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14. ABSTRACT

15. SUBJECT TERMS

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**RPPR Final Report**  
as of 08-Aug-2022

Agency Code: 21XD

Proposal Number: 66645ELPCS

**Agreement Number: W911NF-15-1-0017**

**INVESTIGATOR(S):**

**Name:** James M Rondinelli  
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**Report Date:** 07-Apr-2022

Date Received: 05-Aug-2022

**Final Report** for Period Beginning 08-Dec-2014 and Ending 07-Jan-2022

**Title:** Ab initio design of noncentrosymmetric metals: crystal engineering in oxide heterostructures. f. Research Area 6: Physics

**Begin Performance Period:** 08-Dec-2014

**End Performance Period:** 07-Jan-2022

**Report Term:** 0-Other

Submitted By: James Rondinelli

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**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

**STEM Degrees:**

**STEM Participants:**

**Major Goals:** At electrostatic equilibrium the net electric field inside a conductor is zero due to charge screening. Thus, free carriers within a metal should prohibit the development of acentricity. For this reason, it is unusual to find metals with a noncentrosymmetric structure (or a subclass of them dubbed polar metals). They are extremely scarce in oxides compounds. Lack of reliable crystal-chemistry guidelines and the limited understanding of the microscopic origin of inversion symmetry-lifting displacements in metals, with nontrivial interactions such as correlation and spin-orbit coupling, presents a serious challenge to their discovery and dynamical control. The main goal of this project is to formulate design strategies for the realization of noncentrosymmetric metals in transition metal oxides and routes to dynamically tune their equilibrium states. To this purpose, it is crucial to understand the driving mechanism behind the ferroelectric-like instability in polar metals. Additional project objectives include to (a) predict new metallic transition metal oxides without inversion symmetry, (b) design new multiferroics materials using polar metals, (c) identify potential applications, and (d) realize and verify the computational models and predictions through experimental collaboration.

**Accomplishments:** See attached PDF

## RPPR Final Report as of 08-Aug-2022

**Training Opportunities:** The project supported researchers with multiple levels of expertise, including graduate student (Daniel Hickox-Young) and Research Assistant Professor (Danilo Puggioni). These researchers have become proficient and fluent in performing density functional theory calculations. They have learned how to use complimentary post-processing tools to understand lattice dynamical simulations and aid in the application of group theoretical studies to explain the behavior of noncentrosymmetric metals. The scientific advances made Hickox-Young has also led to his successful completion of the PhD during this reporting period. He is now a visiting professor position at Roanoke College, Salem, VA in September 2021.

To provide professional development opportunities, all researchers supported by this award helped to prepare supplemental computational supercomputing hour, from which the results described in Section 2 (Accomplished under Goals) were in part obtained. These efforts include the submission and preparation of compute proposals to the Center for Nanoscale Materials (CNM) at Argonne National Laboratory and the Extreme Science and Engineering Discovery Environment (XSEDE), which were subsequently awarded. These computational hours complement those made available from the DOD through the HPCMP, and provide useful professional training in proposal writing.

All researchers also presented their work at the American Physical Society (APS) March Meetings in 2022 and at other scientific conferences.

**Results Dissemination:** Results have been disseminated in the form of peer-reviewed publications as well as oral presentations. Numerous articles have been published, with additional articles under peer-review.

**Honors and Awards:** Nothing to Report

**Protocol Activity Status:**

**Technology Transfer:** Nothing to Report

### **PARTICIPANTS:**

**Participant Type:** PD/PI

**Participant:** James Rondinelli

**Person Months Worked:** 3.00

Project Contribution:

National Academy Member: N

**Funding Support:**

**Participant Type:** Staff Scientist (doctoral level)

**Participant:** Danilo Puggioni

**Person Months Worked:** 12.00

Project Contribution:

National Academy Member: N

**Funding Support:**

### **ARTICLES:**

## RPPR Final Report as of 08-Aug-2022

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published  
**Journal:** Physical Review Letters  
**Publication Identifier Type:** DOI      **Publication Identifier:** 10.1103/PhysRevLett.115.087202  
**Volume:** 1.15E+002    **Issue:** 8.0E+000    **First Page #:** 87202  
**Date Submitted:**      **Date Published:**  
**Publication Location:**

**Article Title:** Design of a Mott Multiferroic from a Nonmagnetic Polar Metal

**Authors:**

**Keywords:** Multiferroic, metal-insulator transition

**Abstract:** We examine the electronic properties of the newly discovered “ferroelectric metal” LiOsO<sub>3</sub> combining density-functional and dynamical mean-field theories. We show that the material is close to a Mott transition and that electronic correlations can be tuned to engineer a Mott multiferroic state in the 1/1 superlattice of LiOsO<sub>3</sub> and LiNbO<sub>3</sub>. We use electronic structure calculations to predict that the (LiOsO<sub>3</sub>)<sub>1</sub>/(LiNbO<sub>3</sub>)<sub>1</sub> superlattice exhibits strong coupling between magnetic and ferroelectric degrees of freedom with a ferroelectric polarization of 41.2?? C?cm<sup>2</sup>, Curie temperature of 927 K, and Néel temperature of 379 K. Our results support a route towards high-temperature multiferroics, i.e., driving nonmagnetic polar metals into correlated insulating magnetic states.

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published  
**Journal:** Journal of Physics: Condensed Matter  
**Publication Identifier Type:** DOI      **Publication Identifier:** 10.1088/0953-8984/27/28/283202  
**Volume:** 2.7E+001    **Issue:** 2.8E+001    **First Page #:** 283202  
**Date Submitted:**      **Date Published:**  
**Publication Location:**

**Article Title:** Anharmonic lattice interactions in improper ferroelectrics for multiferroic design

**Authors:**

**Keywords:** ferroelectrics, improper phase transitions, anharmonic interactions, multiferroics, pseudo-Jahn-Teller

**Abstract:** The design and discovery of new multiferroics, or materials that display both ferroelectricity and long-range magnetic order, is of fundamental importance for new electronic technologies based on low-power consumption. Far too often, however, the mechanisms causing these properties to arise are incompatible or occur at ordering temperatures below room temperature. One design strategy which has gained considerable interest is to begin with a magnetic material, and find novel ways to induce a spontaneous electric polarization within the structure. To this end, anharmonic interactions coupling multiple lattice modes have been used to lift inversion symmetry in magnetic dielectrics. Here we provide an overview of the microscopic mechanisms by which various types of cooperative atomic displacements result in ferroelectricity through anharmonic multi-mode coupling, as well as the types of materials most conducive to these lattice instabilities. The review includes a description of the origin

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**Journal:** Physical Review B

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Volume: 93

Issue: 8

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Date Published: 2/1/16 12:00AM

Publication Location:

**Article Title:** Strain-induced nonsymmorphic symmetry breaking and removal of Dirac semimetallic nodal line in an orthoperovskite iridate

**Authors:** Jian Liu, D. Kriegner, L. Horak, D. Puggioni, C. Rayan Serrao, R. Chen, D. Yi, C. Frontera, V. Holy, A. V

**Keywords:** iridates; Dirac semimetal; density functional theory

**Abstract:** By using a combination of heteroepitaxial growth, structure refinement based on synchrotron x-ray diffraction, and first-principles calculations, we show that the symmetry-protected Dirac line nodes in the topological semimetallic perovskite SrIrO<sub>3</sub> can be lifted simply by applying epitaxial constraints. In particular, the Dirac gap opens without breaking the Pbnm mirror symmetry. In virtue of a symmetry-breaking analysis, we demonstrate that the original symmetry protection is related to the n-glide operation, which can be selectively broken by different heteroepitaxial structures. This symmetry protection renders the nodal line a nonsymmorphic Dirac semimetallic state. The results highlight the vital role of crystal symmetry in spin-orbit-coupled correlated oxides and provide a foundation for experimental realization of topological insulators in iridate-based heterostructures.

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** J. Mater. Chem. C

Publication Identifier Type: DOI

Publication Identifier: 10.1039/C6TC90107G

Volume: 4

Issue: 24

First Page #: 5864

Date Submitted: 8/19/16 12:00AM

Date Published:

Publication Location:

**Article Title:** Correction: Design of noncentrosymmetric perovskites from centric and acentric basic building units

**Authors:** Joshua Young, Parth Lalkiya, James M. Rondinelli

**Keywords:** noncentrosymmetric; oxides

**Abstract:** This publication is a correction to another published article. It updates a typographical error in a Figure.

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Acknowledged Federal Support: Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** J. Mater. Chem. C

Publication Identifier Type: DOI

Publication Identifier: 10.1039/C5TC04239A

Volume: 4

Issue: 18

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Date Submitted: 8/19/16 12:00AM

Date Published:

Publication Location:

**Article Title:** Design of noncentrosymmetric perovskites from centric and acentric basic building units

**Authors:** Joshua Young, Parth Lalkiya, James M. Rondinelli

**Keywords:** noncentrosymmetric; oxides; perovskites

**Abstract:** We present a detailed crystal-chemistry approach to lift inversion symmetry in inorganic crystals. Although no combinations of simple B-site ordering lifts inversion, we find that a wide variety of polar, chiral, and second harmonic active structures can be realized with A-site and mixed A- and B-site cation ordering. We then show that the ability of such combinations to lift inversion symmetry depends on whether a given rotation pattern of the octahedral units distorts the A-site environment into centric or acentric polyhedra, as well as whether the cation ordering scheme aligns them in the proper orientation. Finally, we discuss the chemical factors stabilizing the various tilt patterns and ordering schemes, such as the tolerance factor and global instability index. The guidelines described here offer new insights into this vast family of materials, and detail a useful way to think about the design of noncentrosymmetric materials from basic building units.

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Volume: 94

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Date Submitted: 8/29/17 12:00AM

Date Published: 11/1/16 5:00AM

Publication Location:

**Article Title:** Magnetoelectric coupling in the type-I multiferroic

**Authors:** G. Giovannetti, D. Puggioni, P. Barone, S. Picozzi, J. M. Rondinelli, M. Capone

**Keywords:** Multiferroic, Magnetoelectric, Ferrate

**Abstract:** We investigate the electronic structure and the ferroelectric properties of the recently discovered multiferroic  $\text{ScFeO}_3$  by means of ab initio calculations. The 3d manifold of Fe in the half-filled configuration naturally favors an antiferromagnetic ordering, with a theoretical estimate of the antiferromagnetic Néel temperature in good agreement with the experimental values. We find that the inversion symmetry breaking is driven by the off-centering of Sc atoms, which results in a large ferroelectric polarization of  $\sim 10^5$  C/cm<sup>2</sup>. Surprisingly the ferroelectric polarization is sensitive to the local magnetization of the Fe atoms resulting in a large negative magnetoelectric interaction. This behavior is unexpected in type-I multiferroic materials because the magnetic and ferroelectric orders are of different origins.

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**Journal:** Physical Review B

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Volume: 97

Issue: 11

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Date Submitted: 8/28/18 12:00AM

Date Published: 3/1/18 12:00PM

Publication Location:

**Article Title:** Crystal structure stability and electronic properties of the layered nickelate

**Authors:** Danilo Puggioni, James M. Rondinelli

**Keywords:** Nickelates, metal-insulator transition, density functional theory

**Abstract:** We investigate the crystal structure and the electronic properties of the trilayer nickelate  $\text{La}_4\text{Ni}_3\text{O}_{10}$  by means of quantum-mechanical calculations in the framework of the density-functional theory. We find that, at low temperature,  $\text{La}_4\text{Ni}_3\text{O}_{10}$  undergoes a hitherto unreported structural phase transition and transforms to a new monoclinic  $P2_1/a$  phase. This phase exhibits electronic properties in agreement with recent angle-resolved photoemission spectroscopy data reported in H. Li et al., [Nat. Commun. 8, 704 (2017)] and should be considered in models focused on explaining the observed  $\sim 140$  K metal-to-metal phase transition.

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Volume: 58

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Date Submitted: 8/29/19 12:00AM

Date Published: 8/1/18 5:00AM

Publication Location:

**Article Title:** Learning from Correlations Based on Local Structure: Rare-Earth Nickelates Revisited

**Authors:** Nicholas Wagner, Danilo Puggioni, James M. Rondinelli

**Keywords:** nickelates, materials informatics, correlation heat maps, metal-insulator transition

**Abstract:** Here we show how additional descriptive statistics and computational experiments can help researchers explicitly recognize these limitations and fill in missing gaps by constructing amplitude (a) and normalized-amplitude (n) distortion-mode–property correlation-coefficient-heat maps, aCCHMs and nCCHMs, respectively. We demonstrate this utility within the rare-earth nickelate perovskites  $RNiO_3$  ( $R = \text{rare earth } R \neq \text{La}$ ), which exhibit antiferromagnetic and metal-insulator transitions with crystallographic symmetry breaking, and analyze the CCHMs obtained from experimental and first-principles derived symmetry modes. In contrast with the crystallographic trends gleaned from the reported experimental structures, the equilibrium structures obtained from density functional theory indicate that the Jahn-Teller distortion mode plays a negligible role in affecting the Neel temperature.

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**Journal:** Nano Letters

Publication Identifier Type: DOI

Publication Identifier: 10.1021/acs.nanolett.8b00633

Volume: 18

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Date Submitted: 8/28/18 12:00AM

Date Published: 4/1/18 5:00AM

Publication Location:

**Article Title:** Observation of Quasi-Two-Dimensional Polar Domains and Ferroelastic Switching in a Metal, Ca

**Authors:** Shiming Lei, Mingqiang Gu, Danilo Puggioni, Greg Stone, Jin Peng, Jianjian Ge, Yu Wang, Baoming W:

**Keywords:** domain walls; Domains; ferroelastic switching; in situ TEM; polar metal

**Abstract:** Polar domains arise in insulating ferroelectrics when free carriers are unable to fully screen surface-bound charges. Recently discovered binary and ternary polar metals exhibit broken inversion symmetry coexisting with free electrons that might be expected to suppress the electrostatic driving force for domain formation. Contrary to this expectation, we report the first direct observation of polar domains in single crystals of the polar metal  $\text{Ca}_3\text{Ru}_2\text{O}_7$ . By a combination of mesoscale optical second-harmonic imaging and atomic-resolution scanning transmission electron microscopy, the polar domains are found to possess a quasi-two-dimensional slab geometry with a lateral size of  $\sim 100$  nm and thickness of  $\sim 10$  nm. Electronic structure calculations show that the coexistence of electronic and parity-lifting orders arise from anharmonic lattice interactions, which support  $90^\circ$  and  $180^\circ$  polar domains in a metal.

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**Journal:** Nature Communications

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Volume: 9

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Publication Location:

**Article Title:** Tunable metal-insulator transition, Rashba effect and Weyl Fermions in a relativistic charge-ordered ferroelectric oxide

**Authors:** Jiangang He, Domenico Di Sante, Ronghan Li, Xing-Qiu Chen, James M. Rondinelli, Cesare Franchini

**Keywords:** charge order, MIT, Rashba, Dresselhaus, Weyl semi metal

**Abstract:** Controllable metal-insulator transitions (MIT), Rashba-Dresselhaus (RD) spin splitting, and Weyl semimetals are promising schemes for realizing processing devices. Here, using first-principles calculations and symmetry analysis, we identify an electric-field tunable MIT, RD effect, and Weyl semimetal in a known, charge-ordered, and polar relativistic oxide  $\text{Ag}_2\text{BiO}_3$  at room temperature. Remarkably, a centrosymmetric  $\text{BiO}_6$  octahedral-breathing distortion induces a sizable spontaneous ferroelectric polarization through  $\text{Bi}^{3+}/\text{Bi}^{5+}$  charge disproportionation, which stabilizes simultaneously the insulating phase. The continuous attenuation of the  $\text{Bi}^{3+}/\text{Bi}^{5+}$  disproportionation obtained by applying an external electric field reduces the band gap and RD spin splitting and drives the phase transition from a ferroelectric RD insulator to a paraelectric Dirac semimetal, through a topological Weyl semimetal intermediate state.

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Volume: 2

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Date Submitted: 8/29/19 12:00AM

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Publication Location:

**Article Title:** Design of a polar half-metallic ferromagnet with accessible and enhanced electric polarization

**Authors:** Danilo Puggioni, Alessandro Stroppa, James M. Rondinelli

**Keywords:** Half-metal; polar metal; spin filter, multiferroic

**Abstract:** We design a compound belonging to a class of materials designated as polar half-metallic ferromagnets, where a 100% spin polarization coexists with polar distortions that globally lift inversion symmetry. Using electronic structure calculations, we predict that the ultrashort period  $(\text{LaNiO}_3)_1/(\text{YCrO}_3)_1$  superlattice belongs to this materials class, exhibiting an integer magnetic moment of  $4 \mu_B$ . The minority channel electric polarization, as computed using Berry phase theory, is as high as  $\sim 13.0 \mu\text{C}/\text{cm}^2$ , and we discuss experimental strategies to access the polarization. We propose that polar ferromagnetic half-metals exhibit multiferroism and can be exploited to realize nonreciprocal effects and directional anisotropy owing to the absence of both space-inversion and time-reversal symmetries.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info

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**Journal:** Nature Communications

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Volume: 10

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Date Submitted: 8/29/19 12:00AM

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Publication Location:

**Article Title:** Evidence for the weakly coupled electron mechanism in an Anderson-Blount polar metal

**Authors:** N. J. Laurita, A. Ron, Jun-Yi Shan, D. Puggioni, N. Z. Koocher, K. Yamaura, Y. Shi, J. M. Rondinelli, D. I.

**Keywords:** Polar metal, displacive transition, ultrafast spectroscopy

**Abstract:** Over 50 years ago, Anderson and Blount proposed that ferroelectric-like structural phase transitions may occur in metals, despite the expected screening of the Coulomb interactions that often drive polar transitions. Recently, theoretical treatments have suggested that such transitions require the itinerant electrons be decoupled from the soft transverse optical phonons responsible for polar order. However, this decoupled electron mechanism (DEM) has yet to be experimentally observed. Here we utilize ultrafast spectroscopy to uncover evidence of the DEM in LiOsO<sub>3</sub>, the first known band metal to undergo a thermally driven polar phase transition ( $T_c \approx 140$  K). We demonstrate that intra-band photo-carriers relax by selectively coupling to only a subset of the phonon spectrum, leaving as much as 60% of the lattice heat capacity decoupled. This decoupled heat capacity is shown to be consistent with a previously undetected and partially displacive TO polar mode, indicating the DEM in LiOsO<sub>3</sub>.

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**Publication Type:** Journal Article Peer Reviewed: Y **Publication Status:** 1-Published

**Journal:** Chemistry of Materials

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Publication Identifier: 10.1021/acs.chemmater.9b00385

Volume: 31

Issue: 9

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Date Submitted: 8/29/19 12:00AM

Date Published: 4/1/19 5:00AM

Publication Location:

**Article Title:** MnBi<sub>2</sub>: A Metastable High-Pressure Phase in the Mn-Bi System

**Authors:** James P. S. Walsh, Samantha M. Clarke, Danilo Puggioni, Alexandra D. Tamerius, Yue Meng, James M.

**Keywords:** magnetism, high-pressure, intermetallic

**Abstract:** Permanent magnetism underpins a wide range of applications including wind energy harvesting and electric powered vehicles, where magnets are a critical component of the generators and motors inherent to these technologies. Materials that fuse a large magnetic response with a high coercivity (i.e., resistance to demagnetization) display permanent magnetism. One approach toward creating novel permanent magnets is by bringing together atoms that are spin-bearing, featuring large magnetic moments, with atoms possessing significant orbital angular momentum, to induce a high coercivity. Herein, we report the discovery of the metastable MnBi<sub>2</sub>, a previously unknown binary phase in the Mn-Bi system, which we synthesized above pressures of 8.3(1) GPa. Preliminary calculations on this phase indicate it may be a permanent magnet with a magnetic anisotropy of 0.205 MJ/m<sup>3</sup> in favor of the  $\langle 100 \rangle$  magnetization direction at 0 K.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info

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Volume: 99

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Date Submitted: 8/29/19 12:00AM

Date Published: 4/1/19 5:00AM

Publication Location:

**Article Title:** Ultrafast quasiparticle dynamics in the correlated semimetal

**Authors:** Yakun Yuan, Peter Kissin, Danilo Puggioni, Kevin Cremin, Shiming Lei, Yu Wang, Zhiqiang Mao, James

**Keywords:** polar metals, ultrafast pump-probe, semimetal, ruthenate

**Abstract:** The correlated polar semimetal  $\text{Ca}_3\text{Ru}_2\text{O}_7$  exhibits a rich phase diagram including two magnetic transitions ( $T_N = 56$  K and  $T_C = 48$  K) with the appearance of an insulating-like pseudogap (at  $T_C$ ). In addition, there is a crossover back to metallic behavior at  $T^* = 30$  K, the origin of which is still under debate. We utilized ultrafast optical-pump optical-probe spectroscopy to investigate quasiparticle dynamics as a function of temperature in this enigmatic quantum material. We identify two dynamical processes, both of which are influenced by the onset of the pseudogap. This includes electron-phonon relaxation and, below  $T_C$ , the onset of a phonon bottleneck hindering the relaxation of quasiparticles across the pseudogap. Our results highlight the value of low-fluence ultrafast optics as a sensitive probe of low-energy electronic structure, thermodynamic parameters, and transport properties of Ruddlesden-Popper ruthenates.

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**Journal:** Physical Review Materials

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Date Submitted: 8/29/19 12:00AM

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Publication Location:

**Article Title:** High-pressure synthesis of the  $\text{BiVO}_3$  perovskite

**Authors:** R. A. Klein, A. B. Altman, R. J. Saballos, J. P. S. Walsh, A. D. Tamerius, Y. Meng, D. Puggioni, S. D. Ja

**Keywords:** vanadate; perovskite, antiferromagnetic, metallic

**Abstract:** We report the high-pressure, high-temperature synthesis of  $\text{BiVO}_3$ , a cubic perovskite that thus far has remained inaccessible under ambient pressure conditions. We created this material at 225 GPa and 1500 K in a laser-heated diamond-anvil cell and recovered it to ambient pressure and temperature. Our synthetic approach circumvents the oxidative chemistry that, at ambient pressures, previously rendered the cubic  $\text{BiVO}_3$  perovskite inaccessible. We find through density-functional theory calculations that this material is a unique metallic and antiferromagnetic transition-metal oxide.

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Publication Location:

**Article Title:** Comprehensive magnetic phase diagrams of the polar metal  $\text{Ca}_3(\text{Ru}_{0.95}\text{Fe}_{0.05})\text{O}_7$

**Authors:** Shiming Lei, Shalinee Chikara, Danilo Puggioni, Jin Peng, Mengze Zhu, Mingqiang Gu, Weiwei Zhao, Y

**Keywords:** polar metal, magnetism, SHG, high-magnetic field

**Abstract:** Polar metals exist as a rather unique class of materials as they combine two seemingly mutually exclusive properties (polar order and metallicity) in one system. So far only a few polar metals have been unambiguously identified; the magnetic ones are exceptionally rare. Here we investigate a 5% Fe-doped polar metal  $\text{Ca}_3\text{Ru}_2\text{O}_7$ , via electrical transport, magnetization, microstrain, and optical second-harmonic generation measurements. We report the full magnetic phase diagrams (in the field-temperature space) for magnetic field  $H||a$  and  $H||b$ , which exhibit distinct field-dependent magnetizations behavior. In particular, for  $H||a$  we found a ferromagnetic incommensurate spin structure, which is absent in the pure  $\text{Ca}_3\text{Ru}_2\text{O}_7$ . We propose a microscopic spin model to understand this behavior, highlighting the role of Fe doping in tipping the delicate balance of the underlying exchange-interaction energy in this system.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
**Acknowledged Federal Support:** Y

**Publication Type:** Journal Article Peer Reviewed: Y **Publication Status:** 1-Published

**Journal:** Journal of Applied Physics

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Volume: 124

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Date Submitted: 8/29/19 12:00AM

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Publication Location:

**Article Title:** Polar metals as electrodes to suppress the critical-thickness limit in ferroelectric nanocapacitors

**Authors:** Danilo Puggioni, Gianluca Giovannetti, James M. Rondinelli

**Keywords:** nanocapacitors, ferroelectrics, electrodes, polar metals

**Abstract:** Here, we show how to overcome the critical thickness limit imposed on ferroelectricity by utilizing electrodes formed from a novel class of materials known as polar metals. Electronic structure calculations on symmetric polar-metal electrode/FE capacitor structures demonstrate that electric polarizations persist to the sub-nanometer scale with the thickness approaching zero when a component of the polar axis in the electrode is perpendicular to the electrode/insulator interface, i.e., aligned along the direction of the polar displacements in the ferroelectric. Our results reveal the importance of interfacial dipolar coherency in sustaining the polarization, which provides a platform for atomic structure-based design of functions that deteriorate in reduced dimensions.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
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Volume: 99

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Publication Location:

**Article Title:** Atomic and electronic structure of domain walls in a polar metal

**Authors:** Greg Stone, Danilo Puggioni, Shiming Lei, Mingqiang Gu, Ke Wang, Yu Wang, Jianjian Ge, Xue-Zeng L

**Keywords:** polar metal, domain walls, improper ferroelectric

**Abstract:** Polar metals counterintuitively bring two well-known phenomena into coexistence, namely, bulk polar displacements, and an electronic Fermi surface giving rise to metallic conduction. However, little is known about the polar domains or domain walls in such materials. Using atomic resolution electron microscopy imaging combined with first principles density functional theory, we show that uncharged head-to-tail walls, and “charged” head-to-head and tail-to-tail walls can exist in the bulk of such crystals of polar metals  $\text{Ca}_3\text{Ru}_2\text{O}_7$ , where both structural changes at the wall as well as electrostatic considerations define the wall nature. Significant built-in potentials of 30–170 meV are predicted at such walls.

**Distribution Statement:** 2-Distribution Limited to U.S. Government agencies only; report contains proprietary info  
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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published

**Journal:** Applied Physics Letters

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Volume: 113

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Publication Location:

**Article Title:** Linear and nonlinear optical probe of the ferroelectric-like phase transition in a polar metal,  $\text{LiOsO}_3$

**Authors:** Haricharan Padmanabhan, Yoonsang Park, Danilo Puggioni, Yakun Yuan, Yanwei Cao, Lev Gasparov,

**Keywords:** optical properties, polar metal, SHG, osmate

**Abstract:**  $\text{LiOsO}_3$  is one of the first materials identified as a “polar metal,” a class of materials that are simultaneously noncentrosymmetric and metallic. In this work, the linear and nonlinear optical susceptibility of  $\text{LiOsO}_3$  is studied by means of ellipsometry and optical second harmonic generation (SHG). Strong optical birefringence is observed using spectroscopic ellipsometry. The nonlinear optical susceptibility extracted from SHG polarimetry reveals that the tensor components are of the same magnitude as in the isostructural insulator  $\text{LiNbO}_3$ , except the component along the polar axis  $d_{33}$  is suppressed by an order of magnitude. Temperature-dependent SHG measurements in combination with Raman spectroscopy indicate a continuous order-disorder type polar phase transition at 140K. Linear and nonlinear optical microscopy measurements reveal  $109^\circ/71^\circ$  ferroelastic domain walls, like in other trigonal ferroelectrics. No 180 degree polar domain walls are observed across the transition

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Volume: 2

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Date Submitted: 8/26/20 12:00AM

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Publication Location:

**Article Title:** Evidence for an extended critical fluctuation region above the polar ordering transition in LiOsO<sub>3</sub>

**Authors:** Jun-Yi Shan, A. de la Torre, N. J. Laurita, L. Zhao, C. D. Dashwood, D. Puggioni, C. X. Wang, K. Yamat

**Keywords:** polar metal, fluctuations, SHG

**Abstract:** Metallic LiOsO<sub>3</sub> undergoes a continuous ferroelectric-like structural phase transition below  $T_c = 140$  K to realize a polar metal. To understand the microscopic interactions that drive this transition, we study its critical behavior above  $T_c$  via electromechanical coupling—distortions of the lattice induced by short-range dipole-dipole correlations arising from Li off-center displacements. By mapping the full angular distribution of second harmonic electric-quadrupole radiation from LiOsO<sub>3</sub> and performing a simplified hyper-polarizable bond model analysis, we uncover subtle symmetry-preserving lattice distortions over a broad temperature range extending from  $T_c$  up to around 230 K, characterized by nonuniform changes in the short and long Li-O bond lengths. Such an extended region of critical fluctuations may explain anomalous features reported in specific heat and Raman scattering data and suggests the presence of competing interactions must be treated.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

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**Publication Type:** Journal Article Peer Reviewed: Y **Publication Status:** 1-Published

**Journal:** Physical Review Research

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Volume: 2

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Date Submitted: 8/26/20 12:00AM

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Publication Location:

**Article Title:** Cooperative interactions govern the fermiology of the polar metal Ca<sub>3</sub>Ru<sub>2</sub>O<sub>7</sub>

**Authors:** Danilo Puggioni, M. Horio, J. Chang, James M. Rondinelli

**Keywords:** polar metal, Lifshitz transition, ruthenates

**Abstract:** Here we perform both first-principles calculations with static correlations and angle-resolved photoelectron spectroscopy experiments to construct a complete model of Ca<sub>3</sub>Ru<sub>2</sub>O<sub>7</sub>, reconciling inconsistencies among interpretations of electrical transport, thermopower measurements, and momentum- and energy-resolved band dispersions. The solution relies on treating the interplay among Coulomb repulsion, magnetic ordering, spin-orbit interactions, and the RuO<sub>6</sub> octahedral degrees of freedom on equal footing. For temperatures  $30 < T < 48$  K, we propose weak electron-electron interactions produce a symmetry-preserving metal-semimetal transition with Weyl nodes in proximity to the Fermi level, whereas an orthorhombic Pn21a structure emerges for  $T < 30$  K, exhibiting charge- and spin-density waves from enhanced Coulombic interactions.

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**Journal:** Chemistry of Materials

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Date Submitted: 8/26/20 12:00AM

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Publication Location:

**Article Title:** Covalency-driven Structural Evolution in the Polar Pyrochlore Series Cd<sub>2</sub>Nb<sub>2</sub>O<sub>7-x</sub>S<sub>x</sub>

**Authors:** Geneva Laurita, Daniel Hickox-Young, Samra Husremovic, Jun Li, Arthur W. Sleight, Robin Macaluso, J

**Keywords:** pyrochlore, polar displacements, anion substitution

**Abstract:** Here, we investigate the effect of varying degrees of covalency in the pyrochlore lattice through a detailed structural and lattice dynamical analysis of the pyrochlore oxysulfide series Cd<sub>2</sub>Nb<sub>2</sub>O<sub>7-x</sub>S<sub>x</sub> above and below the ferroelectric transition temperatures (TC) using synchrotron X-ray diffraction and first principles calculations. All compositions exhibit the cubic Fd $\bar{3}m$  pyrochlore aristotype above TC, whereas the amplitude and character of various structural distortions are found to be composition-dependent below TC. For x = 0, large Cd and Nb cation displacements occur to produce the polar Ima2 structure accompanied by a change in translational symmetry. Our symmetry and lattice dynamical calculations indicate that Cd<sub>2</sub>Nb<sub>2</sub>O<sub>7</sub> undergoes a proper ferroelectric transition through TC.

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**Journal:** Physical Review B

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Publication Location:

**Article Title:** Persistent polar distortions from covalent interactions in doped BaTiO<sub>3</sub>

**Authors:** Daniel Hickox-Young, Danilo Puggioni, James M. Rondinelli

**Keywords:** second-order Jahn-Teller effect, polar metal, perovskite

**Abstract:** Here, we perform a first-principles analysis of the evolution in the polar distortions in perovskite BaTiO<sub>3</sub> under electrostatic doping to ascertain the dependencies of acentricity and electrical conductivity at the microscopic level. We focus on the role of local off-centering displacements, driven by the second-order Jahn-Teller effect, rather than discussing bulk homogeneous and cooperative lifting of inversion symmetry, which relies on long-range Coulombic interactions. We show that n-type doping disrupts the Ti-O covalent bond and advocate for using chemical bonding arguments as a framework for understanding the interplay between local distortions and free charge carriers rather than solely principles of dielectric screening in metals.

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**Volume:** 3      **Issue:** 9      **First Page #:**  
**Date Submitted:** 8/26/20 12:00AM      **Date Published:** 9/1/19 5:00AM  
**Publication Location:**

**Article Title:** Uncorrelated Bi off-centering and the insulator-to-metal transition in ruthenium A<sub>2</sub>Ru<sub>2</sub>O<sub>7</sub> pyrochlores

**Authors:** Geneva Laurita, Danilo Puggioni, Daniel Hickox-Young, James M. Rondinelli, Michael W. Gaultois, Kath

**Keywords:** metal-insulator transition, polar displacements, pyrochlore

**Abstract:** Here we study the role of disorder in the form of cation off-centering on the compositionally-controlled insulator-to-metal transition in the solid solution oxide pyrochlore (Pr<sub>1-x</sub>Bi<sub>x</sub>)<sub>2</sub>Ru<sub>2</sub>O<sub>7</sub>. Prior work has established site disorder by the Bi<sup>3+</sup> cations shifting incoherently away from their ideal crystallographic site in the Bi end-member pyrochlore as a consequence of stereochemical activity of the lone pair of electrons. However, less is known about the consequences of such off-centering in solid solutions and its role in determining the electronic ground state. Here we demonstrate through total scattering studies that even a small substitution of Bi on the pyrochlore A site leads to site disorder that enhances the average effective size of the A-site cation. Density functional calculations suggest the combination of primary and secondary (due to size) electronic effects of the lone pair-driven incoherent cation displacements drive the solid solution into a metallic state.

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**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published  
**Journal:** Physical Review Letters  
**Publication Identifier Type:** DOI      **Publication Identifier:** 10.1103/PhysRevLett.125.077202  
**Volume:** 125      **Issue:** 7      **First Page #:**  
**Date Submitted:** 8/26/20 12:00AM      **Date Published:** 8/1/20 5:00AM  
**Publication Location:**

**Article Title:** Pressure-Induced Collapse of Magnetic Order in Jarosite

**Authors:** Ryan A. Klein, James P. S. Walsh, Samantha M. Clarke, Zhenxian Liu, E. Ercan Alp, V

**Keywords:** jarosite, kagome, magnetism

**Abstract:** We report a pressure-induced phase transition in the frustrated kagome material jarosite at ~45 GPa, which leads to the disappearance of magnetic order.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

**Acknowledged Federal Support:** Y

**Publication Type:** Journal Article      Peer Reviewed: Y      **Publication Status:** 1-Published  
**Journal:** Chemical Science  
**Publication Identifier Type:** DOI      **Publication Identifier:** 10.1039/D0SC01994A  
**Volume:** 11      **Issue:** 23      **First Page #:** 5922  
**Date Submitted:** 8/26/20 12:00AM      **Date Published:**  
**Publication Location:**

**Article Title:** Synthetic investigation of competing magnetic interactions in 2D metal-chloranilate radical frameworks

**Authors:** Kelsey A. Collins, Richard J. Saballos, Majed S. Fataftah, Danilo Puggioni, James M. Rondinelli, Danna

**Keywords:** MOFs, kagome, spin frustration

**Abstract:** Herein, we describe the synthesis and magnetic characterization of a new 2,5-dihydroxy-1,4-benzoquinone based material, (NMe<sub>2</sub>H<sub>2</sub>)<sub>3.5</sub>Ga<sub>2</sub>(C<sub>6</sub>O<sub>4</sub>Cl<sub>2</sub>)<sub>3</sub> (1), which features radical-based electronic spins on the sites of a kagome lattice, a geometric lattice known to engender exotic electronic properties. Vibrational and electronic spectroscopies, in combination with magnetic susceptibility measurements, revealed 1 exhibits mixed valency between the radical-bearing trianionic and diamagnetic tetraanionic oxidation states of the ligand. This unpaired electron density on the ligand forms a partially occupied kagome lattice where approximately 85% of the lattice sites are occupied with an S=1/2 spin.

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Date Submitted: 8/26/20 12:00AM

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Publication Location:

**Article Title:** Viewpoint: Atomic-Scale Design Protocols toward Energy, Electronic, Catalysis, and Sensing Applications

**Authors:** Florian Belviso, Victor E. P. Claerhout, Aleix Comas-Vives, Naresh S. Dalal, Feng-Ren Fan, Alessio Filippetti

**Keywords:** complex oxides, phase transitions, energy materials

**Abstract:** Nanostructured materials are essential building blocks for the fabrication of new devices for energy harvesting/storage, sensing, catalysis, magnetic, and optoelectronic applications. However, because of the increase of technological needs, it is essential to identify new functional materials and improve the properties of existing ones. The objective of this Viewpoint is to examine the state of the art of atomic-scale simulative and experimental protocols aimed to the design of novel functional nanostructured materials, and to present new perspectives in the relative fields. This is the result of the debates of Symposium I "Atomic-scale design protocols towards energy, electronic, catalysis, and sensing applications," which took place within the 2018 European Materials Research Society fall meeting.

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**Journal:** Physical Review Letters

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Date Submitted: 8/26/20 12:00AM

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Publication Location:

**Article Title:** Design of Heteroanionic MoON Exhibiting a Peierls Metal-Insulator Transition

**Authors:** Nathan J. Szymanski, Lauren N. Walters, Danilo Puggioni, James M. Rondinelli

**Keywords:** metal-insulator transition, polar metal, rutile

**Abstract:** Using a first-principles approach, we design the heteroanionic oxynitride MoON to exhibit a first-order isosymmetric thermally activated Peierls-type metal-insulator transition (MIT). We identify a ground state insulating phase ( $\beta$ -MoON) with monoclinic  $Pc$  symmetry and a metastable high temperature metallic phase ( $\alpha$ -MoON) of equivalent symmetry. We find that ordered fac-MoO<sub>3</sub>N<sub>3</sub> octahedra with edge and corner connectivity stabilize the twisted Mo-Mo dimers present in the  $\beta$  phase, which activate the MIT through electron localization within the 4d  $a_{1g}$  manifold. By analyzing the temperature dependence of the soft zone-boundary instability driving the MIT, we estimate an ordering temperature  $T_{MIT} \approx 900$  K. Our work shows that electronic transitions can be designed by exploiting multiple anions, and heteroanionic materials could offer new insights into the microscopic electron-lattice interactions governing unresolved transitions in homoanionic oxides.

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Publication Identifier: 10.1016/j.matt.2020.08.028

Volume: 3

Issue: 4

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Date Submitted: 8/27/21 12:00AM

Date Published: 10/1/20 5:00AM

Publication Location:

**Article Title:** Discovery Principles and Materials for Symmetry-Protected Persistent Spin Textures with Long Spin Lifetimes

**Authors:** Xue-Zeng Lu, James M. Rondinelli

**Keywords:** spin textures, ferroelectrics, oxides

**Abstract:** Persistent spin textures (PSTs) in solid-state materials arise from a uni-directional spin-orbit field in momentum space and offer a route to deliver the necessary long carrier spin lifetimes utilized in future quantum microelectronic devices. Nonetheless, few bulk materials host PSTs owing to crystal symmetry and chemical requirements, with even fewer experimentally demonstrated examples. This scarcity makes both PST materials discovery and performance assessment challenging. Here, we demonstrate that bulk PSTs exist in the family of layered A3B2O7 oxides and that a persistent spin helix (PSH) occurs over a large region of the Brillouin zone—a feature essential to experimental realization. By solving the spin-diffusion equations in the strong coupling limit and using the PST performance criteria we formulate, we find that the spin lifetime of the PSH is ~63 ns in Sr3Hf2O7—substantially larger than that predicted and demonstrated experimentally in GaAs/AlGaAs quantum wells.

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**Journal:** Chemistry of Materials

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Volume: 33

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Date Submitted: 8/27/21 12:00AM

Date Published: 6/1/21 5:00AM

Publication Location:

**Article Title:** Polar Ferromagnetic Metal by Intercalation of Metal–Amine Complexes

**Authors:** Huafei Zheng, Brandon C. Wilfong, Daniel Hickox-Young, James M. Rondinelli, Peter Y. Zavalij, Efrain E

**Keywords:** polar ferromagnetic metal, intercalation chemistry, 2D materials

**Abstract:** The metal-amine complex  $\text{Co}(\text{en})_3$ , where en = ethylenediamine, intercalates between layers of cobalt sulfide (CoS) to form a polar, ferromagnetic metal. We solve the structure of the hybrid compound  $[\text{Co}(\text{en})_3](\text{CoS})_{12\cdot\text{en}}$  in the polar group  $\text{Pca}21$  with lattice parameters  $a = 14.778(3) \text{ \AA}$ ,  $b = 11.066(3) \text{ \AA}$ , and  $c = 20.095(5) \text{ \AA}$  using single-crystal X-ray diffraction. The  $[\text{Co}(\text{en})_3]^{2+}$  complexes order between CoS layers and break their inherent fourfold symmetry. Moreover, the chiral  $\text{Co}(\text{en})_3$  complexes hydrogen bond to the terminal sulfides of the layers and break inversion symmetry, thereby inducing a polar state. From 1.8 to 300 K, the title compound displays metallic electrical resistivity and an anomaly at 43 K. Through magnetization measurements, we find that  $\text{Co}(\text{en})_3$  exhibits spontaneous ferromagnetic order below 43 K. First-principles calculations reproduce the ferromagnetic structure and illustrate decoupling between the conducting electrons and the inversion-lifting distortion.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

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**Journal:** Physical Review B

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Volume: 103

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Date Submitted: 8/27/21 12:00AM

Date Published: 4/1/21 5:00AM

Publication Location:

**Article Title:** Comprehensive anisotropic linear optical properties of the Weyl semimetals TaAs and NbAs

**Authors:** Rui Zu, Mingqiang Gu, Lujin Min, Chaowei Hu, Ni Ni, Zhiqiang Mao, James M. Rondinelli, Venkatraman

**Keywords:** Weyl semimetal, Optical Properties

**Abstract:** TaAs and NbAs are two of the earliest identified Weyl semimetals that possess many intriguing optical properties, such as chirality-dependent optical excitations and giant second harmonic generation (SHG). Linear and nonlinear optics have been employed as tools to probe the Weyl physics in these crystals. Here, we extend these studies to address two important aspects: determining the complete anisotropic dielectric response and exploring if and how they can reveal essential Weyl physics. We determine the complete anisotropic dielectric functions of TaAs and NbAs by combining spectroscopic ellipsometry and density functional theory (DFT). We suggest that strong optical resonances from trivial bands are the likely origin of the large optical SHG previously reported at these energies.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

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**Journal:** Nature Communications

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Publication Identifier: 10.1038/s41467-022-29545-5

Volume: 13

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Date Submitted: 8/5/22 12:00AM

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Publication Location:

**Article Title:** Interlayer magnetophononic coupling in MnBi<sub>2</sub>Te<sub>4</sub>

**Authors:** Hari Padmanabhan, Maxwell Poore, Peter K. Kim, Nathan Z. Koocher, Vladimir A. Stoica, Danilo Puggic

**Keywords:** magnetophononic coupling,

**Abstract:** The emergence of magnetism in quantum materials creates a platform to realize spin-based applications in spintronics, magnetic memory, and quantum information science. A key to unlocking new functionalities in these materials is the discovery of tunable coupling between spins and other microscopic degrees of freedom. We present evidence for interlayer magnetophononic coupling in the layered magnetic topological insulator MnBi<sub>2</sub>Te<sub>4</sub>. Employing magneto-Raman spectroscopy, we observe anomalies in phonon scattering intensities across magnetic field-driven phase transitions, despite the absence of discernible static structural changes. This behavior is a consequence of a magnetophononic wave-mixing process that allows for the excitation of zone-boundary phonons that are otherwise 'forbidden' by momentum conservation. Our microscopic model based on density functional theory calculations reveals that this phenomenon can be attributed to phonons modulating the interlayer exchange coupling.

**Distribution Statement:** 1-Approved for public release; distribution is unlimited.

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### DISSERTATIONS:

**Publication Type:** Thesis or Dissertation

**Institution:** Drexel University

Date Received: 19-Aug-2016

Completion Date: 4/1/16 10:37PM

**Title:** First Principles Design of Non-Centrosymmetric Metal Oxides

**Authors:** Joshua Young

Acknowledged Federal Support: N

**RPPR Final Report**  
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**Publication Type:** Thesis or Dissertation

**Institution:** Northwestern University

Date Received: 27-Aug-2021

Completion Date: 8/9/21 1:41PM

**Title:** Materials and Models for Coexisting Metallic Conductivity and Broken Inversion Symmetry

**Authors:** Daniel Hickox-Young

Acknowledged Federal Support: **Y**

**PATENTS:**

**Intellectual Property Type:** Patent

Date Received: **29-Aug-2017**

**Patent Title:** Noncentrosymmetric Metal Electrodes for Ferroic Devices

**Patent Abstract:** A ferroelectric heterostructure may comprise a ferroelectric layer comprising a ferroelectric mate

**Patent Number:** 15/666,856

Patent Country: USA

Application Date: 02-Aug-2017

Application Status: 1

Date Issued:

**Partners**

,

I certify that the information in the report is complete and accurate:

Signature: James Rondinelli

Signature Date: 8/5/22 6:10PM

## MAJOR ACCOMPLISHMENTS

Major goals in this period are enumerated next and discussed below:

- A. Harmonizing acentricity and metallicity in theory, simulation, and experiment with a lexicon for polar metals.
- B. Transforming a strain-stabilized ferroelectric into an intrinsic polar metal with light.
- C. Time-reversal symmetry breaking in a strongly polar metal by design.

**A. Harmonizing acentricity and metallicity in theory, simulation, and experiment with a lexicon for polar metals.** The concept of crystalline metals without inversion symmetry, specifically those that lift parity symmetry to support a polar crystal structure, has been frequently attributed to a concise 1965 Letter by Blount and Anderson titled “Symmetry Considerations on Martensitic Transformations: ‘Ferroelectric’ Metals.” What is less well appreciated is that Blount and Anderson’s article focuses on how a nominally first-order ferroelastic transition, observed at the time in the metallic silicide  $V_3Si$ , could exhibit second-order character, presumably attributed to a displacive component akin to the paraelectric-to-ferroelectric transition found in insulating compounds which exhibit a well-defined electric polarization below a critical temperature. At the time nearly all martensitic (ferroelastic) transformations in metals exhibited strong first-order character; yet,  $V_3Si$  exhibited second-order behavior. The continuous response was rationalized by inferring that the symmetry-break should be like that found in second-order displacive ferroelectrics, hence the quotes around “ferroelectric” in the title. Blount and Anderson did not suppose that “ferroelectric” metals would possess a switchable polarization. Gauss’s Law dictates that no electric field may exist within a metal, so the atomic structure of a polar metal should be immune to perturbation via external applied electric field. Ironically, for years this same physical law seemed to imply that polar metals shouldn’t exist at all. In prototypical ferroelectrics like  $BaTiO_3$  it was shown that the polar displacement was stabilized by long-range dipole-dipole interactions. In the presence of free charge carriers, such interactions would be completely screened, favoring the centrosymmetric structure. In the decades following Anderson and Blount’s prediction, it appeared that Gauss’s Law would prevail over the synthesis of a polar metal. There were a couple of candidates in the 2000’s which combined polar order and metallicity via compositional ordering, but it was not until 2013 that Shi et al. showed a displacive polar transition in the metal  $LiOsO_3$ . Their work demonstrated that a “ferroelectric”-like transition need not rely on long-range interactions, but may derive from local structural effects (geometrically-driven Li displacement in the case of  $LiOsO_3$ ).

During the rapid increase in scholarship, diverse methods of combining these previously contraindicated properties have been proposed and executed, ranging from degenerately doped ferroelectrics to metals with hybrid improper polar distortions to two-dimensional thin films and interfaces. Accompanying these materials are a variety of terms. Polar, ferroelectric, “ferroelectric,” ferroelectric-like, and native ferroelectric qualifiers that have been used to describe metallic systems with broken inversion symmetry. Furthermore, many so-called “ferroelectric metals” either push the boundaries of what may be called ‘metallic’ or do not exhibit a switchable polarization. Given the advances in dielectric, modern polarization, and soft-mode theories, we find that the ferroelectric-like designation and its derivatives are cumbersome and nonessential descriptions, obfuscating the physics displayed by very different (and yet, equally interesting) classes of materials. In addition, we note that both experimental and computational approaches to studying these materials have at times been abused. Computationally, the background-charge approach to electrostatic doping simulations fails to model reality in multiple underappreciated ways. Meanwhile, in experiments, the application of ferroelectric characterization techniques to materials that are not formally ferroelectrics both erodes the key differences separating metals from dielectrics and can lead to misinterpretations of measured dielectric polarizations, e.g., electric polarization hysteresis.

In the last period, we developed meaningful atomic and electronic structure descriptions to distinguish materials based on conductivity and symmetry considerations. We have prepared a manuscript highlighting the ways in which the assumptions of the popular background-charge approach to electrostatic doping simulations do not always resemble experiments. This leads to a discussion of the tensions between theory and experiment, as exemplified by both the various methods for combining polar order and metallicity and the shortcomings of our current terminology. Finally, we presented a survey of materials known to combine conductivity with broken inversion, suggesting new terminology and

classification based on clearly defined class descriptors. A research paper in which we report our ideas and opinions on polar metals is under preparation and will be submitted as soon as possible.

**B. Transforming a strain-stabilized ferroelectric into an intrinsic polar metal with light.** We explored the effects of chemical and photodoping in the strain-induced multiferroic  $\text{EuTiO}_3$  grown on  $\text{DyScO}_3$  substrates. The polar order was probed experimentally using second harmonic generation (SHG) and modeled using ab initio calculations. At low photodoping concentrations, we observed a reduction in SHG signal, indicating a destructive coupling between charge carriers and polar order in accordance with our simulations and expectations for a second-order Jahn-Teller driven ferroelectric. However, under increased photodoping the reduction in SHG plateaus at 84% of its original magnitude, indicating resilience of the polar order in the presence of a high concentration of delocalized electrons. This behavior stands in contrast with our first principles simulations, indicating that  $\text{EuTiO}_3$  undergoes a transition from ferroelectric to polar metallic character under photodoping. We suggest several hypotheses for the mechanism behind this change in distortion character. A manuscript reporting these findings is under consideration by *Physical Review Letters*.

**C. Time-reversal symmetry breaking in a strongly polar metal by design.** Polar metals, with a combination of conflicting polarization and metallicity, have recently garnered increased interest because of their promising functionalities. Adding magnetism into this unique state would offer exciting opportunities to obtain exotic quantum states, analogous to magnetoelectric multiferroics with coupled polarization and magnetization. However, such an intrinsic ferromagnetic polar metallic state remains elusive, especially in correlated electron materials. We reported the experimental realization of coexisting ferromagnetism, polarity, and metallicity in a new quasi-two-dimensional  $3d$  transition metal oxide  $\text{Ca}_3\text{Co}_3\text{O}_8$ . Structural oxygen vacancies order to form a periodic stacking of oxygen tetrahedral monolayers alternating with octahedral bilayers. The ferromagnetic metallic state is confined within the quasi-two-dimensional  $\text{CoO}_6$  octahedral layers, while the broken inversion symmetry arises simultaneously from Co displacements, amplified by the onset of magnetism. The dual absence of spatial-inversion and time-reversal symmetries, as well as their strong coupling, produce an intrinsic magnetochiral anisotropy with exotic magnetic field-free nonreciprocal electrical resistivity, which we show is tunable through flexoelectric coupling with applied stresses. We also observed an extraordinarily robust topological Hall effect that persists over a broad temperature-field phase space arising from dipolar-induced Rashba spin-orbit coupling. Our work provides a rich platform to couple polar and magnetic states and defines a novel design strategy to access new correlated oxides with rich properties through ordered oxygen vacancies. The manuscript is under consideration by *Nature*.

## OTHER ACCOMPLISHMENTS

**1. Interlayer magnetophononic coupling in  $\text{MnBi}_2\text{Te}_4$ .** The emergence of magnetism in quantum materials creates a platform to realize spin-based applications in spintronics, magnetic memory, and quantum information science. A key to unlocking new functionalities in these materials is the discovery of tunable coupling between spins and other microscopic degrees of freedom. We present evidence for inter-layer magnetophononic coupling in the layered magnetic topological insulator  $\text{MnBi}_2\text{Te}_4$ . We employ magneto-Raman spectroscopy to observe anomalies in phonon scattering intensities across magnetic field-driven phase transitions despite the absence of discernible static structural changes. This behavior is a consequence of a magnetophononic wave-mixing process that allows for the excitation of zone-boundary phonons that are otherwise ‘forbidden’ by momentum conservation. Our microscopic model based on density functional theory calculations reveals that this phenomenon can be attributed to phonons modulating the inter-layer exchange coupling. Moreover, signatures of magnetophononic coupling are also observed in the time domain through the ultrafast excitation and detection of coherent phonons across magnetic transitions. In light of the intimate connection between magnetism and topology in  $\text{MnBi}_2\text{Te}_4$ , the magnetophononic coupling represents an important step towards coherent on-demand manipulation of magnetic topological phases. These results are published in *Nat Commun* **13**, 1929 (2022) [<https://www.nature.com/articles/s41467-022-29545-5>].

## 2. Large Itinerant Electron Exchange Coupling in the Magnetic Topological Insulator $\text{MnBi}_2\text{Te}_4$ .

Magnetism in topological materials creates phases exhibiting quantized transport phenomena with applications in spintronics and quantum information. The emergence of such phases relies on strong interaction between localized spins and itinerant states comprising the topological bands, and the subsequent formation of an exchange gap. However, this interaction has never been measured in any intrinsic magnetic topological material. Using a multimodal approach, this exchange interaction is measured in  $\text{MnBi}_2\text{Te}_4$ , the first realized intrinsic magnetic topological insulator. Interrogating nonequilibrium spin dynamics, itinerant bands are found to exhibit a strong exchange coupling to localized Mn spins. Momentum-resolved ultrafast electron scattering, and magneto-optic measurements reveal that itinerant spins disorder via electron-phonon scattering at picosecond timescales. Localized Mn spins, probed by resonant X-ray scattering, disorder concurrently with itinerant spins, despite being energetically decoupled from the initial excitation. Modeling the results using atomistic simulations, the exchange coupling between localized and itinerant spins is estimated to be  $>100$  times larger than superexchange interactions. This implies an exchange gap of  $>25$  meV should occur in the topological surface states. By directly quantifying local-itinerant exchange coupling, this work validates the materials-by-design strategy of utilizing localized magnetic order to create and manipulate magnetic topological phases, from static to ultrafast timescales. The manuscript is under consideration by *Advanced Materials* and the preprint is available on arXiv at <https://arxiv.org/abs/2204.04791>.

**3. Quantized non-Abelian, Berry's flux and higher-order topology of  $\text{Na}_3\text{Bi}$ .** Recent theoretical works on effective, four-band models of three-dimensional, Dirac semimetals suggest the generic planes in momentum space, orthogonal to the direction of nodal separation, and lying between two Dirac points are higher-order topological insulators, supporting gapped, edge-states. Furthermore, the second homotopy classification of four-band models shows the higher-order topological insulators support quantized, non-Abelian Berry's flux and the Dirac points are monopoles of  $\text{SO}(5)$  Berry's connections. Due to the lack of suitable computational scheme, such bulk topological properties are yet to be determined from the ab initio band structures of Dirac materials. In this work, we report first, comprehensive topological classification of ab initio band structures of  $\text{Na}_3\text{Bi}$ , by computing Wilson loops of non-Abelian, Berry's connections for several, Kramers-degenerate bands. Our work shows the quantized, non-Abelian, Berry's flux can be used as a stable, bulk invariant for describing higher-order topology and topological phase transitions. A manuscript reporting these findings is under preparation and the initial preprint is available on arXiv at <https://doi.org/10.48550/arXiv.2102.06207>.

**4. Topology of three-dimensional Dirac semimetals and generalized quantum spin Hall systems without gapless edge modes.** Usually, the quantum spin Hall states are expected to possess gapless, helical edge modes. Are there clean, non-interacting, quantum spin Hall states without gapless, edge modes? We show the generic,  $n$ -fold-symmetric, momentum planes of three-dimensional, stable Dirac semi-metals, which are orthogonal to the direction of nodal separation are examples of such generalized quantum spin Hall systems. We demonstrate that the planes lying between two Dirac points and the celebrated Bernevig-Zhang-Hughes model support identical quantized, non-Abelian Berry flux of magnitude  $2\pi$ . Consequently, both systems exhibit spin-charge separation in response to electromagnetic  $\pi$ -flux vortex. The Dirac points are identified as the unit-strength, monopoles of  $\text{SO}(5)$  Berry connection, describing topological quantum phase transitions between generalized, quantum spin Hall and trivial insulators. Our work identifies precise bulk invariant and quantized response of Dirac semimetals and shows that many two-dimensional higher-order topological insulators can be understood as generalized quantum spin Hall systems, possessing gapped edge states. This work is under consideration by *Physical Review Letters* and the preprint is available on arXiv at <https://arxiv.org/abs/2012.12906>.