Why and How to Collaborate with Federal Laboratories to Advance Your Pursuits

SOFWERX TECHNOLOGY TRANSFER AWARENESS DAY

MARCH 2021

U.S. DEPARTMENT OF ENERGY

Office of
TECHNOLOGY TRANSITIONS

THE NATIONAL LABORATORIES

As a science agency, the Energy Department plays an important role in the innovation economy. The Department catalyzes the transformative growth of basic and applied scientific research, the discovery and development of new clean energy technologies and prioritizes scientific innovation as a cornerstone of US economic prosperity

- 17 world-class institutions that constitute the most comprehensive research and development network of its kind.
- An enduring science and technology powerhouse comprised of more than 20,000 scientists and engineers who deliver new discoveries and provide world-class technological capabilities.

COMMERCIALIZATION IMPACTS

Commercialization Results

Invention Disclosures | Patents Filed | Patents Issued | Copyright Assertions

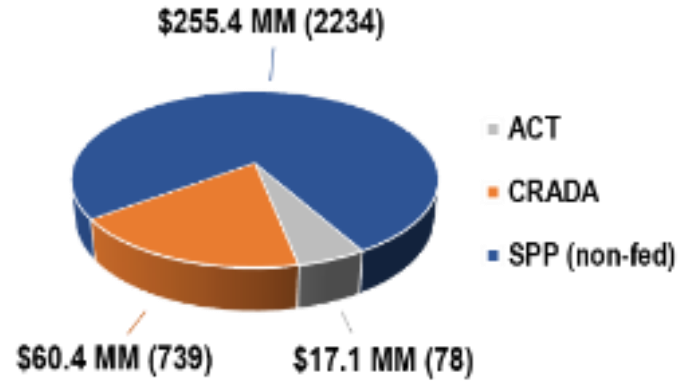
$31.1 MM
in licensing income

26
startup companies established

Collaboration Agreements

$333.0 MM
non-federal partner-funds-in

3051
SPP, CRADA, and ACT agreements



TECHNOLOGY TRANSFER GOALS

Goal 1: Increase the commercial impact of DOE investments through the transition of national laboratory-developed technologies into the private sector.

Goal 2: Increase the commercial impact of DOE investments through private sector utilization of national laboratory facilities and expertise.

Where is OTT in its Journey?

Last 6 years

- Little Engine that Could: big moves with a small team
- Established a robust network of trust throughout DOE and have provided meaningful support to 99% of DOE
- Created critical legislation to support the office
- Built some important tools
  - Metrics to measure technology transition activities across DOE
  - Codification of patents, assets & people
  - Burgeoning market analysis capability
- New & improved programs in white spaces for labs & offices
  - Energy I-corps
  - TCF
  - EPIC
  - PACT
  - Other

Areas of opportunity

- Scale what we have done across the DOE complex and align it to support DOE Priorities
- Raise departmental awareness of OTT mission space and how we can more systematically help labs & programs
- Understand the impact our programs are having on commercialization
- Make it a lot easier to work with DOE (e.g., contracting)
- Establish new programs in white spaces that align to the Administration's priorities
  - Diversity & Inclusion
  - Job creation
  - Bridging Valleys of Death (e.g., prototype funds)
  - Support of small business
  - Regional clusters
  - EJ40
- Support workforce capability building and bring promising cohorts (e.g., younger generation) into DOE orbit

What does OTT do?

Our Mission: Empower the commercialization of DOE-powered innovations in partnership with labs and programs across the entire portfolio:

Italics: New/augmented initiatives

1. Create, fund & deliver whitespace programs

- Tech Commercialization Fund (TCF) – ~$30MM
- Energy Program for Innovation Clusters (EPIC) – $5MM
- Energy I-Corps Program
- Technical Assistance Program (e.g., CTAP)
- ***New programs to support labs (e.g., SBV, mentorship)***

2. Develop crosscutting tools

- Streamlined processes for MOUs, CRADAs; COI;
- Creative mechanisms and models: (e.g., PACT, ***DOE Foundation***)
- ***Market/Supply Chain Analysis***
- ***New funding constructs (e.g., prizes)***

3. Facilitate access to DOE

- Lab Partnering Service™ (LPS)
- Solutions Exchange
- Innovation*X*Lab™
- Success Stories & Spotlights
- Ecosystem Partnerships Database
- "Quarterbacks" to support dialogue

4. Build Tech Transfer talent pipeline

- Development of TT capabilities (OTT rotation & Energy I-Corps)
- ***New programs to support workforce (e.g., mentorships for URMs, Leave of Absence)***
- ***New programs to attract talent (e.g., OTT summer internships, business competitions)***

5. Shape culture of commercialization

- TT metrics database (***incl. jobs? diversity?)***
- ***TT metrics in performance reviews***
- Cross-DOE leadership:
  - Technology Transfer Practice (TTWG)
  - Technology Transfer Policy (TTPB)
  - Lab2Market (L2M) w/NIST
- Congressional reports
  - TTEP: TT Execution Plan
  - Lab Utilization Report

These pillars catalyze public-private-partnerships & commercialization of DOE assets

TECHNOLOGY COMMERCIALIZATION FUND

Provides cost-shared funds with private partners to promote promising energy technologies for commercial purposes

OTT manages the execution of the TCF as authorized by Sec 1001 of EPAct 2005

The TCF is intended to facilitate the commercialization of energy technologies with promising potential that are developed at DOE Facilities. TCF Federal funds are matched with non-Federal contributions to:

1. Perform technology maturation with the intent of attracting a private partner that is willing to support the technology's commercialization.
2. Support cooperative development of technology with a private partner for a specific commercial application.

SBIR/STTR

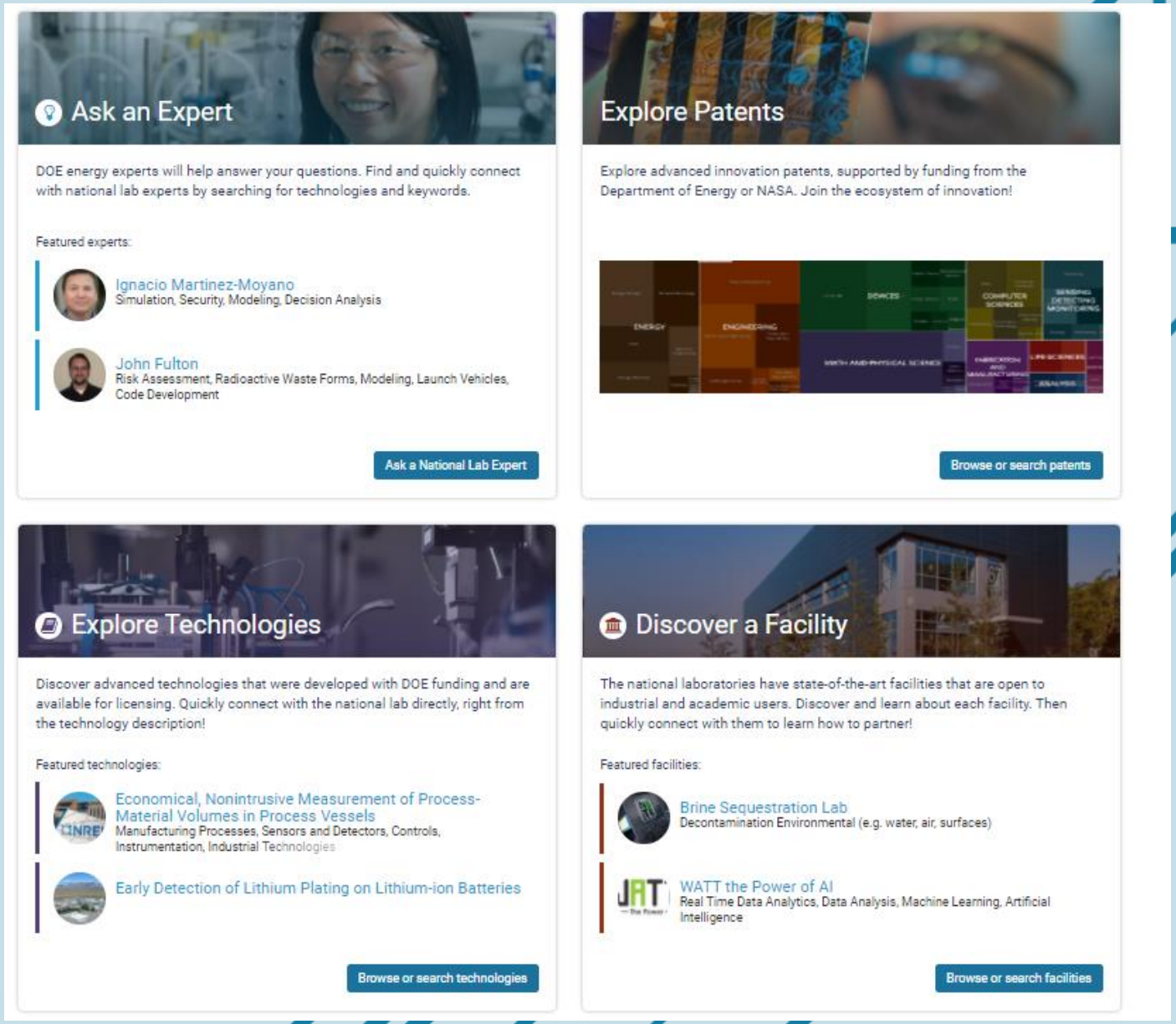
LABORATORY PARTNERING SERVICE (LPS)

LAB PARTNERING SERVICE

Connecting to DOE:

- Lab Subject Matter Experts
- IP
- Facilities

https://labpartnering.org/



LPS PARTNERING WITH EXPERTS

LAB PARTNERING SERVICE

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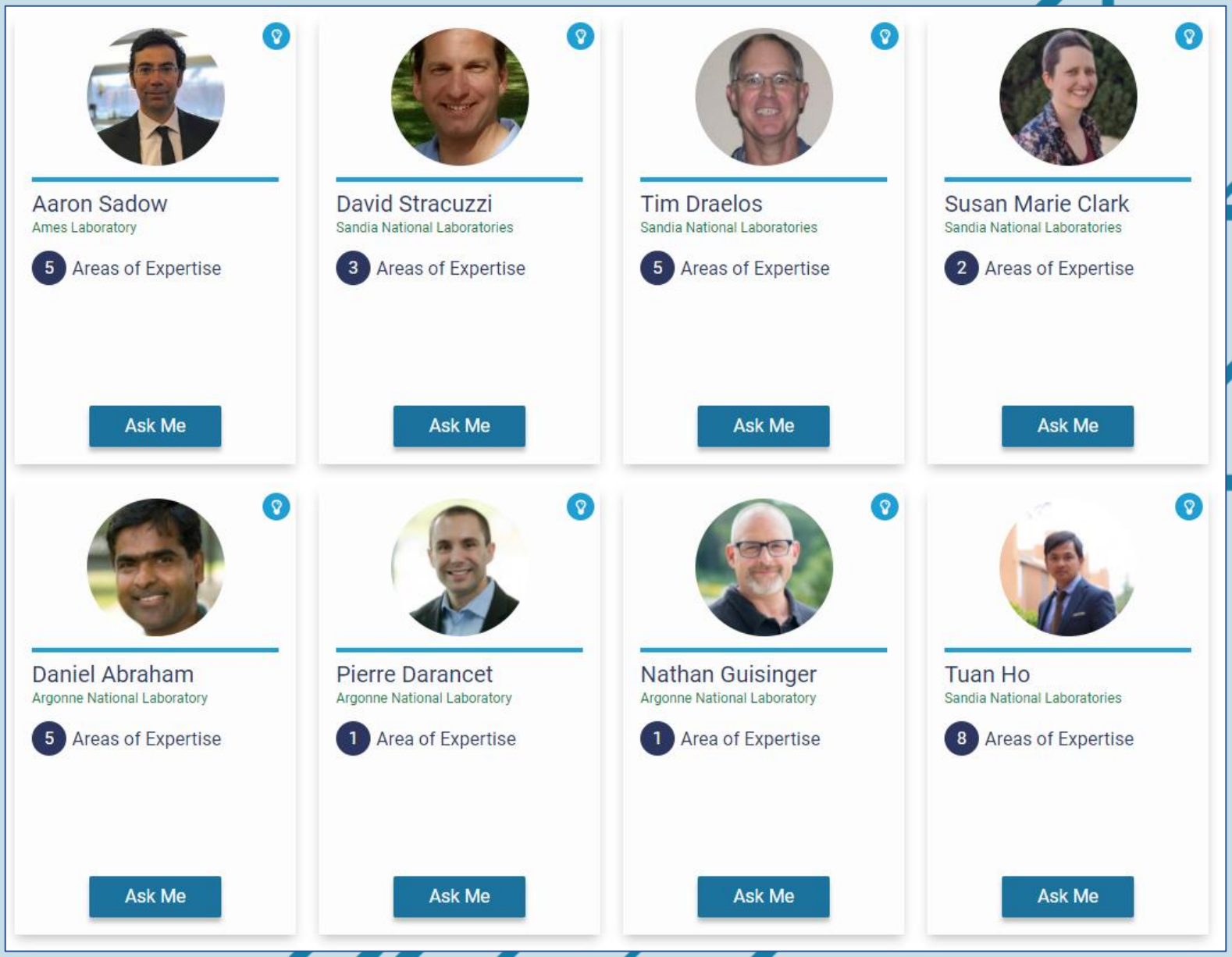

LPS TECHNOLOGY SUMMARIES

LAB PARTNERING SERVICE

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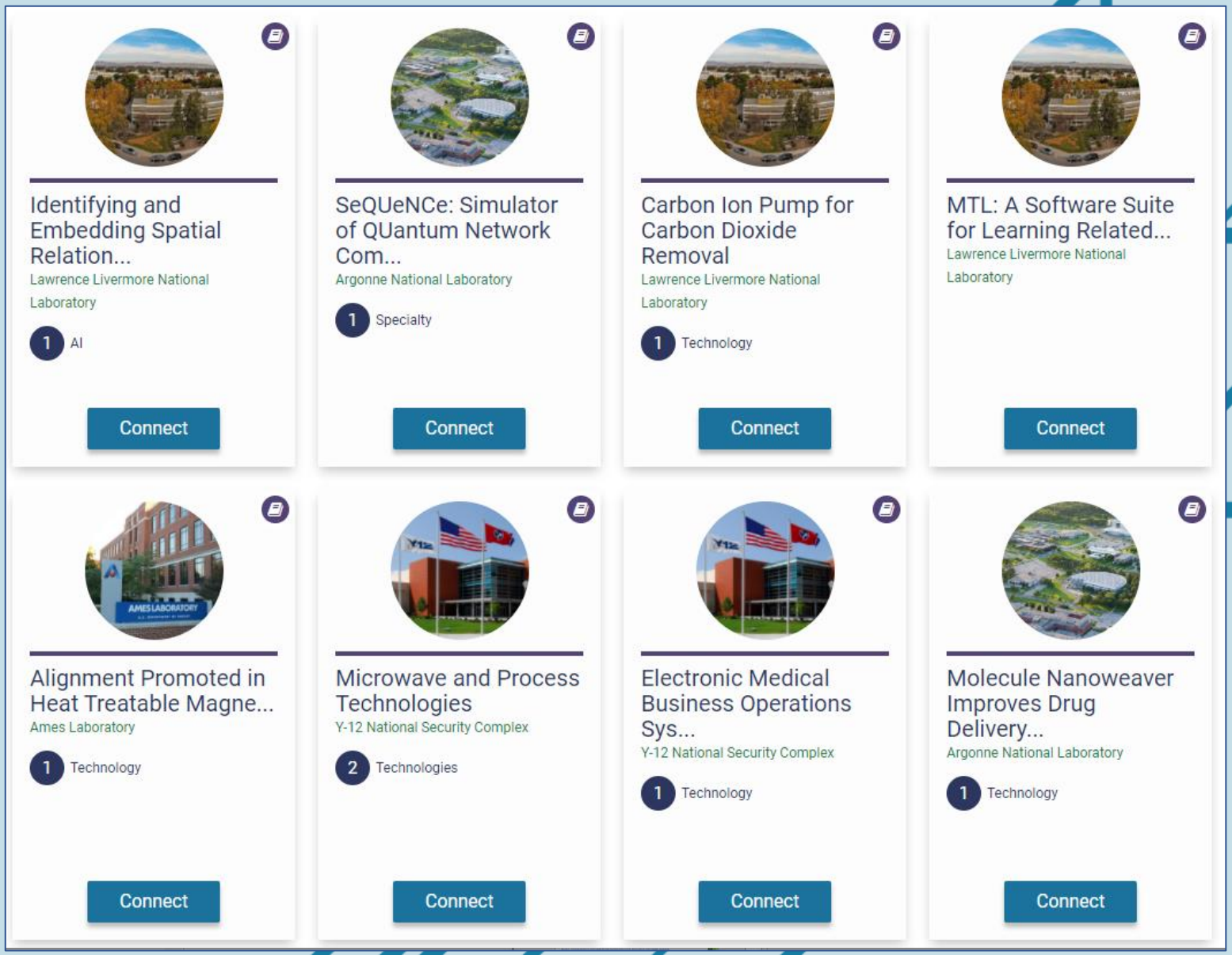
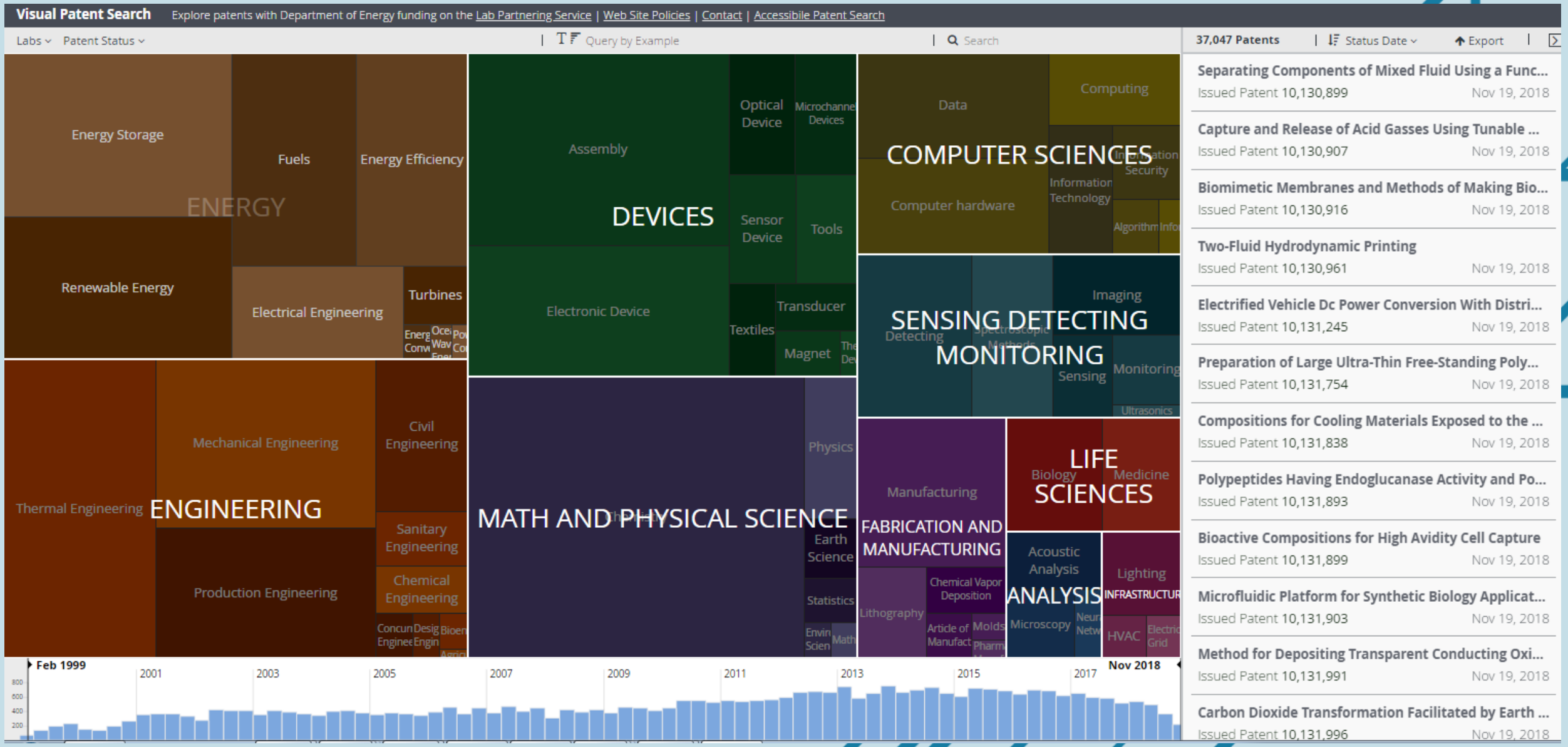


LPS VISUAL PATENT SEARCH



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