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## **Osteophyte-sparing Treatment of Mucous Cysts: Case Analysis and Surgical Technique**

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### **Disclaimers**

The views expressed in this article are those of the authors and do not necessarily reflect the official policy or position of the Department of Defense or the US Government.

This study was performed in accordance with the ethical standards in the 1964 Declaration of Helsinki and was carried out in accordance with relevant regulations of the US Health Insurance Portability and Accountability Act (HIPAA).

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88

89 **Abstract**

90

91 **Objective**

92 The purpose of this study is to examine patient outcomes and the risk of recurrence of mucous  
93 cysts affecting the distal interphalangeal joint in the absence of osteophyctectomy using the  
94 described flap technique.

95

96 **Methods**

97 Retrospective review of 143 records of patients undergoing treatment for mucous cysts of the  
98 distal interphalangeal joint performed by a single surgeon. Inclusion criteria included the absence  
99 of an osteophyctectomy during treatment using the described dorsally-based flap technique and a  
100 minimum of 12 months of follow-up.

101

102 **Results**

103 One-hundred-forty-three mucous cysts affecting the distal interphalangeal joint of 131 patients  
104 with an average age of 65.3 years were included. The average follow-up was 21.9 months (12 to  
105 139). Postoperative distal interphalangeal joint extension was less in the operative digit  
106 compared to the contralateral comparison with a significant change from preoperative motion

107 (1.5° vs 0.3°; p = <0.05). There was not a significant change in postoperative flexion of the distal  
108 interphalangeal joint compared to the contralateral side (-1.4° vs -0.9°; p = 0.57). Recurrence  
109 occurred in 2 patients (1.4%). No infections or wound complications were identified.

110

### 111 **Conclusions**

112 Using the described technique without an osteophytectomy is an effective treatment for mucous  
113 cysts originating from the distal interphalangeal joint.

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### 115 **Level of Evidence**

116 Therapeutic Level IV

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### 129 **Introduction**

130 Cysts affecting the distal interphalangeal joint were first described by Hyde in 1883 as “synovial  
131 lesions of the skin” and are known today by a variety of names including myxomatous  
132 degeneration cysts, recurring myxomatous cutaneous cysts, and mucous cysts.<sup>1-3</sup> Mucous cysts of  
133 the distal interphalangeal (DIP) joint are similar to other ganglion cysts in that they have a  
134 connecting stalk that communicates with the nearby joint. These are common lesions associated  
135 with degenerative changes and typically occur in middle age and late adulthood. They commonly  
136 arise through the interval between the collateral ligament and extensor mechanism, but will  
137 occasionally arise simultaneously on both sides of the tendon (i.e., extensor mechanism) or rarely  
138 through the ligament or tendon (Figures 1 & 2).

139  
140 Despite the almost universal acceptance of the need for an osteophytectomy when treating these  
141 lesions, some authors have noted low recurrence rates when treating ganglion cysts with techniques  
142 that do not include boney debridement. The purpose of this article is to examine the patient  
143 outcomes and risk of recurrence using the described technique which excludes boney debridement  
144 and is based on the experience of the senior author (TRS).

145  
146 **Materials and Methods**

147 After Investigational Review Board (IRB) approval, 514 records of patients who had undergone  
148 mucous cystectomy by a single surgeon were examined. Cases were excluded if patients had  
149 undergone an osteophytectomy, if the record was incomplete, or if a minimum of 12 months of  
150 follow-up was not achieved. All surgical excisions and postoperative exams were performed by  
151 the original surgeon (TRS). Operative records were searched and noted for which patients had

152 undergone mucous cystectomies performed using the described technique while sparing the  
153 osteophyte. The medical records were used to collect the following data: age, sex, laterality, digit  
154 involved, presence of nail deformity, recurrence, complications, need for repeat procedures, and  
155 range of motion. Of note, if hyperextension of the joint was present, DIP joint extension was  
156 recorded as 0° in the original record.

157

158 Categorical data was summarized using percentages. Means and standard deviations were used  
159 for summary statistics of continuous variables. Changes in Preoperative and postoperative active  
160 ROM flexion and extension of the DIP were also analyzed using paired t-test. Significance for  
161 results was established when p-values were less than 0.05. All statistical analysis was performed  
162 using JMP v13.2 SAS Corp (Cary, NC).

163

#### 164 Surgical Technique

165 Anesthesia is achieved with a digital block and hemostasis is maintained with a tourniquet. A  
166 flap is planned with the lesion at the flap's base (Figures 3). Proximally, the incision is planned  
167 dorsal to the mid-axis line to preserve as much blood supply to the flap as possible and prevent  
168 tip-necrosis. The absolute extent of the flap is from mid-axis to mid-axis, although this is rarely  
169 needed. The flap is made long enough to extend to the opposite side of the extensor mechanism  
170 so that the interval between the extensor mechanism and the collateral ligament can be explored  
171 on each side. This allows access to the joint on both the radial and ulnar sides of the extensor  
172 tendon to reliably identify the location of cyst emanation. The same incision can also be used to  
173 treat a mucous cyst that recurs on the opposite side of the extensor tendon. The plane of the flap  
174 is found proximal to the distal extension crease by blunt dissection with scissors and remains

175 superficial to the lateral bands as dissection is carried distally. Care is taken not to dissect beyond  
176 the volar mid-axis as this is not necessary and can put the skin flap at risk for necrosis. Though it  
177 can be released without consequence, identification and preservation of Cleland's ligament at the  
178 base of the flap can help the surgeon avoid excessive volar dissection toward the neurovascular  
179 bundle. The distal portion of the flap lying between the distal extensor crease and the distal  
180 eponychial edge of the skin has no dissection plane and the surgical plane must be created with a  
181 scalpel taking care to not enter the germinal matrix of the nail plate.

182  
183 The capsulotomy is started by disconnecting the cyst from the stalk. A longitudinal incision is made  
184 along the edge of the extensor mechanism at the level of the DIP joint. This is performed by  
185 orienting the blade parallel and adjacent to the metaphysis of the middle phalanx, skiving the knife  
186 along the side of the distal condyle, thereby partially or completely detaching the proximal portion of  
187 the collateral ligament and leaving it in situ. The collateral ligament is then partially released distally  
188 from the base of the distal phalanx taking care not to enter the germinal matrix. The collateral  
189 ligament along with its adjacent joint capsule is kept in the base of the flap. No portion of the  
190 collateral ligament is excised but left in-situ in the base of the flap to allow for reattachment through  
191 scar formation. The released collateral ligament is retracted with a skin hook and a dental probe is  
192 passed around the condyle of the middle phalanx to ensure release of this proximal attachment and  
193 capsule (figures 4A, 4B & 5). Care must be taken to avoid complete release of the proximal portion  
194 of the collateral ligament when operating on the ulnar side of the thumb or the radial side of the  
195 index finger to ensure no instability during pinch is created. In these cases, the volar one-third of the  
196 collateral ligament is left intact. The cyst itself is not excised from the flap to avoid risking the flap's  
197 integrity. The wound is then closed in a standard fashion and a dressing is applied. Resumption of

198 motion is started one week postoperatively. Sutures are removed fourteen days postoperatively.

199

## 200 **Results**

201 One-hundred-forty-three mucous cysts affecting the distal interphalangeal joint of 131 patients

202 (82 female and 49 male) with an average age of 65.3 years (range 41-90) were included in the

203 analysis (Table 1). There was an average follow-up of 21.9 months (range 12.0-139.0). The left

204 hand was involved in 57 cases (39.9%) and the right hand was involved in 86 cases (60.1%).

205 Recurrence occurred in two patients (1.4%). Preoperative nail deformities such as grooving or

206 linear flattening were present in 58 of the 143 (40.6%) cases, which resolved in 55 (94.8%)

207 cases. No complications or complaints of instability were reported.

208

209 Preoperative and postoperative active ROM of the DIP was compared to the same digit of the

210 contralateral hand. In addition, the change in preoperative and postoperative motion were

211 compared (Table 2). The average preoperative ROM of the operative digit of the DIP joint was

212 from 1.3° (0° to 23°) to 67.6° (37° to 92°) of flexion. The average preoperative ROM of the

213 contralateral comparison digit for the DIP joint was from 1.2° (0° to 33°) to 69.9° (38° to 90°) of

214 flexion. There was not a statistically significant difference in preoperative extension of the

215 operative digit compared to the contralateral digit (1.3° vs 1.2°;  $p = 0.854$ ). Preoperative flexion

216 was significantly less in the operative digits compared to the contralateral digit (67.6° vs 69.9°;  $p$

217 =  $<0.050$ ).

218

219 The average postoperative ROM of the operative digit for the DIP joint was from 2.8° (0° to 27°)

220 to 66.3° (37° to 86°) of flexion. The average postoperative ROM of the contralateral comparison

221 digit was from 1.5° (0° to 20.0°) to 69.0° (38° to 86°) of flexion. Postoperative extension was  
222 significantly less in the operative digit (2.8° vs 1.5°;  $p = <0.050$ ). Postoperative flexion remained  
223 significantly less in the operative digits (66.3° vs 69.0°;  $p = <0.050$ ). The change in pre- and  
224 postoperative extension was significantly more in the operative digit (1.5° vs 0.3°;  $p = <0.050$ )  
225 On average both the operative and contralateral digit lost flexion. However, there was not a  
226 significant change in flexion of the operative side compared to the contralateral digit (-1.4° vs -  
227 0.9°;  $p = 0.572$ ).

228

## 229 **Discussion**

230 Mucous cysts of the distal interphalangeal joint are challenging to treat. Their high rate of  
231 recurrence has led to the development of various therapies and surgical techniques. Described  
232 treatments include repeated needle punctures, cauterization, steroid injection, proteolytic injection,  
233 radical amputation, irradiation, skin grafting, flap coverage, dissection with an osteophytectomy,  
234 and dorsal capsulectomy.<sup>4-8</sup> Needle aspiration with or without injection with local anesthetic and  
235 steroid have recurrence rates ranging from 40% to 100% while simple excision recurrence has  
236 been reported to range from 25% to 28%.<sup>5,9-12</sup> Clinical studies have shown much lower recurrence  
237 rates when osteophytes are removed. Eaton et al.<sup>4</sup> reported 1 recurrence in 50 cases (2%), Kleinert  
238 et al.<sup>6</sup> reported no recurrence in 36 cases, and Rizzo et al.<sup>10</sup> reported no recurrences in 54 patients  
239 with their respective techniques, all three emphasizing an osteophytectomy.

240

241 The importance of performing an osteophytectomy has been emphasized in techniques with high  
242 rates of success.<sup>4,6,10,13,14</sup> However, as Kanaya et al.<sup>7</sup> noted that excision of the osteophyte requires  
243 disruption of the dorsal joint capsule. Despite the similarity in pathogenesis with wrist ganglion

244 cysts, capsular debridement, not boney debridement, is an effective treatment for ganglion cysts  
245 of the wrist.<sup>15</sup> The results of this paper suggest this is also true for mucous cysts of the DIP joint  
246 and that debridement of the stalk's emanation by the wide opening of the joint capsule results in  
247 scar formation that seals the pathologic area of the capsule. This studies findings support the  
248 conclusion by Kanaya et al.<sup>7</sup>, that boney debridement is not a critical step to achieve a low rate of  
249 recurrence.

250  
251 The recurrence rate of 1.4% (2/143) is comparable to the 2% (1/50) rate reported by Eaton et al.<sup>4</sup> in  
252 their 1973 article that concluded osteophytectomy is required to prevent recurrence. Although the  
253 cause for recurrence in this study is difficult to elucidate, one of the two cases had documentation of  
254 the cyst arising simultaneously on both sides of the extensor mechanism. Therefore, this recurrence  
255 may be explained by inadequate capsulotomy. Despite these two recurrences, the final recurrence  
256 rate of less than 1.5% may be acceptable and comparable to other techniques.

257  
258 Although the described technique can include an osteophytectomy if the surgeon chooses, avoiding  
259 osteophyte excision can be advantageous. Performing an osteophytectomy risks inadvertent  
260 debridement of the germinal matrix, which can lead to a new or persistent nail deformity. In cases  
261 of infection, which occurred in 2.3% of cases in one study<sup>16</sup>, the same exposure can be utilized for  
262 joint debridement or to prepare the joint for arthrodesis and the flap can be left open to allow the  
263 joint to drain.

264

265 Joint stiffness and diminished DIP joint ROM have been reported following cyst excision and it  
266 has been suggested these changes are worsened after osteophyte debridement.<sup>7,14,16,17</sup> In this study,  
267 preoperative flexion was less in the operative digit compared to the contralateral side and remained  
268 significantly less at the last follow-up. There was also a significant loss of extension compared to  
269 the contralateral side. However, the differences in flexion and extension pre- and postoperatively  
270 are small (i.e., average  $\leq 1.5^\circ$ ). Ultimately, these findings are relevant as they suggest that the  
271 described technique is unlikely to lead to a clinically significant loss of motion.

272

273 Limitations to this study include the lack of randomization; no reported postoperative pain scores;  
274 and all procedures being performed by the same surgeon, which may limit external validity and  
275 create bias. The strengths of this study include a large number of cases; postoperative exams and  
276 ROM measurements performed by the original surgeon; and the long follow-up times with range  
277 of motion data (average 21.9 months).

278

279 This study supports the use of the described flap technique with osteophyte sparing for the  
280 definitive treatment of mucous cysts affecting the distal interphalangeal joint.

281

## 282 **Acknowledgements**

283 None

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## 321 **Figure Legends**

322 Figure 1: Illustration demonstrating a the cyst originating from the area between the extensor  
323 mechanism and collateral ligament.

324 Figure 2 Illustration demonstrating a "dumbbell" cyst arising from both sides of the extensor  
325 mechanism and between each collateral ligament.

326 Figure 3 Illustration demonstrating the planned surgical incision dorsal to the mid-axis with the  
327 cyst located at the base of the flap.

328 Figures 4A & 4B Illustration and intraoperative photograph demonstrating exposure obtained by  
329 exposing the interval between the extensor mechanism and the collateral ligament.

330 Figure 5 Illustration demonstrating a dental probe being used to ensure joint exposure with  
331 partial or complete takedown and reflection of the proximal portion of the collateral ligament and  
332 adjacent joint capsule.

333

### 334 **Table Legends**

335 Table 1: Patient demographics.

336 Table 2: Range of motion comparison between the operative digit and contralateral comparison.

337 DIP: distal interphalangeal joint.

338

### 339 **Tables**

340 *Table 1*

<b>Sex</b>	<b>Laterality</b>	<b>Digit</b>	<b>Recurrence</b>
Female = 90 (62.9%)	Left = 57 (39.9%)	1 <sup>st</sup> = 29 (20.3%) 2 <sup>nd</sup> = 38 (26.6%)	2 (1.4%)
Male = 53 (37.1%)	Right = 86 (60.1%)	3 <sup>rd</sup> = 60 (42.0%) 4 <sup>th</sup> = 7 (4.9%) 5 <sup>th</sup> = 9 (6.3%)	

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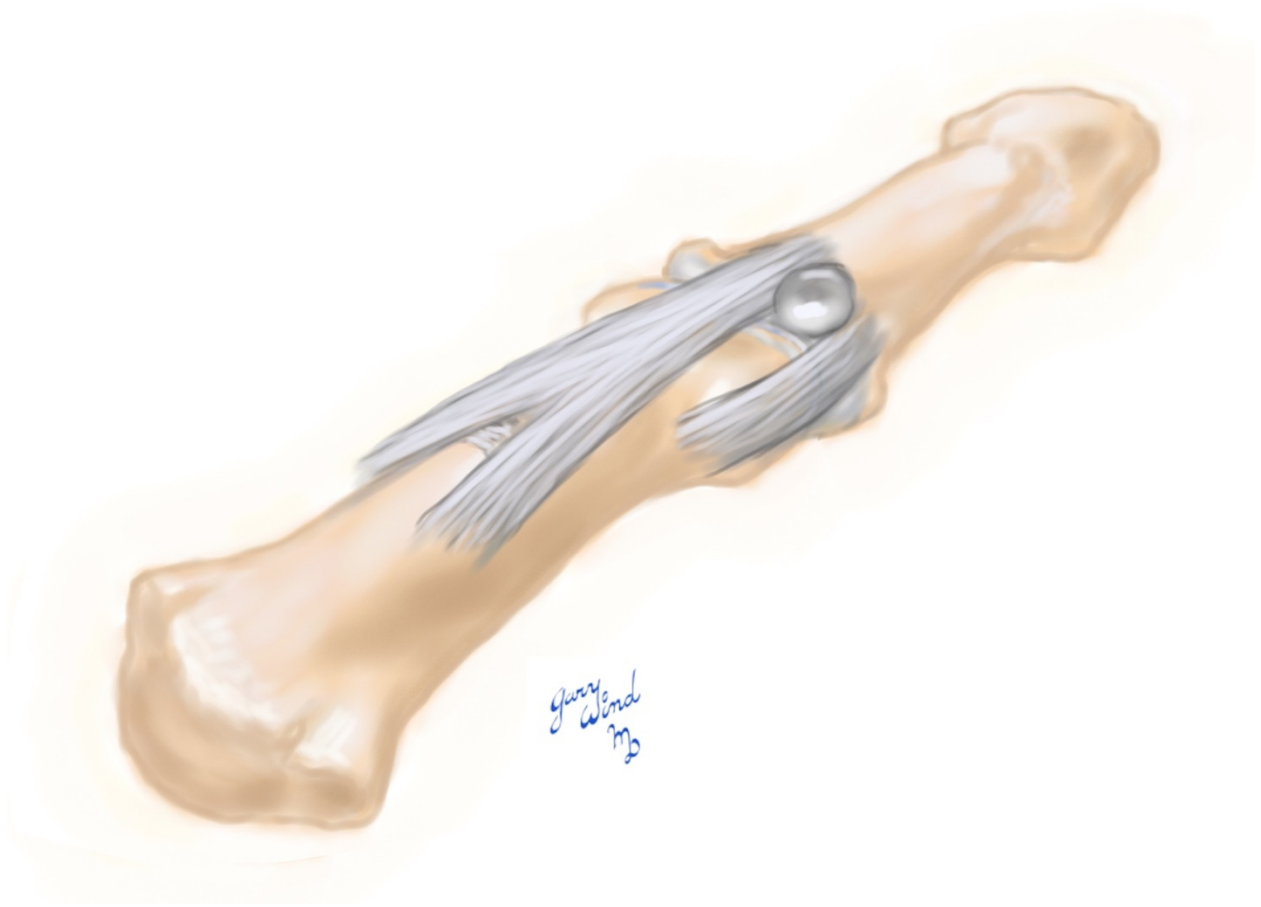
	<b>Operative Digit</b>	<b>Contralateral Comparison</b>	<b>p-value</b>
Preoperative DIP Extension	1.3° ± 4.2°	1.2 ± 4.5°	0.854
Preoperative DIP Flexion	67.6° ± 9.2°	69.9° ± 9.3°	<0.050
Postoperative DIP Extension	2.8° ± 5.9°	1.5° ± 4.3°	<0.050
Postoperative DIP Flexion	66.3° ± 9.8°	69.0° ± 9.2°	<0.050
Change in DIP Extension	1.5° ± 4.9°	0.3° ± 3.1°	<0.050
Change in DIP Flexion	-1.4° ± 7.4°	-0.9° ± 7.3°	0.572

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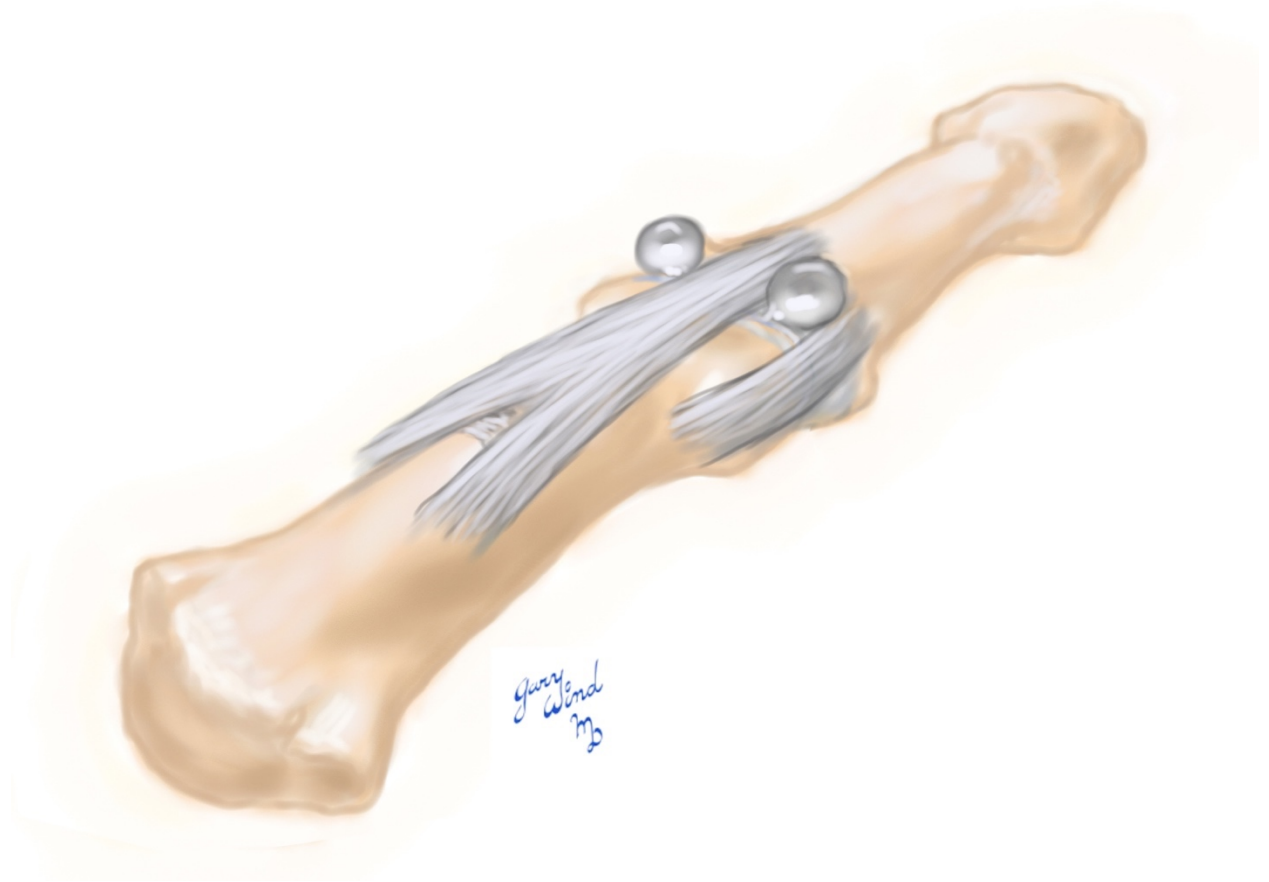
346 **Figures**

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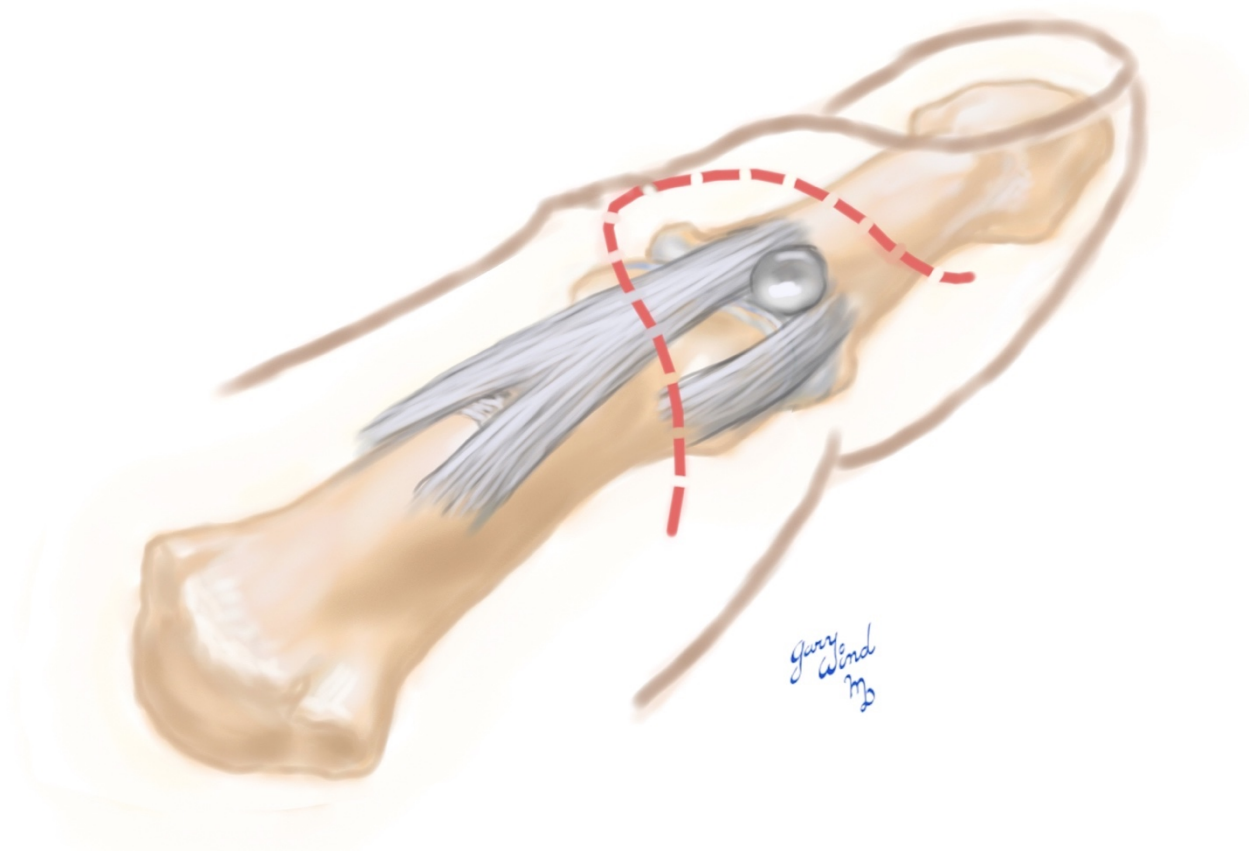
349 *Figure 1*



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351 *Figure 2*

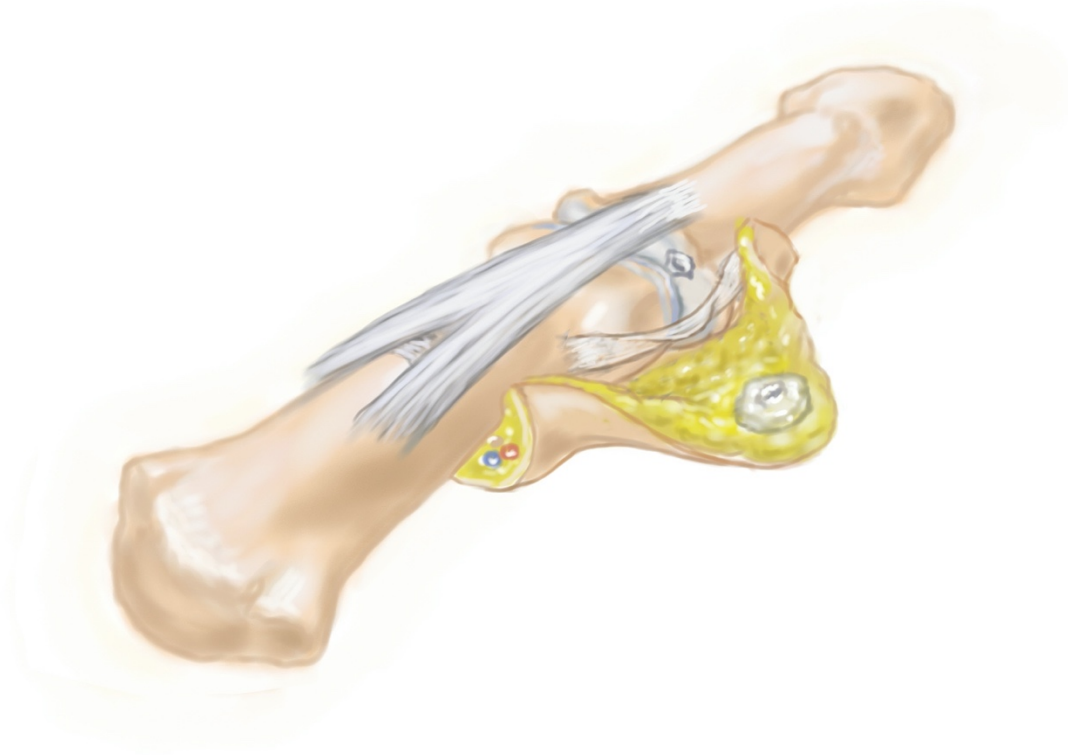
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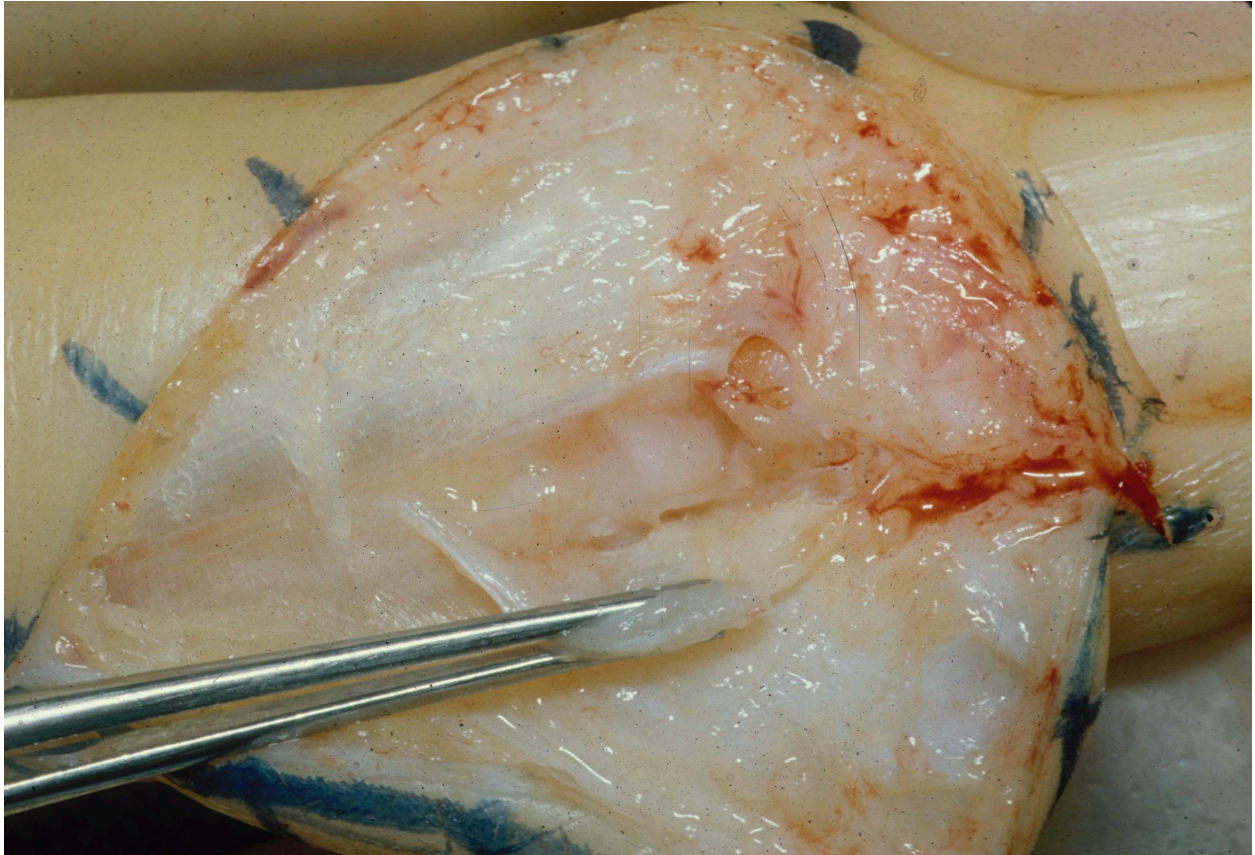
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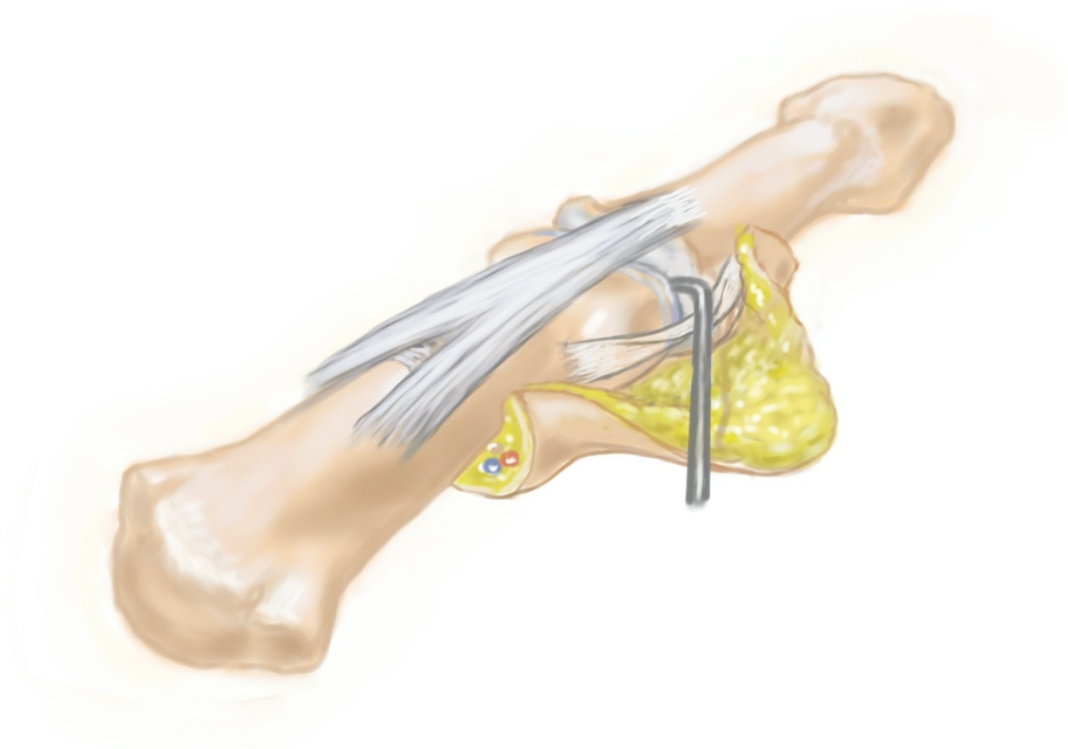
357 *Figure 4A*



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359 *Figure 4B*

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362 *Figure 5*

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