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TITLE: Inflammation Modulatory Protein TSG-6 for Chemical Injuries to the Cornea

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14. ABSTRACT This project tested the efficacy of the anti-inflammatory protein TSG-6 for the treatment of alkali injury of the cornea in a rat model. We established the time sequence of inflammation, and the cellular and molecular changes which occur after exposure of rat corneas to different concentrations of alkali. These data set the conditions to test the efficacy of topical, intraocular, and intravenous TSG-6, in the promotion of corneal healing and restoration after alkali injury. We found that TSG-6 applied with these methods did not provide a statistically significant benefit to the cornea after alkali injury as evaluated by corneal clarity, although biochemical markers of inflammation suggested limited benefit with intraocular and intravenous application. Further experiments studied the effectiveness of extracellular vesicles, which contain TSG-6, in the treatment of corneal alkali and nitrogen mustard injury, however no significant benefit was observed in these preliminary studies.						
15. SUBJECT TERMS cornea, alkali injury, rat model, inflammation, chemical injury, TSG-6, regeneration						
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1. INTRODUCTION:

This project aims to study the treatment of chemical injury of the cornea with a natural anti-inflammatory protein, TSG-6, which has a novel mechanism of action. Chemical injuries of the eye are difficult to treat, and may lead to severe debilitation or blindness with few patient treatment options. The wartime threat for chemical injury to the eye is evidenced by the thousands of soldiers injured with mustard gas in the Iran-Iraq war. The mechanism of injury after chemical ocular injury includes inflammation secondary to trauma, and may be alleviated with anti-inflammatory agents like TSG-6. TSG-6 works by inhibiting inflammation at the earliest stage, and is effective in the treatment of mild chemical injuries caused by alcohol and mechanical scraping in a mouse model. This study is designed to determine if TSG-6 will be effective with more severe chemical alkali injuries of the cornea, and which would more closely mimic injuries from mustard gas or other severe caustic agents. We will treat rat corneas injured with different concentrations of alkali using topical, anterior chamber, and IV TSG-6 to determine the efficacy and time course of treatment as evaluated by clinical improvement and corneal clearing, and biochemical markers of inflammation.

2. KEYWORDS:

cornea, alkali injury, rat model, inflammation, chemical injury, TSG-6, regeneration

3. ACCOMPLISHMENTS:

a. Project Goals

The specific goals for this project as pertaining to the SOW and amendments included:

- 1) Specific Aim 1 Subtask 1: Obtain ACURO approval for study initiation
- 2) Specific Aim 1 Subtask 2: Determine the acute phase timing and patterns of inflammatory cytokines and chemokines in response to the severity of alkali injury for 0.1N, 0.5N, and 1N injury to the rat cornea
- 3) Specific Aim 1 Subtask 3: Determine the timing and patterns of cellular changes in response to the severity of alkali injury (histopathology)
- 4) Specific Aim 2 Subtask 1: Determine the efficacy for topical and intraocular TSG-6 after corneal alkali injury to the rat cornea for varying concentrations of alkali
- 5) Specific Aim 2 Subtask 2: Determine the therapeutic window for topical administration of TSG-6
- 6) Specific Aim 3 Subtask 1: Test for efficacy and dose response of intravenous administration of TSG-6

- 7) Specific Aim 3 Subtask 2: Determine the therapeutic window for IV TSG-6 administration
- 8) Specific Aim 3 Subtask 3: Test for synergistic effect of topical and intravenous administration of TSG-6
- 9) Specific Aim 3 Subtask 4: Determination of maximal rescued
- 10) Specific Aim 4 (per no cost extension) Subtask 1: Determine the for therapeutic effect of AC MSC exosome on corneal alkali injury
- 11) Specific Aim 5 (per no cost extension) Subtask 1: Determine the acute phase timing and patterns of inflammatory cytokines and chemokines in response to the severity of vesicant injury
- 12) Specific Aim 5 Subtask 2: Determine the for therapeutic effect of topical MitoQ on vesicant injury (nitrogen mustard)
- 13) Specific Aim 5 Subtask 3: Determine the for therapeutic effect of topical TSG-6 on vesicant injury (nitrogen mustard)
- 14) Specific Aim 5 Subtask 4: Determine the for therapeutic effect of AC MSC exosome on vesicant injury (nitrogen mustard)

b. Goals Achieved

1) Specific Aim 1 Subtasks 1-3: ACURO approval was achieved 24 Sept 2014. Subtasks 2 and 3 were completed by 4/1/2015, and the results were presented in part at the World Ophthalmology Congress 5-9 February 2016 (**Appendix 1**), and published in total in the journal Current Eye Research (**Appendix 2**) Choi H, Phillips C, Oh JY, Stock EM, Kim DK, Won JK, **Fulcher S**: [Comprehensive Modeling of Corneal Alkali Injury in the Rat Eye](#). Curr Eye Res. 2017 Oct; 42(10):1348-1357.

Rat corneas were exposed to varying concentrations of NaOH for 30 seconds using filter paper soaked with NaOH, and then the corneas were thoroughly rinsed with 40cc of BSS. The corneas were photographed for clinical scoring at varying time points, and the corneas were collected for cytokine PCR assay for RNA at varying intervals after injury at 2 hr, 4 hr, and 1,2,3 and 5 days for a total of 6 time points.

Initial experiments at the 0.1N injury level resulted in an injury that was insufficient to distinguish a possible treatment benefit of TSG-6, and an amended SOW was submitted and approved to focus on the 0.5N and 1N injury levels.

The peak of inflammation for the 1N injury levels from chemokine studies indicated that the peak of inflammation occurred by day 1, and then subsided. The inflammatory cytokine peaks at day 1 for IL1 β and IL6 were shown to be dependent on level of alkali injury which increased in a linear manner for 0.5N and 1N injuries. The level of corneal opacity peaked by day 3 and continued to remain high without improvement through day 21. These results demonstrated that

early treatment is indicated for TSG-6, and that the efficacy of TSG-6 would be readily established by comparing outcomes with the untreated controls (**Appendix 3, slides 2-4**).

The time course of injury data for the 1N injury level was extended to day 21 for histological analysis, and for further delineation of the time course of inflammation using thrombomodulin as a marker for neovascularization, and collagen I alpha 1 as a marker for fibrosis. These markers indicated that corneal neovascularization and fibrosis increase through day 21 post injury, as corroborated on histological examination which demonstrates endothelial lined vascular channels in the corneal stroma with dense corneal stromal fibrosis by day 21 (**Appendix 3, slide 5**).

Neutrophil infiltration and myeloperoxidase expression peaked at day 1, while macrophage infiltration in the central cornea peaked from day 7 to day 14. Markers of acute inflammation IL-1B peaked at day one, followed by a decline and secondary peak at day 5, and declined thereafter to day 21, although was upregulated from baseline through the 21 day course. IL-6 peaked early at 4 hours and returned to baseline by day 2 (**Appendix 2, pgs 11-13**).

Markers of corneal neovascularization VEGF and fibrosis TGF- β peaked at 4 hours and one day respectively, and then returned to normal by day 21. Corneal neovascularization was observed to increase until day 15 when it plateaued, while corneal fibrosis as measured by type 1 collagen staining peaked at day 7 and remained elevated through day 21 (**Appendix 2, pg 14**).

The combined results of Specific Aim 1 Subtasks 1-3 established the proper testing conditions required to test the efficacy of TSG-6 for subsequent treatment studies.

2) Specific Aim 2 Subtasks 1-2: Determine the efficacy for topical and intraocular TSG-6 after exposure to 0.5N and 1N alkali injuries, completed by end September 2015. These experiments did not demonstrate any statistically significant benefit after 0.5N or 1N injuries for TSG-6 with regard to inflammatory cytokine message or protein at day 7, or with regard to corneal opacity for either topical application, or anterior chamber injection into the eye (**Appendix 4, slides 2-15**). As no benefit was discovered for topical TSG-6, a therapeutic window for application (Specific Aim 2, Subtask 2) could not be established, and further testing with topical TSG-6 alone was discontinued.

3) Specific Aim 3 Subtasks 1-2: Determine the efficacy, dose response, and therapeutic window of IV TSG-6 administration. These data were reported at the Annual Symposium for the American Society of Cataract and Refractive Surgery 5-9 May 2017, Los Angeles, CA (**Appendix 5**). Initial experiments completed by end of July 2015 with IV TSG-6 did not demonstrate statistically significant benefit for corneal clarity or inflammatory markers (**Appendix 4, slides 16-21**). Further testing demonstrated benefit on day 1 for inflammatory markers and the 0.5N injury with IV TSG-6, but not by day 7, and no benefit was observed with respect to corneal clarity (**Appendix 5, slides 11-12**). Similarly, further tests of anterior chamber

TSG-6 improved inflammatory markers on day 1 for the 0.5N injury but did not improve corneal clarity or later inflammatory markers by day 7 (**Appendix 5, slides 15-16**).

We investigated the time window of application for IV TSG-6 and found that while IV TSG-6 given at the time of injury results in a modest reduction in inflammatory markers, TSG-6 given 4 hours after injury does not result in the same reduction. Our results confirm the work of others which indicates that TSG-6 must be given as close to the time of injury as possible to be effective (**Appendix 6, slides 1-4**).

4) Specific Aim 3, Subtasks 3-4: Test for synergistic effect of topical and intravenous administration of TSG-6 and determine maximal rescued. We investigated for synergistic effect of IV and topical TSG-6 applied at the time of injury and found no synergistic effect with respect to inflammatory markers or corneal clarity, which further confirms the lack of efficacy of topical TSG-6 after chemical injury to the eye. (**Appendix 7, slides 1-3**). As there was no benefit to combined topical and IV TSG-6, maximal rescued by treatment was not determinable.

5) These data for Specific Aims 1-3 were presented at the Annual Symposium of the American Society of Cataract and Refractive Surgery 13-17 April 2018, Washington DC (**Appendix 8, slides 1-13**), and have been submitted to the journal, Ophthalmology, for publication (**Appendix 9, submitted manuscript**). **These data demonstrate that topical TSG-6 is ineffective for the treatment of corneal alkali injury, and that while AC and IV TSG-6 have some anti-inflammatory signaling at Day 1 post injury and treatment, the effect is gone by Day 7, and no therapeutic benefit to restore corneal clarity was observed.**

6) Specific Aim 4 (amended SOW and protocol) Subtask 1: Expose the corneas of rats to alkali and test the effectiveness of mesenchymal stem cell (MSC) exosomes through intraocular injection. MSC exosomes are known to contain TSG-6, and we tested the application of AC MSC exosomes after corneal alkali injury. These data show a trend for reduced inflammatory signaling at day 1 for IL1- β , IL6, and CCL2, but not for ELANE or VEGF however this did not reach statistical significance (**Appendix 10, slides 2-4**). There was no trend noted at day 7 for inflammatory markers (**Appendix 10, slides 5-7**). **A potentially exciting finding was that corneal clarity at day 4 was significantly clearer, with a trend for better clarity through day 7 (Appendix 10, slide 8). This is the only experiment which was significant for improved corneal clarity after alkali injury and would indicate further investigation of MSC exosomes for chemical injury to the cornea is warranted.**

7) Specific Aim 5 (amended SOW and protocol) Subtask 1: Determine the acute phase timing and patterns of inflammatory cytokines and chemokines in response to the severity of vesicant injury. We tested the effect of nitrogen mustard on the rat cornea and established the inflammatory markers and acute phase timing of expression at day 2, as well as degree of corneal opacity (**Appendix 11, slides 2-4**).

8) Specific Aim 5 (amended SOW and protocol) Subtasks 2-4: Determine the for therapeutic effect of topical MitoQ, AC TSG-6, and AC MSC exosomes on vesicant injury (nitrogen mustard). We tested the efficacy of topical anti-apoptic protein MitoQ, AC anti-inflammatory protein TSG-6, and AC MSC exosomes for the treatment of nitrogen mustard injury to the rat cornea as part of the de novo protocol from 1 October 2017 through 30 June 2018. We found that there was no therapeutic benefit for corneal opacity or inflammatory markers at day 2 harvest for MitoQ or TSG-6 (**Appendix 11, slides 5-8**). There was no benefit observed for corneal clarity or inflammatory markers on days 1-2 post mustard injury treated with AC exosomes (**Appendix 12, slide 2-3**).

c. Training Opportunities and Professional Development

Nothing to report

d. Dissemination of Findings and Results

1) Paper presented 5-9 February 2016 at the World Ophthalmology Congress in Guadalajara Mexico titled: **Comprehensive Profiling of Alkali Injuries to the Cornea. (Appendix 1)**

2) Manuscript published in Current Eye Research Choi H, Phillips C, Oh JY, Stock EM, Kim DK, Won JK, **Fulcher S: [Comprehensive Modeling of Corneal Alkali Injury in the Rat Eye](#)**. Curr Eye Res. 2017 Oct; 42(10):1348-1357 (**Appendix 2**).

3) Paper presented at the Annual Symposium for the American Society of Cataract and Refractive Surgery 5-9 May 2017, Los Angeles, CA (**Appendix 5**).

4) Paper presented at the Annual Symposium of the American Society of Cataract and Refractive Surgery 13-17 April 2018, Washington DC (**Appendix 8**).

5) Manuscript submitted, notification pending to Ophthalmology titled **Efficacy of the Anti-inflammatory Protein TSG-6 for Corneal Alkali Injury in a Rat Model**. If this journal does not accept this paper, the manuscript will be submitted in order to additional journals for publication (**Appendix 9**).

e. Plans for Next Reporting Period

1) End of study. We have submitted a pre-application to CDMRP as a continuation study to further investigate the efficacy of MSC exosomes in the treatment of the vesicant nitrogen mustard injury to the cornea.

4. IMPACT

a. Principal Discipline

The results of this work for this report are the most encompassing and complete of any other reported study that we are aware of for chemical alkali injuries to the cornea and will serve

the ophthalmic community in the future as a benchmark model. The complete description of time course of injury with inflammatory cytokine and protein expression, clinical evaluation, and histopathology correlates to 21 days post injury is not duplicated in the literature. We have further demonstrated that TSG-6 alone is not effective in a corneal alkali injury model, although TSG-6 has been proven beneficial in several other models of inflammatory injury. Interestingly, we have also shown that MSC exosomes, which contain TSG-6, may be a better method to improve corneal clarity after chemical injury, and we are interested to investigate if this method would be beneficial for an injury that is more closely confined to the surface of the eye as seen with chemical warfare mustard agents. Therefore, we have laid the groundwork for further investigation into the application of techniques in Regenerative Medicine for the treatment of chemical injury of the cornea.

b. Other Disciplines

The results of this work, albeit with lack of demonstrated efficacy of TSG-6 to date with topical or anterior chamber application, will help investigators in the field of Regenerative Medicine focus on alternate methods of delivery, and show that while TSG-6 has been shown to be effective in a model of mild chemical corneal injury induced by ethanol, it is insufficient to benefit more severe ocular alkali chemical injuries when applied topically or in the anterior chamber.

c. Technology Transfer

Nothing to report

d. Impact on Society

Nothing to report

5. CHANGES/PROBLEMS

a. Changes

1) None to report, end of study.

b. Actual/Anticipated Problems

1) None to report, end of study.

c. Changes in Expenditures

1) None to report, end of study.

d. Changes in Animal Use

1) None to report, end of study.

6. PRODUCTS

a. Publications/papers/presentations

1) see 3.d. above under Accomplishments

b. Websites

1) Central Texas Veterans Research Foundation <http://www.ctvrf.org/research-programs>

c. Technologies/Techniques

1) Nothing to report

d. Inventions/Patents/Licenses

1) Nothing to report

7. PARTICIPANTS/COLLABORATING ORGANIZATIONS

a. Individuals

1) Name: Hosoon Choi, PhD

Project Role: Research Scientist

Researcher Identifier (e.g. ORCID ID): NA

Nearest person month worked: 44

Contribution to Project: Dr. Choi has performed rat surgery to establish injury levels, performed chemokine and cytokine assays, and protein production and purification

2) Name: Casie Phillips

Project Role: Animal Technician, research assistant

Researcher Identifier (e.g. ORCID ID): NA

Nearest person month worked: 42

Contribution to Project: Casie has assisted Dr. Choi in animal surgery, performs postoperative care, assists with laboratory techniques, prepares and stains histology specimens

3) Name: Samuel Fulcher, MD
Project Role: PI
Researcher Identifier (e.g. ORCID ID): NA
Nearest person month worked: 45
Contribution to Project: Dr. Fulcher performs the duties of the PI, and scores the clinical injuries by grading photographs of the corneal opacity

b. Collaborating Institutions

1) Texas A&M Institute for Regenerative Medicine, Temple, Texas. Dr. Darwin Prockop and his team have served as unpaid consultants, and shared bench laboratory space with our team.

2) Central Texas Veterans Research Foundation, Temple, Texas. CTVRF is the sponsoring agency

3) Central Texas Veterans Health Care System, Temple, Texas. CTVHCS is the employer of Dr. Fulcher, and has allotted time for research activities, and has supported the project with laboratory space and equipment

4) Baylor Scott and White Health Care, Temple, Texas. BSW is the sponsor of the IACUC and operates and maintains the vivarium where experiments were performed and employs the supervising veterinarian who oversees all animal research projects.

8. SPECIAL REPORTING REQUIREMENTS

a. No Collaborative Awards to report

b. Quad Chart, (appendix 13)

9. APPENDICES, SEE APPENDICES 1-13

Appendix 1 Final Report

Presented at the World Ophthalmology Congress

5-9 February 2016

Guadalajara, Mexico

Comprehensive Profiling of Alkali Injuries to the Cornea

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Objective/Purpose;

Corneal chemical injuries may cause extensive tissue damage which can result in permanent visual impairment. These injuries often result from accidents occurring in the home or work place, however no effective therapy exists for severe chemical injuries of the cornea. Numerous cellular interactions and alterations occur after corneal chemical injury which are mediated by leukocytes, fibroblasts and endothelial cells, and are influenced by the combined actions of proteinases, growth factors, and cytokines which are directed to corneal regeneration and healing. This study comprehensively examined the effect of alkali injury to the cornea over time in order to further research in the development of a novel therapy with the anti-inflammatory protein TNF-stimulating gene 6 (TSG-6). TSG-6 may modulate the excessive inflammatory response that exacerbates the injury to the cornea caused by chemical exposure to the eye.

Keywords; cornea, alkali injury, rat, inflammation, chemical burn

Materials and Methods;

A corneal alkali injury was produced with a 3-mm diameter circular piece of filter paper soaked in 1 N NaOH, and applied to the central cornea on the right eye for 30 seconds. Immediately after alkali exposure, the ocular surface was rinsed with 50 mL of PBS for 2 minutes. Gross examination for cornea opacity was performed under a dissecting microscope. The injured corneas were fixed in 10% buffered formalin and embedded in paraffin. Tissues were stained with hematoxylin and eosin (H&E) for histopathological examination, and were examined with immunohistochemistry. Neutrophil infiltration was examined by assays for myeloperoxidase (MPO) which is contained within neutrophil granules. Real-time PCR (RT-PCR) was used to evaluate mRNA expression levels of cytokines, chemokines, and genes involved in neovascularization, lymphangiogenesis and fibrosis.

Results and Conclusion

Corneal opacity rapidly developed after injury by day 1, and persisted throughout the study period (21 days). Corneal neovascularization developed as early as day 1, and increased over the entire study period. The inflammatory response as measured by biochemical markers correlated with concentration of NaOH applied and began within 2 hours of injury, and persisted throughout the study period. Neovascularization, lymphangiogenesis and fibrosis progressed throughout the time course of the study period.

Presentation Type; Free papers **Topic Categories;** Cornea, External Eye Diseases, Eye Trauma

Comprehensive Modeling of Corneal Alkali Injury in the Rat Eye

Journal:	<i>Current Eye Research</i>
Manuscript ID	NCER-2016-0690
Manuscript Type:	Original Articles
Date Submitted by the Author:	24-Dec-2016
Complete List of Authors:	Choi, Hosoon; Central Texas Veterans Research Foundation, Phillips, Casie; Central Texas Veterans Research Foundation Oh, Joo Youn; Seoul National University Hospital, Department of Ophthalmology Stock, Eileen; Perry Point VA Medical Center, Cooperative Studies Program Coordinating Center Kim, Dong-Ki ; Texas A and M University System, Institute for Regenerative Medicine Won, Jae-Kyung; Seoul National University Hospital, Department of Pathology Fulcher, Samuel ; Central Texas Veterans Healthcare System, Department of Surgery
Keywords:	corneal alkali injury, inflammation, corneal neovascularization, fibrosis, cytokine

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1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60

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12 25 **Keywords:** corneal alkali injury, inflammation, corneal neovascularization, fibrosis,
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14 cytokine
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16

17 27 **Running Title:** Corneal Alkali Injury
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19

20 28 **Abbreviations**

21
22 29 DAMPs: damage associated molecular patterns
23

24 30 CNV: corneal neovascularization
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26 31 H&E: hematoxylin and eosin
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28 32 MPO: myeloperoxidase
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30 33 MSCs: Mesenchymal Stem cells
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34 35 **Conflict of interest statement**

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36
37 36 Authors have no financial disclosures that would be a potential conflict of interest with
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39 37 this manuscript.
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43 Abstract

44
45 **Aim:** To examine the various effects of alkali injury to the cornea over a 21-day period.

46 **Methods:** Alkali injury was induced in one eye of male Lewis rats. Corneal opacity and
47 corneal neovascularization were assessed daily. Real-time qRT-PCR analysis and
48 immunohistochemical staining were conducted to examine inflammation,
49 neovascularization, and fibrosis.

50 **Results:** We found that within 2 hours of chemical exposure, corneal opacification
51 rapidly developed with an acute increase in various cytokine expressions while several
52 cytokines demonstrated a secondary peak by day 7. Early neutrophil infiltration peaked
53 at day 1 post-injury while macrophage infiltration peaked at day 7. Throughout the time
54 course of the study, corneal opacity persisted and neovascularization,
55 lymphangiogenesis, and fibrosis progressed.

56 **Conclusions:** This study highlighted the cellular, biochemical, clinical, and
57 histopathological changes throughout the progression of a corneal alkali injury. These
58 findings will help to better understand the pathogenesis of an ocular alkali injury and the
59 development of therapy for this injury.

66 Introduction

67
68 Chemical trauma to the eye, including alkali injury, is among the most difficult of eye
69 injuries to treat and manage. Despite therapeutic interventions, rehabilitation of vision
70 takes months to years in many cases, commonly results in permanent, irreversible
71 vision loss ¹. Alkali injury to the eye, in particular, has a grim prognosis due to the rapid
72 and deep penetration of the alkali which results in massive tissue destruction of the
73 eyelid and ocular surface including conjunctiva, limbus, and cornea, as well as
74 intraocular structures to include the trabecular meshwork, lens, iris, and retina ². The
75 rapid penetration of lipophilic alkali into the eye results in saponification of tissue with
76 the subsequent release of proteolytic enzymes, which leads to further tissue destruction
77 and inflammatory responses ³. Destruction of the limbal epithelium results in chronic
78 ocular surface disease, which portends a poor prognosis for corneal transplantation and
79 future vision rehabilitation, while intractable glaucoma, cataract formation, and
80 conjunctival and eyelid scarring may require multiple surgeries across subspecialties
81 over months and years ^{4, 5}. Advancement in the understanding of the pathophysiology
82 and natural history of ocular alkali injury will help facilitate the development of new
83 treatment modalities for these devastating injuries.

84
85 Corneal trauma induces neutrophil infiltration into the cornea, facilitated with the release
86 of secretoneurin within 15 minutes from the time of injury. Injured stromal keratocytes
87 release damage associated molecular patterns (DAMPs), including heat shock protein
88 (HSPB4). DAMPs in turn activate resident macrophages through the toll like receptor

1
2
3 89 (TLR)/NF kB axis, which results in neutrophil chemotaxis and infiltration peaking at 24-
4
5 90 48 hours post injury ⁶. Neutrophil degranulation leads to extensive further tissue
6
7
8 91 damage, and in combination with loss of epithelium from the initial toxic insult, can lead
9
10 92 to corneal opacification, stromal ulceration and lysis, and corneal perforation. Late
11
12 93 phase inflammation contributes to continuing corneal opacification, stromal fibrosis, and
13
14 94 neovascularization.
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18 95

19
20 96 Activated stromal keratocytes proliferate and migrate into the damaged corneal stroma,
21
22 97 differentiate into myofibroblasts, and synthesize types I and III collagen, α -smooth
23
24 98 muscle actin, and extracellular matrix components such as fibronectin ^{1,7}. These
25
26 99 components of corneal wound healing disrupt the regular array of corneal collagen
27
28
29 100 fibrils, which results in corneal opacification and scarring. Corneal neovascularization
30
31 101 (CNV) contributes further to opacification by disruption of the regular collagen matrix,
32
33 102 and edema through vascular extravasation ⁸.
34
35
36
37 103

38
39 104 The limited effectiveness of current treatment for corneal alkali burns underscores the
40
41 105 need for more effective management options and the development of new modalities,
42
43 106 which depend upon reliable and reproducible animal models. Animal models of corneal
44
45 107 alkali burn, which use filter paper saturated with sodium hydroxide are widely used, but
46
47 108 as yet have not fully characterized the molecular mechanisms of injury. Here we
48
49 109 present the extensive molecular characterization of corneal alkali injury in a rat model
50
51 110 with clinical and histopathologic correlation.
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113 **Materials and Methods**

114

115 **Animal model of a corneal alkali injury**

116 This study adhered to the ARVO Statement for the Use of Animals in Ophthalmic and
117 Vision Research. The animal use protocol was approved and monitored by the
118 Institutional Animal Care and Use Committee of Central Texas Veterans Health Care
119 System and Baylor Scott & White Healthcare. In conducting research using animals,
120 the investigators adheres to the laws of the United States and regulations of the
121 Department of Agriculture. Eight-week-old male Lewis rats (LEW/Crl) purchased from
122 Charles River Laboratories (Wilmington, MA) received a minimum of five days
123 acclimation. Corneal alkali injuries were produced with a 4-mm diameter circular filter
124 paper soaked in 1 N NaOH, then applied to the central cornea of the right eye for 30
125 seconds under general ketamine/xylazine anesthesia. Topical analgesia (0.5%
126 proparacaine hydrochloride ophthalmic solution; Sandoz Inc. Princeton, NJ) was applied
127 prior to injury. Immediately after alkali exposure, the ocular surface was flushed with 50
128 mL of PBS (pH 7.4) for 2 minutes. Antibiotic (Vigamox; 0.5% moxifloxacin
129 hydrochloride ophthalmic solution; Alcon Laboratory, Inc. Fort Worth, TX) was applied to
130 the cornea and the eyelid was sutured with size 7-0 silk suture (Ethicon, Inc. Cincinnati,
131 OH). Tarsorrhaphy is performed to prevent scratching of the cornea and to reduce the
132 risk of bedding, found on the floor of the enclosure, from entering the eye. The suture is
133 removed 24 hours after the time of injury or at time of harvest for those involved in the
134 two and four hour study groups.

135

136 The rats were euthanized with ketamine/xylazine at 2 hours, 4 hours and 1, 2, 3, 5, 7,
137 14 and 21 days after alkali injury. Corneal tissue was isolated and submitted for
138 histological and immunohistochemical analysis, RNA expression, or protein isolation.

139

140 **Biomicroscopic examination**

141 Gross examination and photography of the corneas were performed daily under
142 isoflurane anesthesia using a dissecting microscope for cornea opacity grading.

143 Grading was performed independently by two experienced ophthalmologists blinded to
144 the allocation of the animals in each group.

145 The cornea opacity scoring system followed that of Gupta et.al.⁹ as outlined below:

146 0 = no opacity

147 1 = minimal superficial (non-stromal) slight opacity

148 2 = moderate stromal opacity; anterior chamber and iris both well visualized

149 3 = significant stromal opacity; pupil visible with haze

150 4 = intense stromal opacity; pupil visible and anterior chamber not visible

151 Corneal Neovascularization (CNV) was graded as follows:

152 0 = No Neovascularization

153 1 = Neovascularization in the peripheral cornea within one third of the corneal diameter
154 as measured from the limbus

155 2 = Neovascularization within two thirds of the diameter from the limbus

156 3 = Neovascularization observed in the entire cornea

157

158

159 Histopathology

160 Rat corneas were excised after euthanasia, fixed in 10% buffered formalin, and
161 embedded in paraffin. Specimens were cut into 4 μ m sections and stained with
162 hematoxylin and eosin (H&E) for histopathological examination. Antigens for
163 immunohistochemical analyses were retrieved with a steamer in epitope retrieval
164 solution (10 mM sodium citrate pH 6).

165 The samples were blocked in 3% goat serum (Vector Laboratories, Burlingame, CA) /
166 0.2% Triton X100 in PBS for 30 minutes at room temperature and subsequently
167 incubated with primary antibody diluted in 3% goat serum in PBS overnight at 4°C.

168 Sections incubated with rabbit or mouse IgG served as negative controls. After washing
169 with PBS, the samples were incubated with a biotinylated secondary IgG antibody for 1
170 hour at room temperature and washed again with PBS. Rabbit polyclonal anti-neutrophil
171 elastase antibody (1:150) (ab21595, Abcam) was used for neutrophil infiltration
172 detection, and mouse monoclonal anti-thrombomodulin (CD141) antibody (1:50)
173 (ab33513, Abcam) was used for the detection of neovascular endothelial cells. Mouse
174 monoclonal anti-CD68 antibody (ED1) (1:200) (sc59103, Santa Cruz Biotechnology)
175 was used for macrophage infiltration detection. Rabbit anti-type I collagens (1:200)
176 (ab34710, Abcam), rabbit anti-type III collagens (1 μ l/ml) (ab7778, Abcam) were used for
177 fibrotic extracellular matrix secretion. Mouse monoclonal anti- α -smooth muscle actin (α -
178 SMA) antibody (1:200) (A5228, Sigma) was used to detect myofibroblasts. Anti-rabbit
179 IgG (1:5000) (Abcam) or anti-mouse IgG (1:5000) (Abcam) were used as secondary
180 antibodies. Avidin-biotin-peroxidase complex technique was used for immunostaining

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3 181 according to the manufacturer's protocol with nickel enhancement (Vectastain ABC kit,
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5 182 DAB Peroxidase Substrate Kit, Vector Laboratories). The nuclei were counterstained
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8 183 with Hematoxylin QS (Vector Laboratories).
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12 13 185 **Enzyme-linked immunosorbent assay (ELISA)**

14
15 186 Neutrophil infiltration was examined by ELISA for myeloperoxidase (MPO) contained
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17 187 within neutrophil granules. Corneal specimens were placed on ice and homogenized in
18
19
20 188 500 μ L of tissue extraction buffer (Life Technologies, Carlsbad, CA) using a sonicator at
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22 189 90% amplitude (Sonic Dismembrator model 120, Fisher Scientific, Waltham, MA), then
23
24 190 centrifuged at 12,000 g for 15 minutes at 4 °C. Supernatants were collected for ELISA.
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27 191 Measurements were performed with Rat MPO ELISA kit according to the manufacturer's
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29 192 specifications (Hycult Biotechnology, Uden, The Netherlands).
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33 34 194 **mRNA expression analysis**

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36 195 Real-time qRT-PCR was used to evaluate mRNA expression levels of cytokines,
37
38 196 chemokines, and genes involved in neovascularization, lymphangiogenesis and fibrosis.
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41 197 Cornea total RNA was extracted with the Qiagen RNeasy Mini kit (Qiagen, Hilden,
42
43 198 Germany) according to the manufacturer's protocol. RNA concentration and purity
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45 199 (A260/A280) were measured with a NanoDrop ND-1000 V 3.2.1 Spectrophotometer
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47
48 200 (NanoDrop Technologies, Wilmington, DE). Real time amplification was performed with
49
50 201 QuantiTect Probe RT-PCR Kit (Qiagen) and analyzed on 7900HT Fast Real-Time PCR
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53 202 system (Applied Biosystems). The Taqman gene expression assays used are as
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55 203 follows: interleukin-1 β (IL-1 β ; Rn00580432_m1), IL-1 α (Rn00566700_m1), IL-6
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3 204 (Rn01410330_m1), IL-10 (Rn01483988_g1), C-X-C Motif Ligand 1 (CXCL1;
4
5 205 Rn00578225_m1), monocyte chemoattractant protein-1 (MCP-1/CCL2;
6
7
8 206 Rn00580555_m1), CCL3 (Rn01464736_g1), tumor necrosis factor alpha (TNF- α ;
9
10 207 Rn99999017_m1), vascular endothelial growth factor A (VEGF-A; Rn01511601_m1),
11
12 208 neutrophil elastase (ELANE; Rn01535456_g1), F4/80 (Emr1; Rn01527631_m1), CD68
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14 209 (Rn01495634_g1), CD163 (Rn01492519_m1), Thrombomodulin (Thbd, CD141;
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16
17 210 Rn00582226_s1), Lymphatic Vessel Endothelial Hyaluronan Receptor 1 (LYVE1;
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19 211 Rn01510421_m1), type I collagens (Col1a1; Rn01463848_m1), type III collagens
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21 212 (Col3a1; Rn01437650_m1) α -smooth muscle actin (α -SMA, ACTA2; Rn01759925_g1).
22
23
24 213 For relative quantitation of gene expression, rat glyceraldehyde-3-phosphate
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26 214 dehydrogenase (GAPDH) primer and probe (Rn01775763_g1) were used.
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215 216 **Statistical analysis.**

33 217 Data are expressed as mean \pm SEM. All analyses were performed with GraphPad
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35 218 Prism 5 (GraphPad Software, Inc., La Jolla, CA). The MPO ELISA and real-time qRT-
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37 219 PCR data were evaluated by one-way ANOVA with Tukey's Multiple Comparison Test.
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39 220 The Kruskal-Wallis one-way ANOVA with Dunn's post-hoc tests was carried out for
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41 221 opacity grade and CNV grade. Opacity and CNV grades classify observation into
42
43 222 discrete categories. The resulting ordinal scale data requires non-parametric analysis.
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46 223 The *A* *p*-value of less than 0.05 was considered to be statistically significant.
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227

228 **Results**

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230 **Biomicroscopic and Histopathological examination**

231 Representative photos in Figure 1A depict the progression of 1N NaOH induced corneal
232 injury over time. The initial alkali injury resulted in corneal epithelial destruction in all
233 injured eyes. Significant corneal opacification developed immediately upon injury and
234 progressed until day 9. Corneal opacity stabilized from days 9 to 14, and slowly
235 improved thereafter until day 21 (Figure 1B). Corneal epithelial denudation was
236 observed at the center and periphery of the cornea (Figure 1C, D). Inflammatory
237 infiltrates and neovascularization of the corneal stroma were detected in the peripheral
238 cornea on day 1 (Figure 1D). By day 21, Regeneration of corneal epithelium was
239 completed and corneal epithelial thickness was normalized. Densely compacted opaque
240 stroma was recognized by day 7 as (Figure 1C, D).

241

242 **Inflammatory cell infiltration**

243 Inflammatory cell infiltration, including neutrophils and macrophages, were observed in
244 corneal specimens after alkali injury. Neutrophil infiltration was evaluated by the level of
245 MPO protein, a major component of neutrophil primary granules in cornea lysate ¹⁰.

246 Neutrophil elastase mRNA transcription levels were detected by real-time qRT-PCR as
247 an additional marker for neutrophil infiltration. MPO was detected as early as 4 hours
248 after injury, peaked at days 1 and 3, then reduced by 17% of the peak level by day 5

249 (Figure 2A). Neutrophil elastase expression demonstrated a similar pattern, but peaked

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3 250 at 4 hours after injury with reduction to 29% of the peak level by day 7 then returned to
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6 251 baseline levels by day 21 (Figure 2B). Neutrophil elastase positive neutrophils were
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8 252 detected in day 1 but rarely present in the center of the cornea thereafter (Figure 2F),
9
10 253 however there was increased presence of neutrophils in the periphery of the cornea
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12 254 from day 1 to day 7 (Figure 2G). Macrophage infiltration was evaluated by CD68 and
13
14 255 Emr1 (EGF-like module-containing mucin-like hormone receptor-like 1 also known as
15
16 256 F4/80) expression, and was detected 2 hours after injury (Figure 2C, D). Macrophage
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18 257 infiltration peaked from days 7 to 14 post injury. Pan-macrophage marker CD68 staining
19
20 258 demonstrated the macrophage infiltration in the corneal periphery as early as day 1,
21
22 259 however macrophages were not observed centrally until day 7 (Figure 2H, I). M2
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24 260 macrophage (CD163 expressing cells) infiltration peaked at day 1 and day 7 (Figure
25
26 261 2E).

262 263 **Cytokine Expression**

264 Real-time qRT-PCR analysis was performed to assess the expression of genes
265 associated with pro-inflammatory cytokines (IL-1 β , IL-1 α , IL-6, and TNF- α), anti-
266 inflammatory cytokines (IL-10), and chemotactic chemokines (CXCL1, CCL2, and
267 CCL3) (Figure 3). Levels of IL-1 β increased by 2 hours after injury and peaked at day
268 1, after which it reduced until day 5, and was followed by a secondary peak observed on
269 day 7. IL-1 β expression level remained upregulated through day 21. IL-1 α expression
270 increased early and peaked at 4 hours, followed by below baseline levels by days 1 and
271 2. A secondary increase of IL-1 α expression was observed at day 3 and remained
272 elevated through day 21. IL-6 demonstrated an early peak at 4 hours, followed by a

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3 273 decrease to baseline levels by day 2. TNF- α expression was detected in normal cornea
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6 274 but post injury fell to subnormal levels, returning to baseline by day 7. Thereafter, TNF-
7
8 275 α expression increased to day 14, and returned to normal by day 21. IL-10 peaked at 2
9
10 276 hours after injury, but quickly dropped by hour 4. Enhanced expression was observed
11
12 277 at day 1 then gradually decreased to baseline by day 7. Chemokines CXCL1, CCL2
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14 278 and CCL3 expression showed early increases and peaked at 4 hours or by day1. CCL3
15
16 279 demonstrated a robust second peak at day 3 as inflammation progressed.
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21 281 **Corneal neovascularization (CNV)**

22 282 CNV progressively increased post injury until it plateaued at day 15 (Figure 4A). VEGF
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24 283 expression demonstrated an early steep increase at 2 and 4 hours post injury, returned
25
26 284 to baseline by day 2, then increased to a second peak from days 5 to 14, returning to
27
28 285 normal by day 21 (Figure 4B). The expression of endothelial marker thrombomodulin
29
30 286 (CD141) showed a very similar expression pattern with that of VEGF, except CD141
31
32 287 remained elevated through day 21 (Figure 4C). CD141 positive vessels were found in
33
34 288 the periphery of the cornea from day 1 but do not appear in the center of the cornea
35
36 289 until day 7 (Figure 4F, G). The expression of lymphatic endothelial cell marker,
37
38 290 lymphatic vessel endothelial hyaluronan receptor-1 (LYVE1), was enhanced at day 1,
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40 291 then gradually decreased by day 21 to near baseline levels (Figure 4D).
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50 293 **Fibrosis**

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52 294 Corneal fibrosis after injury may lead to scar formation. The expression level of collagen
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54 295 type I is increased during fibrosis and its expression is enhanced in pathological fibrotic
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56 296 processes influenced by TGF- β ¹¹. The expression of TGF- β peaked at day 1, fell to
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3 297 baseline levels by days 2 and 3, increased from day 5 to a smaller secondary peak by
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6 298 day 7, and returned to baseline by day 21 (Figure 5A). Expression of collagen type I
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8 299 was transiently increased 2 and 4 hours after alkali injury and peaked by day 7 (Figure
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10 300 5B). Increased collagen type I staining was observed from day 7 onward. The pattern
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12 301 of α -SMA expression mirrors that of collagen type I (Figure 5C). Increased collagen type
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14 302 I and α -SMA staining in corneal stroma was detected from day 1 in the peripheral
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16 303 cornea, and by day 7 in the center of the cornea (Figure 6 A, B, C, D). However, the
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18 304 increase of the collagen type III was not prominent. Histological imagery and gene
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20 305 expression values exhibit a slight increase of collagen III in the corneal stroma for days 3
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22 306 onward (Figure 6 E, F), though gene expression also indicates a significant increase at
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24 307 day 1 (Figure 5D).
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309 Discussion

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37 310 Alkali injury of the cornea can be devastating and often leads to permanent vision loss
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39 311 and chronic pain. No effective therapeutic options currently exist for severe corneal
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41 312 chemical injury, and corneal transplantation to restore vision carries a grim prognosis.
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43 313 Animal models for corneal alkali injury have been developed and extensively used to
44
45 314 study therapeutic alternatives, more recently showing a strong emphasis on
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47 315 inflammation and CNV. Previous studies have demonstrated a decrease of
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49 316 inflammatory response following ocular injury using cytokine inhibitors including TNF α
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51 317 inhibitor¹²⁻¹⁴ and IL-6 inhibitor^{15, 16}, in addition to various anti-inflammatory molecules
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53 318¹⁷⁻²⁰. As for CNV research, the main focus is VEGF, as this is a prime source of
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3 319 neovascularization²¹⁻²⁶. Cell and-tissue therapies were investigated. Mesenchymal
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5 320 Stem cells (MSCs)²⁷⁻³⁰ including limbal MSCs³¹, omental cells³², and amniotic fluid¹⁷,
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8 321³³⁻³⁵ demonstrate improvement of the disease progress. There are few reports which
9
10 322 extensively describe the elaboration of inflammatory markers, and which correlate
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12 323 clinical and histopathological findings for an extended period of time. We describe a rat
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14 324 model for corneal alkali injury which details the time course of inflammatory marker
15
16 325 expression, as well as the clinical and histopathological findings over a 21 day period
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19 326 which could serve as a reproducible and noninvasive model to investigate the
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21 327 mechanisms of inflammation, fibrosis, and neovascularization of the alkali injured
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23 328 cornea. Similar to other published reports, this study works with 8 week old male Lewis
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25 329 rats. It is unknown at this time is gender or age affects injury progression. As more
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27 330 adults are treated for ocular chemical injuries than children, we intend to pursue future
28
29 331 age-related studies for comparison of injury progression using rats six months of age or
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31
32 332 older.

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34
35 333 The inflammatory cell response was influenced by cytokines and growth factors,
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37 334 elaborated by damaged tissue throughout the process of injury and subsequent repair.
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39 335 Alkali injury induced an acute early response of the cornea and immune system as
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41 336 shown by the rapid increase of the expressions of genes involved in inflammation, CNV,
42
43 337 and fibrosis. Corneal opacification rapidly developed with all corneas scored as grade 2
44
45 338 within 2 hours of injury, while a significant number (5 out of 16) of corneas were scored
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47 339 as grade 3 within 4 hours. Neutrophil infiltration began by 4 hours post injury and
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49 340 remained elevated until day 3. Activated macrophage marker (EMR1) was significantly
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51 341 increased by 2 hours, but the increase of CD68 expression was minimal until 3 days
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3 342 after injury. These findings indicate that during the acute phase, resident macrophages
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6 343 were activated, while macrophage infiltration was minimal. The observed high
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8 344 expression of CD163 between day 1 and day 7 indicated the presence of M2
9
10 345 macrophages which decrease inflammation and encourage tissue repair during the
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12 346 injury process ³⁶. Gene expression of cytokines IL-1 β , IL-1 α , IL-6, IL-10, and TNF- α ,
13
14 347 and chemokines CXCL1, CCL2, CCL3 were significantly increased within 2 hours. The
15
16 348 rapid response implies the source of the cytokines was the cornea or resident
17
18 349 macrophages rather than infiltrating leukocytes. Cytokine and chemokine expression
19
20 350 remained elevated except for decreased expression of TNF- α , IL-10 and CCL2 by 4
21
22 351 hours after injury. Neovascularization related genes, VEGF, VEGFR1, and
23
24 352 thrombomodulin were significantly increased within 2 hours, however expression of
25
26 353 LYVE1, which is expressed in lymphangiogenesis, was shown to peak 1 day post-injury.
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28 354 Collagen type I and α -smooth muscle actin increased within 2 hours after injury, peaked
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30 355 at day 7 and remained elevated until day 14.
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39 357 Our data are consistent with the observations of others which demonstrated an initial
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41 358 rapid increase in corneal neutrophil infiltration ³⁷ though there are contradictory
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43 359 observations for macrophage infiltration. Uchiyama et. al.. ³⁷ demonstrated a peak in
44
45 360 macrophage infiltration at day 1, where we observed a peak between days 7 and 14,
46
47 361 which is consistent with the remodeling and repair of tissue damage seen in chronic
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49 362 inflammatory responses to injury. The day 7 peak in macrophage infiltration that we
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51 363 observed was comparable with the reports of Han et.al. ^{37, 38}.
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3 364 As the initial acute inflammatory response subsided by day 7, evident by the decline in
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5 365 expression of IL-6, IL-10, CXCL1, and CCL2, the expression of cytokines increased
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8 366 related to a secondary delayed inflammatory response, evident by the late increase in
9
10 367 IL-1 α , IL-1 β , TNF- α and CCL3 expressions. Furthermore, increases in corneal fibrosis
11
12 368 related genes including TGF- β , α -SMA and collagen type I, were seen in conjunction
13
14
15 369 with the increase in macrophage marker gene expression. There are few reports of long
16
17 370 term time course studies which describe the secondary delayed inflammatory response
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20 371 in corneal alkali injury, however low level increases of IL-1 β , TNF- α , CCL2, and TGF- β
21
22 372 ³⁷ have been observed at day 7.

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24
25 373 The degree of corneal opacity increased continuously through day 14 followed by a
26
27 374 slight improvement at day 21, which matches previously reported data ³⁹. Others have
28
29 375 reported that corneal opacity does not significantly improve by 7 weeks after injury ⁴⁰.
30
31 376 The normal cornea is avascular, which is instrumental in the maintenance of corneal
32
33 377 clarity and function. CNV induced by injury leads to the irregular arrangement of
34
35 378 collagen and aggravates corneal scarring. CNV has been reported to continuously
36
37 379 increase within 1-2 weeks of chemical injury, ^{37-39, 41-43} and the CNV was persistent for
38
39 380 up to 10 weeks after injury ⁴⁰. Stromal fibrosis which follows corneal injury limits corneal
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42 381 transparency due to the disorganization of the regular and uniform array of collagen
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44 382 fibrils in the normal cornea. This disruption of the regular array of collagen fibrils
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46 383 disrupts the transmittance of light through the cornea resulting in a loss of clarity ⁴⁴.
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48 384 Goldman and Benedem also recognized that light cannot resolve structures
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50 385 substantially smaller than its wavelength, therefore a larger distance of stromal fiber
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52 386 caused by edema can lead to loss of transparency ⁴⁵.
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3 387 Additionally, the expression of α -SMA is increased following alkali injury which results in
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6 388 further loss of corneal clarity as corneal fibrosis and scarring ensues. Our data
7
8 389 demonstrate that fibrosis related genes were increased acutely by 2 hours, returned to
9
10 390 normal levels at day 2, increased again to reach a peak at day 7, and then gradually
11
12 391 declined until day 21. Collagen type III expression displayed an increasing trend at later
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15 392 time points, yet was less prominent when paralleled with previous reports^{37, 46}.

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18 393 The extensive description that we have presented in this model highlights the cellular,
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20 394 biochemical, clinical, and histopathological changes that occur following a corneal alkali
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22 395 injury. Providing gene expression, histological findings, and clinical analysis, this report
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24 396 encompasses a more thorough understanding of disease progression. Our data
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26 397 illustrates histological and biological outcomes from multiple time points for a more
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28 398 extensive time interval which can provide a thorough reference for future research.
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36 400 This data adds to the body of knowledge regarding chemical injury to the eye, and as
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38 401 such may serve to assist in the development of novel therapeutic treatment for the
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40 402 management of these devastating injuries.
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3 517 **Figure Legends**
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6 518 **Fig. 1.** Biomicroscopic and histopathological examination of corneal alkali injury.
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8 519 (A) Representative photographs demonstrate the 1N NaOH induced corneal injury
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10 520 progression. (B) Opacity score with the use of a clinical grading standard system on a
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12 521 scale from 0 to 4. The results are presented as the mean \pm SEM, n=8~34. (C, D)
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14 522 Representative images of hematoxylin-eosin staining of injured cornea. 20 x
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16 523 magnification, scale bar 100 μ m.
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22 525 **Figure 2.** The infiltration of neutrophils and macrophages. Neutrophil infiltration was
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24 526 measured using myeloperoxidase concentration in the cornea extract (A) and real-time
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26 527 qRT-PCR assays for neutrophil elastase mRNA (B). CD68 (C) and Emr1 (D) expression
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28 528 was used as a proxy measure of macrophages. (E) CD163 expression represents M2
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30 529 macrophages. Real-time qRT-PCR were normalized to the level of glyceraldehyde 3-
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32 530 phosphate dehydrogenase (GAPDH). The results are presented as the mean \pm SEM,
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34 531 n=8. *p<0.05 compared with the control. Neutrophil elastase staining of the (F) center
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36 532 (G) and periphery of the cornea. 20 x magnification, scale bar 100 μ m. CD63 staining of
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38 533 the (H) center and (I) periphery of the cornea. 60 x magnification, scale bar 20 μ m.
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44 534 **Figure 3.** The expression of cytokines and chemokines in the cornea after alkali injury.
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46 535 Quantification of the mRNA expression levels of (A) interleukin (IL)-1 β , (B) IL-1 α , (C) IL-
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48 536 6, (D) IL-10, (E) tumor necrosis factor (TNF)- α , (F) C-X-C motif ligand (CXCL)1, (G) C-C
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50 537 motif ligand (CCL)2, (H) CCL3 mRNA. mRNA expression levels were measured with
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9 541 **Figure 4.** (A) Quantification of neovascularization. Values are expressed in mean \pm
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11 542 standard deviation, n=11. (B, C, D, E) The expression of neovascularization and
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13 543 lymphangiogenesis related genes. Quantification of the mRNA expression levels of (B)
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15 544 thrombomodulin (CD141; Thbd), (C) lymphatic vessel endothelial hyaluronan receptor-1
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17 545 (LYVE1), (D) vascular endothelial growth factor-A (VEGF) (E) VEGF receptor 1. mRNA
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19 546 expression levels were measured with real-time qRT-PCR and were normalized to the
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21 547 level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are
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23 548 presented as the mean \pm SEM, n=8. *p<0.05 compared with the control. CD141 staining
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25 549 of the (F) center and (G) periphery of the cornea. 60 x magnification, scale bar 20 μ m.
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33 551 **Figure 5.** The expression of fibrosis related genes. Quantification of the mRNA
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35 552 expression levels of (A) transforming growth factor- β 1 (TGF- β 1) (B) α -smooth muscle
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37 553 actin (α -SMA), (C) Collagen type I (Col1a1), (D) Collagen type III (Col3a1) were
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39 554 measured with real-time qRT-PCR and were normalized to the level of Glyceraldehyde
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41 555 3-phosphate dehydrogenase (GAPDH). The results are presented as the mean \pm SEM,
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43 556 n=8. *p<0.05 compared with the control.

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48 557 **Figure 6.** Histology of fibrosis related proteins. Collagen type I staining of the (A) center
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50 558 and (B) periphery of the cornea. The α -SMA staining of the (C) center and (D) periphery
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52 559 of the cornea. Collagen type III staining of the (E) center and (F) periphery of the
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54 560 cornea. 20 x magnification, scale bar 100 μ m.

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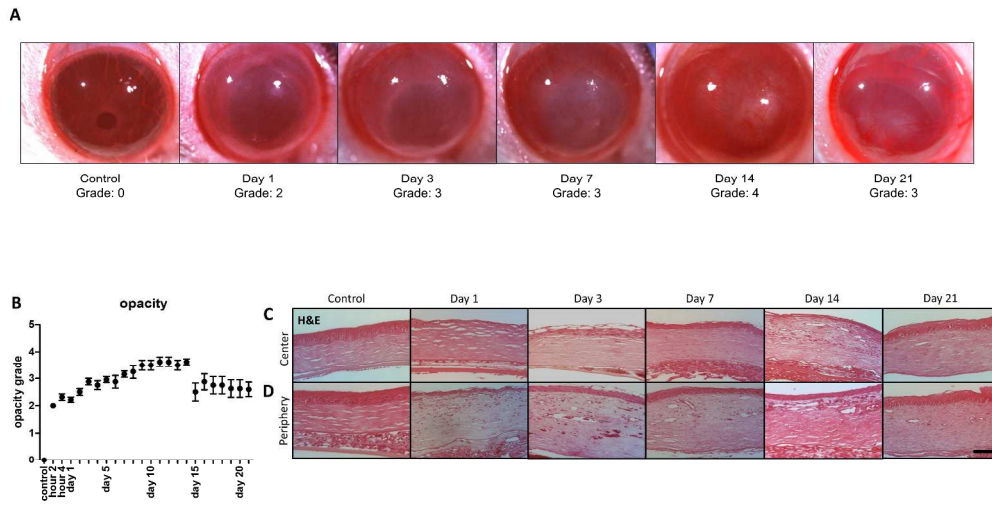


Figure 1

Fig. 1. Biomicroscopic and histopathological examination of corneal alkali injury. (A) Representative photographs demonstrate the 1N NaOH induced corneal injury progression. (B) Opacity score with the use of a clinical grading standard system on a scale from 0 to 4. The results are presented as the mean \pm SEM, n=8~34. (C, D) Representative images of hematoxylin-eosin staining of injured cornea. 20 x magnification, scale bar 100 μ m.

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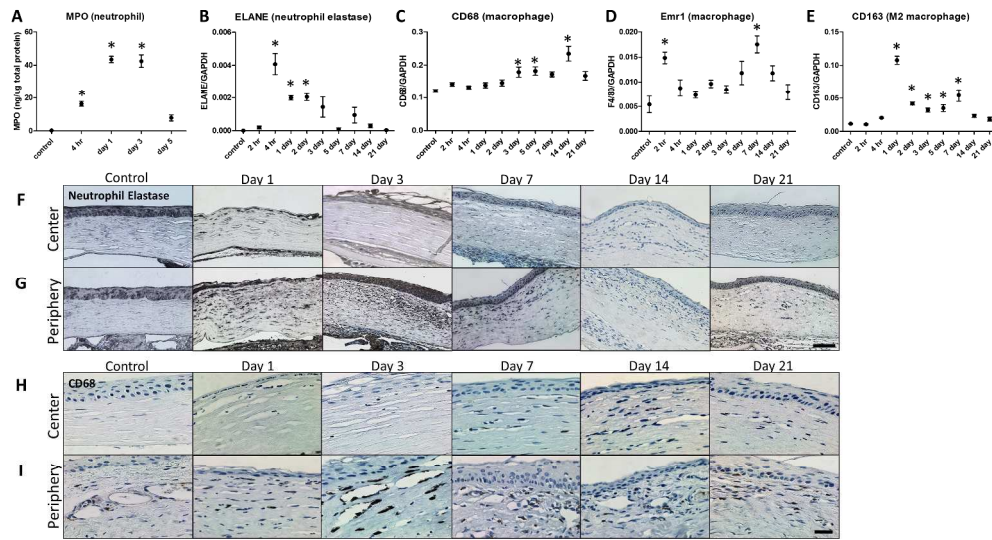


Figure 2

Figure 2. The infiltration of neutrophils and macrophages. Neutrophil infiltration was measured using myeloperoxidase concentration in the cornea extract (A) and real-time qRT-PCR assays for neutrophil elastase mRNA (B). CD68 (C) and Emr1 (D) expression was used as a proxy measure of macrophages. (E) CD163 expression represents M2 macrophages. Real-time qRT-PCR were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are presented as the mean \pm SEM, n=8. *p<0.05 compared with the control. Neutrophil elastase staining of the (F) center (G) and periphery of the cornea. 20 x magnification, scale bar 100 μ m. CD63 staining of the (H) center and (I) periphery of the cornea. 60 x magnification, scale bar 20 μ m.

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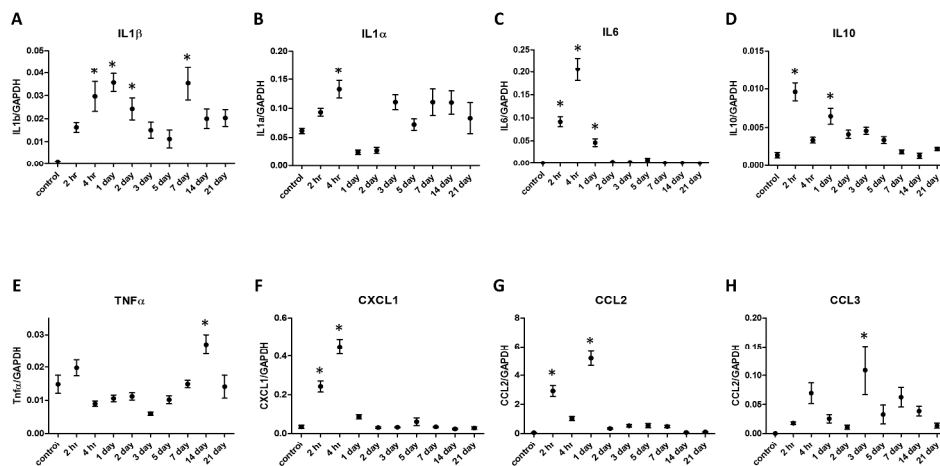


Figure 3

Figure 3. The expression of cytokines and chemokines in the cornea after alkali injury. Quantification of the mRNA expression levels of (A) interleukin (IL)-1 β , (B) IL-1 α , (C) IL-6, (D) IL-10, (E) tumor necrosis factor (TNF)- α , (F) C-X-C motif ligand (CXCL)1, (G) C-C motif ligand (CCL)2, (H) CCL3 mRNA. mRNA expression levels were measured with real-time qRT-PCR and were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are presented as the mean \pm SEM, n=8. *p<0.05 compared with the control.

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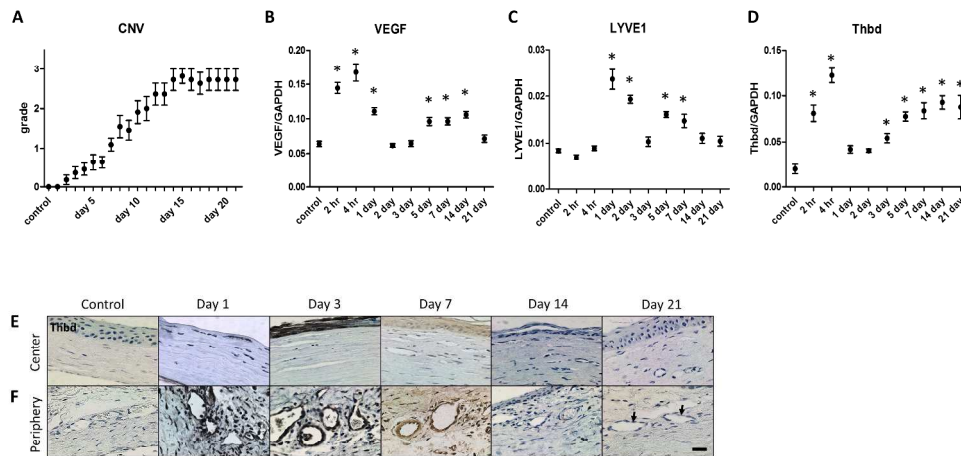


Figure 4

Figure 4. (A) Quantification of neovascularization. Values are expressed in mean \pm standard deviation, $n=11$. (B, C, D, E) The expression of neovascularization and lymphangiogenesis related genes. Quantification of the mRNA expression levels of (B) thrombomodulin (CD141; Thbd), (C) lymphatic vessel endothelial hyaluronan receptor-1 (LYVE1), (D) vascular endothelial growth factor-A (VEGF) (E) VEGF receptor 1. mRNA expression levels were measured with real-time qRT-PCR and were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are presented as the mean \pm SEM, $n=8$. * $p<0.05$ compared with the control. CD141 staining of the (F) center and (G) periphery of the cornea. 60 x magnification, scale bar 20 μm . Arrow: CD141 positive blood vessel.

338x190mm (300 x 300 DPI)

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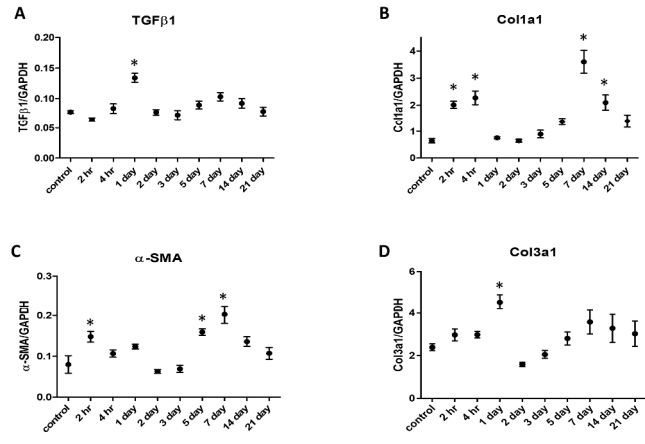


Figure 5

Figure 5. The expression of fibrosis related genes. Quantification of the mRNA expression levels of (A) transforming growth factor-β1 (TGF-β1) (B) α-smooth muscle actin (α-SMA), (C) Collagen type I (Col1a1), (D) Collagen type III (Col3a1) were measured with real-time qRT-PCR and were normalized to the level of Glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are presented as the mean ± SEM, n=8. *p<0.05 compared with the control.

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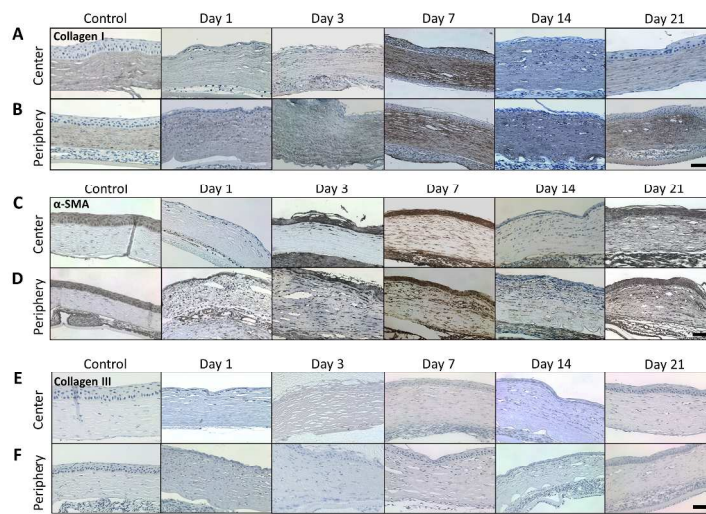


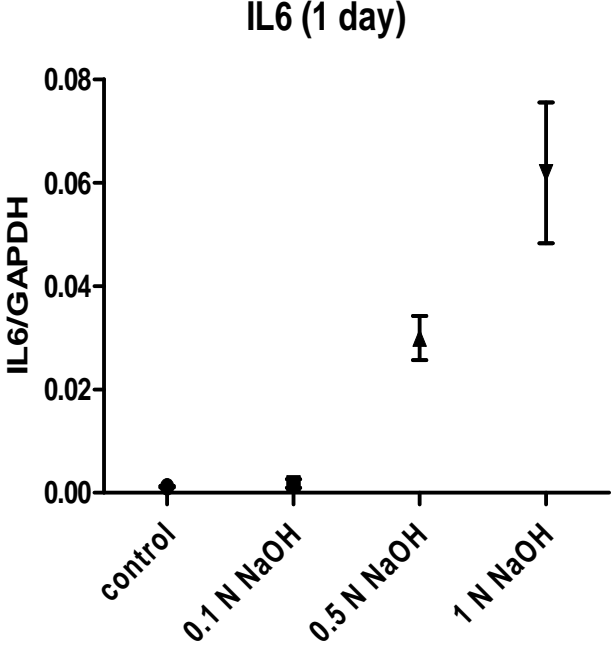
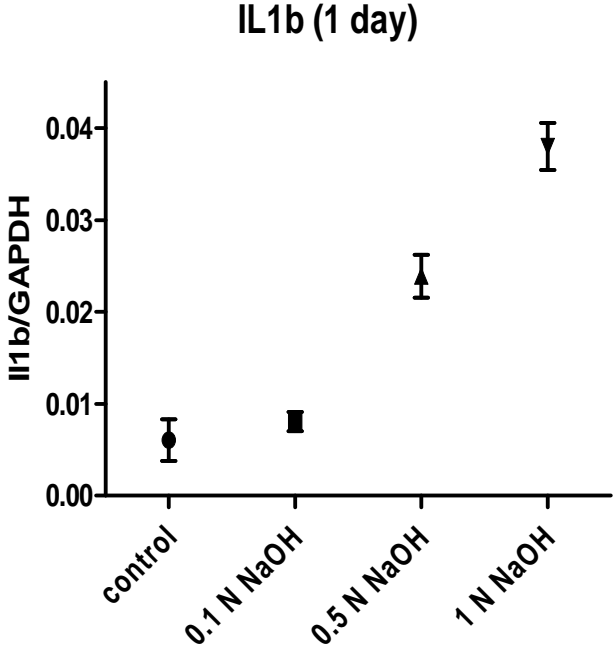
Figure 6

Figure 6. Histology of fibrosis related proteins. Collagen type I staining of the (A) center and (B) periphery of the cornea. The α -SMA staining of the (C) center and (D) periphery of the cornea. Collagen type III staining of the (E) center and (F) periphery of the cornea. 20 x magnification, scale bar 100 μ m.

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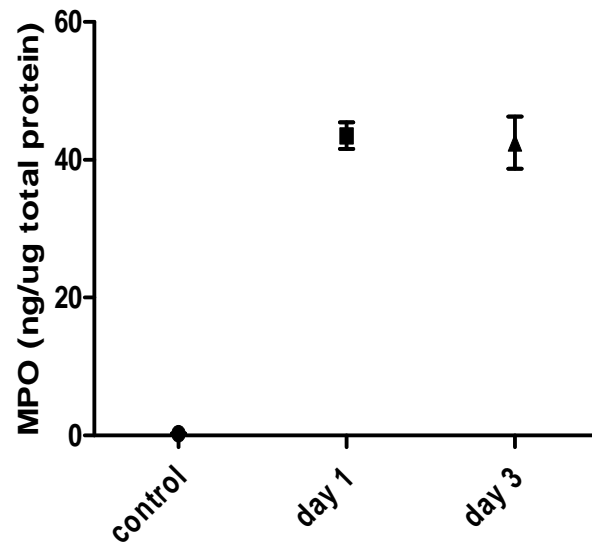
Appendix 3 Final Report

NaOH concentration dependent increase of cytokine expression

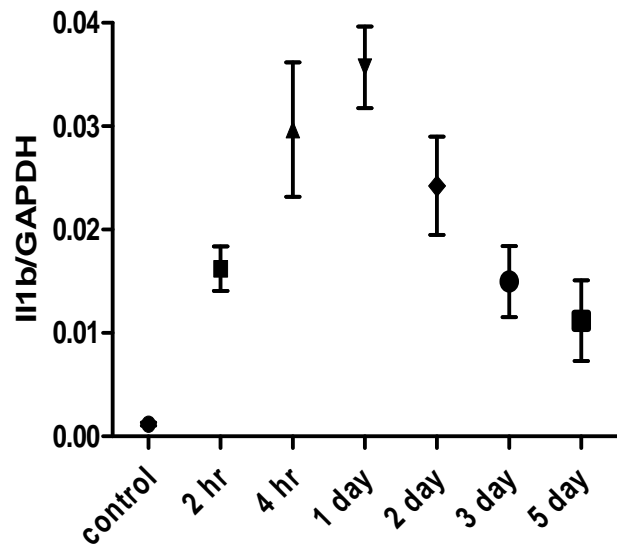


1 N NaOH time course

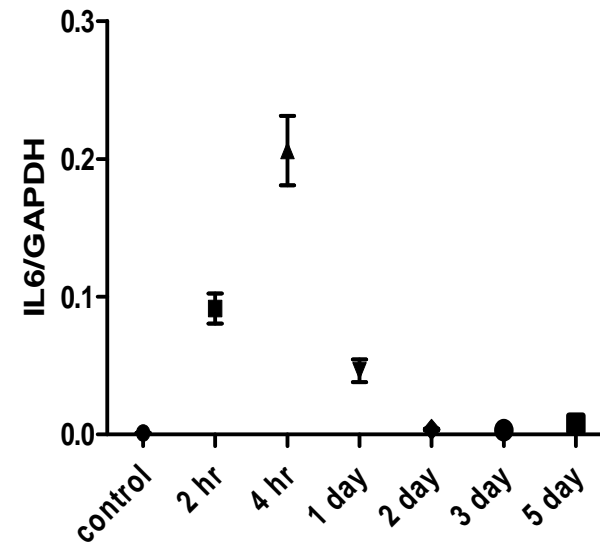
MPO (1 N NaOH)



IL1b (1N NaOH)

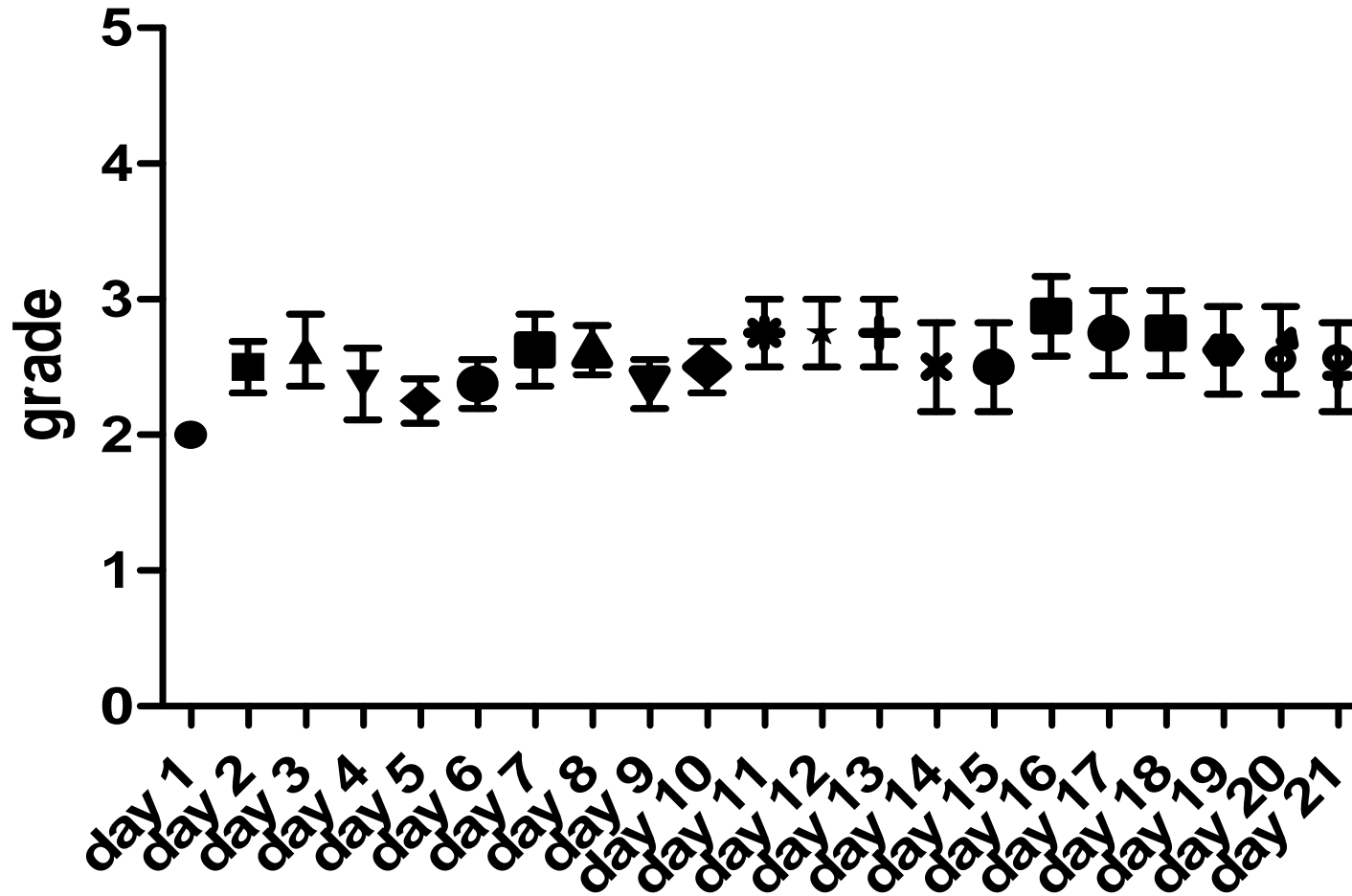


IL6 (1N NaOH)

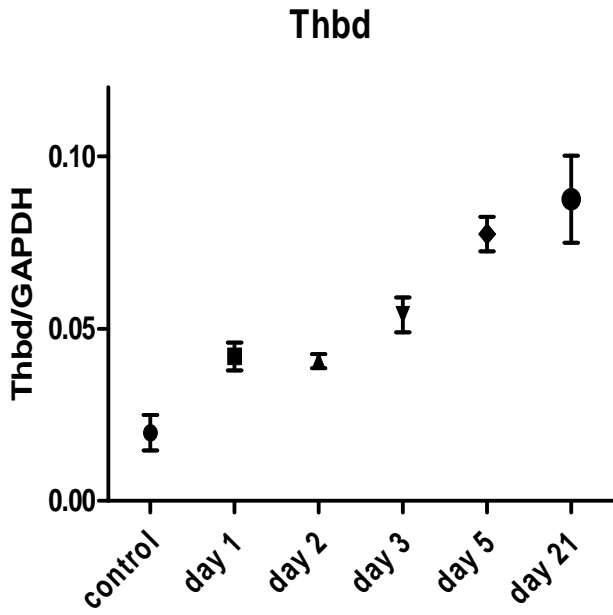


1 N NaOH time course

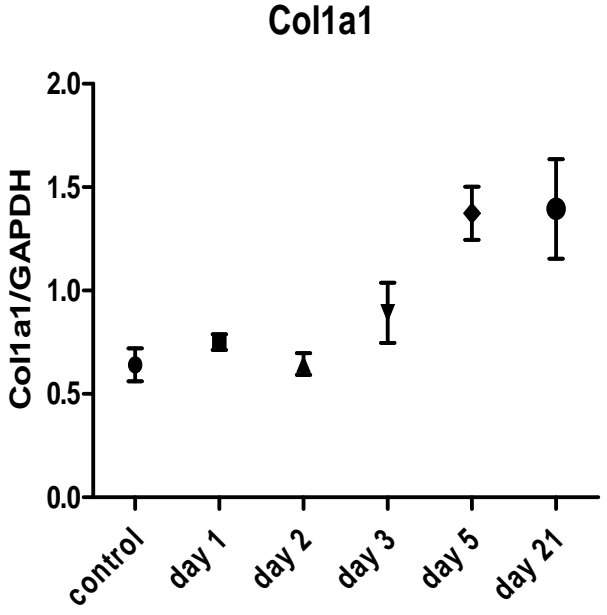
Opacity



Neovascularization and fibrosis by 1 N NaOH injury



Thbd (thrombomodulin) alpha 1)



Col1a1 (collagen, type I,

Appendix 4 Final Report

Corneal Injury Model

AC Injection: TSG-6 / PBS

0.5 N NaOH (30 sec) ; PBS Flush 50 mL

2014-013-R

- **Date of study:**

6-5-15 through 6-12-15

- **Number of animals used:**

8 rats with 0.5 N NaOH injury + TSG-6 AC injection (5 uL; 0.5 ug/uL)

8 rats with 0.5 N NaOH injury + PBS AC injection (5 uL)
= 16 Lewis rats total

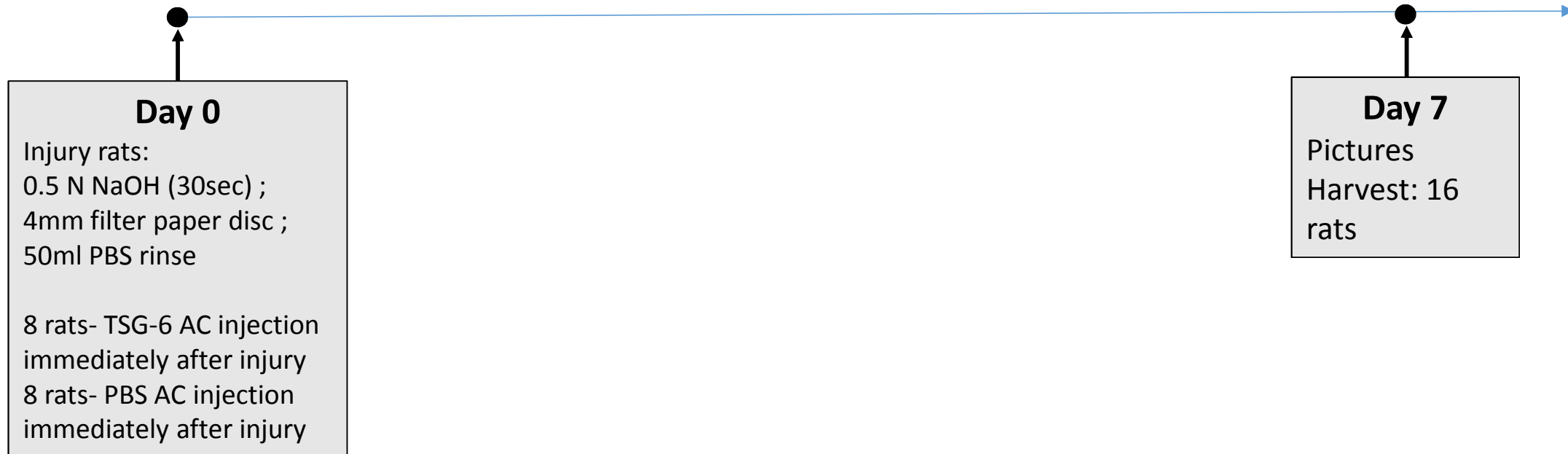
- **Harvest day / number of animals:**

Day 7 harvest / 16 rats



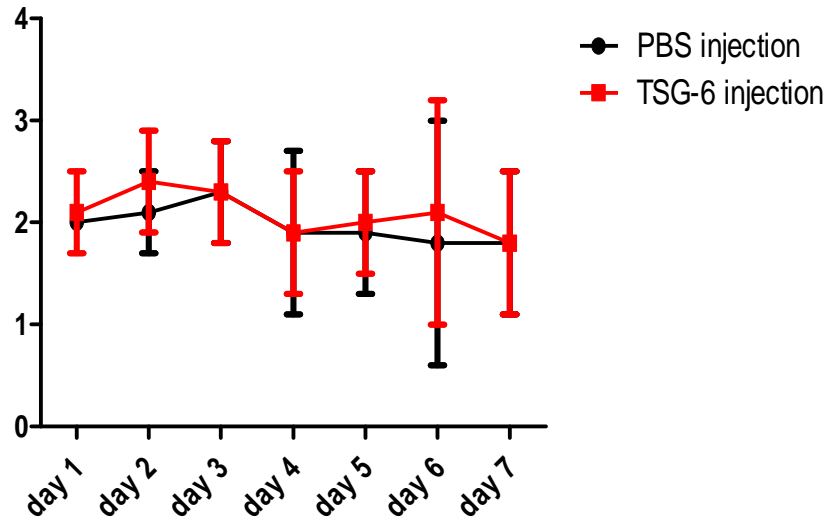
Alkali Injury of Rat Cornea

data reflects 6-5-15 injury date

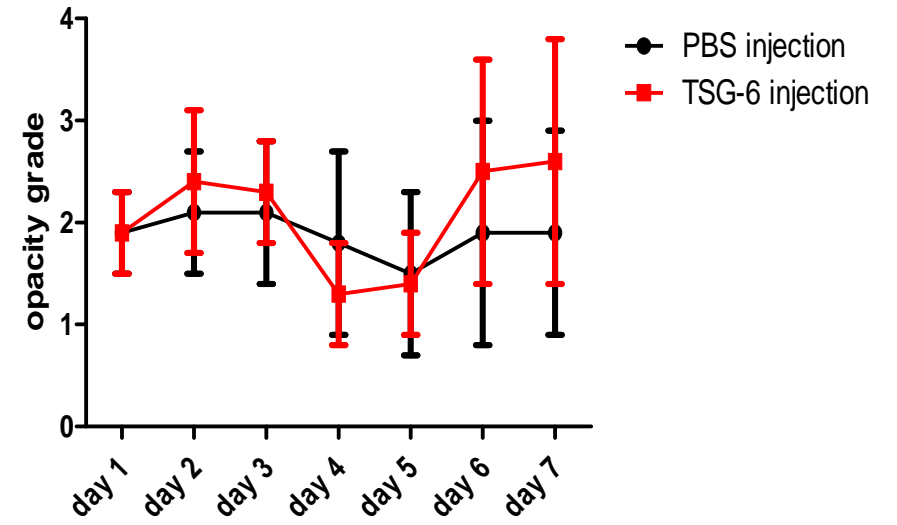


* Photographic data was recorded daily for each rat until time of harvest

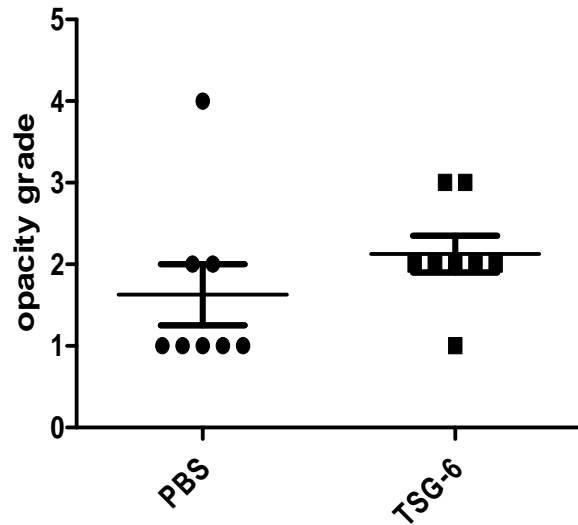
Opacity (Dr. Fulcher)

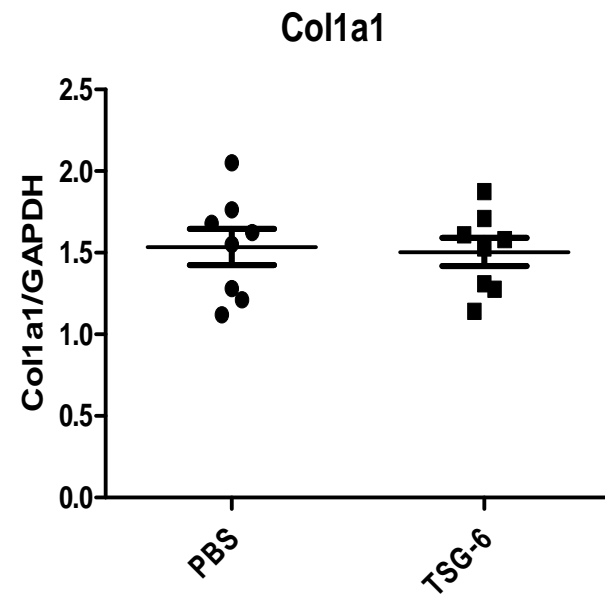
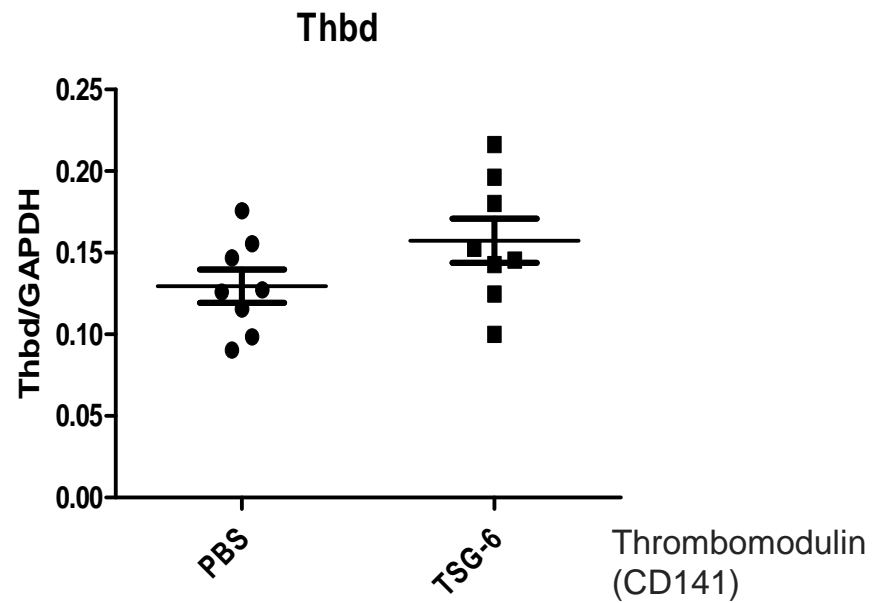
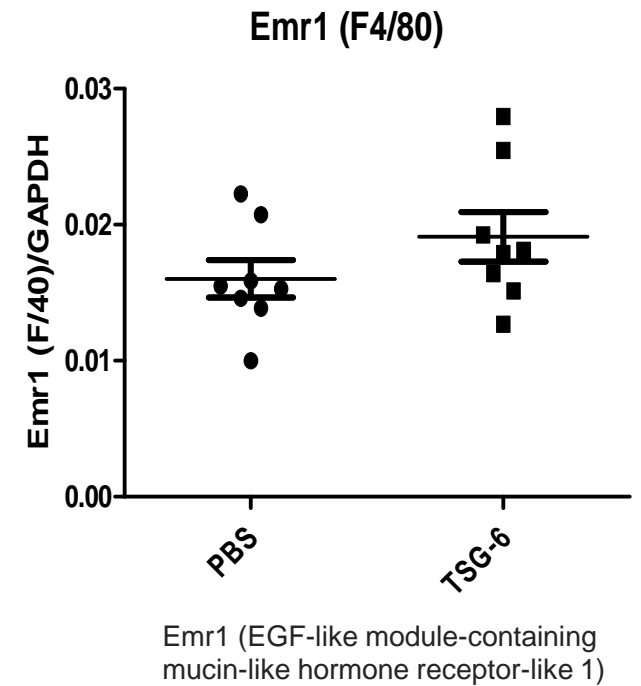
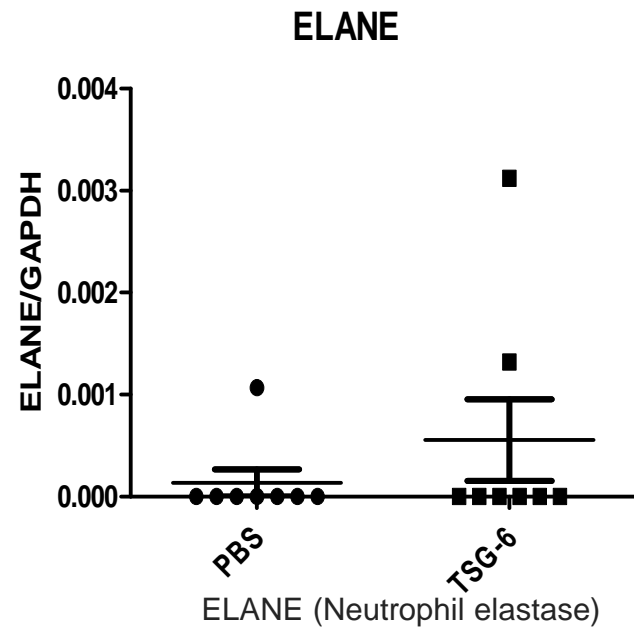
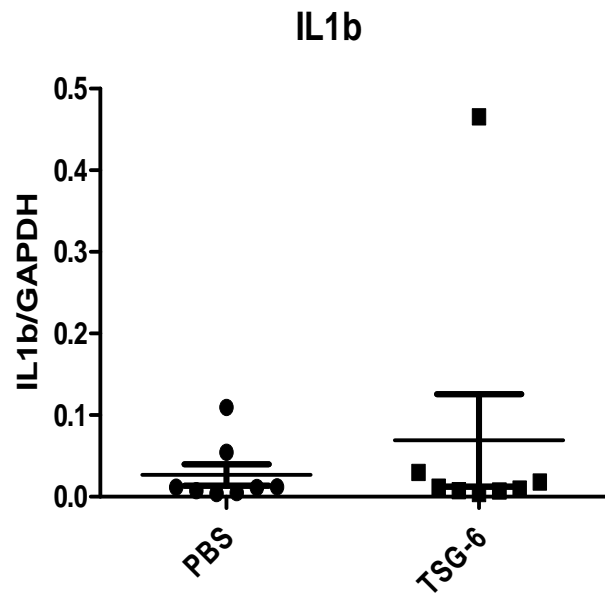


Opacity (Dr. Oh)



Opacity (microscope) day 7





Corneal Injury Model

AC Injection: TSG-6 / PBS

1 N NaOH (30 sec) ; PBS Flush 50 mL

2014-013-R

- **Date of study:**

6-19-15 through 6-26-15

- **Number of animals used:**

8 rats with 1 N NaOH injury + TSG-6 AC injection (5 uL; 0.5 ug/uL)

7 rats with 1 N NaOH injury + PBS AC injection (5 uL)

= 15 Lewis rats total

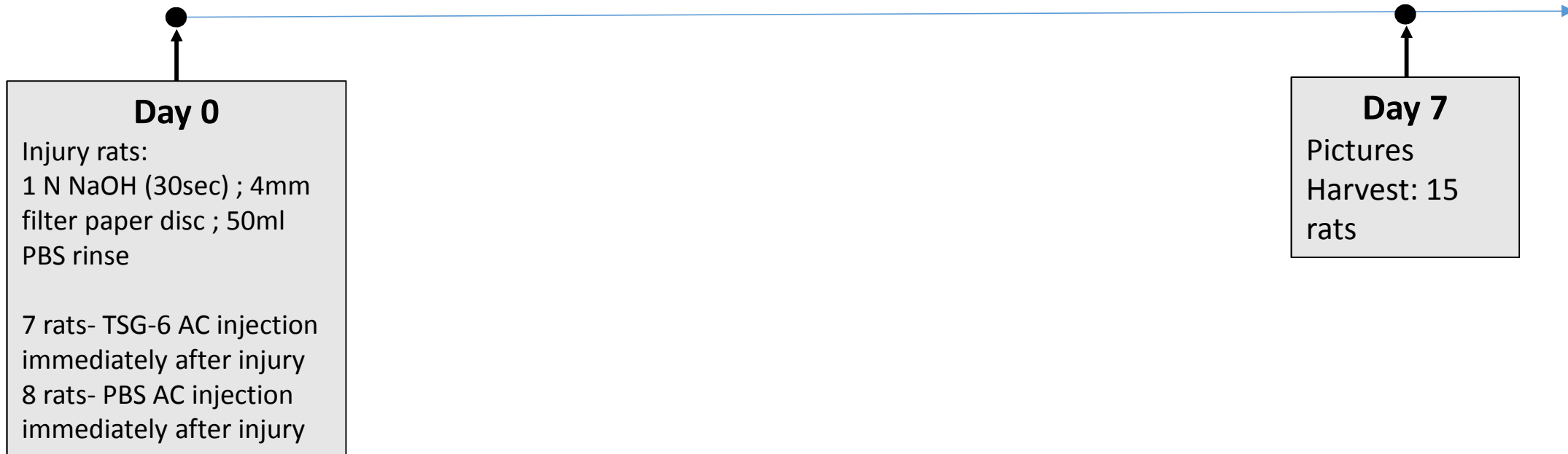
- **Harvest day / number of animals:**

Day 7 harvest / 15 rats



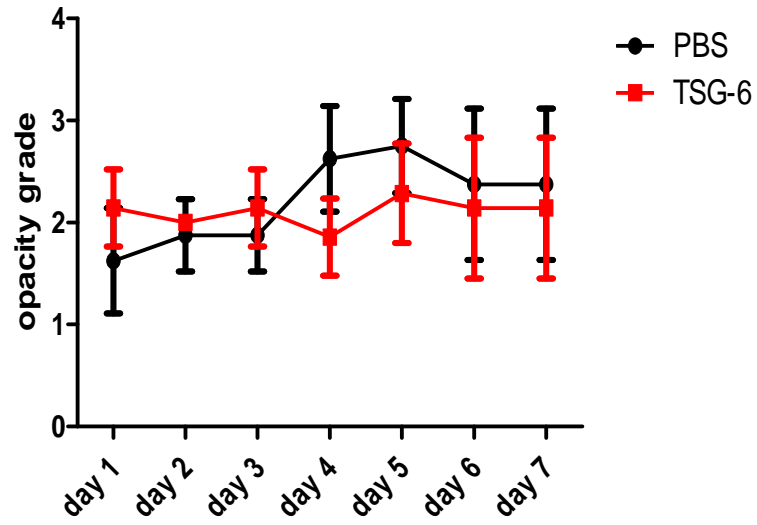
Alkali Injury of Rat Cornea

data reflects 6/19-26

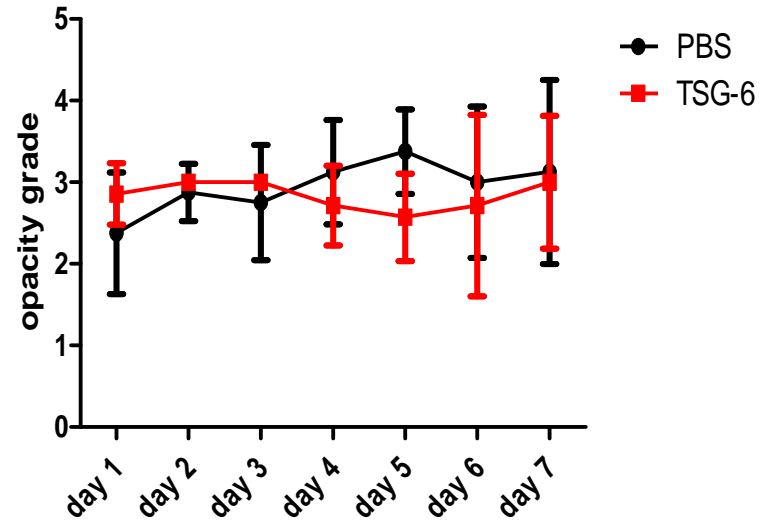


* Photographic data was recorded daily for each rat until time of harvest

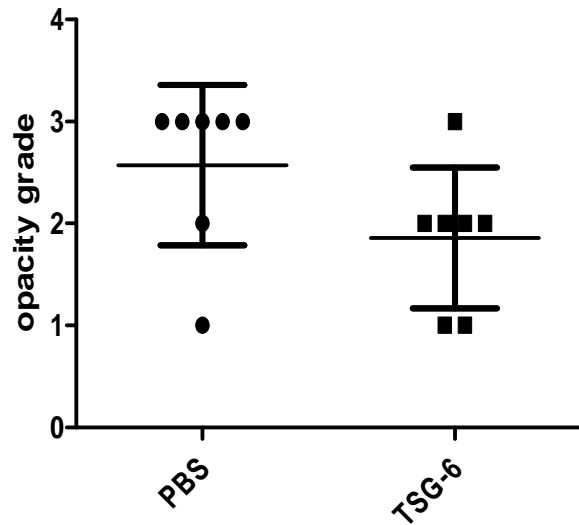
Opacity (Dr. Fulcher)

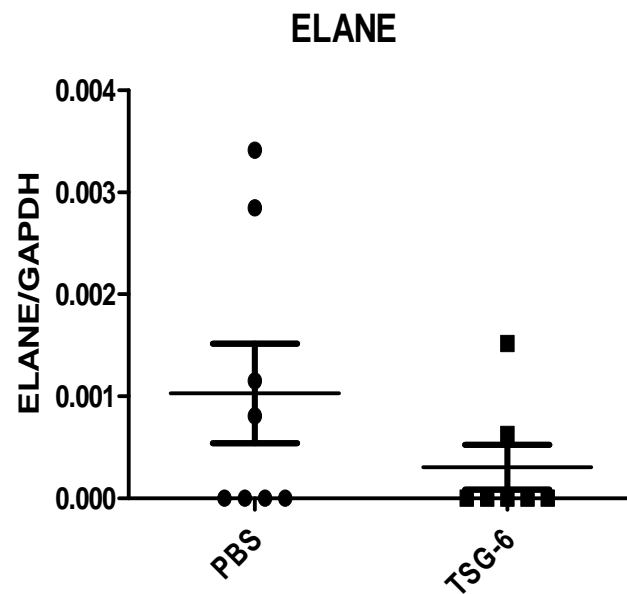
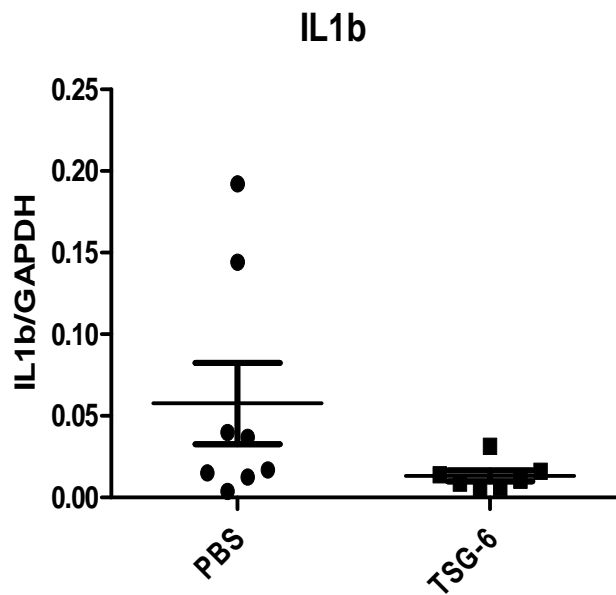


Opacity (Dr. Oh)

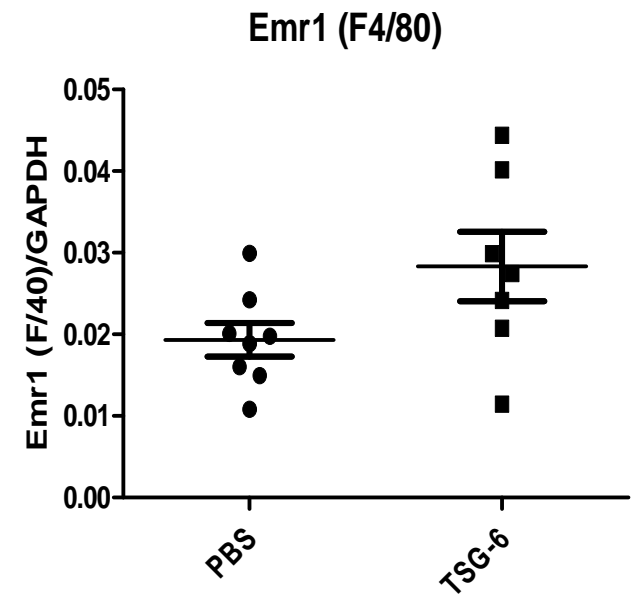


Opacity (microscope) day 7

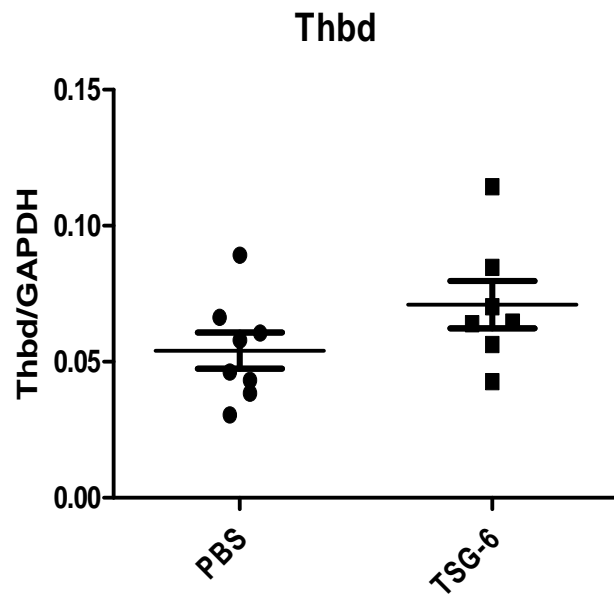




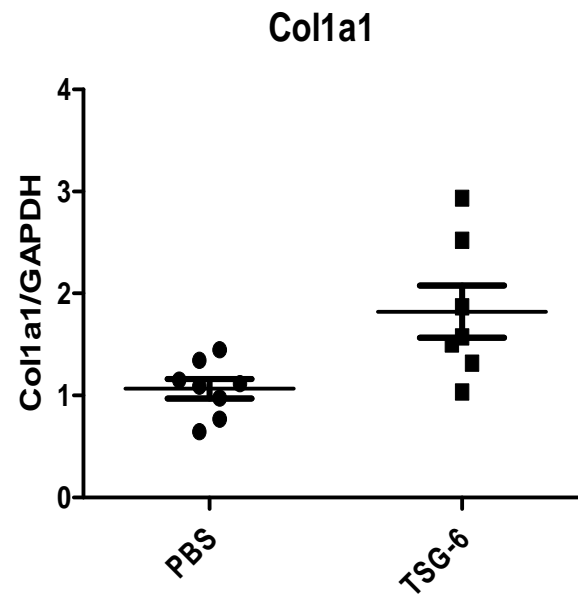
ELANE (Neutrophil elastase)



Emr1 (EGF-like module-containing mucin-like hormone receptor-like 1)



Thrombomodulin (CD141)



Corneal Injury Model

Topical: TSG-6 / PBS

1.0 N NaOH (30 sec) ; PBS Flush 50 mL

2014-013-R

- **Date of study:**

8-6-15 through 8-13-15

- **Method of Treatment:**

Silicone ring (30 min) filled w/TSG-6 or PBS immediately following injury, then 5 μ l drop three times per day (TID)

- **Number of animals used:**

8 rats with 1.0 N NaOH injury + TSG-6 topical

8 rats with 1.0 N NaOH injury + PBS topical

= 16 Lewis rats total

- **Harvest day / number of animals:**

Day 7 harvest / 16 rats



Alkali Injury of Rat Cornea

data reflects 8-6-15 injury date



Day 0

Injury rats:
1.0 N NaOH (30sec) ; 4mm filter
paper disc ; 50ml PBS rinse

8 rats- TSG-6 topical (30min)
immediately after injury, then 5 μ l
drops TID

8 rats- PBS topical (30min)
immediately after injury, then 5 μ l
drops TID

Day 7

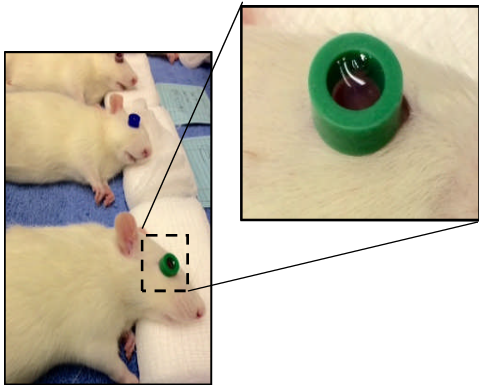
Pictures
Harvest: 16
rats

* Photographic data was recorded daily for each rat until time of harvest

1.0 N NaOH Injury; 30 seconds

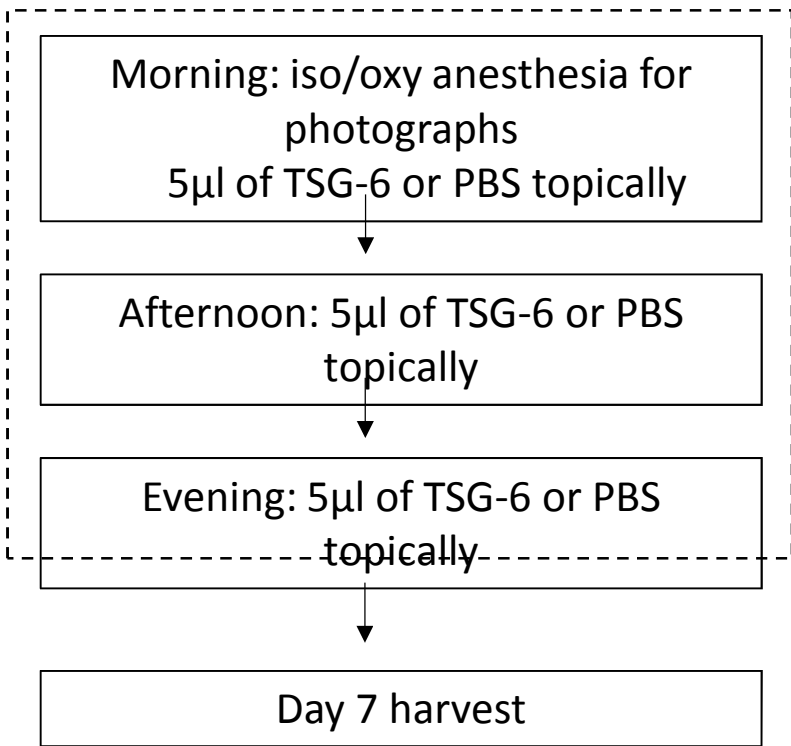


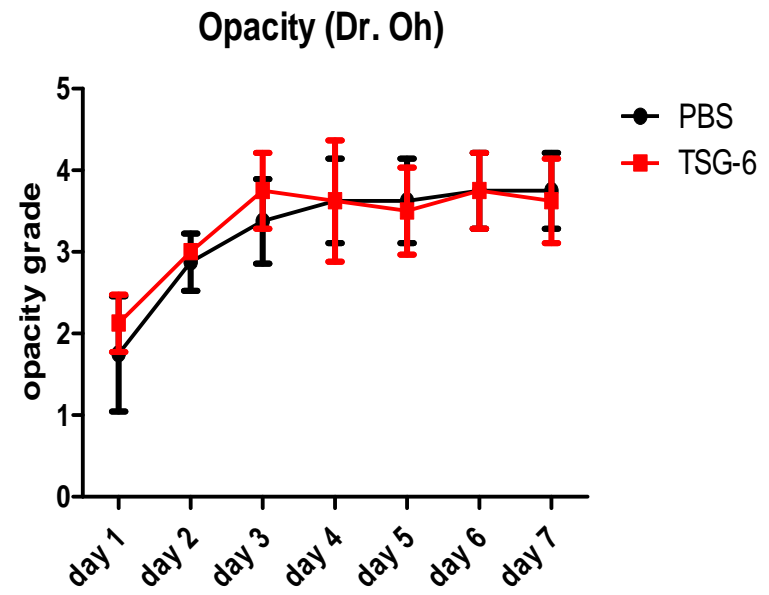
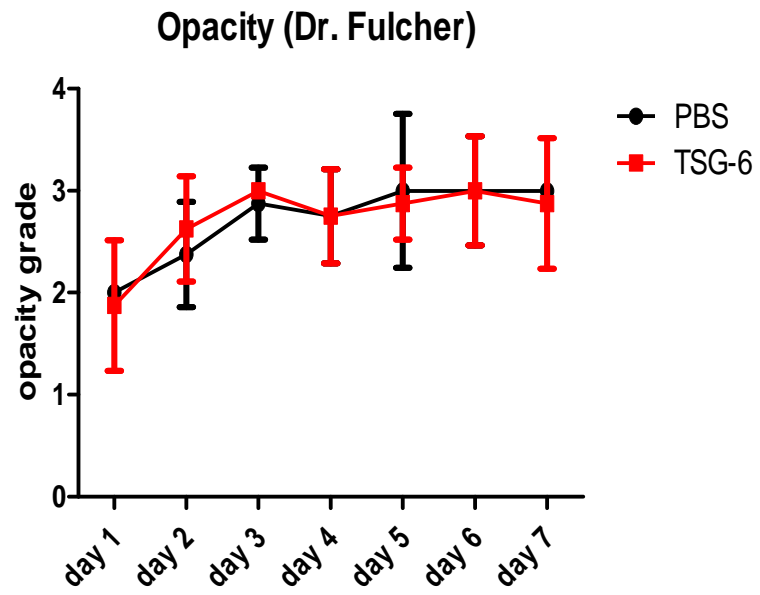
90µl PBS or TSG-6 topically; 30 minutes

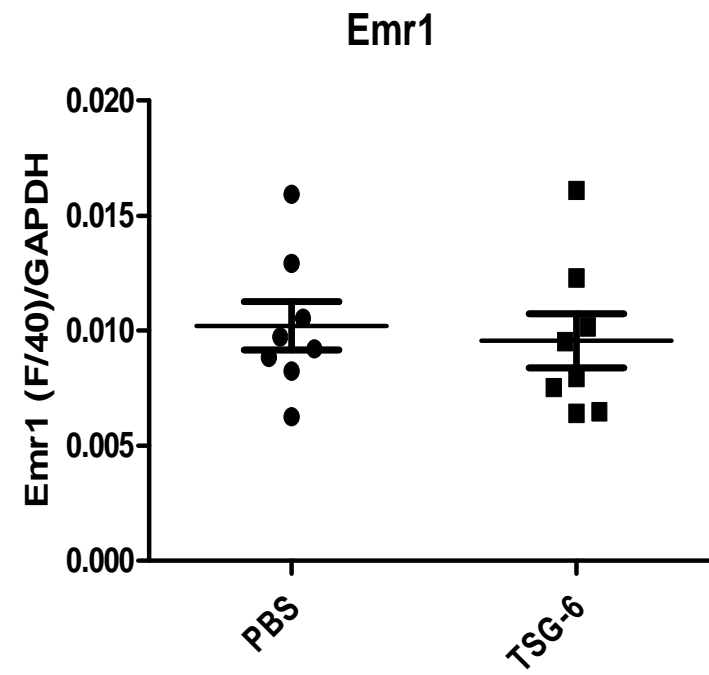
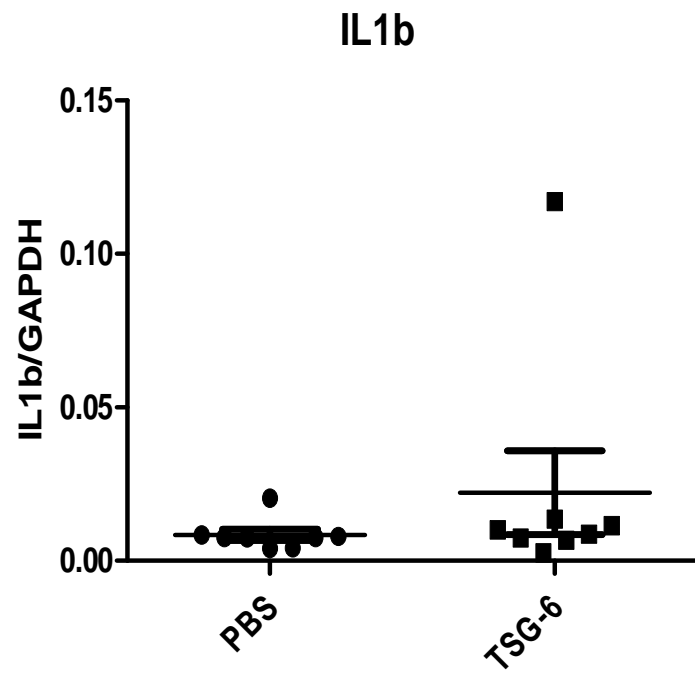


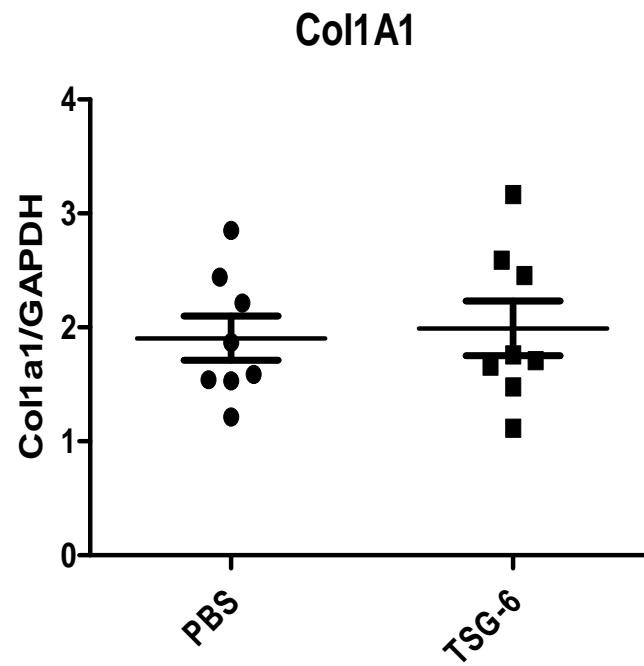
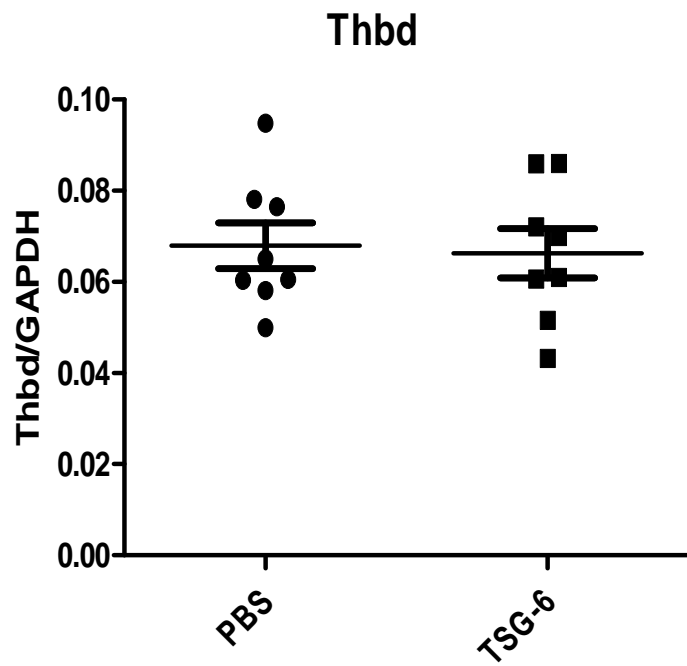
Close eyelids using single suture; suture removal the following morning

Daily:









Corneal Injury Model

IV Injection: TSG-6 / PBS

1.0 N NaOH (30 sec) ; PBS Flush 50 mL

2014-013-R

- **Date of study:**

7-7-15 through 7-12-15

- **Number of animals used:**

7 rats with 1.0 N NaOH injury + TSG-6 IV injection (200 ug/rat)

7 rats with 1.0 N NaOH injury + PBS IV injection (264 uL)

= 14 Lewis rats total

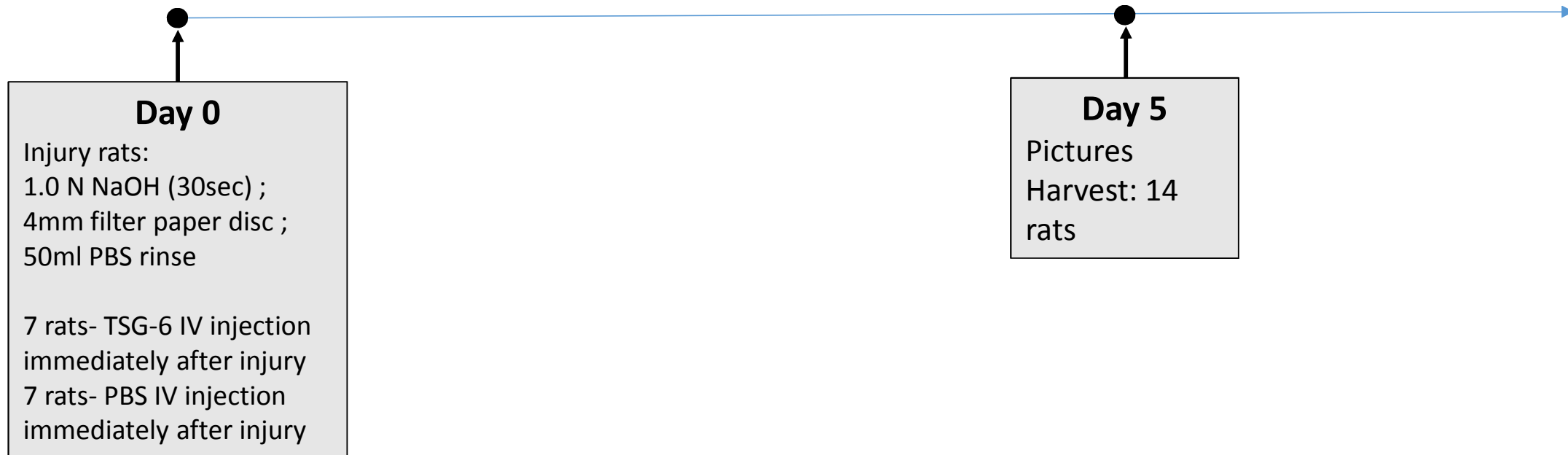
- **Harvest day / number of animals:**

Day 5 harvest / 14 rats



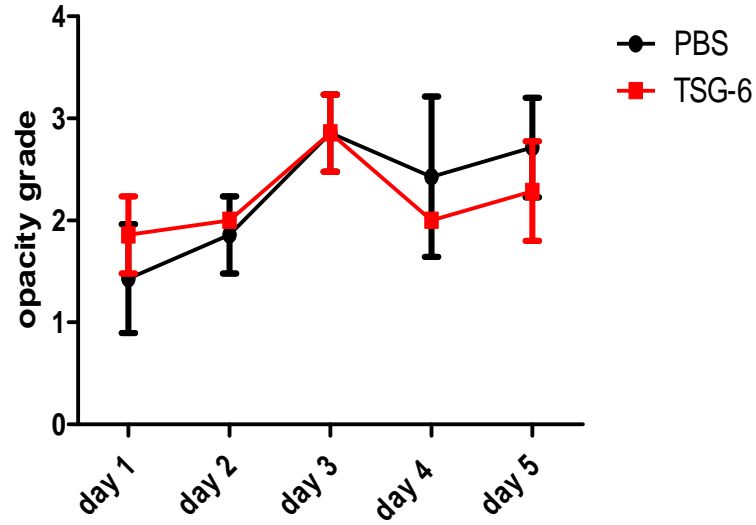
Alkali Injury of Rat Cornea

data reflects 7-7-15 injury date

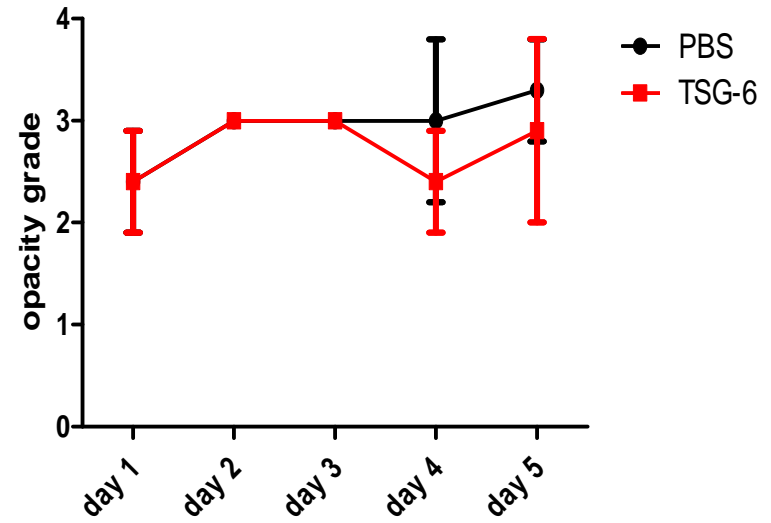


* Photographic data was recorded daily for each rat until time of harvest

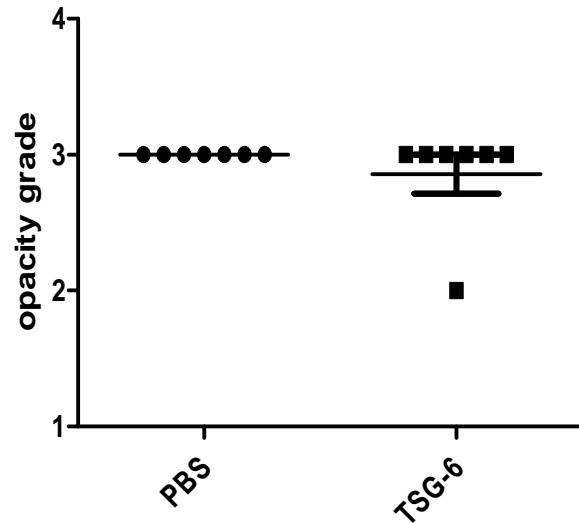
Opacity (Dr. Fulcher)



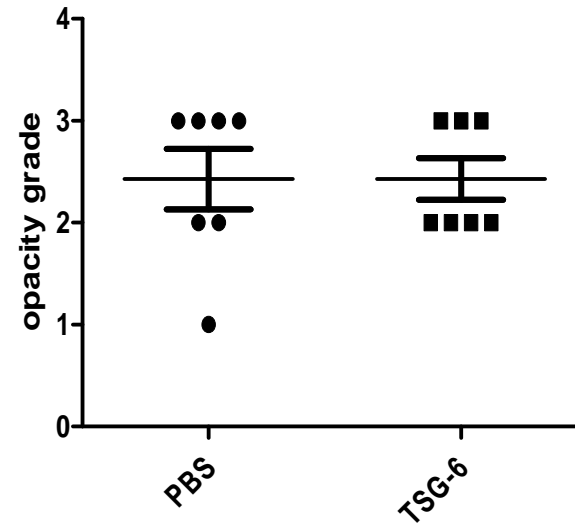
Opacity (Dr. Oh)



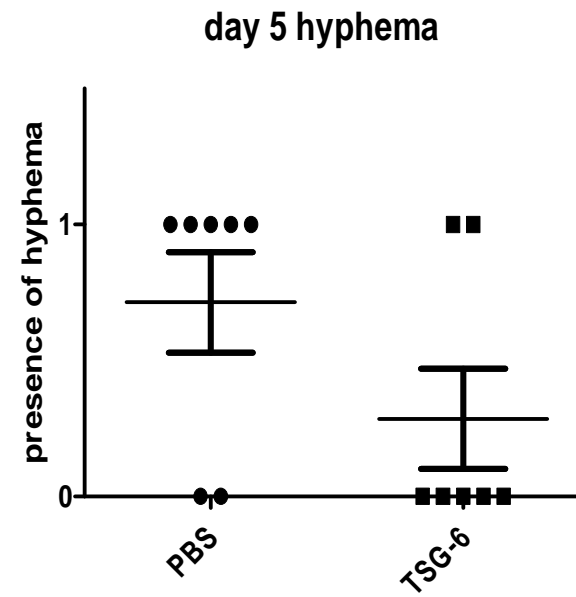
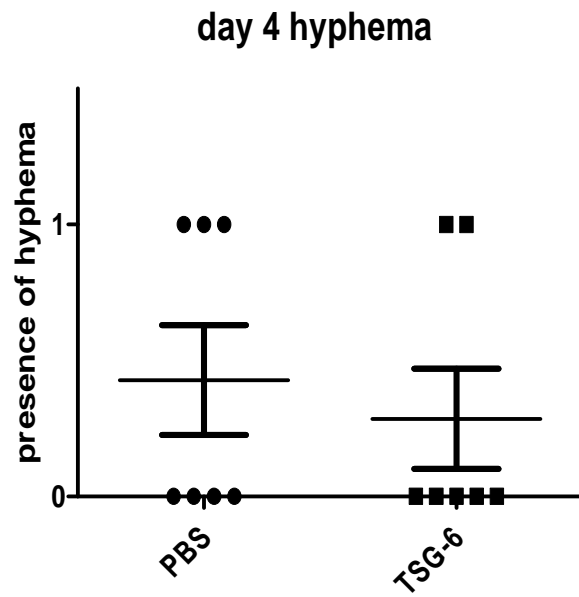
day 3 (microscope)



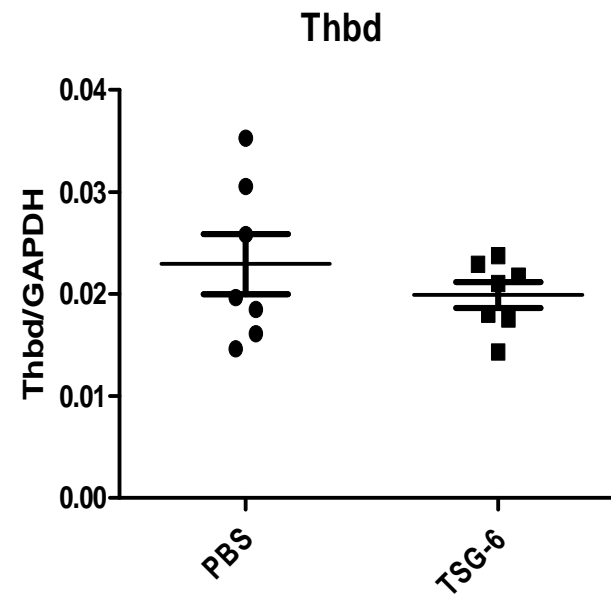
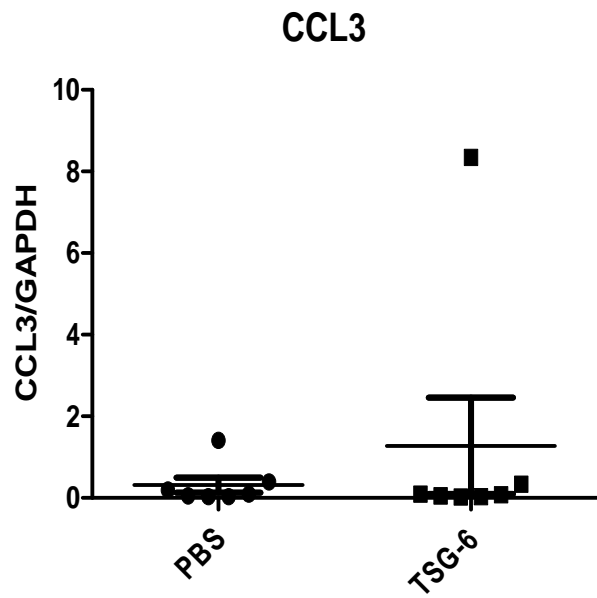
day 5 (microscope)



1N NaOH, IV, day 5

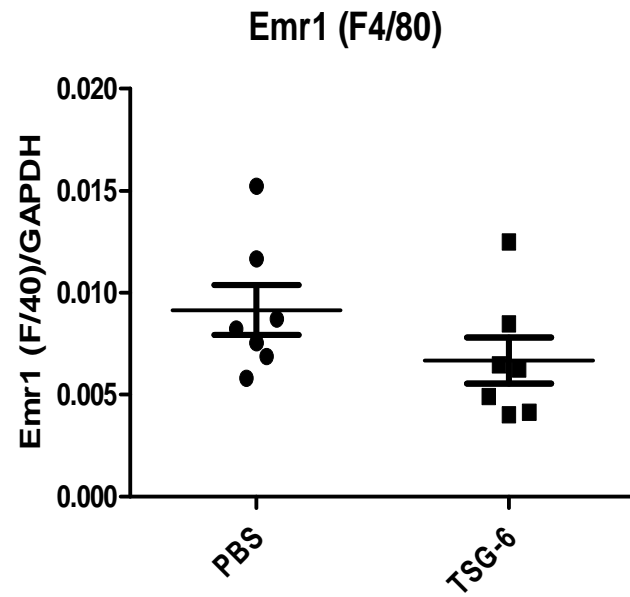


1N NaOH, IV, day 5

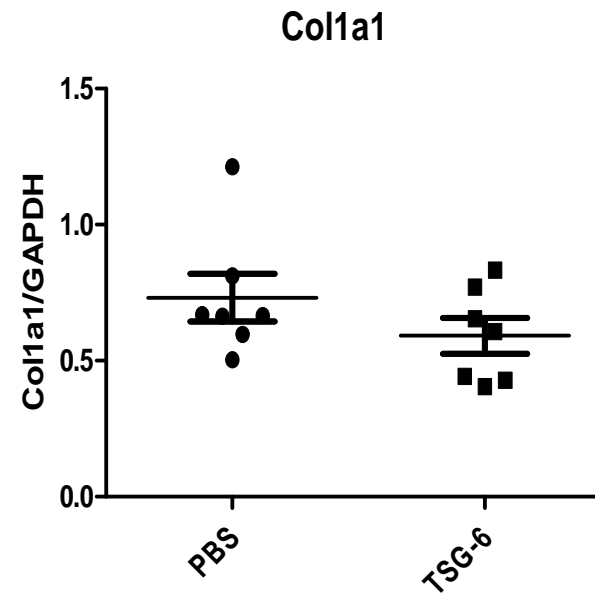


Thrombomodulin
(CD141)

1N NaOH, IV, day 5



Emr1 (EGF-like module-containing mucin-like hormone receptor-like 1)



Appendix 5

Presented at the American Society of Cataract and Refractive Surgery Annual Symposium, 5-9 May 2017, Los Angeles, CA

The Efficacy of TSG-6 for Acute Corneal Alkali Injury in a Rat Model

Samuel Fulcher MD¹

Hosoon Choi PhD¹

Casie Phillips¹

Joo Youn Oh MD PhD²

Roxanne Reger MS³

¹Central Texas Veterans Health Care System; ²Seoul National University;

³Texas A&M Institute for Regenerative Medicine

Financial Disclosure

No authors have any financial interests to disclose

IACUC approved protocol

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Chemical Injuries of the Cornea

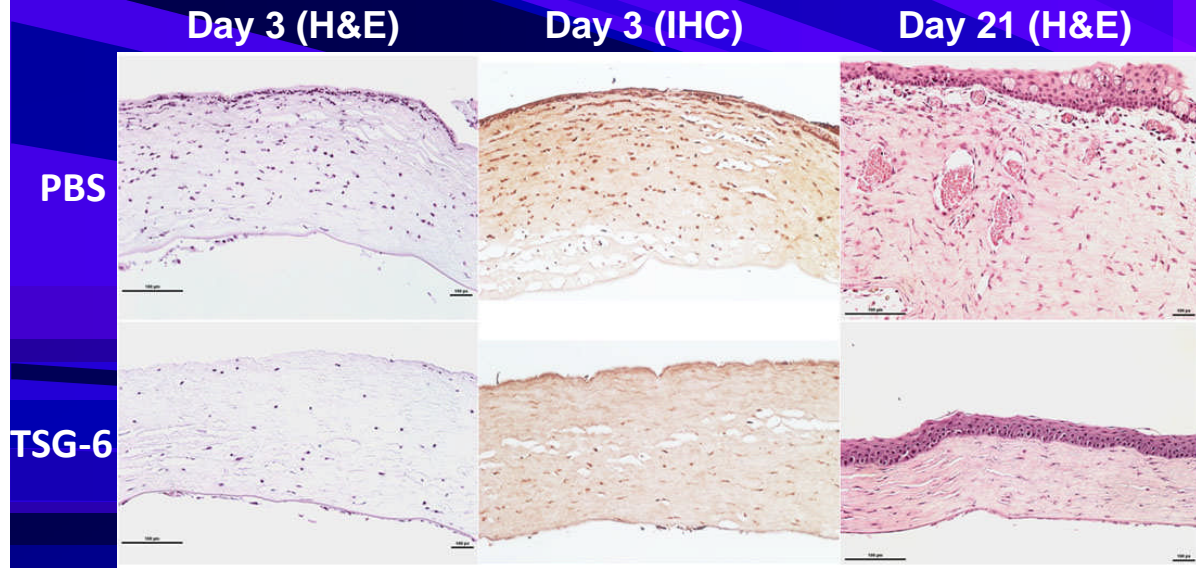
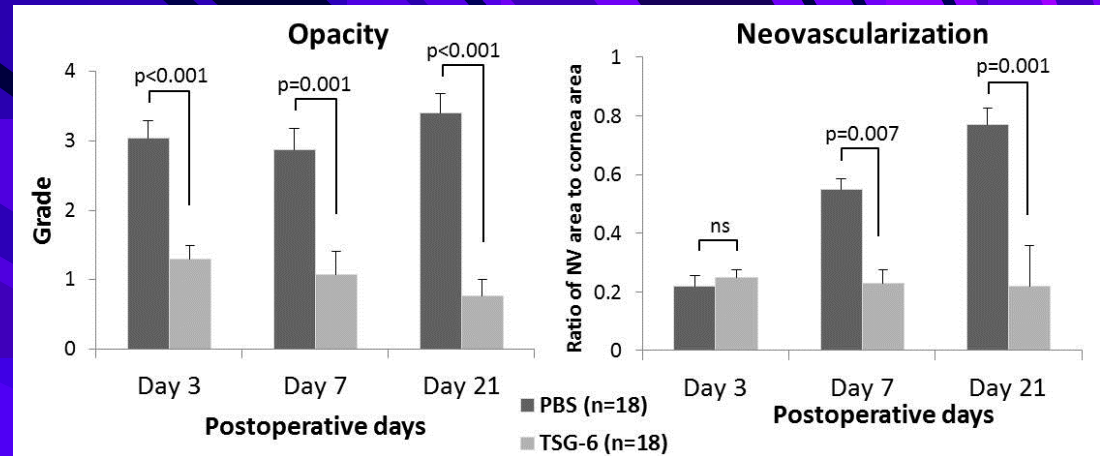
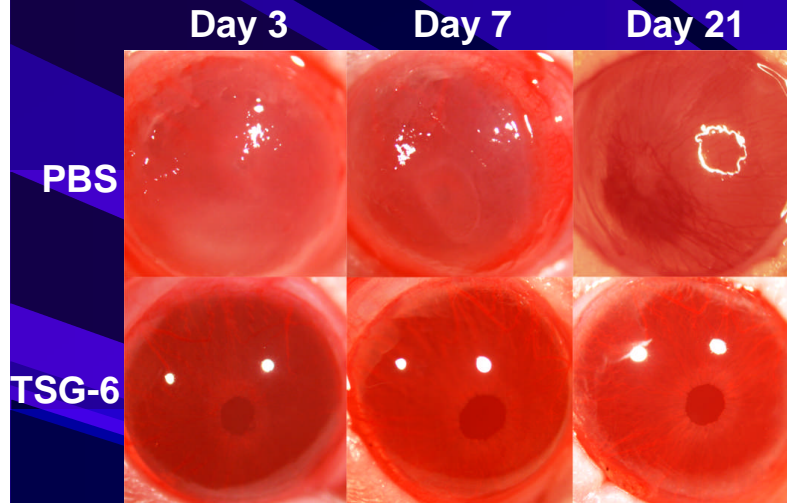
Tissue Damage:

- ... Direct chemical injury
- ... Compounded by inflammation
- ... Steroids and NSAIDS may amplify

A Potential Solution:

- ... The protein **"TSG-6"**
 - ... TNF-stimulated gene 6 protein
 - ... modulator of inflammation
 - ... novel mode of action

MOUSE ETHANOL/SCRAPING MODEL



Anterior chamber (AC) TSG-6

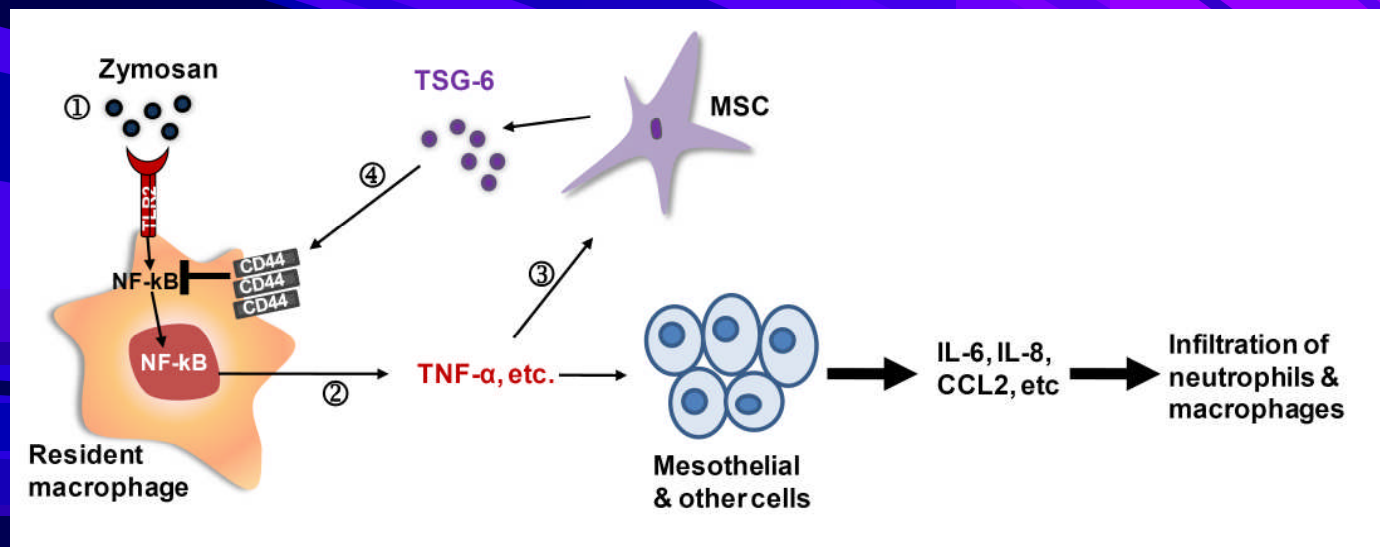
- Reduced opacity**
- Reduced neovascularization**
- Decreased invasion of inflammatory cells**

Unique Mechanism of TSG-6

... A natural negative feedback loop for inflammation

...Released by Mesenchymal Stem Cells (MSCs)
blocks macrophage NF- κ B pathway via CD44 receptor
“first responders” to tissue injury

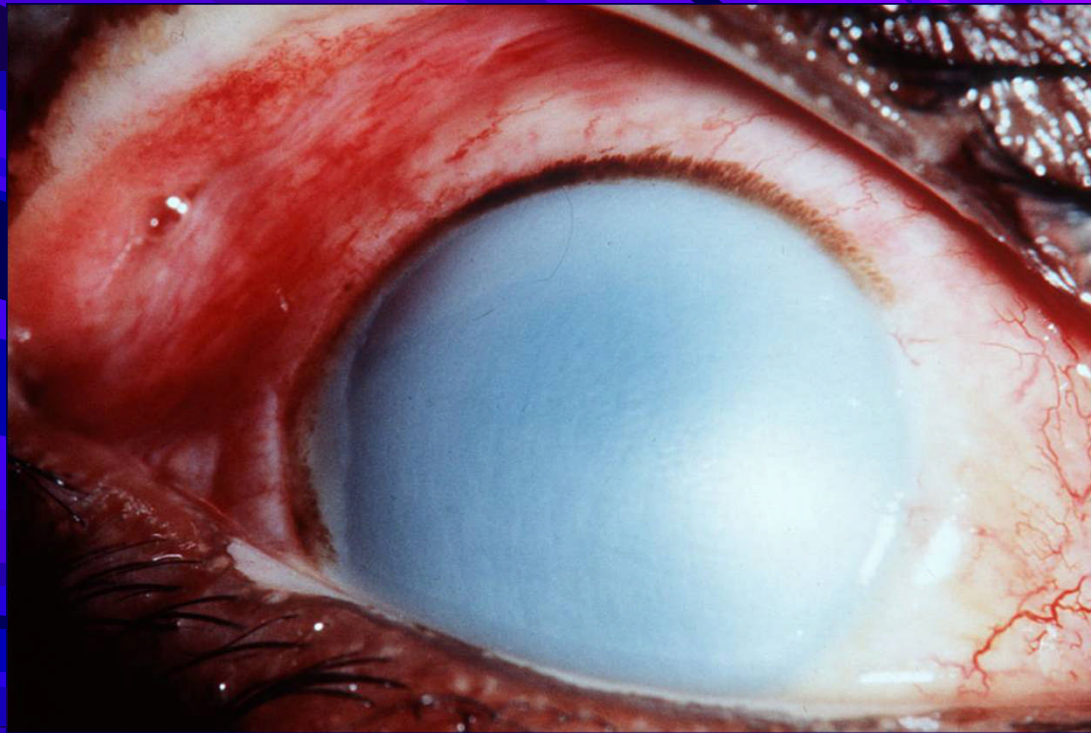
...no known toxic effects in eye or other tissues



A More Difficult Injury: **Corneal Alkali Burn**

...alkali penetrates rapidly and deeply

...requires prolonged irrigation to reduce pH



Experimental Design

Lewis Rats (8 per group)

0.5N NaOH, 4 mm paper, 30 sec exposure, extensive rinse

TSG-6: Topical qts tid 0.75 ug/ml

AC 4 ug

IV 200 ug

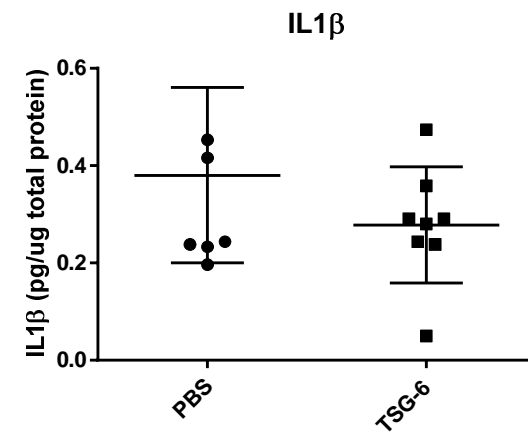
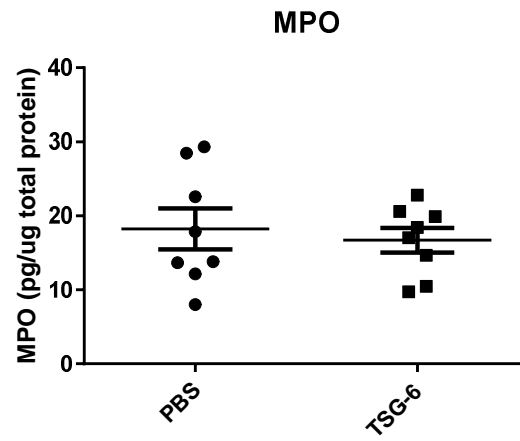
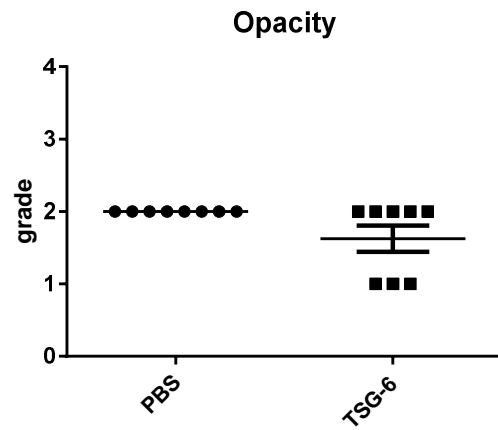
PBS controls

Inflammatory marker assays

Opacity Grading

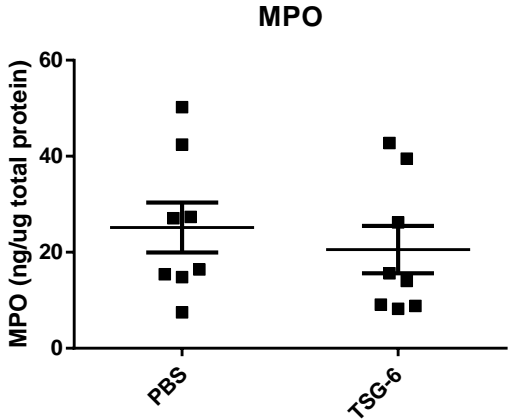
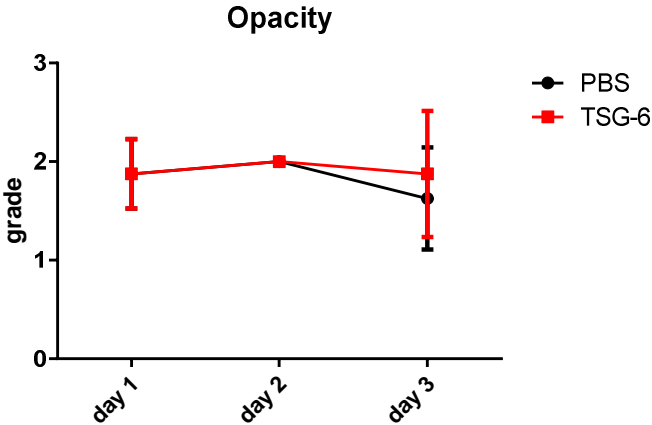
TOPICAL TSG-6

Day 1

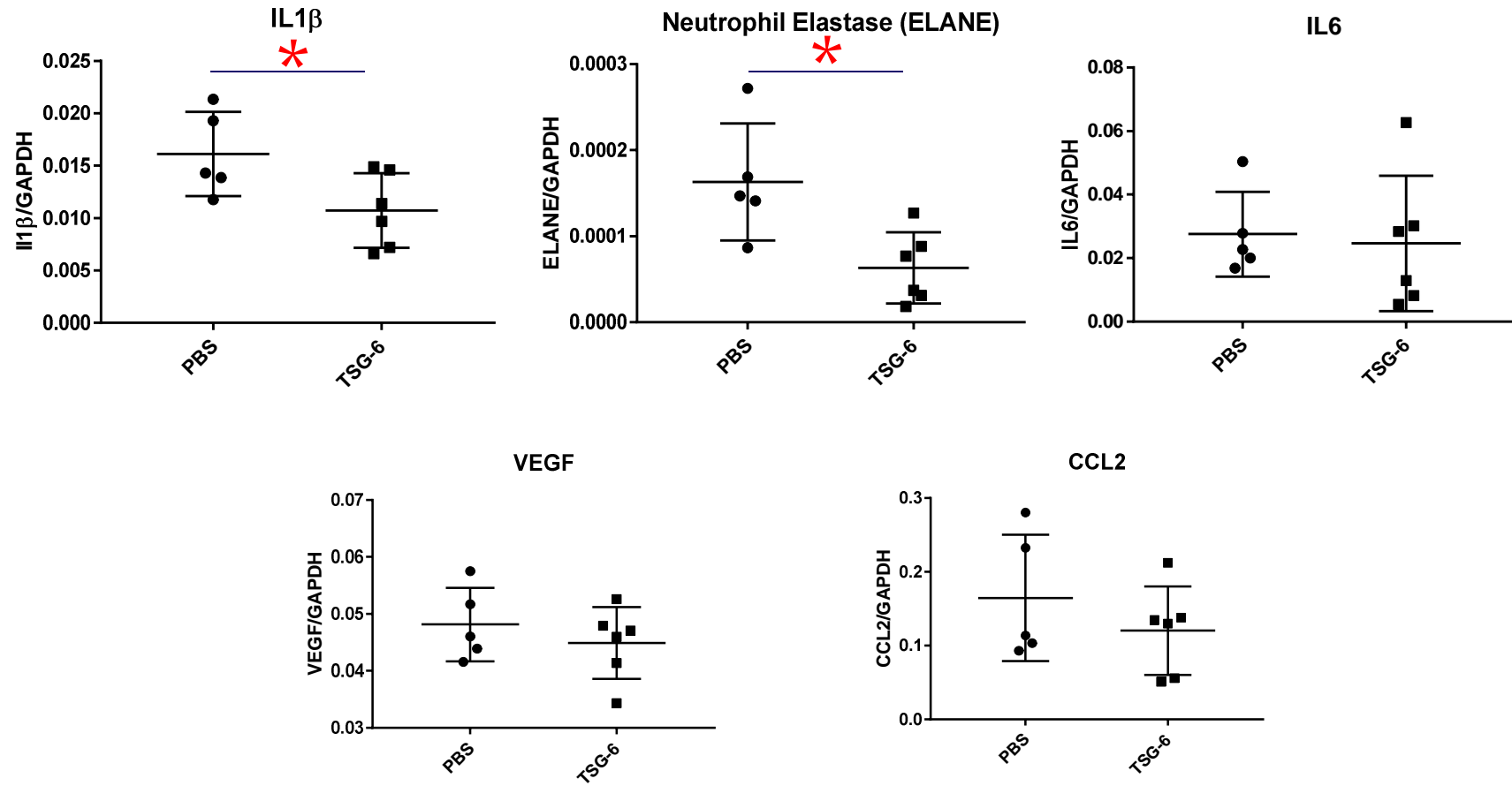


TOPICAL TSG-6

Day 3

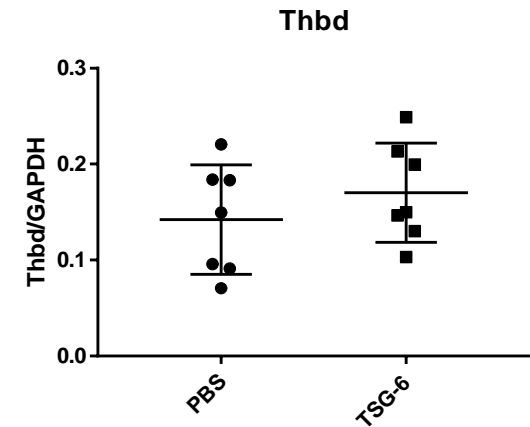
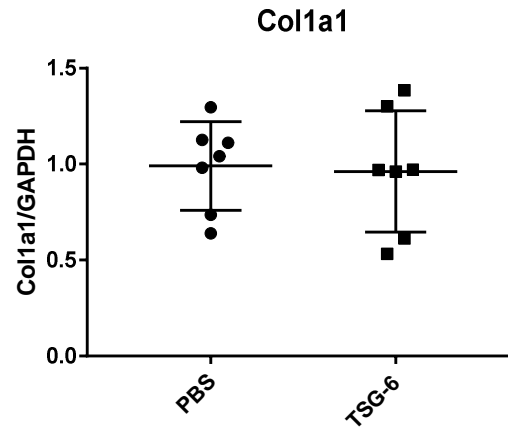
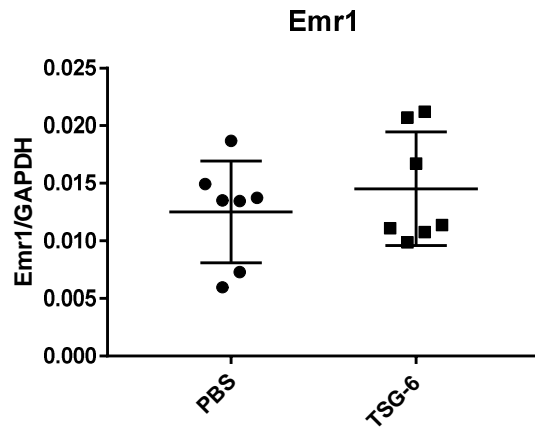
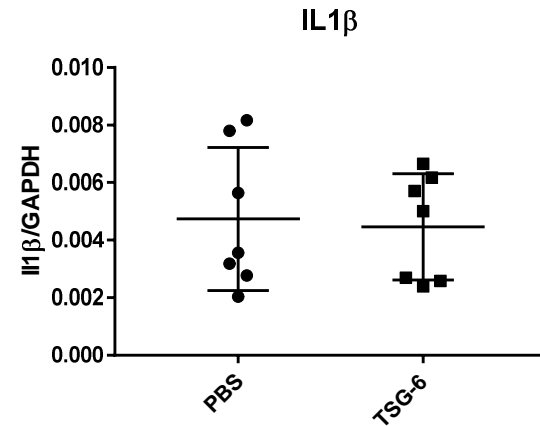
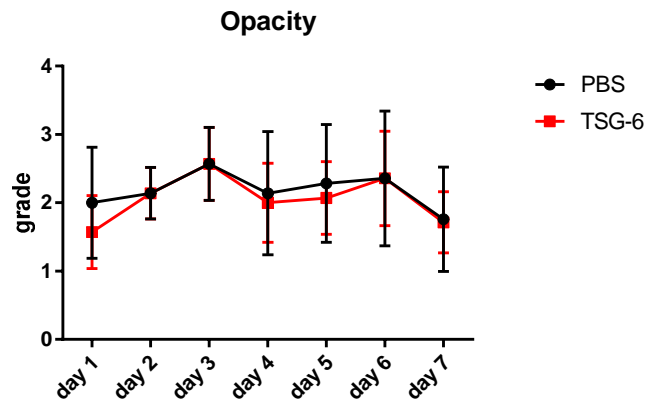


IV TSG-6 Day 1

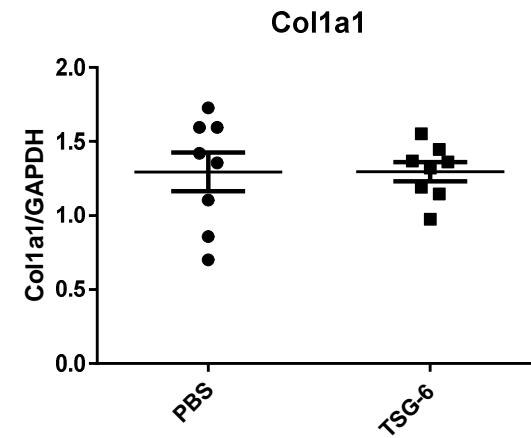
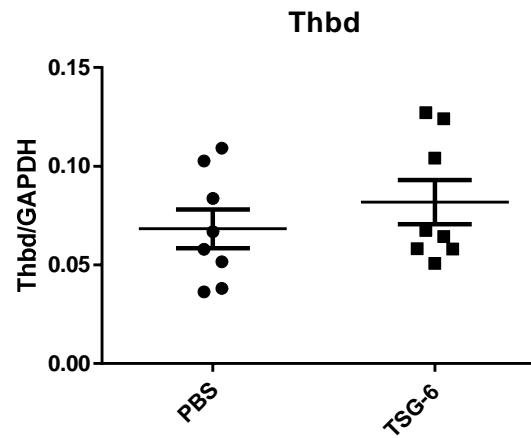
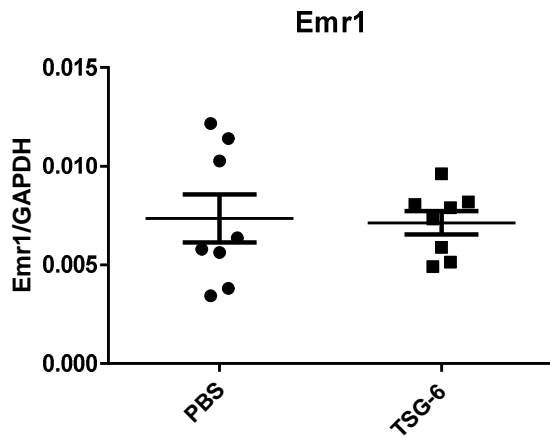
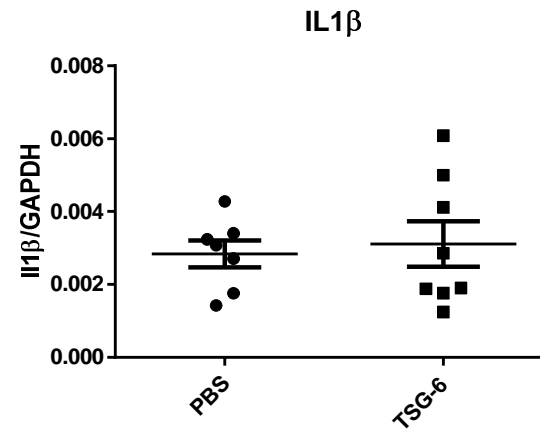
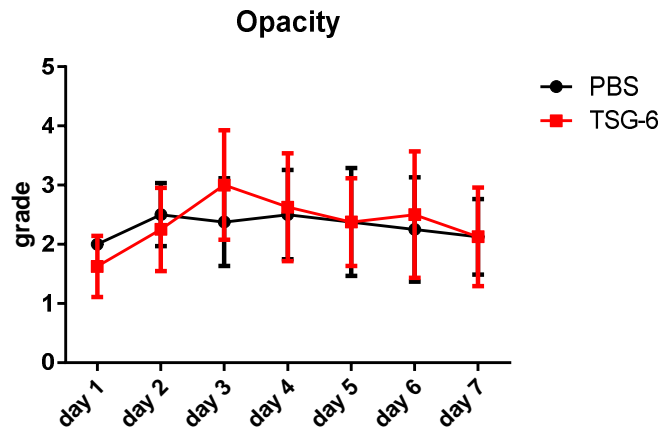


IV TSG-6

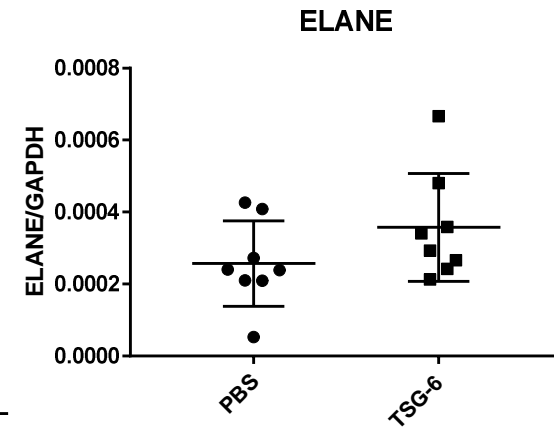
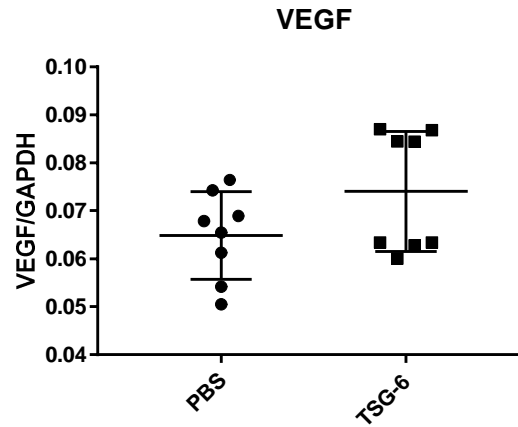
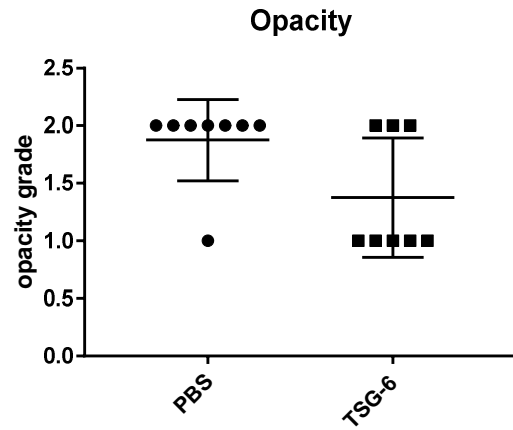
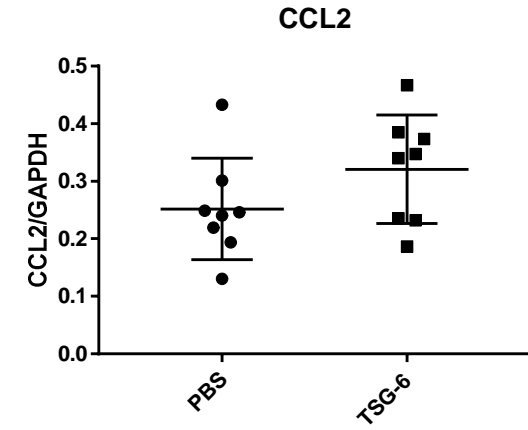
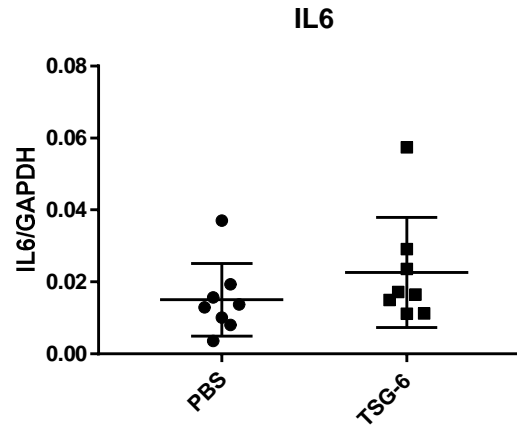
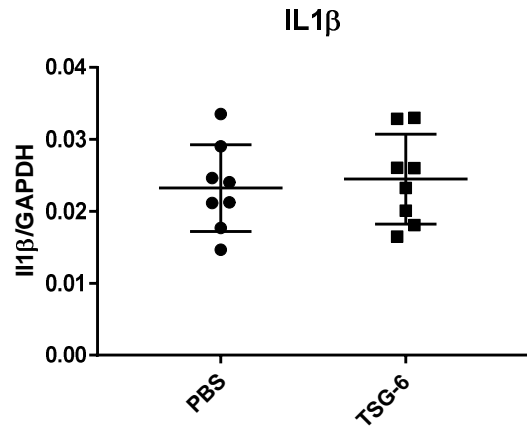
Day 7



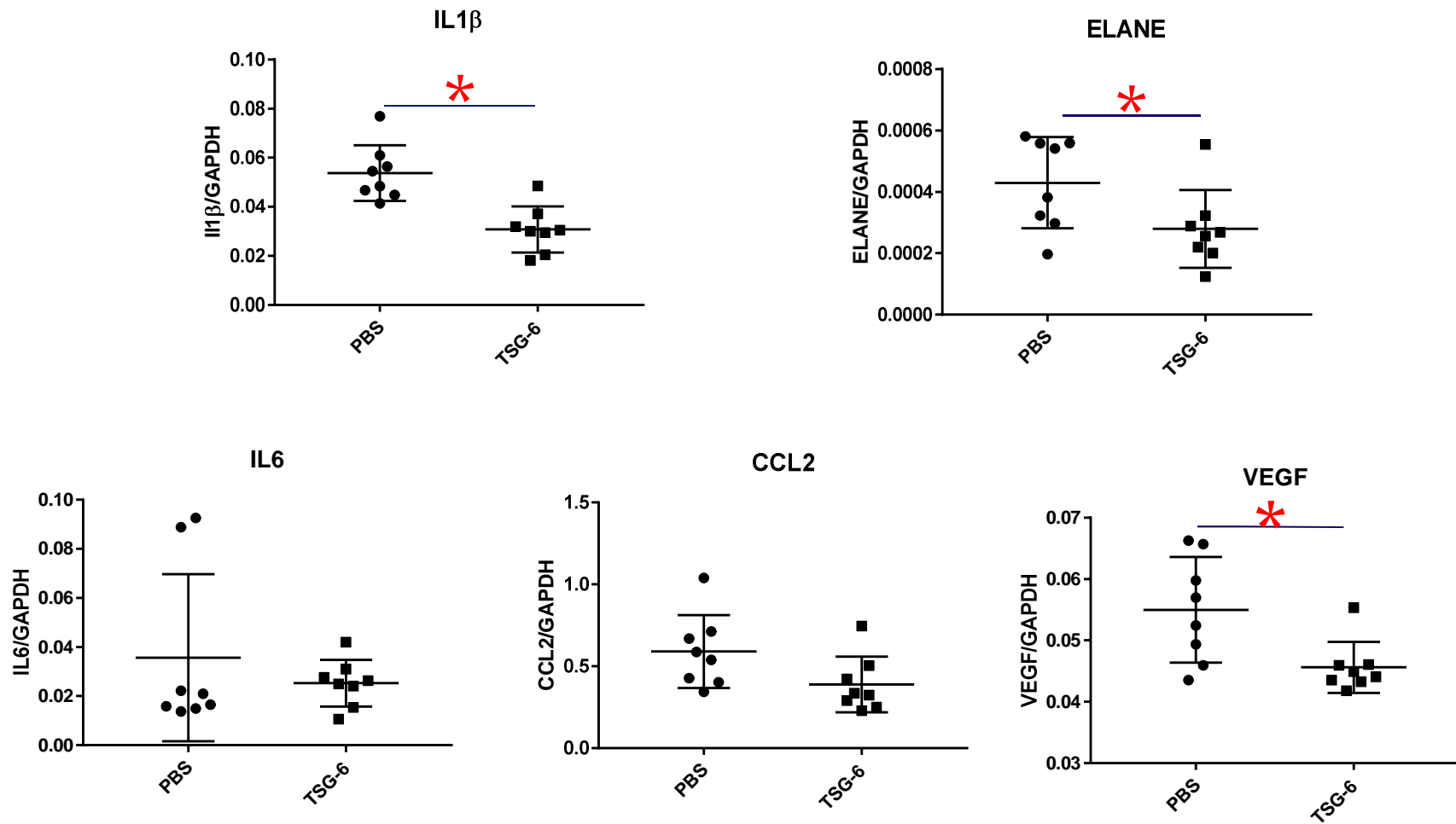
MULTI DOSE IV TSG-6 0, 6, 24 HOURS DAY 7



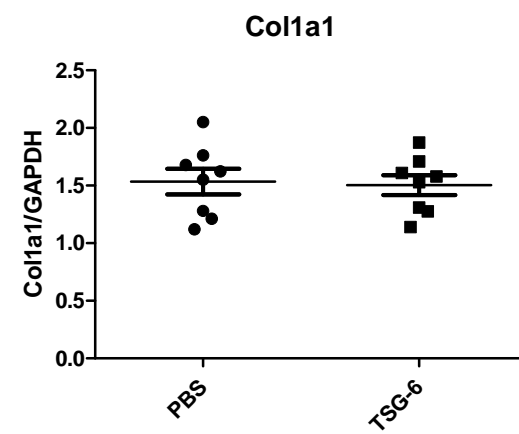
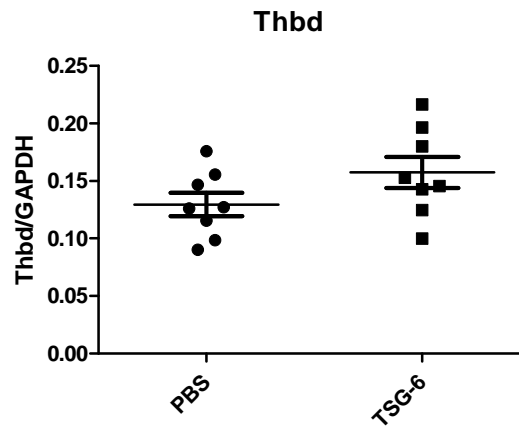
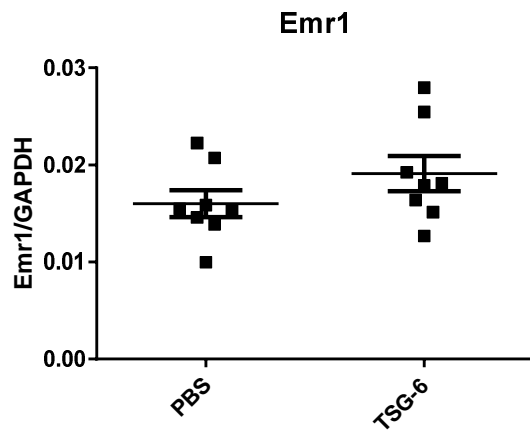
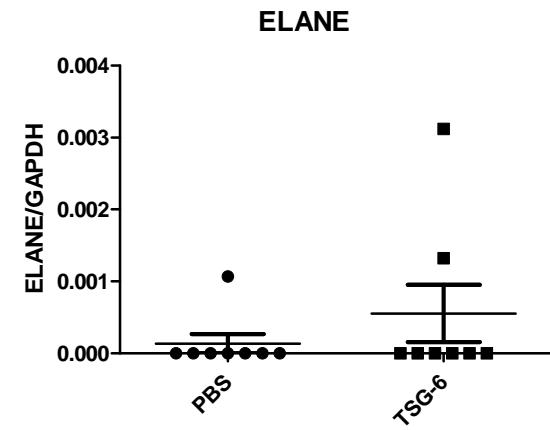
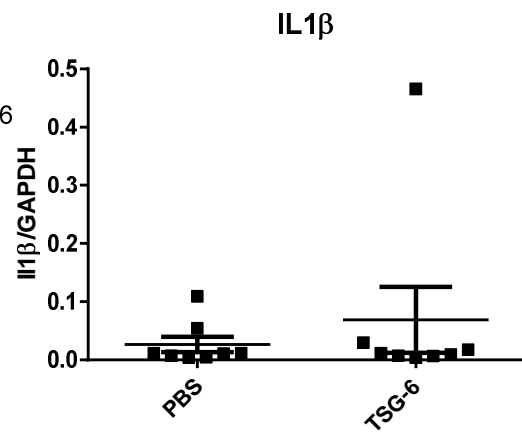
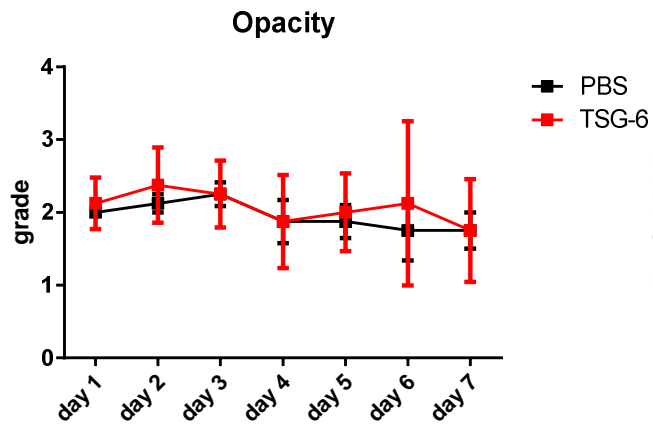
HIGH DOSE IV TSG-6 400 ug DAY 1



AC TSG-6 Day 1



AC TSG-6 Day 7



RESULTS

AC & IV TSG-6 reduce inflammatory markers at Day 1

BUT (a) Topical TSG-6 demonstrated no effect

(b) Corneal opacity was not reduced

Conclusions and Future Studies

Rat model for corneal alkali injury

...**AC/IV TSG-6 reduced inflammation Day 1**

...Topical TSG-6 ineffective

...No benefit yet on corneal opacity

TSG-6 holds promise in chemical corneal injury

...A natural protein

...Unique mechanism of action

...**High dose**, multiple dose

...**Possible synergies** with new anti-apoptotic peptides

Appendix 6

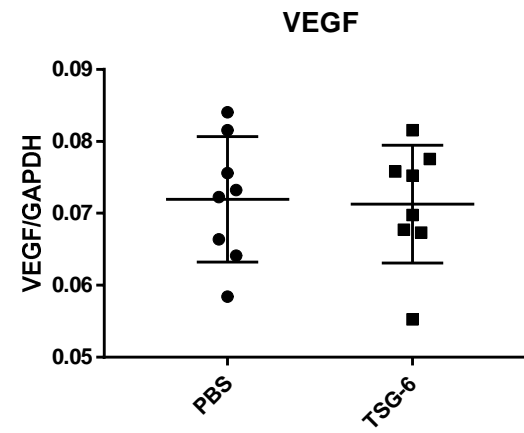
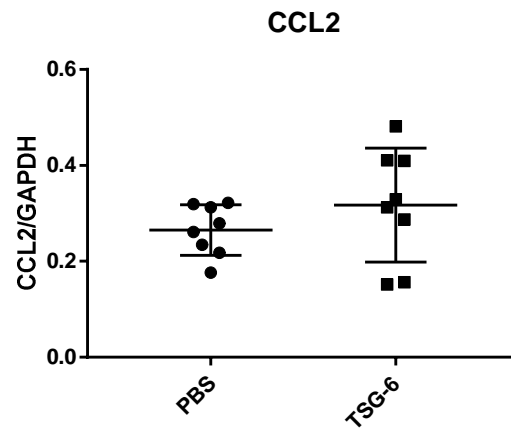
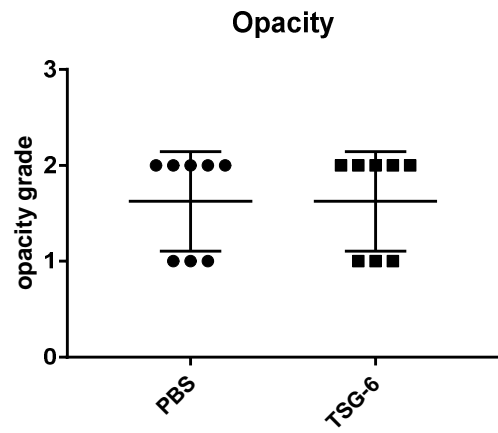
Time course window of IV TSG-6

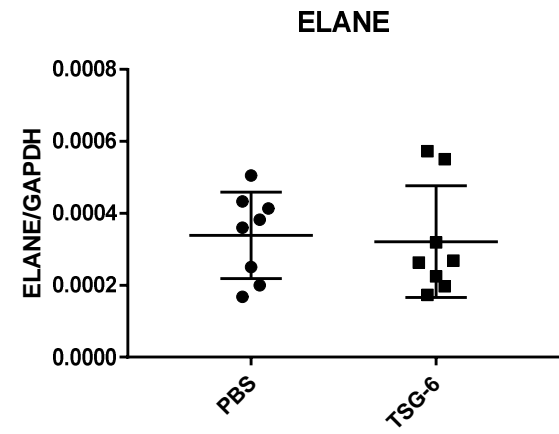
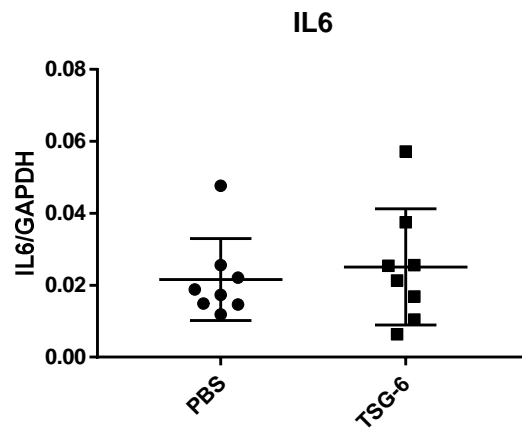
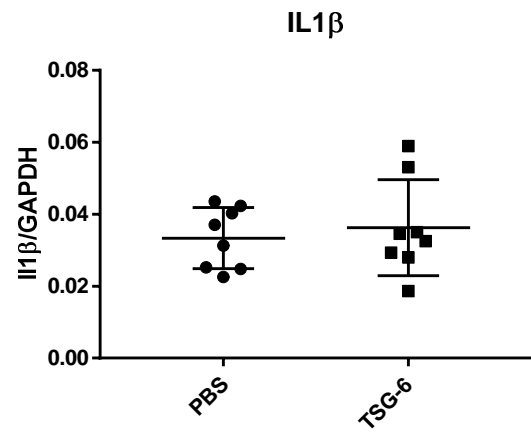
IV Injection Delayed Treatment Day 1

NaOH 0.5 N (30 sec); 50 mL saline flush + 50 mL saline rinse (15 minutes)

- Intravenous injection (4 hour after injury; delayed treatment):
200 μg / rat
- Rats:
8 rats / PBS
8 rats / TSG-6 = 16 Lewis rats total
- Harvest day / number of animals:
Day 1 harvest / 16 rats







b

Appendix 7

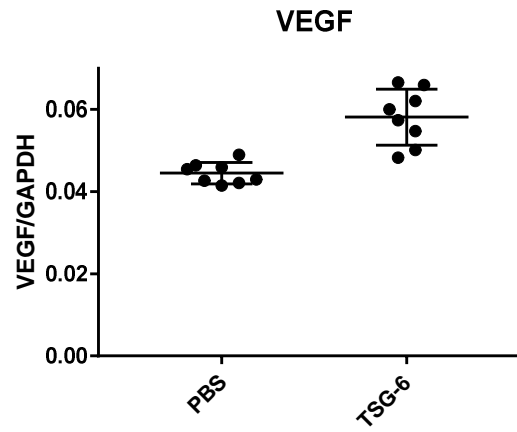
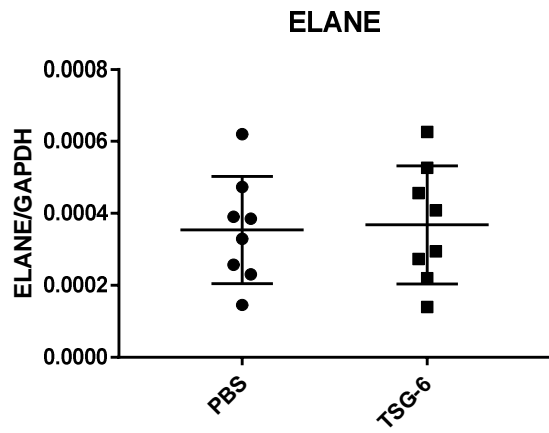
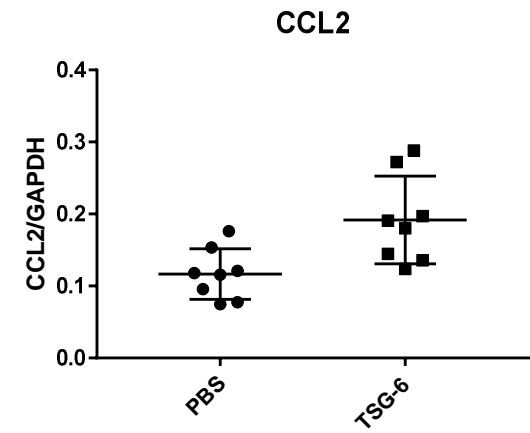
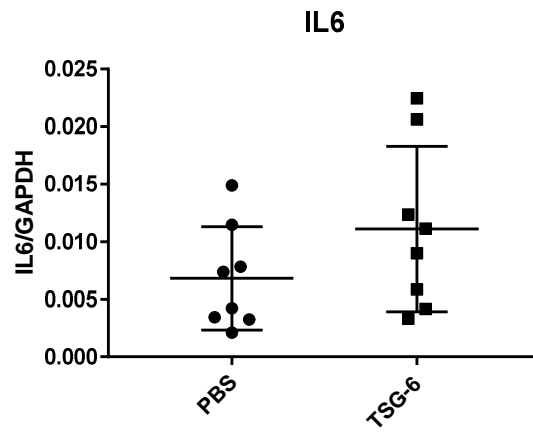
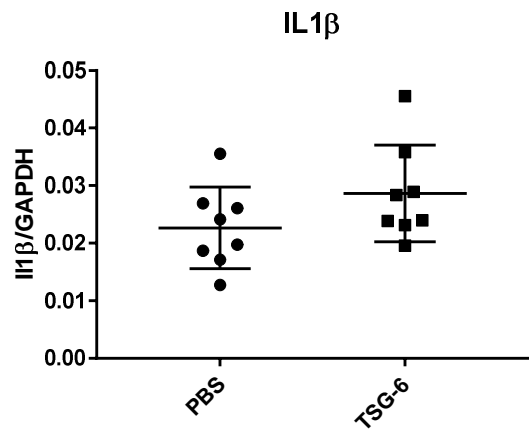
Investigation of Synergistic Effect of Topical
and IV TSG-6

IV Injection + topical

NaOH 0.5 N (30 sec); 50 mL saline flush + 50 mL saline rinse (15 minutes)

- Intravenous injection :
200 μg / rat
- Topical treatment:
5 μl / drop
1 drop, 3x/day
- Rats:
8 rats / PBS
8 rats / TSG-6 = 16 Lewis rats total
- Harvest day / number of animals:
Day 1 harvest / 16 rats





Appendix 8 Final Report

Presented at the Annual Symposium of the
American Cataract and Refractive Surgery 13-17
April 2018, Washington DC

Efficacy of the Anti-Inflammatory Protein TSG-6 for Acute Corneal Alkali Injury in a Rat Model

Samuel Fulcher MD¹

Casie Phillips¹

Roxanne Reger MS²

Joo Youn Oh MD PhD³

Hosoon Choi PhD¹

The authors have no financial interests to disclose

¹Central Texas Veterans Health Care System; ²Texas A&M Institute for Regenerative Medicine; ³Seoul National University

Chemical Injuries of the Cornea

Tissue Damage:

- ... Direct chemical injury
- ... Compounded by inflammation
- ... Steroids and NSAIDS may amplify

A Potential Solution:

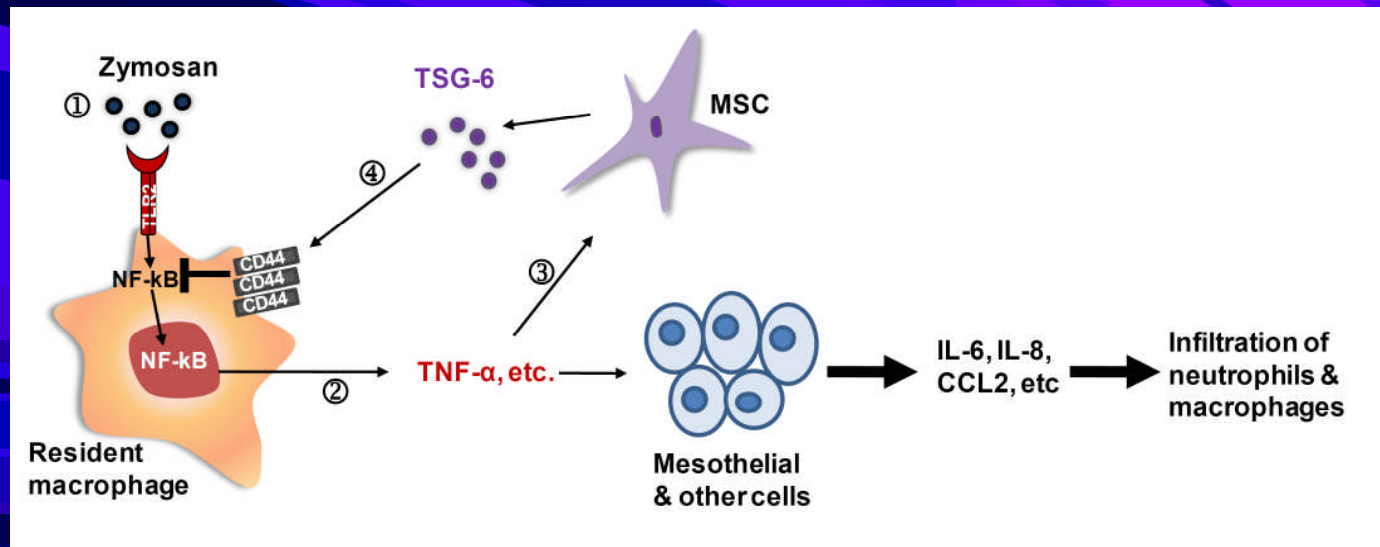
- ... The protein **"TSG-6"**
 - ... TNF-stimulated gene 6 protein
 - ... modulator of inflammation
 - ... novel mode of action

Unique Mechanism of TSG-6

... A natural negative feedback loop for inflammation

...Released by Mesenchymal Stem Cells (MSCs)
blocks macrophage NF- κ B pathway via CD44 receptor
“first responders” to tissue injury

...no known toxic effects in eye or other tissues



Experimental Design

Lewis Rats (8 per group)

0.5N NaOH, 4 mm paper, 30 sec exposure, extensive rinse

TSG-6: Topical qttts tid 0.75 ug/ml

AC 5.5 ug

IV 200 ug, immediate and delayed 4 hours

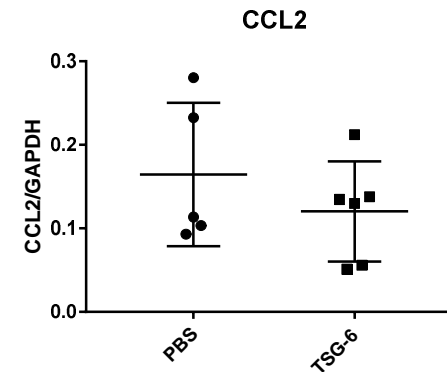
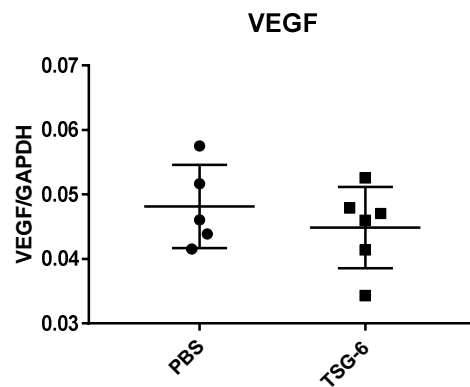
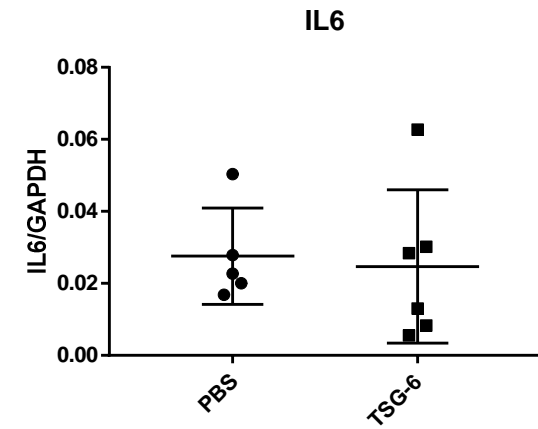
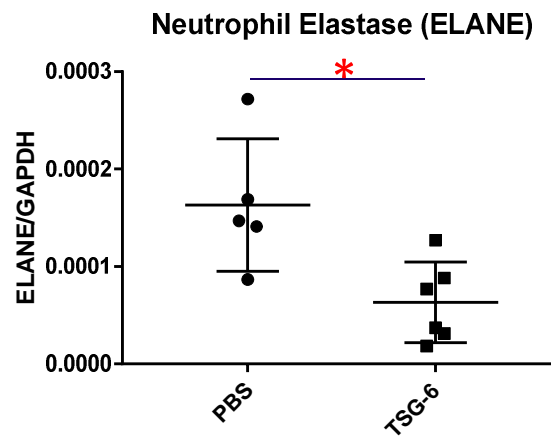
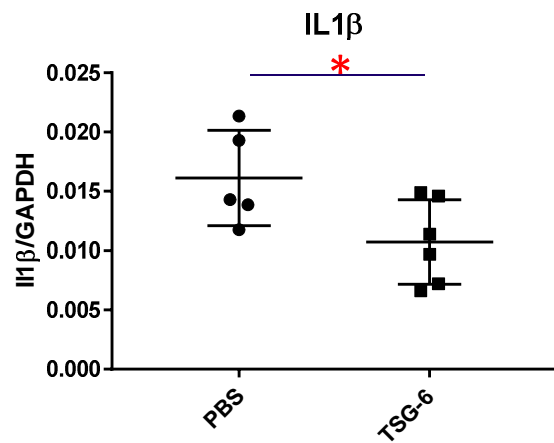
PBS controls

Inflammatory marker assays days 1,7

Opacity Grading days 1-7

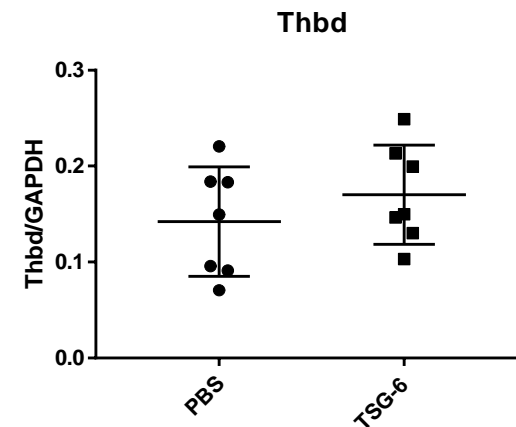
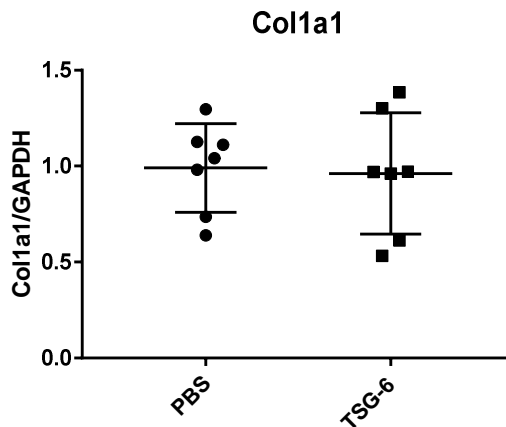
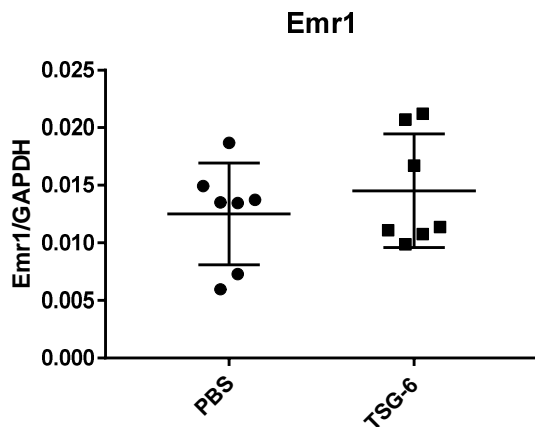
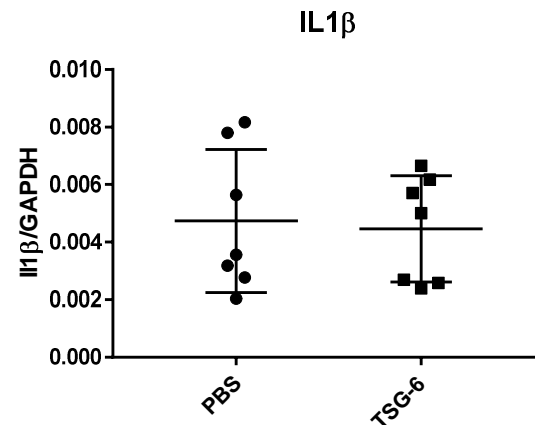
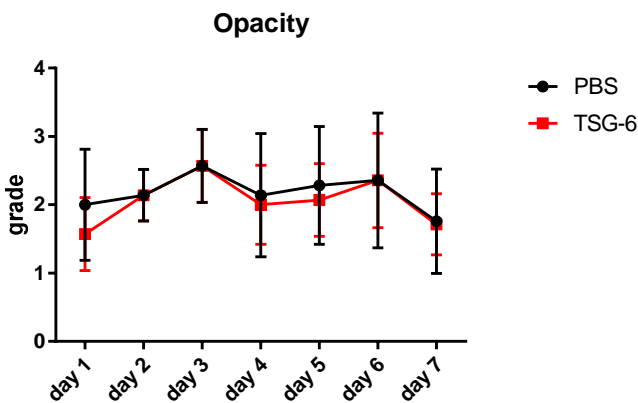
DAY 1 IV TSG-6

IL1B, ELANE REDUCED

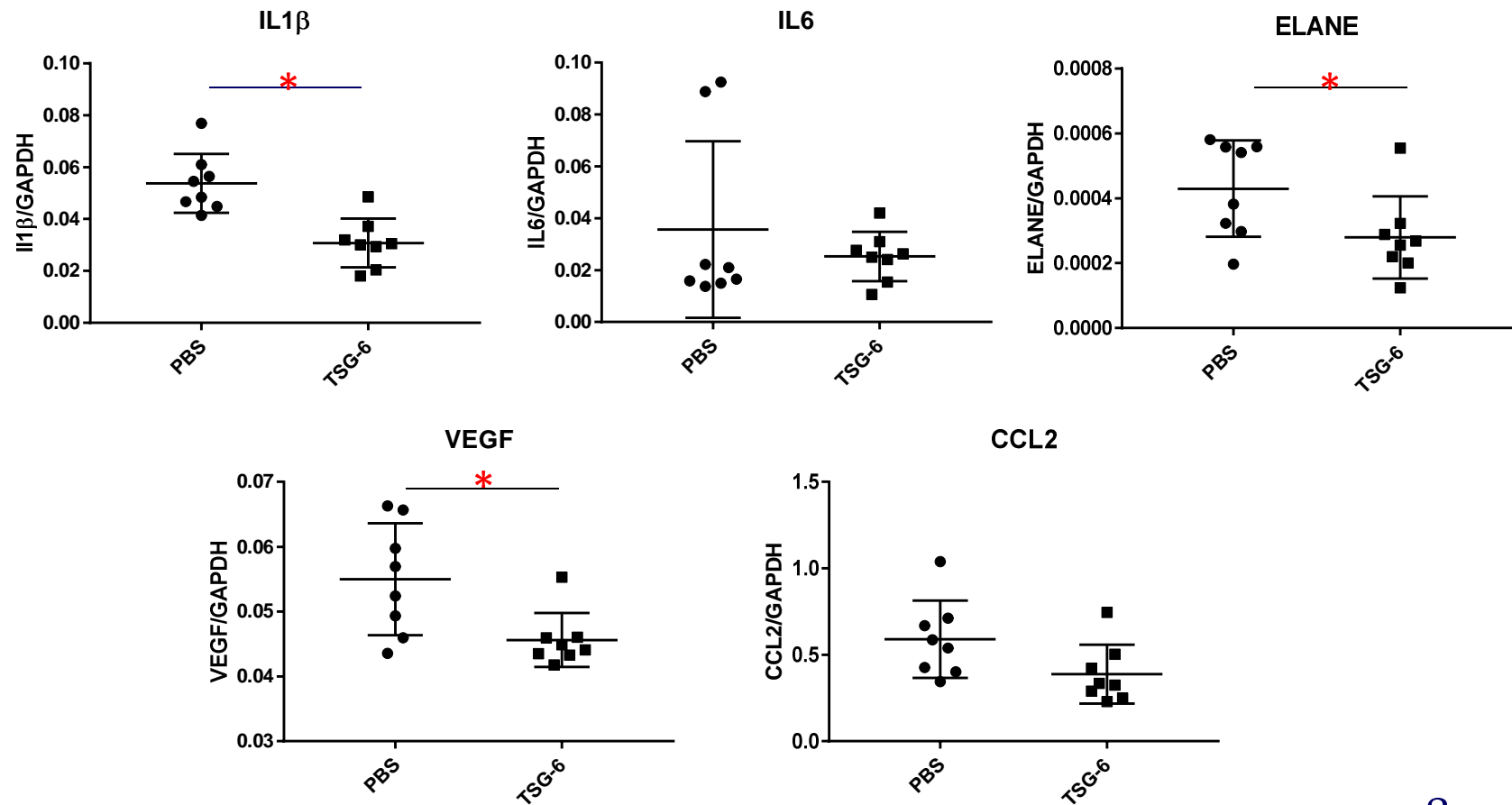


Day 7 IV TSG-6

NO DIFFERENCES

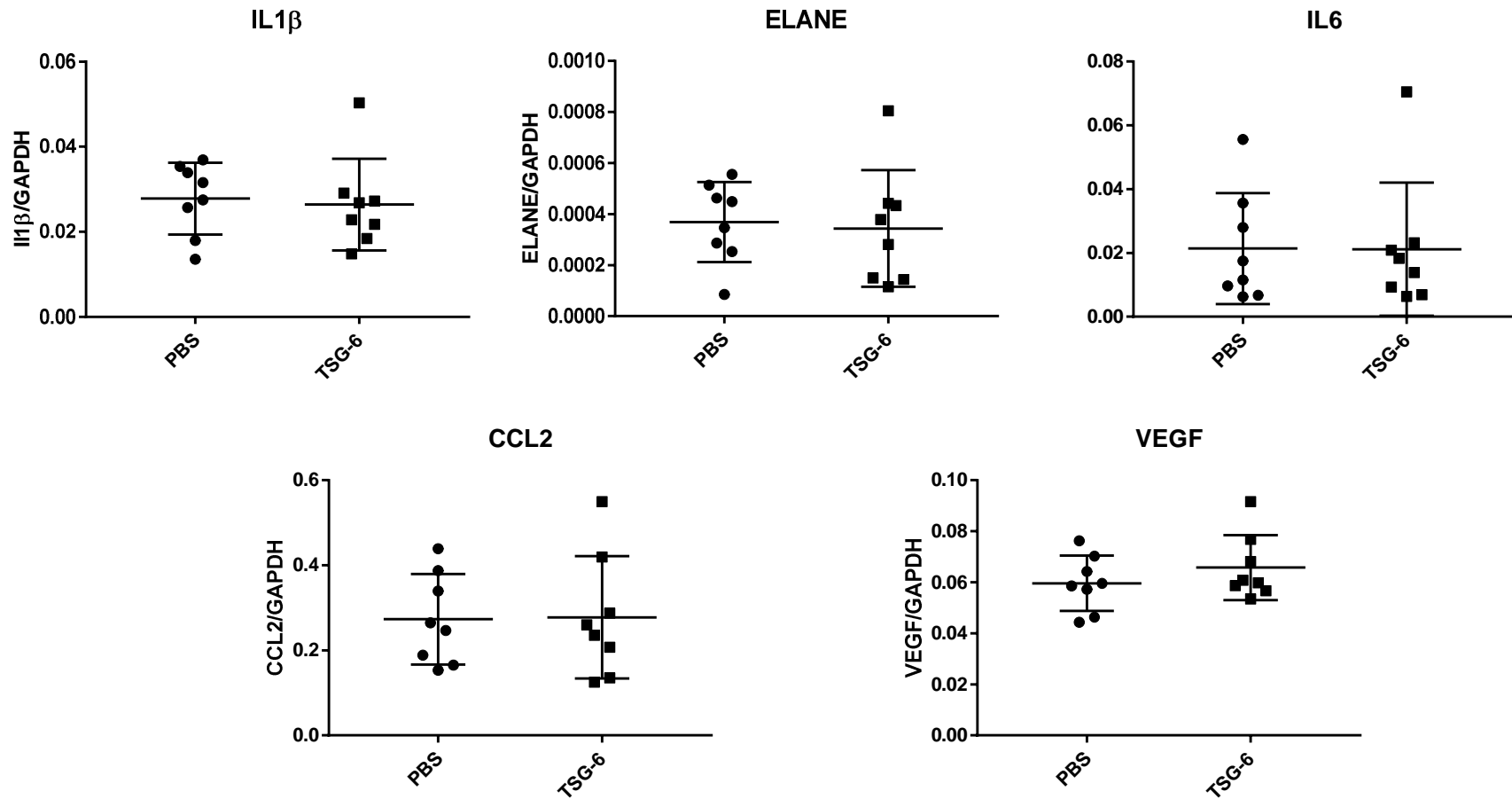


DAY 1 AC TSG-6 IL1B, ELANE, VEGF REDUCED



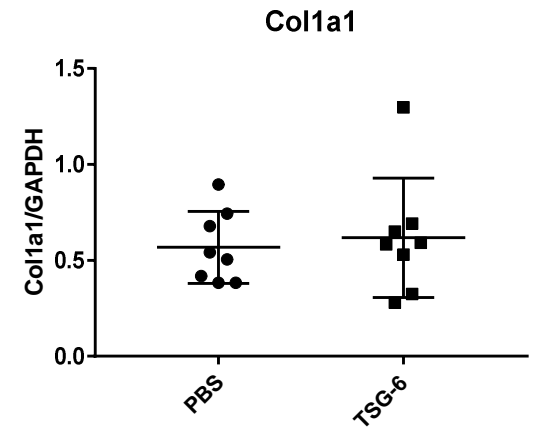
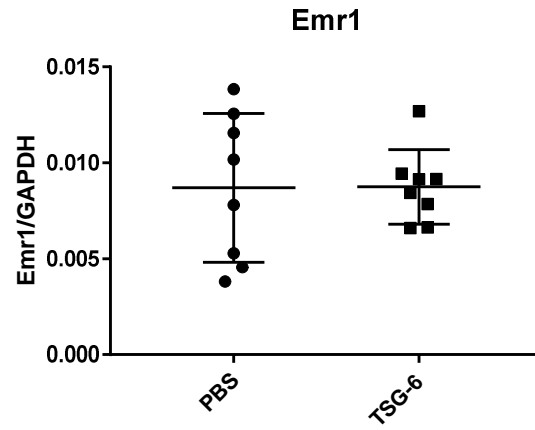
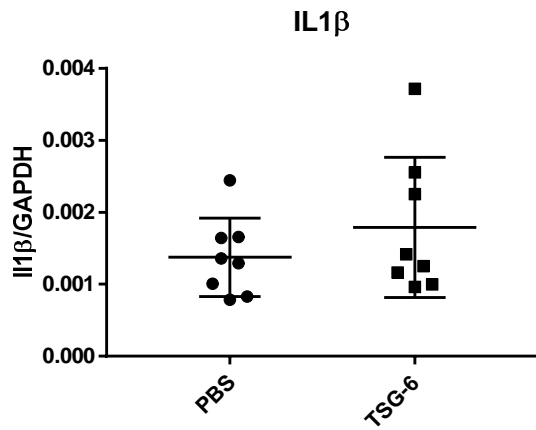
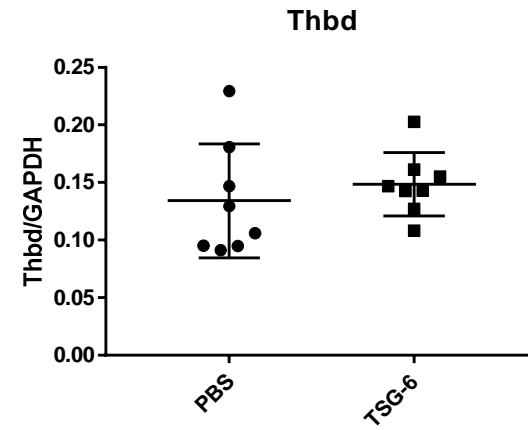
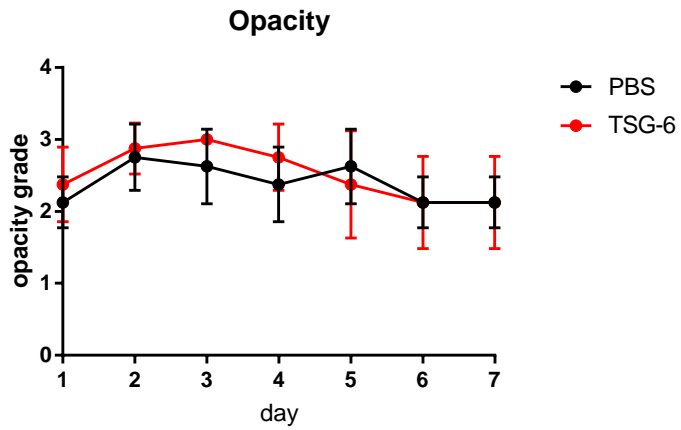
DAY 1 TOPICAL TSG-6

NO DIFFERENCES



DAY 7 TOPICAL TSG-6

NO DIFFERENCES



Conclusions and Future Studies

Rat model for corneal alkali injury

...**AC/IV TSG-6 reduces inflammatory signal at Day 1**

...Topical TSG-6 ineffective at all time points

...No benefit yet on corneal opacity

Exosomal delivery of TSG-6 may improve results

...Enhanced bioavailability

...Unique mechanism of action

...**Possible synergies** with new anti-apoptotic peptides

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1 **Efficacy of the Anti-inflammatory Protein TSG-6 for Corneal**
2 **Alkali Injury in a Rat Model**

3
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24 **Keywords:** corneal alkali injury, TSG-6, inflammation, corneal neovascularization,

25 fibrosis

26 **Running title:** TSG-6 for Corneal Alkali Injury

27

28 **Abstract**

29

30 *Purpose.* To investigate the therapeutic efficacy of tumor necrosis factor (TNF)- α
31 stimulated gene/protein 6 (TSG-6) in a rat model of corneal alkali injury. *Methods.*
32 Corneal alkali injury was produced by placing a NaOH soaked filter paper disk on the
33 central cornea of the right eye of an anesthetized male Lewis (LEW/Crl) rat.
34 Recombinant human TSG-6, or an equal volume of phosphate-buffered saline (PBS),
35 was administered intravenously (IV), by anterior chamber (AC) injection, or as a topical
36 drop. The affected eyes were photographed daily using a dissecting microscope and
37 documented for clinical time course analysis of corneal opacification. Corneal tissue
38 was excised at pre-determined therapeutic endpoints, with subsequent qRT-PCR or
39 histological analyses. *Results.* The continuous monitoring of corneal alkali injury
40 progression revealed insufficient *in vivo* effectiveness for TSG-6 as IV injection, AC
41 injection, or topical application. Corneal opacification and neovascularization were not
42 diminished and gene expression was not impacted by these treatments. However, both
43 IV and AC administration of TSG-6 significantly suppressed pro-inflammatory cytokines
44 compared to PBS-treated eyes. *Conclusion.* We conclude that the therapeutic potential
45 of TSG-6 is insufficient in a rat corneal alkali injury model. Based on its ability to
46 suppress pro-inflammatory cytokines, we suggest that further exploration of IV or AC
47 administration of TSG-6 may prove beneficial in future therapeutic studies of corneal
48 alkali injury.

49

50 **1. Introduction**

51

52 Ocular chemical burns account for approximately 7-18% of all ocular traumas, and may
53 cause irreversible vision loss depending on the severity of injury.(Singh et al. 2013)

54 Injuries which involve strongly basic (alkaline) or acidic solutions are among the most
55 severe,(Peate 2007) and require immediate medical attention and suitable treatment to
56 prevent the short- and long-term debilitating sequelae.(Singh et al. 2013) Alkaline

57 agents tend to be more severe due to their lipophilic and hydrophilic properties which
58 allow these solutions to penetrate cell membranes and enter the anterior chamber more
59 rapidly than acids.(Poon et al. 2015) The infiltration of alkaline chemicals generates a

60 cascade of effects including saponification of cells, tissue damage, and proteolytic
61 enzyme secretion, which consequently perpetuates a destructive inflammatory cycle,

62 often resulting in permanent scarification, opacification, and visual deficiencies.(Singh et
63 al. 2013) Commonly encountered alkalis include: ammonia (found in fertilizers and

64 cleaning agents), sodium hydroxide (lye; found in drain cleaners), and calcium
65 hydroxide (lime; in cement, plaster, and mortar).(Liao and Rossignol 2000, Kuckelkorn

66 et al. 2002) This type of exposure can also originate from the chemical components
67 necessary for vehicle airbag inflation(Barnes, Wong, and Affeldt 2012) and from toxic

68 agents in potential chemical warfare tactics in global combat or local acts of

69 terrorism.(Rall and Pechura 1993, Hurst 2008) Alkali injuries are four times as

70 prevalent as those from acidic substances,(Willmann and Melanson 2018, Singh et al.

71 2013, Blais 1996) yet an effective medical countermeasure against the ocular effects of

72 alkali exposure does not exist. Therefore, a more effective therapeutic approach is
73 needed to mitigate the adverse health effects of ocular exposure to alkaline agents.

74 Medical management for alkali-induced ocular injuries includes immediate
75 irrigation of the ocular surface to remove the chemical agent and prevent any further
76 damage to the cornea.(Singh et al. 2013) Clinical evaluation of corneal opacity and
77 limbal ischemia, as described in the Roper-Hall classification system,(Roper-Hall 1965)
78 can be helpful in guiding clinical decision making for the appropriate therapeutic
79 approach and determining a suitable prognosis.

80 Numerous medical therapeutic modalities are presently available for treating
81 corneal alkali burns and include the use of non-steroidal anti-inflammatory drugs
82 (NSAIDs), antibiotics, tear substitutes, corticosteroids, anti-glaucoma medications, and
83 citrate drops.(Tuft and Shortt 2009) Examples of surgical procedures for vision
84 rehabilitation include conjunctival autografting, limbal epithelial transplants, and corneal
85 transplantation.(Eslani et al. 2014, Fish and Davidson 2010) However the extensive
86 effort to reestablish the ocular surface often requires multiple procedures over several
87 years, and is often complicated by chronic ocular surface disease, graft failure, or
88 infection.(Kilic Muftuoglu, Aydin Akova, and Cetinkaya 2015, Miri, Al-Deiri, and Dua
89 2010)

90 Severe sequelae of chemical injuries (i.e. corneal melt, graft failure, limbal stem
91 cell deficiency, glaucoma) often present later in the course of treatment, and acute
92 therapy plays an important role in the mitigation of these outcomes.(Wagoner 1997,
93 Brodovsky et al. 2000) In the acute phase of injury, tissue damage induces an upsurge
94 of inflammation, which can lead to corneal ulceration, perforation, and

95 neovascularization with resultant permanent visual impairment or blindness.(Brodovsky
96 et al. 2000, Wagoner 1997, Kuo 2004) The limited success of the currently accessible
97 therapeutics is due, in part, to their inability to effectively reduce acute inflammation to
98 prevent the adverse sequelae of corneal alkali injuries.

99 At present, there are many treatment modalities that target acute inflammation,
100 yet they are unreliable, insufficient, and/or exhibit adverse side effects.(Brodovsky et al.
101 2000, Kuo 2004) Corticosteroids are widely used to suppress the inflammation in the
102 acute phase of corneal alkali burn; however these drugs may delay corneal wound
103 healing,(Sugar and Chandler 1974, Aquavella, Gasset, and Dohlman 1964) increase
104 potential for infection,(Mitsui and Hanabusa 1955, Thygeson, Hogan, and Kimura 1953)
105 and can aid in the formation of cataracts and glaucoma.(Awan 1975, Feroze and
106 Khazaeni 2018)

107 In this study, we investigated the therapeutic effect of tumor necrosis factor
108 (TNF)- α stimulated gene/protein-6 (TSG-6) in a rat model of corneal alkali injury. TSG-6
109 is a 30 kD glycoprotein expressed by a variety of cells in response to stimulation by pro-
110 inflammatory cytokines.(Milner and Day 2003) This multifunctional protein was shown
111 to prevent inflammation and tissue damage in rodent models of arthritis,(Mindrescu et
112 al. 2002) myocardial infarction,(Lee et al. 2009) peritonitis,(Choi et al. 2011) traumatic
113 brain injury,(Watanabe et al. 2013) and corneal ethanol injury.(Oh et al. 2012, Roddy et
114 al. 2011, Oh et al. 2010) Based on the success of TSG-6 in previous inflammatory
115 models, and the well documented inflammatory response after corneal alkali burn, this
116 study was designed to explore the therapeutic potential of TSG-6 on rat corneas injured
117 by sodium hydroxide.

118

119 **2. Materials and Methods**

120

121 *2.1 Animal Model of Corneal Alkali Injury.* All experiments adhered to the Association
122 for Research in Vision and Ophthalmology (ARVO) Statement for the Use of Animals in
123 Ophthalmic and Vision Research. Our animal use protocol was approved and
124 monitored by the Institutional Animal Care and Use Committee (IACUC) of Central
125 Texas Veterans Health Care System (CTVHCS) and Baylor Scott & White Healthcare.
126 All animal-related research was conducted in accordance with the regulations of the
127 Department of Agriculture and the laws of the United States. Experiments were
128 performed on eight-week-old, male Lewis rats (LEW/Crl) with an average body weight of
129 250g (Charles River Laboratories; Wilmington, MA). Rats were housed as pairs in an
130 environmentally controlled room with a 12/12 (light/dark) cycle. Food and water supply
131 were provided *ad libitum*.

132

133 *2.2. Standard Corneal Alkali Burn Injury.* After a minimum five-day acclimation period, a
134 corneal alkali burn was generated. Rats were anesthetized by intraperitoneal (IP)
135 injection of an anesthetic cocktail containing 80mg/kg of ketamine and 6 mg/kg of
136 xylazine. Depth of anesthesia was determined by pedal withdrawal reflex and blink
137 response. Ophthalmic ointment was applied to the unaffected (left) eye to prevent
138 desiccation and a subcutaneous (SQ) injection of buprenorphine (0.03 mg/kg) was
139 administered for preemptive analgesia. Once the proper surgical plane was reached,
140 each rat was placed under a surgical dissecting microscope and a single drop of topical

141 analgesic (0.5% proparacaine hydrochloride ophthalmic solution; Sandoz Inc. Princeton,
142 NJ) was applied to the right eye. The corneal alkali burn injury was produced with a 4-
143 mm diameter circular filter paper disk soaked in a solution of 0.5 N NaOH, and applied
144 to the central cornea of the right eye for 30 seconds, as previously described.(Choi et al.
145 2017) Immediately after filter paper removal from the cornea, the eye was continually
146 flushed with sterile saline for 2 minutes (50 mL). A steady drip of sterile saline was then
147 applied for an additional 15 minutes (50 mL) of irrigation. Treatment was then
148 administered under the surgical dissecting microscope and tarsorrhaphy performed, if
149 applicable. Buprenorphine was administered SQ 4-8 hours after injury and twice daily
150 for two days to follow.

151

152 *2.3. Treatment of Corneal Alkali Burn with TSG-6.* For each experiment, sixteen rats
153 were evenly distributed into two groups based on weight: TSG-6 (n=8) and PBS
154 (control; n=8). A single drop of topical antibiotic (Vigamox; 0.5% moxifloxacin
155 hydrochloride ophthalmic solution; Alcon Laboratory, Inc. Fort Worth, TX) was applied to
156 prevent microbial contamination. Immediately after injury, treatment were administered
157 via one of the following routes: 1) Intravenous (IV) injection- 250 μ L of either rhTSG-6
158 (recombinant human TSG-6; 200 μ g; R & D Systems, Minneapolis, MN) or PBS into the
159 lateral tail vein with an insulin syringe and 26 G x 1/2" needle; 2) Anterior chamber (AC)
160 injection- 7 μ L of either rhTSG-6 (5 μ g) or PBS with an insulin syringe and 31 G x 5/16"
161 needle; or 3) Topical- 5 μ L of either rhTSG-6 (4 μ g) or PBS applied by pipet drop three
162 times per day. The treatment protocols are described in Figure 1. Briefly, IV and AC
163 treatments were one-time injections after initial injury and flush, whereas topical

164 treatment was applied three times daily until the pre-determined harvest date. A
165 tarsorrhaphy was not performed on animals receiving topical treatments due to the
166 frequency of treatment administration. There were no adverse effects (i.e. corneal
167 abrasions, etc.) noted from absence of the suture. All rats were euthanized either 1 day
168 or 7 days after initial injury by a ketamine (100 mg/kg) and xylazine (10 mg/kg) cocktail
169 IP adjunct thoracotomy. Corneal tissue of the affected eye was excised for
170 immunohistochemical analysis or RNA isolation.

171

172 *2.4. Biomicroscopic Evaluation and Photographs.* All injured eyes were microscopically
173 examined at 24-hour intervals and photographs were taken using a digital camera
174 attached to a dissecting microscope. Before each biomicroscopic examination, rats
175 were mildly sedated with inhalant anesthesia (isoflurane). Corneal clarity was graded
176 independently by three experienced ophthalmologists, who were blinded to the
177 treatment conditions. Corneas were evaluated based on the opacification scoring
178 system (scale of 0-4) previously described by Gupta et. al,(Gupta, Kalaivani, and
179 Tandon 2011) where 0= no opacity; 1= slight superficial opacity, iris details visible; 2=
180 moderate stromal opacity, pupillary margin visible, iris details not visible; 3= significant
181 stromal opacity, pupillary margin not visible; and 4= completely opaque, pupil and
182 anterior chamber not visible.

183

184 *2.5. Histopathology and Immunohistochemistry.* Excised corneas for histological and
185 immunohistochemical processing were fixed in 10% buffered formalin and embedded
186 into paraffin blocks. Fixed samples were sectioned at 4 μ m and subjected to either

187 hematoxylin and eosin (H&E) or immunohistochemical staining. Antigens for
188 immunohistochemical analyses were retrieved with a steamer in epitope retrieval
189 solution (10 mM sodium citrate pH 6). Rabbit polyclonal anti-neutrophil elastase
190 antibody (1:150) (ab21595, Abcam, Cambridge, MA) was used for neutrophil infiltration
191 detection. Anti-rabbit IgG (1:5000; Abcam) was used as the secondary antibody. DAB
192 staining was performed using a DAB Peroxidase Substrate kit (Vector Laboratories,
193 Burlingame, CA) and the slides were counterstained with Hematoxylin QS (Vector
194 Laboratories).

195

196 *2.6. Real-time qRT-PCR.* The isolation of total RNA from the cornea specimens was
197 performed using the Qiagen RNeasy Mini kit (Qiagen, Hilden, Germany) per the
198 manufacturer's protocol. The RNA concentration and purity was measured
199 spectrophotometrically, based on the absorbance at 260 and 280 nm, using NanoDrop
200 ND-1000 V 3.2.1 Spectrophotometer (NanoDrop Technologies, Wilmington, DE). Real-
201 time amplification was performed with QuantiTect Probe RT-PCR Kit (Qiagen) and
202 analyzed on 7900HT Fast Real-Time PCR system (Applied Biosystems, Foster City,
203 CA) using TaqMan gene expression assays for interleukin-1 β (IL-1 β ; Rn00580432_m1),
204 IL-6 (Rn01410330_m1), monocyte chemoattractant protein-1 (MCP-1/CCL2;
205 Rn00580555_m1), vascular endothelial growth factor A (VEGF-A; Rn01511601_m1),
206 neutrophil elastase (ELANE; Rn01535456_g1), F4/80 (Emr1; Rn01527631_m1),
207 thrombomodulin (Thbd, CD141; Rn00582226_s1), and type I collagens (Col1a1;
208 Rn01463848_m1). Rat glyceraldehyde-3-phosphate dehydrogenase (GAPDH) primer
209 and probe (Rn01775763_g1) were used as internal controls.

210

211 *2.7. Statistical Analysis.* Data are expressed as mean \pm SD. All analyses were performed
212 with GraphPad Prism 5 (GraphPad Software, Inc., La Jolla, CA). Real-time qRT-PCR
213 data were evaluated with unpaired 2-tailed Student *t* test. The Kruskal-Wallis one-way
214 ANOVA with Dunn's post-hoc tests were used for comparative analysis of opacification
215 scores. A *p*-value of less than 0.05 was considered to be statistically significant.

216

217 **3. Results**

218

219 All eyes subjected to alkali burn showed corneal opacification and increased measures
220 of inflammation and corneal neovascularization, as previously described for this
221 moderate-grade alkali burn rat model.(Choi et al. 2017) In this study, we investigated
222 the therapeutic effects of intravenous (IV), anterior chamber (AC), and topical
223 administration of TSG-6 against a unilateral corneal alkali burn injury.

224

225 *3.1. Effects of IV-administered TSG-6 in a Corneal Alkali Burn Model.* To investigate
226 the therapeutic effects of IV-administered rhTSG-6 in a corneal alkali burn model, a
227 one-time injection of rhTSG-6 (200 μ g rhTSG-6 in 250 μ L PBS) was administered
228 immediately following the initial alkali burn and saline rinse. Corneal opacity was
229 evaluated daily and a moderate-grade (the mean score of 2) injury was observed for
230 both PBS- and TSG-6-treated groups. There was no remarkable difference in corneal
231 clarity between the two groups over the 7-day acute injury phase (Figure 2 A, 3 A, 3 B).
232 Corneal tissue was extracted 1 day or 7 days after injury to examine the effects of TSG-

233 6 on acute inflammation, fibrosis, neovascularization, and macrophage and/or
234 neutrophil infiltration. One day after alkali burn, IV administration of rhTSG-6
235 significantly reduced expression of the pro-inflammatory cytokine, IL-1 β (Figure 2 D),
236 and decreased neutrophil infiltration (ELANE) when compared to PBS-treated controls
237 (Figure 2 B, G, H, I, J). Other markers of inflammation (IL-6, CCL2) however, did not
238 demonstrate statistically significant differences between groups on day 1 (Figure 2 E,
239 F). Corneal neovascularization progressively increased post-injury, (Choi et al. 2017)
240 yet there was no significant difference in VEGF expression between treatment and
241 control groups (Figure 2 C).

242 The limited success of IV-administered rhTSG-6 in reducing acute inflammation
243 at day 1 suggests that this treatment approach may prove advantageous in mitigating
244 the injurious sequelae throughout the 7-day acute injury phase. Quantification of
245 macrophage infiltration (Emr1) and neovascularization (Thbd) revealed a slight increase
246 for TSG-6-treated rats compared to PBS controls on day 7, however these differences
247 were not statistically significant (Figure 3 D, E). Since corneal alkali injury induces
248 stromal fibrosis which may lead to scar formation,(Eslani et al. 2014) Col1a1 was used
249 as a marker of fibrosis at day 7, with no therapeutic benefit observed for TSG-6
250 compared to PBS controls (Figure 3 F). Although the pro-inflammatory cytokine IL-1 β is
251 known to increase throughout the 7-day acute injury phase(Choi et al. 2017) TSG-6 did
252 not show any benefit when compared to PBS in reducing this expression level on day 7
253 (Figure 3 C). In both the treatment and control groups, corneal epithelial denudation
254 was observed at the center and periphery of the cornea on day 1. Corneal re-

255 epithelialization was completed by day 7 in both PBS-treated and TSG-6-treated
256 corneas (Figure 3 A).

257

258 *3.2. Effects of AC-administered TSG-6 in a Corneal Alkali Burn Model.* Based on the
259 success of AC-administered TSG-6 in an ethanol-induced corneal injury model,(Oh et
260 al. 2010) we tested the effects of a one-time AC injection of rhTSG-6 (5 µg of rhTSG-6
261 in 7 µL of PBS) in our corneal alkali burn model. Although TSG-6 markedly reduced
262 inflammation following ethanol exposure to the cornea,(Oh et al. 2010, Oh et al. 2012)
263 there was limited benefit in this alkali injury model. The corneal clarity on day 1 was
264 slightly worse in TSG-6-treated corneas than PBS-treated cornea, however the data
265 were not statistically significant (Figure 4 A). One day after initial alkali burn, qRT-PCR
266 revealed a significant reduction of VEGF and IL-1β expression levels following TSG-6
267 injection (Figure 4 C, D). TSG-6 was also able to reduce measures of ELANE, IL-6, and
268 CCL2 at day 1, though these data are not statistically significant (Figure 4 B, E, F).
269 Corneal clarity throughout the 7-day acute phase progression was consistent for both
270 PBS- and TSG-6-treated corneas, exhibiting a moderate-grade level of injury (Figure 5
271 A). There was no apparent difference between treatment and control groups for
272 Col1a1, IL-1β, Emr1, and Thbd on day 7 (Figure 5 B, C, D, E).

273

274 *3.3. Effects of Topically-administered TSG-6 in a Corneal Alkali Burn Model.* Topical
275 application of TSG-6 is important to investigate because of the applicability in a clinical
276 setting. A single drop (4µg of rhTSG-6 in 5 µL of PBS) of rhTSG-6 was applied to the
277 ocular surface 3 times per day until tissue harvest at day 1 or 7. Topically-administered

278 rhTSG-6 showed statistically significant improvements in corneal wound healing in an
279 ethanol-induced injury,(Roddy et al. 2011) however topical administration of rhTSG-6 in
280 our alkali burn model showed no benefit at day 1 or 7. Corneal opacity was scored as a
281 moderate-grade injury for both groups throughout the 7-day injury progression (Figure 6
282 A, 7 A). Expression levels for ELANE, VEGF, IL-1 β , IL-6, and CCL2 were all similar for
283 TSG-6 and PBS controls on day 1 (Figure 6 B, C, D, E, F). On day 7 after alkali burn,
284 the mRNA levels of Col1a1, Emr1, and Thbd for TSG-6-treated corneas were equivalent
285 to PBS controls, and IL-1 β showed a slight increase for TSG-6-treated corneas (Figure
286 7 B, C, D, E). The minimal increase in inflammation was not statistically significant.

287

288 **4. Discussion**

289

290 An ocular injury generated by chemical exposure can lead to permanent vision loss and
291 chronic debility.(Wagoner 1997, Miri, Al-Deiri, and Dua 2010) The abundance of alkali
292 solutions in household and industrial products accounts for the more frequent
293 occurrence of alkali injury compared to acid injury. Alkaline agents have sufficient
294 lipophilicity to rapidly penetrate the ocular surface and enter the anterior chamber within
295 seconds of initial exposure.(Eslani et al. 2014) This saponification process induces a
296 severe inflammatory cascade, which can result in acute, long-term, and/or recurring
297 changes in corneal optical properties that impair vision and negatively impact quality of
298 life.(Le et al. 2011) The sequelae of an alkali-burned cornea can be severe and
299 particularly challenging to manage due to the extensive destruction of tissue, the loss of
300 the corneal microenvironment, and the prolonged complex and difficult healing process,

301 and currently insufficient treatment options.(Singh et al. 2013, Tuft and Shortt 2009,
302 Xiang et al. 2005) The mitigation of the post-injury inflammatory response in the acute
303 recovery phase (days 0-7) can be critical in restoring corneal clarity and a normal ocular
304 surface, and minimizing adverse sequelae.(Singh et al. 2013) Although there are
305 several therapeutic approaches that target early inflammation, they are relatively
306 inefficient and unreliable at effectively treating alkali-burned corneas. Thus, it is of
307 utmost importance to investigate novel anti-inflammatory treatment options, such as
308 TSG-6.

309 TSG-6 is a multifunctional protein with a unique mechanism of action that works
310 by inhibiting inflammation at the earliest stage (Choi et al. 2011, Oh et al. 2012).
311 Systemic or local administration of recombinant human TSG-6 protein demonstrates
312 anti-inflammatory activity in various disease models.(Oh et al. 2010, Choi et al. 2011,
313 Lee et al. 2009, Kota et al. 2013, Wisniewski and Vilcek 2004, Milner, Higman, and Day
314 2006, Bardos et al. 2001) TSG-6 suppresses neutrophil migration into the site of
315 inflammation.(Milner, Higman, and Day 2006, Milner and Day 2003, Getting et al. 2002)
316 The mechanism by which TSG-6 reduces neutrophil infiltration and mitigates
317 inflammatory responses is not fully understood. Several reports have suggested TSG-6
318 acts on resident macrophages via modulating TLR2/NF-kB signaling to suppress
319 chemokines/ cytokines produced by injured tissue.(Choi et al. 2011, Oh et al. 2012)

320 This study was designed to investigate the therapeutic effectiveness of TSG-6 in
321 a rat model of corneal alkali injury based on the success of TSG-6 in ophthalmic models
322 of mild chemical injury caused by alcohol and mechanical scraping. Since currently
323 available anti-inflammatory approaches are unable to effectively reduce acute

324 inflammation without any adverse side effects,(Dinarello 2010) the development of an
325 innovative, safe approach for effectively treating corneal alkali burns is both desirable
326 and necessary for improving patient care, cost, and clinical outcome. This project is the
327 first to investigate the treatment of corneal alkali injury with the anti-inflammatory protein
328 TSG-6 and these data will contribute knowledge to help fill a long-standing scientific gap
329 for improving corneal wound healing following alkali exposure of the cornea.

330 Despite its prior therapeutic efficacy in other models of inflammation and injury,
331 the administration of TSG-6 via IV or AC injection revealed limited therapeutic benefit 1
332 day after alkali-induced corneal injury and was ineffective at day 7. A single IV- or AC-
333 administered dose of rhTSG-6 exhibited a modest, but statistically significant reduction
334 in inflammatory signaling for IL-1 β and neutrophil elastase at day 1. Uncontrolled
335 neutrophil infiltration can lead to stromal degradation and ulceration and subsequent
336 permanent vision-impairing corneal opacification, fibrosis and
337 neovascularization,(Wagoner 1997, Brown, Weller, and Akiya 1970) therefore the ability
338 of IV- and AC-administered TSG-6 to reduce neutrophil infiltration at day 1 may suggest
339 that it could minimize the damaging sequelae at the end of the acute injury phase on
340 day 7. In testing this hypothesis, we were unable to detect any significant differences
341 between TSG-6-treated corneas and PBS controls when various mRNA markers of
342 corneal damage progression on day 7 post injury.

343 Furthermore, topical application of TSG-6 showed no improvement in corneal
344 optical properties when compared to PBS-treated controls at days 1 and 7 post-injury.
345 These data suggest that local and systemic administration of TSG-6 is insufficient to
346 effectively mitigate the ocular effects of corneal alkali exposure.

347 One possible explanation for the observed therapeutic limitation in the present
348 study is that the activity of TSG-6 may be reduced in an alkaline environment. Since
349 TSG-6 has a very narrow range of pH for hyaluronic acid and aggrecan binding and
350 shows a dramatic loss of function with increasing pH without gross structural
351 change,(Heng et al. 2008, Parkar et al. 1998) the functional element of TSG-6 may be
352 pH-sensitive. Due to the lack of full understanding of the mechanism of TSG-6 anti-
353 inflammatory activity, it is unclear what effect pH has on TSG-6 activity in this context.
354 However, it is possible that the extreme pH in the cornea after alkali injury may hinder
355 the activity of TSG-6. Despite the extensive rinsing regimen applied in this study, the
356 pH of the cornea and other anterior segment structures of the eye remained elevated
357 due to the rapid tissue penetration of lipophilic alkali with resultant delay in the recovery
358 of physiological pH. To avoid the alkaline damage of TSG-6, we tested delayed or
359 multiple dose TSG-6 treatment strategies to minimize the potential adverse effect of
360 alkaline pH on TSG-6, but these had no significant effect (data not shown). This
361 observation may be explained by the fact that the therapeutic window for treatment of
362 corneal inflammation with TSG-6 is very narrow (less than 2 hours).(Oh et al. 2010) In
363 addition, the reduction of acute inflammation may be insufficient to prevent the
364 extensive tissue destruction observed in this model of alkali injury. Similarly, steroid
365 treatment shows very limited effects on the prognosis of alkali injury as contrasted to
366 other forms of sterile corneal ulceration.(Donshik et al. 1978, Dan et al. 2008, Cole et al.
367 2007)

368 The results of this work add to the body of data which have previously
369 demonstrated the beneficial anti-inflammatory effects of TSG-6 in other models of tissue

370 injury or human disease. It is apparent from our data that the severity of tissue damage
371 which occurs in alkali ocular injury may limit outcomes in models of TSG-6 treatment.

372 **5. Conclusion**

373 In conclusion, our data demonstrate that TSG-6 has limited effect on corneal
374 inflammation induced by alkali injury and limited therapeutic benefit to prevent injury
375 progression.

376

377 **Conflicts of Interest Statement**

378 HC, CP, JYO, LP, RLR, and SF have no financial disclosures that would be potential
379 conflicts of interest with this manuscript. DJP is an advisor and has a minor equity
380 position in a biotech company (Temple Therapeutic LLC) with an interest in TSG-6.

381

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387 Affairs or the United States Government.

388

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530
531

532 **Figure Legends**

533

534 **Fig.1.** Treatment protocol of corneal alkali burn with rhTSG-6.

535

536 **Fig. 2.** Alkali-injured rat cornea treated with intravenous (IV) injection of PBS or rhTSG-
537 6 and harvested at one day after injury. (A) Opacity score with the use of a clinical
538 grading standard system on a scale from 0 to 4. Quantification of the mRNA
539 expression levels of (B) neutrophil Elastase (ELANE), (C) vascular endothelial growth
540 factor-A (VEGF), (D) interleukin (IL)-1 β , (E) IL-6, and (F) C-C motif ligand (CCL2)
541 mRNA. mRNA expression levels were measured with real-time qRT-PCR and were
542 normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The
543 results are presented as the mean \pm SD, n=8. *p<0.05 compared with the control.
544 Hematoxylin-eosin staining of injured cornea of (G) PBS treated and (H) TSG-6 treated
545 animal. Neutrophil elastase immunohistochemistry of (I) PBS treated (J) and TSG-6
546 treated injured cornea. 20 x magnification, scale bar 100 μ m.

547

548 **Fig. 3.** Alkali-injured rat cornea treated with intravenous (IV) injection of PBS or TSG-6,
549 and harvested 7 days after injury. (A) Photographic representation from days 0 (prior to
550 injury), 1, 3, 5, and 7 after injury for PBS injection group and TSG-6 treatment group. (B)
551 Opacity score of PBS and TSG-6 treated cornea during the injury progression. The
552 expression of (C) IL-1 β , (D) EMR1, (E) thrombomodulin (CD141; Thbd), and (F)
553 Collagen type I (Col1a1). mRNA expression levels were measured with real-time qRT-

554 PCR and were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase
555 (GAPDH). The results are presented as the mean \pm SD, n=8.

556

557 **Fig. 4.** Alkali-injured rat cornea treated with anterior chamber (AC) injection of rhTSG-6
558 and harvested one day after injury. (A) Opacity score with the use of a clinical grading
559 standard system on a scale from 0 to 4. Quantification of the mRNA expression levels
560 of cornea inflammation related genes (B) ELANE, (C) VEGF, (D) IL-1 β , (E) IL-6, and (F)
561 CCL2 mRNA. mRNA expression levels were measured with real-time qRT-PCR and
562 were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH).
563 The results are presented as the mean \pm SD, n=8. *p<0.05 compared with the control

564

565 **Fig. 5.** Alkali-injured rat cornea treated with anterior chamber (AC) injection of PBS or
566 rhTSG-6 and harvested at 7 days after injury. (A) Opacity score of PBS and TSG-6
567 treated corneas during the injury progression. (B) Col1a1, (C) IL-1 β , (D) EMR1, (E)
568 Thbd mRNA expression levels were measured with real-time qRT-PCR and were
569 normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The
570 results are presented as the mean \pm SD, n=8.

571

572 **Fig. 6.** Alkali-injured rat cornea treated with topical application of PBS or rhTSG-6 and
573 harvested one day after injury. (A) Opacity score with the use of a clinical grading
574 standard system on a scale from 0 to 4. Quantification of the mRNA expression levels of
575 cornea inflammation related genes (B) ELANE, (C) VEGF, (D) IL-1 β , (E) IL-6, and (F)
576 CCL2 mRNA. mRNA expression levels were measured with real-time qRT-PCR and

577 were normalized to the level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH).

578 The results are presented as the mean \pm SD, n=8.

579

580 **Fig. 7.** Alkali-injured rat cornea treated with topical application of PBS or rhTSG-6 and

581 harvested at 7 days after injury. (A) Opacity score of PBS and TSG-6 treated cornea

582 during the injury progression. (B) Col1a1, (C) IL-1 β , (D) EMR1, (E) Thbd mRNA

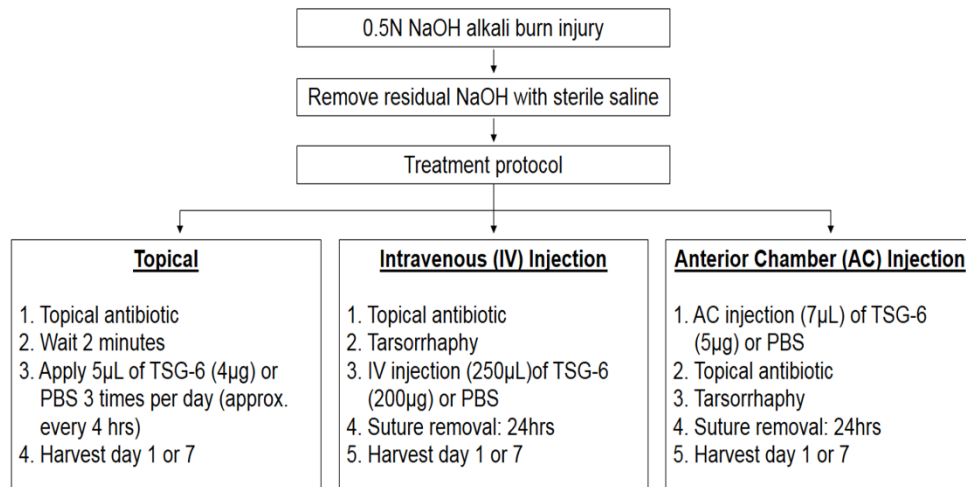
583 expression levels were measured with real-time qRT-PCR and were normalized to the

584 level of glyceraldehyde 3-phosphate dehydrogenase (GAPDH). The results are

585 presented as the mean \pm SD, n=8.

586

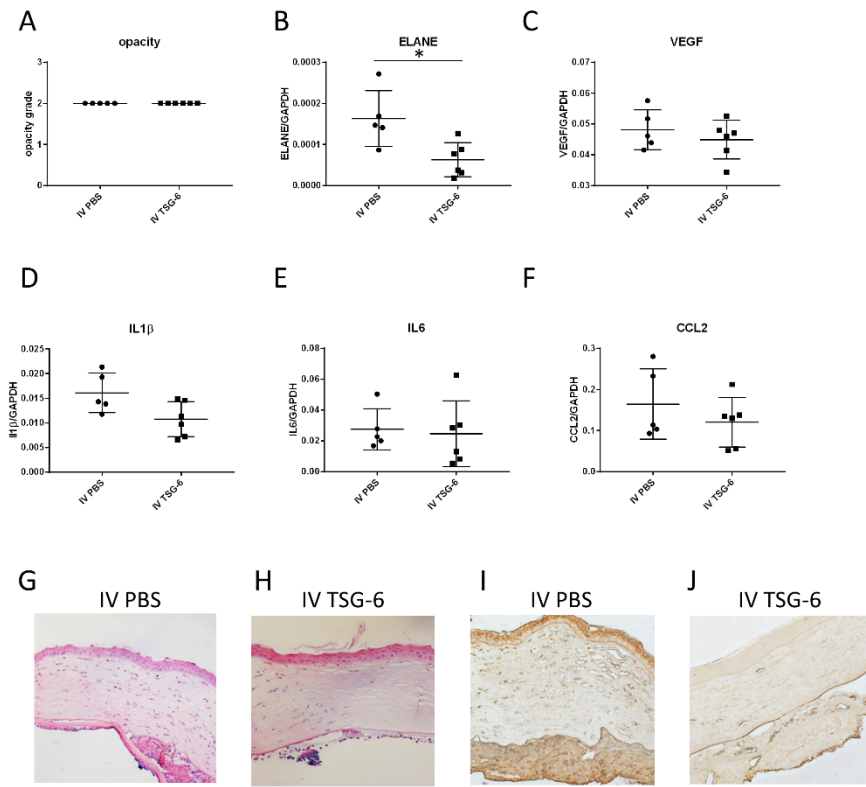
Figure 1



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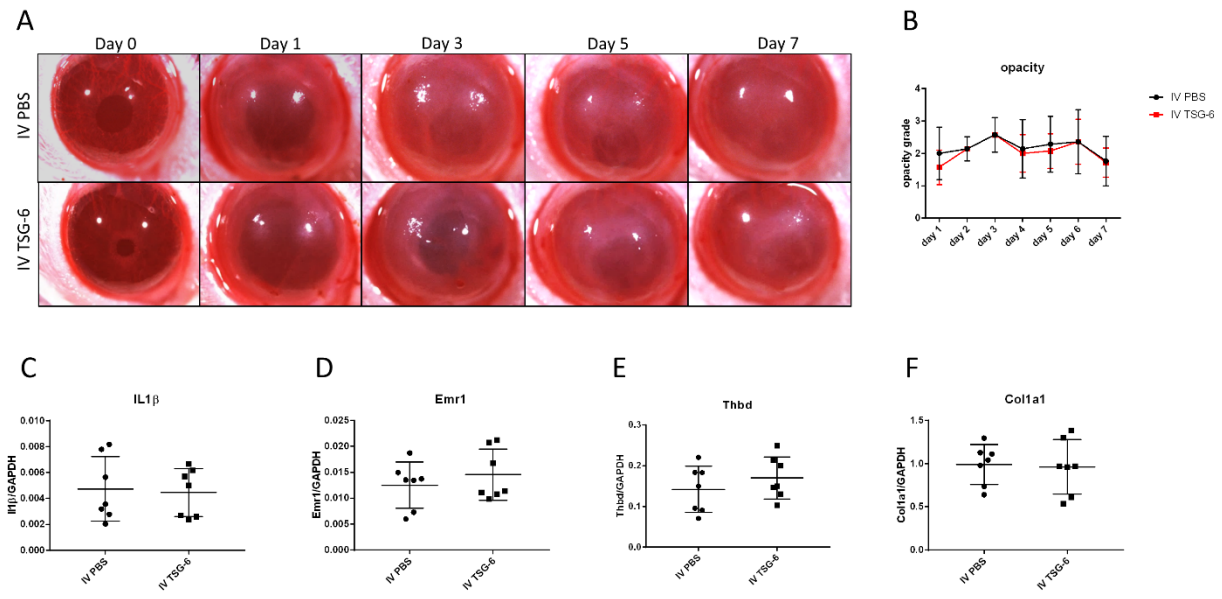
Figure 2



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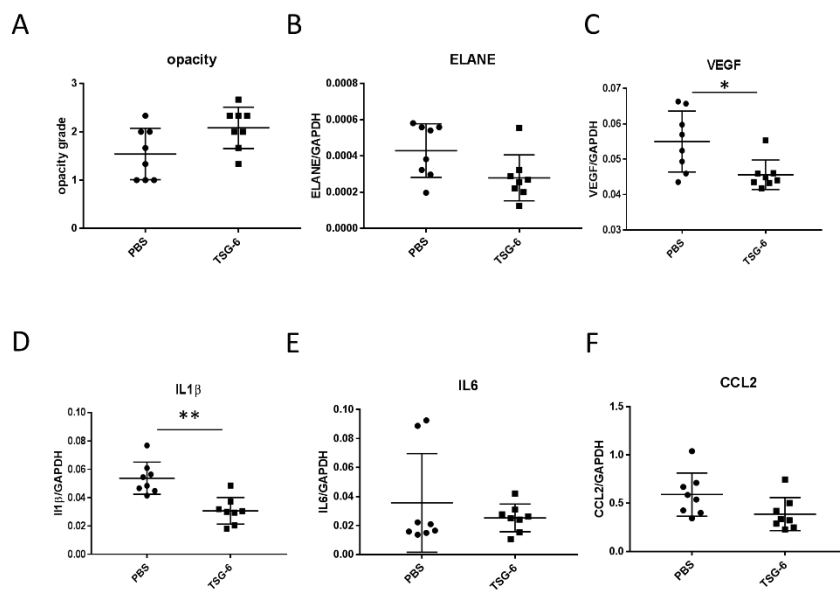
Figure 3



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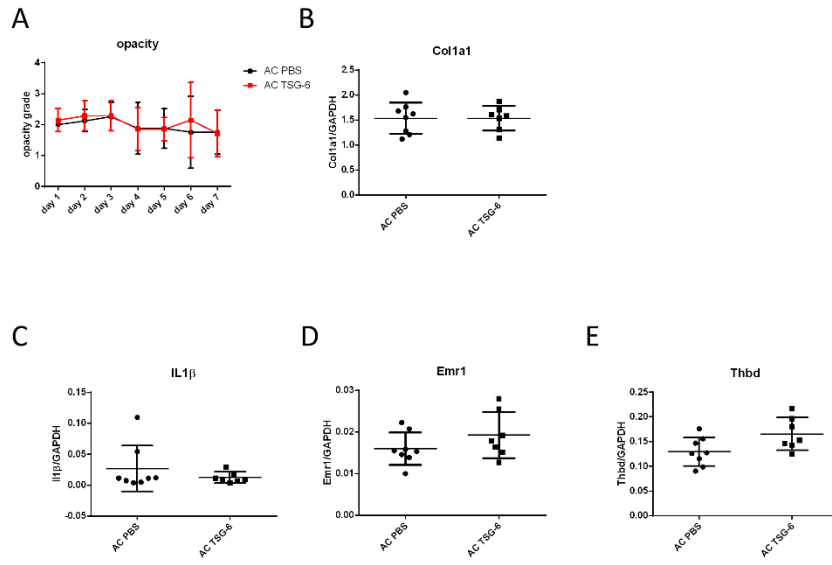
Figure 4



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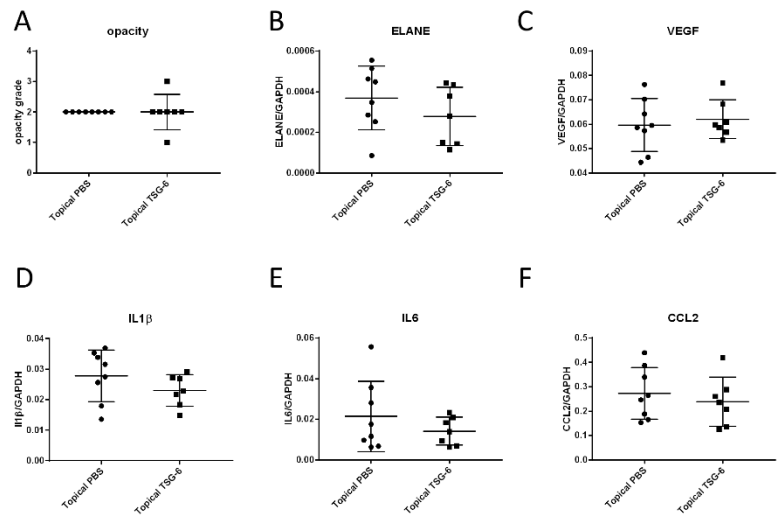
Figure 5



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596

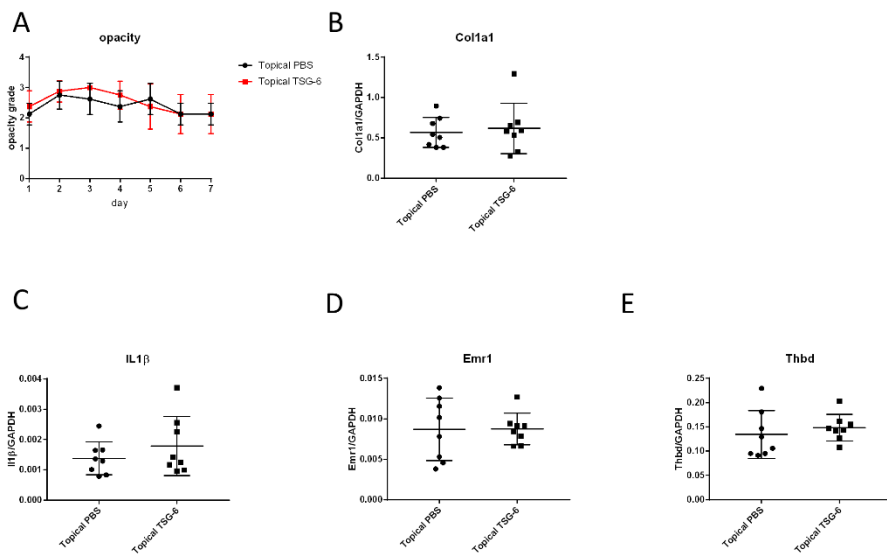
Figure 6



597

598

Figure 7



599

Appendix 10 Final Report

Corneal Injury Model

2014-013-R

NaOH 0.5 N (30 sec); 50 mL saline flush + 50 mL saline rinse (15 minutes)

- **Number of animals used:**

16 Lewis rats

- **AC injection**

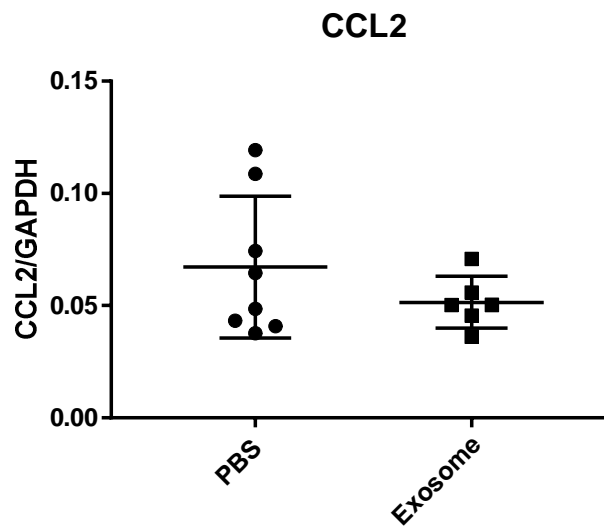
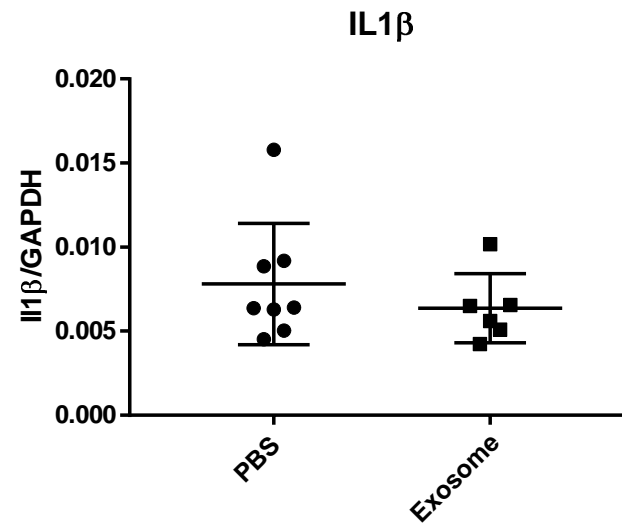
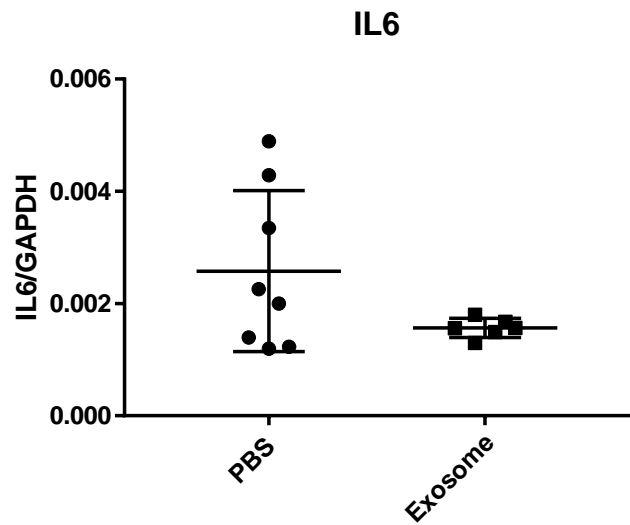
8 rats / PBS (7 μ l/injection)

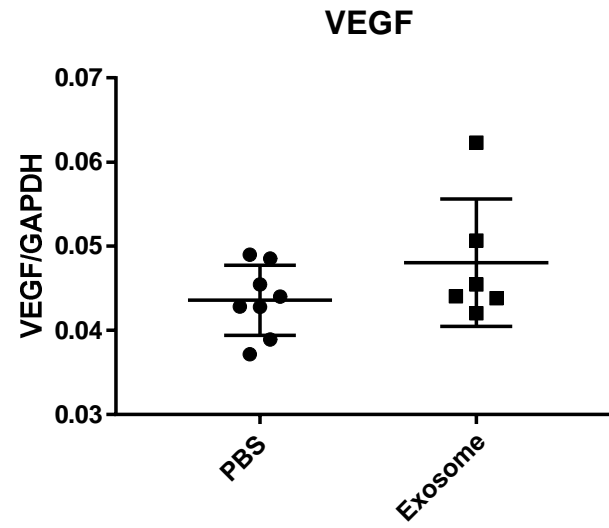
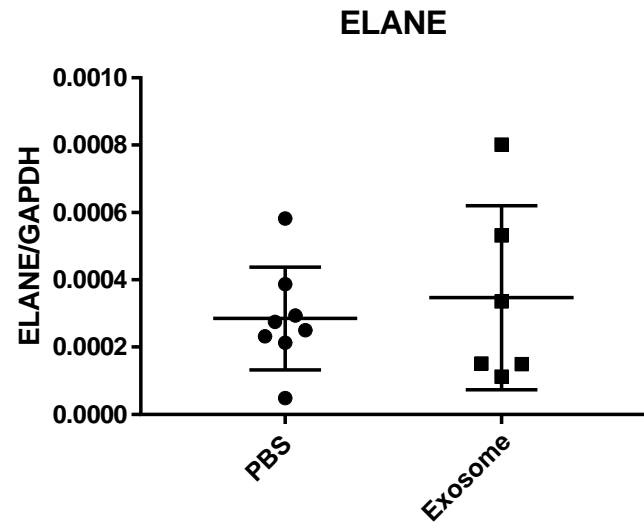
8 rats / exosome (7 μ l/injection; 5 μ g/injection)

- **Harvest day / number of animals:**

Day 1 harvest / 16 rats







Corneal Injury Model

2014-013-R

NaOH 0.5 N (30 sec); 50 mL saline flush + 50 mL saline rinse (15 minutes)

- **Number of animals used:**

16 Lewis rats

- **AC injection**

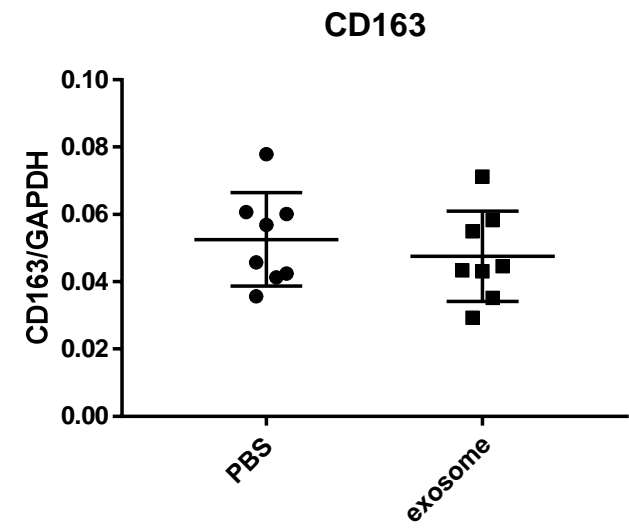
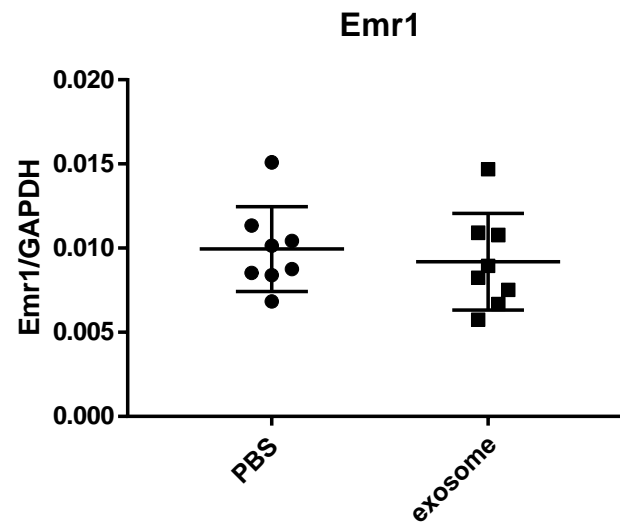
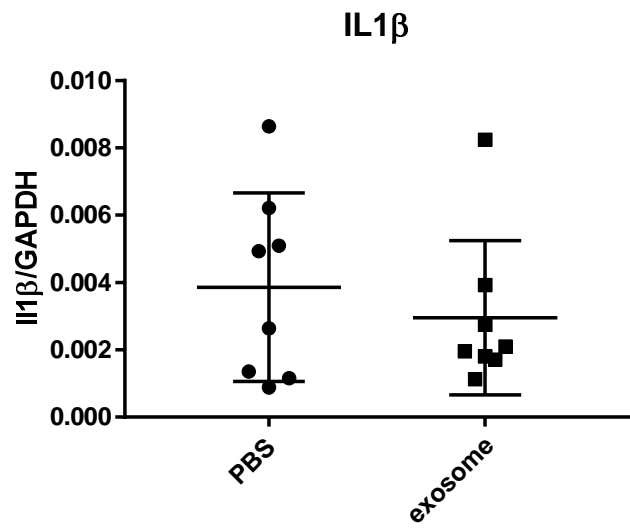
8 rats / PBS (7 μ l/injection)

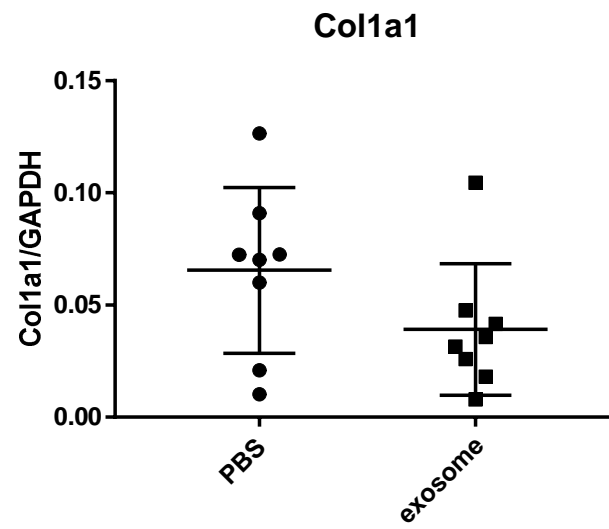
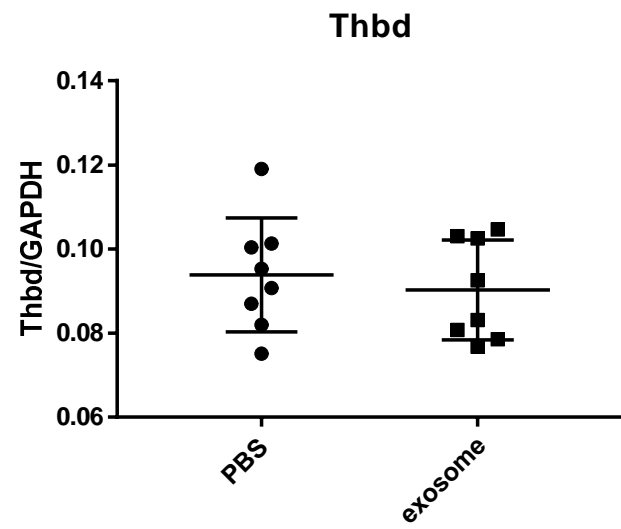
8 rats / exosome (7 μ l/injection; 5 μ g/injection)

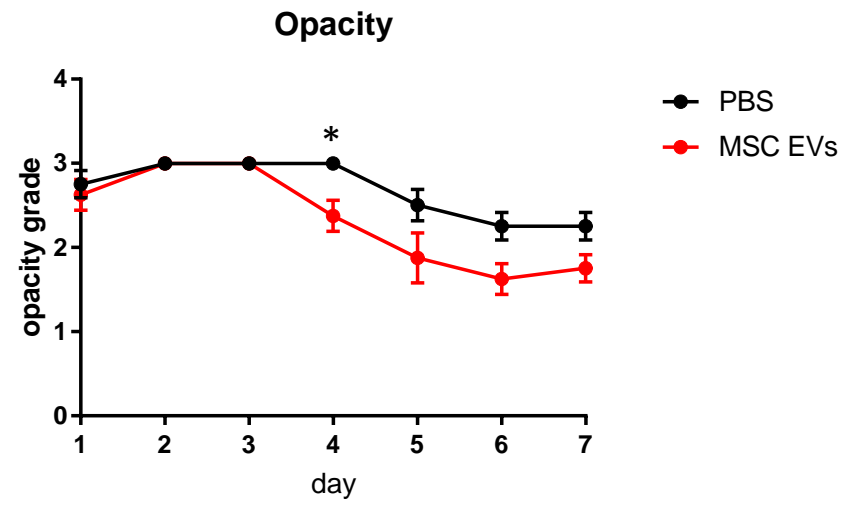
- **Harvest day / number of animals:**

Day 7 harvest / 16 rats









Appendix 11 Final Report

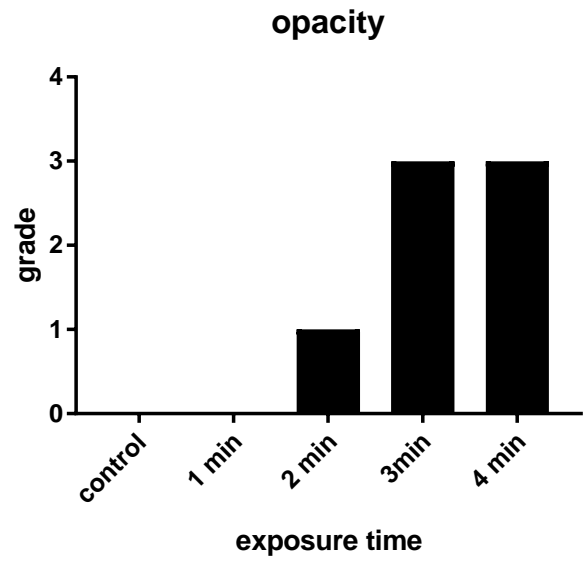
Corneal Injury Model

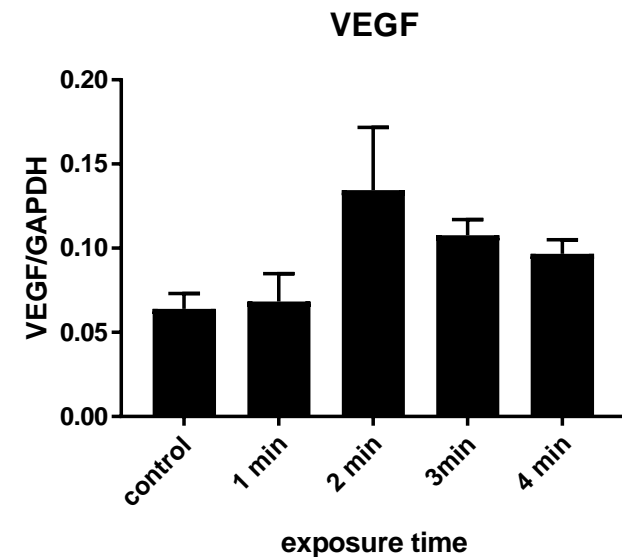
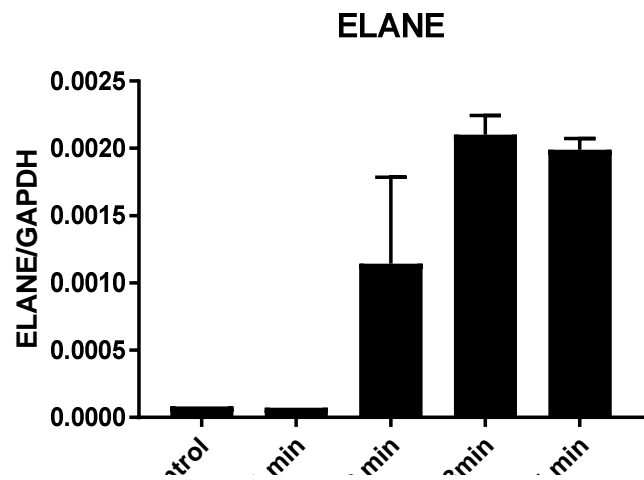
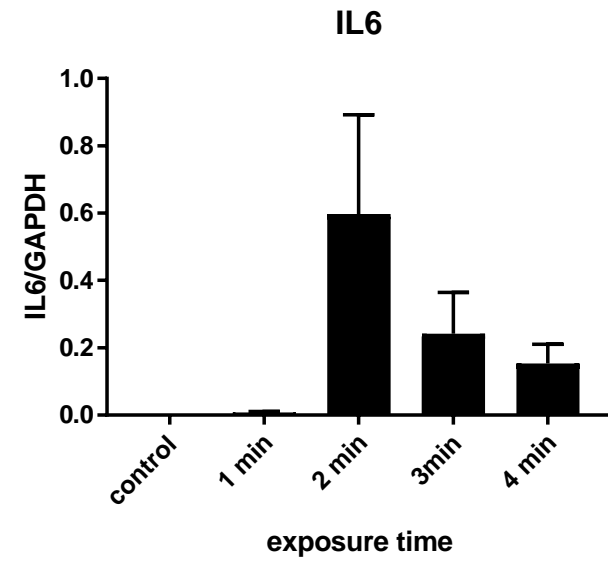
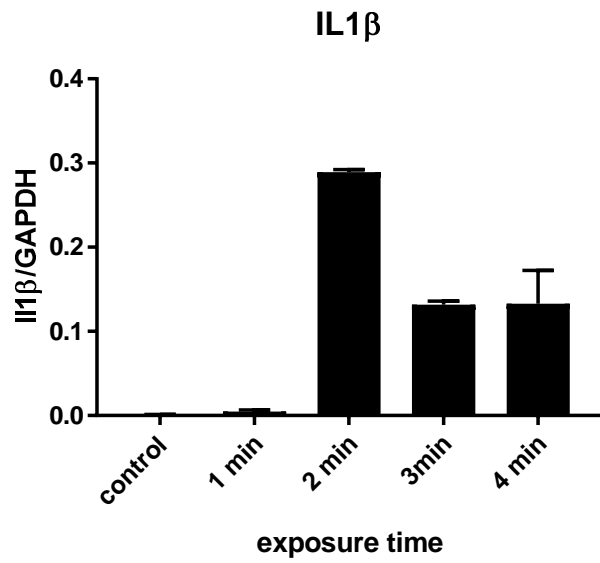
2014-013-R

1% Nitrogen Mustard (NM)(90 μ l/ring)
50 mL saline flush + 50 mL saline rinse (15 minutes)

- **Date of study:**
1-7-18 through 1-9-18
- **Number of animals used:**
8 Lewis rats
- **NM exposure time:**
2 rats / 1 min
2 rats / 2 min
2 rats / 3 min
2 rats / 4 min
- **Harvest day / number of animals:**
Day 2 harvest / 8 rats







Corneal Injury Model

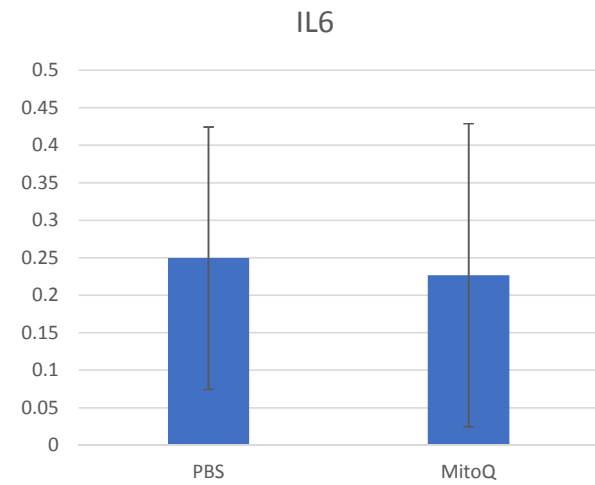
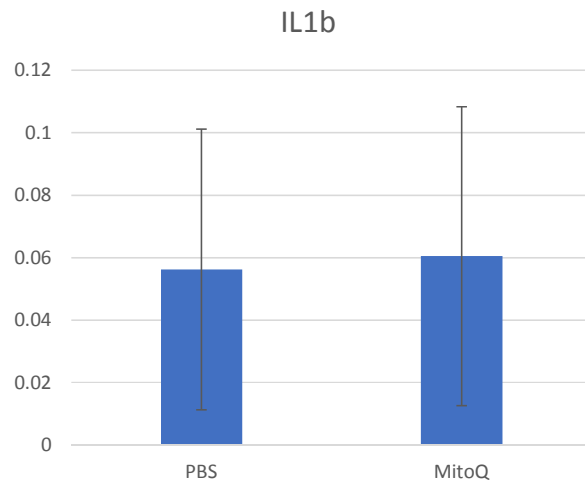
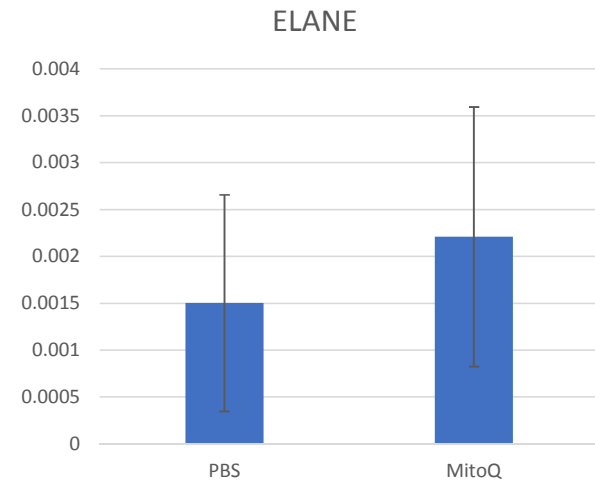
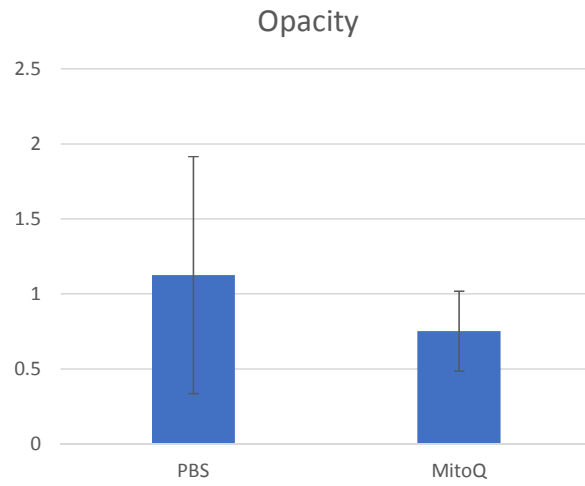
2014-013-R

1% Nitrogen Mustard (NM)(90 μ l/ring); 2 min exposure
50 mL saline flush + 50 mL saline rinse (15 minutes)

- **Number of animals used:**
16 Lewis rats
- **Topical (3x/day)**
8 rats / PBS
8 rats / MitoQ
- **Harvest day / number of animals:**
Day 1 harvest / 16 rats



1% nitrogen mustard 2 min exposure
5 uL of mitoQ solution (0.45 μM)
1 day harvest



Corneal Injury Model

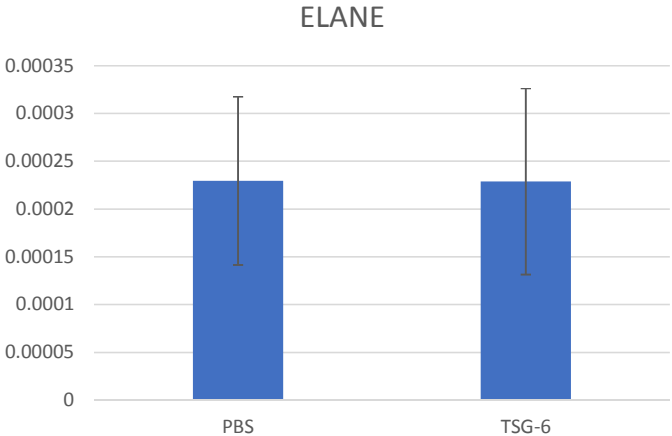
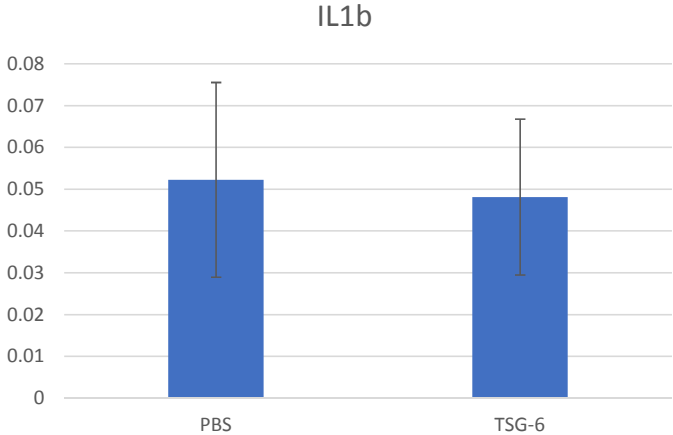
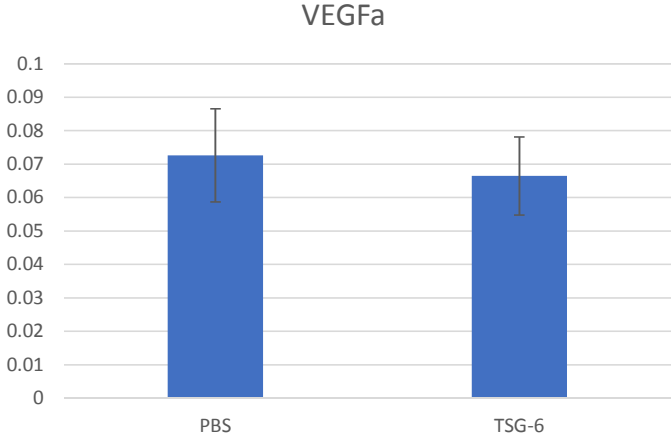
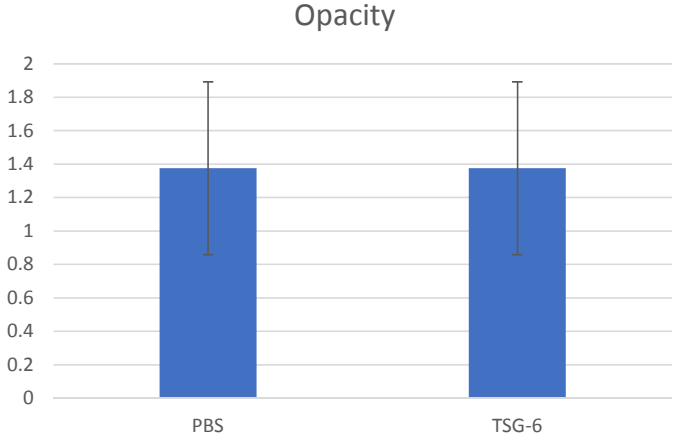
2014-013-R

1% Nitrogen Mustard (NM)(90 μ l/ring); 2 min exposure
50 mL saline flush + 50 mL saline rinse (15 minutes)

- **Number of animals used:**
16 Lewis rats
- **Topical (3x/day)**
8 rats / PBS
8 rats / TSG-6
- **Harvest day / number of animals:**
Day 2 harvest / 16 rats



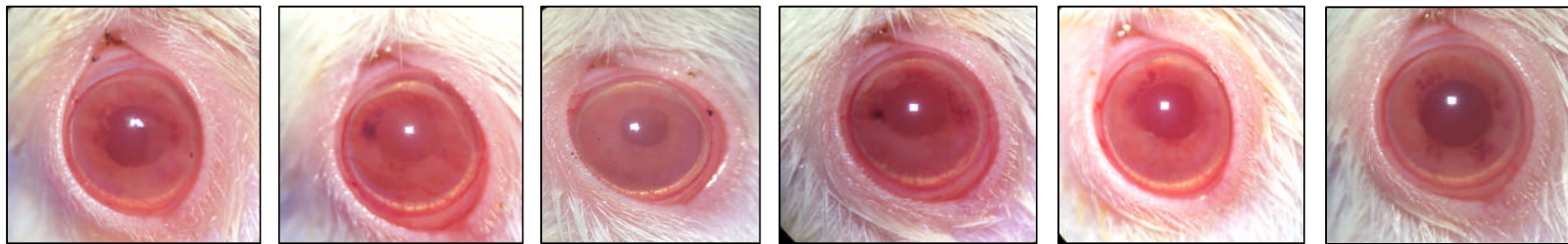
1% nitrogen mustard 2 min exposure
5 uL of TSG-6 solution (0.795 mg/mL)
2 day harvest



Appendix 12 Final Report

1% Nitrogen Mustard
2 min exposure
Topical PBS/Exosomes
Day 2 harvest

Day 1



Rat 2

Rat 5

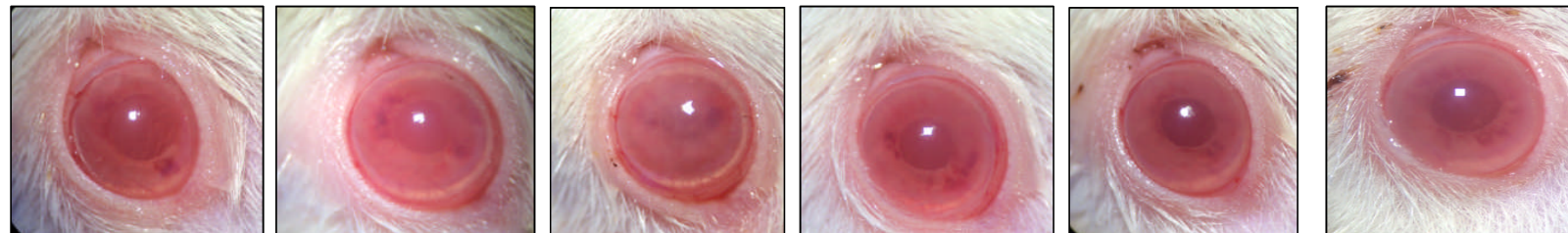
Rat 6

Rat 8

Rat 11

Rat 13

Day 2



Rat 2

Rat 5

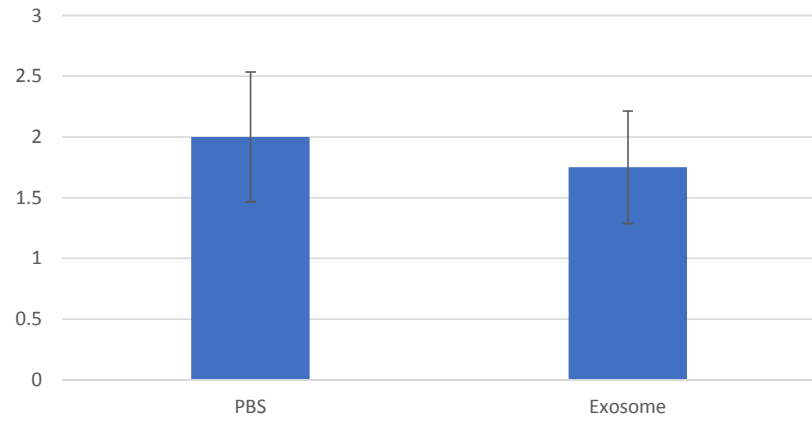
Rat 6

Rat 8

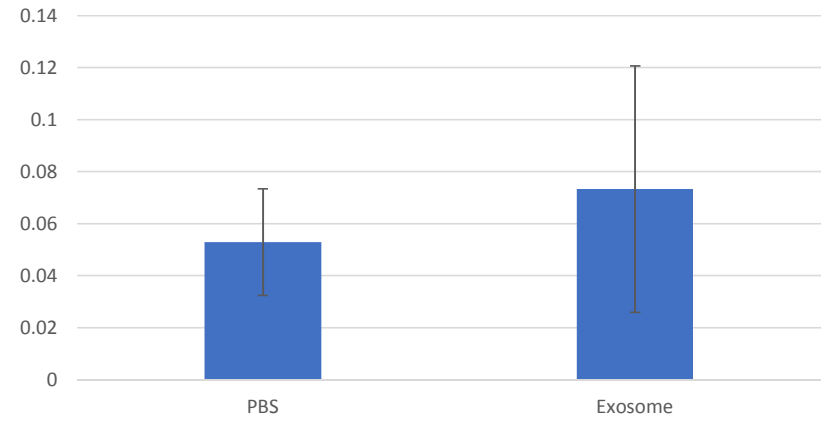
Rat 11

Rat 13

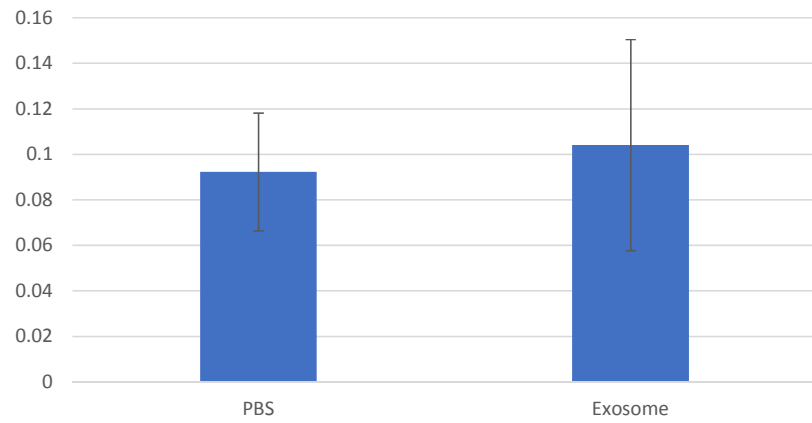
Opacity



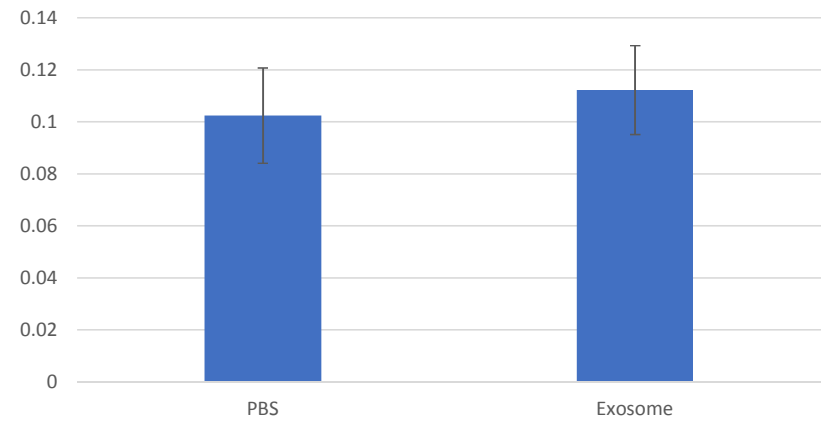
IL6



IL1b



VEGFa



Appendix 13 Final Report

Inflammation Modulatory Protein TSG-6 for Chemical Injuries to the Cornea

MR130174

W81XWH-14-1-0495



PI: Samuel F.A. Fulcher MD

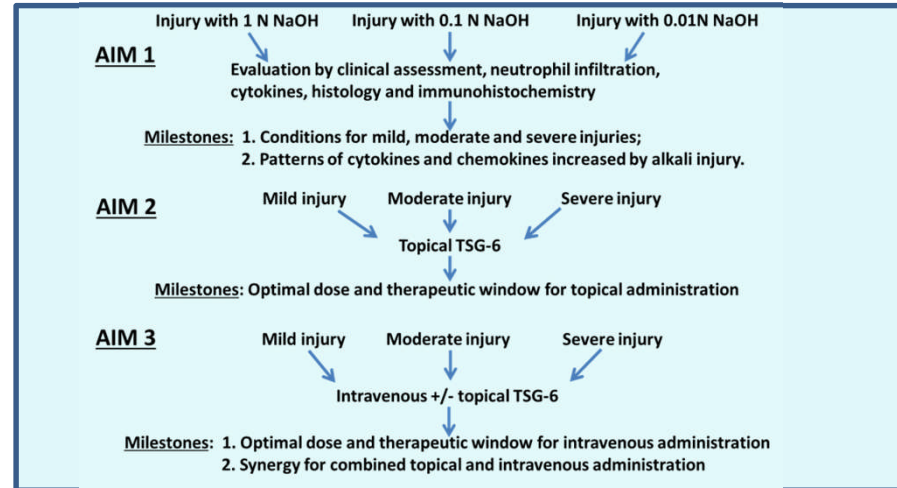
Org: Central Texas Veterans Foundation Award Amount: \$985,149

Study/Product Aim(s)

- **Aim 1.** Determine the timing and patterns of inflammation and other cellular and molecular changes in response to the severity of alkali injury. The data will allow us to select the optimal conditions for evaluating the effectiveness of TSG-6 therapy in Aims 2 and 3.
- **Aim 2.** Establish the optimal dose and the time window for effective topical and anterior chamber administration of TSG-6 therapy as a function of the severity of the alkali injury.
- **Aim 3.** Establish the optimal dose and the time window for effective intravenous administration of TSG-6 as a function of the severity of the alkali injury as well as combined topical and IV administration.

Approach

Expose the corneas of rats to varying concentrations of alkali. Assays of inflammatory markers and clinical grading of injury and healing will be used to assess effectiveness of treatment. The results will establish the limits under which the limbal epithelial stem cells can still be rescued by modulating inflammation with TSG-6.



Outline of Proposed Project

Timeline and Cost

Activities	CY	14	15	16	17
Aim 1. Establish appropriate conditions for testing TSG-6.		█			
Aim 2. Optimal dose and time window for topical, AC TSG-6.			█		
Aim 3. Optimal dose and time window for intravenous and topical + IV TSG-6.				█	█
Estimated Budget (\$K)		\$298	\$356	\$331	\$985

Updated: (09/18/2018)

Goals/Milestones (Example)

CY14 Goal – Establish the appropriate conditions for testing TSG-6

- Determine timing and patterns of cellular and cytokine inflammatory responses as a function of alkali injury severity.

CY15 Goals – Optimize treatment parameters for topical TSG-6.

- Optimize topical dose of TSG-6
- Determine time window for topical therapy

CY16 Goal – Optimize treatment parameters for intravenous TSG-6.

- Optimize intravenous dose of TSG-6
- Determine time window for intravenous therapy
- Determine synergistic effects of combined topical and intravenous TSG-6.

Comments/Challenges/Issues/Concerns

- Aims 1,2,3 completed. Amended Aims 4,5 completed 30/6/2018.

Budget Expenditure to Date

Projected Expenditure: \$985,184

Actual Expenditure: \$985,184 (as of 30/6/2018)