

AWARD NUMBER: W81XWH-19-1-0181
AL180126

TITLE: RNA-directed Therapy for C9ORF72-Linked ALS

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REPORT DATE: JULY 2020

TYPE OF REPORT: ANNUAL

PREPARED FOR: U.S. Army Medical Research and Development Command
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;
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REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

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1. REPORT DATE JULY 2020			2. REPORT TYPE ANNUAL			3. DATES COVERED 07/01/2019-06/30/2020			
4. TITLE AND SUBTITLE RNA-directed Therapy for C9ORF72-Linked ALS						5a. CONTRACT NUMBER W81XWH-19-1-0181			
						5b. GRANT NUMBER AL180126			
						5c. PROGRAM ELEMENT NUMBER N/A			
6. AUTHOR(S) Gene Yeo PhD MBA, Stefan Aigner PhD, Kathryn Morelli PhD E-Mail: geneyeo@ucsd.edu						5d. PROJECT NUMBER 0011329395			
						5e. TASK NUMBER N/A			
						5f. WORK UNIT NUMBER N/A			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California 9500 Gilman Dr. La Jolla CA 92093						8. PERFORMING ORGANIZATION REPORT NUMBER N/A			
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Development Command Fort Detrick, Maryland 21702-5012						10. SPONSOR/MONITOR'S ACRONYM(S) N/A			
						11. SPONSOR/MONITOR'S REPORT NUMBER(S) N/A			
12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited									
13. SUPPLEMENTARY NOTES None									
14. ABSTRACT In this reporting period, we have established and extensively validated a new mouse model of C9ALS that shows robust disease-relevant molecular and neuromuscular phenotypes. We have established a stem-cell based spinal and cortical differentiation paradigm that recapitulates development of repeat RNA foci and poly-dipeptide translation. We have also generated Cas13d-based adeno-associated viral vectors that robustly reduce these molecular phenotypes in cellular assays including stem-cell derived spinal and cortical organoids.									
15. SUBJECT TERMS NONE LISTED									
16. SECURITY CLASSIFICATION OF:						17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON	
a. REPORT	b. ABSTRACT	c. THIS PAGE			19b. TELEPHONE NUMBER (include area code)				
Unclassified	Unclassified	Unclassified	Unclassified			12	USAMRMC		

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1. INTRODUCTION

Hexanucleotide (G₄C₂) repeat expansions in the first intron of the C9ORF72 transcript constitute the most common known cause of ALS (C9-ALS), accounting for 40% of familial ALS cases and as many as 10% of sporadic cases. Numerous pathological mechanisms have been proposed, including RNA-mediated pathogenesis via formation of RNA foci, RNA binding protein sequestration, RNA splicing alterations, translation of repetitive poly-dipeptides (mainly poly-(glycine-alanine) and poly(glycine-proline)), and alteration of nuclear-cytoplasmic transport. It is widely accepted that irrespective of the molecular pathway(s) perturbed by the repeat-containing RNAs produced from this locus, elimination of these toxic RNA species will provide therapeutic benefit for patients suffering from C9-ALS. While therapeutic approaches involving antisense oligonucleotides (ASOs) have shown promise in preclinical studies, ASOs must be continuously re-administered for the life of the patient, posing safety issues in the affected CNS tissues. Similarly, newly developed genome engineering strategies aimed at removing the mutated locus from the genome are liable to cause permanent genetic mutations at off-target sites. Building upon recent work in the Yeo lab that established the ability of a modified CRISPR/Cas9 genome editing system to target and degrade RNA (RCas9), we had demonstrated that RCas9 directed to repeat-containing RNAs, including C9ORF72 repeat RNAs, enables efficient and specific degradation of targeted toxic RNA species in cellular models of repeat expansion disease.

In the **original** grant proposal, we had proposed to use our modified Cas9-based RNA targeting system to target C9ORF72 hexanucleotide repeat expansions for degradation, via delivery into the CNS by adeno-associated viral vectors (AAV) using the subpial injection technique pioneered by co-investigator Martin Marsala. As the combined size of the RCas9 expression construct and the repeat-targeting guide RNA construct greatly exceeds the cargo capacity of an AAV, our strategy was to engineer reduced size RCas9 constructs that retain the ability to efficiently and specifically target RNA. We had also proposed to use the C9ALS transgenic mouse model developed by co-investigator Don Cleveland. These mice express a bacterial artificial chromosome (BAC) with a human expanded C9ORF72 gene from a C9ALS patient with 450 G₄C₂ repeats. This mouse models recapitulates molecular hallmarks of the disease, including accumulation of repeat RNA foci and of cytoplasmic inclusions of poly-dipeptides, and also show age-dependent development of cognitive impairment. However, as was noted as a significant weakness by the grant reviewers, this model does not recapitulate motor dysfunction as a key clinical phenotype of ALS.

During the grant review and negotiations, we established a new mouse model, based on **AAV-mediated delivery of a transcript expressing 66 G₄C₂ repeats**, as initially reported by Petrucelli and colleagues, which we validated to recapitulate the molecular features of C9ALS and motor dysfunction. We also implemented a new Cas system, based on Cas13d, which targets RNA naturally and is sufficiently compact to be delivered in an AAV vector without size reduction. Therefore, in consultation with our DoD Grants Officer at the time, we developed a **new strategy**, using this more highly disease-relevant mouse model and the more readily implemented Cas13d technology (see revised SOW provided in the Attachment). Lastly, as an important addition to our originally proposed work (which was based upon monolayer cortical neurons), we have recently established a robust **spinal and cortical organoid** differentiation paradigms representing more highly physiological relevant systems that monolayer models.

2. KEYWORDS

AAV, C9ALS, C9ORF72, Cas13d, cerebral organoids, RNA-targeting Cas, spinal organoids.

3. ACCOMPLISHMENTS

What were the major goals of the project?

Specific Aim 1: Evaluation of the ability of RCas9 to eliminate repeat expansion RNA in patient cell lines

Major task 1: Generate “disease-driving” and “therapeutic” AAV constructs (**Timeline:** 3 months)

Major task 2: Development and validation of an RCas13 endonuclease for targeted cleavage of G₄C₂ repeat-containing RNAs (**Timeline:** 9 months)

Specific Aim 2: Optimization of in vivo delivery regimen

Major task 3: Subpial injection of AAV9-packaged Cas13d into mice (**Timeline:** 12 months)

What was accomplished under these goals?

Successful design and generation of a repeat RNA expression system. Our ‘disease-driving’ AAV construct expresses the guide RNA from a U6 promoter; Cas13d is driven by a modified EF1alpha promoter (**Fig. 1a**). Our

“CUG₃” construct efficiently (~50%) degrades G₄C₂ repeat RNA in a co-transfection assay in HEK293T cells (Fig. 1b,c). We have successfully generated high-quality, high-titer (10¹³ gc/ml) AAV9 viral vectors for delivery of Cas13d targeting G₄C₂ repeats and control non-targeting viral constructs (which are based on a sequence derived from the lambda bacteriophage that has no match in the human genome).

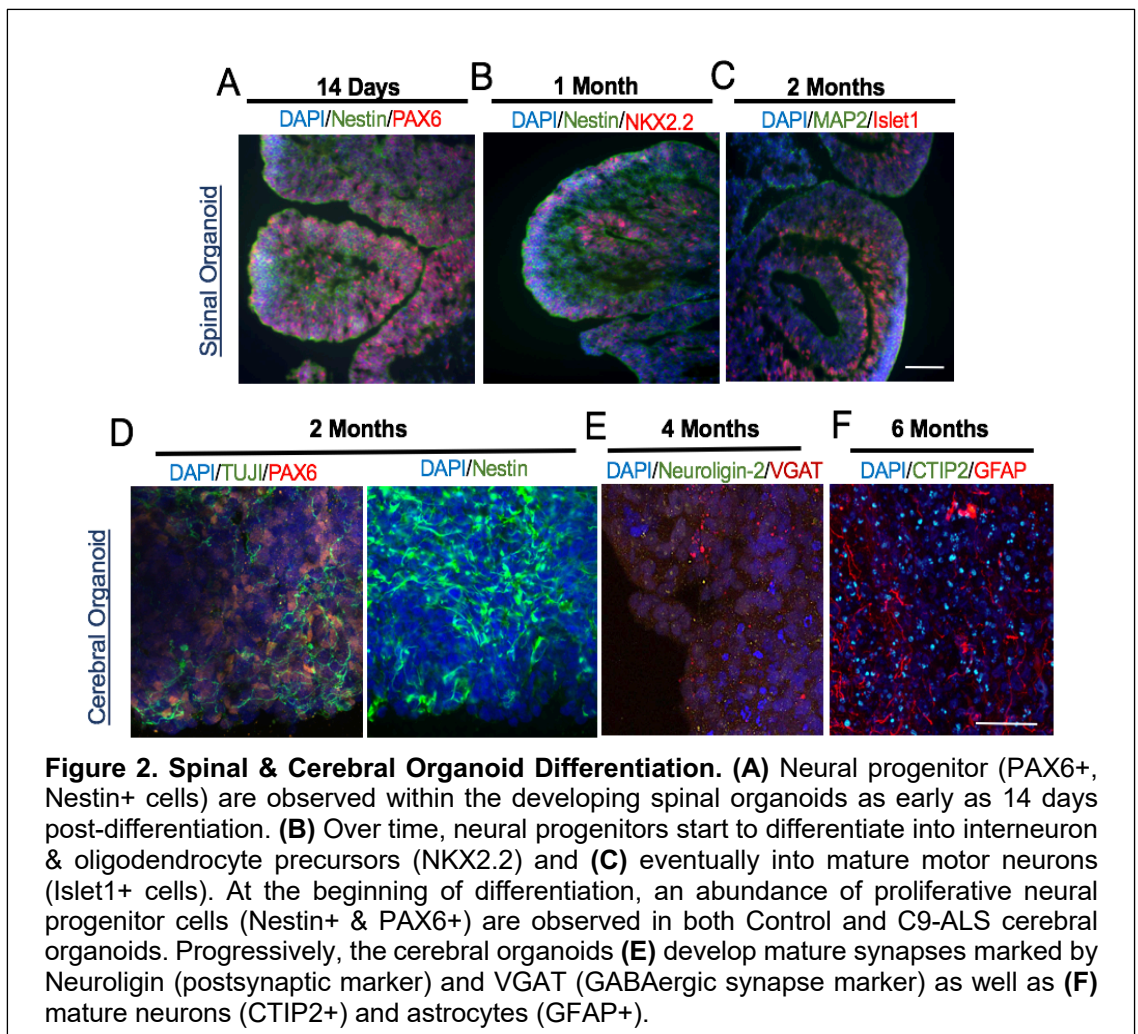
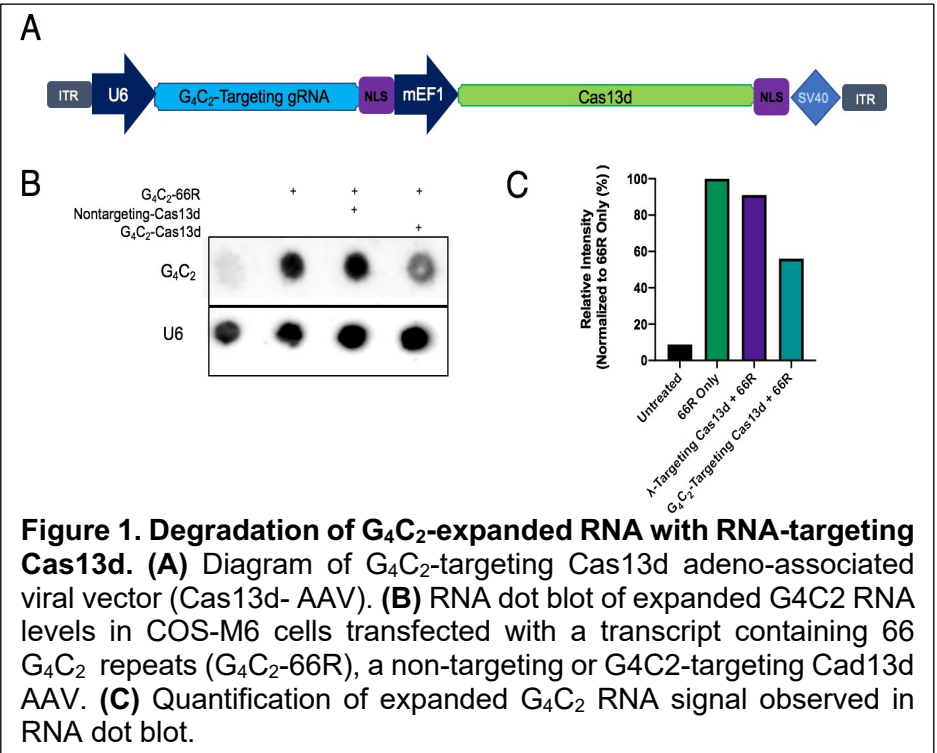
Successful generation of cerebral and spinal organoids. In recent We have generated several lines of cerebral and spinal organoids, differentiated from C9-ALS patient iPSCs and control lines (Fig. 2). Samples of each line are currently being collected at three developmental time points, corresponding to (1) the specification of neuronal progenitors, (2) the establishment of mature cortical or motor neurons and (3) the emergence of glia.

Successful demonstration of molecular hallmarks of C9ALS in iPSC-derived C9ALS cerebral organoids.

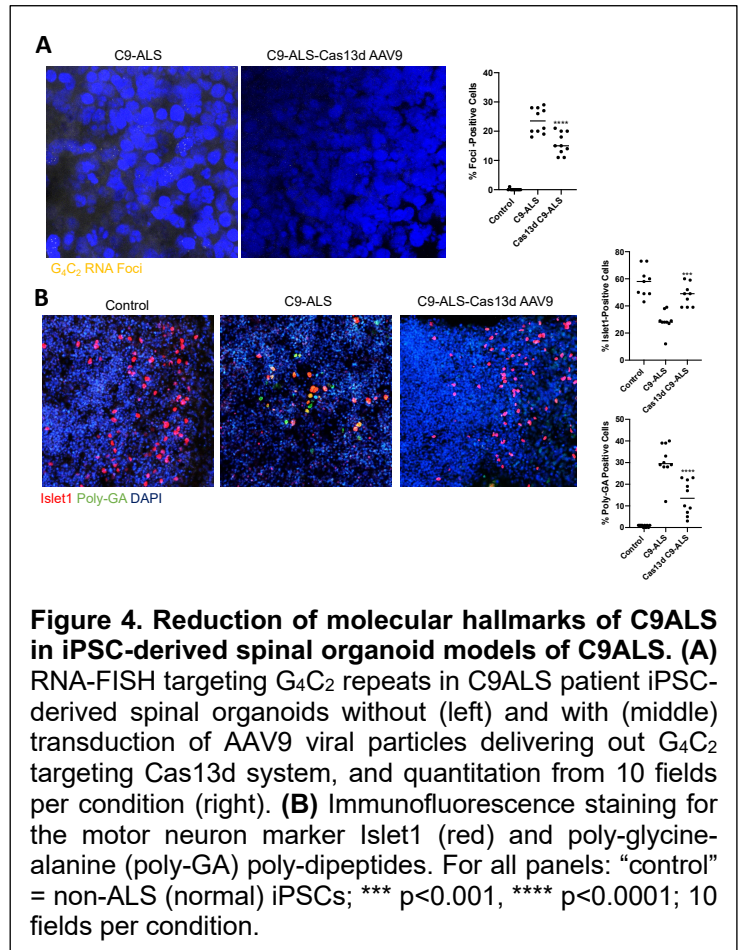
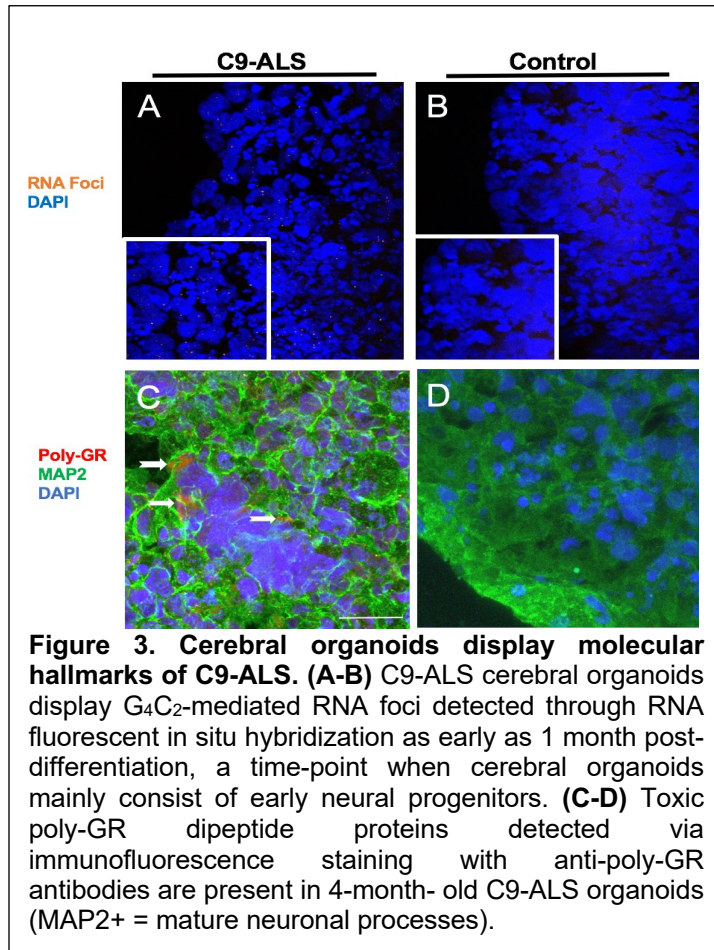
We have identified G₄C₂-expanded RNA foci in C9-ALS cerebral organoids at 1 month post-differentiation – an early developmental stage where neural progenitors are the dominant cell type in this model, as well as toxic sense G₄C₂-encoding poly-GR dipeptide aggregates at 120 days post-differentiation – a time point where mature synaptic structures begin to form (Fig. 3).

Successful demonstration of reduction of molecular hallmarks of C9ALS in iPSC-derived spinal organoid models of C9ALS.

Using our high-titer Cas13d/gRNA AAV preparations, we demonstrate reduction (by ~50%) of G₄C₂ repeat foci in patient iPSC-derived C9ALS cortical organoids (Fig. 4a). We have also



demonstrated reduction of poly-GA poly-dipeptides (by ~60%) in our spinal organoid models (**Fig. 4b**). Significantly, we observe an increase in the number of Islet1-positive cells (motor neurons) to near control levels, indicating that Cas13d/gRNA AAV-treatment reduces motor neuron death.



Successful demonstration of hallmark C9ALS molecular phenotypes and neuromuscular dysfunction induced by subpial injection of AAV vectors expressing 66 repeats of C₄G₂ RNA.

We have established a new C9ALS mouse model by AAV-mediated subpial delivery of C9ALS C₄G₂ RNA repeats. As shown in **Fig. 5**, C9ALS molecular phenotypes and neuromuscular dysfunction induced by lumbar subpial injection of AAV vectors expressing 66 repeats of C₄G₂ RNA. Our model recapitulates key molecular and functional C9ALS phenotypes: the emergence of dipeptide and repeat RNA foci (4 weeks post-injection) (**Fig. 5A,B,E**); loss of motor neurons, reduced axonal diameter and glial activation (**Fig. 5C,E**) at disease onset; dramatic reduction in motor neuron numbers and persistence of glial activation (**Fig. 5D, E**) and fibrillation at the paralysis stage (13 weeks post-injection) (**Fig. 5F**). Critically, we also observe weakening of hindlimb grip strength starting at disease onset (**Fig. 5G**). We also observe disruption of neuromuscular junctions at disease onset. In contrast, we do not observe loss of sensory neurons in the dorsal horn at either the disease onset or paralysis stages. These data demonstrate that our model recapitulates motor neuron vulnerability as a cardinal feature of ALS.

Successful delivery of AAV9-packaged Cas13d into the spinal cord.

We have also begun to use our AAV9-packaged Cas13d system for subpial delivery into naïve mice. As shown in **Fig. 6a**, at the highest AAV doses used, we observe expression of Cas13d in the ventral horn of the spinal cord 24 days post-injection, as visualized by immunofluorescence for the HA tagged Cas13d. As is evident from Fig. 5, the AAV dose used leads to significant cell death, and we have also observed a significant degree of immune response (glial activation). We are currently optimizing the AAV dose to achieve maximum transduction while minimizing cellular toxicity and immune response, and are also evaluating behavioral effects. These critical experiments are ongoing but have been delayed due to the COVID-19 pandemic, specifically the restrictions imposed by USCD with respect to research personnel presence in the lab (currently at 25% of normal). We anticipate to complete these studies within our originally proposed timeframe.

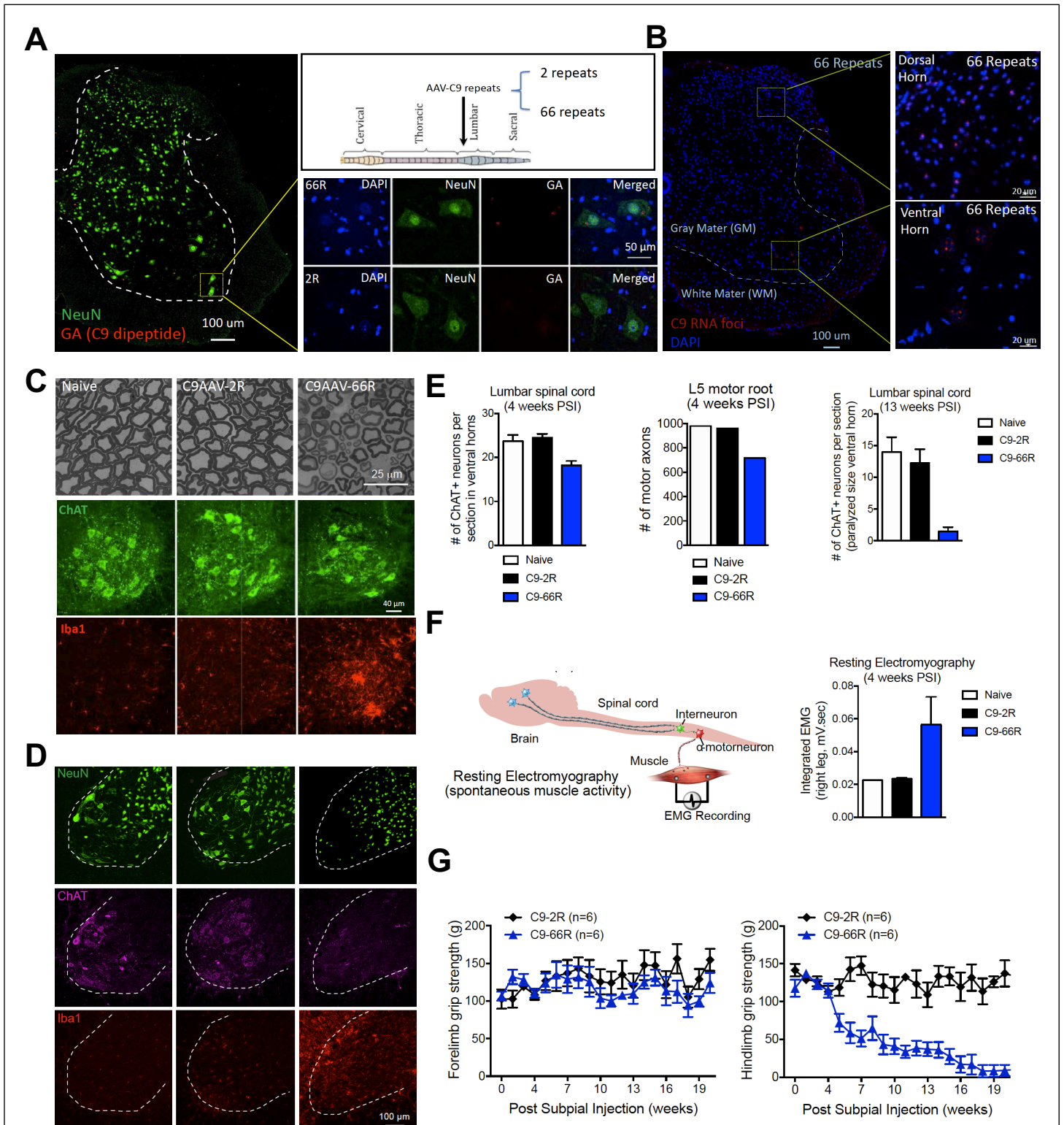
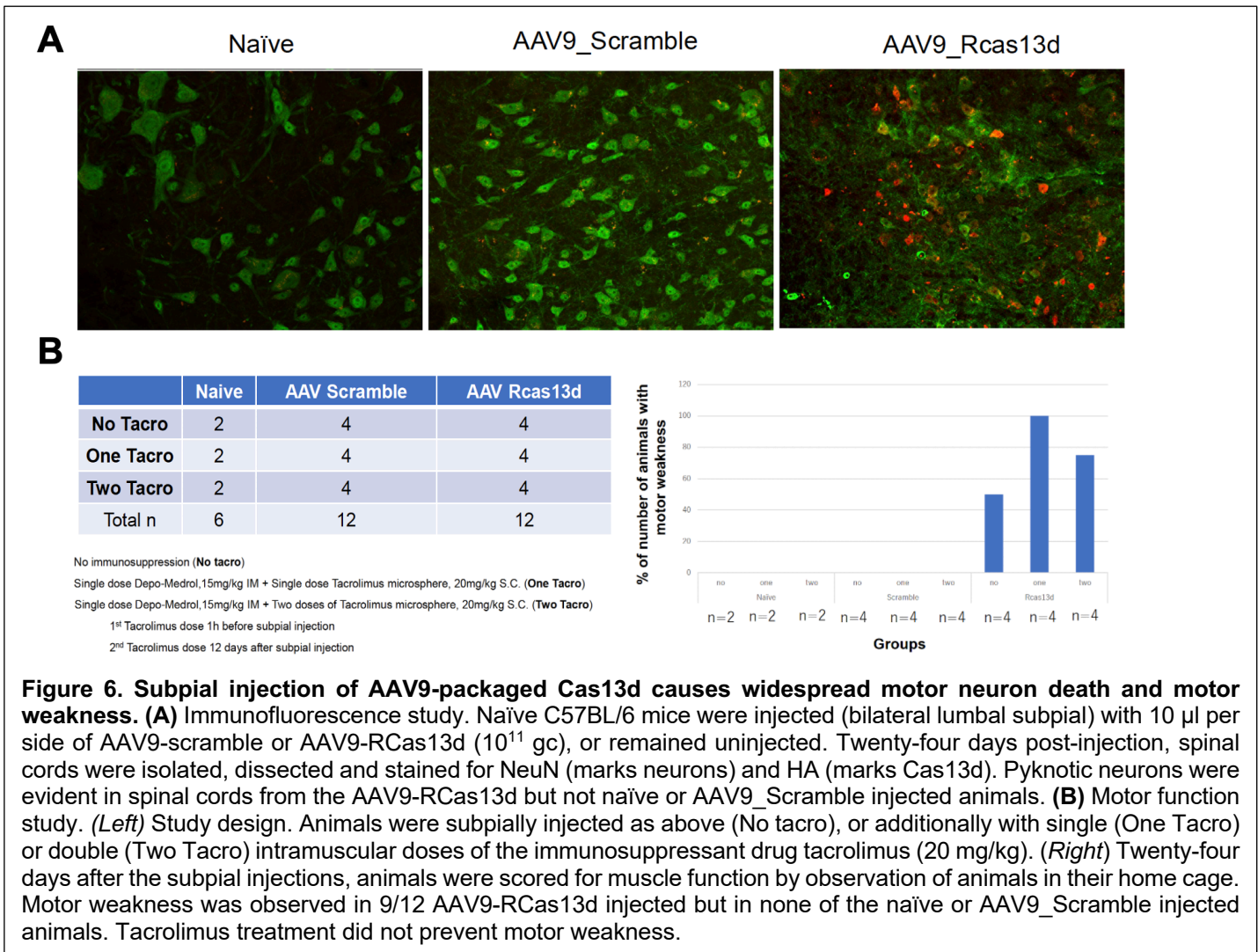


Figure 5. Hallmark C9ALS molecular phenotypes and neuromuscular dysfunction induced by lumbar subinjection of AAV vectors expressing 66 repeats of C₄G₂ RNA. Top inset shows experimental design. **(A)** Production of poly(GA) dipeptide repeats 4 weeks post-injection (disease onset). **(B)** RNA foci in the dorsal and ventral horns of the spinal cord (insets) detected by FISH with a G₄C₂ sense probe 4 weeks post-injection. **(C)** Reduction of numbers and diameter of motor neuron axons glial activation 4 weeks post-injection **(D)** Motor neuron loss and glial activation at the paralysis stage (13 weeks post-injection). **(E)** Quantitation of images in (C) and (D). ALS-like fibrillation and abnormal electrophysiological phenotypes at the paralysis stage. **(F)** Progressive weakening of hindlimb grip strength at disease onset.



What opportunities for training and professional development has the project provided?

This project was not intended to provide training and professional development opportunities. However, it has provided the postdocs working on this project (Kathryn Morelli, Yeo lab; Qiang Zhu, Cleveland lab; and Izumi Shunsuke, Marsala lab) with an opportunity to expand their skillsets, co-lead a multi-disciplinary project, and present work in departmental and institution-wide meetings.

How were the results disseminated to communities of interest?

All postdocs have presented this project at departmental and UCSD-wide meetings.

What do you plan to do during the next reporting period to accomplish the goals?

In the next year, we plan to continue optimizing the delivery regimen (timing and dose of “disease-driving” and “therapeutic” AAV constructs) to achieve relevant molecular and neuromuscular disease phenotypes and their reduction by repeat-targeting Cas13d AAV vectors. We will also perform a detailed analysis of in vivo safety, inflammatory and cytotoxic effects of the “therapeutic” AAV constructs, as described in Major Tasks 3 and 4.

4. IMPACT

What was the impact on the development of the principal discipline(s) of the project?

We have demonstrated, for the first time, that spinal and cerebral organoids can be used to model disease-relevant molecular phenotypes of C9ALS (presence of repeat RNA foci and poly-dipeptides). Given the rapid progress in using stem-cell derived organoids models to study mechanisms of disease and for screening therapeutic candidates, we anticipate that this demonstration will have a major impact on preclinical research in the field of ALS and other nervous system diseases. We have also established a robust and rapid mouse model

of C9ALS that recapitulates all key pathological features of the disease. We anticipate that similarly, this mouse model will be of significant value for preclinical research and the development of targeted therapies.

What was the impact on other disciplines?

Nothing to report.

What was the impact on technology transfer?

Nothing to report.

What was the impact on society beyond science and technology?

Nothing to report.

5. CHANGES/PROBLEMS

Changes in approach and reasons for change

As described above, upon consultation and with agreement of the DoD Grants Officer, we are (1) using a Cas13d-based strategy, which circumvents the need for engineering size-reduced Cas9 constructs, and (2) our new rapid and robust mouse model that is based on AAV-mediated delivery of C₄G₂ RNA. In addition, we have pioneered the use of stem-cell based cortical and spinal organoids as in vitro ALS disease models, with which we validated efficacy of our therapeutic AAV constructs. These changes are all within the scope of our goal of developing an RNA-directed therapy for C9ORF72-linked ALS.

Actual or anticipated problems or delays and actions or plans to resolve them

We have experienced a delay in our in vivo studies due to the COVID-19 pandemic, specifically the restrictions imposed by USCD with respect to research personnel presence in the lab (at 25% of normal for over a year). However, with new relaxed density restrictions in place since March/April, we have now completed these studies within our originally proposed timeframe.

Critically, we have observed significant motor neuron death (see Fig. 6a), accompanied by glial activation (inflammation), and motor weakness (see Fig. 6b), upon delivery of our therapeutic AAV construct at 10¹¹ gc per injection site, but not using AAV vectors not carrying the Cas13d cargo. We believe that this is due to the bacterial nature of the Cas protein, a problem that is not unexpected and common to all Cas-based (including Cas9) therapeutic approaches, as recent studies across several fields have now shown. We have now down-titrated the Cas13d dose 10-fold to 10¹⁰ gc per injection site to minimize immunogenicity; this dose is below the lower bound of efficacy based on our prior experience. However, at these lower doses, motor neuron death was still evident. We therefore believe that a Cas protein-based approach for treatment of C9ALS is not viable with native Cas sequences. A significant investment would be required to identify the immunogenic domains of the Cas protein, replace these with humanized sequences or sequences designed to have reduced immunogenicity, and re-testing efficacy and immunogenicity.

Changes that had a significant impact on expenditures

Nothing to report.

Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents

Nothing to report.

6. PRODUCTS

Publications, conference papers, and presentations

Nothing to report. We plan to submit our results for publication at the end of the project period.

Website(s) or other Internet site(s)

Nothing to report.

Technologies or techniques

We have established and fully characterized a new mouse model of C9ALS. We have also validated stem cell models of cortical and spinal development as new tools for preclinical research in ALS.

Inventions, patent applications, and/or licenses

Nothing to report. Our inventions have been disclosed to our Technology Transfer Office at UCSD but no patent applications have been filed yet.

Other Products

Nothing to report.

7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

What individuals have worked on the project?

Name	Gene Yeo
Project Role	PD/PI
Researcher identifier	ORCID 0000-0002-0799-6037
Nearest person month worked	1
Contribution to project	Project lead
Funding support	This grant

Name	Don Cleveland
Project Role	Co-Investigator
Researcher identifier	0000-0002-1934-3682
Nearest person month worked	1
Contribution to project	Lead of development and characterization of AAV-based mouse model
Funding support	This grant

Name	Martin Marsala
Project Role	Co-Investigator
Researcher identifier	0000-0001-5048-6422
Nearest person month worked	1
Contribution to project	Lead of subpial injections
Funding support	This grant

Name	Kathryn Morelli
Project Role	Postdoc
Researcher identifier	N/A
Nearest person month worked	9
Contribution to project	Design/generation of AAV constructs, in vitro stem-cell based experiments
Funding support	This grant

Name	Qian Zhu
Project Role	Postdoc
Researcher identifier	N/A
Nearest person month worked	6
Contribution to project	Development and characterization of AAV-based mouse model
Funding support	NIH grant (Cleveland)

Name	Takahiro Tadokoro
Project Role	Postdoc
Researcher identifier	0000-0003-4884-0247
Nearest person month worked	6
Contribution to project	Subpial injections
Funding support	NIH grant (Marsala)

Name	Izumi Shunsuke
Project Role	Postdoc
Researcher identifier	N/A
Nearest person month worked	6
Contribution to project	Subpial injections

Funding support NIH grant (Marsala)

Name Izumi Shunsuke

Project Role Postdoc

Researcher identifier N/A

Nearest person month worked 6

Contribution to project Subpial injections

Funding support NIH grant (Marsala)

Name Mariana Bravo-Hernandez

Project Role Postdoc

Researcher identifier 0000-0001-8762-0357

Nearest person month worked 3

Contribution to project Animal husbandry

Funding support NIH grant (Marsala)

Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?

Yes, see below:

YEO

Ended:

Larry L. Hillblom Foundation Grant 2018-A-003-NET (Yeo, PI) 01/01/2019-12/31/2019

Stress granule components as potential modulators of protein aggregation in ALS

Target ALS Foundation Industry-led consortium (Yeo, PI) 01/01/2017-12/31/2019

Targeting stress granule dynamics for familial and sporadic ALS

CIRM GC1R-06673-A: CRP 05/01/2016-12/31/2019

Large-scale assessment of RNA localization and single-cell alternative splicing in neuronal stem cell models of disease

NIH/NGHRI U54HG007005 (Gravelly, contact PI; Yeo, PI) 09/21/2012-03/31/2019

Comprehensive analysis of functional RNA elements encoded in the human genome

Awarded:

NIH/NICHD R01 HD101534-01A1 (Barrett, PI; Yeo Co-I) 09/17/2020-08/31/2024

Dissecting the role of FMRP in RNA processing using human stem cell models

NIH/NIEHS P42 ES010337-19S1 (Tukey, PI; Yeo Co-I) 09/01/2020-08/31/2022

Harnessing Technological Innovation and Community-Engaged Implementation Science to Optimize COVID-19 Testing for Women and Children in Underserved Communities

NIH/NIA R56 AG1069098 (Yeo, PI) 07/01/2020-06/30/2021

Evaluating and Targeting RNA granules in neurodegenerative diseases

Admin Supplement to U01 MH115747 (Krogan, PI; Yeo Co-I) 07/01/2020-06/30/2022

Proteomics Integration and Expansion of Downstream Analysis Capabilities into the CReD Portal

Simons Foundation Autism Research Initiative Grant # 668241 (Yeo PI) 02/01/2020-01/31/2022

Inhibition of UBAP2L as a treatment of fragile X syndrome

Grant # 2020-217276 (Coufal, PI; Yeo, Co-I) 03/01/2020-02/28/2022

Deciphering the Microglial Inflammatory Response in 3D

CDC Contract (Andersen, PI; Yeo, subcontractor) 08/01/2020-07/31/2022

Genomic sequencing of SARS-CoV-2 to investigate local and cross-border emergence and spread

IARPA Contract (Lo, PI; Yeo, subcontractor) 09/01/2020-08/31/2021

High throughput, sensitive, rapid detection of viral infection and spread with an innovative isothermal lateral flow assay

CLEVELAND

Ended:

NIH/NINDS R01 NS088578 (Ravits, PI; Cleveland Co-I) 07/01/2014 – 06/30/2019
Developing ASO Therapy for Repeat Expanded C9orf72 ALS-FTD

UCSD Alzheimer’s Disease Research Center (Brewer, PI, Cleveland co-I) 04/01/2014 – 03/31/2019
Disease Mechanisms in Frontotemporal Dementia Linked to C9orf72 expansions

ALSA 11001 GE230 (Cleveland, PI) 04/01/2017 – 06/30/2019
Oligonucleotide Therapy Development and Decoding Disease Mechanism

Awarded:

ALSA 1124 (Cleveland, PI) 05/01/2019 – 4/30/2021
Antisense oligonucleotide therapy in ALS by restoring expression of stathmin-2

NIH/NINDS R01 NS112503-01A1 (Cleveland, contact PI) 04/01/2020– 03/31/2025
Determining stathmin-2 function and potential as a therapeutic target in ALS/FTD

MARSALA

Ended:

SANPORC “Porcine Center” (Marsala, PI) 04/01/2014 - 03/31/2020

Sanford Stem Cell Clinical Center “Alpha Clinic” (Marsala, PI) 02/01/2015 - 01/31/2020

NIH/OD R01OD018272-01A1 (Marsala, PI) 07/01/2015 - 04/30/2019
Modulation of spinal neurodegenerative diseases in swine by stem cell grafting

ALS Foundation (Marsala, PI) 04/01/2017 - 06/30/2019
A combined Ludwig/UCSD/Children’s Hospital team will undertake development of two therapeutic approaches for treatment of some forms of inherited and sporadic ALS

Awarded:

NIH/NINDS R01 NS112503-01A1 (Cleveland, contact PI; Marsala PI) 04/01/2020– 03/31/2025
Determining stathmin-2 function and potential as a therapeutic target in ALS/FTD

What other organizations were involved as partners?

No change in partner organizations. Ludwig Institute for Cancer Research is the home institution of Dr. Cleveland.

8. SPECIAL REPORTING REQUIREMENTS

None

9. APPENDICES

None