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**Atomically Precise Metal Nanoclusters: Structure, Dynamics and Catalysis**

**Rongchao Jin  
CARNEGIE MELLON UNIVERSITY**

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Final Report**

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<b>14. ABSTRACT</b> We have fulfilled the central goal of our project, which is to investigate the structure and properties of atomically precise, ligand-protected metal nanoclusters in the size range from tens to hundreds of atoms. Such new materials have found major applications in catalysis for energy conversion, including CO <sub>2</sub> reduction to fuels and water splitting to H <sub>2</sub> . The evolution of electronic and optical properties with cluster size is discovered to impact the catalytic reactivity. The correlations between the structure and catalytic reactivity have been mapped out. We have developed capabilities for controlling gold and bimetallic nanoclusters at the single-atom level (including heteroatom doping). Such capabilities are particularly important for designing atom-efficient new materials with tailored properties to meet specific energy and catalysis needs. Characterization of the atomic structures of nanoclusters enabled fundamental understanding of the mechanisms of the catalyzed reactions. Heteroatom substitution in gold nanoclusters allowed us to probe the atomic-level sensitivity of the nanocluster's electronic, optical and catalytic properties, and also to impart the nanocluster with new properties. We have gained insights into how the structure of nanocluster catalysts can be manipulated for catalytic processes. Such new insights will benefit rational design of highly efficient catalysts.					
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PI: Rongchao Jin

Contact Information: Department of Chemistry, 4400 Fifth Ave, Pittsburgh, PA 15213 (Phone: 412-268-9448 (o), Fax: 412-268-1061, Email: rongchao@andrew.cmu.edu)

Program Officer: Dr. Michael Berman

The central of our project is to investigate the structure and properties of atomically precise, ligand-protected metal nanoclusters in the size range from tens to hundreds of atoms. Such new materials hold promise in catalysis for energy conversion, and the evolution of electronic and optical properties with size fundamentally impact the catalytic reactivity of nanoclusters; thus, atomic-level control of nanoclusters is of paramount importance in both fundamental research and practical applications.

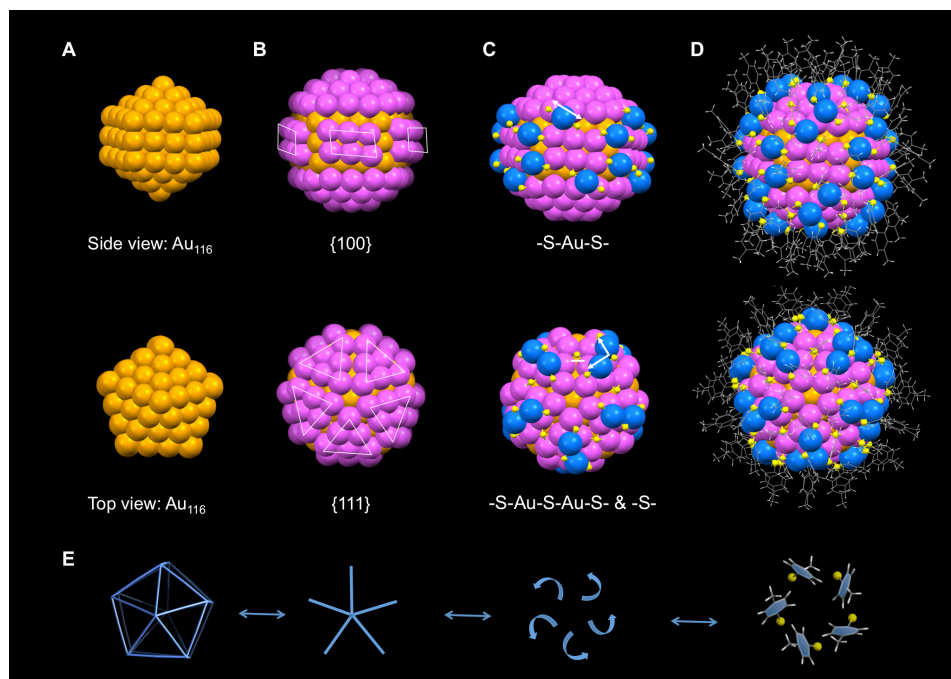
We have investigated gold nanoclusters ranging from Au<sub>10</sub> to Au<sub>333</sub> with atomic precision. We focused on the fundamental properties of such nanoclusters, including the atom-packing structures, electronic and optical properties, electrocatalytic reactivity and relationships with cluster size/structure. We have developed capabilities for controlling gold and bimetallic nanoclusters at the single-atom level (including heteroatom doping). Such capabilities are particularly important for designing atom-efficient new materials with tailored properties to meet specific energy and catalysis needs. Characterization of the atomic structures of nanoclusters enabled fundamental understanding of the mechanisms of the catalyzed reactions. Heteroatom substitution in gold nanoclusters allowed us to probe the atomic-level sensitivity of the nanocluster's electronic, optical and catalytic properties, and also to impart the nanocluster with new properties. The bimetallic nanocluster-based catalysts offer advantages resulting from the "synergy" of the base metal and a second metal. We have gained insights into how the structure of nanocluster catalysts can be manipulated for catalytic processes. Such new insights will benefit rational design of highly efficient catalysts.

Below we highlight some research achievements from the three-year project.

## **1. Nanocluster structure and ultrafast electron dynamics**

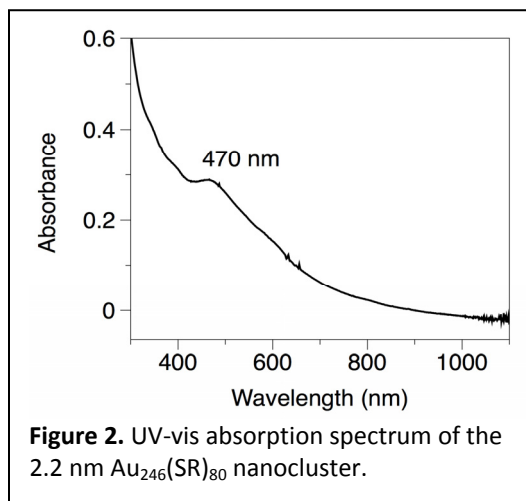
The critical size—at which the metallic state is formed in metal nanoclusters—is of fundamental interest in nanoscience research. To map out the transition, we have investigated a series of sizes of gold nanoclusters. Our recent success is the attainment of the thiolate-protected Au<sub>246</sub>(SR)<sub>80</sub> nanocluster (metal core diameter: 2.2 nm) (work reported in *Science* **2016**). The particle structure can be divided into four parts. The inner most part is a Au<sub>116</sub> decahedron exposing {111} facets at the two poles and {100} facets at the waist (Fig. 1A). The second part is a transition layer containing 90 gold atoms (Fig. 1B, magenta), which sphericizes the decahedron. These two parts together give rise to the Au<sub>206</sub> core. The third part is the Au-S interfacial layer, in which the Au<sub>206</sub> core is capped by the protecting motifs that fit the surface

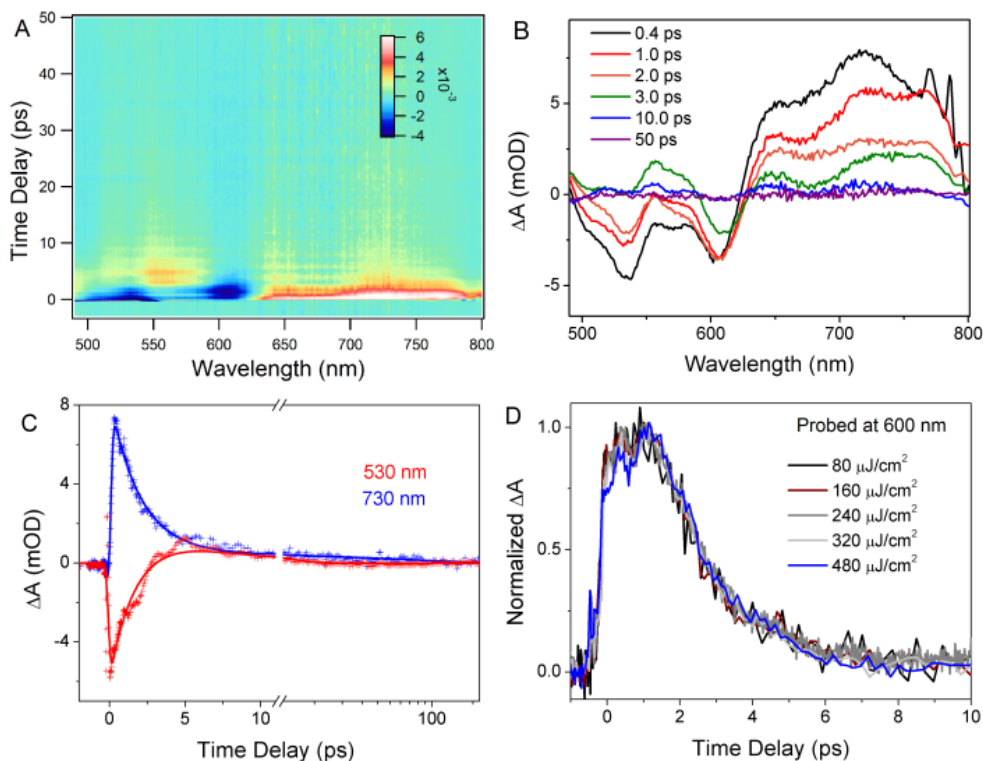
features (such as facets and grooves) of the  $\text{Au}_{206}$  core. At the two poles of the core, the  $\{111\}$  facets are protected by  $-\text{S}-\text{Au}-\text{S}-\text{Au}-\text{S}-$  motifs and the  $\{111\}|\{111\}$  grooves are linked by simple bridging thiolates (Fig. 1B-C, bottom); at the waist the  $\{100\}|\{100\}$  and  $\{111\}|\{100\}$  grooves are all covered by  $-\text{S}-\text{Au}-\text{S}-$  staple motifs (Fig. 1B-C, top). The surface carbon tails (i.e. R groups) self-organize into two different types of patterns: pentagonal circles (termed as  $\alpha$ -rotation) at the poles of the gold sphere and parallel pairs (termed as  $\beta$ -parallel) at the waist.



**Figure 1. X-ray crystallographic structure of the 2.2 nm  $\text{Au}_{246}(\text{SR})_{80}$  nanocluster.** (A)  $\text{Au}_{116}$  decahedral kernel (side and top views). (B) Transition layer comprising 90 gold atoms (magenta). (C) Gold-sulfur interfacial structure containing 20 monomeric staple motifs of  $-\text{S}-\text{Au}-\text{S}-$  (top), 10 dimeric staple motifs of  $-\text{S}-\text{Au}-\text{S}-\text{Au}-\text{S}-$ , and 10 bridging motifs of  $-\text{S}-$  (bottom). (D) Surface carbon layer and overall structure. (E) The emergence behavior in the nanocluster.

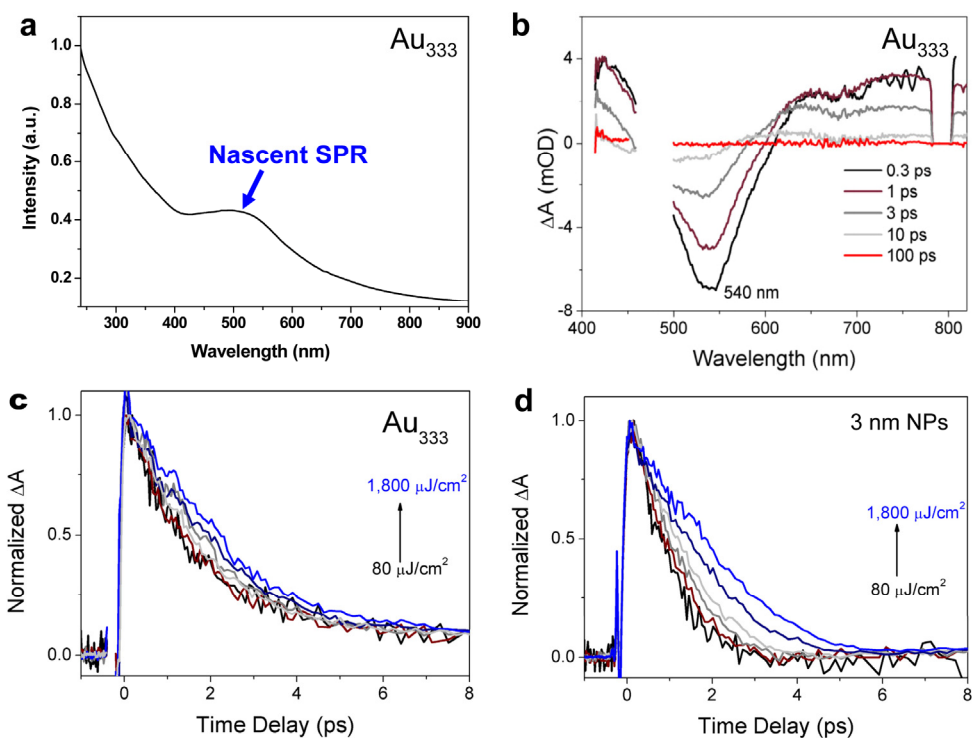
Electron dynamics measurements by femtosecond transient absorption spectroscopy indicate that the giant  $\text{Au}_{246}$  nanocluster is surprisingly non-metallic (*Angew Chem* 2017). The steady-state optical absorption spectrum of  $\text{Au}_{246}(\text{SR})_{80}$  shows a peak at 470 nm and smaller peaks at various wavelengths (Fig. 2), indicating multiple excitonic absorption peaks, in contrast to the single plasmon band at  $\sim 520$  nm for spherical nanoparticles. Transient absorption spectra of  $\text{Au}_{246}$  confirm the multiple excitonic peaks (Fig. 3B), rather than plasmonic bands, because the electron dynamics at these peak wavelengths are independent of the pump power (Fig. 3D), which are in contrast to the behavior of metallic gold nanoparticles.





**Figure 3. Ultrafast electron dynamics of Au<sub>246</sub>.** (A) Transient absorption data map between -3 ps and 50 ps with pump at 470 nm, with the red color representing excited state absorption (ESA) and the blue color representing ground state bleaching (GSB). (B) Transient absorption spectra as a function of time delay. (C) Kinetics and corresponding fits at selected wavelengths. (D) Normalized decay kinetics around 600 nm as a function of laser fluence with 470-nm pump.

In contrast to the non-plasmonic Au<sub>246</sub> nanocluster, the 2.25 nm Au<sub>279</sub> (*JACS* 2018) and 2.5 nm Au<sub>333</sub> (*Nat. Commun.* 2016) both show a single plasmon band at ~530 nm (Fig. 4a, Au<sub>333</sub> case), also confirmed by the transient absorption spectra (Fig. 4b), and the ultrafast electron dynamics starts to exhibit a power dependence (Fig. 4c) similar to conventional plasmonic NPs (Fig. 4d). These results unequivocally indicate the metallic bond starts to form in Au<sub>279</sub>, Au<sub>333</sub> and larger sizes. Taken together, our conclusion is that the metallic state is formed at 2.2 nm (between Au<sub>246</sub> and Au<sub>279</sub>); the mere 33-atom gap—over which a sharp transition occurs—is quite remarkable, which indeed goes against the theoretical prediction of a smooth transition over size (i.e., bandgap  $E_g \sim 5.5 \text{ eV}/n$ , where  $n$  is the number of gold atoms) by Kubo et al back in the 1960s.

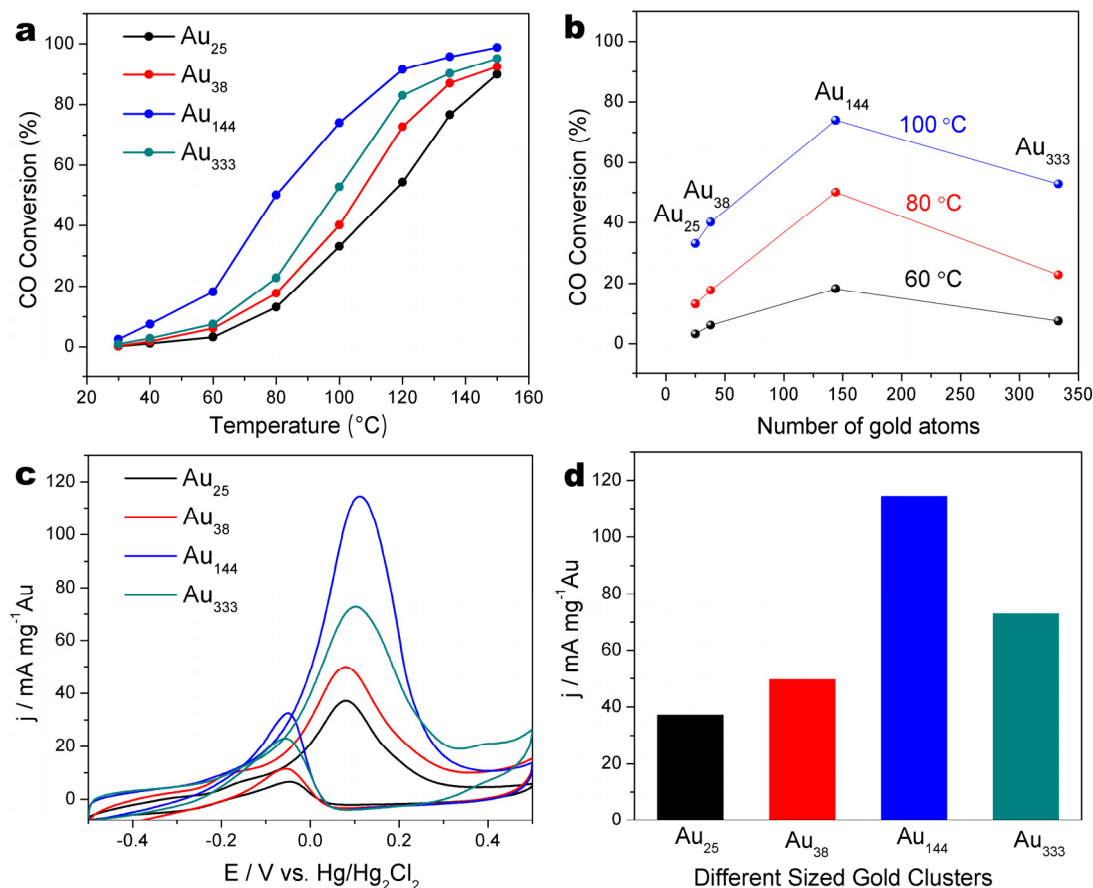


**Figure 4.** The emergence of metallic state in  $\text{Au}_{333}$ , a) steady-state optical absorption spectrum, b) transient absorption spectra, c) excitation power dependence, and d) comparison of  $\text{Au}_{333}$  with the 3 nm NPs.

## 2. Effect of non-metal to metal transition on catalysis

We further investigated the impact of the transition on catalysis by choosing the 1) carbon monoxide (CO) oxidation and 2) electrocatalytic oxidation of alcohol, as the probe reactions (*Nat. Commun.* 2016). We selected  $\text{Au}_{25}$ ,  $\text{Au}_{38}$ ,  $\text{Au}_{144}$  and  $\text{Au}_{333}$  as the catalysts (all protected by the same ligand,  $\text{SCH}_2\text{CH}_2\text{Ph}$ ).

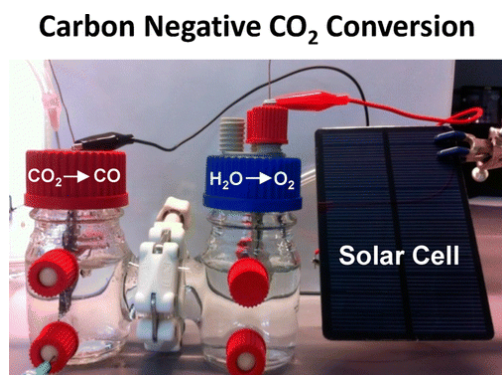
In the CO oxidation reaction, the CO conversion for each size of gold nanoparticles increases with temperature (Fig. 5a), and the size-dependent activity trend (Fig. 5b) indeed coincides with the transition from non-metallic to metallic state, with the most active size within the transition regime (i.e., between  $\text{Au}_{144}$  and  $\text{Au}_{333}$ ,  $\text{Au}_{246}$  and  $\text{Au}_{279}$  not included due to different ligands). Previous work reported various trends of nanogold-catalyzed CO oxidation, but the precise size dependence was not clear due to the inherent polydispersity of those nanocatalysts. Different factors were invoked previously to explain the size dependence, with the highest activity attributed to the size that gives the longest interfacial perimeter distance between gold and oxide support, or the size that has more low-coordinated gold atoms. Our work indicates that the nonmetal to metal transition has a major influence on the catalytic reactivity of the nanoclusters. Interestingly, the effect is also found in the electrocatalytic oxidation of alcohol (Fig. 5c,d). Figure 5c shows the cyclic voltammetry (CV) profiles for  $\text{Au}_{25}$ ,  $\text{Au}_{38}$ ,  $\text{Au}_{144}$ , and  $\text{Au}_{333}$  (all supported on carbon black), respectively, in which the  $\text{Au}_{144}$  displays superior ethanol oxidation features with the highest current density in both forward oxidation and reverse oxidation peaks. Figure 5d compares the forward oxidation current density of the catalysts, where  $\text{Au}_{144}$  exhibits the best ethanol oxidation activity.



**Figure 5. The impact of the transition on catalytic CO oxidation and electrochemical oxidation of alcohol.** (a) The light-off curves of different sized nanoparticles, (b) a volcano-like trend of size effect, (c) Cyclic voltammetry (CV) profiles for different sized nanoparticles in deoxygenated 1 M KOH + 1 M CH<sub>3</sub>CH<sub>2</sub>OH solution, (d) Comparison of current density of different sized nanoparticles.

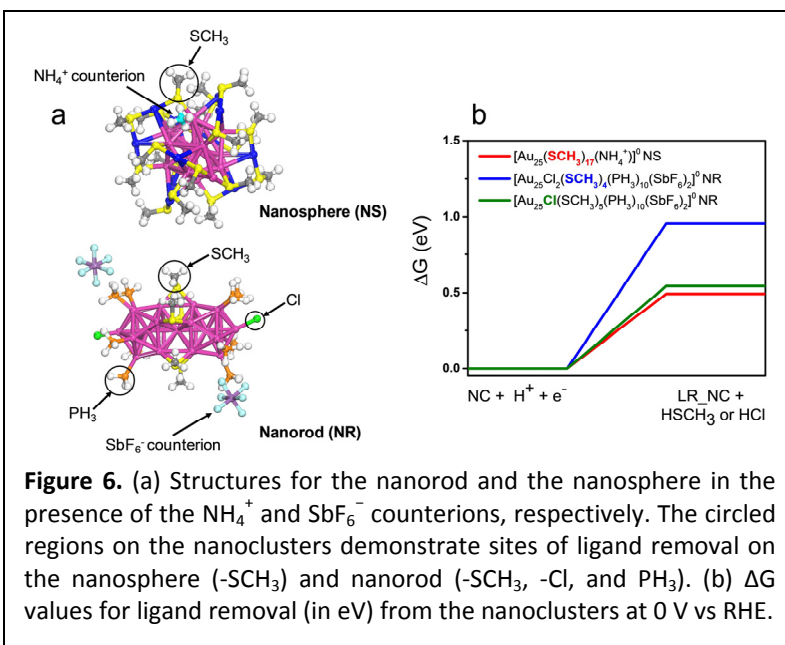
### 3. Electrocatalytic CO<sub>2</sub> conversion

The catalytic conversion of CO<sub>2</sub> into industrially relevant chemicals mitigates greenhouse gas emissions. In prior work, we discovered that Au<sub>25</sub> nanoclusters exhibit excellent activity in electrocatalytic conversion of CO<sub>2</sub> to CO. We further integrated CO<sub>2</sub> conversion processes with carbon-free, renewable-energy sources to demonstrate the viability on larger scales (in collaboration with NETL). The Au<sub>25</sub> nanoclusters were utilized as renewably powered CO<sub>2</sub> conversion electrocatalysts with CO<sub>2</sub> → CO reaction rates between 400 and 800 L of CO<sub>2</sub> per gram of catalytic metal per hour and product selectivities between 80 and 95%. These performance metrics correspond to conversion rates approaching 0.8–1.6 kg of CO<sub>2</sub> per gram of catalytic metal per hour. This proof-of-principle study provides some of the initial performance data necessary for assessing the scalability and technical



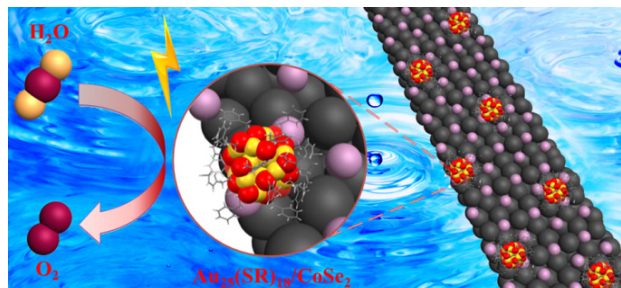
viability of electrochemical CO<sub>2</sub> conversion technologies. Specifically, we show the following: (1) all electrochemical CO<sub>2</sub> conversion systems will produce a net increase in CO<sub>2</sub> emissions if they do not integrate with renewable-energy sources, (2) catalyst loading vs activity trends can be used to tune process rates and product distributions, and (3) state-of-the-art renewable-energy technologies are sufficient to power larger-scale, tonne per day CO<sub>2</sub> conversion systems.

In recent work, we have investigated the atomic-level morphology effect of Au<sub>25</sub> nanoclusters (sphere vs rod) as electrocatalysts for CO<sub>2</sub> reduction (work reported in *ACS Catal.* **2018**). The distinctly different atomic-level morphology and charge states render the Au<sub>25</sub> nanosphere higher activity in CO<sub>2</sub> reduction than the Au<sub>25</sub> nanorod. At -0.67 V, the nanosphere cluster exhibits a higher FE (69.3% for CO) than that of the nanorod cluster (39.7%). DFT calculations based on their X-ray crystallographic structures revealed mechanistic insights for the observed difference in catalytic performance (Fig. 6). Specifically, the negative charge state of the nanosphere as well as the energetically favorable removal of -SCH<sub>3</sub> from the nanosphere to expose active sites contribute to the higher catalytic activity owing to the stabilization of the important \*COOH intermediate. This work explicitly demonstrates that the atomic-level morphology and electronic properties can greatly influence the catalytic performance. The distinct morphology dependence of nanoclusters and the obtained mechanistic insights are expected to provide guidelines for future design of advanced catalysts for CO<sub>2</sub> reduction.

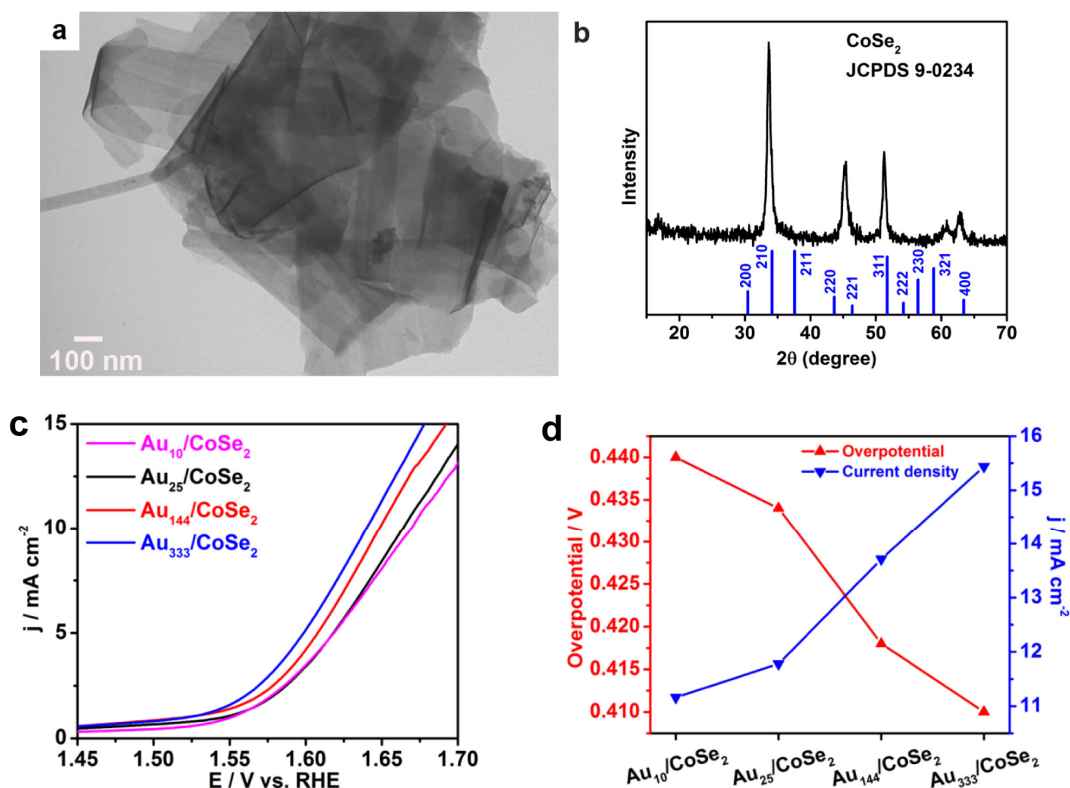


#### 4. Electrocatalytic water splitting

Water splitting to produce hydrogen (H<sub>2</sub>O → H<sub>2</sub>) is hampered by the other half reaction, H<sub>2</sub>O → O<sub>2</sub> (oxygen evolution reaction, OER). We have designed novel Au nanocluster/CoSe<sub>2</sub> composite catalysts for the OER half reaction (work reported in *JACS* **2017**). Compared to plain CoSe<sub>2</sub> nanosheets, the Au<sub>25</sub>/CoSe<sub>2</sub> composite exhibits largely enhanced OER performance by 2.5X. The Au<sub>25</sub>/CoSe<sub>2</sub> composite also exhibits high stability in alkaline solution (with a mere ~11 mV increase of overpotential for 10 mA cm<sup>-2</sup> after potential cycling for 1000 cycles), Fig. 7. To study the cluster size dependence for OER, we



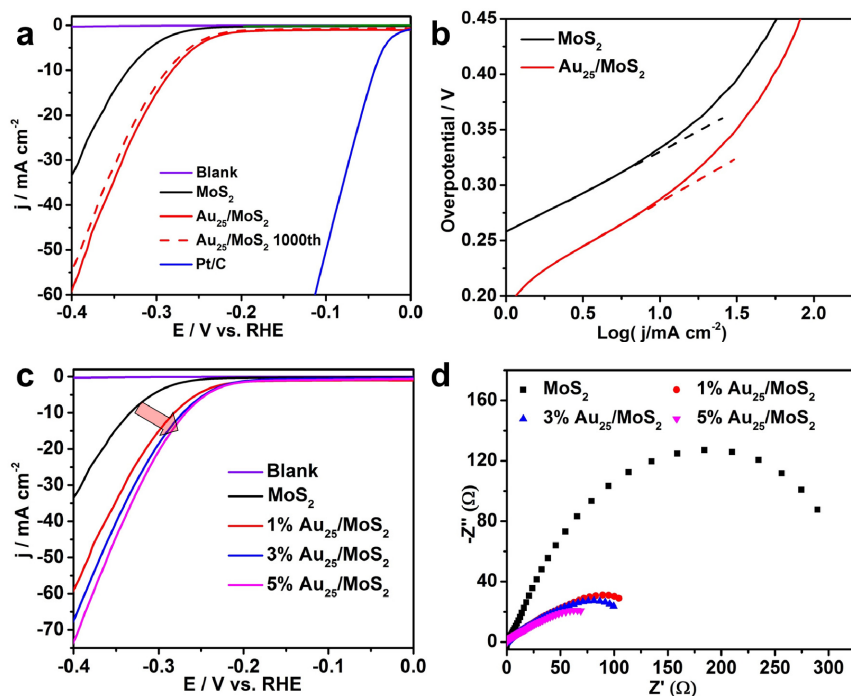
investigated  $\text{Au}_{25}$ ,  $\text{Au}_{38}$ ,  $\text{Au}_{144}$  and  $\text{Au}_{333}$  protected by the same ligand (i.e. phenylethanethiolate), as well as  $\text{Au}_{10}(\text{SPh}^t\text{Bu})_{10}$ . These nanoclusters were respectively loaded onto  $\text{CoSe}_2$  (all at 2.0 wt%). The  $\text{Au}_{10}/\text{CoSe}_2$ ,  $\text{Au}_{144}/\text{CoSe}_2$  and  $\text{Au}_{333}/\text{CoSe}_2$  catalysts were tested under the same conditions. The OER polarization curves (Fig. 7c) show a moderate increase of OER activity with an increase in cluster size. Fig 7d shows the size dependence of overpotential and current density, where the  $\text{Au}_{333}/\text{CoSe}_2$  catalyst possesses the smallest overpotential ( $\sim 0.41\text{V}$  for  $10\text{ mA cm}^{-2}$ ) and the largest current density of  $15.44\text{ mA cm}^{-2}$  at the overpotential of  $0.45\text{ V}$ . Based upon XPS measurements and DFT simulations, the activity enhancement is attributed to electronic interaction between Au nanocluster and the  $\text{CoSe}_2$  support, which leads to the favorable formation of important intermediates  $\text{OOH}_{\text{ad}}$  at the  $\text{Au}_n/\text{CoSe}_2$  interface.



**Figure 7. Water splitting at the cluster/ $\text{CoSe}_2$  interface.** (a) TEM image and (b) XRD pattern of  $\text{CoSe}_2$  nanosheets, (c) OER polarization curves for  $\text{Au}_n/\text{CoSe}_2$  catalysts, and (d) comparison of the overpotential required for achieving current density of  $10\text{ mA cm}^{-2}$ , and the current density at overpotential of  $0.45\text{ V}$  for  $\text{Au}_n/\text{CoSe}_2$  catalysts.

We have also investigated the hydrogen evolution reaction (HER) over a composite catalyst,  $\text{Au}_{25}/\text{MoS}_2$  (Fig. 8) (work reported in **Small 2017**). Compared to plain  $\text{MoS}_2$  nanosheets, the  $\text{Au}_{25}(\text{SR})_{18}/\text{MoS}_2$  nanocomposite exhibits enhanced HER activity with a smaller onset potential, at  $-0.20\text{ V}$  (vs RHE), and a higher current density of  $59.3\text{ mA cm}^{-2}$  at the potential of  $-0.4\text{ V}$  (Fig. 8). Toward the mechanistic understanding, we have investigated  $\text{Au}_{25}$  clusters protected by thiolate vs selenolate (i.e. SPh vs SePh) as well as ligands of different length (including  $\text{SC}_6\text{H}_{13}$ ,  $\text{SC}_8\text{H}_{17}$ , and  $\text{SC}_{12}\text{H}_{25}$ ). An interesting result is the identification of the cluster/ligand interfacial

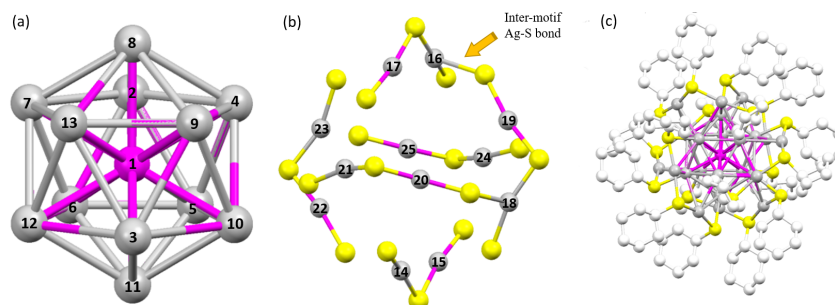
atom has a distinct effect on the HER activity, in addition to the interfacial interaction between nanoclusters and MoS<sub>2</sub>. This work highlights the promise of metal nanoclusters in boosting the HER performance via tailoring not only the interfacial interactions between gold nanoclusters and MoS<sub>2</sub> nanosheets, but also the interface between metal core and surface ligands.



**Figure 8. Electrochemical performance of the Au<sub>25</sub>/MoS<sub>2</sub> catalyst.** (a) HER polarization curves for Au<sub>25</sub>/MoS<sub>2</sub>, MoS<sub>2</sub>, blank glassy carbon working electrode, Au<sub>25</sub>/MoS<sub>2</sub> after the stability test and commercial Pt/C catalysts. (b) Tafel plots of Au<sub>25</sub>/MoS<sub>2</sub> and MoS<sub>2</sub> catalysts. HER polarization curves (c) and impedance spectra (d) for MoS<sub>2</sub> and Au<sub>25</sub>/MoS<sub>2</sub> with different loading amounts of Au<sub>25</sub> nanoclusters.

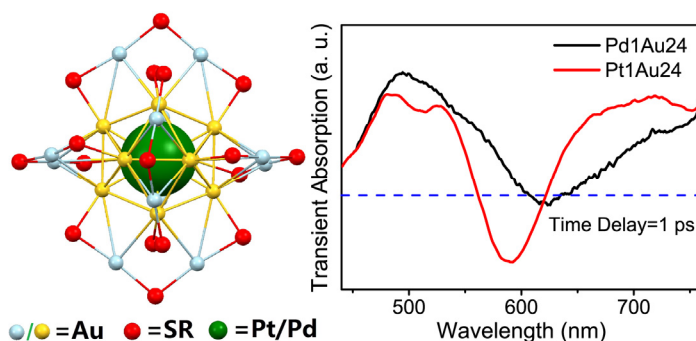
## 5. Doping of gold nanoclusters and electron dynamics

Using the Au<sub>25</sub>(SR)<sub>18</sub> nanocluster as a model system, we have investigated the doping chemistry (*Chem. Commun.* 2016). The silver atoms first go to the icosahedral shell (but not the icosahedral center), Figure 9a. Upon heavy doping of Au<sub>25</sub>(SR)<sub>18</sub> nanocluster with silver through Ag(I)-SR complex, we found the Ag atoms indeed go to the surface staple-like motifs (Figure 9b).



**Figure 9. X-ray structure of the Au<sub>25-x</sub>Ag<sub>x</sub>(SR)<sub>18</sub><sup>-</sup>.** (a) The 13-atom icosahedral alloy core. (b) Six [-SR-Au(Ag)-SR-Ag-SR-] dimeric staples with different occupancy of Au/Ag atoms. (c) Total structure of Au<sub>25-x</sub>Ag<sub>x</sub>(SR)<sub>18</sub><sup>-</sup>. Magenta: Au, gray: Ag/Au, yellow: S, grey: C.

In contrast, Pd and Pt dopants exclusively occupy the icosahedral center only, forming  $M_1@Au_{24}(SR)_{18}$  ( $M=$ Pd, Pt) clusters. We have investigated the ultrafast relaxation dynamics of Pd/Pt doped clusters using femtosecond visible and near infrared transient absorption spectroscopy (work reported in *Nanoscale* 2016). Three relaxation components are identified for both mono-doped clusters (Fig 10): (1) sub-picosecond relaxation within the  $M_1Au_{12}$  core states; (2) core to shell relaxation in a few picoseconds; (3) relaxation back to the ground state in more than one nanosecond. Despite similar relaxation pathways for the two doped nanoclusters, the coupling between metal core and surface ligands is accelerated by over 30% in the case of Pt dopant compared with the Pd dopant. Compared to Pd doping, the case of Pt doping leads to much more drastic changes in the steady state and transient absorption (Figure 10) of the clusters. These results demonstrate that a single foreign atom can lead to entirely different excited state spectral features of the whole cluster compared to the parent  $Au_{25}(SR)_{18}$  cluster.



**Figure 10.** Transient absorption spectra of  $M_1@Au_{24}(SR)_{18}$  ( $M=$ Pd, Pt) clusters.

## 6. Controlling nanocluster structures for understanding the structure-catalytic activity relationships

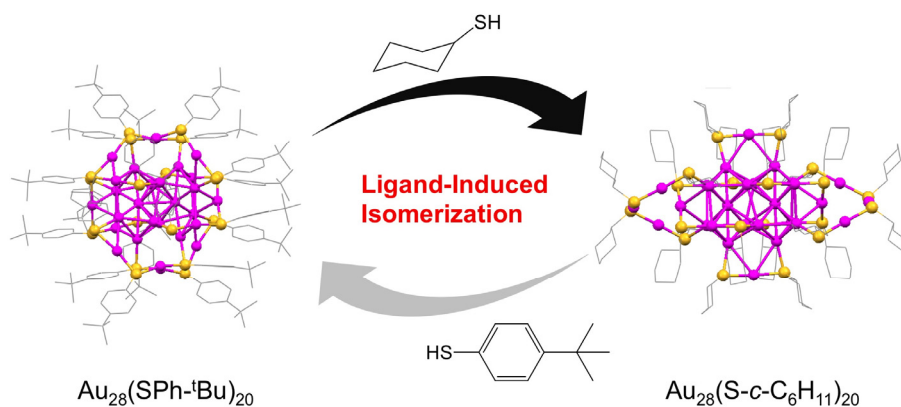
The structure of nanoclusters plays a critical role in dictating the material properties. Gold is well known to adopt face-centered cubic (FCC) structure. Significantly, we have succeeded in controlling the structure of  $Au_{38}$  to be body-centered cubic (BCC) (work reported in *Angew. Chem.* 2015). This nanocluster is composed of 38 gold atoms protected by 20 adamantanethiolate ligands and two sulfido atoms ( $Au_{38}S_2(SR)_{20}$ , where  $R = C_{10}H_{15}$ ) as revealed by X-ray crystallography. This BCC structure is in striking contrast with the FCC structure of bulk gold and the bi-icosahedral structure of  $Au_{38}(SCH_2CH_2Ph)_{24}$  (our previous work). The BCC nanocluster possesses a HOMO-LUMO gap of  $\sim 1.5$  eV, much larger than that of the bi-icosahedral  $Au_{38}(SCH_2CH_2Ph)_{24}$  (0.9 eV). The BCC gold nanocluster is further demonstrated to be more active in catalytic selective oxidation of sulfides (Table 1). In recent work, a hexagonal close-packed (HCP) nanocluster has also been attained, with the structure determined by X-ray crystallography (work reported in *Angew. Chem.* 2016). The HCP gold nanocluster consists of 30 gold atoms, with the cluster surface protected by 18 ligands of 1-adamantanethiolate.

**Table 1.** The catalytic performance of  $\text{Au}_n(\text{SR})_m$  nanoclusters supported on  $\text{TiO}_2$  oxide in selective oxidation of methyl phenyl sulfide with  $\text{PhIO}$ .<sup>a</sup>

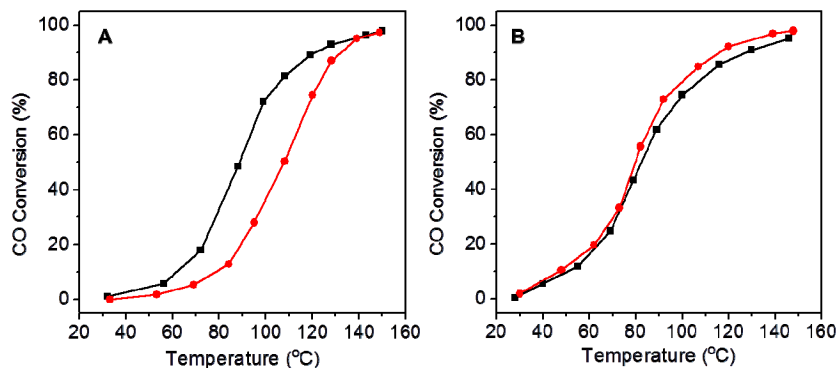
Entry	$\text{Au}_n(\text{SR})_m$	Conv. [%] <sup>b</sup>	Selectivity [%] <sup>b</sup>	
			Sulfoxide	Sulfone
1	$\text{Au}_{25}(\text{SC}_6\text{H}_{13})_{18}$	72.6	98.8	1.2
2	biico- $\text{Au}_{38}(\text{SC}_6\text{H}_{13})_{24}$	77.2	99.1	0.9
3	bcc- $\text{Au}_{38}\text{S}_2(\text{S-Adm})_{20}$	92.8	99.9	0.1

[a] Reaction conditions: 0.10 mmol methyl phenyl sulfide, 0.10 mmol  $\text{PhIO}$ , 0.5 mg  $\text{Au}_n(\text{SR})_m$  clusters supported on 50 mg  $\text{TiO}_2$ , 1 mL DCM, 35 °C, 8h; [b] The conversion of sulfide and selectivity of products were analyzed by  $^1\text{H}$  NMR.

Beside the effect of crystalline phase on catalysis, in recent work we have also controlled the surface structure of 28-atom  $\text{Au}_{28}(\text{SR})_{20}$  nanoclusters using a ligand-based strategy (Figure 11) (work reported in *JACS* 2016). Two thermodynamically stable were obtained, i.e.  $\text{Au}_{28}(\text{S-c-C}_6\text{H}_{11})_{20}$  (where  $-\text{c-C}_6\text{H}_{11}$ =cyclohexyl) and  $\text{Au}_{28}(\text{SPh-}^t\text{Bu})_{20}$  (where  $-\text{Ph-}^t\text{Bu}$  = 4-*tert*-butylbenzyl), which possess the same FCC  $\text{Au}_{20}$  kernel but different surface structures (Figure 11). The intriguing ligand effect is further investigated via dispersion-corrected density functional theory calculations. The different surface structures are manifested in catalytic activity (e.g. CO oxidation, Figure 12A), but after the ligands are removed, the two clusters exhibit similar activity (Figure 12B) due to the bare  $\text{Au}_{28}$  clusters converging to the same structure.



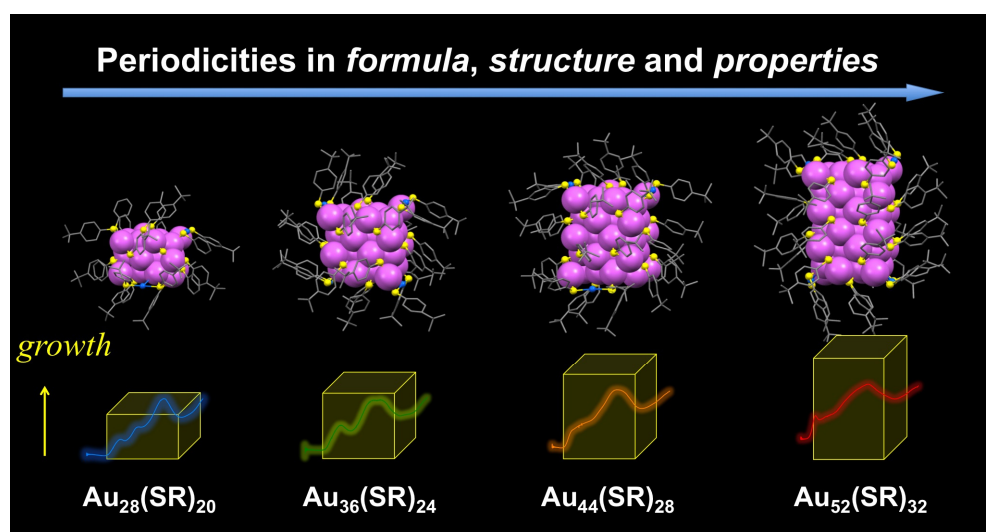
**Figure 11.** Structural control in the case of  $\text{Au}_{28}(\text{SR})_{20}$  with different R groups.



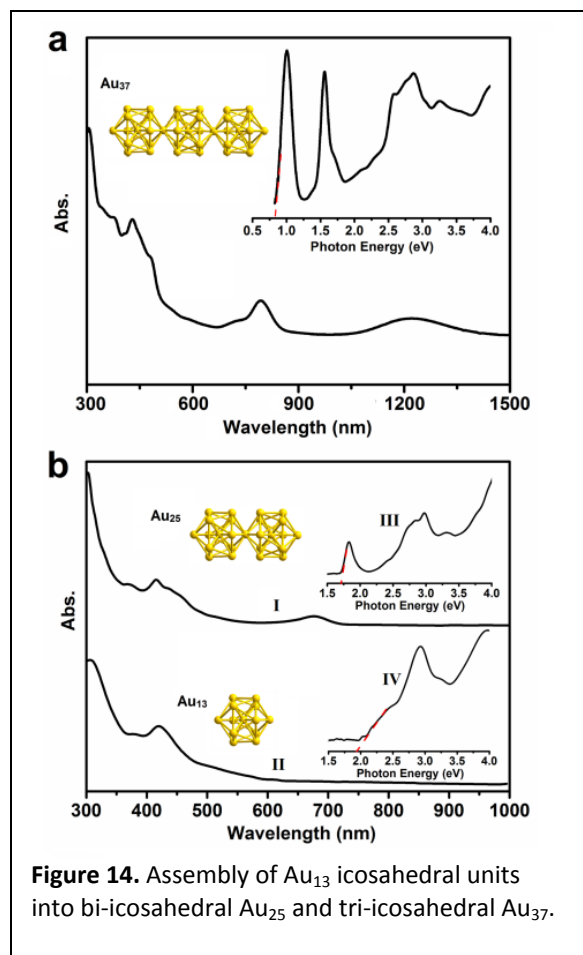
**Figure 12.** CO oxidation light-off curves of CeO<sub>2</sub> supported Au<sub>28</sub>(S-c-C<sub>6</sub>H<sub>11</sub>)<sub>20</sub> (black profile) and Au<sub>28</sub>(SPh-<sup>t</sup>Bu)<sub>20</sub> (red) catalysts. (A) Catalysts pretreated with O<sub>2</sub> at 150 °C for 1 h; (B) pretreated with O<sub>2</sub> at 300 °C for 1 h to remove ligands.

## 7. Discovery of periodicity in nanoclusters

In very recent work, we have revealed for the first time the periodicities in nanoclusters (manifested in the formula, growth pattern and property evolution) (work published in *JACS* **2016**; *Science Advances* **2015**). A family consisting of Au<sub>20</sub>(TBBT)<sub>16</sub>, Au<sub>28</sub>(TBBT)<sub>20</sub>, Au<sub>36</sub>(TBBT)<sub>24</sub>, Au<sub>44</sub>(TBBT)<sub>28</sub>, and Au<sub>52</sub>(TBBT)<sub>32</sub> nanoclusters gives rise to a neat “magic series” with a unified formula of Au<sub>8n+4</sub>(TBBT)<sub>4n+8</sub> ( $n=2$  to 6), Figure 13. Such a periodicity in magic numbers is a reflection of the uniform anisotropic growth patterns in this magic series (Figure 13), and the  $n$  value is correlated with the number of (001) layers in the face-centered cubic (fcc) lattice. The size-dependent quantum confinement nature of this magic series is further understood by an empirical scaling law and the classical “particle in a box” model, as well as the density functional theory calculations.



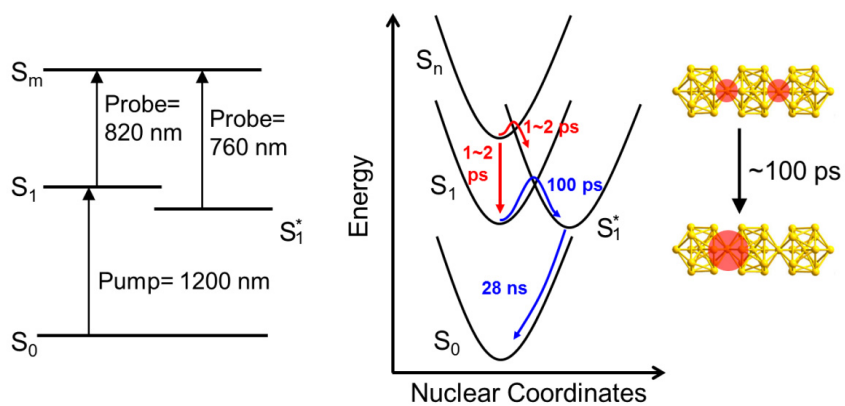
**Figure 13.** A magic-size series of gold nanoclusters protected by 4-*tert*-butyl-benzenethiolate (TBBT), including Au<sub>28</sub>(TBBT)<sub>20</sub>, Au<sub>36</sub>(TBBT)<sub>24</sub>, Au<sub>44</sub>(TBBT)<sub>28</sub> and Au<sub>52</sub>(TBBT)<sub>32</sub> with a uniform progression of Au<sub>8</sub>(TBBT)<sub>4</sub>.



**Figure 14.** Assembly of  $\text{Au}_{13}$  icosahedral units into bi-icosahedral  $\text{Au}_{25}$  and tri-icosahedral  $\text{Au}_{37}$ .

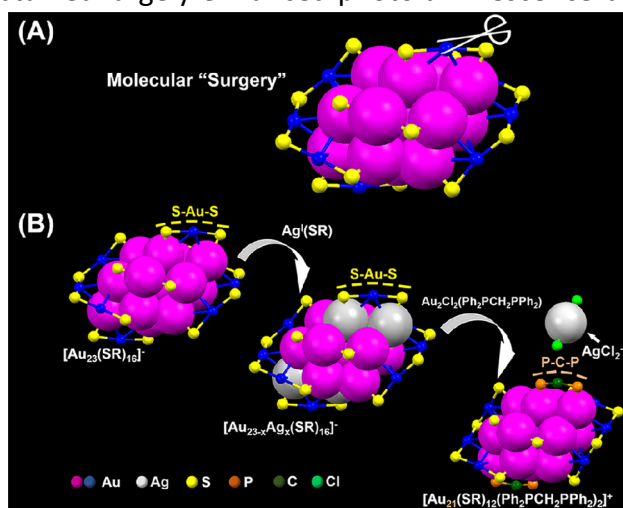
In another case, we demonstrated a controlled assembly of 13-atom icosahedral building blocks into linear superstructures, such as tri-icosahedral  $[\text{Au}_{37}(\text{PPh}_3)_{10}(\text{SR})_{10}\text{X}_2]^+$  nanocluster (where  $\text{SR}$ =thiolate,  $\text{X}=\text{Cl}/\text{Br}$ ) (work reported in **ACS Nano 2015**). This nanocluster shows a rod-like structure assembled from three icosahedral  $\text{Au}_{13}$  units in a linear fashion (Figure 14). The successful synthesis of this new nanocluster allows us to gain insight into the size, structure and property evolution of gold nanoclusters that are based upon the assembly of icosahedral units—*i.e.* cluster of clusters. Some interesting trends are identified in the evolution from the mono-icosahedral  $[\text{Au}_{13}(\text{PPh}_3)_8\text{X}_2]^{3+}$  to the bi-icosahedral  $[\text{Au}_{25}(\text{PPh}_3)_{10}(\text{SC}_2\text{H}_4\text{Ph})_5\text{X}_2]^{2+}$  and to the tri-icosahedral  $[\text{Au}_{37}(\text{PPh}_3)_{10}(\text{SC}_2\text{H}_4\text{Ph})_{10}\text{X}_2]^+$  nanocluster. In particular, we have recently tackled how the electrons of  $\text{Au}_{13}$ ,  $\text{Au}_{25}$  and  $\text{Au}_{37}$  nanoclusters flow after photoexcitation and observed an interesting  $\sim 100$  ps excited state electron localization process in the rod-shaped  $\text{Au}_{37}$  nanoclusters made up of three icosahedra (work reported in **PNAS 2017**). Upon photoexcitation to  $S_1$  electronic state, electrons

in  $\text{Au}_{37}$  undergo  $\sim 100$  ps localization from the two vertexes of three icosahedrons to one vertex, forming a long lived  $S_1^*$  state. Such phenomenon is not observed in  $\text{Au}_{25}$  (dimer) and  $\text{Au}_{13}$  (monomer). We have further investigated the temperature dependence on the localization process, which proves it is thermally-driven. Two excited state vibration modes with frequencies of  $20\text{ cm}^{-1}$  and  $70\text{ cm}^{-1}$  observed in the kinetic traces are assigned to the axial and radial breathing modes, respectively. The electron-localization is ascribed to the structural distortion of  $\text{Au}_{37}$  in the excited state induced by the strong coherent vibrations. The observed electron localization phenomenon provides new physical insight into one-dimensional gold nanoclusters and other nanostructures, which will advance their applications in nonlinear optics and energy harvesting.



## 8. Toward the tailoring chemistry of nanoclusters for enhancing functionalities

In recent work, we have developed a site-specific tailoring method for exchanging the surface motif of the 23-atom ( $\text{Au}_{23}$ ) nanocluster and obtained largely enhanced photoluminescence by 10 times (*Science Advances* 2017). Generally, the structure of nanoclusters consists of an inorganic core and a surface layer, with the latter part protecting the core. The two parts are integrated and cannot simply be taken apart, thus it is very hard to map out which part plays what role. We devised a strategy of performing *site-specific* tailoring of the particle structure and watching how the tailoring of the special part changes the properties of the particle. The present work on thiolate-protected  $\text{Au}_{23}$  nanocluster is the first example of realizing such a “surgery” on nanoclusters. While in organic chemistry it has been quite mature to perform such tailoring (e.g. changing an  $-\text{OH}$  group to  $-\text{COOH}$  on an organic molecule without changing the major carbon bone of the molecule), nanochemistry is still far from that level of structural tailoring at specific sites without changing the other parts of the particle. This work constitutes a major step toward the development of atomically precise, versatile nanochemistry for precise tailoring of the nanocluster structure for controlling the functionalities.



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**Abstract**

We have fulfilled the central goal of our project, which is to investigate the structure and properties of atomically precise, ligand-protected metal nanoclusters in the size range from tens to hundreds of atoms. Such new materials have found major applications in catalysis for energy conversion, including CO<sub>2</sub> reduction to fuels and water splitting to H<sub>2</sub>. The evolution of electronic and optical properties with cluster size is discovered to impact the catalytic reactivity. The correlations between the structure and catalytic reactivity have been mapped out. We have developed capabilities for controlling gold and bimetallic nanoclusters at the single-atom level (including heteroatom doping). Such capabilities are particularly important for designing atom-efficient new materials with tailored properties to meet specific energy and catalysis needs. Characterization of the atomic structures of nanoclusters enabled fundamental understanding of the mechanisms of the catalyzed reactions. Heteroatom substitution in gold nanoclusters allowed us to probe the atomic-level sensitivity of the nanocluster's electronic, optical and catalytic properties, and also to impart the nanocluster with new properties. We have gained insights into how the structure of nanocluster catalysts can be manipulated for catalytic processes. Such new insights will benefit rational design of highly efficient catalysts.

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## Archival Publications (published) during reporting period:

Publications that acknowledged the AF grant:

1. Observation of Body-Centered Cubic Gold Nanocluster. Chao Liu, Tao Li, Gao Li, Katsuyuki Nobusada, Chenjie Zeng, Guangsheng Pang, Nathaniel L. Rosi, and Rongchao Jin. *Angew. Chem. Int. Ed.* 2015, 54, 9826–9829.
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**New discoveries, inventions, or patent disclosures:**

**Do you have any discoveries, inventions, or patent disclosures to report for this period?**

No

**Please describe and include any notable dates**

**Do you plan to pursue a claim for personal or organizational intellectual property?**

**Changes in research objectives (if any):**

**Change in AFOSR Program Officer, if any:**

**Extensions granted or milestones slipped, if any:**

**AFOSR LRIR Number**

**LRIR Title**

**Reporting Period**

**Laboratory Task Manager**

**Program Officer**

**Research Objectives**

**Technical Summary**

**Funding Summary by Cost Category (by FY, \$K)**

	Starting FY	FY+1	FY+2
Salary			
Equipment/Facilities			
Supplies			
Total			

**Report Document**

**Report Document - Text Analysis**

**Report Document - Text Analysis**

**Appendix Documents**

**2. Thank You**

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