

AWARD NUMBER: W81XWH-15-1-0330

TITLE: Trauma-Informed Guilt Reduction (TriGR) Intervention

PRINCIPAL INVESTIGATOR: Sonya Norman, PhD

CONTRACTING ORGANIZATION: Veterans Medical Research Foundation  
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REPORT DATE: October 2018

TYPE OF REPORT: Annual Report

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
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**REPORT DOCUMENTATION PAGE**Form Approved  
OMB No. 0704-0188

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<b>1. REPORT DATE</b> October 2018		<b>2. REPORT TYPE</b> Annual		<b>3. DATES COVERED</b> 30 Sept 2017 - 29 Sept 2018	
<b>4. TITLE AND SUBTITLE</b> Trauma-Informed Guilt Reduction (TrIGR) Intervention				<b>5a. CONTRACT NUMBER</b>	
				<b>5b. GRANT NUMBER</b> W81XWH-15-1-0330	
				<b>5c. PROGRAM ELEMENT NUMBER</b>	
<b>6. AUTHOR(S)</b>  Sonya Norman, Ph.D.  E-Mail: snorman@ucsd.edu				<b>5d. PROJECT NUMBER</b>	
				<b>5e. TASK NUMBER</b>	
				<b>5f. WORK UNIT NUMBER</b>	
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b>  Veterans Medical Research Foundation 3350 La Jolla Village Drive (151A) San Diego, CA 92161				<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b>	
<b>9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b>  U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				<b>10. SPONSOR/MONITOR'S ACRONYM(S)</b>	
				<b>11. SPONSOR/MONITOR'S REPORT NUMBER(S)</b>	
<b>12. DISTRIBUTION / AVAILABILITY STATEMENT</b>  Approved for Public Release; Distribution Unlimited					
<b>13. SUPPLEMENTARY NOTES</b>					
<b>14. ABSTRACT</b> Posttraumatic guilt and shame are common among Veterans and have been implicated in the development and maintenance of posttraumatic distress and a range of adverse outcomes, including posttraumatic stress disorder (PTSD), depression and suicidality, and alcohol/substance use disorders. There is a pressing need for effective treatments targeting transdiagnostic mechanisms such as guilt. We developed Trauma Informed Guilt Reduction (TrIGR) therapy as a therapeutic tool to help Veterans accurately appraise deployment-related guilt and to re-identify and re-engage with their values. The overall objective of this study is to examine the efficacy of TrIGR in reducing deployment-related guilt. The overarching hypothesis is that TrIGR will reduce guilt, shame, and related distress, and these improvements will be significantly greater than in the comparison condition, Supportive Care Therapy (SCT). The study is a Stage 2 randomized, controlled trial of TrIGR compared to SCT. Recruitment of participants takes place at two VA Medical Centers (San Diego, CA and Providence, RI). 150 OEF/OIF Veterans will be randomized to TrIGR or SCT (75 in San Diego). All eligible participants complete an in-person baseline assessment, receive 6 sessions of TrIGR or SCT in individual format, complete brief bi-weekly self-report measures during treatment, and complete follow-up assessments immediately post-treatment, and 3- and 6-months later.					
<b>15. SUBJECT TERMS</b> Guilt, shame, deployment, posttraumatic, distress, PTSD, depression, functioning, psychotherapy, intervention					
<b>16. SECURITY CLASSIFICATION OF:</b>			<b>17. LIMITATION OF ABSTRACT</b>  UU	<b>18. NUMBER OF PAGES</b>  11	<b>19a. NAME OF RESPONSIBLE PERSON</b> USAMRMC
<b>a. REPORT</b> U	<b>b. ABSTRACT</b> U	<b>c. THIS PAGE</b> U			<b>19b. TELEPHONE NUMBER</b> (include area code)

Standard Form 298 (Rev. 8-98)  
Prescribed by ANSI Std. Z39.18

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## **1. INTRODUCTION:**

Posttraumatic guilt and shame are common among Veterans and have been implicated in the development and maintenance of posttraumatic distress and a range of adverse outcomes, including posttraumatic stress disorder (PTSD), depression and suicidality, and alcohol/substance use disorders. There is a pressing need for effective treatments targeting transdiagnostic mechanisms such as guilt. We developed Trauma Informed Guilt Reduction (TrIGR) therapy as a therapeutic tool to help Veterans accurately appraise deployment-related guilt and to re-identify and re-engage with their values. Our previous pilot studies of TrIGR with OEF/OIF/OND Veterans and active duty Marines showed reductions in guilt distress and severity, PTSD symptoms, and depression with medium to large effect sizes. The overall objective of this study is to examine the efficacy of TrIGR in reducing deployment-related guilt. The overarching hypothesis is that TrIGR will reduce guilt, shame, and related distress, and these improvements will be significantly greater than in the comparison condition, Supportive Care Therapy (SCT). The study is a Stage 2 randomized, controlled trial of TrIGR compared to SCT. Recruitment of participants takes place at two VA Medical Centers (San Diego, CA and Providence, RI). 150 OEF/OIF Veterans will be randomized to TrIGR or SCT across two sites (75 in San Diego). All eligible participants complete an in-person baseline assessment, receive 6 sessions of TrIGR or SCT in individual format, complete brief bi-weekly self-report measures during treatment, and complete follow-up assessments immediately post-treatment, and 3- and 6-months later.

## **2. KEYWORDS**

Guilt, shame, deployment, posttraumatic, distress, PTSD, depression, functioning, psychotherapy, intervention

## **3. ACCOMPLISHMENTS**

### **➤ What were the major goals of the project?**

Per our Statement of Work (SOW), effort was expended on the following milestones and subtasks during this second year:

Major Task 1: Start-up Activities

Subtask 1: Prepare Regulatory Documents and Research Protocol (Month 1).

Progress: Subtask 1 completed.

Subtask 2: Obtain regulatory approvals (VA, DoD, affiliated institutions) (Months 2-3).

Progress: Completed.

Subtask 3: Hire and train all study personnel (Months 0-6).

Progress: Completed.

Subtask 4: Set up data entry and management procedures (Months 3-7).

Progress: Completed.

Major Task 2: Conduct RCT

Subtask 1: Enroll 75 at San Diego site (Months 6-34).

Progress: We enrolled sixty-seven people by the end of September.

Subtask 2: Randomize to study condition (TrIGR or SCT) (Months 6-34).

Progress: We have randomized forty-seven participants.

Subtask 3: Deliver study interventions (Months 6-36).

Progress: Forty-seven are currently in or have completed treatment.

Subtask 4: Conduct assessments (Months 8-42).

Progress: Assessments are in progress per protocol.

Subtask 5: Data collection (6 -42).

Progress: Data collection is in progress per protocol.

352 participants have been recruited/referred to the study. We have screened 129 participants since we launched recruitment. Of these, 105 screened eligible and 24 screened ineligible. 223 of the referred participants were not screened (104 could not be reached, 94 were not interested in screening after the study was explained, 2 had moved out of the area so screening was not conducted, 3 prefer to wait to be screened due to personal reasons, 17 were not screened after chart review determined they were not OOO, 3 are still being contacted).

We have consented 67 participants and randomized 47. The original planned target was 75. Of the 105 participants who screened eligible, 38 were not consented by 9/30/18: (22 could not be reached to schedule consent appointment, 9 are no longer interested in the study, 1 moved out of the area, 4 prefer to wait to be consented due to personal reasons, 2 are schedule for the upcoming quarter). 20 of the 67 consented participants were not randomized – 8 were not eligible after baseline assessments, 9 were not able to be reached after the consent/baseline appointment, 2 chose not to continue with the study, 1 is scheduled for MI in the upcoming quarter.

Of the 67 consented participants 3 were female and 64 were male. 24 were Hispanic, 25 were White, 1 was Native Hawaiian or Pacific Islander, 10 were Black/African American, 3 were Asian, 2 were multiracial, 2 declined to identify a race/ethnicity.

Of the 47 randomized participants, 1 was female and 46 were male. 15 were Hispanic, 20 were White, 1 was Native Hawaiian or Pacific Islander 7 were Black/African American, 2 were Asian, 1 was multiracial, 1 declined to identify a race/ethnicity.

30 of the 47 randomized participants have completed the study.

➤ **What was accomplished under these goals?**

The major activities of for the past FY were continuing study recruitment, enrollment, intervention, and data collection. We continued to raise awareness of the study with clinics and providers who see patients appropriate for our study to ensure they were referring to the study. We worked with our IRB to get approval to re-contact Veterans who had participated in other studies and had given approval to be re-contacted about future studies. We also began advertising more broadly across the VA medical center and the local community. We were able to get permission from one of our VA's PTSD clinics to attend orientation meetings and inform Veterans ourselves about the study. This has been a successful recruitment strategies and has led to 53 referrals in the past 4 months.

➤ **What opportunities for training and professional development has the project provided?**

We have several psychology trainees (doctoral student, post-doctoral fellows, volunteers) participating in study activities to learn about how to conduct randomized clinical trials.

➤ **How were the results disseminated to communities of interest?**

Nothing to Report

➤ **What do you plan to do during the next reporting period to accomplish the goals?**

During the next reporting period, we will focus on the milestones and subtasks as detailed in our SOW. Specifically, we will continue to: 1) enroll participants; and 2) randomize

participants; 3) deliver study interventions; and 4) conduct data collection. We will continue to focus on our recruitment efforts by continuing to build relationships with referring clinics and providers, advertising within the VA and to the broader Veteran community in San Diego, building relationships with Veteran agencies and organizations in the community, and advertising to Veteran groups at local universities and colleges. We will continue to pre-screen Veterans who gave consent in other studies to be contacted by future studies and have begun contacting potentially eligible veterans.

#### **4. IMPACT**

➤ **What was the impact on the development of the principal discipline(s) of the project?**

Nothing to Report

➤ **What was the impact on other disciplines?**

Nothing to Report

➤ **What was the impact on technology transfer?**

Nothing to Report

➤ **What was the impact on society beyond science and technology?**

Nothing to Report

#### **5. CHANGES/PROBLEMS**

Nothing to Report

#### **6. PRODUCTS**

➤ **Publications, conference papers, and presentations**

Nothing to Report

➤ **Website(s) or other Internet site(s)**

Nothing to Report

➤ **Technologies or techniques**

Nothing to Report

➤ **Inventions, patent applications, and/or licenses**

Nothing to Report

➤ **Other Products**

Nothing to Report

#### **7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS**

➤ **What individuals have worked on the project?**

Name: Sonya Norman, PhD

Project Role: Principal Investigator

Researcher Identifier (e.g. ORCID ID): 0000-0002-4751-1882

Nearest person month worked: 3.35 person months

Contribution to Project: Dr. Norman oversees all aspects of the study including recruitment, enrollment, and data collection.

Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Shahrokh Golshan, PhD  
Project Role: Statistician  
Researcher Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 1.2 person months  
Contribution to Project: Dr. Golshan prepared databases and prepared the data entry system.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Ariel Lang, PhD  
Project Role: Co-Investigator  
Researcher Identifier (e.g. ORCID ID): 0000-0002-2468-115X  
Nearest person month worked: 1 person month  
Contribution to Project: Dr. Lang meets with the assessors weekly and reviews all assessments.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Paula Schnurr, PhD  
Project Role: Co-Investigator  
Researcher Identifier (e.g. ORCID ID): 0000-0002-6195-716X  
Nearest person month worked: 1.2 person months  
Contribution to Project: Dr. Schnurr provided consultation on the clinical trial design and implementation issues.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Kendall Browne, PhD  
Project Role: Co-Investigator  
Researcher Identifier (e.g. ORCID ID): 0000-0002-5305-2897  
Nearest person month worked: 2.4 person months  
Contribution to Project: Dr. Browne rated session recordings for fidelity.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Brittany C Davis, PhD  
Project Role: Co-Investigator  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 1.8 person months  
Contribution to Project: Dr. supervises and trains therapists.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Moira Haller, PhD  
Project Role: Co-Investigator  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 1.8 person month  
Contribution to Project: Dr. Haller supervises and trains therapists.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Laura Westendorf, MPH  
Project Role: Project Coordinator  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 12 person months  
Contribution to Project: Laura Westendorf is responsible for coordinating all aspects of the study, is recruiting and consenting patients, managing day-to-day tasks for the study and is responsible for supporting study staff where needed.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Colleen Kennedy, PhD.  
Project Role: Study Therapist  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 6.02 person months  
Contribution to Project: Dr. Kennedy is the main study therapist and administers the interventions to eligible participants.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Danielle K Zuest, M.A.  
Project Role: Study Assessor  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 1.2 person months  
Contribution to Project: Ms. Zuest was the main study assessor and conducted all intake and follow-up assessments.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Kimberly Hodge  
Project Role: Research Assistant (SIBCR)  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 2.40 person months  
Contribution to Project: Assists the investigators with work on the intervention condition of the study.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

Name: Mary Linges, B.A.  
Project Role: Study Assessor  
Research Identifier (e.g. ORCID ID): N/A  
Nearest person month worked: 7.39 CM  
Contribution to Project: Ms. Linges replaced Danielle Zuest as the main study assessor and conducts all intake and follow-up assessments.  
Funding Source: Trauma Informed Guilt Reduction (TrIGR) Intervention Grant W81XWH-15-1-0330

- **Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?**  
Nothing to Report

- **What other organizations were involved as partners?**
  - Organization Name:** Providence VA Medical Center
  - Location of Organization:** Providence, RI
  - Partner's contribution to the project:**
    - Financial Support:** N/A
    - In-Kind Support:** N/A
    - Facilities:** N/A
    - Collaboration:** Partnering PI
    - Personnel exchanges:** N/A
    - Other:** N/A

## **8. Special Reporting Requirements**

### **A. Collaborative Awards**

- Providence VA Medical Center will submit a separate report.

### **B. Quad Charts**

- Attachment 1

## **9. Appendices**

- Consort Diagram - Attachment 2

# Trauma Informed Guilt Reduction (TriGR) Intervention



PI: Sonya Norman, PhD

Org: Veterans Medical Research Foundation

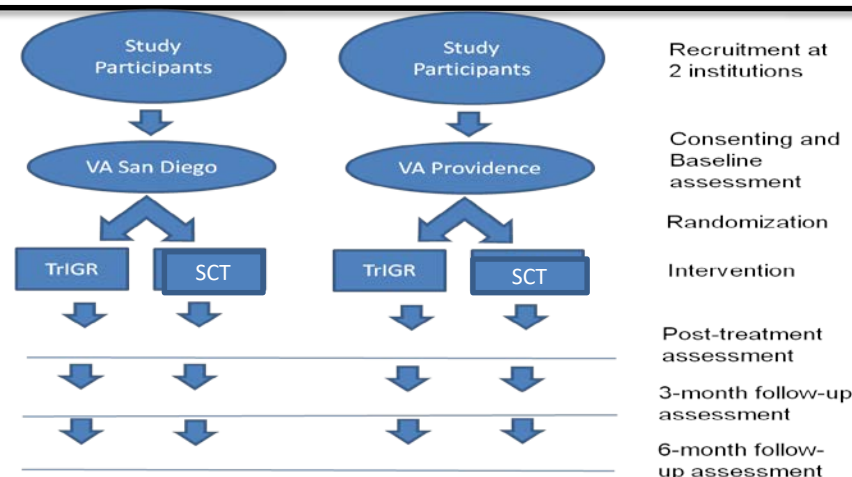
Award Amount: \$1,989,869

## Study/Product Aim(s)

- Conduct a randomized clinical trial to determine if a six-session treatment, Trauma Informed Guilt Reduction (TriGR), relative to supportive care therapy (SCT) at post-treatment, 3- and 6-month follow up:
  - Reduces guilt (primary aim)
- As secondary and exploratory aims, assess if TriGR:
  - reduces distress and shame, improves quality of life
  - reduces disorder specific symptoms (PTSD, MDD)
  - reduces suicidal ideation and alcohol/substance use

## Approach

We propose a stage 2 randomized clinical trial across 2 VA Medical Centers (San Diego, Providence). 150 male and female Veterans of OEF/OIF reporting guilt related to a combat event will be randomized to TriGR or SCT and followed through treatment, 3- and 6-month follow-up. Hypotheses are that TriGR, relative to SCT, will reduce guilt, distress, shame, disorder specific symptoms, and SI and alcohol/substance use and improve Quality of Life.



Study PI recently completed two open-label trials to evaluate the effectiveness of TriGR. Participants showed significant reductions in guilt and distress over the course of treatment. Satisfaction with the intervention was extremely high.

## Goals/Milestones

**Study Year 1 Goal** – Prepare regulatory documents and research protocol

- Sign contracts, prepare protocol, and obtain approval from VA sites and USAMRMC
- Prepare, program, purchase and test all forms for study documentation
- Recruit and train research staff

**Study Year 2 Goals** – Participant recruitment, randomization, intervention

- Participant recruitment, randomization, pre-assessment and TriGR/SCT
- Post-intervention, 3-month and 6-month post-treatment follow-up assessment
- Validate audio recordings of TriGR and SCT sessions

**Study Year 3 Goals** – Complete enrollment and validation of TriGR/SCT sessions

- Complete recruitment, randomization, pre-assessment, and TriGR/SCT
- Continue post-intervention and follow up assessments at 3- and 6- months

**Study Year 4 Goals** – Analyze data and prepare manuscripts

- Complete follow up assessments and data entry
- Ensure data integrity
- Data analysis and manuscript preparation

**Projected Expenditure: \$1,522,000 Actual Expenditure: \$1,055,703.55**

## Timeline and Cost

Activities	FY1	FY2	FY3	FY4
Finalize procedures and approvals, hire and train staff				
Recruit, enroll, collect data				
Data analysis, report preparation				
Estimated Total Budget (\$1,989,869)*	527k	492k	503k	468k

Updated: 10/23/18

# CONSORT Diagram – San Diego

