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14. ABSTRACT					
15. SUBJECT TERMS					
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# RPPR Final Report

as of 18-Jan-2023

Agency Code: 21XD

Proposal Number: 70381BB

**Agreement Number: W911NF-17-1-0115**

**INVESTIGATOR(S):**

**Name:** Ph.D. Veronica Vaida  
**Email:** vaida@colorado.edu  
**Phone Number:** 3034928605  
**Principal:** Y

Organization: **University of Colorado - Boulder**

Address: 3100 Marine Street, Room 481, Boulder, CO 803031058

Country: USA

DUNS Number: 007431505

EIN: 846000555

**Report Date:** 30-Jan-2023

Date Received: 17-Jan-2023

**Final Report** for Period Beginning 01-Apr-2017 and Ending 30-Oct-2022

**Title:** Atmospheric Aerosols as Chemical Micro Reactors in the Environment

**Begin Performance Period:** 01-Apr-2017

**End Performance Period:** 30-Oct-2022

**Report Term:** 0-Other

Submitted By: Ph.D. Veronica Vaida

Email: vaida@colorado.edu

Phone: (303) 492-8605

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

**STEM Degrees:** 0

**STEM Participants:**

**Major Goals:** See the objectives described in the uploaded document on scientific accomplishments

**Accomplishments:** See report

**Training Opportunities:** This interdisciplinary project provided many opportunities for education and outreach to a group of diverse undergraduate and graduate students, postdoctoral fellows and visitors, including international collaborators. Work at the interface of physical chemistry and environmental science was carried out with complementary experimental and computational methods. Students in the group were trained in these complementary approaches. International interactions occurred in collaboration with and participation of researchers in the CESAM group, LISA, Université de Paris-Est, University of Copenhagen and Aarhus University in Denmark provided an international context for young researchers involved in this project.

Dr. Rebecca Rapf, Assistant professor, Trinity University, San Antonio, TX visited our group with two undergraduate researchers performing surface reflection spectroscopy in the summer of 2022.

Materials were prepared and presented at the Travis Lake Academy for K-12 pupils on diversity in science.

**Results Dissemination:** The results of this study were disseminated through open access peer reviewed publications. The 20 publications acknowledging support from this grant are uploaded into the report Products section.

Two years of covid restrictions on scientific meetings slowed down the number of presentations from the PI and group members. However, results of this research were presented in person and remotely at national and international meetings by the PI and group members. These included Gordon Research Conferences, Gordon Research Seminars, American Chemical Society Meetings, Simons Foundation Conference, American Institute of Chemists, invited talks at universities (Stanford, Yale, University of Alberta, Canada, Berkeley) as well as international presentations (UK Center for Doctoral training in Aerosol Science, IAMS Taipei, Taiwan, Czech Academy of Sciences) as well as by graduate students (Gordon Research Conferences) and postdoctoral fellows (ACS) from the group.



**RPPR Final Report**  
as of 18-Jan-2023

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Journal of Physical Chemistry A

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.jpca.7b08192

Volume: 121

Issue: 44

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Date Submitted: 6/18/18 12:00AM

Date Published: 10/14/17 6:00AM

Publication Location: Washington, DC

**Article Title:** pH Dependence of the Aqueous Photochemistry of  $\alpha$ -Keto Acids

**Authors:** Rebecca J. Rapf, Michael R. Dooley, Keaten Kappes, Russell J. Perkins, Veronica Vaida

**Keywords:**  $\alpha$ -Keto acids, aqueous photochemistry, aerosols

**Abstract:**  $\alpha$ -Keto acids are important, atmospherically relevant species, and their photochemistry has been considered in the formation and processing of aerosols. Despite their atmospheric relevance, the photochemistry of these species has primarily been studied under extremely low pH conditions. Using a variety of analytical techniques, we characterize the extent of hydration and deprotonation for solutions of two  $\alpha$ -keto acids, pyruvic acid and 2-oxooctanoic acid, as a function of pH. We find that changes in the initial solution composition govern the accessibility of different photochemical pathways, resulting in slowed photolysis under high pH conditions and a shift in photoproducts that can be predicted mechanistically.

**Distribution Statement:** 3-Distribution authorized to U.S. Government Agencies and their contractors

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**Journal:** Journal of Physical Chemistry A

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**Journal:** The Journal of Physical Chemistry A

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Volume: 122

Issue: 18

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Date Submitted: 6/18/18 12:00AM

Date Published: 4/1/18 6:00AM

Publication Location: Washington, DC

**Article Title:** Atmospheric Hydroxyl Radical Source: Reaction of Triplet SO

**Authors:** Jay A. Kroll, Benjamin N. Frandsen, Henrik G. Kjaergaard, Veronica Vaida

**Keywords:** UV radiation, hydroxyl radical, sulfur, SO<sub>2</sub>

**Abstract:** The reaction of electronically excited triplet state sulfur dioxide (3SO<sub>2</sub>) with water was investigated both theoretically and experimentally. The quantum chemical calculations find that the reaction leads to the formation of hydroxyl radical (OH) and hydroxysulfinyl radical (HOSO) via a low energy barrier pathway. Experimentally the formation of OH was monitored via its reaction with methane, which itself is relatively unreactive with 3SO<sub>2</sub>, making it a suitable probe of OH production from the reaction of 3SO<sub>2</sub> and water. This reaction has implications for the formation of OH in environments that are assumed to be depleted in OH, such as volcanic plumes. This reaction also provides a mechanism for the formation of OH in planetary atmospheres with little or no oxygen (O<sub>2</sub>) or ozone (O<sub>3</sub>) present.

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**Journal:** ACS Central Science

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Volume: 4

Issue: 5

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Date Submitted: 6/18/18 12:00AM

Date Published: 4/1/18 12:00PM

Publication Location: Washington, DC

**Article Title:** Environmental Processing of Lipids Driven by Aqueous Photochemistry of  $\alpha$ -Keto Acids

**Authors:** Rebecca J. Rapf, Russell J. Perkins, Michael R. Dooley, Jay A. Kroll, Barry K. Carpenter, Veronica Vaid

**Keywords:** photolysis,  $\alpha$ -keto acids, radical initiators, molecular complexity

**Abstract:** Sunlight can initiate photochemical reactions of organic molecules through direct photolysis, photosensitization, and indirect processes, often leading to complex radical chemistry that can increase molecular complexity in the environment.  $\alpha$ -Keto acids act as photoinitiators for organic species that are not themselves photoactive. Here, we demonstrate this capability through the reaction of two  $\alpha$ -keto acids, pyruvic acid and 2-oxooctanoic acid, with a series of fatty acids and fatty alcohols. We show for five different cases that a cross-product between the photoinitiated  $\alpha$ -keto acid and non-photoactive species is formed during photolysis in aqueous solution. Fatty acids and alcohols are relatively unreactive species, which suggests that  $\alpha$ -keto acids are able to act as radical initiators for many atmospherically relevant molecules found in the sea surface microlayer and on atmospheric aerosol particles.

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Proceedings of the National Academy of Sciences

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Volume: 114

Issue: 47

First Page #: 12359

Date Submitted: 6/18/18 12:00AM

Date Published: 11/1/17 12:00PM

Publication Location: Washington, DC

**Article Title:** Prebiotic phosphorylation enabled by microdroplets

**Authors:** Veronica Vaida

**Keywords:** microdroplets, prebiotic, phosphorylation, accelerated reactions

**Abstract:** N/A- This is a commentary article.

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**Journal:** The Journal of Physical Chemistry A

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Publication Identifier: 10.1021/acs.jpca.8b04643

Volume: 122

Issue: 39

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Date Submitted: 11/13/18 12:00AM

Date Published: 9/1/18 6:00AM

Publication Location:

**Article Title:** Reactivity of Electronically Excited SO<sub>2</sub> with Alkanes

**Authors:** Jay A. Kroll, Benjamin N. Frandsen, Rebecca J. Rapf, Henrik G. Kjaergaard, Veronica Vaida

**Keywords:** photoinitiated reactions, SO<sub>2</sub>, alkanes, hydrogen abstraction

**Abstract:** We studied the reaction of electronically excited sulfur dioxide in the triplet state (3SO<sub>2</sub>) with a variety of alkane species, including propane, n-butane, isobutane, n-pentane, n-hexane, cyclohexane, n-octane, and n-nonane. Reaction rate constants for the photoinitiated reaction of SO<sub>2</sub> with all of these species were determined and found to be in the range from  $3.7 \times 10^{13}$  to  $5.1 \times 10^{12}$  cm<sup>3</sup>molecule<sup>-1</sup>s<sup>-1</sup>. We found that reaction proceeds via a hydrogen abstraction to form HOSO• and organic radical (R•) species and that reactivity is correlated with the energy required to break a C-H bond and the length of the alkane chain. Abstraction rates were found to be fastest for reaction with hydrogen on a tertiary carbon. Similarly, abstraction from secondary carbons is found to be faster than from primary carbons. The reactivity of 3SO<sub>2</sub> with alkanes increases with chain length as additional secondary carbons are added.

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry A

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Publication Identifier: 10.1021/acs.jpca.8b10224

Volume: 123

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Date Submitted: 6/19/19 12:00AM

Date Published: 1/11/19 7:00AM

Publication Location:

**Article Title:** Heterogeneous Interactions between Gas-Phase Pyruvic Acid and Hydroxylated Silica Surfaces: A Combined Experimental and Theoretical Study

**Authors:** Yuan Fang, Dominika Lesnicki, Kristin J. Wall, Marie-Pierre Gageot, Marialore Sulpizi, Veronica Vaida,

**Keywords:** pyruvic acid, silica, surface, hydrogen bond, gas-phase, FTIR

**Abstract:** The adsorption of gas-phase pyruvic acid (CH<sub>3</sub>COCOOH) on hydroxylated silica particles has been investigated at 296 K using transmission Fourier transform infrared (FTIR) spectroscopy and theoretical simulations. Under dry conditions (<1% relative humidity, RH), both the trans-cis (Tc) and trans-trans (Tt) pyruvic acid conformers are observed on the surface as well as the (hydrogen bonded) pyruvic acid dimer. The detailed surface interactions were further understood through ab initio molecular dynamics simulations. Under higher relative humidity conditions (above 10% RH), adsorbed water competes for surface adsorption sites. Adsorbed water is also observed to change the relative populations of the different adsorbed pyruvic acid configurations. Overall, this study provides valuable insights into the interaction of pyruvic acid with hydroxylated silica surfaces on the molecular level from both experimental and theoretical analyses. Furthermore, these results highlight the importance ...

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Volume: 124

Issue: 7

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Date Submitted: 8/12/20 12:00AM

Date Published: 1/1/20 7:00AM

Publication Location: United States

**Article Title:** Conformer-Specific Photolysis of Pyruvic Acid and the Effect of Water

**Authors:** Sandra L. Blair, Allison E. Reed Harris, Benjamin N. Frandsen, Henrik G. Kjaergaard, Edouard Pangui, I

**Keywords:** Pyruvic Acid, photolysis, water surface chemistry

**Abstract:** The surface chemistry and photochemistry of gas-phase pyruvic acid (CH<sub>3</sub>COCOOH) on two oxides, Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub>, have been investigated using transmission Fourier transform infrared spectroscopy and mass spectrometry. At 298 K, the carboxylic acid group within pyruvic acid is found to react with surface hydroxyl groups (M?OH, M = Al, Ti) to yield pyruvate as a predominant adsorbed organic species. Upon broad-band UV irradiation (? > 280 nm), there is a loss of adsorbed pyruvate with the concomitant formation of new products. The photochemical loss of pyruvate is higher on TiO<sub>2</sub> than on Al<sub>2</sub>O<sub>3</sub> indicating that the photochemistry is enhanced on the surface of a semiconductor oxide, TiO<sub>2</sub>, compared with an insulator oxide, Al<sub>2</sub>O<sub>3</sub>. Analysis of products extracted from the surface with mass spectrometry shows the formation of several new compounds. This includes zymonic acid, which is found to be present under both dark and light conditions, and other higher-molar-mass oligomeric species such as ..

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** The Journal of Physical Chemistry A

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Volume: 123

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Date Submitted: 8/12/20 12:00AM

Date Published: 8/1/19 6:00PM

Publication Location: United States

**Article Title:** Chemistry and Photochemistry of Pyruvic Acid Adsorbed on Oxide Surfaces

**Authors:** Michael R. Alves, Yuan Fang, Kristin J. Wall, Veronica Vaida, Vicki H. Grassian

**Keywords:** pyruvic Acid, oxide surface chemistry, photochemistry

**Abstract:** The surface chemistry and photochemistry of gas-phase pyruvic acid (CH<sub>3</sub>COCOOH) on two oxides, Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub>, have been investigated using transmission Fourier transform infrared spectroscopy and mass spectrometry. At 298 K, the carboxylic acid group within pyruvic acid is found to react with surface hydroxyl groups (M?OH, M = Al, Ti) to yield pyruvate as a predominant adsorbed organic species. Upon broad-band UV irradiation (? > 280 nm), there is a loss of adsorbed pyruvate with the concomitant formation of new products. The photochemical loss of pyruvate is higher on TiO<sub>2</sub> than on Al<sub>2</sub>O<sub>3</sub> indicating that the photochemistry is enhanced on the surface of a semiconductor oxide, TiO<sub>2</sub>, compared with an insulator oxide, Al<sub>2</sub>O<sub>3</sub>. Analysis of products extracted from the surface with mass spectrometry shows the formation of several new compounds. This includes zymonic acid, which is found to be present under both dark and light conditions, and other higher-molar-mass oligomeric species such as ..

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Publication Identifier: 10.1039/D0CP05650B

Volume: 23

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Date Submitted: 7/16/21 12:00AM

Date Published:

Publication Location: Great Britain

**Article Title:** The primary photo-dissociation dynamics of lactate in aqueous solution: decarboxylation prevents dehydroxylation

**Authors:** Jan Thøgersen, Veronica Vaida, Mikkel Bregnhøj, Tobias Weidner, Frank Jensen

**Keywords:** Photochemistry, solution, decarboxylation

**Abstract:** Lactic acid, a relevant molecule in biology and the environment, is an  $\alpha$ -hydroxy acid with a high propensity to form hydrogen bonds, both internally and to other hydrogen-bond-accepting molecules. This work includes the novel recording of infrared spectra of gas-phase lactic acid using Fourier transform infrared spectroscopy, and the vibrational absorption features of lactic acid are assigned with the aid of computationally simulated vibrational spectra with anharmonic corrections. Theoretical chemistry methods are used to relate intramolecular hydrogen-bond strengths to the relative stability of lactic acid conformers. The formation of hydrogen-bonded lactic acid dimers and 1:1 water complexes is investigated by simulated vibrational spectra and calculated thermodynamic parameters for the lactic acid monomer and dimer and its water complex in the gas phase. The results of this study are discussed in the context of environmental chemistry with an emphasis on indoor environments.

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**Journal:** The Journal of Physical Chemistry A

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Publication Identifier: 10.1021/acs.jpca.0c09096

Volume: 125

Issue: 4

First Page #: 1036

Date Submitted: 7/16/21 12:00AM

Date Published: 1/1/21 7:00AM

Publication Location: Washington, D.C., U.S.A.

**Article Title:** Chemistry and Photochemistry of Pyruvic Acid at the Air–Water Interface

**Authors:** Keaten J. Kappes, Alexandra M. Deal, Malte F. Jespersen, Sandra L. Blair, Jean-Francois Doussin, Mat

**Keywords:** Photodissociation, interface, liquids, solution chemistry, oligomers

**Abstract:** Interfacial regions are unique chemical reaction environments that can promote chemistry not found elsewhere. The air–water interface is ubiquitous in the natural environment in the form of ocean surfaces and aqueous atmospheric aerosols. Here we investigate the chemistry and photochemistry of pyruvic acid (PA), a common environmental species, at the air–water interface and compare it to its aqueous bulk chemistry using two different experimental setups: (1) a Langmuir–Blodgett trough, which models natural water surfaces and provides a direct comparison between the two reaction environments, and (2) an atmospheric simulation chamber (CESAM) to monitor the chemical processing of nebulized aqueous PA droplets. The results show that surface chemistry leads to substantial oligomer formation. The sequence begins with the condensation of lactic acid (LA), formed at the surface, with itself and with pyruvic acid, and  $LA + LA - H_2O$  and  $LA + PA - H_2O$  are prominent among the products in addition

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**Journal:** The Journal of Physical Chemistry A

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Volume: 125

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Date Submitted: 7/16/21 12:00AM

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Publication Location:

**Article Title:** Lactic Acid Spectroscopy: Intra- and Intermolecular Interactions

**Authors:** Benjamin N. Frandsen, Alexandra M. Deal, Joseph R. Lane, Veronica Vaida

**Keywords:** organic acids, oligomers, noncovalent chemistry

**Abstract:** Lactic acid, a relevant molecule in biology and the environment, is an  $\alpha$ -hydroxy acid with a high propensity to form hydrogen bonds, both internally and to other hydrogen-bond-accepting molecules. This work includes the novel recording of infrared spectra of gas-phase lactic acid using Fourier transform infrared spectroscopy, and the vibrational absorption features of lactic acid are assigned with the aid of computationally simulated vibrational spectra with anharmonic corrections. Theoretical chemistry methods are used to relate intramolecular hydrogen-bond strengths to the relative stability of lactic acid conformers. The formation of hydrogen-bonded lactic acid dimers and 1:1 water complexes is investigated by simulated vibrational spectra and calculated thermodynamic parameters for the lactic acid monomer and dimer and its water complex in the gas phase. The results of this study are discussed in the context of environmental chemistry with an emphasis on indoor environments.

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Volume: 125

Issue: 23

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Date Submitted: 7/16/21 12:00AM

Date Published: 5/1/21 12:00PM

Publication Location: Washington, D.C., U.S.A.

**Article Title:** Water–Air Interfaces as Environments to Address the Water Paradox in Prebiotic Chemistry: A Physical Chemistry Perspective

**Authors:** Alexandra M. Deal, Rebecca J. Rapf, Veronica Vaida

**Keywords:** photochemistry, peptides and proteins, interfaces

**Abstract:** The asymmetric water–air interface provides a dynamic aqueous environment with properties that are often very different than bulk aqueous or gaseous phases and promotes reactions that are thermodynamically, kinetically, or otherwise unfavorable in bulk water. Prebiotic chemistry faces a key challenge: water is necessary for life yet reduces the efficiency of many biomolecular synthesis reactions. This perspective considers water–air interfaces as auspicious reaction environments for abiotic synthesis. We discuss recent evidence that (1) water–air interfaces promote condensation reactions including peptide synthesis, phosphorylation, and oligomerization; (2) photochemistry at water–air interfaces may have been a significant source of prebiotic molecular complexity, given the lack of oxygen and increased availability of near-ultraviolet radiation on early Earth; and (3) water–air interfaces can promote spontaneous reduction and oxidation reactions, potentially providing protometabolic..

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**Journal:** Physical Chemistry Chemical Physics

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Volume: 24

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Date Submitted: 8/14/22 12:00AM

Date Published:

Publication Location: United Kingdom

**Article Title:** Infrared Spectroscopy of 2-Oxo-Octanoic Acid in Multiple Phases

**Authors:** Keaton Kappes, Benjamin Frandsen, Veronica Vaida,

**Keywords:** Photochemistry, surface chemistry, keto acids

**Abstract:** Alpha-keto acids are environmentally and biologically relevant species whose chemistry has been shown to be influenced by their local environment. Vibrational spectroscopy provides useful ways to probe the potential inter- and intramolecular interactions available to them in several phases. We measure and compare the IR spectra of 2-oxo-octanoic acid (2OOA) in the gas phase, solid phase, and at the air–water interface. With theoretical support, we assign many of the vibrational modes in each of the spectra. In the gas phase, two types of conformers are identified and distinguished, with the intramolecularly H-bonded form being the dominant type, while the second conformer type identified does not have an intramolecular hydrogen bond. The van der Waals interactions between molecules in solid 2OOA manifest C–H and C[double bond, length as m-dash]O vibrations lower in energy than in the gas phase and we propose an intermolecular hydrogen bonding scheme for the solid phase. At the air–water

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**Journal:** Journal of Physical Organic Chemistry

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Volume:

Issue:

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Publication Location: USA - online

**Article Title:** Lactic acid photochemistry following excitation of S<sub>0</sub> to S<sub>1</sub> at 220 to 250 nm

**Authors:** Alexandra M. Deal, Benjamin N. Frandsen, Veronica Vaida

**Keywords:** Alpha-keto acids, 2-oxo-octanoic acid, infrared spectroscopy

**Abstract:** Organic molecules, including  $\alpha$ -hydroxyacids, are ubiquitous in the natural environment. Often found at water–air interfaces, organic molecules can alter the structure of the interface or participate in interfacial chemistry. Despite their prevalence in the environment, the structure and ordering of  $\alpha$ -hydroxyacids has not been widely investigated at water–air interfaces and the impact of the hydrophobic tail length on structure has not been explored. Here, for the first time, we use Infrared Reflection-Absorption Spectroscopy to assess the vibrational structure of  $\alpha$ -hydroxyacids at a water surface as a function of surface partitioning and surface coverage. We study lactic acid, 2-hydroxyoctanoic acid, and 2-hydroxystearic acid which have 1 carbon, 6 carbon, and 16 carbon tails respectively. Vibrational features compared across the set of  $\alpha$ -hydroxyacids studied are used to determine the interaction of the polar headgroup with the water subphase and the ordering of the hydrophobic tail.

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Volume: 126      Issue:      First Page #: 8280  
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Publication Location: United States

**Article Title:** Infrared Reflection Absorption Spectroscopy of Hydroxyacids at the Water -Air Interface

**Authors:** Alexandra M. Deal, Veronica Vaida

**Keywords:** organic acids, aqueous surface reaction

**Abstract:** Organic molecules, including  $\alpha$ -hydroxyacids, are ubiquitous in the natural environment. Often found at water-air interfaces, organic molecules can alter the structure of the interface or participate in interfacial chemistry. Despite their prevalence in the environment, the structure and ordering of  $\alpha$ -hydroxyacids has not been widely investigated at water-air interfaces and the impact of the hydrophobic tail length on structure has not been explored. Here, for the first time, we use Infrared Reflection-Absorption Spectroscopy to assess the vibrational structure of  $\alpha$ -hydroxyacids at a water surface as a function of surface partitioning and surface coverage. We study lactic acid, 2-hydroxyoctanoic acid, and 2-hydroxystearic acid which have 1 carbon, 6 carbon, and 16 carbon tails respectively. Vibrational features compared across the set of  $\alpha$ -hydroxyacids studied are used to determine the interaction of the polar headgroup with the water subphase and the ordering of the hydrophobic tail.

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**Article Title:** Spectroscopy of Retinoic Acid at the Air-Water Interface

**Authors:** Benjamin N. Frandsen, Veronica Vaida

**Keywords:** retinoic acid, spectroscopy of organic acids, air-water interface

**Abstract:** The spectroscopy of all-trans-retinoic acid (ATRA), an important molecule of biological origin which can be found in nature, is investigated at the air-water interface using UV-VIS and IR spectroscopy. We employ our newly constructed UV-VIS reflection absorption spectroscopy (RAS) experiment, which is described in detail in this study, along with Infrared reflection absorption spectroscopy (IR-RAS), to probe ATRA at the air-water interface. We elucidate the factors influencing the spectroscopy of ATRA at the air-water interface and compare its spectra at the water surface with results of bulk samples obtained with conventional spectroscopic methods and computational chemistry. Monolayers of pure ATRA as well as mixed ATRA with stearic-d35 acid were prepared and the spectroscopy reveals that ATRA forms J-aggregates with itself, causing a significant redshift of its S<sub>0</sub> to S<sub>1</sub> electronic transition. Pure ATRA monolayers are found to be unstable at the air-water interface and are lost from

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**Article Title:** Kinetic Study of Gas-Phase Reactions of Pyruvic Acid with HO<sub>2</sub>

**Authors:** J.R. Church, Veronica Vaida, and Rex Skodje

**Keywords:** Pyruvic acid, quantum chemistry, kinetic rate

**Abstract:** Gas-phase reactions between pyruvic acid (PA) and HO<sub>2</sub> radicals were examined using ab initio quantum chemistry and transition state theory. The rate coefficients were determined over a temperature range of 200–400 K including tunneling contributions. Six potential reaction pathways were identified. The two hydrogen abstraction reactions yielding the H<sub>2</sub>O<sub>2</sub> product were found to have high barriers. The HO<sub>2</sub> radical was also found to have a catalytic effect on the intramolecular hydrogen transfer reactions occurring by three distinct routes. These hydrogen-shift reactions are very interesting mechanistically although they are highly endothermic. The only reaction that contributes significantly to the consumption of PA is a multistep pathway involving a peroxy-radical intermediate, PA + HO<sub>2</sub> → CH<sub>3</sub>COOH + OH + CO<sub>2</sub>. This exothermic process has potential atmospheric relevance because it produces an OH radical as a product. Atmospheric models currently have difficulty predicting accurate OH concen

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Publication Location: United States

**Article Title:** Peptide synthesis in aqueous microdroplets

**Authors:** Veronica Vaida, Alexandra Deal

**Keywords:** microdroplets, peptides, surface reactions

**Abstract:** Organic chemistry on water has been shown to occur with large changes in reaction rates, products, and mechanisms as compared with the associated bulk aqueous chemistry (1–8). Chemistry “on” water refers to chemistry occurring between water and any nonpolar media such as oil or air. While detailed mechanisms of chemistry on water are still controversial (1, 9–15), investigations of the structure, charges, and hydrogen bonding at the water surface attempt to elucidate the unique nature of water–air inter- faces, which have been proposed to be auspicious environ- ments for organic reactions (1, 16–19). Holden et al. (2) make a significant contribution in this field, with the syn- thesis of peptides at the surface of microdroplets.

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I certify that the information in the report is complete and accurate:

Signature: Veronica Vaida

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**Scientific Report and Accomplishments**

**i. Abstract**

Atmospheric chemistry occurs in many phases and at interfaces. The project “Atmospheric Aerosols as Chemical Micro Reactors in the Environment” investigated the multiphase chemistry of organic compounds to provide mechanisms for reactions in the gas phase, aqueous phase, and at interfaces important in the natural environment. Emphasis is on processes that increase the chemical complexity of the natural system. The study involved laboratory experiments, simulation chamber studies and chemical theory to look at organic acids, specifically at oxo and hydroxy acids, and provide the database needed to understand their environmental fate. The results of this project pointed to the effect of the structure and configuration of the molecules studied, the effect of O<sub>2</sub>, pH, H<sub>2</sub>O and sunlight on their environmental fate.

A major discovery was the special chemical role of surfaces and interfaces, specifically the water-air interface present on atmospheric aerosols, fogs, clouds and at the ocean surface. Techniques, such as surface reflection spectroscopy were developed to aid the study of chemistry at the water surface and allowed investigation of morphological and chemical properties of organic films on aqueous solutions.

The water surface on aqueous drops and at the sea surface provides a special and unique reaction environment with qualitatively different thermodynamic and kinetic properties from bulk aqueous solutions. Chemistry initiated at the water surface was found to lead to the synthesis of oligomers increasing the chemical complexity of the system. Solar radiation is the largest source of energy on both the contemporary and early Earth. Multiphase photochemical mechanisms were obtained in which  $\alpha$ -keto acids react in aqueous environments to form organic radicals, which then recombine to form larger, more complex lipids. The relevance of this chemistry to reactions in the contemporary atmosphere is to suggest abiotic pathways by which complex molecules and self-assembled particles are generated and contribute to atmospheric chemistry, transport and consequently affect climate.

This interdisciplinary project provided many opportunities for education and outreach to a group of diverse undergraduate and graduate students, postdoctoral fellows and visitors, including international collaborators. Work at the interface of physical chemistry and environmental science was carried out with complementary experimental and computational methods. Students in the group were trained in these complementary approaches. International interactions occurred in collaboration with and participation of researchers in the CESAM group, LISA, Université de Paris-Est, University of Copenhagen and Aarhus University in Denmark provided an international context for young researchers involved in this project.

## ii. Objectives for the project

The multiphase chemistry of organic compounds is investigated to provide mechanisms for reactions in the gas phase, aqueous phase, and at interfaces important in the natural environment. Emphasis is on processes that increase the chemical complexity of the natural system.

- 1) Examine the fundamental chemistry photochemistry relevant to environmental systems. Chemical and sunlight-initiated radical reactions are followed in mechanistic detail.
  - (a) Development of techniques needed for the study of multiphase reactions started in the first year and continued for the period of the grant.
  - (b) Study the multiphase chemistry and photochemistry of organic acids (hydroxy acids and keto-acids) as a function of composition, pH, oxygen and radiation.
  - (c) Study the role of the water-air interface in promoting reactions which increases the chemical complexity of the natural system compared with gas or aqueous bulk.  
Years 1 and 2 investigated chemistry in the bulk phases with years 3-5 identifying and investigating the role of water-air interfaces and geophysical surfaces.
  
- 2) Perform environmental simulation chamber studies to test the interplay between different fundamental processes in multiphase reactions. Years 2 and 3 involved experiments at CESAM, LISA, Université Paris-Est where fundamental processes investigated in the lab were studied under controlled atmospheric conditions. Data analysis of these studies were performed in years 3-5 and compared the laboratory data to evaluate reactivity of organic acids in the atmosphere in different phases.
  
- 3) Perform theoretical and model studies to assess the relative importance of heterogeneous effects and organic radical reactions compared to known chemistry.
  - (a) Computational studies to predict structure and spectra of gas phase species studied to aid assignments of experimental spectra (years 1-3)
  - (b) Computational studies of the role of water on the structure and chemistry of hydroxy and keto acids using water clusters with these species (years 3-5).
  - (c) Assess the magnitude of surface effects to determine their impact in atmospheric models.

### iii. Findings

#### Objective 1: Examine the fundamental chemistry/photochemistry relevant to environmental systems.

##### (a) Instrument development

Methods were developed for the study of spectroscopy of gas phase species with low vapor pressure such as lactic and pyruvic acids. A major accomplishment was the generation of gas phase lactic acid as well as 2-oxooctanoic acid who previously were not accessible in gas phase. Gas phase vibrational spectra and photochemical reaction products could be obtained using these methods. The first two years also involved the development of methods for the study of solution phase processes for organic acid surfactants with low solubility in water. Particularly challenging was the study of IR spectra in aqueous solutions given interference from vibrational features in water. ATR allowed obtaining vibrational spectra in bulk aqueous solution.

Unique to this project was the development of surface sensitive spectroscopic and photochemical methods in years 3-5. Major accomplishments were the design, building and utilization of surface reflection IR spectroscopy with polarized light and variable incidence angle which allowed obtaining the surface partitioning, morphology and orientation of hydroxy and keto acids as a function of their structure, i.e. nonpolar hydrocarbon tail lengths. Another major accomplishment was the design and implementation of surface reflection UV accomplished in year 5. The electronic spectra and structure of organic acids were monitored at the water-air interface with this newly developed surface reflection UV spectrometer.

Surfaces are difficult to study in part because of the very small number of molecules at the surface. To overcome this difficulty a Blodgett attachment was attached to the Langmuir trough for collecting molecules at the water surface separately from the bulk aqueous phase.

Photochemical experiments required illumination of a large area of the Langmuir trough. A major accomplishment was the design and construction using UV LEDs of a photochemical light source which matched the profile of the Langmuir trough allowing efficient photolysis of surface species. The spectroscopic and photochemical surface sensitive methods developed enabled the proposed experiments by increasing the sensitivity for surface species.

##### (b) The multiphase chemistry and photochemistry of organic acids (hydroxy acids and keto-acids) as a function of composition, pH, oxygen and radiation.

This research found that the chemistry of carbonyl compounds varies with their structure, the presence of O<sub>2</sub> and pH. Reaction rates and mechanisms were investigated for pH 2 to 10 in aerobic and anaerobic conditions for hydroxyacids and oxo acids of different tail lengths. A major accomplishment was quantifying the concentration of the keto, diol, protonated and deprotonated forms as a function of pH.

“pH Dependence of the Aqueous Photochemistry of  $\alpha$ -Keto Acids” Rebecca J. Rapf, Michael R. Dooley, Keaten Kappers, Russell J. Perkins, Veronica Vaida *J. Phys. Chem A* **121**, 8368-8379 (2017)

The study of the reaction mechanisms led us to a collaboration with Dr. Thogersen (Aarhus, Denmark) to investigate the primary steps in the light initiated chemistry of lactate. A major accomplishment was that decarboxylation but not dehydration occurs in aqueous solution.

“The primary photo-dynamics of lactate in aqueous solution: decarboxylation prevents dehydroxylation“ Thogersen, Jan, Vaida Veronica, Bregnhøj Mikkel, Weidener Tobias, Jensen Frank *Physical Chemistry Chemical Physics*, **23**(8) 4555-4568 (2021),

A major accomplishment was the photochemical synthesis of lipids initiated by oxoacids even when they reacted with nonabsorbing partners. In the natural environment this chemistry would lead to building complexity of the system.

“Environmental Processing of Lipids Driven by Aqueous Photochemistry of  $\alpha$ -Keto Acids” Rebecca J. Rapf, Russell J. Perkins, Michael R. Dooley, Jay A. Kroll, Barry K. Carpenter, Veronica Vaida *ACS Cent. Sci.* **4**(5), 624-630 (2018)

### (c) Study the role of the water-air interface

Based on the studies in year 1 and 2, it became obvious that surfaces and interfaces could contribute chemistry not observed in the bulk. Surface sensitive techniques developed in years 3-5 and used to measure the surface partitioning, morphology and orientation of hydroxy and oxo acids as well as their dark and light initiated surface reactions. A major accomplishment was obtaining reaction products and mechanisms for oxoacids at the water surface.

“Water-Air Interfaces as Environments to Address the Water Paradox in Prebiotic Chemistry: A Physical Chemistry Perspective” Deal A.M., Rapf R.J., Vaida V. *J. Phys. Chem. A* **125**(23), 4929-4942 (2021)

“Lactic acid photochemistry following excitation of  $S_0$  to  $S_1$  at 220 to 250 nm” Deal, A. M.; Frandsen, B. N.; Vaida, V. *J. Phys. Org. Chem.* 2022; e4316

“Infrared Reflection Absorption Spectroscopy of Hydroxyacids at the Water -Air Interface” Alexandra M. Deal; Veronica Vaida. *J. Phys. Chem. A.* 126 (44) , pp.8280-8294 (2022)

Based on these findings, the opportunity presented itself to study the morphology and reactivity at geochemical surfaces in collaboration with Prof. Grassian (UCSD). A major finding was that the surface chemistry of pyruvic acid is sensitive to the presence of water and photons. Reactions with surface hydroxyl radicals yield primarily pyruvate and water which upon irradiation generated oligomers, many of whom were not observed in bulk aqueous phase.

“Chemistry and Photochemistry of Pyruvic Acid Adsorbed on Oxide Surfaces” Alves M. R., Fang Y., Wall K. J., Vaida V., Grassian V.H.J. *Phys. Chem A* (2019)

“Heterogeneous Interactions between Gas-Phase Pyruvic Acid and Hydroxylated Silica Surfaces: A Combined Experimental and Theoretical Study” Fang, Yuan; Lesnicki, Dominika; Wall, Kristin J.; Gageot, Marie-Pierre; Sulpitzi, Marialore; Vaida, Veronica; Grassian, Vicki H. *J. Phys. Chem. A* **123**(5), 983-991 (2019)

## Objective 2: Environmental simulation chamber studies of the chemistry of oxoacids

The fundamental processes investigated in the lab in Boulder were studied in CESAM, the environmental simulation chamber in Paris (Université Paris-Est) in collaboration with Prof. Jean-Francois Doussin. This 4000liter chamber fitted with a solar simulator allowed multiphase experiments which were performed primarily on pyruvic acid and in a more limited way, on 2-oxo-octanoic acid. Experiments were performed in gas phase, in gas phase at different partial pressures of water vapor and on nebulized microdrops of aqueous solutions of pyruvic acid. The chamber allowed experiments at 1 atm of synthetic air as well as 1 atm of N<sub>2</sub> and therefore were able to probe the effect of O<sub>2</sub> on the photochemistry of pyruvic acid. A major finding was that water, even at low partial pressure, is able to modify the ratio of conformers by hydrogen bonding and therefore strongly affects the pyruvic acid chemistry.

“Conformer-Specific Photolysis of Pyruvic Acid and the effect of Water” Blair, Sandra L., Reed Harris Allison E., Frandsen Benjamin N., Kjaergaard Henrik G., Pangui Eduard., Cazaunau Mathieu, Doussin Jean-Francois, Vaida Veronica *J. Phys. Chem A*, **124**(7), 1240-1252 (2020)

Another major finding from the comparison of laboratory and simulation chamber studies was the important role of the water-air interface of the microdroplets produced in the simulation chamber. Zymonic acid was identified as one of the products produced at the water surface but not seen in the bulk.

“Chemistry and Photochemistry of Pyruvic Acid at the Air-Water Interface” Keaten J. Kappes, Alexandra M. Deal, Malte F. Jespersen, Sandra L. Blair, Jean-Francois Doussin, Mathieu Cazaunau, Edouard Pangui, Brianna N. Hopper, Matthew S. Johnson, Veronica Vaida *J. Phys. Chem. A* **125**(4), 1036-1049 (2021)

Simulation chamber studies were inspired by fundamental laboratory results and in turn, suggested further laboratory studies where more detailed mechanistic information of the multiphase chemistry of pyruvic acid could be obtained.

Objective 3: Theoretical and model studies to assess the relative importance of heterogeneous effects in environmental chemistry.

Theory and computation were important in assessing the importance of conformers of hydroxy and oxoacids that would be present in different phases present in the natural environment. These studies further allowed theoretically predicting spectra and aided assignments of experimentally obtained IR spectra.

“Infrared Spectroscopy of 2-Oxo-Octanoic Acid in Multiple Phases” Kappes, K; Frandsen, B. N.; Vaida, V. *Phys. Chem. Chem. Phys.* **24**, 6757-6768 (2022)

A major finding which rested on theory was that water affects hydrogen bonding in the organic acids studied and modifies their conformer configuration and consequently their chemistry. The competition between intramolecular and intermolecular hydrogen bonding was found to be an important factor in the light initiated chemistry of pyruvic and that of lactic acid.

“Lactic Acid Spectroscopy: Intra and Intermolecular Interactions” Frandsen, Benjamin N., Deal Alexandra M., Lane Joseph R., Vaida Veronica *J. Phys. Chem. A* **125**(1), 281-229 (2021).

Computational studies allowed a careful look at the reaction rates and mechanisms for pyruvic acid reactions with OH and HO<sub>2</sub> which also occur in the atmosphere. These mechanistic studies also accounted for the hydrogen bonding of radicals to pyruvic acid and evaluated the atmospheric reactivity of OH and HO<sub>2</sub> reactions of pyruvic acid in atmospheric conditions.

“Kinetic Study of Gas-Phase Reactions of Pyruvic Acid with HO<sub>2</sub>” Church J. R. , Vaida V., Skodje R. T. *J. Phys. Chem A* **125**(11) 2232-2242 (2021)

The main finding from these studies was that sunlight initiated reactions dominate and determine the fate of pyruvic acid in the atmosphere rather than reaction with OH or HO<sub>2</sub>.

The quantitative effect of chemistry at the water-air interface could not be calculated but our studies suggest that rates and products can be very different at the water-air interface of both bodies of water and of aqueous aerosols.