

**AWARD NUMBER:** W81XWH-18-1-0601

**TITLE:** Safety and Feasibility of Emergency Preservation and Resuscitation for Cardiac Arrest from Trauma (EPR-CAT)

**PRINCIPAL INVESTIGATOR:** Samuel A. Tisherman, MD

**CONTRACTING ORGANIZATION:** University of Maryland, Baltimore, MD

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# REPORT DOCUMENTATION PAGE

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<b>13. SUPPLEMENTARY NOTES</b>					
<b>14. ABSTRACT</b> During this third year of the project, we have been on clinical hold because of concerns about the local blood supply due to the COVID-19 pandemic since March, 2020. The University of Maryland, Baltimore, global restrictions on clinical research have otherwise been discontinued. In preparation for restarting when the blood supply issue is resolved, we have conducted 2 animal studies to train 3 new surgeons in the EPR technique. We have continued the process of bringing Stroger Hospital of Cook County on as a second EPR site. The subcontract with them has been completed. They have completed the community consultation/public disclosure process in concert with their Institutional Review Board. We are prepared to conduct training exercises for them once they receive regulatory approvals and our clinical hold is lifted.					
<b>15. SUBJECT TERMS</b> Trauma, hemorrhagic shock, cardiac arrest, cardiopulmonary resuscitation, hypothermia					
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## 1. INTRODUCTION:

Cardiopulmonary resuscitation (CPR) can save victims of non-traumatic cardiac arrest (CA), e.g., ventricular fibrillation. During exsanguination CA from trauma, however, CPR, even with an emergency department (ED) thoracotomy and open chest CPR, results in unacceptably low survival rates (<5%). *Emergency Preservation and Resuscitation (EPR)* was developed to rapidly preserve the whole body during ischemia, using hypothermia, drugs, and fluids, to “buy time” for transport and resuscitative surgery. Laboratory research has only demonstrated efficacy with hypothermia. The purpose of this study is to test the feasibility of rapidly inducing profound hypothermia (10°C) with an aortic flush in trauma victims that have suffered CA and failed standard resuscitative efforts to enable resuscitative surgery and delayed resuscitation with cardiopulmonary bypass. The primary outcome variable will be survival to hospital discharge with minimal neurologic dysfunction.

## 2. KEYWORDS:

Trauma, hemorrhagic shock, cardiac arrest, cardiopulmonary resuscitation, hypothermia

## 3. ACCOMPLISHMENTS:

### What were the major goals of the project?

#### Specific Aims

1. Rapidly identify appropriate candidates for EPR
2. Initiate EPR and achieve goal temperature within 25 minutes of subject identification
3. Compare the rate of hospital discharge without major neurologic disability in the EPR group with the concurrent control group
4. Compare the rates of 28-day survival, 1-year neurologic functional outcome, and the development of multiple organ dysfunction in the EPR group with the concurrent control group
5. Document direct complications of the EPR technique

#### Major Task 1: Regulatory approvals

1. Local IRB approval of community consultation and public disclosure plan
2. Conduct community consultation/public disclosure
3. Local IRB Approval
4. US Army HRPO Approval

#### Major Task 2: Training

1. IACUC Approval
2. ACURO Approval
3. Complete animal training
4. Complete cadaver training

Major Task 3: Retrospective review of trauma registries

1. Review of patients who have undergone a resuscitative thoracotomy

Major Task 4: Enroll patients in first 2 cohorts

1. Develop specific logistics with involved disciplines
2. Assure that all necessary equipment is ready
3. Subject enrollment at Site 1
4. Subject enrollment at Site 2
5. Enroll 10 EPR subjects and 10 control subjects

Major Task 5: Revise protocol

1. Evaluate data from first cohorts of 10 EPR and 10 control subjects
2. Revise protocol based upon data from first cohorts
3. Obtain approvals for second set of cohorts from DSMB, FDA, IRBs, and US Army HRPO

Major Task 6: Enroll patients in second 2 cohorts

1. Enroll 10 EPR subjects and 10 control subjects
2. Evaluate data from second set of cohorts of 10 EPR and 10 control subjects

**What was accomplished under these goals?**

Major Task 1: Regulatory approvals

All approvals have been completed at the University of Maryland.

During this year, we finalized the subcontract with the John H. Stroger Hospital of Cook County (Hektoen Institute for Medical Research) as a second site. Dr. Bokhari is working on regulatory approvals for the Hektoen Institute for Medical Research.

1. Local IRB approval of community consultation and public disclosure plan:  
Dr. Bokhari has been working with his IRB and the institution's media relations group to complete the community consultation and public disclosure plan.
2. Conduct community consultation/public disclosure: near completion
3. Local IRB Approval: pending completion and review of community consultation/public disclosure
4. US Army HRPO Approval: pending local IRB approval

Major Task 2: Training

Training has been completed at the University of Maryland, which has IACUC and ACURO approval. Animal and cadaver training for the team at Stroger Hospital will be completed at the University of Maryland, rather than at Stroger Hospital, when the regulatory approvals in Major Task 1 are closer to completion.

1. IACUC Renewal: completed
2. ACURO Renewal: completed
3. Complete animal training: completed for 3 new surgeons at the University of Maryland, pending for Stroger Hospital
4. Complete cadaver training: pending for Stroger Hospital

**Major Task 3: Retrospective review of trauma registries**

1. Review of patients who have undergone a resuscitative thoracotomy: we have recruited a critical care fellow and a medical student to start collecting and analyzing this data

**Major Task 4: Enroll patients in first 2 cohorts**

Enrollment is ongoing at the University of Maryland.

1. Develop specific logistics with involved disciplines: Discussions have continued at Stroger Hospital
2. Assure that all necessary equipment is ready: Discussions have continued at Stroger Hospital
3. Subject enrollment at Site 1: One EPR subject has been enrolled at the University of Maryland during this year. Enrollment held because of COVID-19.
4. Subject enrollment at Site 2: pending approvals and training
5. Enroll 10 EPR subjects and 10 control subjects: in progress. We currently have 6 EPR subjects and 5 control subjects.

**Major Task 5: Revise protocol**

Pending completion of enrollment of the first cohort of 10 EPR and 10 control subjects.

1. Evaluate data from first cohort of 10 EPR and 10 control subjects
2. Revise protocol based upon data from first cohorts
3. Obtain approvals for second set of cohorts from DSMB, FDA, IRBs, and US Army HRPO

**Major Task 6: Enroll patients in second 2 cohorts**

1. Enroll 10 EPR subjects and 10 control subjects
2. Evaluate data from second cohorts of 10 EPR and 10 control subjects

**What opportunities for training and professional development has the project provided?**

Nothing to report for this period. Training for 3 new trauma surgeons was completed at the University of Maryland. Training of the Stroger Hospital team members will begin once their regulatory approvals

**How were the results disseminated to communities of interest?**

Nothing to report.

**What do you plan to do during the next reporting period to accomplish the goals?**

We will restart recruitment of subjects at the University of Maryland as soon as our clinical hold is lifted by the blood bank. We have obtained approval from the IRB to restart.

We will continue to work with the Stroger Hospital team to complete the community consultation/public disclosure process and proceed with regulatory approvals. When this process is close to completion, we will arrange for cadaver and animal training at the University of Maryland. Training should occur as close to the time of planned enrollment as possible.

IACUC and ACURO renewal have been completed.

**4. IMPACT:**

**What was the impact on the development of the principal discipline(s) of the project?**

Nothing to report.

**What was the impact on other disciplines?**

Nothing to report.

**What was the impact on technology transfer?**

Nothing to report.

**What was the impact on society beyond science and technology?**

Nothing to report.

## 5. CHANGES/PROBLEMS:

### Changes in approach and reasons for change

Nothing to report.

### Actual or anticipated problems or delays and actions or plans to resolve them

The cause for low enrollment at the University of Maryland is unclear. We are continuing to review our trauma registry and screening procedures with the research staff to be sure we are not missing potential subjects.

Bringing on the John H. Stroger Hospital of Cook County as a second site should help with overall study enrollment.

### Changes that had a significant impact on expenditures

Delay in approval of the subcontract with Stroger Hospital has shifted subcontract expenditures into years 2 and 3 of this project.

### Significant changes in use or care of human subjects, vertebrate animals, biohazards, and/or select agents

#### Significant changes in use or care of human subjects

There have been no changes to the care of human subjects or vertebrate animals.

IRB approval date: 14-May-2019

IACUC approval date: 05-Sept-2019

Maryland State Anatomy Board approval date: 08-Jun-2015.

USAMRMC Office of Research Protections cadaver approval: 16-Jul-2015 under Proposal Log Number 07152001, Award Number W81XWH-07-1-0682.

#### Significant changes in use of biohazards and/or select agents

Nothing to report.

## 6. PRODUCTS:

- **Publications, conference papers, and presentations**

**Journal publications.** Nothing to report.

**Books or other non-periodical, one-time publications.** Nothing to report.

**Other publications, conference papers and presentations.**

Presentations regarding the background and protocol. No data has been presented.

1. “Emergency Preservation and Resuscitation”, Cecil Gray Eponymous Lectureship, Tri-Service Anaesthetic Society, London, England, 2018.
2. “The journey to extended preservation resuscitation in humans”, Peter Baskett Lectureship, London Trauma Conference, London, England, 2018.
3. “Reducing metabolic needs in hemorrhagic shock”, Trauma Hemostasis Oxygenation Research Remote Damage Control Research Symposium, Bergen, Norway, 2019.
4. “Will suspended animation ever become reality?”, World Congress of Surgery, Krakow, Poland, 2019.
5. “Can Hypothermia Save Exsanguinating Trauma Patients?”, American College of Surgeons Clinical Congress, San Francisco, CA, 2019
6. “EPR – Emergency Preservation and Resuscitation in trauma”, European Emergency Medicine Congress, 2020.

- **Website(s) or other Internet site(s)**

The following website was developed for disseminating information about the study as part of the public disclosure process. There is currently no information regarding results on this site.

<https://www.umms.org/ummc/health-services/shock-trauma/news/body-cooling-study>

**Technologies or techniques.** Nothing to report.

**Inventions, patent applications, and/or licenses.** Nothing to report.

**Other Products.** Nothing to report.

## 7. PARTICIPANTS & OTHER COLLABORATING ORGANIZATIONS

### What individuals have worked on the project?

Name:	Samuel A. Tisherman, MD
Project Role:	Principal investigator
Researcher Identifier (e.g. ORCID ID):	0000-0003-3810-3729
Nearest person month worked:	2
Contribution to Project:	Manages all aspects of the study, including training, readiness for enrollment, data management and analysis, submission of regulatory documents, and coordination with all sites. Dr. Tisherman is the sponsor of the Investigation Device Exemption.
Name:	Thomas Scalea, MD
Project Role:	Co-investigator
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	1
Contribution to Project:	Training of surgeons involved in the project. Revisions to the clinical protocol. Identification of clinical sites.
Name:	Leslie Sult, RN
Project Role:	Research coordinator
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	4
Contribution to Project:	Assists with training. Assures that equipment is available and personnel are prepared for subject enrollment. Supervises data collection.
Name:	Faran Bokhari, MD
Project Role:	Site principal investigator at John H. Stroger Hospital of Cook County
Researcher Identifier (e.g. ORCID ID):	
Nearest person month worked:	1
Contribution to Project:	Manage all aspects of the study at Stroger Hospital, including team oversight, data management, and submission of regulatory documents.

**Has there been a change in the active other support of the PD/PI(s) or senior/key personnel since the last reporting period?**

Nothing to report.

**What other organizations were involved as partners?**

Organization Name: Stroger Hospital of Cook County

Location of Organization: Chicago, IL

Partner's contribution to the project: Collaboration as second site for conducting the study.

## **8. SPECIAL REPORTING REQUIREMENTS**

**COLLABORATIVE AWARDS:**

**QUAD CHARTS:**

## **9. APPENDICES:**