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RPPR Final Report

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INVESTIGATOR(S):

Name: Albena L Ivanisevic
Email: ivanisevic@ncsu.edu
Phone Number: 9195154683
Principal: Y

Name: Ramon Collazo
Email: rcollaz@ncsu.edu
Phone Number: 9195158965
Principal: N

Organization: **North Carolina State University**

Address: 2701 Sullivan Drive, Raleigh, NC 276957514

Country: USA

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Submitted By: Albena Ivanisevic

Email: ivanisevic@ncsu.edu

Phone: (919) 515-4683

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Major Goals: Previous work conducted by our lab, and others, showed that photoconductive materials such as GaN and porous Si can be used to stimulate biological cells. GaN, unlike Si, once exposed to UV light, shows a corresponding prolonged increase in conductivity lasting for many hours, or even days. This phenomenon is known as persistent photoconductivity (PPC). In a previous proof-of-concept work, we showed that this PPC along with chemistry and topography, can not only contribute to the localization of cell in a specific locations, but it can also provide a method for noninvasive in-vitro cellular stimulation. In the referenced work, the properties of persistent photoconductivity (PPC) were utilized to tune the conductivity of a material prior to cellular seeding. It was shown that by exposing GaN semiconductors, PPC materials, to UV-light, this changes not only the surface potential, but also leads to biological changes in intracellular $[Ca^{2+}]_i$, a key signaling molecule in cellular communication.²⁶ In order to quantify this communication, Fluo-4, a commonly-used dye to quantify $[Ca^{2+}]_i$ was used. Previous work only quantified the immediate non-invasive cell stimulation. Though the concept was proven, expanding the applicability of these PPC effects requires greater understanding of materials' functionality. Varying the number of charge carriers (dopants) as well as looking at the effect of PPC on chemical functionalization, will provide control knobs for researchers looking to alter cell behavior non-invasively through PPC materials. We had the following major goals for the project associated with the following 4 questions : 1) How can PPC change the surface chemistry prior to chemical functionalization? 2) How does the concentration of dopants affect cellular behavior? 3) How does chemical functionalization affect PPC? and 4) What are the competing mechanisms of PPC-induced $[Ca^{2+}]_i$ changes?

By the conclusion of this grant period we propose to examine how variably doped semiconductor thin films can lead to distinct in vitro biointerfaces. We will relate the dopant dependent properties of the semiconductor thin films before and after exposure to UV light with the observed changes in neurotypic PC12 cell behavior. We will utilize 3 different n-type gallium nitride (GaN) thin films with variable Si doping: low-doping (LD) (2×10^{17} cm⁻³ carriers), medium-doping (MD) (2.1×10^{18} cm⁻³ carriers), and high doping (HD) (1.5×10^{19} cm⁻³ carriers). The semiconductor thin film roughness and surface potential will be simultaneously analyzed in tapping mode using Atomic Force Microscopy (AFM) and Kelvin Probe Force Microscopy (KPFM). The surface chemistry will be examined before and after chemical functionalization with n-hexylphosphonic acid with X-ray photoelectron spectroscopy (XPS) with survey and high-resolution scans. In vitro studies with PC12 cells will be carried out to

RPPR Final Report as of 22-Oct-2018

understand the role of the variably doped semiconductor thin films on cell viability, reactive oxygen species production as well as time dependent intracellular Ca concentration (referred to as $[Ca^{2+}]_i$).

Accomplishments: Surface Topography Characterization: The semiconductor roughness was quantified using AFM (AC-Air) in order to measure the topographical changes with respect to chemical functionalization. The in situ functionalization described in the experimental section uses a short phosphonic acid adsorbate (n-hexylphosphonic acid), terminates the surface with methyl groups and is intended to passivate the semiconductor surface. Functionalization in general, increased sample roughness, except for the MD samples, which showed no significant difference between clean and functionalization samples, shown in Figure 1. For the HD samples, functionalization increased the RMS from ~ 7 nm to ~ 17 nm. For the LD samples, functionalization increased RMS from ~ 3 nm to ~ 10 nm. The functionalized HD samples were significantly higher than that of the MD and LD samples. No significant differences were shown between the clean samples for all different doping levels.

Surface Potential: The surface potential was measured by Kelvin Probe Force Microscopy (KPFM). For all doping conditions except LD, functionalization increases the surface potential, as is shown in Figure 2. The clean, LD surface potential increased from an initially 0.5 V to 0.9 V after UV illumination. The functionalized, LD samples resembled the PPC behavior of the clean LD samples and increased from 0.5 V to 0.7 V after UV. The MD clean samples increased from 0.5 V to 1.2 V, while the MD functionalized samples showed the highest surface potential of all samples tested increasing from 2.1 V to 2.4 V after UV exposure. The HD clean samples showed no significant differences after illumination, while functionalization enhanced HD sample photoconductivity and UV light increased from 1.3 V to 1.7 V.

Surface Chemistry: The surface chemistry was quantitatively analyzed using XPS. For each test condition, three replicates were measured. In addition, the three spots on each replicate were analyzed to obtain an average surface composition over a total of nine spots. For all materials (LD, MD, and HD), functionalization significantly increased the at% of oxygen on the surface as shown in Table 1. The oxygen content was shown to be significantly higher for those samples that were exposed to UV light prior to functionalization than those samples that were left in the dark. For the two highest doped materials (HD, and MD), functionalized samples that were subject to UV exposure had greater oxygen content over UV- samples. The effect was opposite for the functionalized LD samples, UV exposure was shown to decrease oxygen content, in this case. The carbon content is closely correlated to that of oxygen, though the differences between the clean and functionalized samples are decreased.

Cell Viability: An Alamar Blue (AB) assay was performed over a seven-day period. We note that the PC12 cells were never exposed to UV light and all illumination was done on samples prior to introduction into the cell culture. A significant decrease was found for all doping levels (HD, MD, LD) from day 0 (the day of cell seeding) to all the other days, shown in Figure 3. This suggests that some cells were not completely attached to the sample or the collagen-coated petri dish, and were removed during media aspiration. It is important to note that cell seeding was done on the semiconductor using 0.5 ml of cell-suspension, prior to filling with cell media. After 15 mins, the culture dish was filled to its working volume with prepared cell media. In general, large differences in the AB reduction between samples conditions were not present, suggesting the materials show biocompatible characteristics. The MD and LD surfaces have constant AB levels across the seven days – while the cells are not dying they are not proliferating or showing increased metabolic activity. The same is true for the HD materials except the functionalized HD material which has slightly more AB/cells which may reflect some increase in cellular adhesion. For the HD samples, the functionalized-UV- samples were shown to reduce significantly more AB than all other conditions in days 1, 3, and 5. Differences were also found in the MD samples on day 1, whereby the UV exposed samples reduced less AB than their non-exposed counterparts. Additionally, there was a significant increase in AB reduction from all conditions in day 5 to day 7. The LD samples showed relatively little differences from day 1 to day 7, suggesting that cell viability was maintained throughout the experiment. The lack of increasing AB reduction in most conditions is explained by the addition of neuronal growth factor (NGF), which induces cellular differentiation, preventing cell growth and division, 18 hours after cell seeding.

Surface Chemistry and ROS Production: Intracellular reactive oxygen species (ROS) generation was quantified for the clean and functionalized samples over a 7 day period of cell culture, and summarized in Figure 4. It is important to note that the UV exposure to the semiconductor material was conducted minutes prior to cell seeding. Cell seeding occurred 18 hours prior to the first day of measurement (day 0). Because of this, the cellular effects are a result of the transient PPC effect previously described,²³ a response which is independent of cell-age due to the acute exposure at the beginning of the study. For the HD samples, there were no significant differences between samples not treated with light (UV-) and samples that were illuminated prior to cell experiments (UV+) for days 0, 1

RPPR Final Report as of 22-Oct-2018

and 7. For days 3 and 5, clean HD samples that were UV exposed saw increased ROS production over non-UV exposed samples. An opposite effect was shown for functionalized HD samples, as the UV exposed samples on days 1, 5, and 7 had less ROS production than the samples which were kept in the dark. For the clean MD samples, no difference in UV exposure on days 0 and 1 was shown. For all other days, UV exposed samples decreased ROS production. For the functionalized MD samples, no difference in UV exposure on days 0 and 3 was shown. On day 1, UV exposed samples were higher than those not exposed. The effect was opposite on days 5 and 7. For clean Low Doping (LD) samples, no difference was found between UV exposure on days 0 and 3. The UV exposed samples produced more ROS on days 5 and 7. The LD functionalized samples on days 0, 1, and 7 saw no difference between UV+ and UV- conditions. On days 3 and 5, UV exposed samples produced more ROS than those left in the dark. Overall, this relationship between surface chemistry and the amount of ROS produced, is difficult to interpret if conducted independently. Thus, we attempted make this easier by categorizing the ROS production with the surface chemistry (gallium, nitrogen, oxygen, carbon, and phosphorous content).

As previously stated, the three sample types with the highest oxygen, carbon, and phosphorous content (indicative of chemical functionalization) are HD-functionalized-UV+, MD-functionalized-UV+, and LD-functionalized-UV-. These 3 materials are denoted with a triangle in Figure 4. This figure shows samples with the higher at% of C, O, and P (characteristics of functionalization), generally show (7 out of 8 instances) a lesser amount of ROS species produced. The one exception to this trend is the MD-functionalized-UV+ samples on day 1, which showed a higher ROS production despite it having a higher average at% of O and C than its UV- counterpart (denoted by the upside down triangle).

Taken as a whole, we can begin to make some conclusions as to how the surface chemistry relates to the production of ROS species when PC12 cells are cultured in the presence of the semiconductor surfaces. In this regard, the following with respect to the n-type Ga-polar samples tested can be summarized:

- 1) For the two highest doped samples (HD and MD), UV exposure prior to chemical functionalization increased the oxygen and carbon surface content a

Training Opportunities: Students who worked on the project participated in preparing the manuscripts . In addition all students were fully trained to use all shared analytical instrumentation facilities in the triangle.

RPPR Final Report as of 22-Oct-2018

Results Dissemination: We have published all our results in peer reviewed journals with high impact. Our joint work has resulted in the following collaborative publications:
Collaborative Publications:

1. "Bioelectronics Communication: Encoding Yeast Regulatory Responses Using Nanostructured Gallium Nitride Thin Films," Patrick J. Snyder, Dennis R. LaJuenesse, Pramod Reddy, Ronny Kirste, Ramon Collazo, and Albena Ivanisevic, 2018, in revisions.
2. "Bulk and Surface Electronic Properties of Inorganic Materials: Tools to Guide Cellular Behavior," Patrick J. Snyder, Pramod Reddy, Ronny Kirste, Ramon Collazo, and Albena Ivanisevic, 2018, in press.
3. "Non-Invasive Stimulation of Neurotypic Cells Using Persistent Photoconductivity of Gallium Nitride," Patrick J. Snyder, Pramod Reddy, Ronny Kirste, Dennis R. LaJuenesse, Ramon Collazo, and Albena Ivanisevic, 2018, ACS Omega, 3(1), 615-621.
4. "Persistent Photoconductivity, Nanoscale Topography and Chemical Functionalization Can Collectively Influence the Behavior of PC12 Cells on Wide Band Gap Semiconductor Surfaces," Patrick J. Snyder, Ronny Kirste, Ramon Collazo, and Albena Ivanisevic, 2017, Small, 13, 1700481.
5. "Stability and Reliability of III-Nitride Based Biosensors," Nathaniel Rohrbaugh, Ronny Kirste, Ramon Collazo, Albena Ivanisevic in Semiconductor-Based Sensors, 149-196, 2017
6. "Nanoscale Topography, Semiconductor Planes' Polarity and Surface Functionalization: Additive and Cooperative Effects on Neurotypic Cell Behavior," Patrick J. Snyder, Ronny Kirste, Ramon Collazo, and Albena Ivanisevic, 2016, RSC Advances, 6 (100), 97873-97881.
7. "Photoluminescence Changes of III-Nitride Lateral Polarity Structures After Chemical Functionalization," Nora G. Berg, Alexander Franke, Ronny Kirste, Ramon Collazo, Albena Ivanisevic, 2016, Materials Research Express, 3 (12), 125906.
8. "HgNO₃ Sensitivity of AlGa_N/Ga_N Field Effect Transistors Functionalized with Phytochelating Peptides," Nathaniel Rohrbaugh, Luis Hernandez-Balderama, Felix Kaess, Ronny Kirste, Ramon Collazo, Albena Ivanisevic, 2016, AIP Advances, 6(6), 065105.
9. "Long-Term Stability Assessment of AlGa_N/Ga_N Field Effect Transistors Modified with Peptides: Device Characterization vs. Surface Properties," Nathaniel Rohrbaugh, Issac Bryan, Zachary Bryan, Ramon Collazo, Albena Ivanisevic, 2015, AIP Advances, 5, 097102.
10. "Neurotypic Cell Attachment and Growth on III-Nitride Lateral Polarity Structures," L. E. Bain, R. Kirste, C. A. Johnson, H. T. Ghashghaei, R. Collazo, A. Ivanisevic, 2016, Materials Science and Engineering C, 58, 1194-1198.
11. "Adsorption and adhesion of common serum proteins to nanotextured gallium nitride," Lauren E. Bain, Marc P. Hoffmann, Isaac Bryan, Ramon Collazo, Albena Ivanisevic, 2015, Nanoscale, 7(6):2360-2365.
12. "Electronic Sensors Based on III-nitride Semiconductors," Ronny Kirste, Nathaniel Rohrbaugh, Issac Bryan, Zachary Bryan, Ramon Collazo, Albena Ivanisevic, 2015, Annual Review of Analytical Chemistry, 8, 149-169.
13. "AlGa_N/Ga_N Field Effect Transistors Functionalized with Recognition Peptides," N. Rohrbaugh, I. Bryan, Z. Bryan, C. Arellano, R. Collazo, A. Ivanisevic, 2014, Appl. Phys. Lett., 105, 134103.
14. "Surface Topography and Chemistry Shape Cellular Behavior on Wide Band-Gap Semiconductors," Lauren E. Bain, Ramon Collazo, Shu-han Hsu, Nicole Pfiester Latham, Michael J Manfra, Albena Ivanisevic, Acta Biomaterialia, 2014, 10, 2455-2462.
15. "Cell Behavior on Gallium Nitride Surfaces: Peptide Affinity Attachment Vs. Covalent Functionalization," Corey M. Foster, Ramon Collazo, Zlatko Sitar, Albena Ivanisevic, 2013, Langmuir, 29, 8377-8384.
16. "Kinase Detection with Gallium Nitride Based High Electron Mobility Transistors," M. S. Makowski, I. Bryan, Z. Sitar, C. Arellano, J. Xie, R. Collazo, and A. Ivanisevic, 2013, Appl. Phys. Lett., 103, 013701.
17. "Physisorption of functionalized gold nanoparticles on AlGa_N/Ga_N high electron mobility transistors for sensing applications, M. S. Makowski, S. Kim, M. Gaillard, D. Janes, M. J. Manfra, I. Bryan, Z. Sitar, C. Arellano, J. Xie, R. Collazo, and A. Ivanisevic, 2013, Appl. Phys. Lett. 102, 074102.
18. "Aqueous Stability of Ga- and N-Polar Gallium Nitride," Corey M. Foster, Ramon Collazo, Zlatko Sitar, Albena Ivanisevic, 2013, Langmuir, 29, 216-220.

Honors and Awards: The PI serves as the executive editor of ACS Applied Materials and Interfaces.

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Participant: Albena Ivanisevic

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National Academy Member: N

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Participant Type: Co PD/PI

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Variably Doped Nanostructured Gallium Nitride Surfaces Can Serve as Biointerfaces for Neurotypic PC 12 Cells and Alter Their Behavior

Patrick J. Snyder,[†] Pramod Reddy,[‡] Ronny Kirste,[‡] Dennis R. LaJeunesse,^{||} Ramon Collazo,[†]
Albena Ivanisevic^{†,*}

[†]Department of Materials Science and Engineering, North Carolina State University, Raleigh, North Carolina, 27695, USA

[‡] Adroit Materials, 2054 Kildaire Farm Rd., Suite 205, Cary, North Carolina 27518, USA

^{||} Joint School of Nanoscience and Nanoengineering, University of North Carolina-Greensboro and North Carolina A&T University, Greensboro, North Carolina, 27401, USA

*author to whom correspondence should be addressed: ivanisevic@ncsu.edu

Key words: GaN, Doping, Reactive oxygen species, intracellular calcium, persistent photoconductivity, Ga-polar, PC12 cells

ABSTRACT

Neurotypic PC12 cells behavior was studied on nanostructured GaN and rationalized with respect to surface charge, doping level, and chemical functionalization. The semiconductor analysis included atomic force microscopy, kelvin probe force microscopy, and x-ray photoelectron spectroscopy. The semiconductor surfaces were then evaluated as biointerfaces, and the *in vitro* cell behavior was quantified based on cell viability, reactive oxygen species production, as well as time dependent intracellular Ca concentration, $[Ca^{2+}]_i$, a known cell-signaling molecule. In this work, we show that persistent photoconductivity (PPC) can be used to alter the surface properties prior to chemical functionalization, the concentration of dopants can have some effect on cellular behavior, and that chemical functionalization changes the surface potential before and after exposure to UV light. Finally, we describe some competing mechanisms of PPC-induced $[Ca^{2+}]_i$ changes, and how researchers looking to control cell behavior non-invasively can consider PPC as a useful control knob.

INTRODUCTION

Today's information-based society has been largely fueled by developments in semiconductor materials. The next generation of emerging technologies will require electronic materials that can confer application-specific properties (chemical, electrical, optical, or a combination thereof).¹ These properties can be realized through addition of impurity atoms in thin films^{2,3}, changing chemical properties through tethered molecules or films⁴⁻⁶, and topographical patterning of nanostructures or polymers.⁷⁻⁹ For optoelectronic devices, understanding the mechanism of doping is the key for both maximizing the efficiency of traditional semiconductor materials,¹⁰ and enhancing performance and widening applicability and adoption of organic semiconductors,^{4,11} for next-generation applications. Though organic semiconductors have emerged as a strong technology due to the optical gap tunability, the fact that the doping efficiency remains a challenge, sheds light on the complex interactions between the electron transport from organic molecules to inorganic molecules.¹² This electrical organic-inorganic transport mechanism is crucial for understanding and applying today's semiconductor materials for wide ranging applications that spans the fields of biology, medicine, and neuroscience.^{10,13}

A number of material's properties can be tuned by the addition and concentration of dopants. Conductivity, photoconductivity, optical bandgap, electronic bandgap, dielectric constant, have all been tuned through doping. Small changes in the atomic composition of thin films can lead to great enhancements or deteriorative effects. Doping, in addition to material topography, can lead to changes in a material's optical and electronic properties. For instance, patterning of topographical structures on semiconductor thin films, such as in the case of evanescently coupled nanowire antennas, enhanced the optical read-out efficiency by 10x as compared to the planar controls.¹⁰ Doping can also enhance photoconductivity. For example, photoconductive sensitive Al-doped ZnO has been shown to have enhanced photocurrent and photoconductivity at 1% Al.¹⁴ Obviously, the amount of dopants can affect the microstructure. In turn this results in changes of the electron scattering, as in the case of Mg-doped barium strontium titanate. In that case, an increasing amount of Mg results in the pinning of grain growth, and thus a reduction in overall grain size. Consequently one measures dielectric loss, as well as tunability and leakage current, and dielectric constant reduction from 450 to 205.¹⁵

Similar to thin films, atomically thin nanomaterials such as black phosphorous and graphene nanoribbons have shown electrical tunability with changes in surface chemistry. For

instance, black phosphorous functionalized with Aryl Diazonium chemistry has exhibited improved p-type doping characteristics, which enhances the field-effect transistor mobility and on/off current ratio.¹⁶ Chemical functionalization with 4-nitrobenzenediazonium and triethylene triamine (DETA) molecules, on graphene nanoribbons has been shown to augment the doping effects and tune the electronic behavior to p- and n-type, respectively.¹⁷ Furthermore, a large number of studies have focused on targeting biological molecules through various methods such as creating meshed nanowires on the transistor gate to create a real-time non-destructive label-free chemical and biological sensor.¹⁸ Functionalization of nanoprobe using (Au nanoparticles, quantum dots, magnetic nanoparticles, and carbon nanomaterials) have been shown to especially amenable to biochemical assay applications, resulting effective signal tags or signal amplification systems.¹⁹ Doping effects however have not been systematically studied with respect to their role in tuning biointerfacial properties.

Previous work conducted by our lab, and others, showed that photoconductive materials such as GaN and porous Si can be used to stimulate biological cells.²⁰⁻²³ GaN, unlike Si, once exposed to UV light, shows a corresponding prolonged increase in conductivity lasting for many hours, or even days.²⁴ This phenomenon is known as persistent photoconductivity (PPC). In a previous proof-of-concept work, we showed that this PPC along with chemistry and topography, can not only contribute to the localization of cell in a specific locations,²³ but it can also provide a method for noninvasive *in-vitro* cellular stimulation.²⁵ In the referenced work, the properties of persistent photoconductivity (PPC) were utilized to tune the conductivity of a material prior to cellular seeding. It was shown that by exposing GaN semiconductors, PPC materials, to UV-light, this changes not only the surface potential, but also leads to biological changes in intracellular $[Ca^{2+}]_i$, a key signaling molecule in cellular communication.²⁶ In order to quantify this communication, Fluo-4, a commonly-used dye to quantify $[Ca^{2+}]_i$ was used.^{27,28} Previous work only quantified the immediate non-invasive cell stimulation. Though the concept was proven, expanding the applicability of these PPC effects requires greater understanding of materials' functionality. Varying the number of charge carriers (dopants) as well as looking at the effect of PPC on chemical functionalization, will provide control knobs for researchers looking to alter cell behavior non-invasively through PPC materials. In this work, we will further examine the following: 1) How can PPC change the surface chemistry prior to chemical functionalization? 2) How does the concentration of dopants affect cellular behavior? 3) How does chemical

functionalization affect PPC? and 4) What are the competing mechanisms of PPC-induced $[Ca^{2+}]_i$ changes?

In this study, we examined how variably doped semiconductor thin films can lead to distinct *in vitro* biointerfaces. We relate the dopant dependent properties of the semiconductor thin films before and after exposure to UV light with the observed changes in neurotypic PC12 cell behavior. We utilize 3 different n-type gallium nitride (GaN) thin films with variable Si doping: low-doping (LD) ($2 \times 10^{17} \text{ cm}^{-3}$ carriers), medium-doping (MD) ($2.1 \times 10^{18} \text{ cm}^{-3}$ carriers), and high doping (HD) ($1.5 \times 10^{19} \text{ cm}^{-3}$ carriers). The semiconductor thin film roughness and surface potential were simultaneously analyzed in tapping mode using Atomic Force Microscopy (AFM) and Kelvin Probe Force Microscopy (KPFM). The surface chemistry was examined before and after chemical functionalization with n-hexylphosphonic acid with X-ray photoelectron spectroscopy (XPS) with survey and high-resolution scans. *In vitro* studies with PC12 cells are carried out to understand the role of the variably doped semiconductor thin films on cell viability, reactive oxygen species production as well as time dependent intracellular Ca concentration (referred to as $[Ca^{2+}]_i$).

EXPERIMENTAL SECTION

Semiconductor Thin Film Growth: The n-type Ga-polar GaN of three different Si dopant concentrations (Low Doping (LD) = $2 \times 10^{17} \text{ cm}^{-3}$ carriers, Medium Doping (MD) = $2.1 \times 10^{18} \text{ cm}^{-3}$ and High Doping (HD) = $1.5 \times 10^{19} \text{ cm}^{-3}$ carriers) were grown on a sapphire substrate by metalorganic chemical vapor deposition using typical precursors: triethylgallium, ammonia, and silane as the precursor for doping. The film thickness of all samples was around $1 \mu\text{m}$. Specific details on the growth of the semiconductor materials are described elsewhere.^{29,30}

Chemical Functionalization: The semiconductor samples were sonicated in acetone for 20 mins and then sonicated in methanol for 20 mins. The ‘Cleaned’ samples were removed and dried with N_2 gas. The functionalized samples were then placed in a 50/50 vol% of $100 \text{ }^\circ\text{C}$ H_2O/HCl for 20 mins. The samples were then rinsed with DI water, and placed in a 50/50 vol% 3-mM n-hexylphosphonic acid/60% phosphoric acid (H_3PO_4). After functionalization, the samples were dried using N_2 gas.

AFM & KPFM: The roughness was quantified using an Asylum Cypher S AFM. At least nine $5 \times 5 \mu\text{m}$ scans in AC Air mode containing topography, phase, and amplitude information. The RMS

was quantified using Asylum Research software running Igor Pro (version 13.01.68). The Pt probes coated with Ir were obtained through Asylum Research were specifically designed for electrical measurements with $f=350$ kHz. A soft-contact approach was used, and the probe was electrically driven with a signal that was equal to the natural resonance of the cantilever (~ 350 kHz) with an amplitude of 3 V. The sample was attached to a sample holder using conductive tape; additionally metallized contacts were placed on the samples and connected to the onboard AFM ground contact.

XPS: The surface chemistry of clean and functionalized GaN samples were analyzed with a Kratos Axis Ultra DLD X-ray photoelectron spectrometer. Three spots on three samples for each doping level (HD, MD, and LD) and chemistry (clean, functionalized), were used (9 total spots) for a fully factorial experimental design where high resolution scans (pass energy=20 eV) of (C 1s, Ga 2p, Ga 3d, P 2p, N 1s, O 1s) were obtained with a wide survey scan (pass energy=160 eV). Casa XPS v2.3.12.8 was used for determining atomic percentages of each sample from the survey scans. Background subtraction was done using a Shirley approximation and all peaks were calibrated by setting the Ga 3d peak to 19.6 eV to avoid errors due to carbon contamination.³¹

***In vitro* cell growth and assays:** Second passage PC12 cells (ATCC) were grown to $\sim 90\%$ confluency in collagen coated petri dishes. After Trypsin-EDTA was added to encourage cellular detachment, and the plates were incubated for 20 mins in a 37°C , 5% CO_2 environment. The cellular detachment was confirmed through optical microscopy, and the cell suspensions were centrifuged at 200 g for 8 min. Cell media was prepared to the following concentration: DMEM containing 12.5% horse serum, 2.5% fetal bovine serum, and 1% penicillin/streptomycin. This cell media was prepared at the beginning of the experiment and used throughout the seven-day experiment. 24 well plates were previously prepared and coated with collagen I. The working volume during cell incubation was maintained at 1 ml of cell media. After 1 day (18 hours after day 0 (cell seeding) of growth in cell media, the cell media was replaced with DMEM supplemented with 1 vol% Horse Serum and 50 ng/ml of neuronal growth factor (NGF). This NGF-DMEM media was then used for the remainder of the experiment. Three types of assays were done: Alamar Blue (AB) for cell viability, DCFH-DA for quantification of reactive oxygen species (ROS) and Fluo-4 Ca assay to measure intracellular calcium, $[\text{Ca}^{2+}]_i$. The AB assay was performed first, and 100 μl was added to the 1 ml working volume of cells media, and the plates were incubated for 4 hours $^\circ\text{C}$, 5% CO_2 environment. Six replicates of 150 μl volume of each well

were transferred to a 96 well plate after the incubation. A Tecan GENios microplate reader was used to take AB absorbance measurements at 570 nm and 600 nm. The data was normalized with positive (cells + no semiconductor) and negative controls (no cells + no semiconductor) for each day. After the remnant media was aspirated from the wells, 0.5 ml of cell media was added to each well along with 0.5 ml of a DCFH-DA – PBS solution ($10 \frac{\mu g}{ml}$). The solution was incubated for 1 hr, and six replicates were transferred to a 96 well plate for measurement ($\lambda_{Ex} = 485 \text{ nm}$, $\lambda_{Em} = 535 \text{ nm}$). 300 μl of the Fluo-4 Ca assay dye and included buffer solution (without probenecid) was added to each well along with 100 μl of cell media. Six replicates were transferred into a 96 well plate for microplate measurement ($\lambda_{Ex} = 492 \text{ nm}$, $\lambda_{Em} = 535 \text{ nm}$). For each set of measurements, the replicates were averaged and analyzed using a 3-way ANOVA. All of the statistical analyses were performed using OriginPro 2016 (b9.3.1.273). Three-way ANOVAs were used to find significant differences between the experimental conditions with $\alpha = 0.05$.

RESULTS AND DISCUSSION

Surface Topography Characterization: The semiconductor roughness was quantified using AFM (AC-Air) in order to measure the topographical changes with respect to chemical functionalization. The *in situ* functionalization described in the experimental section uses a short phosphonic acid adsorbate (n-hexylphosphonic acid), terminates the surface with methyl groups and is intended to passivate the semiconductor surface. Functionalization in general, increased sample roughness, except for the MD samples, which showed no significant difference between clean and functionalization samples, shown in Figure 1. For the HD samples, functionalization increased the RMS from $\sim 7 \text{ nm}$ to $\sim 17 \text{ nm}$. For the LD samples, functionalization increased RMS from $\sim 3 \text{ nm}$ to $\sim 10 \text{ nm}$. The functionalized HD samples were significantly higher than that of the MD and LD samples. No significant differences were shown between the clean samples for all different doping levels.

Surface Potential: The surface potential was measured by Kelvin Probe Force Microscopy (KPFM). For all doping conditions except LD, functionalization increases the surface potential, as is shown in Figure 2. The clean, LD surface potential increased from an initially 0.5 V to 0.9 V after UV illumination. The functionalized, LD samples resembled the PPC behavior of the clean

LD samples and increased from 0.5 V to 0.7 V after UV. The MD clean samples increased from 0.5 V to 1.2 V, while the MD functionalized samples showed the highest surface potential of all samples tested increasing from 2.1 V to 2.4 V after UV exposure. The HD clean samples showed no significant differences after illumination, while functionalization enhanced HD sample photoconductivity and UV light increased from 1.3 V to 1.7 V.

Surface Chemistry: The surface chemistry was quantitatively analyzed using XPS. For each test condition, three replicates were measured. In addition, the three spots on each replicate were analyzed to obtain an average surface composition over a total of nine spots. For all materials (LD, MD, and HD), functionalization significantly increased the at% of oxygen on the surface as shown in Table 1. The oxygen content was shown to be significantly higher for those samples that were exposed to UV light prior to functionalization than those samples that were left in the dark. For the two highest doped materials (HD, and MD), functionalized samples that were subject to UV exposure had greater oxygen content over UV- samples. The effect was opposite for the functionalized LD samples, UV exposure was shown to decrease oxygen content, in this case. The carbon content is closely correlated to that of oxygen, though the differences between the clean and functionalized samples are decreased.

Cell Viability: An Alamar Blue (AB) assay was performed over a seven-day period. We note that the PC12 cells were never exposed to UV light and all illumination was done on samples prior to introduction into the cell culture. A significant decrease was found for all doping levels (HD, MD, LD) from day 0 (the day of cell seeding) to all the other days, shown in Figure 3. This suggests that some cells were not completely attached to the sample or the collagen-coated petri dish, and were removed during media aspiration. It is important to note that cell seeding was done on the semiconductor using 0.5 ml of cell-suspension, prior to filling with cell media. After 15 mins, the culture dish was filled to its working volume with prepared cell media. In general, large differences in the AB reduction between samples conditions were not present, suggesting the materials show biocompatible characteristics. The MD and LD surfaces have constant AB levels across the seven days – while the cells are not dying they are not proliferating or showing increased metabolic activity. The same is true for the HD materials except the functionalized HD material which has slightly more AB/cells which may reflect some increase in cellular adhesion. For the HD samples,

the functionalized-UV- samples were shown to reduce significantly more AB than all other conditions in days 1, 3, and 5. Differences were also found in the MD samples on day 1, whereby the UV exposed samples reduced less AB than their non-exposed counterparts. Additionally, there was a significant increase in AB reduction from all conditions in day 5 to day 7. The LD samples showed relatively little differences from day 1 to day 7, suggesting that cell viability was maintained throughout the experiment. The lack of increasing AB reduction in most conditions is explained by the addition of neuronal growth factor (NGF), which induces cellular differentiation, preventing cell growth and division, 18 hours after cell seeding.

Surface Chemistry and ROS Production: Intracellular reactive oxygen species (ROS) generation was quantified for the clean and functionalized samples over a 7 day period of cell culture, and summarized in Figure 4. It is important to note that the UV exposure to the semiconductor material was conducted minutes prior to cell seeding. Cell seeding occurred 18 hours prior to the first day of measurement (day 0). Because of this, the cellular effects are a result of the transient PPC effect previously described,²³ a response which is independent of cell-age due to the acute exposure at the beginning of the study. For the HD samples, there were no significant differences between samples not treated with light (UV-) and samples that were illuminated prior to cell experiments (UV+) for days 0, 1 and 7. For days 3 and 5, clean HD samples that were UV exposed saw increased ROS production over non-UV exposed samples. An opposite effect was shown for functionalized HD samples, as the UV exposed samples on days 1, 5, and 7 had less ROS production than the samples which were kept in the dark. For the clean MD samples, no difference in UV exposure on days 0 and 1 was shown. For all other days, UV exposed samples decreased ROS production. For the functionalized MD samples, no difference in UV exposure on days 0 and 3 was shown. On day 1, UV exposed samples were higher than those not exposed. The effect was opposite on days 5 and 7. For clean Low Doping (LD) samples, no difference was found between UV exposure on days 0 and 3. The UV exposed samples produced more ROS on days 5 and 7. The LD functionalized samples on days 0, 1, and 7 saw no difference between UV+ and UV- conditions. On days 3 and 5, UV exposed samples produced more ROS than those left in the dark. Overall, this relationship between surface chemistry and the amount of ROS produced, is difficult to interpret if conducted independently. Thus, we attempted make this easier by

categorizing the ROS production with the surface chemistry (gallium, nitrogen, oxygen, carbon, and phosphorous content).

As previously stated, the three sample types with the highest oxygen, carbon, and phosphorous content (indicative of chemical functionalization) are HD-functionalized-UV+, MD-functionalized-UV+, and LD-functionalized-UV-. These 3 materials are denoted with a triangle in Figure 4. This figure shows samples with the higher at% of C, O, and P (characteristics of functionalization), generally show (7 out of 8 instances) a lesser amount of ROS species produced. The one exception to this trend is the MD-functionalized-UV+ samples on day 1, which showed a higher ROS production despite it having a higher average at% of O and C than its UV- counterpart (denoted by the upside down triangle).

Taken as a whole, we can begin to make some conclusions as to how the surface chemistry relates to the production of ROS species when PC12 cells are cultured in the presence of the semiconductor surfaces. In this regard, the following with respect to the n-type Ga-polar samples tested can be summarized:

- 1) For the two highest doped samples (HD and MD), UV exposure prior to chemical functionalization increased the oxygen and carbon surface content as compared to samples left in the dark. The opposite trend was found for the LD samples. This supports the notion that chemical passivation may be enhanced by charging the substrate via UV exposure prior to etching. We note that there might be minimum critical doping limit for which UV exposure shows no enhancement.
- 2) Surface analysis verified chemical attachment of the functionalized samples. Samples with a greater amount of adsorbates (C, O, and P) on the surface correspond with significantly less at% N and Ga on the surface. It was shown that these samples that contain higher C, O, and P species generate less ROS when exposed to PC12 cells.
- 3) In this work we intentionally did not use UV light as a way of generating ROS in solution in the presence of water and semiconductor material. However, UV exposure which changes the surface charge plays a direct role in the chemical species formed on the surface during chemical functionalization. These species were shown to have a direct passivating effect on the generation of ROS by PC12 cells in culture.

Changes in $[Ca^{2+}]_i$ & ROS Production: In general, some relationship exists between a material doping level (which largely affects surface charge buildup) and chemical functionality with respect to the amount of ROS produced and the resulting changes in $[Ca^{2+}]_i$. The highly doped samples showed moderate changes in surface potential before and after UV exposure, Figure 2. The significant changes in ROS production were then cross-referenced with significant $[Ca^{2+}]_i$ changes, and symbols were drawn to show direct proportionality, inverse proportionality, or no proportionality, Figure 5. The clean HD samples on days 3 and 5 showed an inverse relationship between ROS production and $[Ca^{2+}]_i$ (represented by a filled green star). The chemistry of the surface was shown to affect how ROS production translates to $[Ca^{2+}]_i$ changes, in that a passivating effect was shown on days 1 and 5 of functionalized HD samples (changes in ROS production did not result in significant $[Ca^{2+}]_i$ changes). Day 7 of the HD functionalized samples showed a direct relationship (more ROS production = higher intracellular $[Ca^{2+}]_i$).

A similar effect was even more pronounced when looking at the MD samples, which showed the greatest changes in surface potential of all samples tested. For the clean MD samples, direct proportionality was displayed on days 3 and 7, while day 5 showed no significant changes in $[Ca^{2+}]_i$ as a result of changes in ROS production. The functionalized MD samples had the highest surface charge (and largest surface potential changes between UV+ and UV-), and thus showed inverse proportionality on days 1, 5, and 7. The LD samples showed the least amount of surface charge buildup after UV exposure (Figure 2), and thus did not show a strong relationship between doping and chemistry versus ROS production and $[Ca^{2+}]_i$ changes, Figure 5. The only significant relation is for the clean LD samples on day 7, which showed direct proportionality, an outlier of the other data, though notably with large error involved. The clean LD samples on days 1 and 5, as well as the functionalized LD samples on days 3 and 5 showed no significant relationship between the amount of ROS produced and resulting changes in intracellular $[Ca^{2+}]_i$.

The above observations lead us to the question of what are the possible mechanisms that provide for: 1) ROS production running proportional to $[Ca^{2+}]_i$; 2) ROS production running inversely proportional to $[Ca^{2+}]_i$; and 3) ROS production being independent of $[Ca^{2+}]_i$? In previous studies conducted by our group, we showed that when n-type Ga-polar GaN is exposed to UV

light, and subsequently placed in contact with PC12 cells, cell populations show an increased $[Ca^{2+}]_i$. Since the measurement is done immediately after the charging of the surface this assay can be used to assess cell stimulation. Furthermore, in the same work we showed that intentionally introducing Ga^{3+} ions in solution can decrease the $[Ca^{2+}]_i$.²⁵ In the present long term study, where the Ca assay is used to quantify mechanical cell responses over a period of days, rather than a stimulation response, we can make the following conclusions:

- 1) **ROS production is proportional to $[Ca^{2+}]_i$:** The functionalized samples with high C, O, and P at%, generate less ROS species when placed in cell culture than their clean counterparts. This is intuitive, as the hydrocarbon chains in the hexylphosphonic acid are hydrophobic in nature, and presumably decrease water contact with the material. For the same reason, this functionalization will likely decrease leaching effects, which has the effect of decreasing intracellular $[Ca^{2+}]_i$. Furthermore, an additive effect also in play is the cytotoxic effects of ROS, which has a contributing role in directly increasing $[Ca^{2+}]_i$ which has been shown previously by others.³²
- 2) **ROS production is inversely proportional to $[Ca^{2+}]_i$:** The clean samples show significantly higher at% Ga and N (and lower at% C and O) on the surface than those functionalized. Because of these exposed surfaces, the formation of gallium-based leachates in solution is more likely to occur. As previously described: higher amounts of gallium leachates = lower amounts of $[Ca^{2+}]_i$.²⁵ Thus in this mechanism, the effect of gallium leaching over the seven-day experiment is shown to overpower the mechanisms described in case 1.
- 3) **ROS production is independent of $[Ca^{2+}]_i$:** In this case, there are competing effects of the mechanisms described in case 1 and case 2. One can observe that this occurred most often in the case of the low doping material. This likely occurred due to low charge carrier concentration relative to the other samples, resulting in small changes in surface potential before and after UV exposure. This mechanism was muddled by the competing effect of leaching over the seven-day experiment. Previous studies have shown GaN to be stable in even harsh conditions, and no significant leaching over a 2 day period.^{25,33}

This study, up to this point, has largely ignored the cellular mechanics and processes which might be involved in cellular stimulation while instead focusing on the material's properties (PPC, doping, chemistry, etc). It is important to note however that both, intracellular ROS production and calcium release are important intracellular signaling molecules, which are present in various organelles in the cell, and often have either self-amplifying or deteriorative relationships depending on not only the organelle function, but also the metabolic state of the cell ³⁴. Furthermore, it is known that the levels of intracellular ROS are regulated by and modulate the intracellular calcium levels, thus generating more complexity in the coordination and control of intracellular signaling. Görlach and colleagues have recently published a review discussing the relationship of calcium and ROS production ³⁴. This review along with the included literature and our results, suggest that there may be a complex interplay between these signaling systems among different organelles³⁵⁻³⁸. Identifying those organelles and cellular pathways will be required for the future fine tuning of specific cellular signaling networks.

CONCLUSIONS

In summary, we examined the effect of GaN doping and chemistry on the behavior of PC12 cells. In the process, we were able to provide the answers to the following important questions:

- 1) How can PPC change the surface chemistry prior to chemical functionalization of samples with different doping levels?

PPC, through an increase in surface potential, enhanced the chemical functionalization of hexylphosphonic (higher at% of C, O, and P) on the surface over samples which did not experience PPC.

- 2) How does the concentration of dopants affect PPC-induced surface changes and subsequent alteration of $[Ca^{2+}]_i$?

Samples that had high concentrations of charge carriers (HD and MD), in general, showed a better ability to cause changes in $[Ca^{2+}]_i$.

- 3) How does chemical functionalization affect PPC?

In this study, chemical functionalization combined with PPC was shown to passivate the surface in such a way to as to screen the amount of Ga and N atoms near the surface. This minimizes ROS production attributable to leachates, while increasing direct cellular charge transfer from material to cell, both of which have the same additive effect of increasing cellular stimulation.

The presented work also revealed that there are likely competing mechanisms of PPC-induced $[Ca^{2+}]_i$ changes. The possible mechanisms we outlined are related to the stability of the material and our ability to control it via surface charge aided functionalization. We plan to explore how to control these competing mechanisms in future studies using adsorbates with different chemical functionality.

AUTHOR INFORMATION

Corresponding Author

*E-mail: ivanisevic@ncsu.edu.

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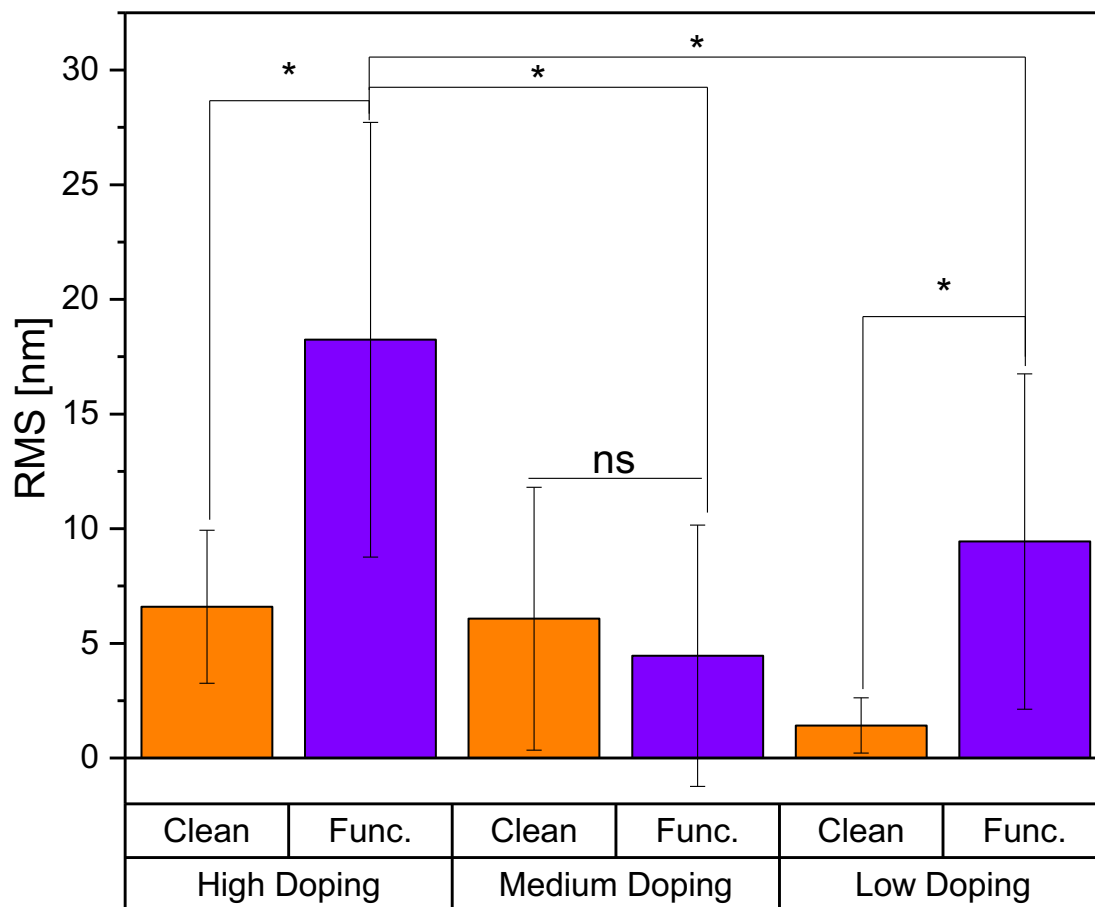


Figure 1: The RMS was quantified using AFM. Functionalization was shown to increase roughness for the HD and LD samples, while there was no significant difference between the clean and functionalized surfaces for the MD samples.

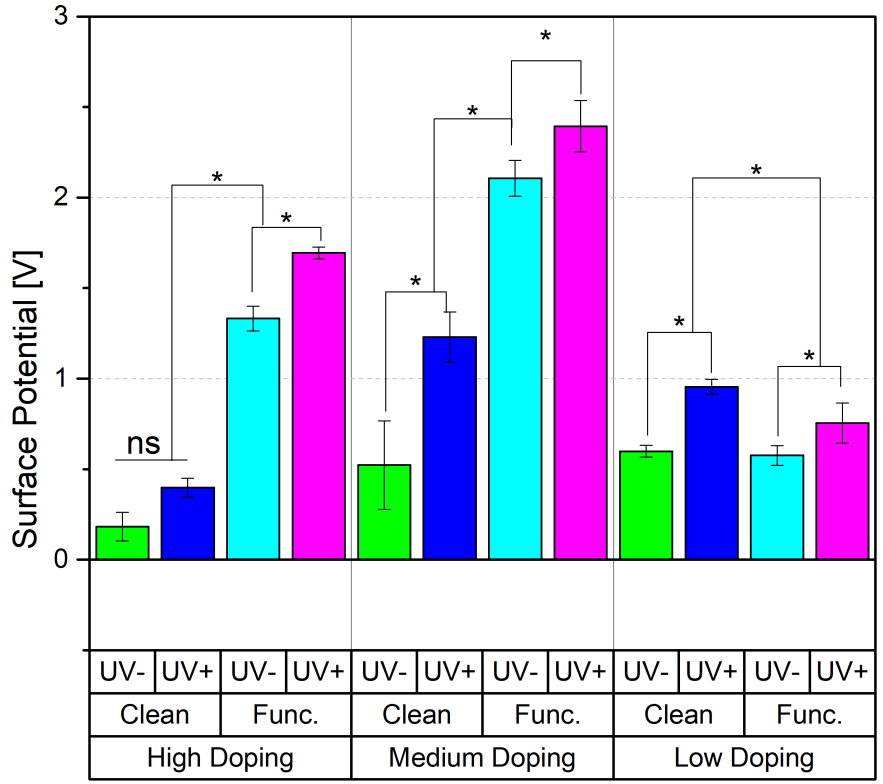


Figure 2: The surface potential was quantified using KPFM. Functionalization was shown to increase the surface potential for all sample conditions (LD, MD, HD). Furthermore, the n-type GaN increased its surface potential upon UV exposure for all sample conditions.

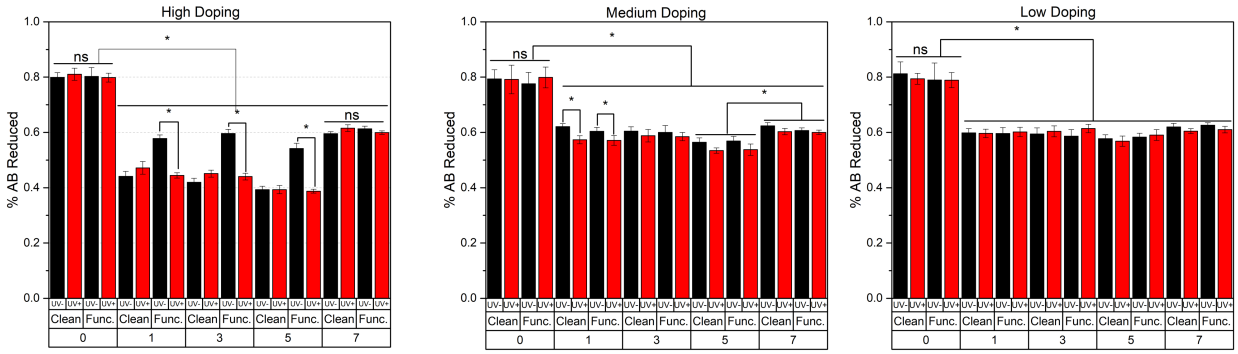


Figure 3: Cell viability using Alamar Blue (AB) was measured across the seven-day experiment. Though day 0 saw a significant decrease in AB reduction, that level was maintained throughout the experiment, verifying the biocompatible characteristics of GaN.

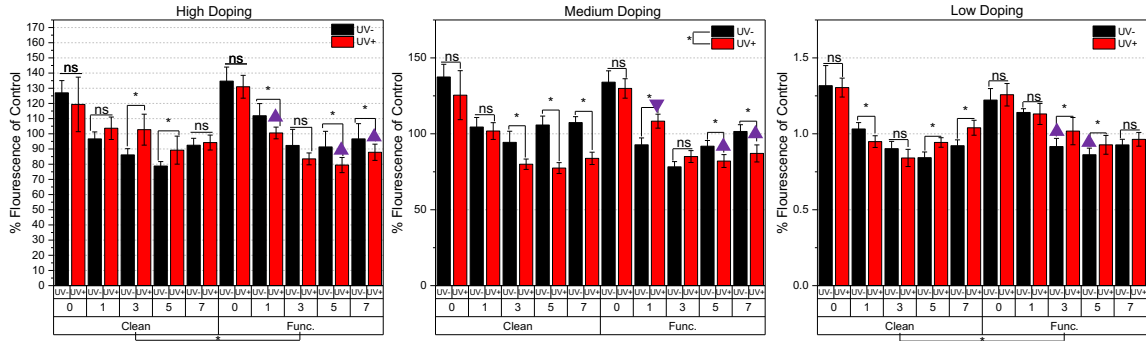


Figure 4: ROS production was measured throughout the seven-day experiment. These results are supported by the surface chemistry results. Three conditions which have the highest oxygen, carbon, and phosphorous content (indicative of chemical functionalization) are HD-functionalized-UV+, MD-functionalized-UV+, and LD-functionalized-UV-. These conditions are noted with a triangle. Triangle=lesser amount of ROS produced than their UV or non-UV counterpart. Upside-down triangle = greater amount of ROS produced than the UV- counterpart. This suggests that chemical functionalization inhibits ROS production.

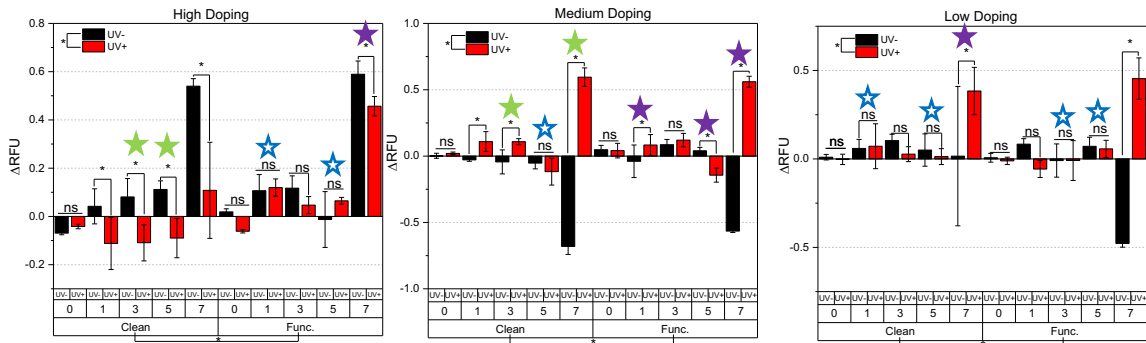
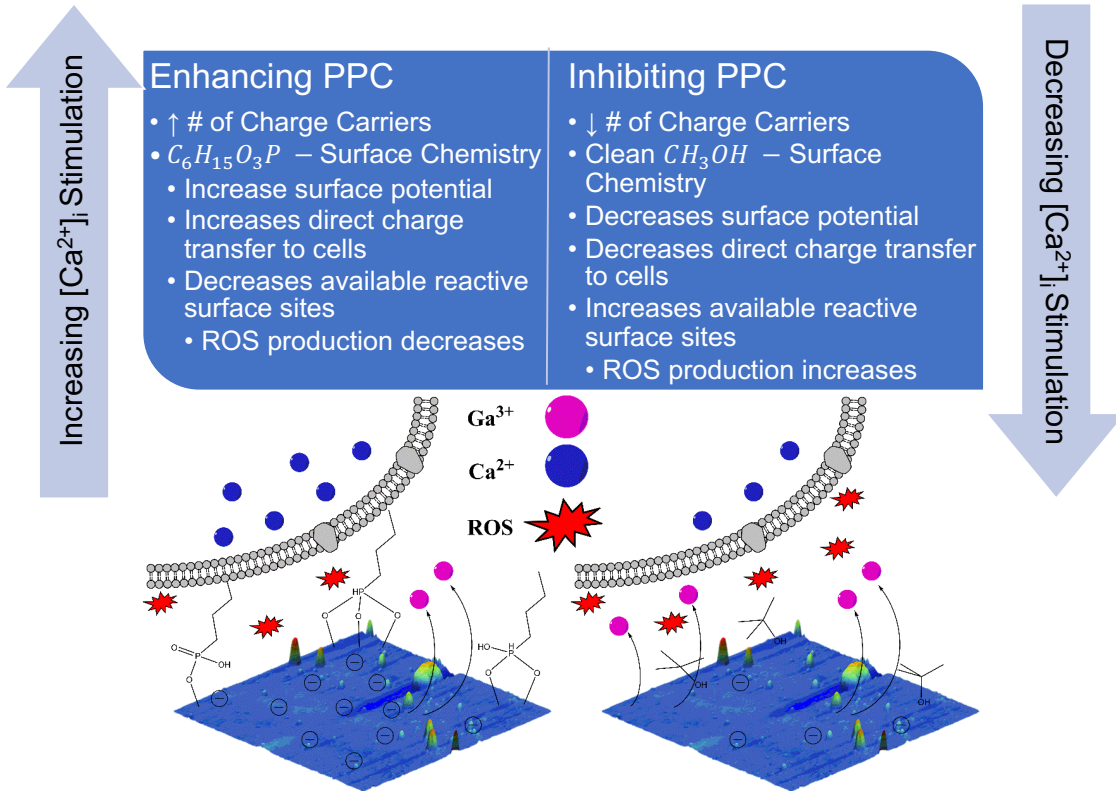


Figure 5: The changes in $[Ca^{2+}]_i$ with respect to material doping (HD, MD, and LD), chemistry (clean, and functionalized), and UV exposure were examined. These results correlated closely to the production of ROS in culture. The symbols are drawn to denote the following: Filled Green Star = ROS production is inverse to $[Ca^{2+}]_i$, Filled Purple Star = ROS production is proportional to $[Ca^{2+}]_i$, Empty Blue Star = Significant changes in ROS production did not significantly affect intracellular Ca concentration (No significant relation).

TABLE 1. Summary of the chemical composition of the samples based on XPS analysis.

Material	UV	Chemistry	O 1s ± SD	C 1s ± SD	N 1s ± SD	Ga ± SD	P ± SD
HD	UV-	Clean	4.0±0.4	6±1	24.1±0.8	66±1	0
HD	UV-	Func.	10±1	7.1±0.6	20.1±0.9	59±2	4±1
HD	UV+	Clean	4.0±0.4	4.8±0.9	24.7±0.5	66.6±0.9	0
HD	UV+	Func.	17±9	10±3	16±5	48±12	9±6
MD	UV-	Clean	4.2±0.7	5.1±0.8	24.9±0.5	65.8±0.9	0
MD	UV-	Func.	9±4	7±1	21±3	59±5	4±3
MD	UV+	Clean	4.1±0.2	4.9±0.3	25.43111	65.6±0.8	0
MD	UV+	Func.	16±7	8±2	17±4	50±9	8±5
LD	UV-	Clean	3.7±0.4	5.7±0.7	24.7±0.4	66.9±0.7	0
LD	UV-	Func.	16±2	10±1	16±1	50±2	8±1
LD	UV+	Clean	3.5±0.2	4.1±0.4	26.0±0.5	66.4±0.8	0
LD	UV+	Func.	8.0±2	5±1	23±1	62±4	2±1



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