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TITLE: THE THERAPEUTIC EFFECT OF THE ANTITUMOR DRUG 11 BETA AND RELATED MOLECULES ON POLYCYSTIC KIDNEY DISEASE

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14. ABSTRACT This project aimed to develop synthetic multifunctional compounds as therapeutics for polycystic kidney disease (PKD). In collaboration with the Somlo group at Yale University, we have provided extensive evidence that two parent compounds, 11 β -dichloro and 11 β -dipropyl, are effective at preventing and delaying cystic growth in two different mouse models of PKD. One arm of the project focused on the synthesis of new molecules from the 11 β family, which informed, through a structure-activity study, the key molecular features required for activity and provided additional hints about the mechanism of action. A second arm of the project focused on the development of a cell culture model that could be used to screen the new molecules for improved efficacy and selectivity; such molecules could be then validated in the established PKD mouse models and pave the way towards their preclinical and clinical development. Both research arms were accomplished during this four-year long grant. Of the 6 new compounds we synthesized, one (11 β -dimethyl) had activity comparable with 11 β -dipropyl, while being a smaller molecular species. Among cell culture models, we investigated the porcine line LLC-PK1 and the murine line mIMCD3 (and their respective PKD1 null counterparts). Both lines showed good promise for toxicity assays, when coupled with more advanced cell viability reporters (e.g., the ratio metric assay MultiTox-Glo). During the last funding period, we also investigated the role of hypoxia in modulating the toxic effect of 11 β – these studies are still ongoing. Mechanistically, we have generated data both in cell culture and in animal models that clearly indicate that the antioxidant vitamin E is a potent inhibitor of the 11 β compounds toxicity, confirming that the mechanism of action involves the generations of oxidative stress. The later finding was also confirmed by studies of transcriptional responses to 11 β . The Yale team also continued their work probing the mechanism of toxicity of 11 β compounds in animals (biomarkers of oxidative stress) and completed the testing of 11 β -dipropyl compound in the adult mouse model of PKD.					
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1. INTRODUCTION

The purpose of this project was to develop synthetic multifunctional compounds as therapeutics for polycystic kidney disease (PKD). In collaboration with the Somlo group at Yale University, we showed that two parent compounds, 11 β -dichloro and 11 β -dipropyl, are effective at preventing and delaying cystic growth in two different mouse models of PKD. To guide the development of new compounds we probed the mechanism by which 11 β compounds achieve their efficacy and selectivity against cystic cells. One arm of the project focused on the synthesis of new molecules from the 11 β family, which helped inform, through a structure-activity study, the key molecular features required for activity and provided additional hints about mechanism of action. A second arm of the project focused on the development of a cell culture model that was used to screen the new molecules for improved efficacy and selectivity; such molecules were then submitted for validation in the established perinatal and adult-onset ADPKD mouse models, which helps pave the way towards their preclinical and clinical development.

During the most recent funding period, we continued the work on characterizing cell culture models that recapitulate the efficacy and selectivity of 11 β compounds seen in animals. The experiments focused on IMCD3 cells, and their PKD null isogenic counterpart, and we added oxygen tension as a new variable to influence growth of cells and their response to the drug candidates. Together with our collaborators at Yale University, we also investigated the mechanism of toxicity of 11 β compounds in cell culture and animals. We finalized several mechanistic studies that demonstrate the role of oxidative stress as a key effector of 11 β -induced toxicity and developed a better understanding of the basis of selectivity of 11 β compounds towards cystic cells. We also accumulated more extensive data about the transcriptional responses to 11 β compounds in tissues. Finally, together with our collaborators, we completed an important overarching goal of this project, which was the testing of the 11 β -dipropyl compound in the adult-onset mouse model of PKD.

2. KEYWORDS

Polycystic kidney disease, cystic disease, ADPKD, *PKD1*, *PKD2*, therapeutic, polycystin-1, apoptosis, mitochondria, reactive oxygen species, unfolded protein response, mouse model.

3. ACCOMPLISHMENTS

What were the major goals of the project?

Our major goals were:

- Synthesize and characterize 11 β -dipropyl and 11 β analogs with different linkers and/or alkyl substituents
- Using the cell culture model, evaluate the efficacy and selectivity of the 11 β analogs in a structure-activity study
- Improve cell culture models for PKD to perform mechanistic studies on 11 β toxicity and selectivity and examine the therapeutic role of oxidative stress in cell culture; extending those findings to mouse models of PKD
- Record transcriptional responses of oxidative stress-inducible genes in both mouse models
- Complete mechanistic studies on the mechanism of action of 11 β -dipropyl
- Complete testing of 11 β -dipropyl in the adult-onset PKD mouse model

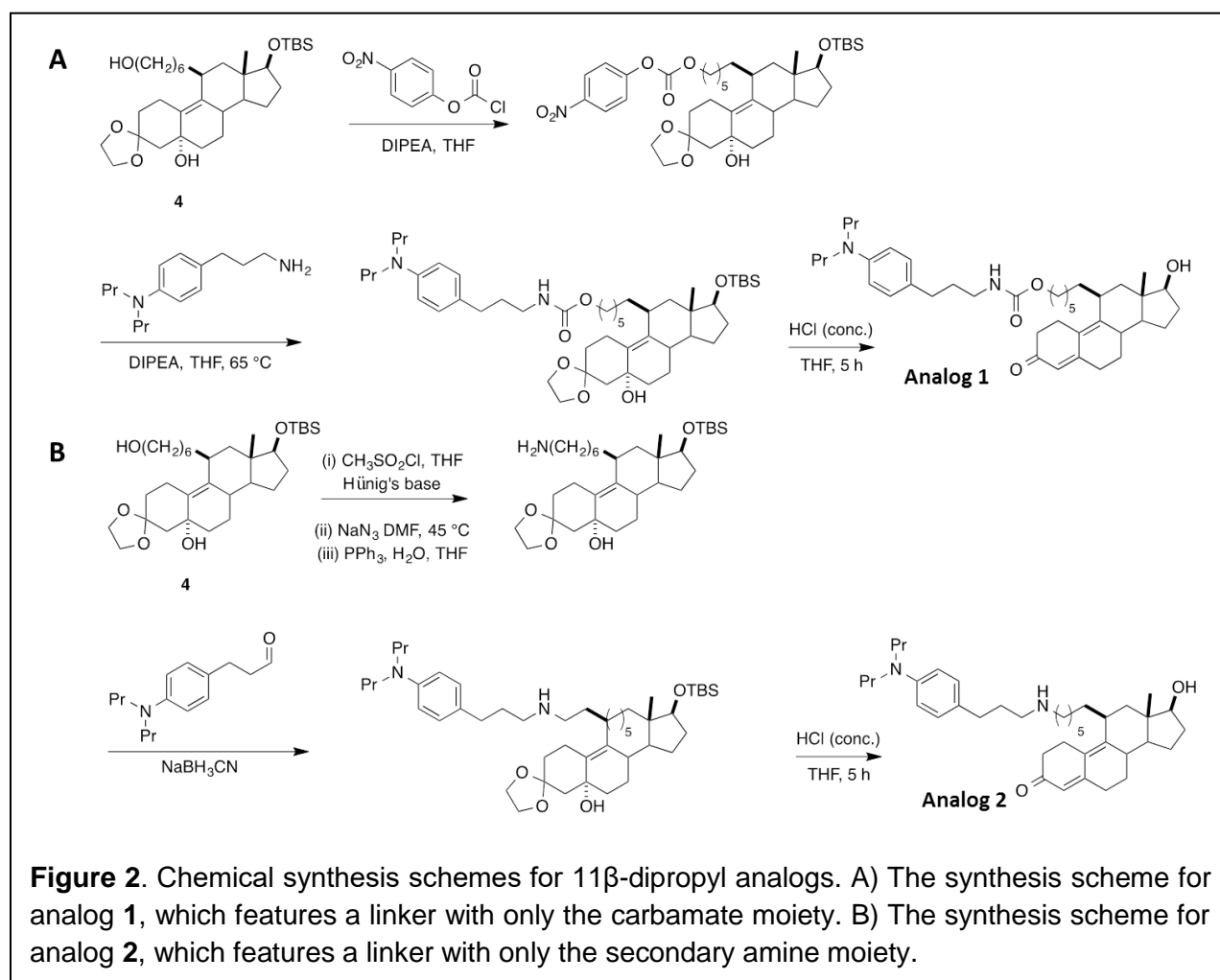
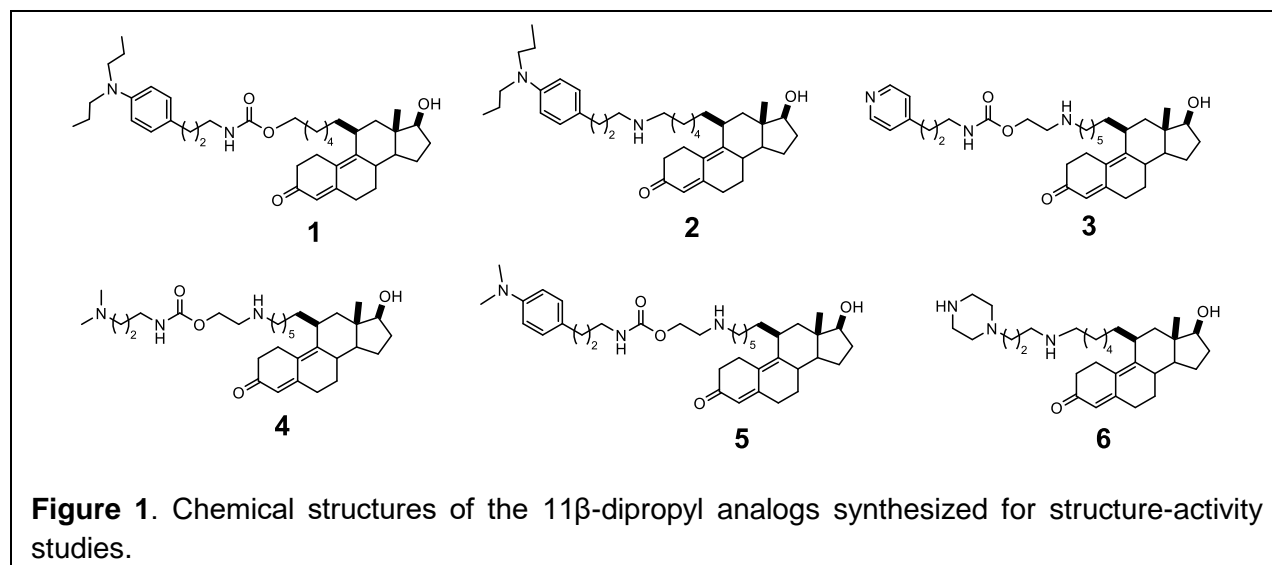
What was accomplished under these goals?

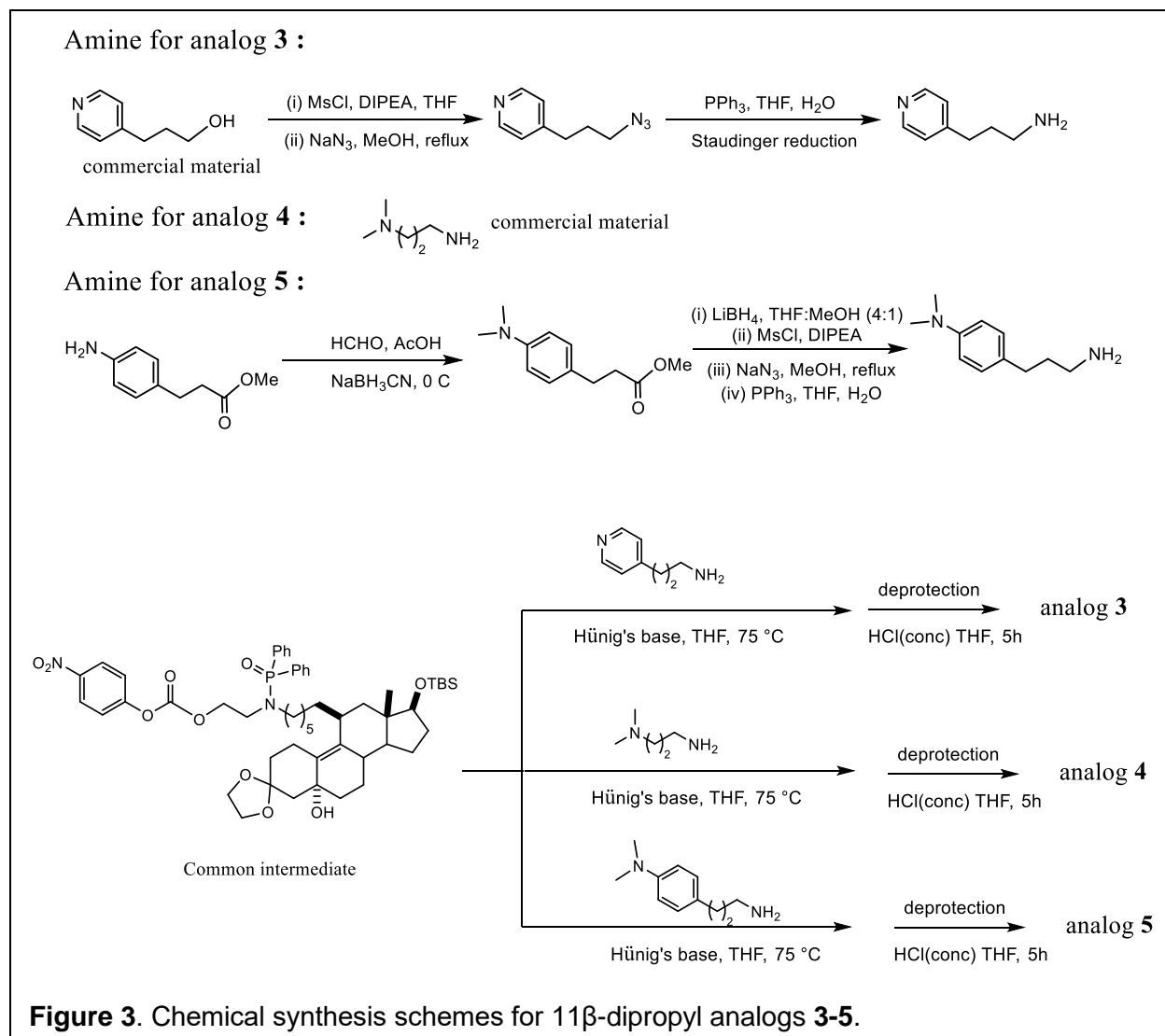
- a. Synthesis and characterization of 11 β analogs. (Essigmann, MIT)

One central goal of this project was to synthesize a series of 11 β analogs and perform a structure-activity study that informs which chemical and structural features of the molecule are essential for activity. Additional goals of this effort were to obtain a better lead compound with perhaps a simpler chemical structure. To that end, we varied the structure of the linker (carbamate, secondary amine or both) and the structure of the aniline moiety. The 11 β analogs synthesized are shown in Figure 1.

Although not originally proposed, we synthesized an additional analog (compound **5**), in which the dipropyl arms of the 11 β -dipropyl were replaced with methyl groups. This analog constitutes the smallest variation from the 11 β -dipropyl structure, and its synthesis was facilitated by readily-available starting materials.

The synthetic schemes used for the synthesis of compounds **1-5** are shown in Figures 2 and 3. Except for compound **6**, for which the synthesis yield was very poor and was not optimized, all compounds were synthesized, isolated and purified with yields >100 mg each (Table 1). All compounds were characterized by ¹H-NMR, ¹³C-NMR, MS and UV-Vis.





b. Physico-chemical characterization of the 11 β -dipropyl analogs. (Essigmann, MIT)

All logP values have been estimated using the tools available at www.molinspiration.com, which estimate logP based on the equation of Horvath et al. (1,2) The pKa values for all compounds were estimated using the Evans pKa tables available at www.evans.rc.fas.harvard.edu. The compounds were dissolved in pure ethanol and their UV-Vis spectra were recorded. All compounds showed the absorption peak at 305 nm, characteristic of the steroid moiety; however, the experimentally-determined extinction coefficient at 305 nm varied considerably across compounds (**Table 1**).

Table 1. Physico-chemical properties of 11 β -dipropyl analogs. Structures are shown in Figure 1.

Compound	Amount synthesized (mg)	MW (g/mol)	logP	pKa	Extinction Coefficient at 305 nm ($M^{-1} cm^{-1}$)
1	568	632.92	8.62	11	5752
2	107	588.91	8.36	10.5	4415
3	120	577.80	5.14	11	24390
4	127	543.78	4.41	11	32100
5	116	619.88	6.53	11	33030
11 β -dipropyl	800	675.88	8.25	11	22500

c. Structure-activity study of 11 β -analogs in the LLC-PK cell line model. (Essigmann, MIT)

The commercially available kidney cell line LLC-PK1 (ATCC CL-101) was evaluated over the previous funding periods as a possible cell culture model for testing 11 β compounds activity. LLC-PK1 is a porcine renal proximal tubule cell line from the Hampshire pig (3) that is routinely used to study nephrotoxicity. The advantages of this line over other cell lines are as follows: i) a stable kidney epithelium cell line; ii) the cells exhibit lateral growth inhibition, which allows formation of stable monolayers, without the need to inactivate a growth-driving oncogene (e.g. SV40); iii) easy to culture under standard conditions. The team at Yale then employed CRISPR-Cas9 technology to generate two isogenic cell lines derived from LLC-PK1 in which either PKD1 or PKD2 genes were deleted. The wild-type and the two mutant cell lines were then evaluated in cell culture by the Essigmann team at MIT.

Preliminary work with the LLC-PK cell lines showed that they are likely a good cell culture model for testing sensitivity and specificity to 11 β compounds. A dose response with 11 β -dichloro showed that the PKD1 KO is more sensitive than the wild-type parent line (**Fig. 4**). The cellular viability in this case was measured using the CellTiter-Glo reagent from Promega, which gives a luminescent signal proportional to the amount of ATP present in each well. For reasons that are currently under investigation, the CellTiter-Blue reagent we used for measuring the viability in other cell lines in previous studies (4) did not work with the LLC-PK cell lines.

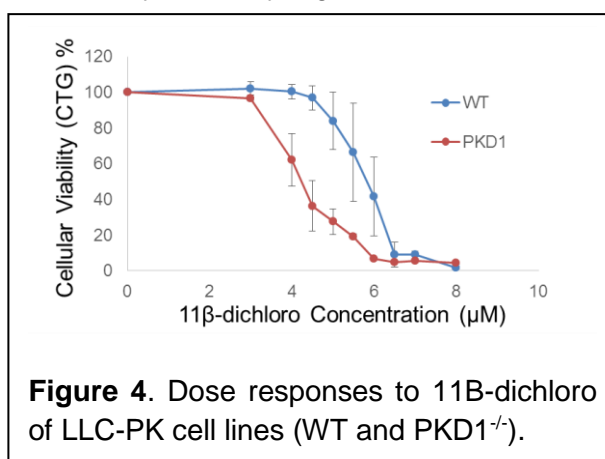


Figure 4. Dose responses to 11 β -dichloro of LLC-PK cell lines (WT and PKD1^{-/-}).

Dose-response experiments were set up using the LLC-PK1 cell lines and the 11 β analogs. The initial experiment used doses between 0-10 μM . Under these conditions, only compounds **5** and

11 β -dipropyl showed substantial toxicity. Subsequently, the doses tested for compounds **1-4** were increased (up to 300 μ M) to determine their toxic range. Of these compounds, compound **1** did not show any toxicity at concentrations as high as 300 μ M. Based on the dose response curves, IC50 values (concentration at which cell viability is decreased by 50%) were estimated (Table 2).

Table 2. The toxicity (IC50 concentrations) of 11 β analogs in the LLC-PK1 cell line model.

Compound	IC50 _{WT} (μ M)	IC50 _{PKD1} (μ M)
1	>300	>300
2	90	110
3	48	52
4	42	60
5	3.1	3.4
11β-dipropyl	2.6	2.9

The structure-activity study provided novel insights into the structural requirements for activity against PKD cells. Compounds **1** and **2**, which feature simpler linkers [carbamate-only (**1**), or secondary amine-only (**2**)], were significantly less active than compounds having both functional groups in the linker. This result suggested that the bifunctional linker is important for activity, with the amine functionality ostensibly helping with solubility and charge, and the carbamate providing conformational rigidity. Compounds **3** and **4** provided insight into the requirements for the left-hand side of the molecule (as drawn). The aromatic ring alone (compound **3**) or the aliphatic dimethyl amine (**4**) were not as effective as the dimethyl-aniline compound **5**, which features both structural features. Moreover, compound **5** showed a very similar activity to that of 11 β -dipropyl, suggesting that the length of the alkyl groups on the tertiary aniline is not a significant contributor to the toxicity of 11 β -dipropyl.

d. Developing a better cell culture model for testing efficacy and selectivity of 11 β compounds. (Essigmann, MIT and Somlo, Yale)

Cell culture models for PKD remain a poor substitute for animal models and for the human disease. However, cell culture models are desperately needed to enable more efficient screening of potential therapeutics and understanding of disease mechanism. In our previous reports, and also summarized above, we described the use of the LLC-PK1 (ATCC CL-101) cell line, derived from pig kidney. While it showed potential for in vitro screening, being from a different mammalian species than our PKD mouse models added an additional variable (and experimental challenge) for mechanistic studies. Therefore, during the last funding period, we investigated a mouse cell line for a cell culture model of PKD.

The mIMCD-3 (ATCC CRL-2123) is a murine cell line derived from the inner medullary collecting duct (IMCD) of a SV40 transgenic mouse (4). Despite being an SV40 transformed cell line, and

triploid for most chromosomes, IMCD-3 cells retain many characteristics of the kidney epithelia, including polarization and the ability to form tight junctions. They are also capable of withstanding high osmotic stress, which is a physiological occurrence

in the kidney collecting ducts (4). The Yale team employed a CRISPR-Cas9 technology to generate a PKD1 null isogenic counterpart to the wild-type IMCD-3 cells procured from ATCC, and both cell lines were subsequently studied in cell culture by the MIT team.

Preliminary studies with the IMCD3 cell lines indicated that they grow well in cell culture and that, unlike LLC-PK1 cells, the viability of the IMCD3 cells can be estimated consistently with several different methods, such as cell counting, viability dyes (e.g., CellTiter-Blue, MTT) and metabolic endpoints (e.g., total ATP concentration via CellTiter-Glo). The IMCD3 cells showed a robust response to both 11 β -dichloro and 11 β -dipropyl compounds (Fig. 5). After continuous exposure to compounds for 24 h, IC50 concentrations were 3-4 μ M for both compounds. However, the compounds' selectivity between WT and PKD1 null cells was less apparent in this isogenic cell line pair (Fig. 5).

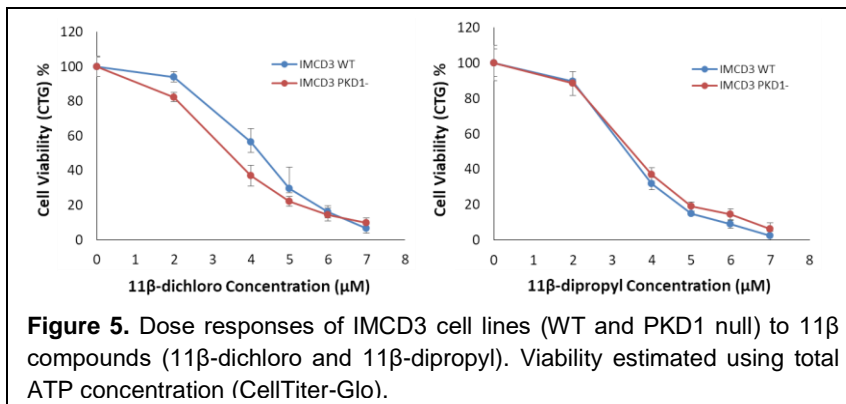


Figure 5. Dose responses of IMCD3 cell lines (WT and PKD1 null) to 11 β compounds (11 β -dichloro and 11 β -dipropyl). Viability estimated using total ATP concentration (CellTiter-Glo).

One possible explanation for the lack of selectivity observed in this model is the fact that, although isogenic, the two IMCD3 cell lines have different growth kinetics. Therefore, it is extremely challenging to ensure that the same number of WT and PKD1 null cells is exposed to the drug candidate over the 24

h exposure. One way to address this challenge is to take into account, in our dose response analyses, not only the remaining viable cells, but also the fraction of cells that have died due to drug exposure. An efficient way to perform this analysis is to use the MultiTox-Glo assay, which independently estimates the relative numbers of live and dead cells, by measuring the activities of a live-cell protease and a dead-cell protease, respectively (5). To provide robust estimates, the activities are measured with orthogonal methods; the live-cell protease cleaves off a fluorogenic substrate, whereas the dead-cell protease generates a luminescent signal. Finally, by taking a normalized ratio between the live-cell and dead-cell signals, a much better viability metric is

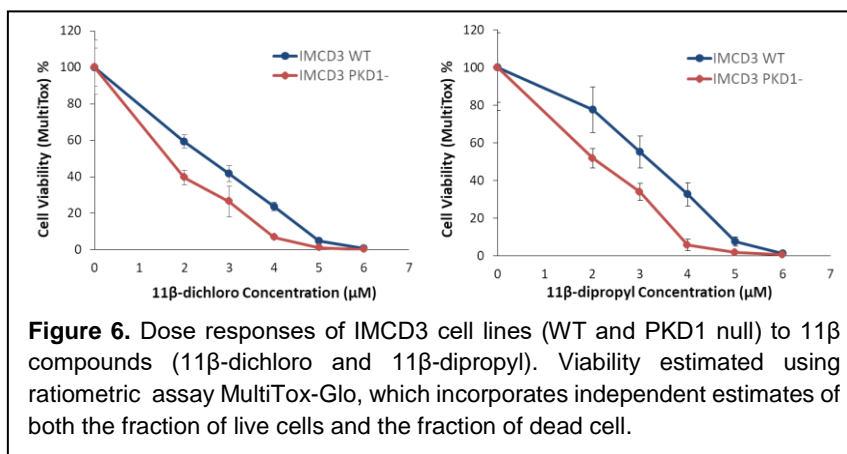


Figure 6. Dose responses of IMCD3 cell lines (WT and PKD1 null) to 11 β compounds (11 β -dichloro and 11 β -dipropyl). Viability estimated using ratiometric assay MultiTox-Glo, which incorporates independent estimates of both the fraction of live cells and the fraction of dead cell.

obtained, which is independent of cell number and minimizes the false-positive and false-negative results associated with only one type of measurement.

To test the aforementioned hypothesis, we repeated the dose-response analyses in the IMCD3 cells using the MultiTox-Glo assay. Owing to the higher sensitivity of the assay, the selectivity of each of the 11β compounds was more apparent (**Fig. 6**). Both 11β -di-chloro and 11β -dipropyl were found to kill the IMCD3 PKD1 null cells more readily, with the dose response curves showing IC₅₀ values that were 1-1.5 μ M lower than the corresponding IMCD3 WT IC₅₀ values. This is an important breakthrough for these types of assays and the MultiTox approach is easily extendable to other cell lines (e.g., LLC-PK1). Nevertheless, these cell culture models are still far from capturing the exquisite selectivity of the 11β compounds observed in animal models. In the last annual report, we outlined several new approaches that may help bridge this gap and further improve the usefulness of cell culture models for PKD. One of these approaches was explored during the last funding period and is summarized below.

e. Examining the efficacy and selectivity of 11β compounds in cells grown under physiological hypoxic conditions.

One major difference between cells in cell culture and the kidney tissues in a live animal is the local oxygen concentration. Traditional cell culture is performed in normoxic atmosphere (~21% oxygen), which is strikingly different than the low oxygen concentration (3-6% oxygen) that exists in tissues. Kidneys are in fact at the lower end of that range, so, under physiological conditions, kidney cells are relatively hypoxic (6). Given that the mechanism of action of the 11β compounds involves oxidative stress, we suspect that the cellular response could be dependent on the local oxygen concentration. Therefore, we studied 11β toxicity in cell culture as a function of oxygen tension. To accomplish this goal, we established a glove box station (Plas Labs 856-HYPO) that enables cellular manipulation under a variety of carefully-controlled oxygen concentrations (including anoxia, and various degrees of hypoxia) and grew cells in gas-tight chambers to maintain the desired (low) oxygen concentration throughout the experiment.

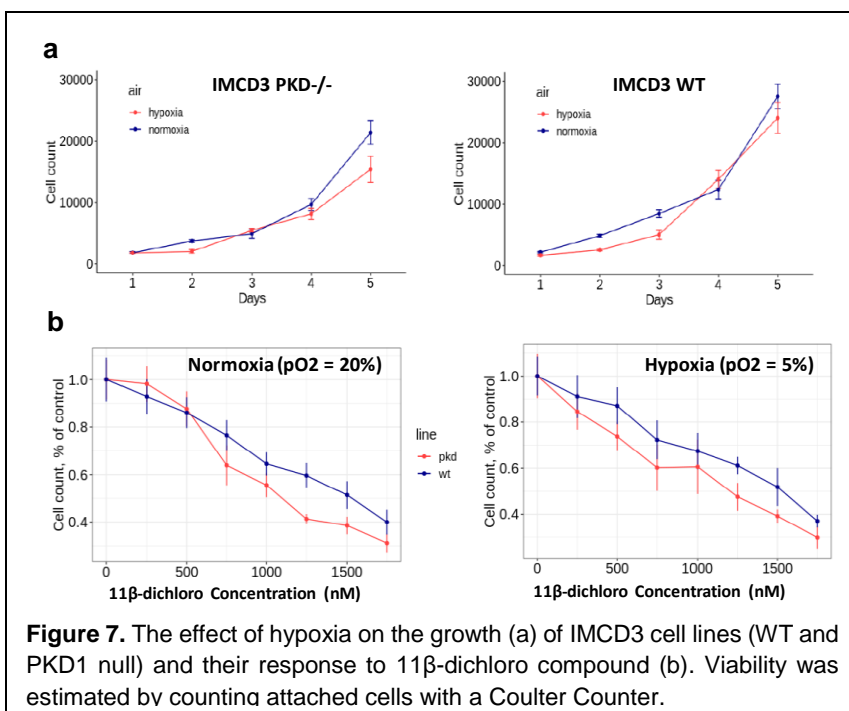


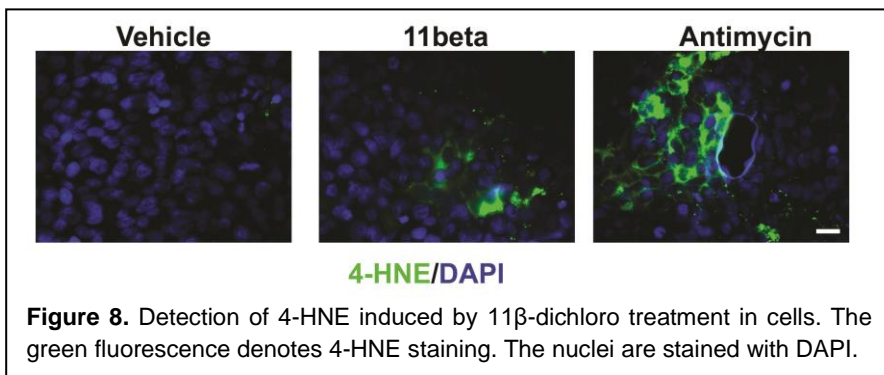
Figure 7. The effect of hypoxia on the growth (a) of IMCD3 cell lines (WT and PKD1 null) and their response to 11β -dichloro compound (b). Viability was estimated by counting attached cells with a Coulter Counter.

Our experiments on varying the oxygen tension available to the IMCD3 cell lines revealed that physiological hypoxic conditions (oxygen tension 5%) have a slight inhibitory effect on the growth of the PKD1 null cells, while the WT cells grow equally well under both conditions (**Fig. 7a**). Preliminary dose response experiments with 11 β -dichloro indicate that the toxic response is largely independent of the oxygen concentration (**Fig. 7b**). Under either normoxic and hypoxic conditions, 11 β -dichloro inhibits growth of both WT and PKD null cells in a similar fashion. As these data are only preliminary, no sweeping conclusions can be drawn regarding the role of oxygen tension in the mechanism of action of 11 β compounds. Further work in this area is warranted.

f. Examining the mechanism of toxicity of 11 β compounds in tissues from neonate mouse model of PKD. (Somlo, Yale, and Essigmann, MIT).

Recent studies of ADPKD indicated that cystic cells, akin to certain cancer cells (7,8), harbor fundamental mitochondrial abnormalities (9,10), which manifest through altered organelle morphologies and copy number, decreased respiration and a leaky electron transport chain (ETC) that leads to the excessive formation of reactive oxygen species. It has been argued (11) that the mitochondrial defects are upstream, and perhaps causative, of the metabolic reprogramming that is observed in cystic cells, such as increased glycolysis and decreased oxidative phosphorylation in the mitochondria. We previously showed that 11 β compounds induce oxidative stress by localizing in the mitochondria and disrupting the flow of electrons through complex I of the ETC (12), an effect independent, in the case of 11 β -dichloro, of the ability to alkylate DNA. Given the dysregulated oxidative metabolism and mitochondrial function of *Pkd1*^{-/-} cells and tissues (9-11,13), the central hypothesis of this project has been that 11 β compounds achieve selective killing of cystic cells by inducing oxidative stress (by targeting mitochondria), and the cystic cells are improperly equipped (in terms of antioxidant capacity and enzymes) to handle a burst of ROS. The studies outlined below provide experimental support to this hypothesis.

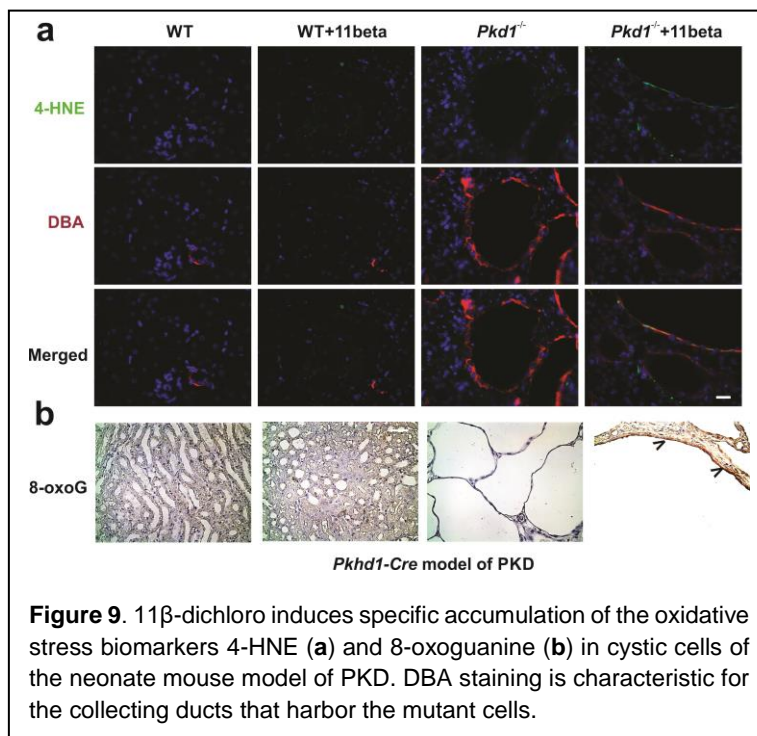
f.1. Induction of ROS in cystic cells exposed to 11 β -dichloro. (Somlo, Yale)



In studies initiated in the last funding cycle, we investigated whether 11 β induces ROS in cystic kidneys. Early *Pkhd1-Cre* cystic kidneys were harvested and stained for the lipid peroxidation biomarker 4-hydroxynonenal (4-HNE), a common oxidative stress-induced cellular byproduct. Recent evidence suggests that the bulk of 4HNE in a cell is formed from the oxidation of mitochondria-specific phospholipid cardiolipin (14), and thus, it primarily reflects mitochondrial oxidative stress (15). The specificity of the anti-4-HNE antibody was established by treating IMCD3 cells (an established kidney cell line) with antimycin A (a known ROS stressor) and 11 β -

dichloro (**Fig. 8**); both compounds elicited a positive 4-HNE signal compared with DMSO treated cells.

Next we investigated the status of 4-HNE in the 11 β -treated mice. In the neonate model, the levels of 4-HNE were substantially increased in the cystic kidneys treated with 11 β (**Fig. 9a**), but only in the DBA-positive cells (*Pkd1*^{-/-} cells), suggesting a specific induction of oxidative stress in the cystic cells. By contrast, no 4-HNE signal was detected in wild-type (proximal tubules) epithelia in the 11 β -treated kidneys, or in any of the vehicle-treated kidneys. To bolster these observations, we also probed for 8-oxoguanine, a DNA oxidative stress biomarker. Uncontrolled production of ROS leads to oxidative damage of all macromolecules in the cell, including nucleic acids. 8-Oxoguanine is the most prevalent oxidation product in DNA and RNA. Corroborating the 4HNE observations, cystic cells treated with 11 β were displayed a strong 8-oxoguanine signal, which was absent in all other experimental conditions (**Fig. 9b**).



f.2. Transcriptional effect to 11 β -induced oxidative stress. (Essigmann, MIT and Somlo, Yale)

The above results are consistent with early observations (described in the proposal for this project) that 11 β upregulates transcription of the oxidative stress genes catalase (CAT) and superoxide dismutase (SOD1) in cystic cells and tissues.

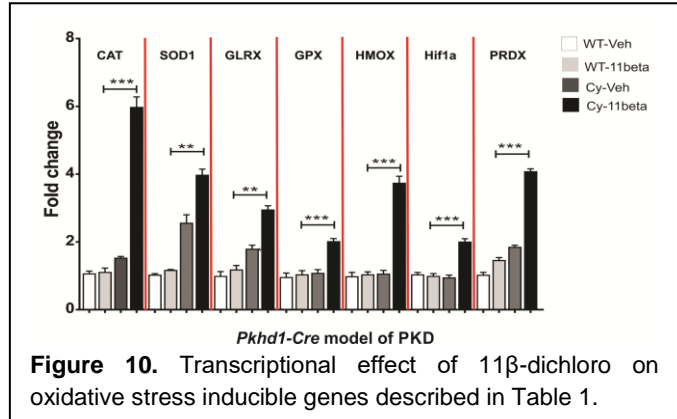
Corroborating evidence was recently obtained in our lab, where exposure of LLC-PK1 pig kidney cell lines to increasing concentrations of 11 β -dichloro (2, 4, and 6 μ M) for 6 h resulted in a dose-dependent upregulation of transcripts associated with oxidative stress. Specifically, the levels of SOD1 (cytosolic superoxide dismutase), SOD2 (mitochondrial superoxide dismutase), GPX4 (glutathione

Table 3. Oxidative stress inducible genes of interest.

Gene	Description
CAT	Catalase – breaks down hydrogen peroxide to molecular oxygen and water.
SOD1	Superoxide dismutase 1 (cytosolic) – converts superoxide to water and hydrogen peroxide
GLRX	Glutaredoxin – reduces oxidized glutathione
GPX	Glutathione peroxidase – reduces peroxides to water using glutathione
HMOX	Heme oxygenase – catalyzes breakdown of heme
Hif1a	Hypoxia-inducible factor 1 alpha – key subunit of a master regulator of the cellular response to hypoxia
PRDX	Peroxiredoxin – thioredoxin-dependent peroxide reductase; reduces peroxides

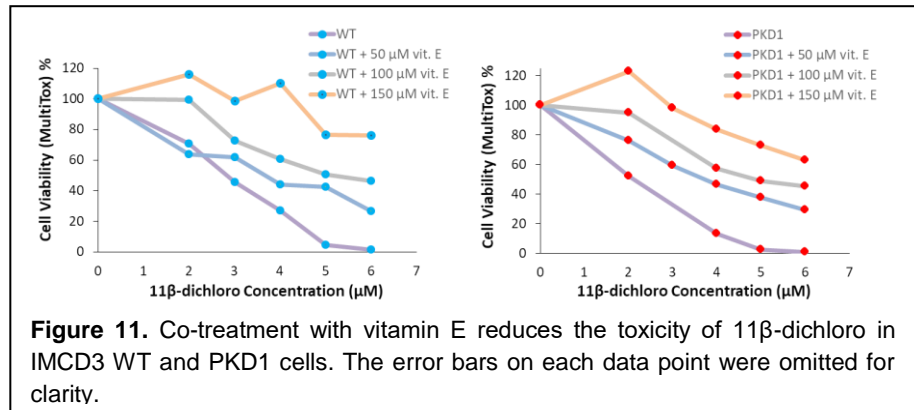
peroxidase) and TOM20 (mitochondrial translocase) increased significantly after 6 h exposure to 11 β -dichloro. These cell culture experiments paved the way towards selecting a more comprehensive panel of oxidative stress-induced genes and designing efficient primers for mouse cell lines and tissues.

Once the primer sets were synthesized and validated, the transcriptional responses to 11 β -dichloro of a panel of oxidative stress induced genes (**Table 3**) were analyzed in whole kidney extracts from the neonate mouse model of PKD. Only cystic kidneys treated with 11 β -dichloro showed statistically significant increases in the mRNA levels of oxidative stress inducible genes CAT, SOD1, GLRX, GPX, HMOX, Hif1a and PRDX; by contrast, 11 β -treated wild-type kidneys and vehicle-treated cystic kidneys showed no change in the expression of these genes (**Fig. 10**).



f.3 Co-treatment with the antioxidant α -tocopherol (vitamin E) attenuates the toxicity of 11 β -dichloro in cell culture. (Essigmann, MIT)

So far, oxidative stress and production of ROS have been biomarkers of exposure to 11 β dichloro with cystic cells, due to their dysregulated metabolism, reacting at lower doses of the drug candidate than wild-type tissues. However, we have long suspected that



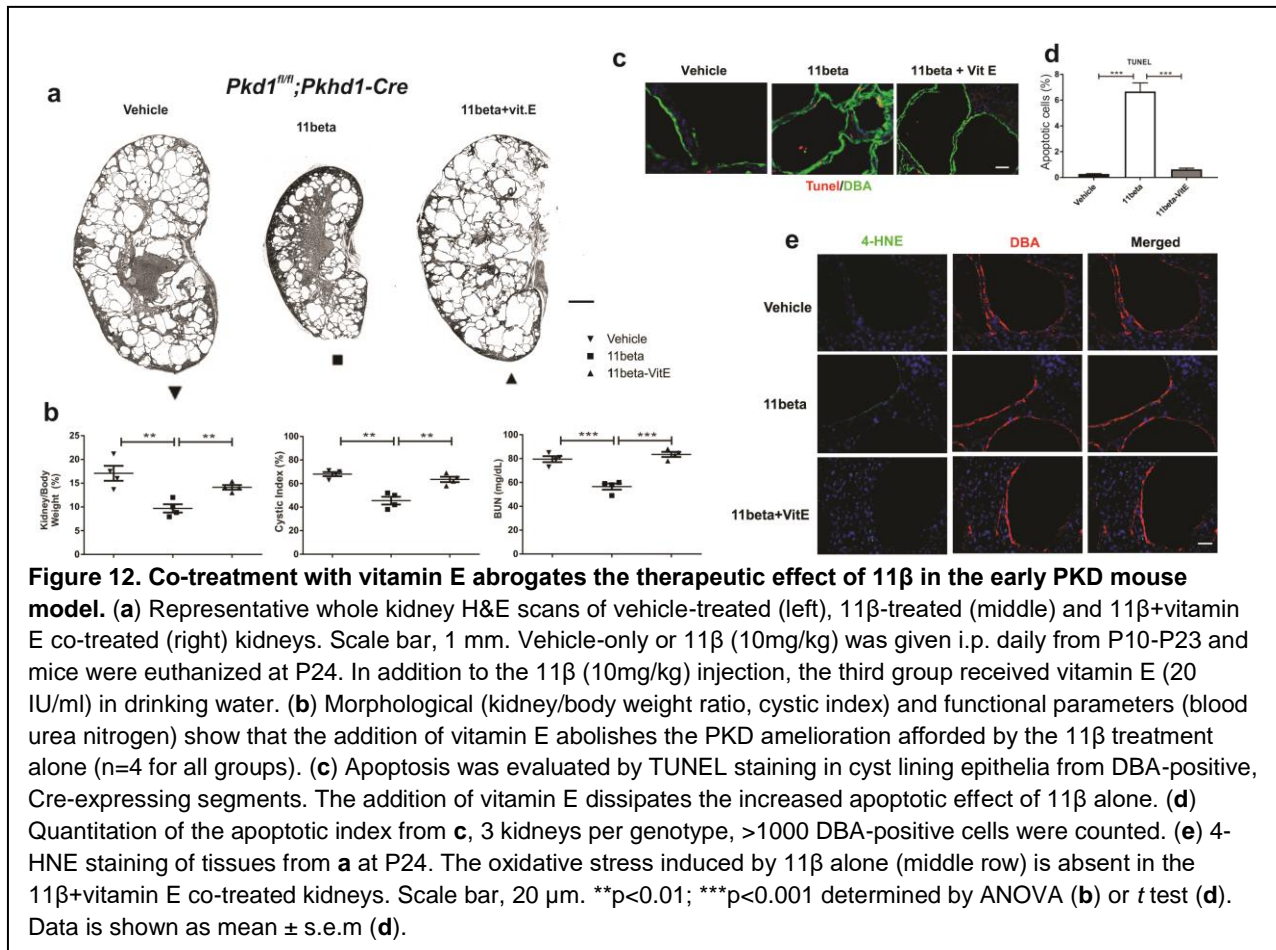
oxidative stress is more than a side effect of 11 β toxicity, but rather a functional biomarker that reflects a key step in the mechanism of action of the compound. To attenuate the oxidative stress induced by 11 β , we co-treated IMCD3 cells with increasing concentrations of DL- α -tocopherol (vitamin E), a liposoluble antioxidant that localizes in membranes, including mitochondrial membranes and can efficiently scavenge reactive oxygen radicals. Previous cell culture data from our lab indicated that vitamin E can buffer 11 β -induced oxidative stress and ameliorate killing of cancer cell lines (12). Our data now show that vitamin E is also an efficient inhibitor of 11 β toxicity in kidney cell lines (**Fig. 11**). Concentrations of 50-150 μ M of vitamin E were sufficient to inactivate the cell killing ability of the compound in both WT and PKD1 IMCD3 cells.

f.4 Vitamin E blunts the therapeutic effect of 11 β -dichloro in vivo. (Somlo, Yale)

To demonstrate that oxidative stress is an intrinsic component of the mechanism by which 11 β -dichloro targets the cyst cells for apoptosis in vivo, we repeated the 11 β treatment of *Pkhd1-Cre*

mice in the presence of DL- α -tocopherol acetate (vitamin E) administered at 20 IU/ml in the drinking water of nursing mothers. Confirming our expectation, mice that received vitamin E concurrently with 11 β (10 mg/kg) showed no therapeutic benefit from 11 β (**Fig. 12a**). The therapeutic effect of 11 β alone, quantified as kidney/body weight ratio, cystic index and kidney function biomarker BUN disappeared in the mice also receiving vitamin E (**Fig. 12b**). The loss in efficacy was also reflected mechanistically. The increase in the fraction of apoptotic cells (TUNEL assay) induced by 11 β alone was abrogated in the presence of vitamin E (**Fig. 12c,d**), along with the characteristic oxidative stress biomarker 4-HNE (**Fig. 12e**).

Taken together, these data argue that the induction of oxidative stress in cystic cells is a key effector step in the mechanism of action of 11 β , a step that likely commits the cells to apoptosis. Our findings also suggest that the basis for the selectivity of the compound is the inherent sensitivity to oxidative stress of the *Pkd1*^{-/-} cells, which in turn may be due to their dysfunctional mitochondrial metabolism (10,11).



g. Examining the mechanism of toxicity of 11 β compounds in tissues from adult Pax8 mouse model of PKD.

g.1. Induction of ROS in cystic cells exposed to 11 β -dichloro. (Somlo, Yale)

The Pax8 adult model recapitulated the mechanistic insights gleaned from the studies in the neonate PKD model. After 12 weeks of treatment with 11 β , cystic cells displayed higher level of 4-HNE staining (**Fig. 13**, top panels). By contrast, wild-type cells treated with 11 β showed virtually no detectable response. Additionally, we also probed for the 8-oxoguanine oxidative stress biomarker, which was found to be increased, once again, only in the cystic epithelia treated with 11 β (**Fig. 13**, bottom panels).

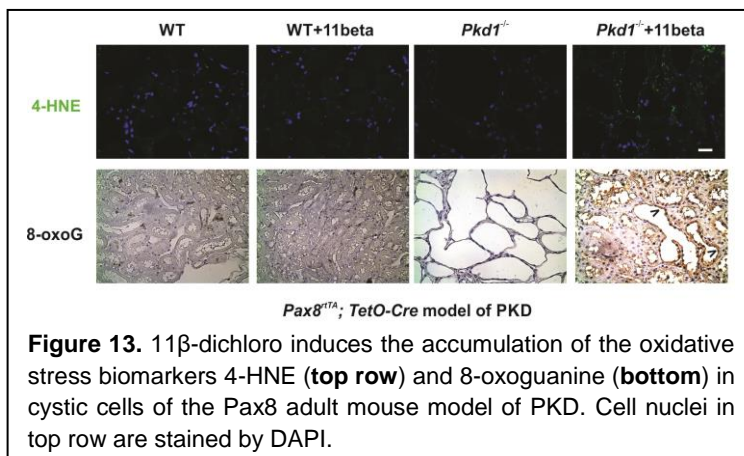


Figure 13. 11 β -dichloro induces the accumulation of the oxidative stress biomarkers 4-HNE (**top row**) and 8-oxoguanine (**bottom**) in cystic cells of the Pax8 adult mouse model of PKD. Cell nuclei in top row are stained by DAPI.

g.2. Transcriptional effects of 11 β -induced oxidative stress. (Essigmann, MIT and Somlo, Yale)

During the last funding period, we also analyzed in whole kidney extracts from the adult Pax8 model the transcriptional responses to 11 β of a panel of oxidative stress induced genes (**Table 3**). Consistent with the observations in the neonate model, only adult Pax8 cystic kidneys treated with 11 β showed statistically significant increases in the mRNA levels of oxidative stress inducible genes CAT, SOD1, GLRX, GPX, HMOX, Hif1a and PRDX; by contrast, 11 β -treated WT kidneys and vehicle-treated cystic kidneys showed no change in the expressions of these genes (**Fig. 14**).

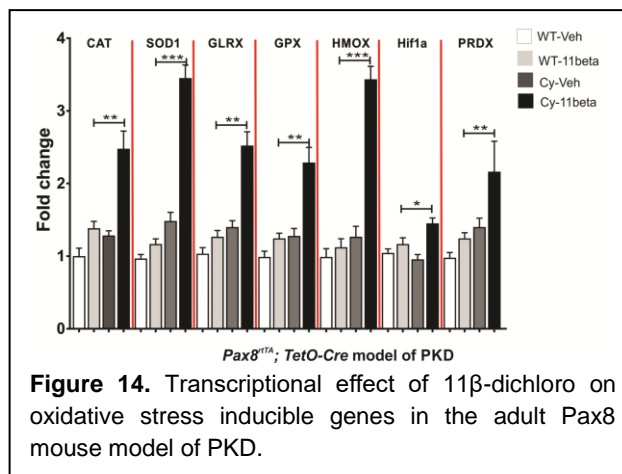


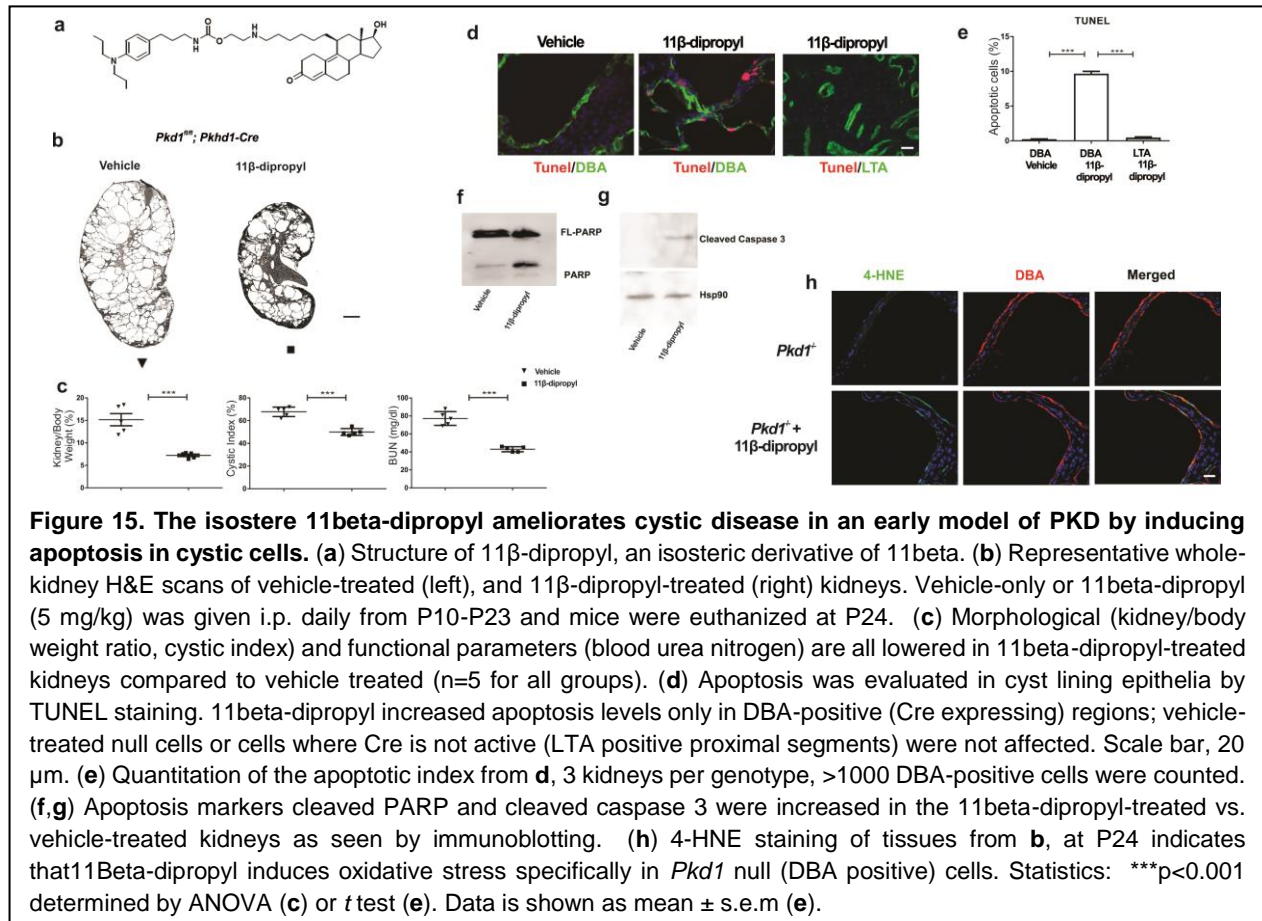
Figure 14. Transcriptional effect of 11 β -dichloro on oxidative stress inducible genes in the adult Pax8 mouse model of PKD.

h. Investigating the mechanism of action of 11 β -dipropyl in the neonate model of PKD (Somlo, Yale).

An isosteric derivative of 11 β -dichloro, 11 β -dipropyl (**Fig. 15a**) was initially synthesized to understand the extent to which the ability of the compound to alkylate DNA (and other macromolecules) is necessary for the therapeutic effects in ADPKD mouse models. The nitrogen mustard functionality of 11 β -dichloro, which confers ability to alkylate non-specifically biomolecules, was seen as a liability for the clinical development of the compound. The replacement of the two chlorine atoms of 11 β -dichloro with methyl groups inactivates the reactive aniline mustard. While we have previously shown preliminary evidence that 11 β -dipropyl is

effective at ameliorating PKD in the neonate model, the full mechanistic analysis was only completed during the last funding period.

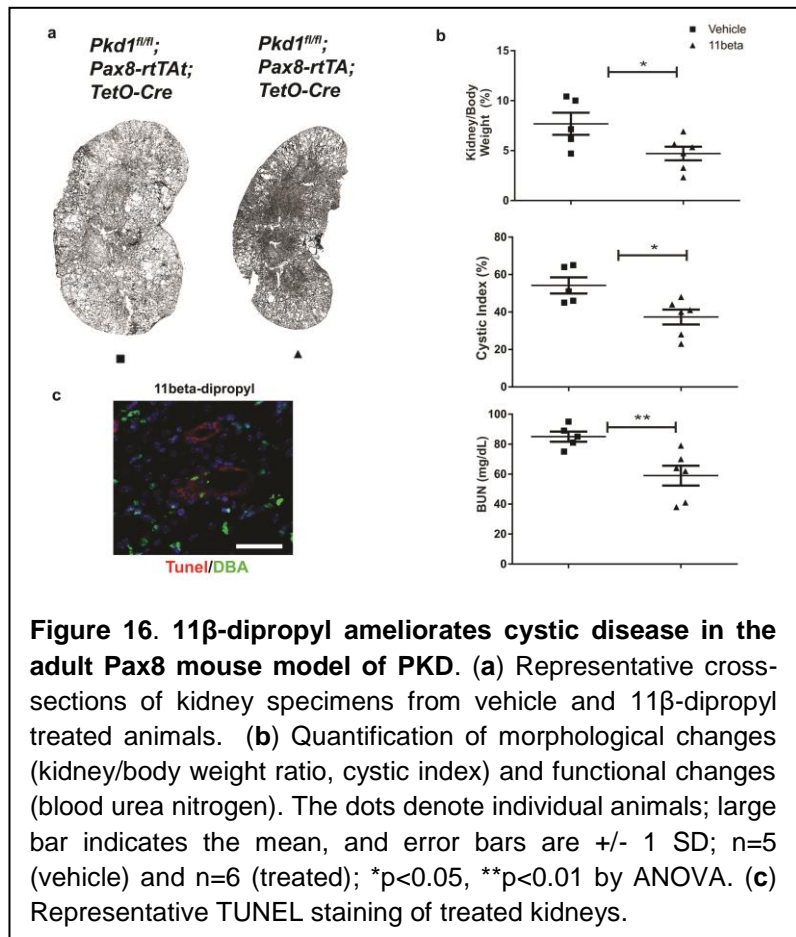
11 β -Dipropyl proved effective at slowing PKD development in the neonate model at 5mg/kg daily (half the dose used with 11 β -dichloro) (**Fig. 15b**). Efficacy end-points were comparable with those observed for the treatment with 11 β -dichloro at 10 mg/kg body weight (**Fig. 15c**). There was a significant decrease in the kidney/body weight ratio, cystic index and a substantial lowering of the BUN (**Fig. 15c**). Similarly to the 11 β -dichloro treatment, the mechanism of 11 β -dipropyl involved the specific induction of apoptosis in the cystic cells (**Fig. 15d**). The fraction of apoptotic cells (as detected by TUNEL assay) was markedly increased in the treated kidneys, with the apoptotic cells present only in DBA-positive epithelia, where the Cre expression renders them *Pkd1* null (**Fig. 15d,e**). Moreover, the apoptotic markers cleaved PARP and cleaved caspase 3 were also increased in the treated kidneys (**Fig. 15f,g**). Furthermore, the *Pkd1* null cells also experienced increased oxidative stress (reflected by the accumulation of 4-HNE) following treatment with 11 β -dipropyl (**Fig. 15h**). Taken together, these findings support the corollary hypothesis that DNA alkylating activity is not necessary for the efficacy and selectivity of 11 β compounds in ADPKD, reinforcing the overarching premise that the ability of 11 β compounds to target mitochondria and induce oxidative stress are the key mechanisms by which these compounds lead to selective apoptosis of cystic cells.



i. Investigating the efficacy of 11 β -dipropyl in the adult onset PKD mouse model (Somlo, Yale and Essigmann, MIT)

Testing 11 β -dipropyl activity in the adult onset PKD mouse model (*Pkd1^{f/f}; Pax8^{rtTA}; TetO-Cre*) has been underway since the previous funding period. Given the length of the assay and number of mice required by the power calculations to reach a statistically robust conclusion, our initial batch of 11 β -dipropyl was exhausted and we needed to synthesize and purify more compound. The additional synthesis took place during the last funding period.

We are excited to report that this experiment was completed early last fall. The data indicate that 11 β -dipropyl is effective in slowing down cystogenesis in the adult Pax8 model (Fig. 16a), as indicated by the decreased kidney/body weight ratio, decreased average cystic index and improved kidney function (i.e., lower levels of blood urea nitrogen) in the treated animals (Fig. 16b). From a mechanistic standpoint, 11 β -dipropyl induces apoptosis of *Pkd1^{-/-}* cells, as evidenced by TUNEL staining (Fig. 16c).



What opportunities for training and professional development has the project provided?

During 2016-2018 funding period, a postdoctoral research scientist, Sakunchai Khumsubdee was supported by the project; Northeastern Coop undergraduate student (Jake Campolo) and a master of science student from Université de Grenoble Alpes (Grenoble Alps University), France (Marie Gaillard) also contributed to the project. Additionally, two undergraduate students from MIT's Undergraduate Research Opportunities Program (UROP) (Michelle Huang and Leandra Zimmermann) contributed to the project during Spring of 2017.

During 2017-2019 funding period, two MIT undergraduate students (Elyse Plachinsky and Sally Liu) and two technical associates (Tania Gonzalez-Robles and Lina Kim) contributed to the project. A postdoctoral research scientist (Nina Gubina) started working on the project late in the fall of 2018 and was supported by this grant until it ended.

All these students and scientists were fully engaged in the scholarly enrichment activities of the MIT Departments of Chemistry and Biological Engineering and the Center for Environmental Health Sciences.

How were the results disseminated to communities of interest?

Results from this project were disseminated in the form of oral and poster presentations at the annual meetings (2016- 2019) of the American Society of Nephrology.

What do you plan to do during the next reporting period to accomplish the goals?

Since this is a final report, there is no next reporting period. However, some goals can be outlined here for a continuation of this project with a future grant.

Looking ahead, we hope to continue our work to investigate the mechanism of action of 11 β compounds against PKD cells in both cell culture and animal models, using a multipronged approach. First, we shall continue the development of a better cell culture model for PKD using the IMCD3 cell lines. As indicated above one major difference between cells in cell culture and the kidney tissues in a live animal is the local oxygen concentration. We have started studies comparing the therapeutic effects of 11- β compounds under normoxic and hypoxic conditions but the results are not in as yet. We are using other funds to complete this study.

Next, if funds become available, we shall investigate the transcriptional responses to 11 β -dipropyl, which is now our clinical lead compound, on kidney extracts collected by the Yale team and compare it with: a) the transcriptional responses induced by 11 β -dichloro (shown in this report); b) the transcriptional responses of cells grown in hypoxic conditions, when exposed to 11 β compounds.

The future directions highlighted above should lead to substantial findings that can enable the formulation of additional, more specific hypotheses regarding the mechanism of action of 11 β compounds in PKD mouse models, and even additional insight into the precise molecular target of these agents. These findings, once published, should also accelerate the preclinical and clinical development of the 11 β compounds.

4. IMPACT: *Describe distinctive contributions, major accomplishments, innovations, successes, or any change in practice or behavior that has come about as a result of the project relative to:*

What was the impact on the development of the principal discipline(s) of the project?

This project is likely to make an impact in the area of therapeutics targeted at polycystic kidney disease (PKD). Including experiments recently completed, we have now shown that two 11β compounds (11β -dichloro and 11β -dipropyl) show great efficacy in preventing cystic growth in mouse models, suggesting that they can be developed into clinical candidates. Furthermore, the 11β compounds work by inducing apoptosis in cystic cells, a mechanism of action relatively unique in the field of PKD. Induction of apoptosis is specific to the cystic cells. Because of their mitochondrial dysfunction and reprogrammed metabolism, cystic cells are very sensitive to oxidative stress, which is a major component of the mechanism of action of the 11β compounds. The concept of using drug-induced ROS generation to treat this disease is novel and, aside from our own laboratories, it could inspire others to develop ROS-generating drug candidates.

What was the impact on other disciplines?

The 11β compounds that will be developed in this project for treating PKD are likely to have an impact for the treatment of other diseases, including cystic diseases in other organs (e.g., liver) or proliferative diseases (e.g., cancer). It is noteworthy that 11β -dichloro has already shown efficacy against a number of tumor types in animal xenografts (16,17).

What was the impact on technology transfer?

The new 11β compounds and derivatives synthesized in this project have already been described in a patent application, and the patent was issued earlier this year. Currently, the patent only covers the methods of use of the compounds (primary species is 11β -dipropyl) for treating PKD and related cystic diseases. However, a continuation application is being submitted to secure composition of matter claims. Issuance of intellectual property will be a step toward licensing to a company that can efficiently bring a drug candidate to clinical trials.

What was the impact on society beyond science and technology?

Nothing to report.

5. CHANGES/PROBLEMS: *The Project Director/Principal Investigator (PD/PI) is reminded that the recipient organization is required to obtain prior written approval from the awarding agency Grants Officer whenever there are significant changes in the project or its direction. If not previously reported in writing, provide the following additional information or state, "Nothing to Report," if applicable:*

Changes in approach and reasons for change

As outlined in the future directions section, an appropriate next step would be to perform cell culture experiments on PKD cell lines under hypoxic conditions, which better mimic the physiological state of the kidneys in vivo. Although these experiments were not explicitly proposed in the original proposal, they are a natural extension of our findings regarding the mechanism of action of the 11 β compounds, which involves oxidative stress. Moreover, these experiments have the potential to provide a significant scientific advance in developing a robust cell culture model of PKD. The materials required for these experiments (glove box, gas chambers, gaseous mixtures, etc.) were within the original budget, and they are in hand. As resources become available, the experiments aimed at testing our hypothesis that cells in culture experience oxidative stress will be tested.

Actual or anticipated problems or delays and actions or plans to resolve them

Nothing to report.

Changes that had a significant impact on expenditures

Nothing to report.

Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents

Nothing to report.

Significant changes in use or care of human subjects

Nothing to report.

Significant changes in use or care of vertebrate animals.

Nothing to report.

Significant changes in use of biohazards and/or select agents

Nothing to report.

6. PRODUCTS: *List any products resulting from the project during the reporting period. If there is nothing to report under a particular item, state "Nothing to Report."*

Publications, conference papers, and presentations

Journal publications.

A manuscript that describes the efficacy of 11 β -dichloro and 11 β -dipropyl compounds against PKD, as seen in both neonate and adult mouse models, as well as the mechanistic insights underlying the specificity of the compounds is essentially complete and will be submitted within the next few weeks. The manuscript acknowledges the federal support received. The authors and title are as follows:

Fedeles BI, Fedeles SV, Ishikawa Y, Khumsubdee S, Krappitz M, Gubina N, Rodrigues D, Westerling P, Staudner T, Campolo J, Liu S, Dong K, Cai Y, Gallagher AR, Croy RG, Essigmann JM, Somlo S. *"A synthetic anti-tumor agent ameliorates polycystic kidney disease by promoting apoptosis of cystic cells through increased oxidative stress"* (in preparation).

Books or other non-periodical, one-time publications.

Nothing to report.

Other publications, conference papers, and presentations.

Nothing to report

Website(s) or other Internet site(s)

Nothing to report

Technologies or techniques

Nothing to report

Inventions, patent applications, and/or licenses

The non-provisional patent application 14/515,441, entitled "Methods for treating polycystic kidney disease and polycystic liver disease" was filed jointly by MIT and Yale on October 15, 2014. While this application was filed prior to the start of the funding for this project, it covers a broad range of compounds that could be used to treat polycystic kidney disease, including the lead compound 11 β -dipropyl. In May 2018, the patent US 9,982,009 was issued, based on this application. Recently, through the technology licensing offices at MIT and Yale, we have been discussing potential licensing agreements with several companies, in an effort to accelerate the pre-clinical development of the 11 β compounds.

Other Products

Nothing to report.

7. Participants and Other Collaborating Organizations

Name:	<i>John M. Essigmann</i>
Project Role:	<i>PI</i>
Researcher Identifier (e.g. ORCID ID):	<i>Ebrap ID 237355 ORCID: 0000-0002-2196-5691</i>
Nearest person month worked:	<i>1</i>
Contribution to Project:	<i>John Essigmann had the overall supervisory responsibility for the MIT site of this grant, including organizing deployment of personnel and the preparation of manuscripts and reports. Additionally, he helped interpret the data emerging from the project, especially data that involve the impact of the compounds made on oxidative stress and disruption of metabolic pathways.</i>
Funding Support:	<i>See Appendix 2.</i>

Name:	<i>Robert Croy</i>
Project Role:	<i>Research Scientist</i>
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	<i>6</i>
Contribution to Project:	<i>Dr. Croy provided supervision and guidance for the synthesis of 11β-dipropyl and analogs required for structure activity studies. He designed and performed quality control chromatographic analyses of the compounds. He also participated in conference calls to monitor experimental progress and coordinate with our partners at Yale.</i>
Funding Support:	<i>See Appendix 3.</i>

Name:	<i>Bogdan Fedeles</i>
Project Role:	<i>Research Scientist</i>
Researcher Identifier (e.g. ORCID ID):	ORCID: 0000-0001-5252-826X
Nearest person month worked:	4
Contribution to Project:	<i>Dr. Fedeles designed, performed and coordinated the cell biology studies aimed at characterizing the efficacy and mechanism of 11β compounds in cell culture models. These included toxicity assays, ROS assays, isolated mitochondria assays, and transcriptional profiling using qPCR. Additionally, he performed quality control analysis for all the materials shared with the Yale collaborators. He also assembled the majority of the project write-ups, including the progress reports and the upcoming manuscript reporting the latest findings.</i>
Funding Support:	

Name:	<i>Nina Gubina</i>
Project Role:	<i>Postdoctoral associate</i>
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	9
Contribution to Project:	<i>Ms. Gubina designed and performed the majority of the the cell culture experiments during the last year, including the experiments involving the hypoxia chamber. She also managed the installation and calibration of the chamber and developed protocols for using hypoxic conditions and maintenance of cell lines.</i>
Funding Support:	

Name:	<i>Lina Kim</i>
Project Role:	<i>Technical associate</i>
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	3
Contribution to Project:	<i>Ms. Kim has provided the technical and bioinformatics support for the project, including design of qPCR primers, analysis of sequencing data for genotyping, and power calculations and statistical analysis for the transcriptional data.</i>
Funding Support:	

Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?

Updated Other Support Pages are included in the Appendices.

What other organizations were involved as partners?

This is a COLLABORATIVE AWARD. Our collaboration partner is Stefan Somlo at Yale University. Details are below:

- **Organization Name:** Yale University.
- **Location of Organization:** New Haven, CT, USA
- **Partner's contribution to the project**
 - **Financial support:** none
 - **In-kind support:** development of cell lines for research

- **Facilities:** none
- **Collaboration:** Development of mouse models for PKD; designing and performing mouse model studies with the 11 β compounds; mechanistic studies on mouse tissues and cells.
- **Personnel exchanges:** none
- **Other:** none.

8. SPECIAL REPORTING REQUIREMENTS

This is a COLLABORATIVE AWARD. An independent report from BOTH the initiating PI and Collaborating PI will be provided. The current report is from the Collaborating PI (John Essigmann, MIT). Given the collaborative nature of the work, experiments that involve materials and expertise provided by both institutions are included in this report. The reports are therefore very similar. Throughout the report, the responsible PI and the site where the work was performed are included.

9. APPENDICES: *Attach all appendices that contain information that supplements, clarifies or supports the text. Examples include original copies of journal articles, reprints of manuscripts and abstracts, a curriculum vitae, patent applications, study questionnaires, and surveys, etc. Reminder: Pages shall be consecutively numbered throughout the report. **DO NOT RENUMBER PAGES IN THE APPENDICES.***

Appendix 1: References

Appendix 2: John Essigmann Updated Active Support Pages

Appendix 3: Robert Croy Updated Active Support Pages

APPENDIX 1

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APPENDIX 2

ESSIGMANN, John M.

ACTIVE SUPPORT

This project, previously reported as Pending, has been funded.

Title: **Science and Engineering for Sensors, Mechanisms, and Biomarkers of Exposures**

Effort: 2.30 calendar (Entire project)

Supporting Agency: NIH/NIEHS

Grants Officer: Lisa Edwards, archer@niehs.nih.gov

Performance Period: 8/1/2017 - 3/31/2022

Funding Amount: \$1,270,323 Project 3 Total costs

Project Goals: This is a sub-project within a larger context of a Superfund Research Project proposal. The major goal of this sub-project is to reveal the mutagenic biomarkers that reflect risk factors of susceptibility to N-nitrosodimethylamine (NDMA) and benzo(a)pyrene (BP), environmental contaminants found at Superfund sites.

Specific Aims: The Aims of this sub-project are to determine if a special mouse model of cancer originally developed to study the mutagenic effects of aflatoxin B₁ can distinguish between the mutational spectra of two different environmental toxicants (NDMA and BP), alone or in combination.

Overlap: No overlap.

Title: **MIT Center for Environmental Health Sciences**

Effort: 2.40 calendar

Supporting Agency: NIH/NIEHS

Grants Officer: James William, williamsjr@niehs.nih.gov

Performance Period: 4/1/2016 - 3/31/2021

Funding Amount: \$4,996,972 Total costs

Project Goals: Core Center focused on the impact of the environment on human health and the health of the human ecosystem.

Specific Aims: This core grant provided support for the administrative structure, community engagement activities and core facilities for the Center for Environmental Health Sciences at MIT. Professor Essigmann is Center Deputy Director on this center core grant but does not receive any direct support.

Overlap: No overlap.

Title: **Endogenous Nitrate Carcinogenesis in Man - Project 2**

Effort: 1.00 calendar

Supporting Agency: NIH

Grants Officer: Joy Kearse, kearsej@mail.nih.gov

Performance Period: 6/1/2014 - 5/31/2019

Funding Amount: \$761,374 Essigmannn Portion Total costs

Project Goals: Study of oxidative stress as it contributes to inflammation induced cancer.

Specific Alms: The aim of this project was to identify a population of oxidative stress mediators that in aggregate represent the drivers of genetic changes many researchers believe underpin the conversion of normal cells to cancer cells. Specific attention was given to chemicals that cause oxidative stress associated with inflammation induced by nitric oxide, HOCl acid and related oxidants.

Overlap: No overlap.

Title: **The Therapeutic Effect of the Antitumor Drug 11beta and Related Molecules on Polycystic Kidney Disease**

Effort: 1.00 calendar

Supporting Agency: U.S. Army Medical Research and Material Command

Grants Officer: Elena G. Howell, elena.g.howell.civ@mail.mil

Performance Period: 9/30/2015 - 9/29/2018

Funding Amount: \$1,158,503 Total costs

Project Goals: To develop effective therapeutics of polycystic kidney disease

Specific Alms: Study of the mechanistic basis of activity of drug candidate molecules that have selective activity against polycystic kidney disease in vitro and in vivo. Specific aims were to develop effective therapeutics of polycystic kidney disease.

Overlap: This grant.

Title: **Intra and Extra-Chromosomal Probes for Mutagenesis by Carcinogens**

Effort: 1.00 calendar

Supporting Agency: NIH

Grants Officer: Joy Kearse, kearsej@mail.nih.gov

Performance Period: 7/6/2016 - 6/30/2021

Funding Amount: \$1,802,252 Total costs

Project Goals: Study of mutagenic properties of DNA adducts produced by compounds that cause human cancer.

Specific Alms: The aim of this Project is to investigate the mechanisms by which simple environmental alkylating agents and the potent human liver carcinogen aflatoxin B1 induce mutations. This project involves synthesis of short oligonucleotides containing organic compound-DNA adducts. Typically the adducts are of environmental agents such as vinyl chloride and short-chain alkylating agents. The oligonucleotides are inserted into the genomes of viruses, which are replicated in cells. The type, amount and genetic requirements for mutagenesis of DNA damaging agent-derived adducts are characterized.

Overlap: No overlap.

APPENDIX 3

CROY, Robert C.
ACTIVE SUPPORT

This project, previously reported as pending, has been funded.

Title: **Science and Engineering for Sensors, Mechanisms, and Biomarkers of Exposures**

Effort: 1.10 calendar

Supporting Agency: NIH/NIEHS

Grants Officer: Lisa Edwards, archer@niehs.nih.gov

Performance Period: 8/1/2017 - 3/31/2022

Funding Amount: \$1,270,323 Project 3 Total costs

Project Goals: This is a sub-project within a larger context of a Superfund Research Project proposal. The major goal of this sub-project is to reveal the mutagenic biomarkers that reflect risk factors of susceptibility to N-nitrosodimethylamine (NDMA) and benzo(a)pyrene (BP), environmental contaminants found at Superfund sites.

Specific Aims: The Aims of this sub-project are to determine if a special mouse model of cancer originally developed to study the mutagenic effects of aflatoxin B₁ can distinguish between the mutational spectra of two different environmental toxicants (NDMA and BP), alone or in combination.

Overlap: No overlap.

Title: **MIT Center for Environmental Health Sciences**

Effort: 1.10 calendar

Supporting Agency: NIH/NIEHS

Grants Officer: James William, williamsjr@niehs.nih.gov

Performance Period: 4/1/2016 - 3/31/2021

Funding Amount: \$4,996,972 Total costs

Project Goals: Core Center focused on the impact of the environment on human health and the health of the human ecosystem.

Specific Aims: This core grant provides support for the administrative structure, community engagement activities and core facilities for the Center for Environmental Health Sciences at MIT. Dr. Croy is co-director of the Genomics and Imaging Facilities Core. but does not receive any direct support.

Overlap: No overlap.

Title: **The Therapeutic Effect of the Antitumor Drug 11beta and Related Molecules on Polycystic Kidney Disease**

Effort: 8.80 calendar
Supporting Agency: U.S. Army Medical Research and Materiel Command
Grants Officer: Elena G. Howell, elena.g.howell.civ@mail.mil
Performance Period: 9/30/2015 - 9/29/2018
Funding Amount: \$1,158,503 Total costs
Project Goals: To develop effective therapeutics of polycystic kidney disease
Specific Aims: Study of the mechanistic basis of activity of drug candidate molecules that have selective activity against polycystic kidney disease in vitro and in vivo. Specific aims are to develop effective therapeutics of polycystic kidney disease.
Overlap: This grant.