

# REPORT DOCUMENTATION PAGE

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					<b>19b. TELEPHONE NUMBER</b> (include area code) N/A



# **Validating the Existence of Quantum Mechanical Coherence in Cells (Fröhlich Theory)**

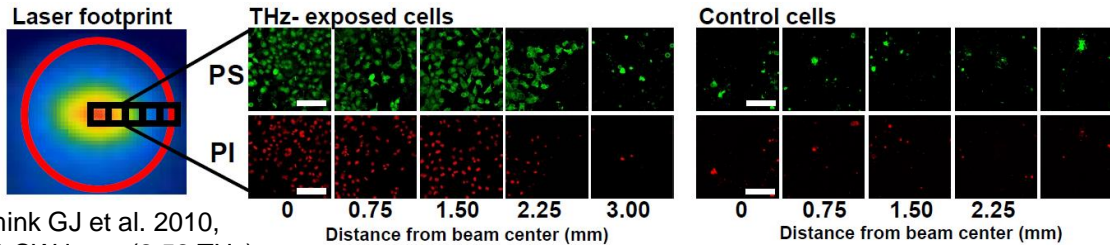
**Ibtissam Echchgadda, Ph. D.  
Radio Frequency Bioeffects Branch  
Bioeffects Division  
711th Human Performance Wing**



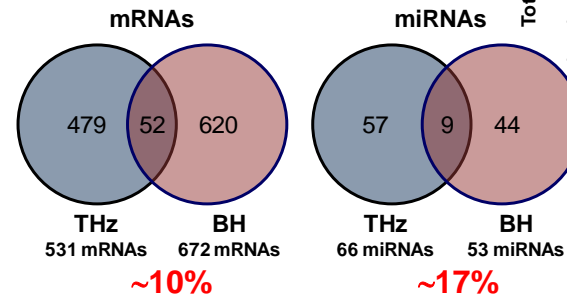
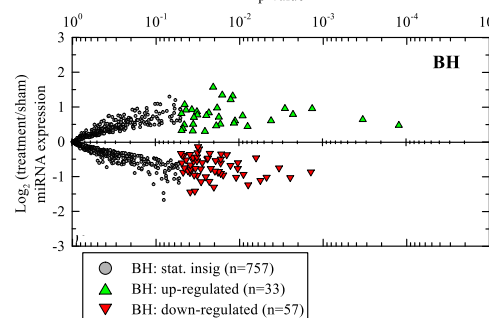
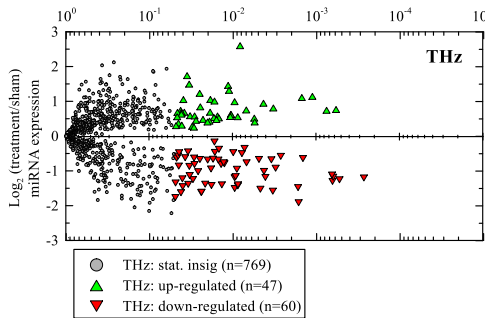
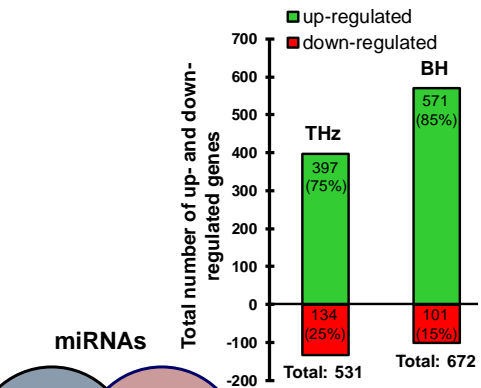
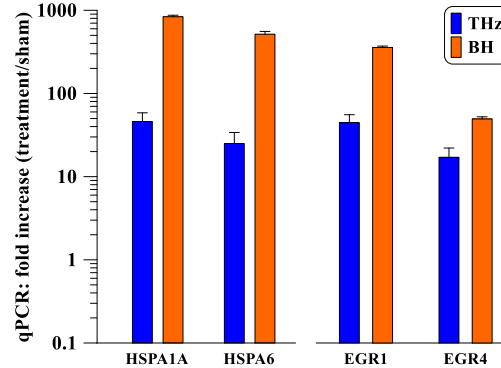
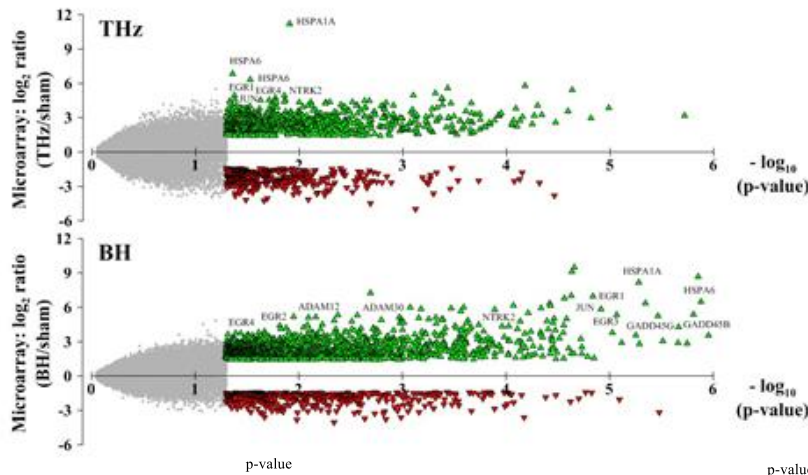
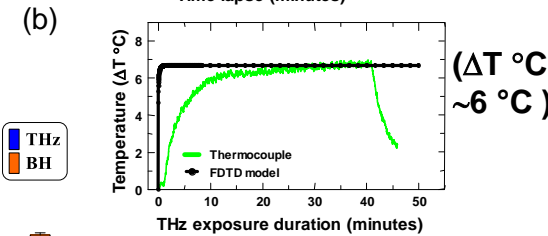
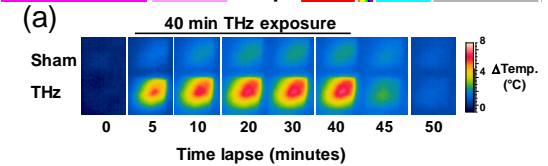
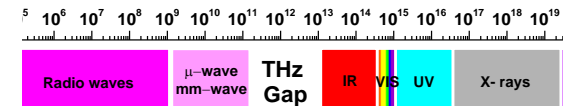
# 2.25 Terahertz (THz) Energy Influences Gene Expression [THz vs. Bulk Heating (BH)]



## Cellular stress response, and cellular death



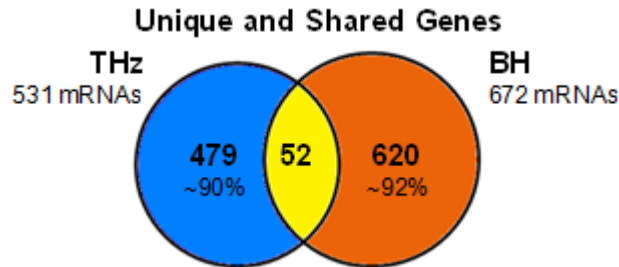
Wilmink GJ et al. 2010, 2011 CW laser (2.52 THz)



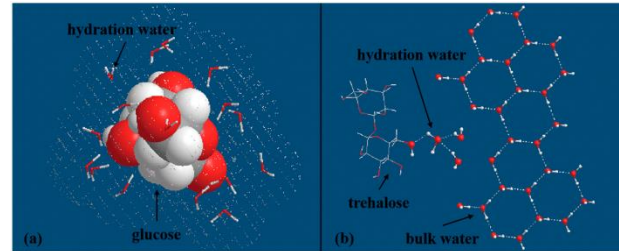
Echchgadda I. et al. IRMMW-THz). IEEE, 2014



# 2.52 THz Energy Stimulate Specific Signaling Pathways in Human Cells

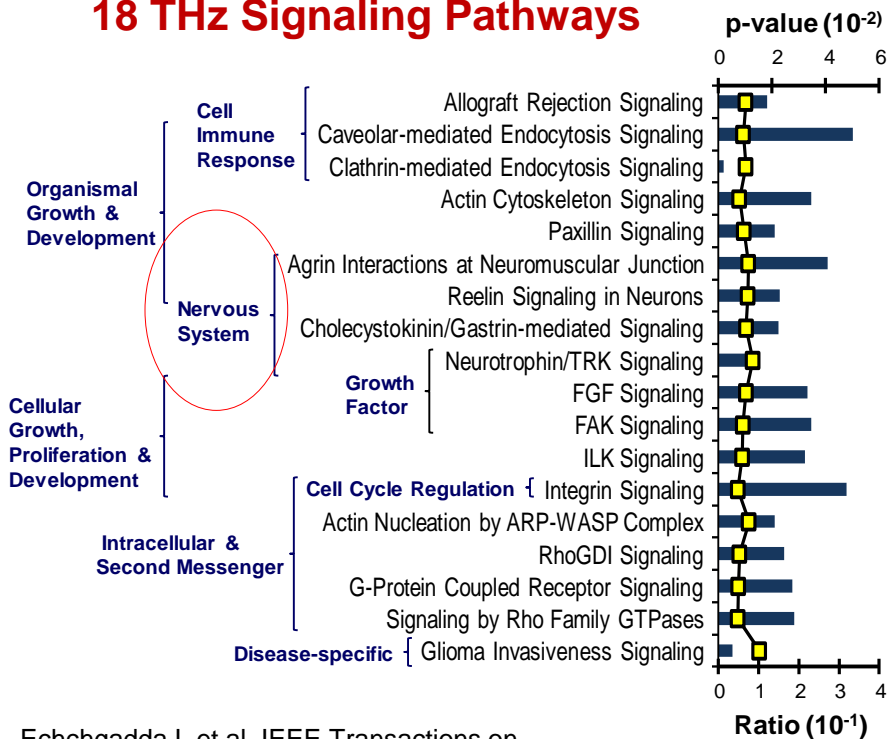


nt. J. Mol. Sci. 2015, 16(4), 8454-8489

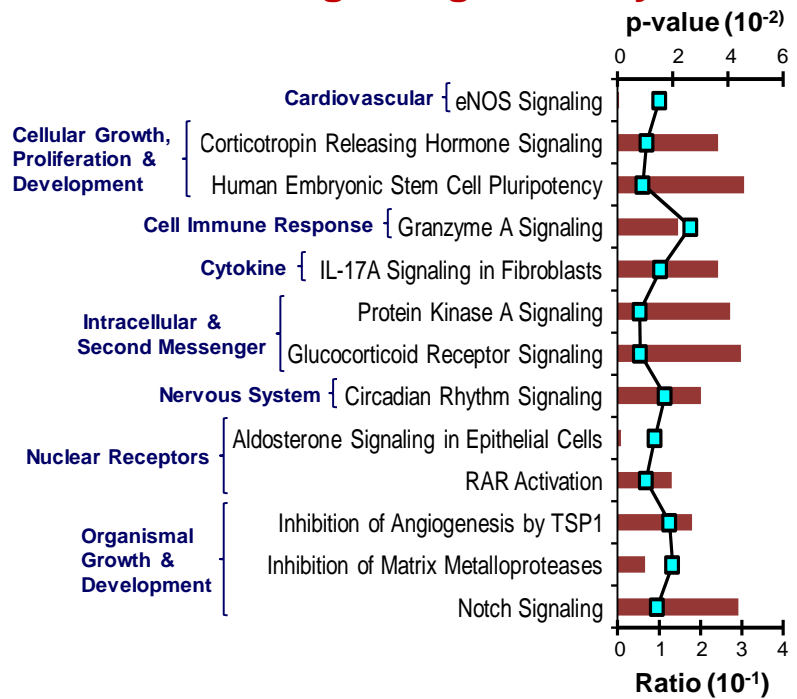


- Bulk H<sub>2</sub>O
  - HB bend 1.5 THz
  - HB stretch vibration 5-6 THz
- Solvation H<sub>2</sub>O
  - 2.3-2.8 THz

## 18 THz Signaling Pathways



## 13 BH Signaling Pathways



Echchgadda I. et al. IEEE Transactions on Terahertz Science and Technology, 2016



# 2.25 THz Energy Regulates Gene Expression [THz at Different Intensities]

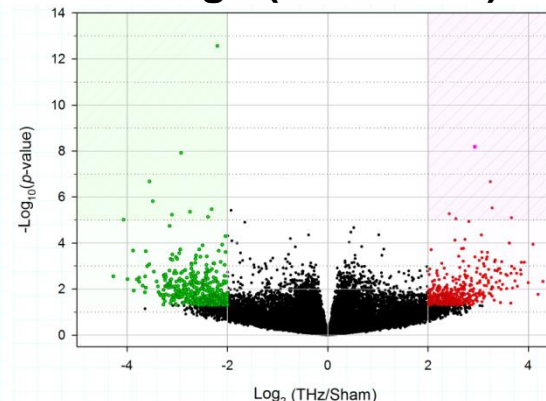
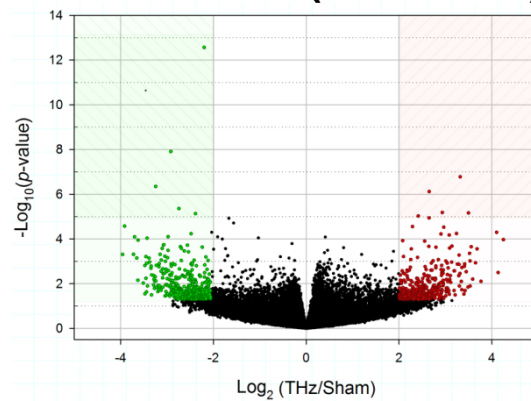
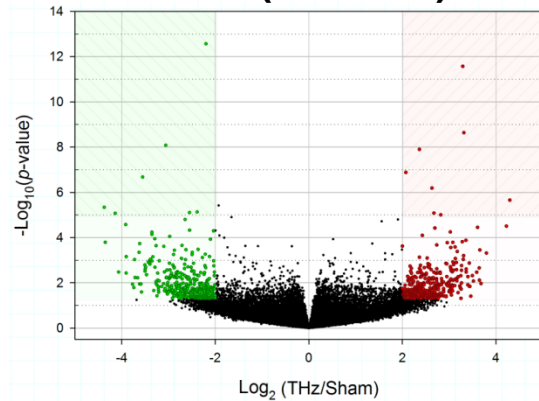


## mRNAs Microarray

Low (no heat)

Medium ( $\Delta T$  °C~1°C)

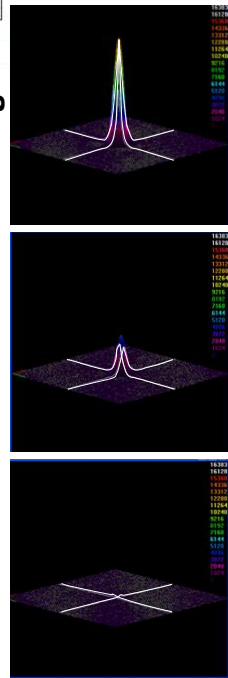
High ( $\Delta T$  °C~4°C)



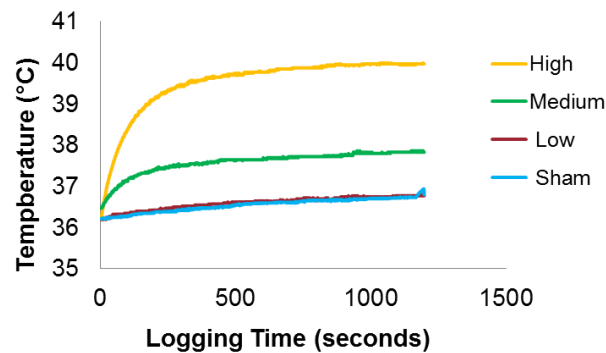
Output Power:

- 50.0 mW
- 22.0 mW
- 5.0 mW

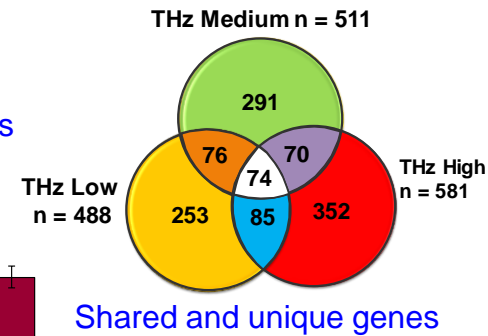
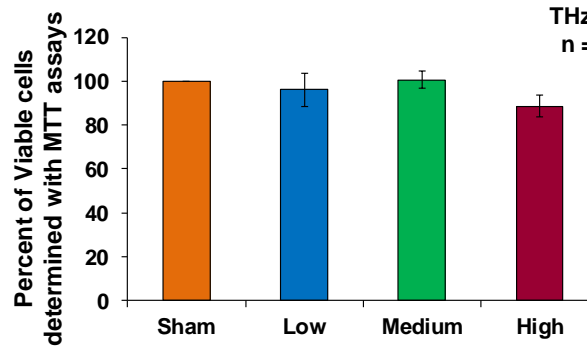
Beam Profiles



Temperature profile during THz exposures



Cells survival post exposures



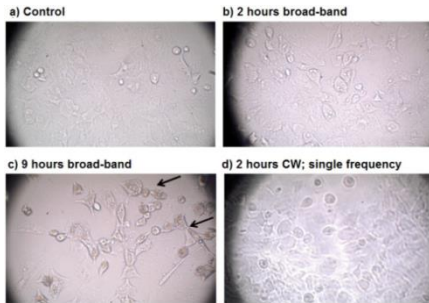
Shared and unique genes



# 2.25 THz Energy Influence Cellular Processes in Various Ways

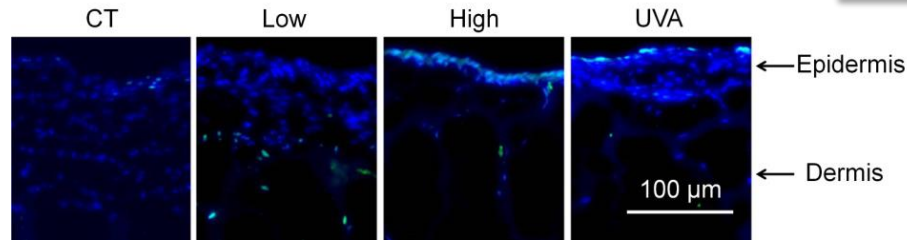


## Morphological changes in mouse stem cells after THz irradiations



Alexandrov BS et al. 2011

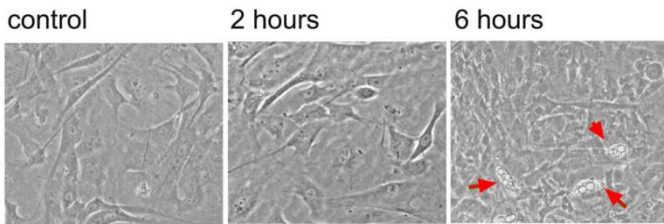
## THz pulses cause DNA damage and activate DNA damage repair



Human skin tissue models  
Titova LV et al. 2013

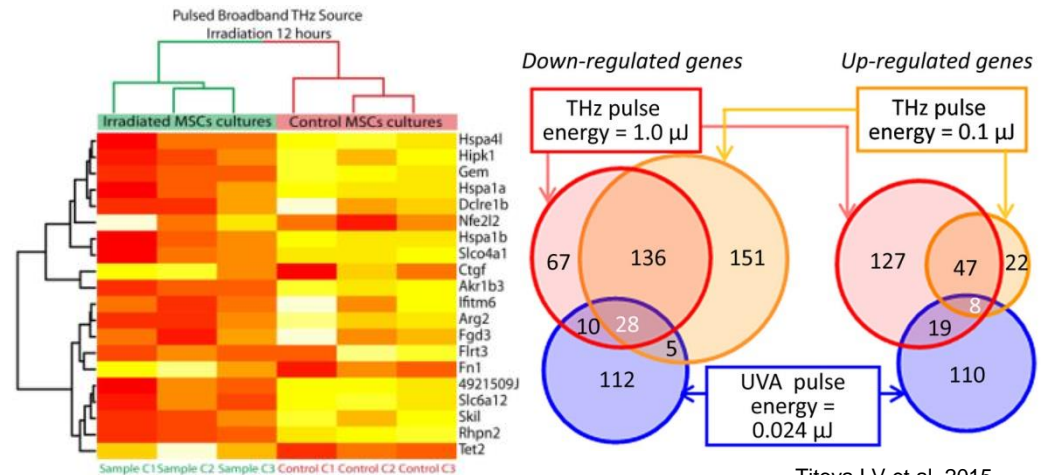
$\Omega=2.52\text{THz}$   
Pulsed broad-band or CW laser sources

## Stem cells differentiation toward adipose phenotype



Bock J et al. 2013

## Terahertz radiation effect gene expression



Alexandrov BS et al. 2013

Titova LV et al. 2015



# Fröhlich Theory: Existence of Coherent Excitations in Biological Systems



Volume 26A, number 9

PHYSICS LETTERS

25 March 1968

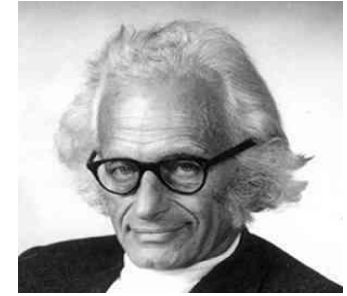
BOSE CONDENSATION OF STRONGLY EXCITED LONGITUDINAL ELECTRIC MODES

H. FRÖHLICH

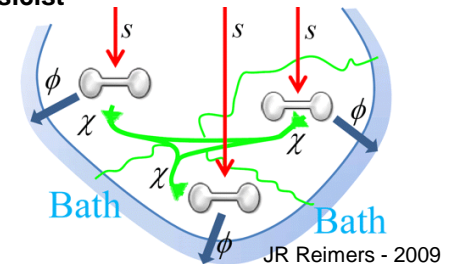
INTERNATIONAL JOURNAL OF QUANTUM CHEMISTRY, VOL. II, 641-649 (1968)

Long-Range Coherence and Energy Storage  
in Biological Systems

H. FRÖHLICH



Herbert Fröhlich, (1905–1991)—A Physicist



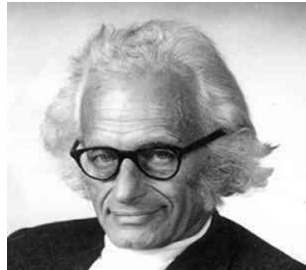
- Vibrational modes within polar molecules can order and condensate in just one of the collective modes, the mode of a lowest-frequency.
- Such a condensation would have a profound influence on the organization and order in living systems
- Low-frequency collective vibrational modes of biomolecules (i.e., proteins and protein-composed structures) in the terahertz frequency range (0.1–10 THz), are expected to have a strong influence on their function
- Fröhlich's model provided a framework for cellular intra- and inter-interactions via EM fields

### Three foundation stones

- ❑ Electrical polarity of bio-structures
- ❑ Spectral energy transfer between oscillating biomolecules
- ❑ Continuous energy supply from metabolic activity, which supports the molecular oscillations and generation of endogenous EM fields.

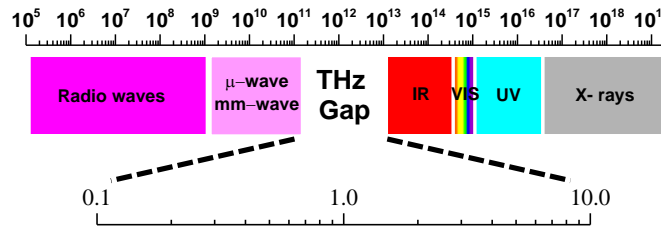


# Overall Objective of The Study

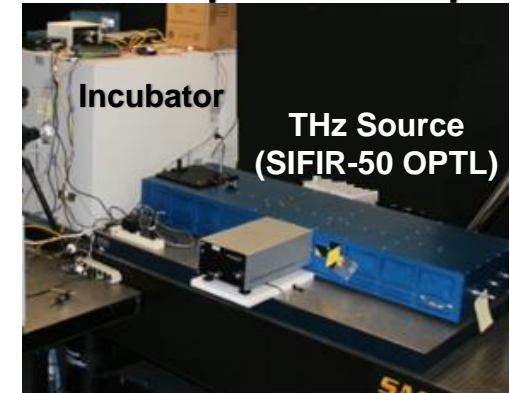


Herbert Fröhlich

Frequency (THz):  $\nu$



## THz Exposure setup



**Empirically investigate the Fröhlich mechanism through interference with the intracellular oscillations, using an exogenous excitation with THz energy**

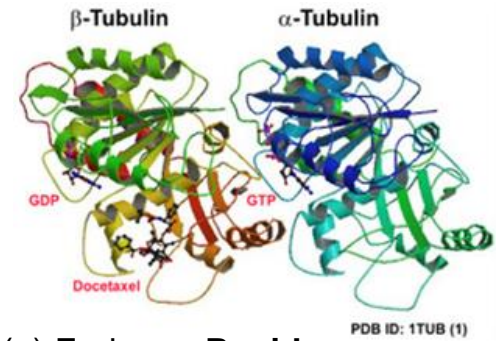
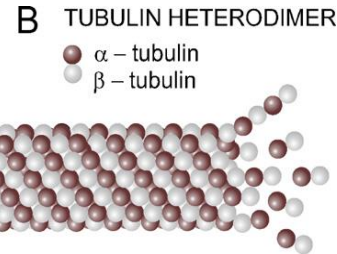
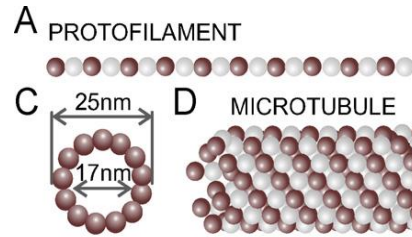
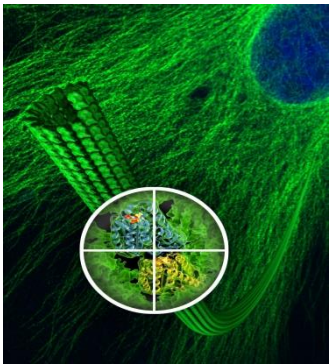
- *Would an external excitation at specific THz frequencies (or Fröhlich frequencies) interfere with the intrinsic oscillations of specific bio-structures and the endogenous EM fields they produce?*
- *Would these specific frequencies disturb the dynamic behavior and function of the target bio-structures?*
- *How would the overall intracellular organized states be affected?*



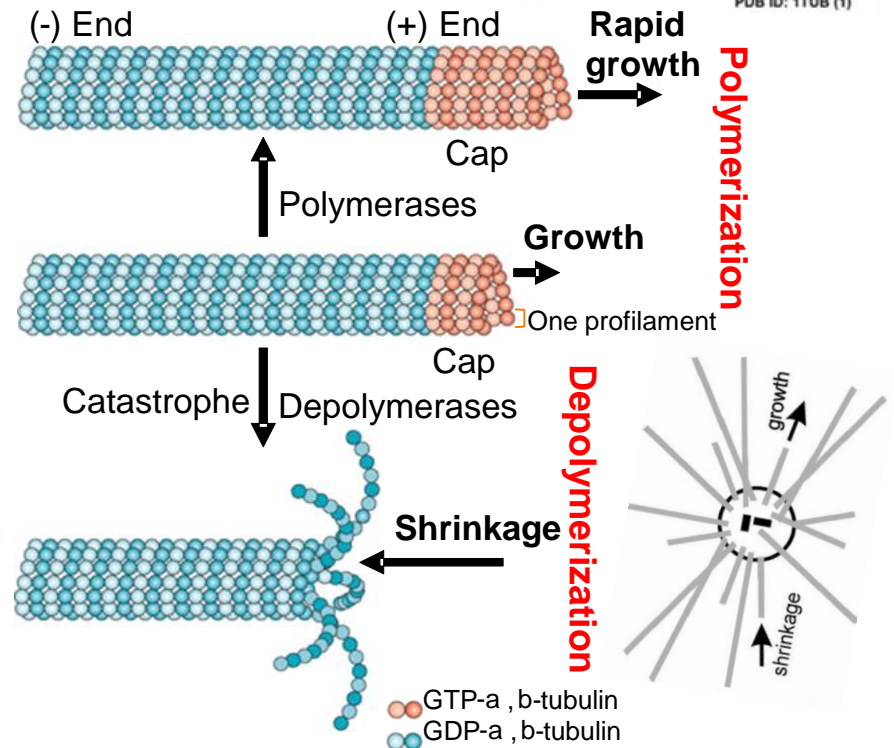
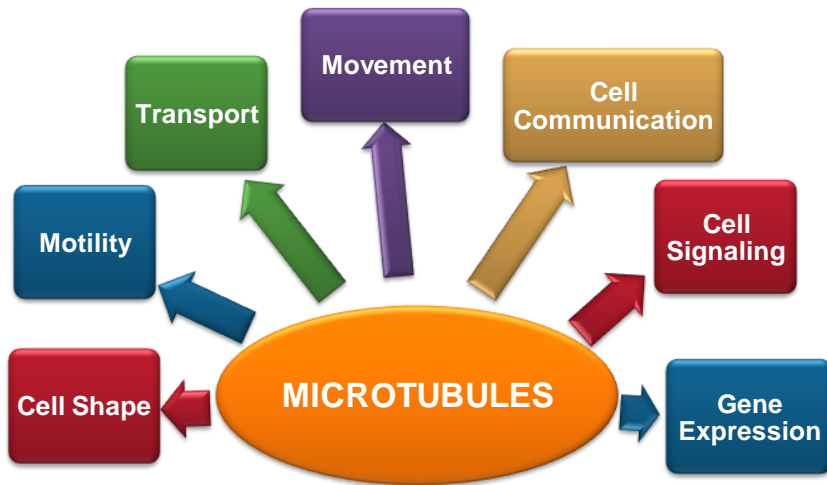
# Microtubule Candidates for Fröhlich's Theory



Berkeley lab



Kučera O. et al. 2012



Adapted from Howard J. et al. 2009



# Why Microtubules?

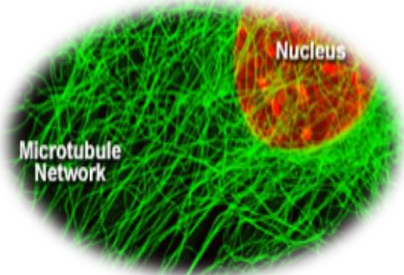
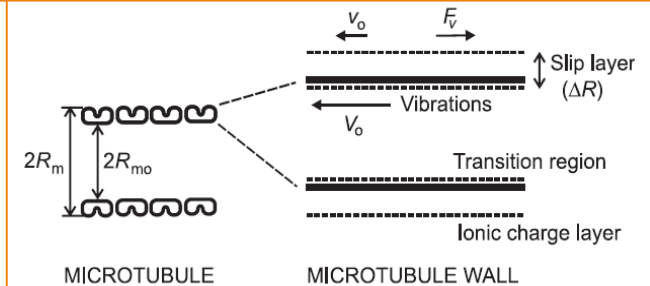
## □ Pokorný:

*Astrophysics and Space Science* **23**: 171–179, 1997.  
 © 1997 Kluwer Academic Publishers. Printed in Belgium.  
**Vibrations in Microtubules**  
 J. POKORNÝ<sup>1,2</sup>, F. JELÍNEK<sup>2</sup>, V. TRKAL<sup>2</sup>, I. LAMPRECHT<sup>3</sup> and  
 R. HÖLZEL<sup>3</sup>

*Bioelectrochemistry and Bioenergetics* **45** (1998) 239–245  
**Electric field around microtubules**  
 Jiří Pokorný \*, František Jelínek, Viktor Trkal

*Bioelectrochemistry* **63** (2004) 321–326  
**Excitation of vibrations in microtubules in living cells**

J. Pokorný\*



## MICROTUBULES

Protein-composed bio-structures

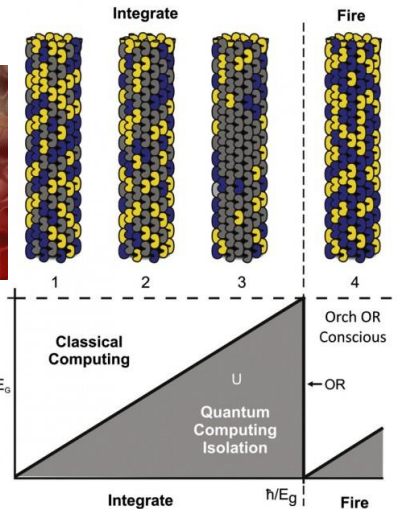
- Microtubules: Are polar and dynamic structures; Vibrations in their structure generate an oscillating electric field around them; Energy is supplied to the microtubular structures through metabolic activity

## □ The Penrose–Hameroff model ('Orch OR')

- Microtubules: function as cellular quantum computing elements, according to the Penrose-Hameroff “Orch OR, orchestrated objective reduction” model of consciousness.
- The physical cause of the coherent activity within the microtubules, as Penrose and Hamerhoff suggest, could be Fröhlich condensates.



<http://phys.org/news/2009-03-frhlich-condensates-quantum-consciousness.html>



https://micro.magnet.fsu.edu/cells/microtubules/

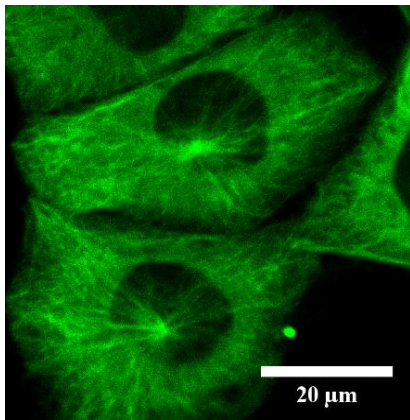


# Microtubule Candidates for Fröhlich's Theory

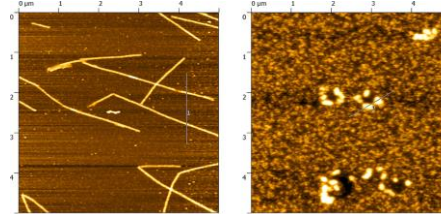
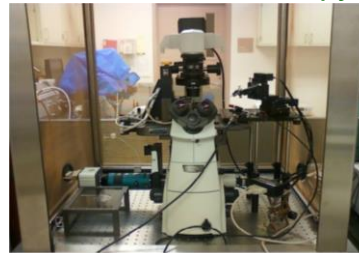
- Effects on engineered microtubules and microtubules within cells (transfected with fluorescent-tubulin)

## MICROTUBULES

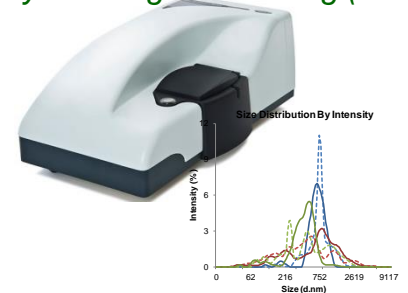
Protein-composed bio-structures



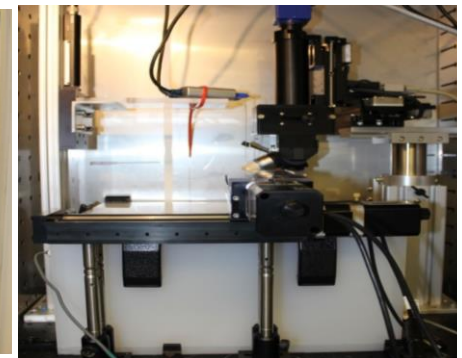
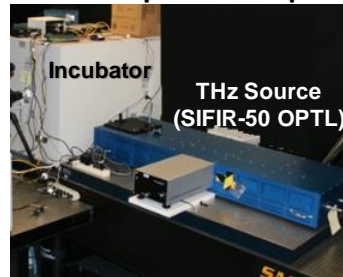
### Atomic force microscopy (AFM)



### Malvern Zetasizer Nano ZS syste Dynamic light scattering (DLS)



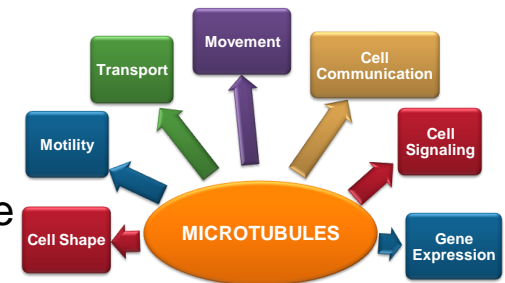
### THz Exposure setup



- How an exogenous THz stimulus would influence microtubules.

- Conformational change –Structures
- Formation
- Dynamics

- If microtubules are perturbed by THz frequencies, would that cause alterations in their fundamental processes?



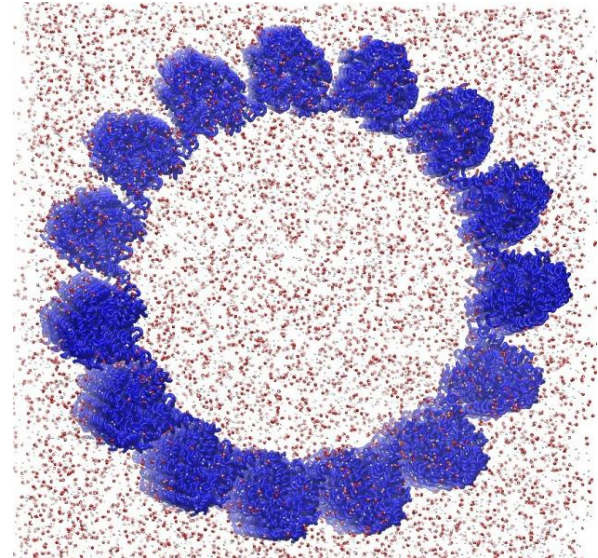


# Microtubule Candidates for Fröhlich's Theory



- *Predicting the Fröhlich frequencies that excite microtubules using molecular modeling*

Use molecular dynamics simulations (**MDS**) that incorporate THz-scale, driven oscillations to determine the Fröhlich frequencies that excite the microtubules.



**Jeremy Moix , Ph. D. (GDIT contractor)**  
**James Parker, Ph. D. (GDIT contractor)**

- ✓ Large scale MDS of a solvated microtubule to
  - examine vibrational energy absorption and dissipation mechanisms
  - describe the expected behavior of the microtubule following THz excitation



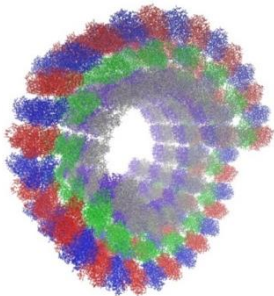
# Dynamics of Low-frequency Vibrational Modes of Microtubules



## MDS

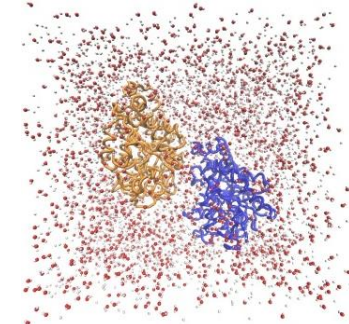
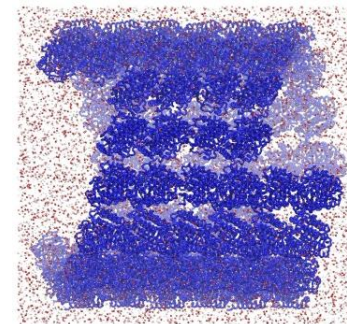
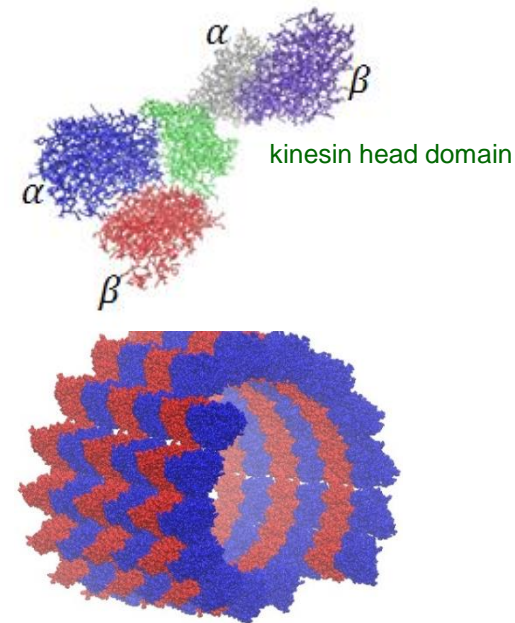
## Setting up the system and equilibration

- ❑ Retrieve the PDB file [3J2U] from the Protein Data Bank
- ❑ Base unit is copied, translated, and rotated



Microtubular array that resembles a doubled-walled tubular structure, with the kinesin head domains residing between the inner and outer walls.

- ❑ The inner helical microtubule is then selected for simulation
  - Comprised of slightly more than half a million atoms and forms **four full turns** of the helical assembly
  - **Outer diameter of ~25 nm**
- ❑ Simulate tublin heterodimer and the microtubule in its natural environment: solvation added (TIP3P water & Counter ion)
- ❑ Run MDS with NAMD (version 2.11) and the all-atom, CHARMM 22 protein force field



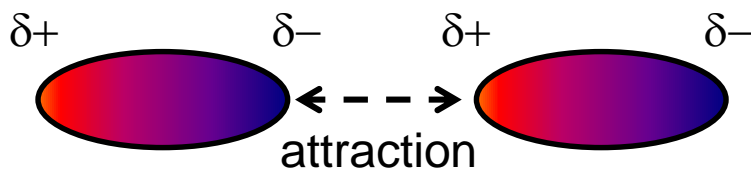
Orthorhombic simulation  
325 × 325 × 352 Å  
**Six million total atoms**

Moix J. et al., under PA approval to be submitted to J. Physical Chemistry



# Dipole-dipole Correlation Function for Microtubule and Solvating Waters

## MDS Calculation



- The total dipole moment of the subsystem is

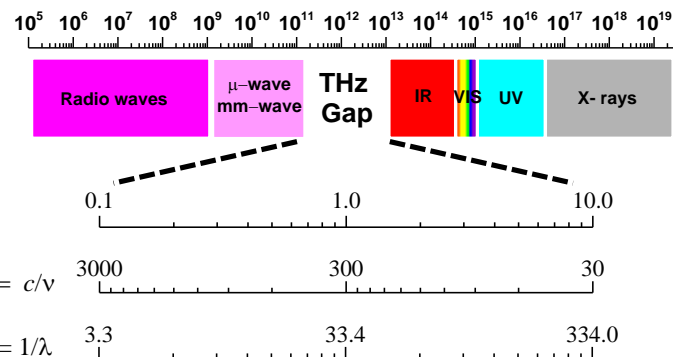
$$\vec{M}(t) = \sum_i q_i \vec{r}_i(t), \quad (1)$$

where  $q_i$  is the fixed partial charge on each atom and  $r_i$  is the corresponding position vector

- From the time-dependence of the dipole moment, the classical absorption spectrum can be calculated from the Fourier transform of the dipole-dipole correlation function

$$A(\omega) = \frac{2\pi\omega^2}{3k_B T V c \eta(\omega)} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \vec{M}(0) \cdot \vec{M}(t) \rangle, \quad (2)$$

where  $k_B$  is Boltzmann's constant,  $T$  is the temperature,  $V$  is simulation volume,  $c$  is the speed of light, and  $\eta(\omega)$  is the refractive index of the medium, taken here to be independent of frequency



The dipole moment is recorded at least every 25 fs in order to span the frequency range from **0 to 600  $\text{cm}^{-1}$**



# Absorption Spectra for the Microtubule and $\alpha$ - and $\beta$ -Tubulins

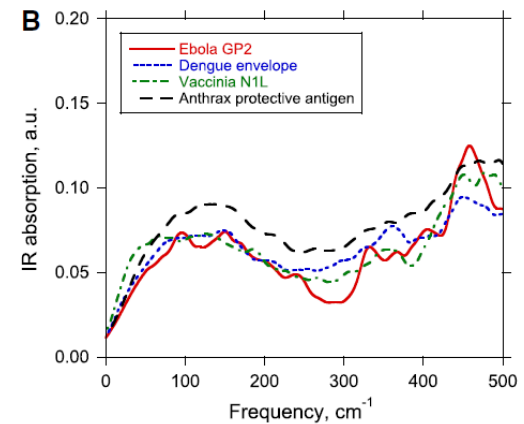
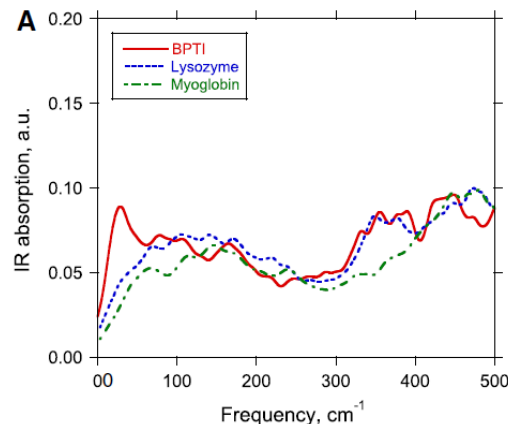
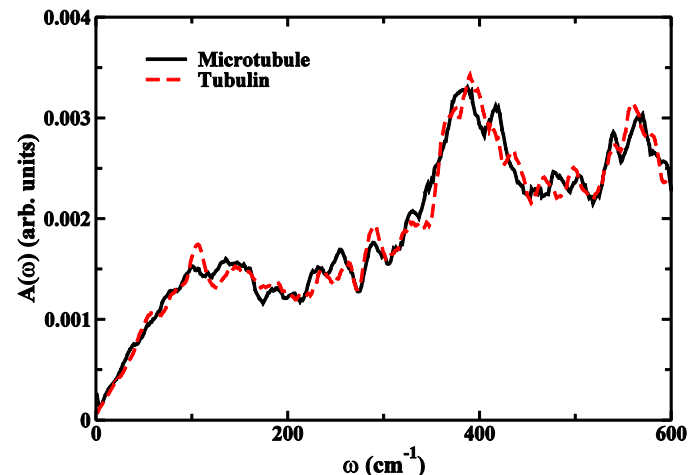


Dipole-dipole correlation function

$$A(\omega) = \frac{2\pi\omega^2}{3k_BTVc\eta(\omega)} \int_{-\infty}^{\infty} dt e^{-i\omega t} \langle \vec{M}(0) \cdot \vec{M}(t) \rangle$$

The vibrational absorption spectra of a portion of a solvated microtubule and the  $\alpha$ - and  $\beta$ -tubulin monomers in solution spanning the THz Band

Minimal differences between the spectra of the tubulin monomer and the full microtubule are seen over the entire frequency range studied (0 to 600  $\text{cm}^{-1}$ )



Mott A. & P Rezl, Eur. Biophys. J. 2015

The low-frequency THz region shows very little structure up to a frequency of 300  $\text{cm}^{-1}$ , in agreement with experimental measurements on myoglobin by Zhang et al. (2004) and lysozyme by Knab et al. (2006).

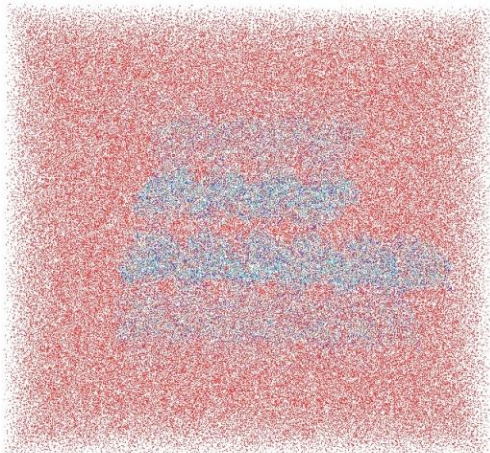
Moix J. et al., under PA approval to be submitted to J. Physical Chemistry



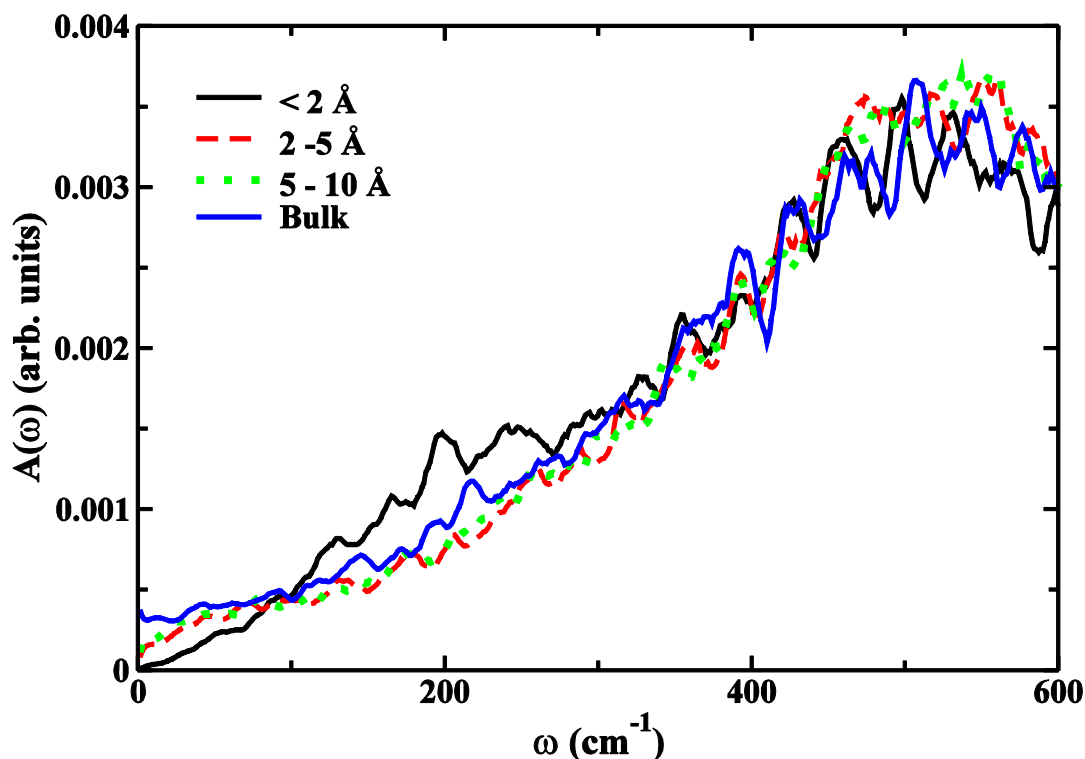
# Vibrational Response of the Solvating Waters Surrounding a Microtubule



## Absorption spectrum for various layers of water surrounding the microtubule



All of the spectra for the varying water layers are identical to that of the bulk ( $>30 \text{ \AA}$ ), with the single exception of the waters lying within  $2 \text{ \AA}$  of the microtubule, displaying a slight enhancement in the librational absorption at roughly  $200 \text{ cm}^{-1}$  ( $\sim 6 \text{ THz}$ )



Moix J. et al., under PA approval to be submitted to J. Physical Chemistry



# Influence of the Microtubule on the Water Dynamics

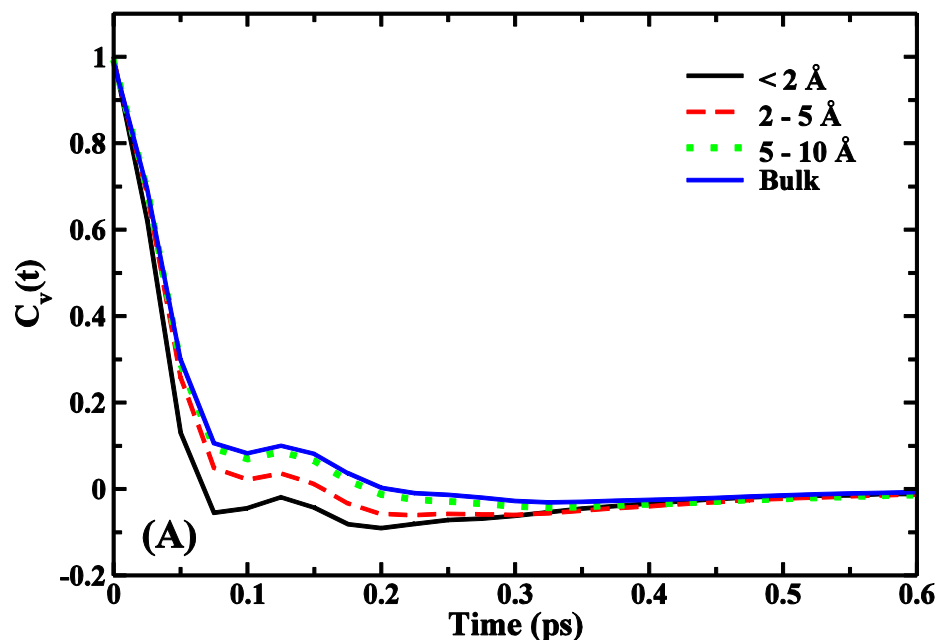


The Velocity Autocorrelation Functions (VACF) and Vibrational Density Of States (VDOS) of the various water layers

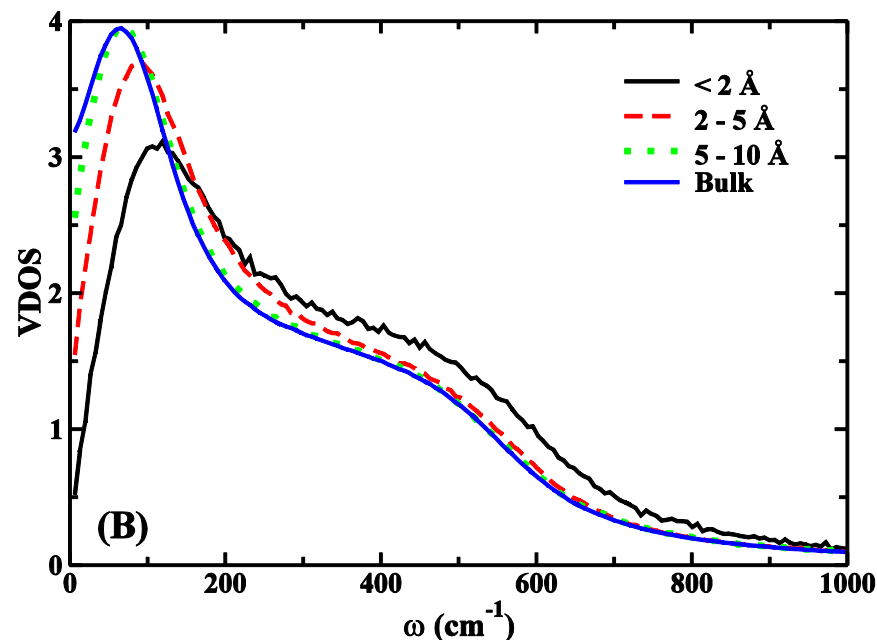
$$\text{Normalized VACFs, } C_v(t) = \langle \vec{v}(t) \cdot \vec{v}(0) \rangle / \langle \vec{v}(0) \cdot \vec{v}(0) \rangle$$

The normalizing factor in the autocorrelation function is a constant for all water subsets and given by the equipartition value,  $\langle \vec{v}(0) \cdot \vec{v}(0) \rangle = 3k_B T / m$

Normalized VACFs  $C_v(t)$



VDOS, defined as the Fourier transform of the normalized VACF

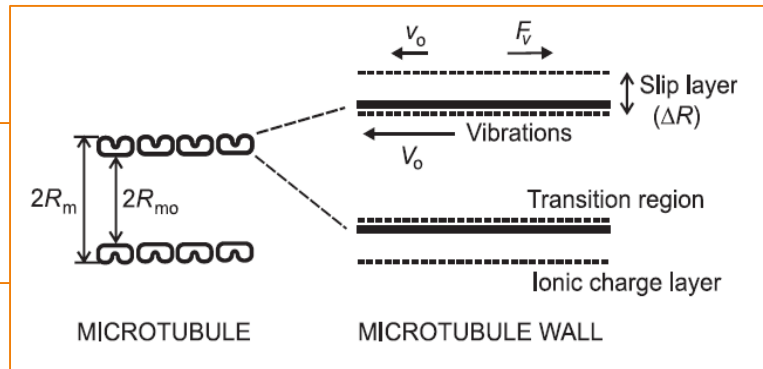


Moix J. et al., under PA approval to be submitted to J. Physical Chemistry



# Qualitative Behavior of the Microtubule

Bioelectrochemistry 63 (2004) 321–326  
 Excitation of vibrations in microtubules in living cells  
 J. Pokorný\*

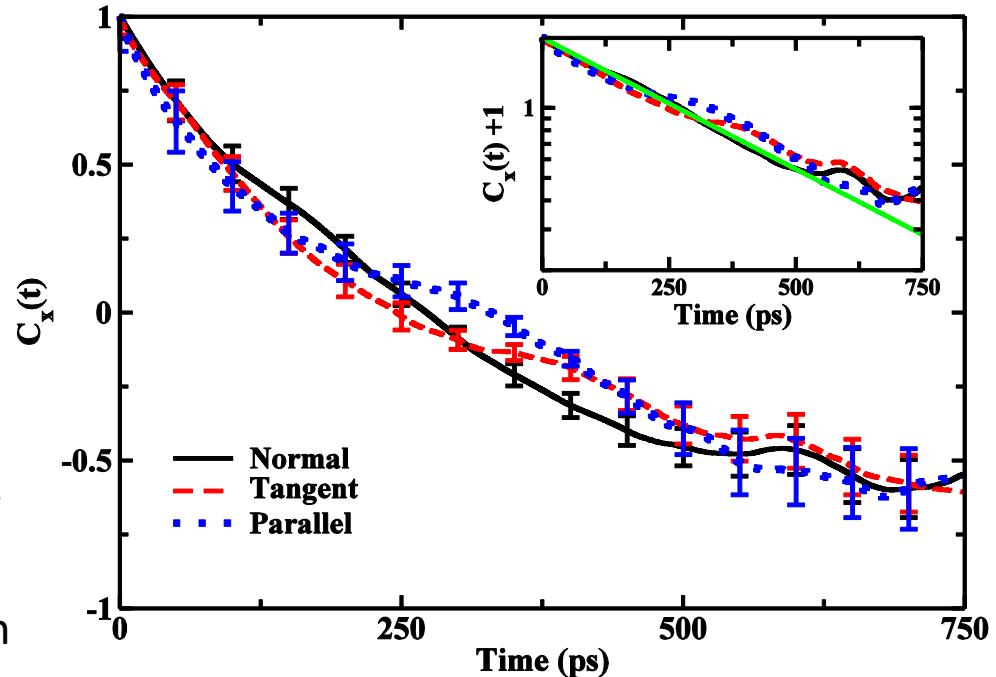


Autocorrelation function for the center of mass motion for each tubulin monomer

$$C_x(t) = \frac{\langle \delta x_c(t) \delta x_c(0) \rangle}{\langle \delta x_c(0)^2 \rangle}$$

where  $\delta x_c(t) = x_c(t) - \bar{x}_c$

represents the fluctuations of the center of mass around its average position ( $\bar{x}_c$ ) measured over the course of the simulation



Moix J. et al., under PA approval to be submitted to J. Physical Chemistry



# Summary & Conclusions (MDS Study)



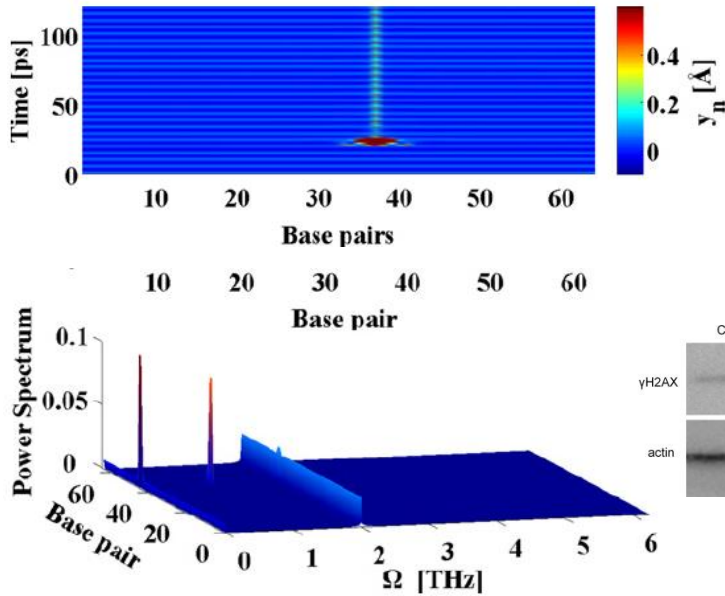
- ❑ In the low-frequency THz regime, the computed absorption spectrum of the microtubule is nearly indistinguishable from that of a simple solvated system containing only the  $\alpha$ - and  $\beta$ -tubulin monomers
- ❑ Additionally below  $\sim 300 \text{ cm}^{-1}$  both systems display similar spectra to other globular proteins
- ❑ The center of mass dynamics of the monomers in the microtubule appears to be overdamped as is generally observed for solvated systems, rather than underdamped, as has been suggested
- ❑ Results on the VACFs, VDOSs, and diffusion rates indicate that waters within approximately  $10 \text{ \AA}$  from the microtubule surface possess modified dynamics with respect to the bulk
  - The microtubule is quite average in comparison with the results of molecular dynamics simulations of other protein systems and is unlikely to support large scale vibrational processes such as Fröhlich condensates



# What are the Mechanisms Behind THz-induced Gene Expression Changes?



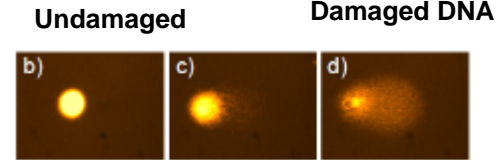
## Formation of a localized opening in DNA in the presence of a THz field



Alexandrov BS. et al., 2010

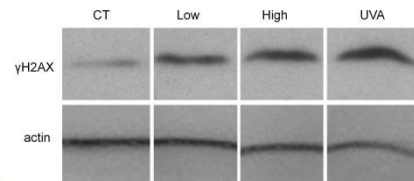
## THz pulses cause DNA damage and activate DNA damage repair

Micronuclei in the HaCaT cells



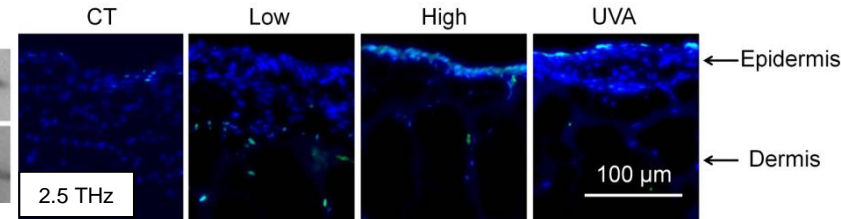
Kleine-Ostmann et al. 2009

## Induction of $\gamma$ H2AX



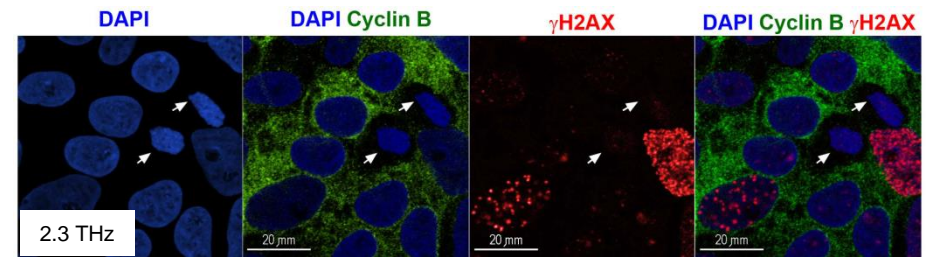
Human skin tissue models

## Incidence of $\gamma$ H2AX foci



Titova LV et al. 2013

H2AX, H2A Histone Family Member X: a surrogate marker for double-strand breaks



Human embryonic stem cells

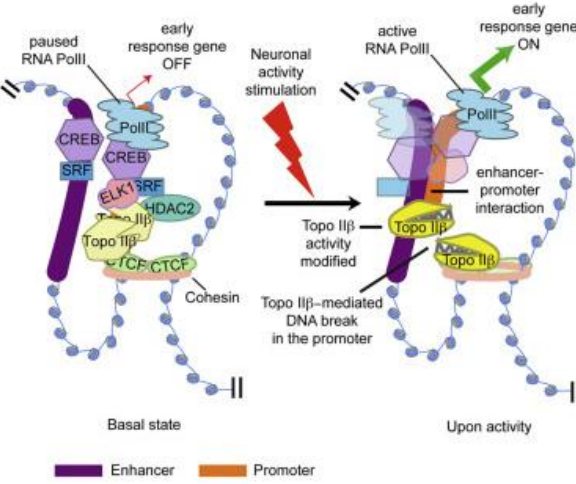
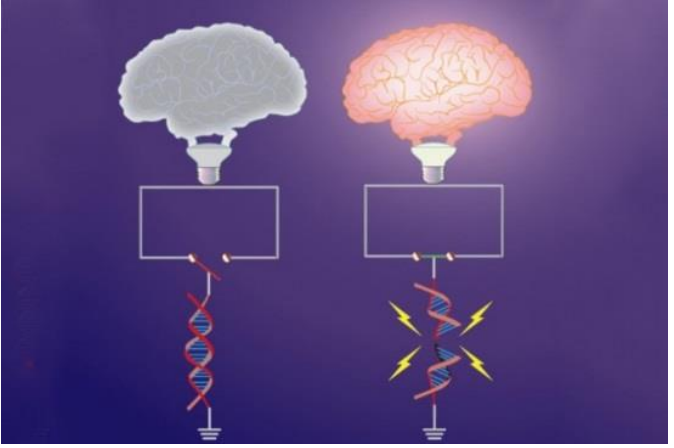
Bogomazova AN et al. 2015



# DNA Damage & Repair and Epigenetic DNA Methylation Regulate Neuronal Gene Expression

**MIT News**  
ON CAMPUS AND AROUND THE WORLD

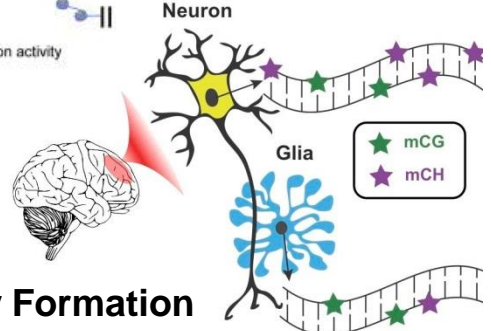
DNA breakage underlies both learning, age-related damage



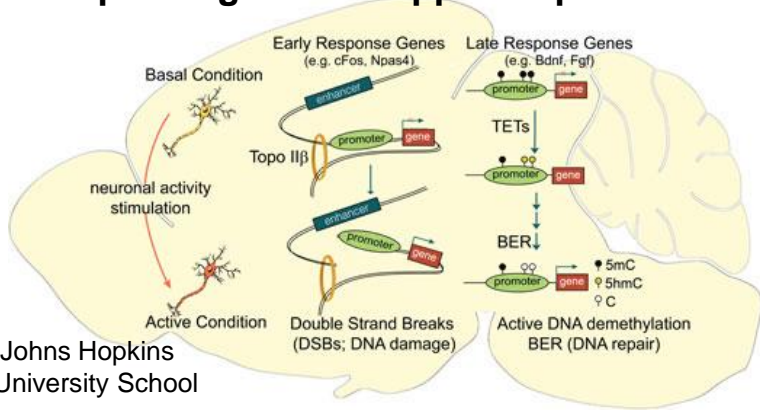
Tsai

Madabhushi R et al., Cell, 2015

**Activity-Induced DNA Breaks Govern the Expression of Neuronal Early-Response Genes**



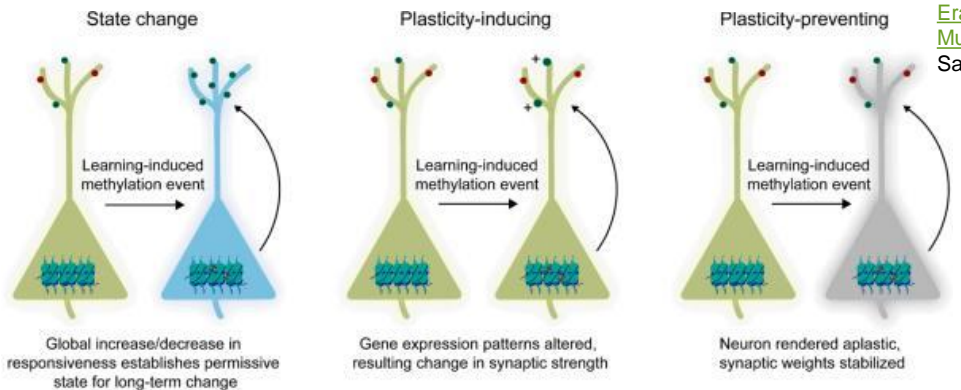
**DNA damage and repair regulates expression of both early response and later response genes in hippocampal neurons**



Johns Hopkins University School

Su Y et al., Cell Research, 2015

**DNA methylation and Memory Formation**



Eran Mukamel, Salk Institute

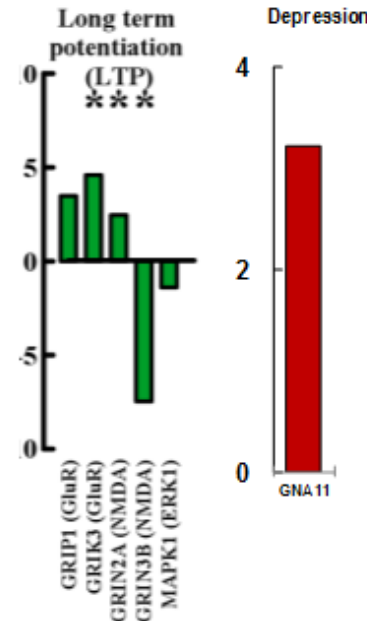
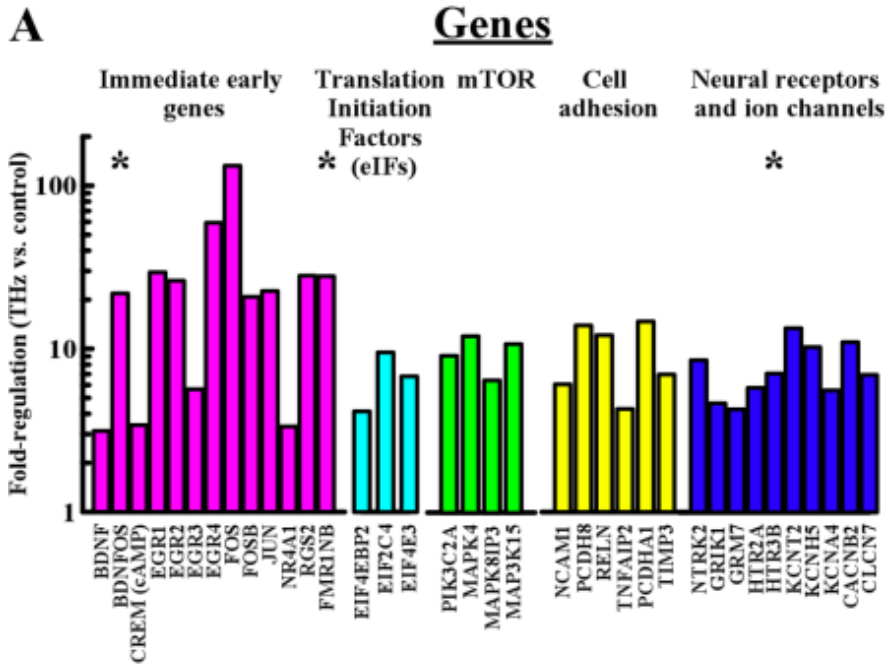
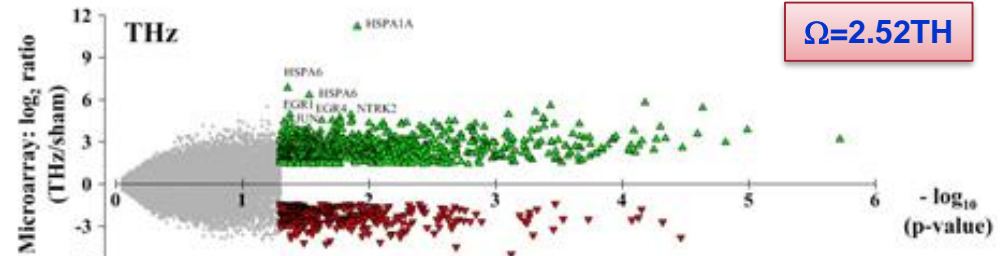
Day, JJ et al., Nature neuroscience, 2010



# THz Radiation Induce mRNAs and miRNAs Involved in Synaptic Plasticity



The genes regulated by THz energy are enriched for neuronal activity-regulated (ERG, LRG) pathways



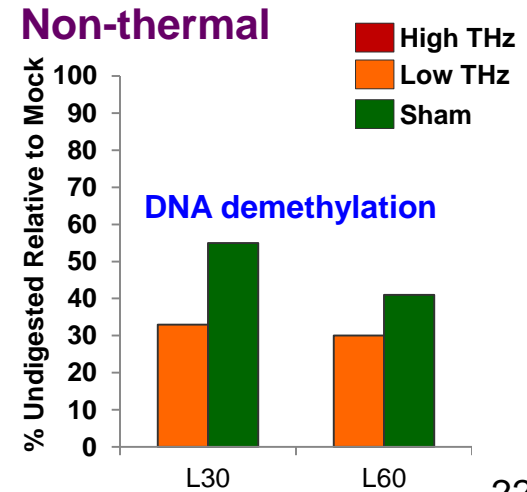
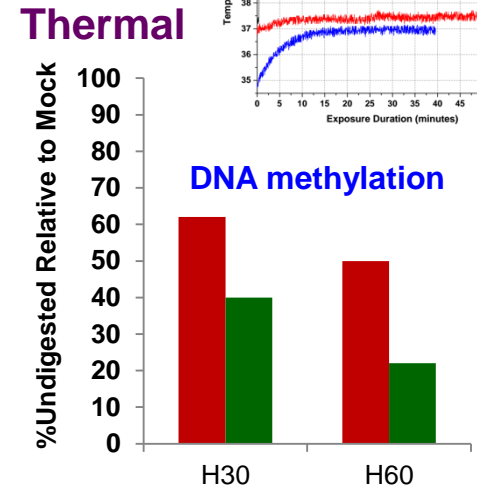
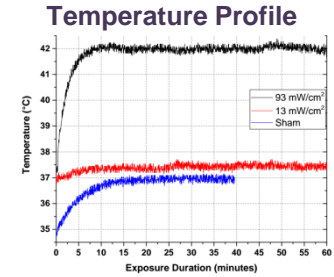
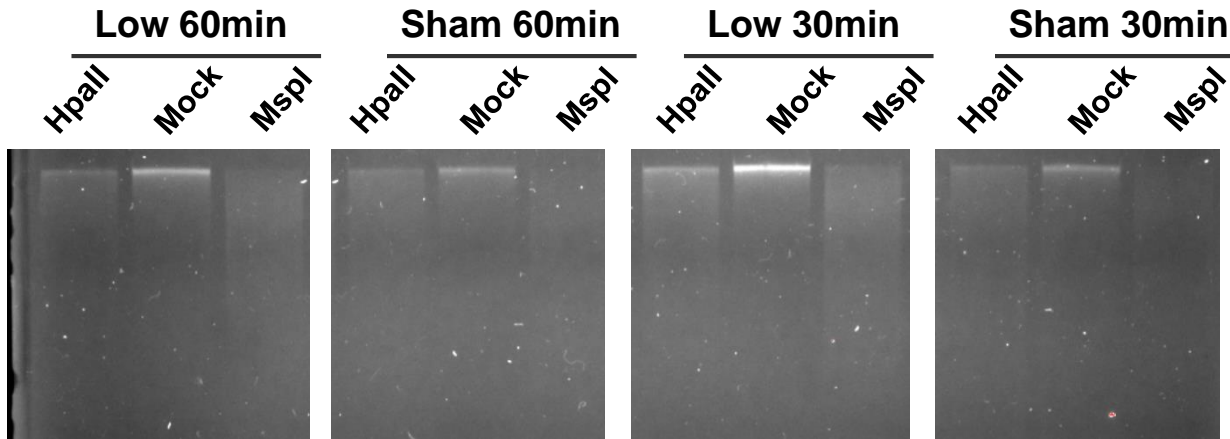
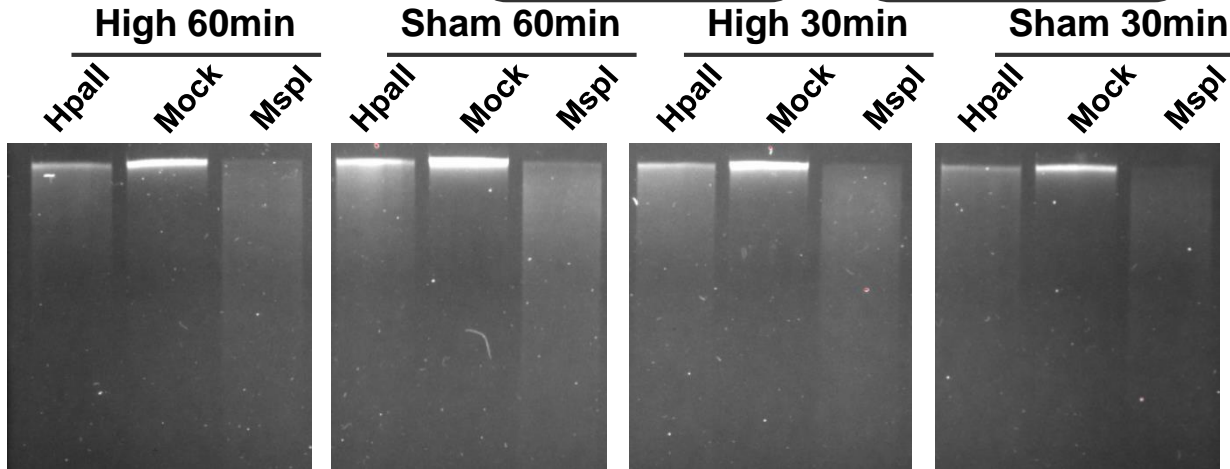
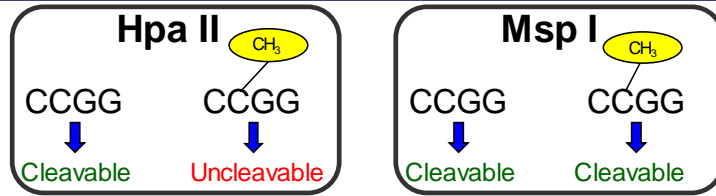
Wilmink G 2014

➤ **Genes regulation plays central role in various synaptogenetic processes:** Neurite Outgrowth, Synapse Development and Maturation, Balance between Excitatory and Inhibitory Synapses, and Learning and Memory



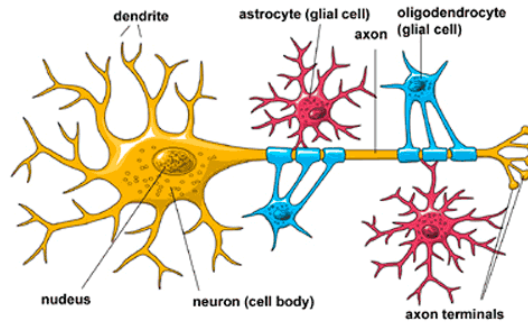
# 2.52 THz Affects DNA Methylation/Demethylation in NG108 Neuronal Cells

- Catherine Millar-Haskell (Ph.D. Student Delaware)
- Brady McMicken, Ph. D. (NRC Postdoc)





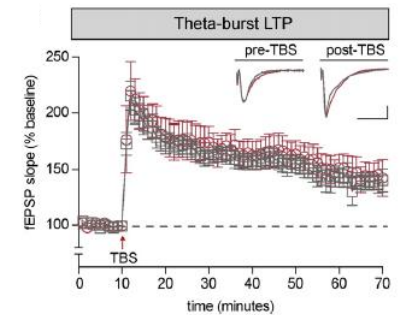
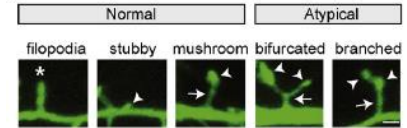
# Can THz Regulate Neuronal Circuit Activity? (DNA Damage Response and Epigenetic DNA Modifications)



Dr. Chris M. Valdez (NRC postdoc)  
Dr. Ibey

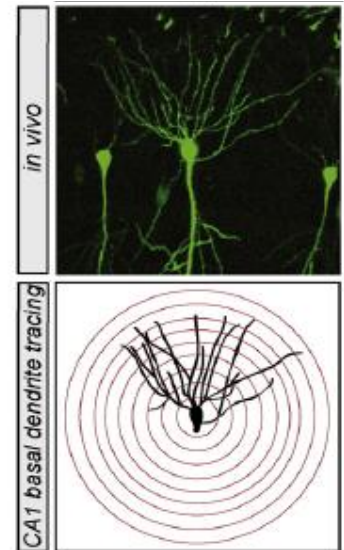
## □ Would THz Energy ( thermal and non-thermal) elicit a neuronal activity that would orchestrate the expression of neuronal activity-regulated gene in neuron & supporting cells, and hippocampal slices?

- Electrophysiology (whole-cell voltage-clamp recordings, action potential, spontaneous activity, mEPSP)
- Neurite outgrowth and spine morphology (microscopy and image analysis)
- Synaptic plasticity RT-PCR arrays (IEGs, LTP and LTD genes)



## □ What are THz mechanism of actions?

- Is the THz-mediated gene expression governed by an activation of a DNA damage mechanism  
( $\gamma$ H2AX levels, genome-wide  $\gamma$ H2AX Chip-sequencing)
- Is THz-mediated gene expression a result of an active DNA methylation/demethylation  
(Global patterns, Bisulfite-sequencing analysis)



## □ Would THz energy regulate neuronal circuit activity?

Hippocampal dendritic arborization

Synaptic transmission (Electrophysiology, fEPSP, LTP and LTD)

Valdez CM, 2016,  
Molecular and Cellular Neuroscience



# Acknowledgments



## Terahertz Group

**Dr. Jeremy Moix**

**Dr. James Parker**

**Dr. Brady McMicken**

**Mr. Cesario Cerna**

**Ms. Bridget Endler**

**Ms. Catherine Millar-Haskell**

**THANK YOU!**

