sperm domain containing 1	ChrX: 50.698185	10.714	0.850
Lrp3	low density lipoprotein receptor-related protein 3	Chr7: 35.984852	9.102	-0.850

522
523
524
525
526
527
528
529
530
531
532
533

534
535
536

Table 2. MicroRNAs in the *Rpe65* signature.

Rpe65	Mir98	Mir449a	mir301b	mir28b
Camk2b	--	--	--	Camk2b
Atp2b2	--	--	Atp2b2	--
Cfl2	--	--	Cfl2	--
Dlc1	--	--	Dlc1	--
Elf2c1	Elf2c1	--	Elf2c1	--
Nptx1	Nptx1	Nptx1	Nptx1	--
Pitpnm2	--	--	Pitpnm2	--
Ppp6r1	--	--	Ppp6r1	--
Psap	--	--	Psap	--
Slc17a7	--	--	Slc17a7	--
Snx2	--	--	Snx2	--
Sub1	--	--	Sub1	--
Tet3	--	--	Tet3	--
Zbtb4	--	--	Zbtb4	--
Zcchc14	--	--	Zcchc14	--
2610507B11Rik	2610507B11Rik	2610507B11Rik	--	--
Abr	Abr	Abr	--	--
Ahsa2	Ahsa2	Ahsa2	--	--
Cacna2d2	Cacna2d2	Cacna2d2	--	--
Cntn2	Cntn2	Cntn2	--	--
Dcaf7	Dcaf7	Dcaf7	--	--
E2f5	E2f5	E2f5	--	--
Fbxo10	Fbxo10	Fbxo10	--	--
Mgat5b	Mgat5b	Mgat5b	--	--
Ndst1	Ndst1	Ndst1	--	--
Nrxn2	Nrxn2	Nrxn2	--	--
Pvrl1	Pvrl1	Pvrl1	--	--
Ret	Ret	Ret	--	--
Slc6a1	Slc6a1	Slc6a1	--	--
Tfdp2	Tfdp2	Tfdp2	--	--
Trim67	Trim67	Trim67	--	--
Usp31	Usp31	Usp31	--	--
Agap1	Agap1	--	--	--
Apba1	Apba1	--	--	--
Bsn	Bsn	--	--	--
Fbxl14	Fbxl14	--	--	--
Insr	Insr	--	--	--
Kcnc1	Kcnc1	--	--	--
Nsmce2	Nsmce2	--	--	--
Slc7a14	Slc7a14	--	--	--
Srebf2	Srebf2	--	--	--
Syt7	Syt7	--	--	--
Thbs1	Thbs1	--	--	--

537
538
539
540
541
542

Table 3. Top 20 correlates of *Robo2*.

Symbol	Description	Location (Chr: Mb)	Mean Expr	Sample r
Robo2	roundabout homolog 2 (Drosophila)	Chr16: 73.892551	10.72	1.00
Cask	calcium	ChrX: 13.094206	10.91	0.94
Ncam2	neural cell adhesion molecule 2	Chr16: 81.200942	9.95	0.93
Gria3	glutamate receptor, ionotropic, AMPA3	ChrX: 38.754305	10.25	0.92
Lphn3	latrophilin 3	Chr5: 81.450227	10.25	0.92
Cnksr2	connector enhancer of kinase suppressor of Ras 2	ChrX: 154.259368	10.37	0.92
Cistn2	calsyntenin 2	Chr9: 97.344814	10.00	0.92
Gnaq	guanine nucleotide binding protein	Chr19: 16.207321	10.63	0.92
Dnajc6	DnaJ (Hsp40) homolog, subfamily C, member 6	Chr4: 101.169253	11.97	0.92
Slc8a1	solute carrier family 8 (sodium)	Chr17: 81.772445	9.45	0.91
Dpysl2	dihydropyrimidinase-like 2	Chr14: 67.421701	13.25	0.91
Plch1	phospholipase C, eta 1	Chr3: 63.500156	10.96	0.91
Gria1	glutamate receptor, ionotropic, AMPA1 (alpha 1)	Chr11: 56.824889	9.77	0.91
Fam165b	family with sequence similarity 165, member B	Chr16: 92.301531	10.04	-0.91
Ncam1	neural cell adhesion molecule 1	Chr9: 49.310243	12.95	0.90
B4gal6	UDP-Gal:betaGlcNAc beta 1,4-galactosyltransferase	Chr18: 20.843100	10.76	0.90
Odz1	odd Oz	ChrX: 40.677132	8.81	0.90
Sort1	sortilin 1	Chr3: 108.087009	11.52	0.90
Ubqln2	ubiquilin 2	ChrX: 149.932775	11.29	0.90
Mttn9	myotubularin related protein 9	Chr14: 64.142447	11.71	0.90
Gabbr2	gamma-aminobutyric acid (GABA) B receptor, 2	Chr4: 46.675190	11.04	0.90

543
544
545

1 Upregulation of SOX11 in Retinal Ganglion Cells Following
2 Injury

3
4
5
6
7 Felix L. Struebing¹, Steven G. Hart^{2,3}, Joseph M. Caron^{2,4}, XiangDi Wang² and
8 Eldon E. Geisert¹

9
10 ¹Department of Ophthalmology, Emory University 1365B Clifton Road NE Atlanta
11 GA, 30322, ²Department of Ophthalmology, University of Tennessee Health
12 Science Center, 930 Madison Ave. Memphis, TN 38163
13
14

15
16 Corresponding Author:
17 Eldon E. Geisert
18 Professor of Ophthalmology
19 Emory University
20 1365B Clifton Road NE
21 Atlanta GA 30322
22 email: egeiser@emory.edu
23 Phone: 404-778-4239
24
25
26
27
28
29
30
31
32
33

34 ³Current address:
35
36

37 ⁴Current address:
38
39
40
41
42
43
44
45

46 **Abstract**

47

48 **Purpose:** The present study was designed to identify early changes associated
49 with injury of retinal ganglion cells.

50

51 **Methods:** The normal retina database and optic nerve crush (ONC) database on
52 GeneNetwork (www.genenetwork.org) were used to identify markers of retinal
53 injury. One gene, *Sox11*, was examined further using two neuronal injury
54 paradigms, ONC and blast injury to the eye of C57BL/6 mice. The distribution of
55 SOX11 was determined using indirect immunohistochemical methods and the
56 levels of SOX11 protein expression were defined by semi-quantitative
57 immunoblot methods. *In situ* hybridization was performed using the Affymetrix 2-
58 plex Quantigene View RNA In Situ Hybridization Tissue Assay System.

59

60 **Results:** SOX11 was dramatically upregulated in the retina following ONC and
61 blast injury. The level of *Sox11* message increased by approximately 8-fold 2
62 days after ONC. In the normal retina, there was only light immunostaining for
63 SOX11 in retinal ganglion cells and cells in the inner nuclear layer. After ONC or
64 blast injury, the staining intensity increased dramatically in both layers. *In situ*
65 hybridization demonstrated a similar distribution of message for *Sox11* in the
66 normal retina as well as a profound increase in *Sox11* message within the
67 ganglion cells following ONC.

68

69 **Conclusion:** Taken together, these data indicate that *Sox11* may be involved in
70 the initial response of the retina to injury, playing a role in the early attempts of
71 the ganglion cells to survive.

72

73

74

75 **Introduction:**

76

77 Advances in our ability to monitor molecular changes in neurons have led to an
78 increased understanding of the events that transpire following neuronal injury [1-
79 5]. After axonal damage, the initial response of a central nervous system (CNS)
80 neuron may be similar to that of a neuron in the peripheral nervous system (PNS)
81 [6]. Ultimately, neurons in the PNS will regenerate their axons and survive, while
82 those in the CNS do not regenerate their axons and the cell bodies die. The initial
83 response of the CNS neurons to regenerate and eventual failure of this
84 regenerative response was described by Ramón y Cajal and termed “abortive
85 regeneration” [7]. When examining the initial response of neurons to injury, there
86 appear to be some common responses in the CNS and PNS. One transcription
87 factor activated in both the CNS and PNS after injury is *Sox11* [8]. There is
88 strong evidence that this gene is part of the transcriptional network activated by
89 injury and involved in axonal regeneration in the PNS [9, 10].

90

91 *Sox11* is a member of the SRY-related box group C (*SoxC*) gene family of
92 transcription factors [11, 12]. SOX11 along with SOX4 play a critical role in the
93 normal development of neurons and specifically retinal ganglion cells [13-15].
94 SOX11 is expressed in retinal progenitor cells as part of the process leading
95 progenitor cells to become neuroblasts [16, 17]. In knock-downs of either *Sox11*
96 or *Sox4*, there is a moderate reduction in retinal ganglion cell number; however
97 when both *Sox11* and *Sox4* are knocked-down, there is a complete loss of
98 ganglion cell development [13]. During eye development, *Sox11* is also required
99 to maintain proper levels of *hedgehog* signaling, and mutations have been
100 associated with coloboma due to improper optic fissure closure [18, 19].
101 Furthermore, SOX11 is critical for axonal growth, driving the expression of axon
102 growth-related proteins such as class III beta tubulin and MAP2 [20]. SOX11 also
103 plays a similar role in adult neurogenesis. High levels of SOX11 are found in the
104 cells within the subventricular zone, the rostral migratory stream and within the

105 neuroprogenitor zone of the dentate gyrus [15, 16, 21]. These studies underline
106 the importance of SOX11 in terminal differentiation of progenitor cells to neurons
107 and axon extension.

108

109 In addition to functioning in neuronal differentiation, SOX11 has a prominent role
110 in the response of neurons to injury. After peripheral nerve injury, SOX11 is
111 immediately upregulated in the neuronal cell bodies as the axon is regenerating
112 [9, 22]. Decreasing levels of SOX11 in the neuronal cell body results in slower
113 axonal regeneration of peripheral nerves [9]. Similar results are observed in
114 tissue culture. When *Sox11* is knocked down in cultured peripheral neurons,
115 there is also a reduction in neurite growth and an increase in apoptosis [23].
116 Conversely, overexpressing *Sox11* in cultured dorsal root ganglion cells
117 produces an increase in neurite growth, and *in vivo* overexpression of *Sox11*
118 accelerates the growth of regenerating axons [10]. One intriguing anatomical
119 experimental model is the dorsal root ganglion, where the central projection of
120 the dorsal root ganglion enters the spinal cord (CNS) and the peripheral
121 projection extends out into a peripheral nerve that is myelinated by Schwann
122 cells. When the central rootlet is severed, there is a modest (51%) increase in
123 *Sox11* expression in the ganglion even when the central portion will not
124 regenerate back into the spinal cord. However, when the peripheral root is
125 damaged, a relatively massive (1004%) increase in *Sox11* is seen as the axons
126 regenerate down the peripheral nerve.

127 In the present study, we examine the role of SOX11 in the retina following
128 injuries to the axons of the optic nerve. We propose that the upregulation of
129 SOX11 after injury is an attempt of neurons to regenerate, but ultimately results
130 in abortive regeneration and cell death.

131

132 **Materials and Methods**

133

134 **Optic Nerve Crush.** The Optic Nerve Crush procedure was performed as
135 previously described in Templeton et al [24]. Briefly, C57BL/6 (n = 5) mice were
136 deeply anesthetized with 13 mg/kg Rompum and 87 mg/kg Ketalar for the
137 surgery. An incision was made into the lateral aspect of the conjunctiva, and the
138 eye was rotated nasally to expose the optic nerve. The optic nerve was then
139 grasped for 10 seconds with Dumont cross-clamp #7 forceps (Roboz, cat.
140 #RS=5027, Gaithersburg, MD), using only the spring action of the instrument to
141 crush the nerve. The instrument was then removed and the eye was allowed to
142 rotate back into place. The animals were allowed to recuperate from surgery on a
143 water-heated warming pad. The Institutional Animal Care and Use Committee
144 approved all procedures for the surgery and handling of mice (at the University of
145 Tennessee Health Science Center and Emory University).

146

147 **Blast Injury to the Eye.** Blast injuries to the eye were produced as previously
148 reported in Hines-Beard et al [25]. Briefly, the mice were deeply anesthetized and
149 secured in a PVC pipe. A 50psi overpressure wave was delivered selectively to
150 the right eye of C57BL/6 mice (n = 5). The output pressure of the blast apparatus
151 was measured immediately before and after the procedure, ensuring an accurate
152 and precise injury. The animals were sacrificed 5 days after the blast injury. The
153 Institutional Animal Care and Use Committee approved all procedures for the
154 surgery and handling of mice (at the University of Tennessee Health Science
155 Center and Emory University).

156

157 **Immunoblot Analysis.** Protein samples from 12 retinas were examined to
158 determine the relative levels of SOX11. Animals were anesthetized with a
159 mixture of xylazine (13 mg/kg, Rompum) and ketamine (87 mg/kg, Ketalar) and
160 following a cervical dislocation the retinas were removed. The dissected retinas
161 were placed in 1X reducing sample buffer (2% SDS and 10% glycerol in 0.05 M
162 Tris-HCl buffer, pH 6.8). Equal amounts of protein were loaded onto each lane of

163 an SDS PAGE gel. The balance of the proteins was determined by coomassie
164 blue staining and subsequent quantification of total protein in each lane. To
165 analyze the concentrations of SOX11 in the retina, the proteins were transferred
166 to PVD membranes. The blots were blocked with 2% non-fat dry milk in
167 phosphate buffer (pH 7.4) and probed overnight with the rabbit anti- SOX11
168 primary antibody (Santa Cruz Biotechnology, Inc. California). We then rinsed the
169 blots and probed them with the HRP-labeled donkey anti-rabbit secondary
170 antibody (Jackson ImmunoResearch Laboratories, Inc., West Grove,
171 Pennsylvania). The blots were rinsed with 0.5 M Tris buffer (pH 7.4) and reacted
172 with 0.05% DAB and hydrogen peroxide. The blots were washed and visualized
173 using an ECL detection kit (Thermo Fisher Scientific Inc. Rockford, Illinois) and
174 Kodak 4000 MM image station. To define the amount of protein loaded onto each
175 lane the blot was stripped and restained for actin using a mouse anti-B-Actin
176 antibody (A5441, Sigma Aldrich, St Louis MO) followed by an HRP-labeled
177 Donkey anti-mouse secondary antibody (Jackson ImmunoResearch
178 Laboratories, Inc., West Grove, Pennsylvania). The blots were then rescanned.

179

180 **Immunohistochemistry.** For the immunohistochemical analysis of the
181 distribution of SOX11, ten C57BL/6 mice (2 control mice and 2 mice of each
182 group at both 2 and 5 days postoperatively) were used. The mice were deeply
183 anesthetized with 2,2,2-tribromoethanol and then perfused transcardially with
184 saline followed by 4% paraformaldehyde using a peristaltic pump (Cole Parmer
185 Instruments, Chicago, IL). After an hour of post-fixation in paraformaldehyde at
186 room temperature, retinas were rinsed in 0.1M phosphate-buffered saline (PBS),
187 embedded in 4% low-melt agarose, and cut on a vibratome into 50 μ m thick
188 slices. Sections were then blocked in 4% bovine serum albumin (Sigma-Aldrich,
189 St. Louis, MO) in PBS with 0.1% Triton X-100 (Sigma) on a rotating platform for 1
190 hour, washed 3 times and incubated with primary antibody at 4°C overnight. The
191 next day, sections were rinsed again and then incubated in Alexa Fluor-
192 conjugated secondary antibody (Life Technologies). After that, sections were
193 rinsed in PBS, mounted with Fluoromount (Southern Biotech, Birmingham, AL)

194 and coverslipped. The following primary antibodies and dilutions were used: anti-
195 SOX11 (US Biological S5364-40C, 1:500), anti-TUJ1 (Tuj1, a gift from Anthony
196 Franfurter [26]), and anti-PKC alpha (abcam ab32376, 1:250). The following anti-
197 SOX11 antibodies were tried for Immunohistochemistry but did not work: Santa
198 Cruz sc-20096, abcam ab170916, biorbyt 101332, and LifeSpan Biosciences LS-
199 C10306.

200

201 **Confocal Microscopy.** The sections were scanned and 10 μm thick z-stacks
202 were acquired on a Eclipse Ti confocal microscope (Nikon Inc., Melville, NY)
203 equipped with Nikon C1 software. Scans were taken using a 40x Plan Fluor
204 40x/1.30/0.20 Oil objective. Laser power and gain were kept constant for all
205 pictures. Images were loaded into Fiji [27] and average intensity projections were
206 created. The final composition of the images was made using Photoshop CS 6
207 (Adobe Systems Inc., San Jose, CA).

208

209

210 ***In Situ* Hybridization.** *In situ* hybridization was performed using the 2-plex
211 Quantigene View RNA ISH Tissue Assay kit (Affymetrix, Inc., Santa Clara,
212 California). Assays were performed as per the manufacturer's instructions with
213 stock solutions. Eyes were isolated from C57BL/6 mice (n = 6), both control and
214 two days after optic nerve crush, and drop-fixed in 4% Paraformaldehyde for 24
215 hours. Mid-way through the 24-hour fixation, a 26-gauge needle was used to
216 create a hole to the vitreous humor, assisting with the fixation. Immediately after
217 the 24-hour period, the eyes were serially dehydrated in ethanol and embedded
218 in paraffin. Blocks were sectioned on an American Optical series 1000 microtome
219 (American Optical Co. Buffalo, New York) to a thickness of 5 μm and mounted on
220 Surgipath X-tra micro slides (Leica Biosystems Richmond Inc., Richmond,
221 Illinois). Before beginning the hybridization protocol, the slides were baked at 60^o
222 C for 30 minutes to increase tissue adhesion. As per the manufacturer's protocol,
223 the paraffin was removed from the slides with xylene before being boiled in a
224 pretreatment solution (Affymetrix) for 10 minutes and incubated with Protein

225 Kinase K [Affymetrix Santa Clara, CA] at 40° C for 10 minutes. Custom probes for
226 *Sox11* and *Chrna6* [Affymetrix Santa Clara, CA] were then hybridized to the
227 tissue. Signal amplification was accomplished by hybridizing Type 1 and Type 2
228 specific pre-amp oligonucleotides, amp oligonucleotides and label
229 oligonucleotides sequentially, achieving a 400-fold signal amplification from each
230 mRNA molecule. Sections were viewed with an Olympus BX51 microscope
231 (Olympus America Inc., Melville, New York).

232

233 **Results**

234 We first compared *Sox11* mRNA levels in normal mice to *Sox11* levels following
235 ONC using the bioinformatic tools on GeneNetwork (genenetwork.org) and two
236 preexisting databases generated by us: Normal HEI Retina (April 2010) and ONC
237 HEI Retina (April. 2012) [4, 28]. *Sox11* was one of the genes with the largest
238 change in expression two days after optic nerve crush. In the normal retinal
239 dataset, the mean expression for *Sox11* (detected by Illumina probe
240 ILMN_1235647) across the BXD RI strains was 8.4 on a $2 Z + 8 \text{ Log}_2$ scale (this
241 is just above the mean detection level of mRNA on the array, which is set to 8).
242 In the C57BL/6 parental strain, the expression level in the normal retina was 8.59
243 and for the DBA/2J strain the mean expression level was 8.54. Two days after
244 ONC, there was a dramatic increase in the level of *Sox11* expression (Figure 1),
245 with the mean expression across the BXD strains being 11.03, which
246 corresponded to an approximately 8-fold increase. The same increase was
247 observed in individual strains. The C57BL/6 strain had an expression level of
248 11.33 after ONC and the expression in the DBA/2J strain increased to 11.44.
249 These data indicate that *Sox11* is dramatically upregulated after a specific injury
250 to the ganglion cell axons within the optic nerve.

251

252 *In situ* hybridization was used to identify the cells expressing *Sox11* after injury to
253 the retina. For the *in situ* hybridization, we examined retinas two days after optic
254 nerve crush (Fig. 2B) and compared it to uninjured control retinas (Fig. 2A).
255 Using the Affymetrix 2-plex Quantigene View RNA ISH Tissue Assay kit, we
256 labeled cells expressing the retinal ganglion cell marker *Chrna6* blue and *Sox11*
257 red (Fig. 2) [3]. In the control retina, many of the cells in the ganglion cell layer
258 were heavily labeled for *Chrna6*, and a few of the cells expressed low levels of
259 *Sox11*. Two days after optic nerve crush there was a dramatic decrease in the
260 labeling for *Chrna6* and a substantial increase in the amount of labeling for
261 *Sox11*. This was similar to the changes in message levels observed in our
262 microarray databases on GeneNetwork.org. In the normal retina database,
263 *Chrna6* (probe ILMN_2732438) was expressed at relatively high levels (mean

264 value of 11.04) and two days following optic nerve crush, the expression
265 decreased two-fold (mean value of 9.96). These data from the *in situ*
266 hybridization mirror our microarray expression analysis results.

267

268 Indirect immunohistochemistry was used to define the distribution of SOX11
269 protein in the retina. To identify the cell types expressing SOX11 after retinal
270 injury, we stained the retina for SOX11 and for class III beta tubulin, a known
271 ganglion cell marker [26]. In these double-labeled sections, staining for SOX11
272 was colocalized with class III beta tubulin in retinal ganglion cells (Fig. 3),
273 indicating that they were also positive for SOX11. Both markers labeled the same
274 population of cells in the ganglion cell layer and the labeling extended out into
275 dendritic processes. The staining pattern also indicated that SOX11 was present
276 in the cytoplasm and the nucleus. Even though nuclear labeling was light in
277 comparison, there were many cases where a negative spot (presumably the
278 nucleolus) could be observed. These data demonstrate that SOX11 is present in
279 injured retinal ganglion cells.

280

281 Using immunohistochemistry, we also examined the change in expression of
282 SOX11 following optic nerve crush (Fig 4B and 4C) and a blast injury to the eye
283 (Fig. 4E and 4F). In both cases, there was a noticeable increase in ganglion cell
284 staining relative to that observed in the uninjured control section (Fig. 4A). The
285 staining was primarily cytosolic and extended out into the dendritic processes of
286 the cells. In the normal retina, we could identify light labeling of cells within the
287 inner nuclear layer. The intensity of labeling within the retinal ganglion cells
288 increased dramatically in the injured retinas (Fig. 4B, 4C, 4E and 4F), and there
289 was also an increase in staining of cells within the inner nuclear layer, which
290 colocalized partly to bipolar cells (Fig. 5).

291

292 The upregulation of SOX11 following ONC was confirmed with Immunoblotting.
293 The intensity of the SOX11 band from retinas after nerve crush (Fig. 6, lanes A
294 and C) is higher than in the control retina samples (Fig. 6 lanes B and D). Thus,

295 there is a dramatic increase in the expression of both *Sox11* mRNA and SOX11
296 protein following retinal injury.
297

298 **Discussion**

299 In the present study, we demonstrate that SOX11 is upregulated following retinal
300 injury. For retinal ganglion cells, there are many known markers, such as:
301 *Chrna6*, *Pou4f1*, *Tubb3*, *Thy1*, and *Sncg* [3, 13, 29-33]. Using these marker
302 genes, we performed a meta-analysis of their expression in injured and uninjured
303 retinas (data not shown). Our injury paradigms included controlled optic nerve
304 crush and selective blast injury to the eye, procedures that are known to induce
305 retinal ganglion cell apoptosis [24, 25]. As expected, the expression of these
306 retinal ganglion cell markers decreases as the ganglion cells die. Our data
307 indicate an overall reduction in expression of these markers, both 2 and 5 days
308 after retinal insult [34]. Specifically, a 3-fold reduction in *Sncg* expression is
309 observed, *Chrna6* and *Pou4f1* are down regulated 2-fold, and, while not as
310 robust, *Thy1* and *Tubb3* also show a decrease in expression. Collectively, these
311 data demonstrate that known retinal ganglion cell markers decrease as the optic
312 nerve degenerates.

313

314 Unlike these markers for retinal ganglion cells, *Sox11* levels increase
315 substantially following injury to the retina and optic nerve. Two days after ONC,
316 there was an almost 8-fold upregulation of *Sox11*. We wondered if this increase
317 in expression was specific to the severity of retinal injury, and queried a publicly
318 available microarray dataset of glaucomatous mice presented by Howell and
319 colleagues as an independent test on the role of *Sox11* in the response of the
320 retina to injury [1]. In this DBA/2J mouse model of pigment dispersion glaucoma,
321 there were relatively low levels of *Sox11* in the control mice and in mice that did
322 not have detectable levels of ganglion cell loss (Fig. 7). However, in mice with
323 moderate ganglion cell loss, levels of *Sox11* were approximately four-fold higher
324 than in control animals. In animals with severe glaucoma, the level of *Sox11*
325 decreased to near control levels. These data suggest that the levels of *Sox11*
326 decrease as the ganglion cells die. This transient upregulation of *Sox11* in
327 moderate cases demonstrates the exquisite ability of *Sox11* to mark injured,

328 potentially dying neurons. As such, our data indicate that *Sox11* is a novel
329 marker for injured neurons, specifically retinal ganglion cells.

330

331 What is the potential role of SOX11 following injury to the axons of the retinal
332 ganglion cell? One hint comes from studies on the response of dorsal root
333 ganglion neurons to peripheral nerve injury. *Sox11* is dramatically upregulated in
334 the dorsal root ganglion following injury to the peripheral nerve and plays a
335 pivotal role in axonal regeneration [9]. Three days after the transection of the
336 sciatic nerve in the rat, there is a 1004% increase in *Sox11* in the dorsal root
337 ganglion and these levels remain elevated for at least the next 4 days [9]. By 4
338 weeks after transection, *Sox11* levels have returned to baseline. This
339 upregulation and sustained expression of *Sox11* is critical to the survival of the
340 dorsal root ganglion neurons and the regeneration of peripheral axons along the
341 injured nerve. When the levels of *Sox11* were knocked down by delivery of
342 siRNA, there was an increase in apoptosis in cultured neurons as well as a
343 decrease in neurite outgrowth in culture [23]. A similar decrease in axonal
344 regeneration occurred *in vivo* after *Sox11* knockdown in the dorsal root ganglion
345 [9]. Thus, the upregulation of *Sox11* appears to be necessary for the normal
346 regeneration of axons in the peripheral nerve. Interestingly, *Sox11* was also
347 upregulated when the central projection of the dorsal root was severed; however,
348 the degree of upregulation was lower, only 51% [9, 23]. Just like the neurons in
349 the retina, the dorsal root ganglia did not regenerate their central projections into
350 the adjacent spinal cord [35, 36]. This begs the question, why is *Sox11*
351 upregulated in neurons of the retina and the dorsal root ganglion, when the
352 axons will not successfully regenerate? The most parsimonious explanation is
353 that these neurons are attempting to survive using the same program that is
354 successful in peripheral nerve injury; however, other influences derail the
355 regenerative program causing the cell to abort the regenerative process and, in
356 many cases, cause subsequent neuronal death [37-40]. Recent evidence
357 indicates that these inhibitory influences can at least be partially averted by
358 stimulating the ganglion cells to regrow their axons [41-47]. Given that

359 upregulation of *Sox11* is among the initial responses of the neuron to injury and
360 that this response is intact in retinal ganglion cells, it may be possible to identify
361 where the transcriptional cascade leading to axon regeneration and cell survival
362 in the CNS differs from that in peripheral nerve injury.

363

364 **Acknowledgements**

365

366 This work is supported by DoD Grant W81XWH1210255 from the U.S. Army
367 Medical Research & Materiel Command and the Telemedicine and Advanced
368 Technology (to EEG), NIH Grant R01EY017841 (to EEG), Vision Core Grant
369 P030EY006360 (to P Michael Iuvone), and Unrestricted Funds from Research to
370 Prevent Blindness (to Emory University).

371

372 **References**

373

- 374 1. Howell GR, Macalinao DG, Sousa GL, Walden M, Soto I, Kneeland SC, Barbay
375 JM, King BL, Marchant JK, Hibbs M, Stevens B, Barres BA, Clark AF, Libby RT, John
376 SW. Molecular clustering identifies complement and endothelin induction as early events
377 in a mouse model of glaucoma. *J Clin Invest* 2011; 121(4):1429-44.
- 378 2. Moore DL, Goldberg JL. Multiple transcription factor families regulate axon
379 growth and regeneration. *Dev Neurobiol* 2011; 71(12):1186-211.
- 380 3. Munguba GC, Geisert EE, Williams RW, Tapia ML, Lam DK, Bhattacharya SK,
381 Lee RK. Effects of glaucoma on ChRNA6 expression in the retina. *Curr Eye Res* 2013;
382 38(1):150-7.
- 383 4. Templeton JP, Freeman NE, Nickerson JM, Jablonski MM, Rex TS, Williams
384 RW, Geisert EE. Innate immune network in the retina activated by optic nerve crush.
385 *Invest Ophthalmol Vis Sci* 2013; 54(4):2599-606.
- 386 5. Vazquez-Chona FR, Geisert EE. Networks modulating the retinal response to
387 injury: insights from microarrays, expression genetics, and bioinformatics. *Adv Exp Med*
388 *Biol* 2012; 723:649-56.
- 389 6. Carlstedt T. Nerve fibre regeneration across the peripheral-central transitional
390 zone. *J Anat* 1997; 190 (Pt 1):51-6.
- 391 7. Ramón y Cajal S, May RM. Degeneration & regeneration of the nervous system.
392 London,: Oxford university press, Humphrey Milford; 1928.
- 393 8. McCurley AT, Callard GV. Time Course Analysis of Gene Expression Patterns in
394 Zebrafish Eye During Optic Nerve Regeneration. *J Exp Neurosci* 2010; 2010(4):17-33.
- 395 9. Jankowski MP, McIlwrath SL, Jing X, Cornuet PK, Salerno KM, Koerber HR,
396 Albers KM. Sox11 transcription factor modulates peripheral nerve regeneration in adult
397 mice. *Brain Res* 2009; 1256:43-54.
- 398 10. Jing X, Wang T, Huang S, Glorioso JC, Albers KM. The transcription factor
399 Sox11 promotes nerve regeneration through activation of the regeneration-associated
400 gene *Sprr1a*. *Exp Neurol* 2012; 233(1):221-32.
- 401 11. Schepers GE, Teasdale RD, Koopman P. Twenty pairs of sox: extent, homology,
402 and nomenclature of the mouse and human sox transcription factor gene families. *Dev*
403 *Cell* 2002; 3(2):167-70.
- 404 12. Pillai-Kastoori L, Wen W, Morris AC. Keeping an eye on SOXC proteins. *Dev*
405 *Dyn* 2015; 244(3):367-76.
- 406 13. Jiang Y, Ding Q, Xie X, Libby R, Lefebvre V, Gan L. Transcription factors SOX4
407 and SOX11 function redundantly to regulate the development of mouse retinal ganglion
408 cells. *J Biol Chem* 2013.

- 409 14. Usui A, Iwagawa T, Mochizuki Y, Iida A, Wegner M, Murakami A, Watanabe S.
410 Expression of Sox4 and Sox11 is regulated by multiple mechanisms during retinal
411 development. *FEBS Lett* 2013; 587(4):358-63.
- 412 15. Wang Y, Lin L, Lai H, Parada LF, Lei L. Transcription factor Sox11 is essential
413 for both embryonic and adult neurogenesis. *Dev Dyn* 2013; 242(6):638-53.
- 414 16. Haslinger A, Schwarz TJ, Covic M, Lie DC. Expression of Sox11 in adult
415 neurogenic niches suggests a stage-specific role in adult neurogenesis. *Eur J Neurosci*
416 2009; 29(11):2103-14.
- 417 17. Usui A, Mochizuki Y, Iida A, Miyauchi E, Satoh S, Sock E, Nakauchi H, Aburatani
418 H, Murakami A, Wegner M, Watanabe S. The early retinal progenitor-expressed gene
419 Sox11 regulates the timing of the differentiation of retinal cells. *Development* 2013;
420 140(4):740-50.
- 421 18. Pillai-Kastoori L, Wen W, Wilson SG, Strachan E, Lo-Castro A, Fichera M,
422 Musumeci SA, Lehmann OJ, Morris AC. Sox11 is required to maintain proper levels of
423 Hedgehog signaling during vertebrate ocular morphogenesis. *PLoS Genet* 2014;
424 10(7):e1004491.
- 425 19. Wen W, Pillai-Kastoori L, Wilson SG, Morris AC. Sox4 regulates choroid fissure
426 closure by limiting Hedgehog signaling during ocular morphogenesis. *Dev Biol* 2015;
427 399(1):139-53.
- 428 20. Bergsland M, Werme M, Malewicz M, Perlmann T, Muhr J. The establishment of
429 neuronal properties is controlled by Sox4 and Sox11. *Genes Dev* 2006; 20(24):3475-86.
- 430 21. Tanaka S, Kamachi Y, Tanouchi A, Hamada H, Jing N, Kondoh H. Interplay of
431 SOX and POU factors in regulation of the Nestin gene in neural primordial cells. *Mol Cell*
432 *Biol* 2004; 24(20):8834-46.
- 433 22. Tanabe K, Bonilla I, Winkles JA, Strittmatter SM. Fibroblast growth factor-
434 inducible-14 is induced in axotomized neurons and promotes neurite outgrowth. *J*
435 *Neurosci* 2003; 23(29):9675-86.
- 436 23. Jankowski MP, Cornuet PK, McIlwrath S, Koerber HR, Albers KM. SRY-box
437 containing gene 11 (Sox11) transcription factor is required for neuron survival and
438 neurite growth. *Neuroscience* 2006; 143(2):501-14.
- 439 24. Templeton JP, Geisert EE. A practical approach to optic nerve crush in the
440 mouse. *Mol Vis* 2012; 18:2147-52.
- 441 25. Hines-Beard J, Marchetta J, Gordon S, Chaum E, Geisert EE, Rex TS. A mouse
442 model of ocular blast injury that induces closed globe anterior and posterior pole
443 damage. *Exp Eye Res* 2012; 99:63-70.
- 444 26. Geisert EE, Jr., Frankfurter A. The neuronal response to injury as visualized by
445 immunostaining of class III beta-tubulin in the rat. *Neurosci Lett* 1989; 102(2-3):137-41.
- 446 27. Schindelin J, Arganda-Carreras I, Frise E, Kaynig V, Longair M, Pietzsch T,
447 Preibisch S, Rueden C, Saalfeld S, Schmid B, Tinevez JY, White DJ, Hartenstein V,
448 Eliceiri K, Tomancak P, Cardona A. Fiji: an open-source platform for biological-image
449 analysis. *Nat Methods* 2012; 9(7):676-82.
- 450 28. Freeman NE, Templeton JP, Orr WE, Lu L, Williams RW, Geisert EE. Genetic
451 networks in the mouse retina: growth associated protein 43 and phosphatase tensin
452 homolog network. *Mol Vis* 2011; 17:1355-72.
- 453 29. Barnstable CJ, Drager UC. Thy-1 antigen: a ganglion cell specific marker in
454 rodent retina. *Neuroscience* 1984; 11(4):847-55.
- 455 30. Gan L, Xiang M, Zhou L, Wagner DS, Klein WH, Nathans J. POU domain factor
456 Brn-3b is required for the development of a large set of retinal ganglion cells. *Proc Natl*
457 *Acad Sci U S A* 1996; 93(9):3920-5.
- 458 31. Mu X, Beremand PD, Zhao S, Pershad R, Sun H, Scarpa A, Liang S, Thomas
459 TL, Klein WH. Discrete gene sets depend on POU domain transcription factor

460 Brn3b/Brn-3.2/POU4f2 for their expression in the mouse embryonic retina. *Development*
461 2004; 131(6):1197-210.

462 32. Prasov L, Glaser T. Dynamic expression of ganglion cell markers in retinal
463 progenitors during the terminal cell cycle. *Mol Cell Neurosci* 2012; 50(2):160-8.

464 33. Surgucheva I, Weisman AD, Goldberg JL, Shnyra A, Surguchov A. Gamma-
465 synuclein as a marker of retinal ganglion cells. *Mol Vis* 2008; 14:1540-8.

466 34. Geisert EE, Lu L, Freeman-Anderson NE, Templeton JP, Nassr M, Wang X, Gu
467 W, Jiao Y, Williams RW. Gene expression in the mouse eye: an online resource for
468 genetics using 103 strains of mice. *Mol Vis* 2009; 15:1730-63.

469 35. Carlstedt T. Regrowth of anastomosed ventral root nerve fibers in the dorsal root
470 of rats. *Brain Res* 1983; 272(1):162-5.

471 36. Liuzzi FJ, Lasek RJ. Astrocytes block axonal regeneration in mammals by
472 activating the physiological stop pathway. *Science* 1987; 237(4815):642-5.

473 37. Geisert EE, Jr., Bidanset DJ, Del Mar N, Robson JA. Up-regulation of a keratan
474 sulfate proteoglycan following cortical injury in neonatal rats. *Int J Dev Neurosci* 1996;
475 14(3):257-67.

476 38. Harel NY, Strittmatter SM. Can regenerating axons recapitulate developmental
477 guidance during recovery from spinal cord injury? *Nat Rev Neurosci* 2006; 7(8):603-16.

478 39. Schwab ME, Bartholdi D. Degeneration and regeneration of axons in the
479 lesioned spinal cord. *Physiol Rev* 1996; 76(2):319-70.

480 40. Silver J, Miller JH. Regeneration beyond the glial scar. *Nat Rev Neurosci* 2004;
481 5(2):146-56.

482 41. de Lima S, Koriyama Y, Kurimoto T, Oliveira JT, Yin Y, Li Y, Gilbert HY, Fagiolini
483 M, Martinez AM, Benowitz L. Full-length axon regeneration in the adult mouse optic
484 nerve and partial recovery of simple visual behaviors. *Proc Natl Acad Sci U S A* 2012;
485 109(23):9149-54.

486 42. Leibinger M, Muller A, Andreadaki A, Hauk TG, Kirsch M, Fischer D.
487 Neuroprotective and axon growth-promoting effects following inflammatory stimulation
488 on mature retinal ganglion cells in mice depend on ciliary neurotrophic factor and
489 leukemia inhibitory factor. *J Neurosci* 2009; 29(45):14334-41.

490 43. Muller A, Hauk TG, Leibinger M, Marienfeld R, Fischer D. Exogenous CNTF
491 stimulates axon regeneration of retinal ganglion cells partially via endogenous CNTF.
492 *Mol Cell Neurosci* 2009; 41(2):233-46.

493 44. Park KK, Hu Y, Muhling J, Pollett MA, Dallimore EJ, Turnley AM, Cui Q, Harvey
494 AR. Cytokine-induced SOCS expression is inhibited by cAMP analogue: impact on
495 regeneration in injured retina. *Mol Cell Neurosci* 2009; 41(3):313-24.

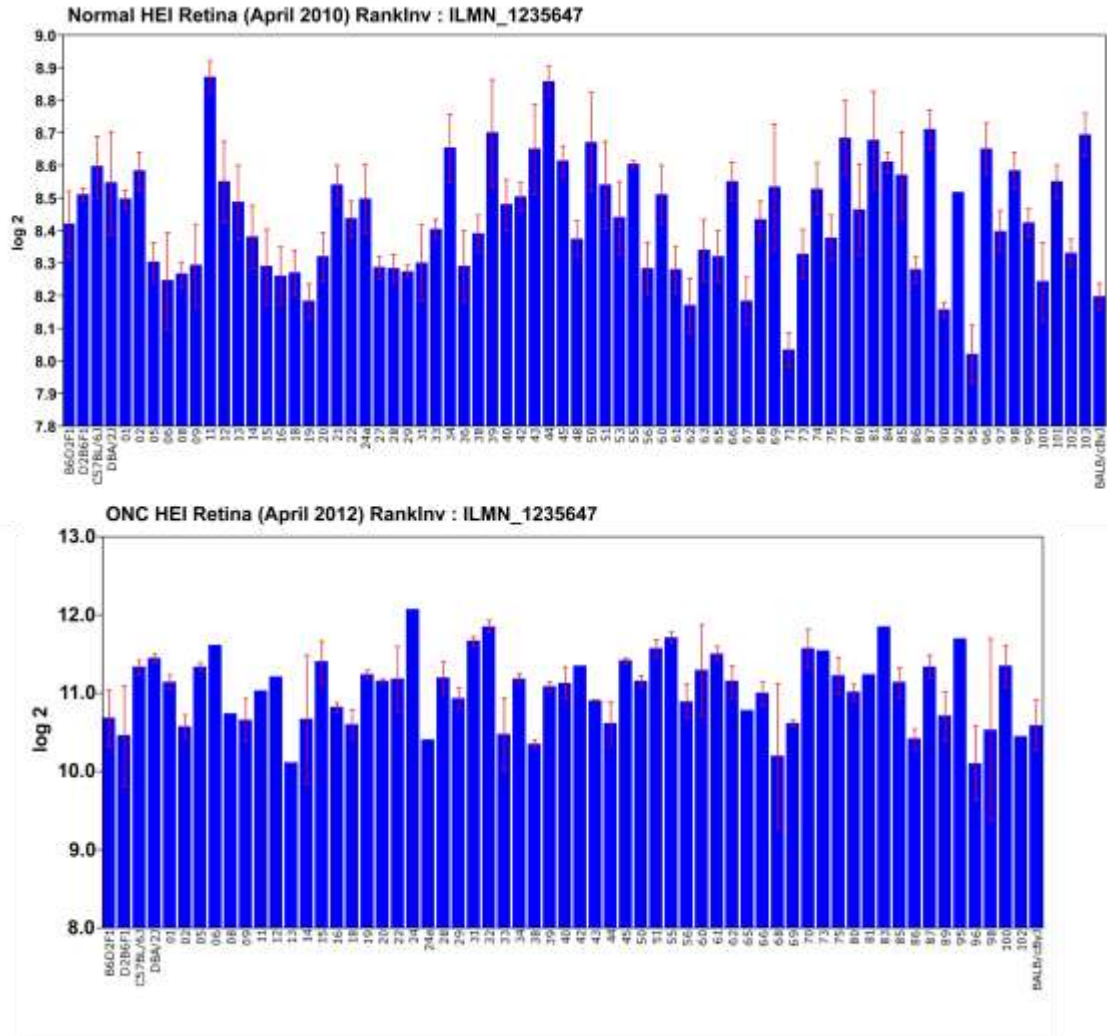
496 45. Park KK, Liu K, Hu Y, Kanter JL, He Z. PTEN/mTOR and axon regeneration. *Exp*
497 *Neurol* 2010; 223(1):45-50.

498 46. Pernet V, Joly S, Jordi N, Dalkara D, Guzik-Kornacka A, Flannery JG, Schwab
499 ME. Misguidance and modulation of axonal regeneration by Stat3 and Rho/ROCK
500 signaling in the transparent optic nerve. *Cell Death Dis* 2013; 4:e734.

501 47. Smith PD, Sun F, Park KK, Cai B, Wang C, Kuwako K, Martinez-Carrasco I,
502 Connolly L, He Z. SOCS3 deletion promotes optic nerve regeneration in vivo. *Neuron*
503 2009; 64(5):617-23.

504

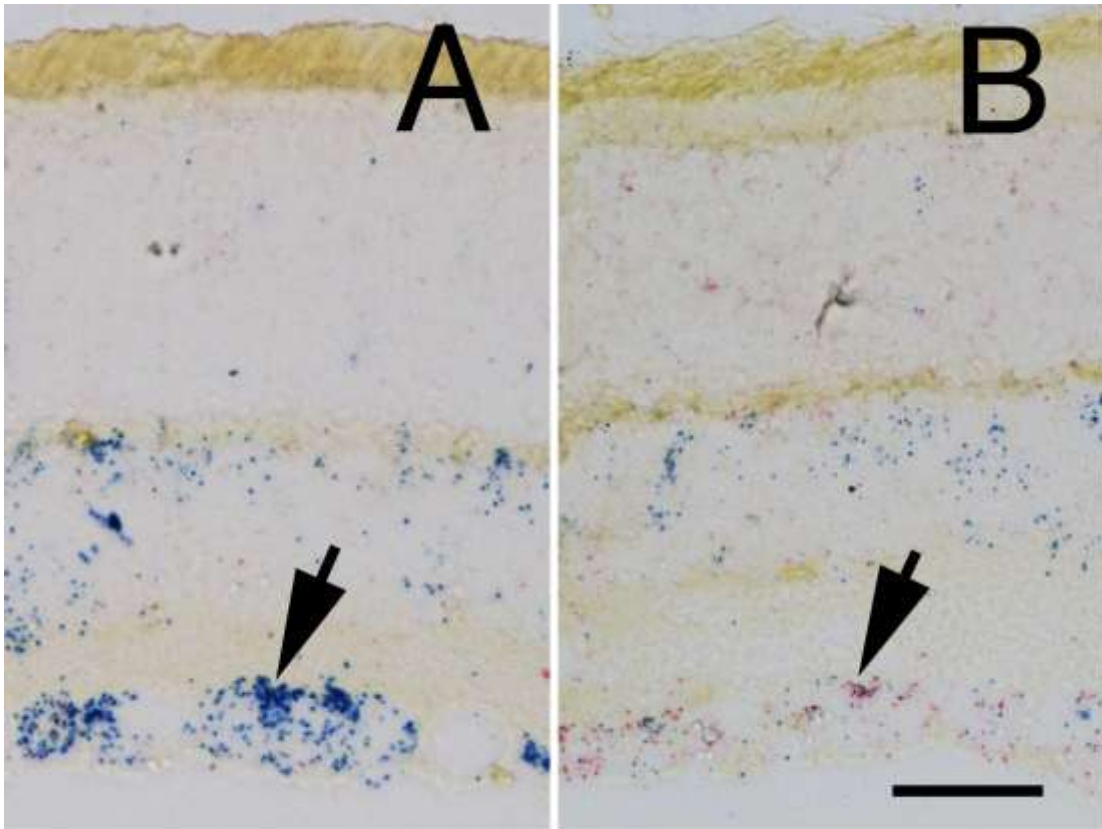
505



506

507 Figure 1. ***Sox11 Expression in the Normal Retina and After Optic Nerve***
 508 ***Crush***. The ordinate represents mRNA levels from microarrays, expressed in a
 509 log₂ scale with the mean set to 8. The mice used to generate these data were
 510 C57BL/6J, DBA/2J, their respective F1 crosses, BALB/cByJ, and members of the
 511 BXD recombinant inbred strain line. The top graph denotes the expression levels
 512 of *Sox11* under normal conditions, while the bottom graph denotes the
 513 expression of *Sox11* two days after the optic nerve crush. Note the dramatic
 514 increase in expression following injury, from a normal mean expression value of
 515 8.4 to a mean expression value of 11.0 after nerve crush.

516

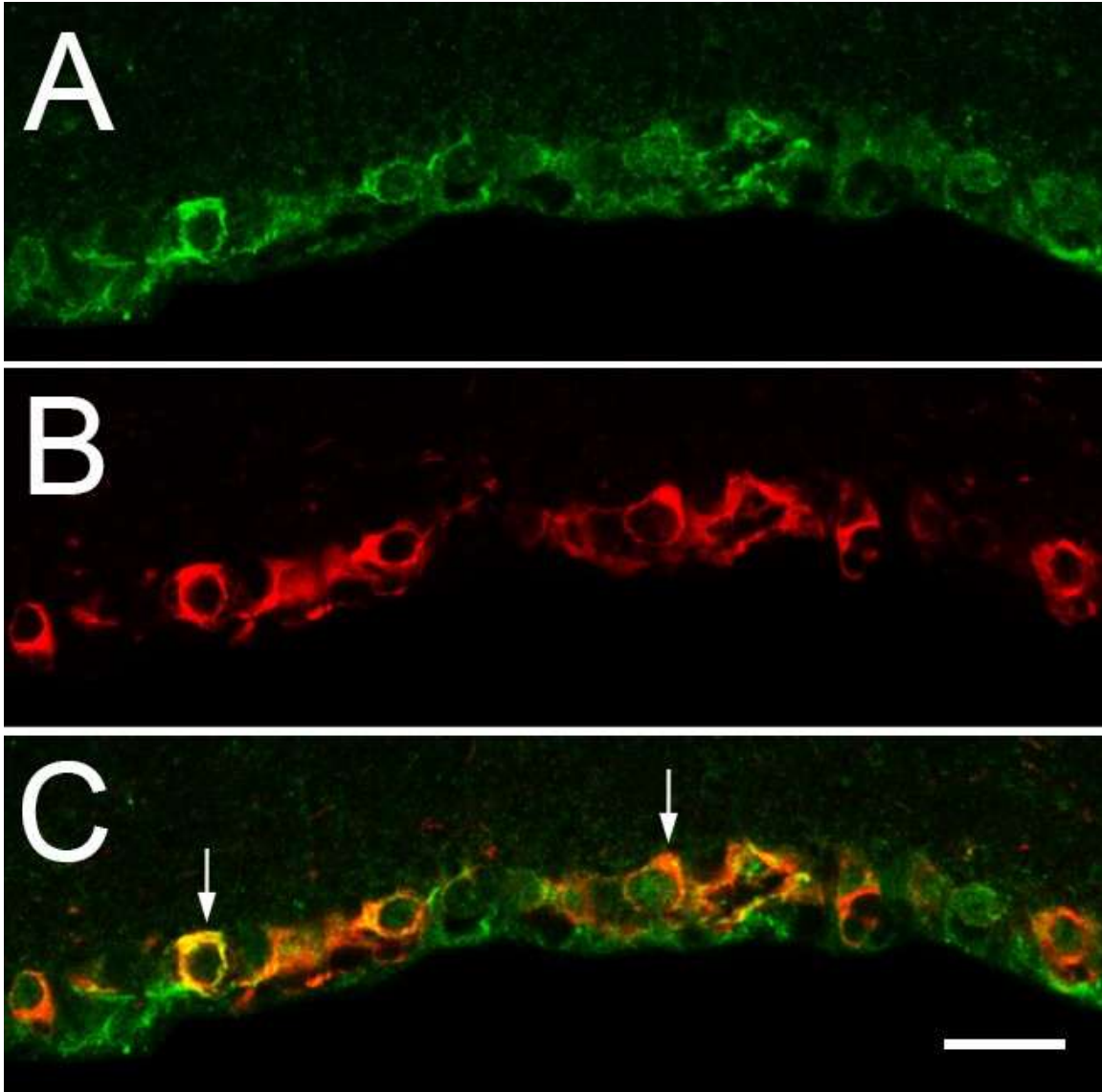


517

518

519 **Figure 2. In Situ Hybridization for Sox11 and Chrna6.** The distribution of
520 *Sox11* and *Chrna6* mRNA was defined using two-color in situ hybridization for a
521 section of control retina (A) and a section of a retina 2 days after optic nerve
522 crush (B). The probe for *Chrna6* is shown in blue and that for *Sox11* in red. Note
523 the extensive staining for *Chrna6* within the ganglion cell layer in the control
524 section (Arrow in A). Two days after optic nerve crush there is already a
525 substantial decrease in *Chrna6* expression. Very low levels of *Sox11* are seen in
526 the control retina. There is a dramatic increase in *Sox11* staining after nerve
527 crush within the retinal ganglion cells (Arrow in B). A and B are taken at the
528 same magnification and the scale bar in B = 25 μ m.

529



530

531

532 **Figure 3. *SOX11* Expression in Retinal Ganglion Cells.** A section of retina,

533 two days after optic nerve crush, was double stained for SOX11 (A) and class III

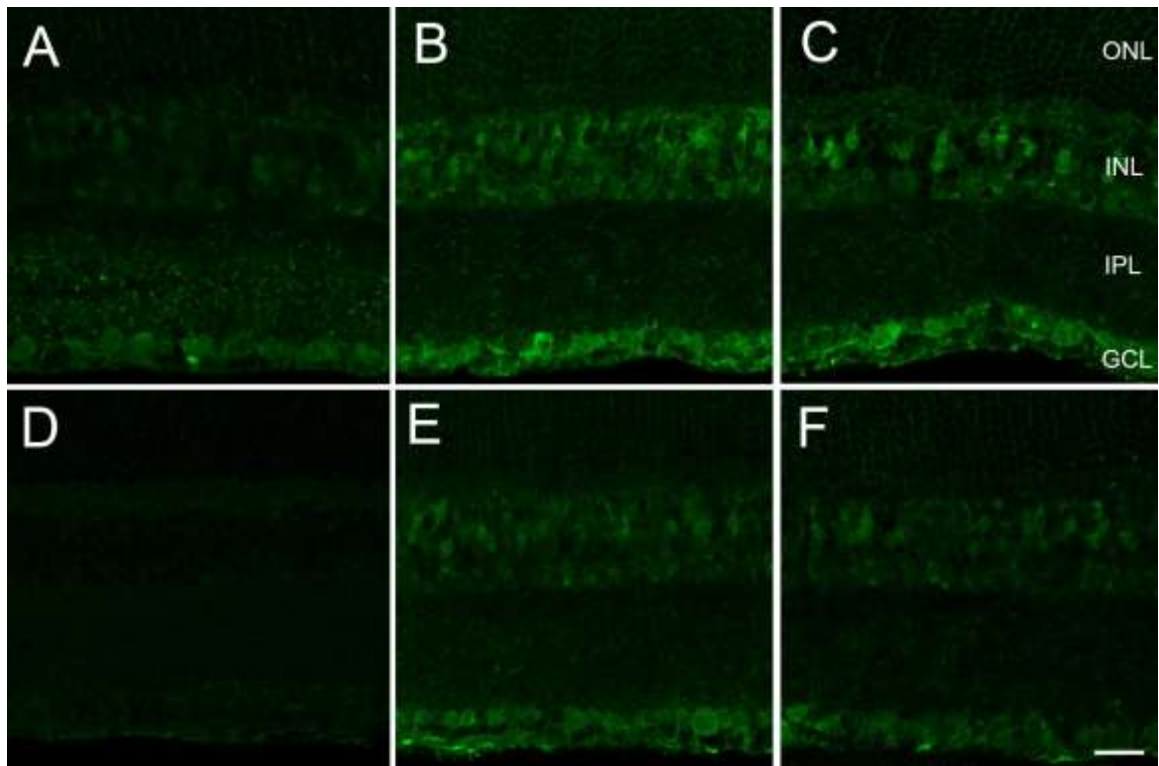
534 beta tubulin (B), using double label indirect immunohistochemistry. Class III beta

535 tubulin is a marker for retinal ganglion cells. In the merged image (C), retinal

536 ganglion cells are double labeled (arrowhead) with SOX11 and Class III beta

537 tubulin. Scale bar in C = 20 μ m.

538



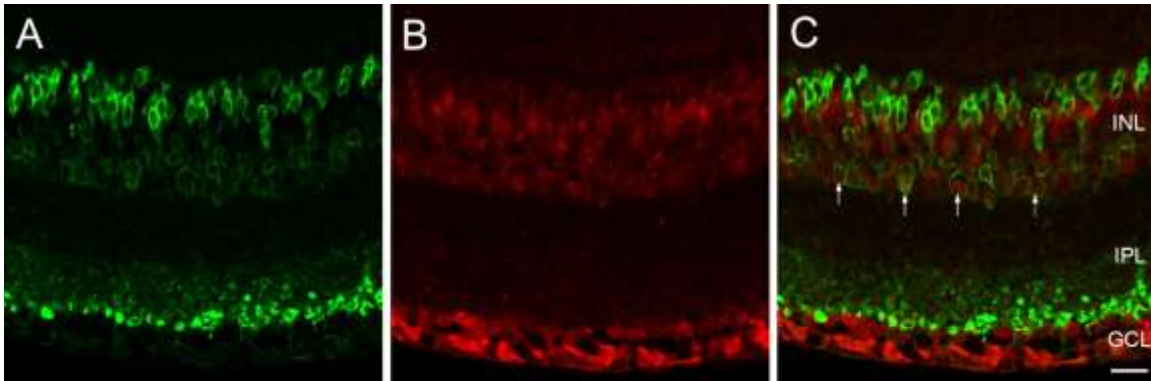
539

540

541 **Figure 4. Upregulation of SOX11 After Retinal Injury.**

542 Retinal sections were stained with an antibody directed against SOX11 in the
543 following conditions: normal retina (A), retina two (B) and five (C) days after optic
544 nerve crush, and retina two (E) and five (F) days after blast injury. The negative
545 control without primary antibody is shown in (D). After injury to the retina, there is
546 a dramatic increase in the intensity of staining within the cell bodies of retinal
547 ganglion cells, and some cells in the INL. The layers of the retina are labeled:
548 ONL (outer nuclear layer), INL (inner nuclear layer) and GCL (ganglion cell
549 layer). All photomicrographs are presented at the same magnification and the
550 scale bar in F = 20 μ m.

551



552

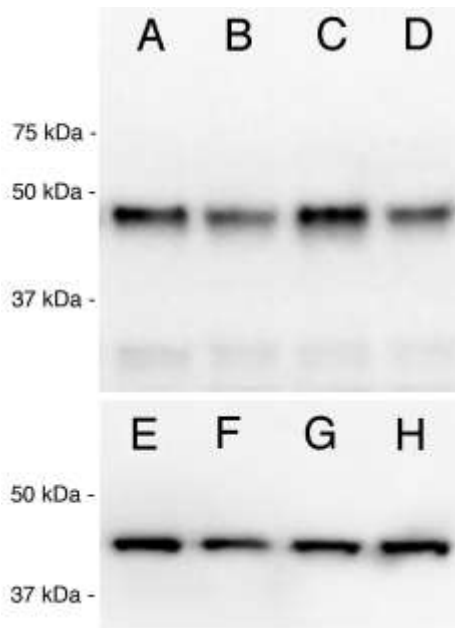
553

554 **Figure 5. Upregulation of SOX11 in the Inner Nuclear Layer Following**
555 **Injury.**

556 Retinas were stained with antibodies directed against PKC alpha to label bipolar
557 cells (A) and Sox11 (B) two days after optic nerve crush. In the merged image
558 (C), about half of the bipolar cells are double-labeled, and they are mostly
559 localized to the inner part of the INL (arrowheads).

560 The layers of the retina are labeled: ONL (outer nuclear layer), INL (inner nuclear
561 layer) and GCL (ganglion cell layer). All photomicrographs are presented at the
562 same magnification and the scale bar in C = 20 μ m.

563



564

565

566 **Figure 6. Upregulation of SOX11 protein after optic nerve crush.**

567 Immunoblots of protein samples from normal retina (B and D) and from retinas 2

568 days after optic nerve crush (A and C) were probed with antibodies directed

569 against SOX11. There was increased staining of the bands from the optic nerve

570 crush samples relative to the normal retinal samples. Loading control in E-H:

571 beta-actin.

572

