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(6) AN ANALYSIS OF IONOSPHERIC ELECTRON CONTENT MEASUREMENTS UTILIZING SATELLITE-EMITTED SIGNALS

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(10) George H. Millman

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Summary

Analytical techniques are available which make use of the Faraday polarization rotation and the Doppler frequency shift phenomena for determining the ionospheric electron content by the passive monitoring of radio wave transmissions emanating from earth satellites. The accuracy of the various techniques can be evaluated by the simulator-computer program described in this report. The major components of the simulator consist of a satellite-orbit generator, a time-variant three-dimensional electron density model and an earth magnetic field model expressed in terms of a series of spherical harmonics. Ray tracings are performed utilizing Simpson's rule for numerical integration of the definite integrals defining the propagation phenomena. Preliminary results are presented of an analysis performed for one location in the midlatitudes.

Key Words

Ionosphere
Electron Content
Faraday Rotation
Doppler Frequency Shift
Differential Phase
Satellite

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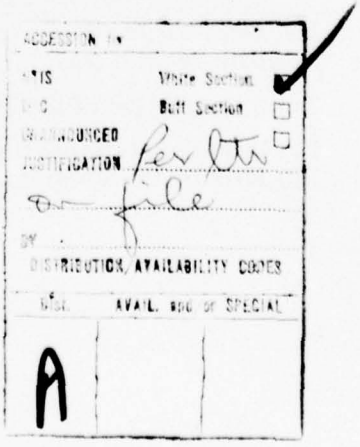

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SECTION I

INTRODUCTION

When radio waves emanating from earth's satellites traverse the ionosphere, they undergo both a rotation of the plane of polarization, i.e., Faraday effect, and a Doppler frequency shift. Analytical techniques have evolved which utilize the two phenomena for the study of the electron content in the ionosphere.

Utilization of the Faraday method which necessitates that the satellite-transmitted signal be linearly polarized has been demonstrated by Bertin and Papet-Lépine (1970), Bertin et al. (1966), Blackband (1960), Checcacci (1966), Golton and Walker (1971), Kersley and Taylor (1974), Klobuchar et al. (1968), Klobuchar and Whitney (1966), Lawrence et al. (1963), Liszka (1961, 1966), Lyon (1965, 1970), Mendillo et al. (1970), Merrill and Lawrence (1969), Münther (1966), Rao (1967), Roger (1964), Shmelovsky et al. (1963), Yeh and Swenson (1961), and Yuen and Roelofs (1966).

The Doppler method which requires that the satellite transmit at least two coherent harmonically-related frequencies has been employed by Bhonsle (1966), de Mendonça (1962), Evans and Holt (1973), Millman and Anderson (1968), and Ross (1960a, 1960b).

The combination of the Faraday and Doppler methods, often referred to as the hybrid technique, has also been successfully applied to ionospheric electron content investigations by Arendt and Soicher (1969), Burgess (1963), de Mendonça and Garriott (1962), and Golton (1962).

In this report, an evaluation is made of the accuracy of several of the analytical approaches which make use of the Faraday and Doppler phenomena for determining the ionospheric electron content. This is accomplished by means of a simulator-computer program which consists of a satellite-orbit generator, a time-variant three-dimensional electron density model and an earth magnetic field model expressed in terms of a series of spherical harmonics.

In Section II of this report, the analytical formulations for deducing the electron content in the ionosphere from Faraday and Doppler measurements are described.

A description of the simulator which is used to synthesize the Faraday and Doppler recordings of satellite signals is given in Section III.

In Section IV, the analysis of the simulated Faraday and Doppler data and the accuracy results of the various methods for electron content determination are discussed.

The conclusions of this study are presented in Section V.

SECTION II

THEORETICAL CONSIDERATIONS

2.1 FARADAY ROTATION

2.1.1 INTRODUCTION

The amount of angular rotation, Ω (in radians), experienced by a linearly polarized wave traversing a one-way path in the ionosphere can be represented by the function

$$\Omega = \frac{K_1}{f^2} \int_0^{h_s} H \cos\theta \, f(h) \, N_e \, dh \qquad (2\text{-}1)$$

where K_1 is a constant equal to 2.362×10^4 cgs units, f is the transmission frequency in Hz, H is the magnetic field intensity in Gauss, f(h) is the secant of angle between the ray path and the zenith, N_e is the electron density in electrons/cm^3, dh is the height differential in cm, and θ is the propagation angle, i.e., the angle between the direction of the earth's magnetic lines of force and the direction of propagation.

This relationship which is derived in Appendix A contains only the first-order term for the refractive index. As a first approximation, the higher order terms have been neglected.

Since the combined function, H cos θ f(h), varies relatively slowly with altitude (Millman and Rose, 1961), it is valid to remove the terms outside the integral of Equation (2-1).

Thus, the angular rotation can be written in the form

$$\Omega \approx \frac{K_1}{f^2} \, \overline{M} \, N_t \qquad (2\text{-}2)$$

where $\overline{M}$ is the mean value of the geometric magnetic factor

$$\overline{M} = \overline{H \cos\theta \, f(h)} \qquad (2\text{-}3)$$

and N_t is the integrated electron density in a vertical column up to the satellite altitude, h_s,

$$N_t = \int_0^{h_s} N_e \, dh \tag{2-4}$$

An alternative form for expressing Equation (2-1) is

$$\Omega \approx \frac{K_1}{f^2} \overline{H \cos \theta} \; N_r \tag{2-5}$$

where N_r is the integrated electron density along the ray path, i.e., oblique path,

$$N_r = \int_0^{R} N_e \, dr = \int_0^{h_s} N_e \, f(h) \, dh \approx \overline{f(h)} \int_0^{h_s} N_e \, dh \tag{2-6}$$

For any ray path, the parameter $\overline{M}$ or $\overline{H \cos \theta}$ can be readily specified. In this study, the magnetic field intensity, H, and the propagation, angle, θ, are determined by assuming a spherical harmonic model for the earth's magnetic field. A complete description of the model is given in Appendix A.

According to Equations (2-2) and (2-5), the electron content along either a vertical or oblique path can be deduced when the Faraday polarization rotation angle, Ω, is known.

In general, for radio waves emitted from satellites and observed on the ground, the experimentally-measured total angular rotation, Ω_e, is ambiguous in that

$$\Omega_e = \pm (n\pi \pm \Delta\Omega) \tag{2-7}$$

where n is a positive integer and $\Delta\Omega$ is the acute polarization angle which would normally be indicated in a satellite-amplitude measurement.

2.1.2 SINGLE FREQUENCY METHOD

It is possible, however, that, for transmissions in the VHF and UHF range, the polarization rotation could be less than $(\pi/2)$ radians. This could occur under certain conditions; that is, when observations are made during the nighttime or at certain azimuth-elevation angle orientations toward the polar ionosphere (in the case of a polar orbiting satellite) where near perpendicularity with the earth's magnetic field could be attained.

Assuming that the angular rotation is initially less than ($\pi/2$) radians, then, when ($\pi/2$) radian rotation does occur, the integrated electron density, i.e., electron content, can be readily derived from Equations (2-2) or (2-5) utilizing a single transmission frequency.

In radar-lunar studies of the ionosphere by the single frequency - Faraday method, the ambiguity problem was resolved by theoretically estimating the expected angular rotation along various earth-moon paths (Millman, 1964). The measured angular rotation, as defined by Equation (2-7), that best correlated with the theoretical calculations was then selected as the parameters to be used in evaluating the integrated electron density along the ray path. In the theoretical computation of the magnitude of the Faraday rotation, the electron density distribution derived from ionosonde data was used to characterize the ionosphere up to the height of maximum ionization of the F-layer. Above the peak of the F-layer, the distribution of electron density with height was assumed to follow a Chapman model.

2.1.3 TWO FREQUENCY METHOD

One method for resolving the ambiguity of the complete number of polarization rotations is to employ two closely-spaced frequencies. By comparing the amplitude fading on, for example, 40 and 41 MHz, the ambiguity could be reduced to a multiple of 20 half-rotations (Blackband, 1960). Alternate approaches to the removal of the $n\pi$ ambiguity in the Faraday rotation measurements have been proposed by Crooker (1970), Titheridge (1971), and Smith (1971).

When two harmonically-related frequencies are used, i.e., $mf_1 = f_2$, it can be shown from Equation (2-2) that, when neglecting 2nd order effects, the electron content is given by

$$N_t = \frac{m^2 f_1^2}{K_1 \overline{M}} \left[\frac{\Omega(f_1) - \Omega(f_2)}{m^2 - 1} \right] \qquad (2\text{-}8)$$

2.1.4 DIFFERENTIAL POLARIZATION ROTATION ANGLE METHOD

The ambiguity problem can be avoided by the measurement of the change in the polarization angle at two different times, t_1 and t_2, on the satellite orbit pass. This scheme which is often referred to as the differential Faraday polarization rotation angle method requires that the integrated electron densities along the two different ray paths be identical.

This assumption implies that no horizontal gradients of electron content exist within the time interval. Thus, it can be shown from Equation (2-2) that the electron content can be obtained from

$$N_t = \frac{f^2}{K_1} \left[\frac{\Omega_1 - \Omega_2}{\overline{M_1} - \overline{M_2}} \right] \tag{2-9}$$

2.1.5 POLARIZATION ROTATION RATE METHOD

Another method which can be used to determine N_t is one which involves the time rate of change of the polarization rotation angle. Differentiating Equation (2-2) with respect to time results in

$$\dot{\Omega} = \frac{K_1}{f^2} \left[\overline{M}\, \dot{N}_t + N_t\, \dot{\overline{M}} \right] \tag{2-10}$$

where the dot signifies the time derivative.

Assuming a horizontally stratified ionosphere, then $\dot{N}_t = 0$. It follows that

$$N_t = \frac{f^2}{K_1} \frac{\dot{\Omega}}{\dot{\overline{M}}} \tag{2-11}$$

The electron content can therefore be determined since Ω can be experimentally measured and $\dot{\overline{M}}$ theoretically predicted.

A more sophisticated technique which eliminates the restriction of no horizontal gradients requires the 2nd derivative of Ω which, according to Equation (2-9), evaluates to

$$\ddot{\Omega} = \frac{K_1}{f^2} \left[\overline{M}\, \ddot{N}_t + 2\dot{\overline{M}}\, \dot{N}_t + N_t\, \ddot{\overline{M}} \right] \tag{2-12}$$

If it is assumed that N_t is of the form

$$N_t = a + bt \tag{2-13}$$

then $\ddot{N}_t = 0$. It follows from Equations (2-10) and (2-12) that the electron content can be expressed by

$$N_t = \frac{f^2}{K_1}\left[\frac{\overline{M}\,\ddot{\Omega} - 2\dot{\overline{M}}\,\dot{\Omega}}{\overline{M}\,\ddot{\overline{M}} - 2\dot{\overline{M}}^2}\right] \tag{2-14}$$

2.1.6 LEAST SQUARE METHOD

This method which was proposed by Burgess (1963) and evaluated by Garriott and de Mendonça (1963) involves the assumption that the electron content can be represented by a power series

$$N_t = a + bt + ct^2 + \ldots \tag{2-15}$$

At $t = 0$, which corresponds to the time of the satellite point of closest approach, $(N_t)_o = a$ and Equation (2-2) becomes

$$\Omega_o = A\,\overline{M_o}\,(N_t)_o = A\,\overline{M_o}\,a \tag{2-16}$$

where $A = (K_1/f^2)$.

With the use of Equations (2-15) and (2-16), Equation (2-2) can be written as

$$\Omega - \Omega_o = Aa\,(\overline{M} - \overline{M_o}) + Ab\,\overline{M}t + Ac\,\overline{M}t^2 + \cdots \tag{2-17}$$

It is noted that the Faraday rotation angle at two different times, $\Omega - \Omega_o$, can be readily determined from the experimental data.

The least square error, ϵ, can then be evaluated from

$$\epsilon = \frac{1}{n}\sum_{1}^{n}\left[(\Omega - \Omega_o) - Aa\,(\overline{M} - \overline{M}_o) - AbMt - AcMt^2 - \cdots\right]^2 \tag{2-18}$$

where n is the number of observations.

Minimizing ϵ with respect to the coefficients, a, b, c, etc.,

$$\frac{\partial \epsilon}{\partial a} = \frac{\partial \epsilon}{\partial b} = \frac{\partial \epsilon}{\partial c} = \cdots = 0 \tag{2-19}$$

results in a set of linear equations. The coefficients can then be evaluated from the simultaneous solution of the linear equations.

A method of solution of the linear equations has been proposed by Garriott and de Mendonça (1963).

2.2 DIFFERENTIAL PHASE AND DOPPLER FREQUENCY SHIFT

2.2.1 INTRODUCTION

The differential phase or dispersive phase method can be considered to be a modified form of the Doppler frequency technique.

The differential phase between two harmonically-related coherent signals transmitted from a satellite and detected on the ground is given by

$$\Delta\phi = -\frac{K_2}{f_1}\left(\frac{b^2 - a^2}{a}\right) N_r \qquad (2\text{-}20)$$

where K_2 is a constant equal to 8.440×10^{-3} cgs units, and a and b are constants related to the satellite transmitted frequencies, f_1 and f_2, according to

$$a f_1 = b f_2 \qquad (2\text{-}21)$$

It is noted that differential phase between the two frequencies is obtained from

$$\Delta\phi = a\phi_1 - b\phi_2 \qquad (2\text{-}22)$$

where ϕ_1 and ϕ_2 are the total phase shifts encountered by the radio waves traversing a space-to-earth propagation path.

Equation (2-20), which is derived in Appendix B, is based on the assumption that the two transmitted signals travel along the same ray path and that higher order terms of the refractive index can be neglected.

The differential phase can also be written as

$$\Delta\phi = -\frac{K_2}{f_1}\left(\frac{b^2 - a^2}{a}\right) \overline{f(h)}\, N_t \qquad (2\text{-}23)$$

where $\overline{f(h)}$ is the mean value of the geometric function, f(h), and where, as indicated by Equation (2-6),

$$N_r \approx \overline{f(h)}\, N_t \qquad (2\text{-}24)$$

The absolute phase of a satellite signal received on the ground cannot be measured. Thus, from the differential phase, Equation (2-20), the relative electron content along the paths from the satellite to a ground terminal throughout the satellite pass can only be inferred.

2.2.2 DOPPLER FREQUENCY SHIFT METHOD

An absolute measure of the electron content can be determined from the Doppler frequency shifts of the coherent transmissions from a satellite. According to Al'pert (1958), for a satellite moving in a circular orbit and assuming that the ionosphere is nonrefractive and fixed in configuration, both in time and space, the ionospheric electron content in a vertical column can be evaluated from

$$\int_0^{h_s} N_e \, dh = \frac{h_s f_1^2}{K_3} \left(f_{d2} - f_{d1} \frac{f_2}{f_1} \right) \left(f_{d2} - f_{d1} \frac{f_1}{f_2} \right)^{-1} \tag{2-25}$$

where K_3 is a constant equal to 4.03×10^7 cgs units, h_s is the satellite altitude and f_{d1} and f_{d2} are the Doppler frequency shifts of the satellite emitted frequencies, f_1 and f_2, respectively. This expression is valid for satellites located at high elevation angles with respect to the ground receiving station.

As shown in Appendix B, the Doppler frequency shift of satellite signals traversing the ionosphere can be expressed by

$$f_d = -\frac{f}{c} \dot{R} + \frac{K_4}{f} \frac{d}{dt} \int_0^R N_e \, dr \tag{2-26}$$

where K_4 equals 1.343×10^{-3} cgs units and $\dot{R}$ is the radial velocity of the satellite. This equation is derived on the basis of using only first-order approximations for the index of refraction.

Once the integrated electron density is known for one point on the satellite trajectory, it is then possible to convert the relative electron content scale, as deduced from differential phase as defined by Equation (2-23), into an absolute measurement. Thus, the electron content in a slant column can be derived for all points on the satellite orbit from Equation (2-24).

2.2.3 DOPPLER FREQUENCY SLOPE METHOD

A modification of Al'pert's expression for calculating the integrated electron density is the Doppler slope method described by Arendt et al. (1965). According to Arendt et al. (1965), when the satellite is at the point of closest approach, the columnar electron content can be represented by

$$\int_0^{h_s} N_e \, dh = \frac{h_s f_1^2}{K_3} \left(\sigma_2 - \sigma_1 \frac{f_2}{f_1} \right) \left(\sigma_2 - \sigma_1 \frac{f_1}{f_2} \right)^{-1} \tag{2-27}$$

where σ_1 and σ_2 are the Doppler slopes at the inflection points for the frequencies f_1 and f_2, respectively.

It should be noted that this relationship closely resembles Equation (2-25) derived by Al'pert (1958). The criterion for applying the Doppler slope method has been discussed by Arendt (1966). In essence, the criterion for a minimum prerequisite for the applicability of the Doppler method is given by the inequality

$$0 < \frac{f_{d1}}{f_{d2}} \frac{f_2}{f_1} < 1 \tag{2-28}$$

2.2.4 IONOSONDE METHOD

The ionosonde method which was suggested by Evans and Holt (1973) for the calibration of the differential phase records utilizes the concept that the electron density distribution in the ionosphere can be represented by the Chapman model of the form

$$N_e = N_m \exp \frac{1}{2} \left\{ 1 - \frac{(h - h_m)}{H_s} - \exp \left[- \frac{(h - h_m)}{H_s} \right] \right\} \tag{2-29}$$

where H_s is the scale height of the neutral particles (atomic oxygen) and N_m is the electron density at the level of maximum ionization, h_m.

The maximum electron density of the layer is obtained by the use of a vertical incidence ionospheric sounder and is related to the ordinary wave - critical frequency of the F-layer, f_oF2, by

$$N_m = \frac{\pi m_e}{e^2} (f_oF2)^2 = 1.241 \times 10^{-8} (f_oF2)^2 \qquad (2\text{-}30)$$

where N_m is in electrons/cm^3, m_e is the electron mass, e is the electron charge and f_oF2 is in Hz.

The scale height of the layer (in km) is defined in terms of

$$H_s = 63.15 + 6.29 \sin\left[\frac{(h - 9)\pi}{12}\right] + 17.66 \sin\left[\frac{(D - 60)\pi}{183}\right] \qquad (2\text{-}31)$$

where h is the local time in hours and D is the day of the year.

This analytical model was derived by Klobuchar and Allen (1970) from total electron content data deduced from Faraday rotation measurements of radio wave transmissions from the ATS-3 geostationary satellite. The observations were conducted at the AFCRL Sagamore Hill Radio Observatory, Hamilton, Massachusetts, (geographic coordinates: 42.6°N, 70.8°W).

In order to employ the Chapman distribution, the height of the F-layer maximum must be known. From incoherent scatter observations made at the Millstone Hill radar facility, Westford, Massachusetts, (geographic coordinates: 42.6°N, 71.5°W), Evans and Holt (1973) have concluded that h_m (in km) can be represented by the function

$$h_m = 280 + 40 \cos\left[\frac{(h + 1)}{12}\pi\right] \qquad (2\text{-}32)$$

When the three parameters, N_m, H_s and h_m are available, it is then possible to integrate Equation (2-29) to obtain the integrated electron density in a vertical column to the satellite altitude. Assuming no horizontal gradients of electron density, the electron content in an oblique path can then be computed from Equation (2-6).

Wright (1960) has shown that, if the electron density distribution in the ionosphere is of the Chapman form, the total integrated electron density can be expressed in terms of the scale height and the maximum electron density by

$$\int_0^\infty N_e \, dh = 4.133 \, N_m \, H_s \tag{2-33}$$

It follows from Equation (2-30) that the electron content can be determined from the F-layer critical frequency by

$$N_t = 5.129 \times 10^8 \, (f_o F2)^2 \, H_s \tag{2-34}$$

It should be noted that, according to Equations (2-33) and (2-34), the maximum electron density or the critical frequency of the F-layer, in addition to the scale height, can be used to compute the electron content. However, this procedure is valid for satellites at geostationary altitudes or at approximately 2 to 3 earth radii from the ground. For TRANSIT satellites which orbit the earth in the vicinity of 1000 km altitude, it is necessary to integrate Equation (2-29) to the satellite altitude for electron content determination.

2.2.5 LEAST SQUARE METHOD

This method, which is similar to the one used for the analysis of Faraday rotation data, was also proposed by Burgess (1963).

Expressing the electron content, N_t, as a power series in time, Equation (2-15), then, at t = 0, which corresponds to the time when $\Delta\dot{\phi} = 0$, $(N_t)_o = a$ and Equation (2-23) becomes

$$\Delta\phi_o = B \, \overline{f(h)_o} \, (N_t)_o = B \, \overline{f(h)_o} \, a \tag{2-35}$$

where

$$B = - \frac{K_2}{f_1} \left(\frac{b^2 - a^2}{a} \right) \tag{2-36}$$

Thus, it can be shown, utilizing Equations (2-15), (2-23), and (2-35), that the differential phase at two different times, $\Delta\phi - \Delta\phi_o$, which is measurable, can be written as

$$\Delta\phi - \Delta\phi_o = Ba\left[\overline{f(h)} - \overline{f(h)}_o\right] + Bb\,\overline{f(h)}t + Bc\,\overline{f(h)}\,t^2 + \cdots \tag{2-37}$$

The least square error therefore becomes

$$\epsilon = \frac{1}{n}\sum_{1}^{n}\left[(\Delta\phi - \Delta\phi_o) - Ba\,(\overline{f(h)} - \overline{f(h)}_o) - Bb\,\overline{f(h)}\,t - Bc\,\overline{f(h)}\,t^2 - \cdots\right] \tag{2-38}$$

The simultaneous solution of the set of linear equations obtained where ϵ is minimized, with respect to a, b, c, etc., will result in an evaluation of the coefficients.

A least square method which assumes that the electron content varies linearly with time has been considered by de Mendonça (1962).

2.3 FARADAY ROTATION - DIFFERENTIAL DOPPLER

The combination of the Faraday rotation and differential Doppler technique to determine the ionospheric electron content was originally suggested by Burgess (1962).

The mathematical formulation of this technique can be readily derived by differentiating Equations (2-5) and (2-20) with respect to time. Hence, there results

$$\dot{\Omega} = \frac{K_1}{f_1^2}\left[\overline{H\cos\theta}\;\dot{N}_r + N_r\,\overline{\dot{H}\cos\theta}\right] \tag{2-39}$$

$$\Delta\dot{\phi} = -\frac{K_2}{f_1}\left(\frac{b^2 - a^2}{a}\right)\dot{N}_r \tag{2-40}$$

Combining the two expressions, N_r can be readily solved from

$$N_r = \frac{1}{\overline{\dot{H}\cos\theta}}\left[\frac{\dot{\Omega} f_1^2}{K_1} - 2\pi\;\overline{H\cos\theta}\;\frac{f_1}{K_2}\left(\frac{a}{b^2 - a^2}\right)\Delta f_d\right] \tag{2-41}$$

where Δf_d is the differential Doppler frequency shift

$$\Delta f_d = -\frac{1}{2\pi}\,\Delta\dot{\phi} \tag{2-42}$$

When $\Delta f_d = 0$, Equation (2-41) simplifies to

$$(N_r)_o = \frac{1}{\dot{H} \cos \theta} \frac{f_1^2}{K_1} \dot{\Omega} \qquad (2\text{-}43)$$

It should be noted that this method does not require an assumption on horizontal gradients or restricting the satellite to a circular orbit, i.e., no vertical velocity component.

The Burgess hybrid Faraday-Doppler method has been modified by Golton (1962) and de Mendonça and Garriott (1962).

SECTION III

COMPUTER SIMULATION OF SATELLITE TRANSMISSIONS

The accuracy of the various analytical techniques for determining the electron content in the ionosphere is evaluated by means of a simulation-computer program. The simulator basically synthesizes the Faraday and Doppler recordings of satellite signals that would be received on the ground.

The logic block diagram of the simulator is illustrated in Figure 3-1.

In the satellite-orbit generator, the azimuth angle (A), elevation angle (E), altitude (h), range (R) and range rate ($\dot{R}$) of the satellite as observed as a function of time at a ground-receiving station are computed. The calculations are based on Kepler's equations of motion, i.e., a two-body orbit, assuming a rotating-spherical earth which is void of an atmosphere. The required inputs to the program are the geographical coordinates and altitude of the ground station and the satellite-orbital parameters which consist of the three orientation elements, inclination angle, argument of perigee and longitude of ascending node and the three dimensional elements, semimajor axis, eccentricity and time of ascending node.

The earth's magnetic field is represented by a series of spherical harmonics. In this analysis, the set of 80 spherical harmonic coefficients for Epoch 1965 derived by the International Association of Geomagnetism and Aeronomy (IAGA) Commission 2 Working Group 4, Analysis of the Geomagnetic Field (1969), is used to specify the magnetic potential function. This function in turn is employed in the computation of the magnetic field intensity, H, the inclination angle, I, and the declination angle, D, as discussed in Appendix A. The magnetic field intensity and the propagation angle, θ, which is a function of I and D, are evaluated at equal increments of height along the different propagation paths to the orbiting satellite.

The electron density profiles generated by the Penn State Mark I Ionospheric Model (Nisbet, 1970) are used as the reference ionosphere. However, the simulator can also accept as an input any spatial distribution of electron density. The required inputs to the model are geographic coordinates, day number, time of day and solar activity, i.e., 10.7 cm solar flux intensity. The output of the model is in the form of hourly values of the electron density profile [$N_e(h)$] , between 120- and 1250-km altitude.

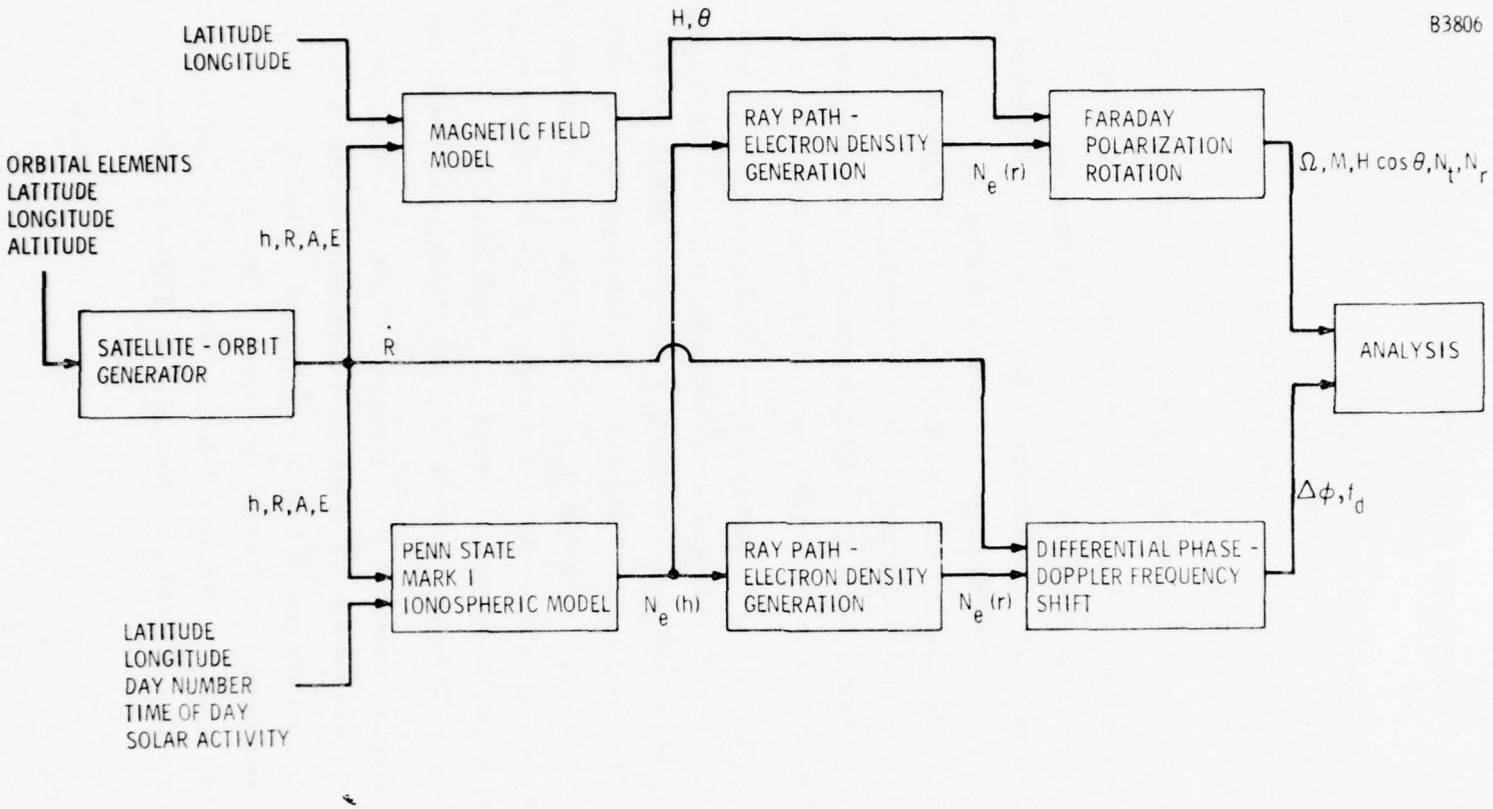

Figure 3-1. Logic Block Diagram of Faraday-Doppler Simulator

The spatial distribution of electron density is attained by generating vertical profiles at three locations north of the satellite-observing site and three locations south of the site, each separated by 10° geographic latitude. The data for the six additional locations yield latitudinal-electron density coverage and information on the existence of north-south electron density gradients. Since the hourly values of the electron density profiles can be converted directly to 15° longitudinal values, the east-west gradients are also available.

In the ray path - electron density generator, the electron densities along the different ray paths, $[N_e(r)]$, are determined by linear interpolation within the vertical distribution - electron density grid.

In the Faraday rotation module, the first-order term of the polarization rotation angle (Ω) is calculated at even height increments along the ray path. The output data also consist of the geometric magnetic factor (M), the magnetic function ($H \cos \theta$), the integrated electron density in a vertical column (N_t) and along an oblique path (N_r).

The differential phase between the two coherent transmitted satellite frequencies ($\Delta\phi$) is calculated along the path to the satellite. In addition, the Doppler frequency shift (f_d) experience by the two frequencies is also determined. In both derivations, the first-order refractive index term is only used.

The output data from the Faraday rotation and differential phase-Doppler shift modules which in reality can be considered to be a ground-satellite receiving system is processed in the analysis program. The analytical techniques for ionospheric electron content determination that are evaluated are the Faraday single frequency method, differential polarization rotation angle method, polarization rotation rate method, Doppler frequency shift method, Doppler frequency slope method, ionosonde method and the hybrid Faraday rotation-differential Doppler method.

The electron contents deduced by the analytical techniques are compared with the true-reference values obtained by integration of the ray paths - electron densities in the Faraday rotation module.

SECTION IV

SIMULATOR - DATA ANALYSIS

The simulated Faraday rotation, differential phase and Doppler data presented in this report are derived on the premise that the 150- and 400-MHz transmissions from the TRANSIT satellite, Object No. 1970-067A, are recorded at the General Electric Radio-Optical Observatory, located at 42.85°N latitude and 74.07W longitude (54.3°N geomagnetic latitude) near Schenectady, New York. The satellite which was launched on August 27, 1970 is in a polar orbit with an inclination of approximately 90°, apogee of 1219 km, perigee of 956 km and period of 106.9 minutes.

The earth trace of the satellite orbit at approximately 1800 hours GMT on June 3, 1974, is shown in Figure 4-1 together with the geographic coordinates of the locations where the electron density - height profiles are generated utilizing the Penn State Ionospheric model.

The altitude and azimuth-elevation angles of the satellite orbit as predicted for Schenectady, New York, are plotted in Figure 4-2. The azimuth and maximum elevation angle corresponding to the point of closest approach is 276.2° and 71.8°, respectively. The orbit calculations are based on the assumption that a vacuum exists between the ground station and the satellite. In other words, tropospheric and ionospheric refraction and time delay effects are neglected. The decrease in satellite altitude from 1200 to 1083 km during the oribital pass is indicative of the fact that the satellite has a velocity component in the vertical direction. In the evaluation of the various analytical techniques discussed in this report, it is assumed that the satellite is travelling in a circular orbit, i.e., vertical velocity component is neglected.

The vertical distributions of electron density along the 74.07°W meridian at 1800 hours GMT are illustrated in Figure 4-3. The profiles prertain to the seven locations each separated by 10° geographic latitude. The center profile corresponds to the receiver site at Schenectady, New York.

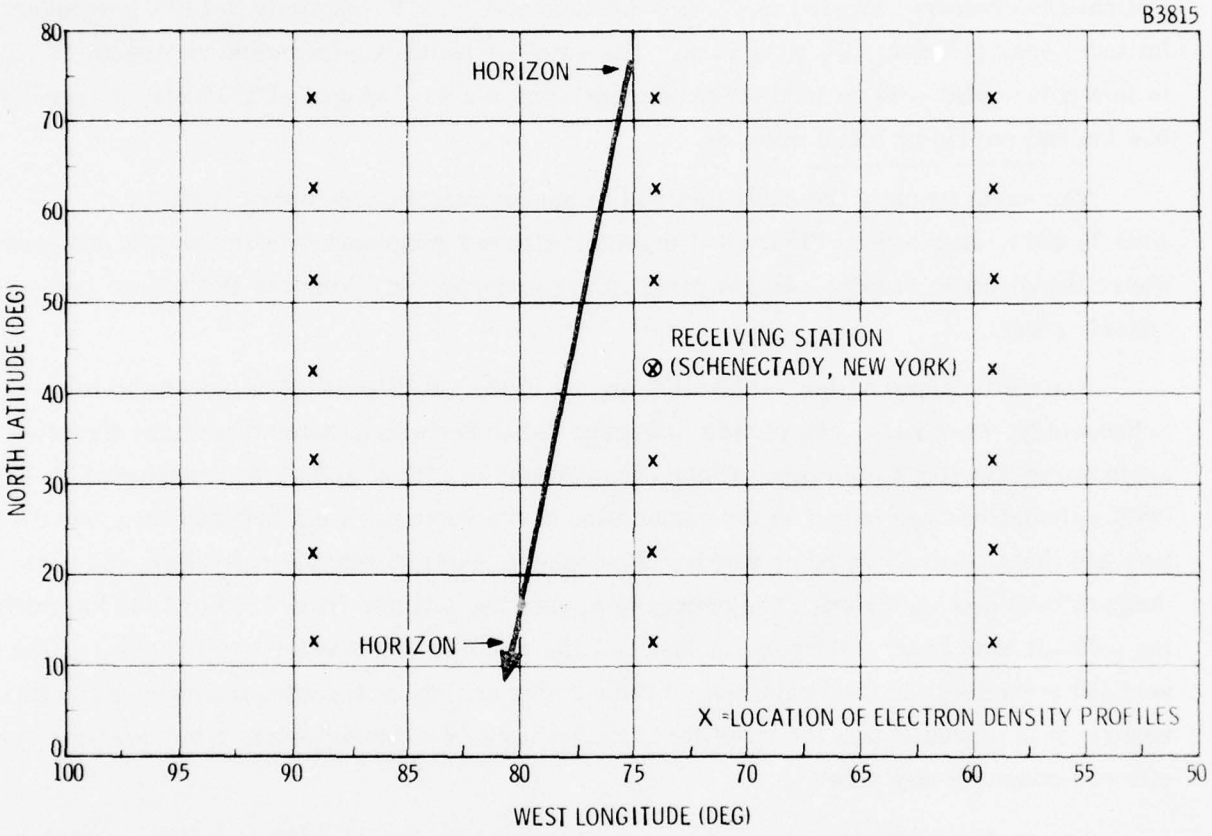

Figure 4-1. Earth Trace of the Orbit of TRANSIT Satellite, Object No. 1970-067A, June 3, 1974 and Geographic Coordinate - Location of Vertical Distributions of Electron Density

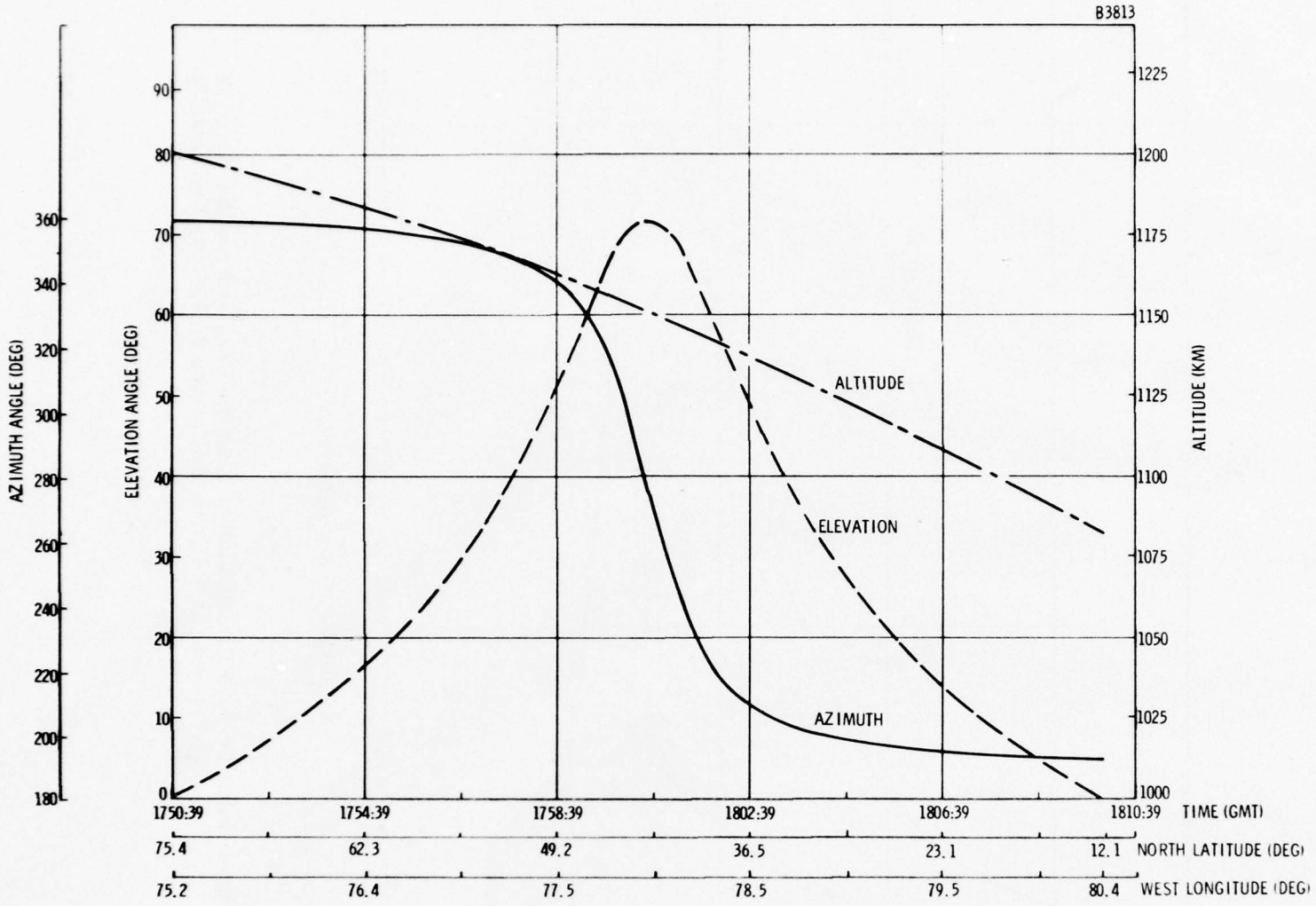

Figure 4-2. Altitude and Angular Coordinates of TRANSIT Satellite, Object No. 1970-067A, June 3, 1974, as Predicted for Schenectady, New York

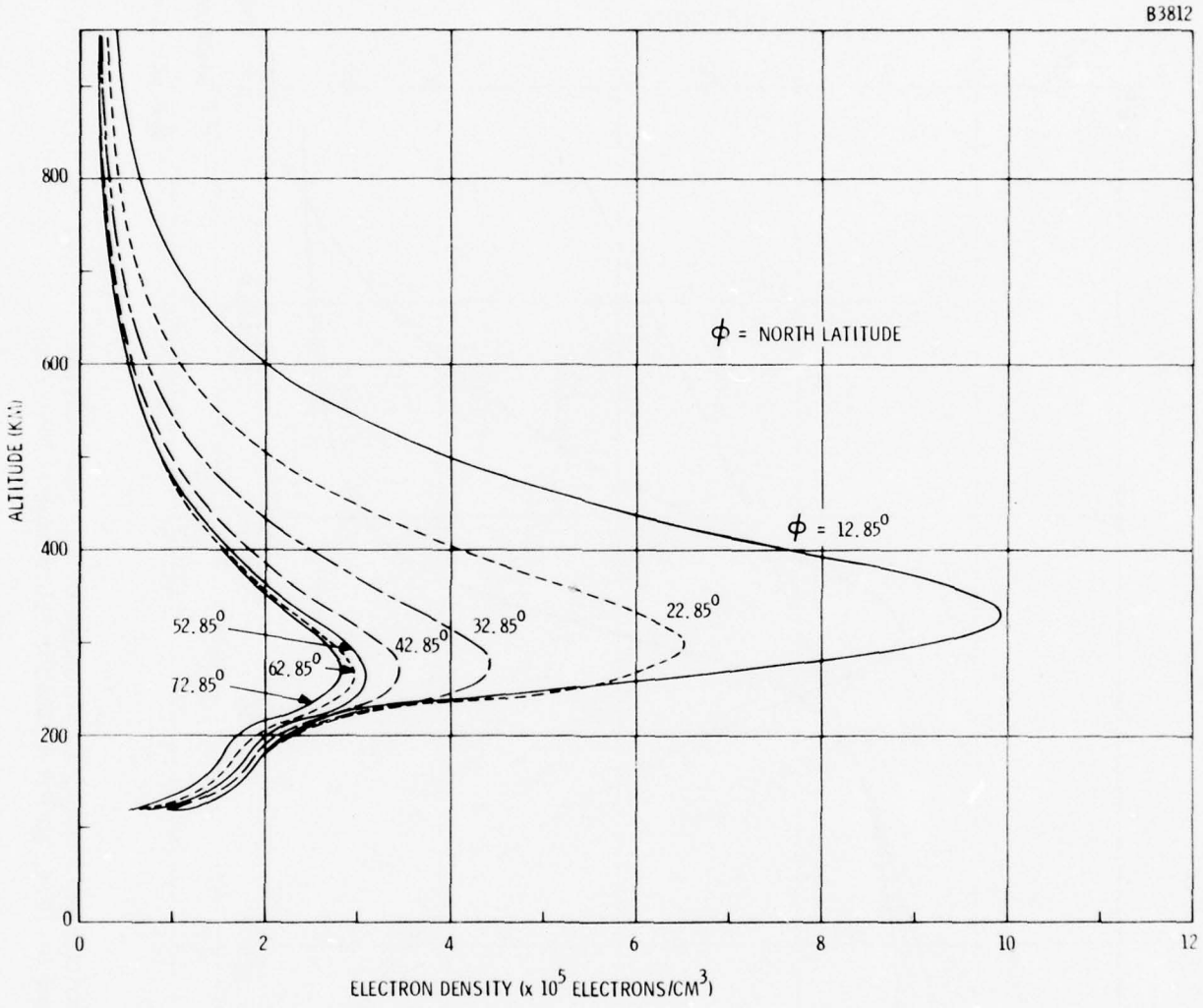

Figure 4-3. Vertical Distribution of Electron Density Along the 74.07°W Meridian at 1800 Hours GMT, June 3, 1974 As Predicted by The Penn State Ionosphere Model

It is evident that the height and maximum ionization level of the F-layer peak is latitude dependent. That is, the electron density and layer height are a maximum in the southern latitudes and a minimum in the northern latitudes. In the vicinity of Schenectady, the F-layer peak is at an altitude of approximately 270 km.

The latitude dependency of electron density signifies the presence of an ionization gradient. The gradient is apparent in Figure 4-4 which depicts the integrated electron density in a vertical and slant (oblique) column to the satellite altitude and along the satellite azimuth - elevation direction.

The simulated Faraday polarization rotation angles of the 150- and 400-MHz signals from the TRANSIT satellite are plotted in Figure 4-5. It should be noted that the satellite was designed to transmit circular polarization but, on a reception at the ground, the polarization is degraded somewhat to elliptical because of the geometric orientation of the satellite antenna with respect to a ground receiving antenna. For this analysis, it is assumed that the TRANSIT satellite radiates linear polarization. The ambiguous curves are representative of the data that would be experimentally observed while the unambiguous curves are the theoretical estimates of the total rotation that the two frequencies would encounter in traversing the ionosphere. As a result of magnetic field geometry, minimum angular rotation is attained at an azimuth angle of 358.2° and elevation angle of 9.3°.

In evaluating the single-frequency Faraday rotation method, it is appropriate to consider only the 400-MHz data in order to insure the occurrence of minimum-angular polarization rotation, and thus avoid the ambiguity problem.

According to Figure 4-5, the ambiguous angular rotation of the 400-MHz transmission is less than π radians. The geometric magnetic factor, M, and the magentic function, $H \cos \theta$, at 193.5° azimuth and 9.1° elevation, which corresponds to the direction of the $(\pi/2)$ radian angular rotation, are plotted in Figure 4-6.

Utilizing Equations (2-2) and (2-5), the electron content along a vertical path, N_t, and along a slant path, N_r, can be readily deduced for a given angular rotation, Ω.

Figure 4-7 depicts the error in determining the vertical and the slant electron content in the direction of ambiguous Faraday rotation $(\pi/2)$ radians at 400 MHz. The error, $\Delta N_{r,t}$, is evaluated from the expression

$$\Delta N_{r,t} = \frac{N_{r,t} - N'_{r,t}}{N'_{r,t}} \qquad (4\text{-}1)$$

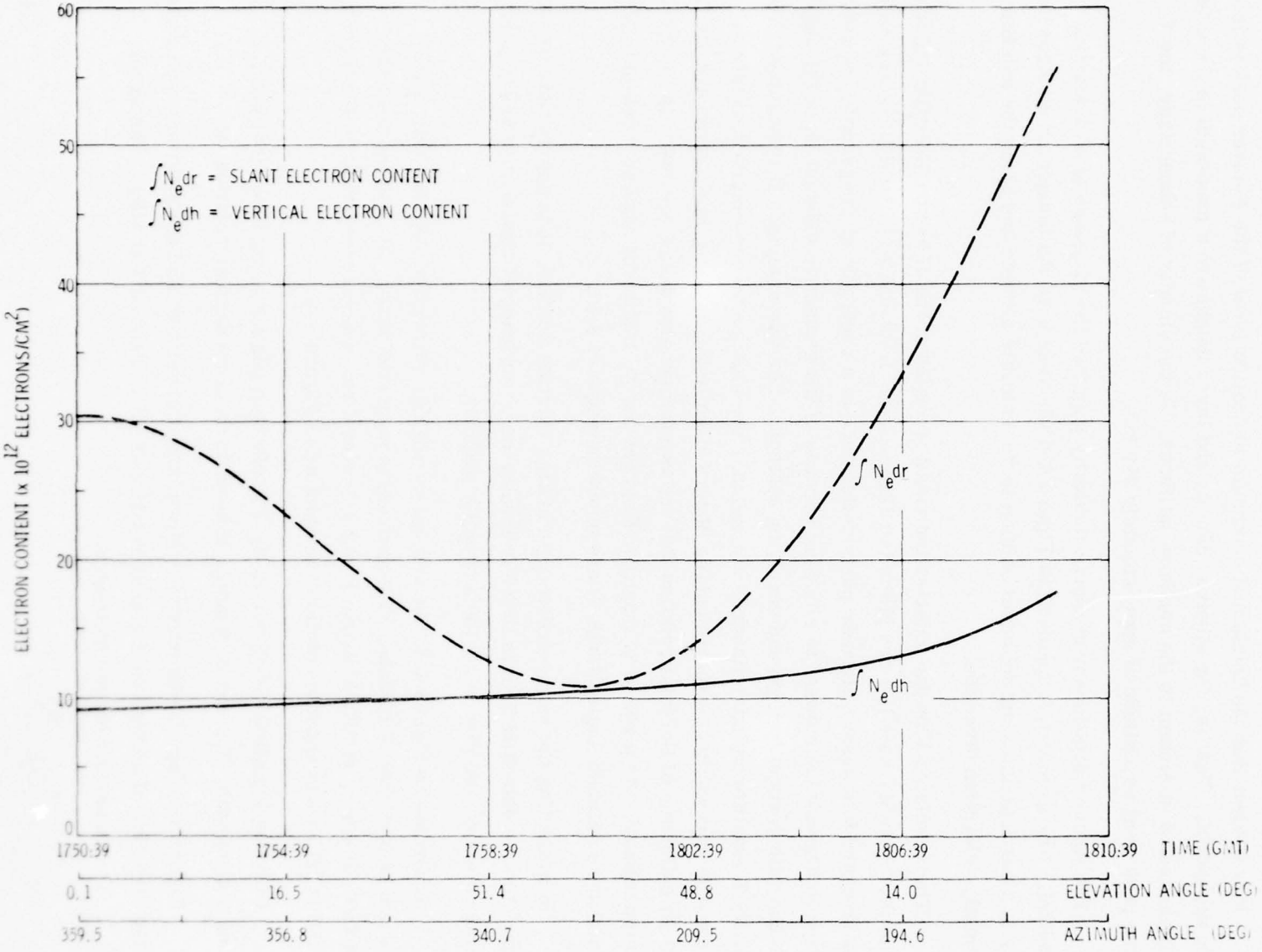

Figure 4-4. Electron Content on June 3, 1974, As Predicted By The Penn State Ionosphere Model

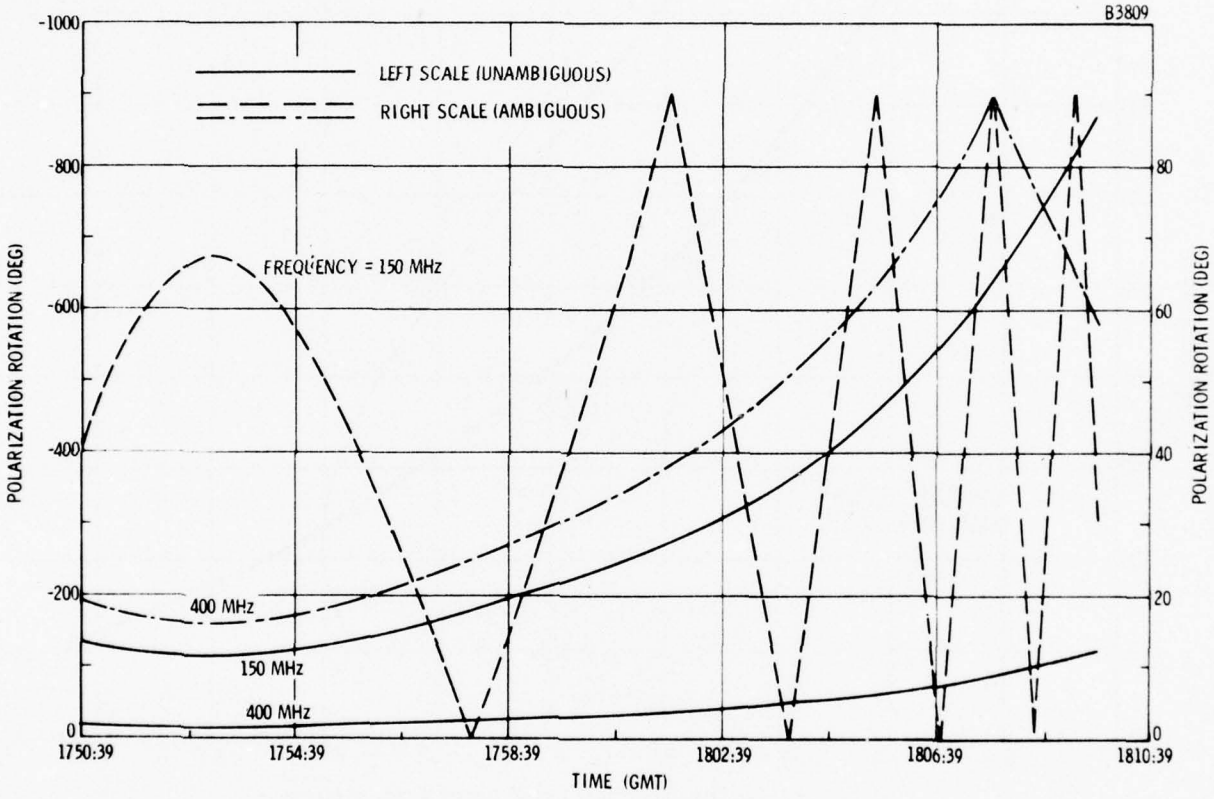

Figure 4-5. Simulated Faraday Polarization Rotation Angle of TRANSIT Satellite, Object No. 1970-067A, June 3, 1974

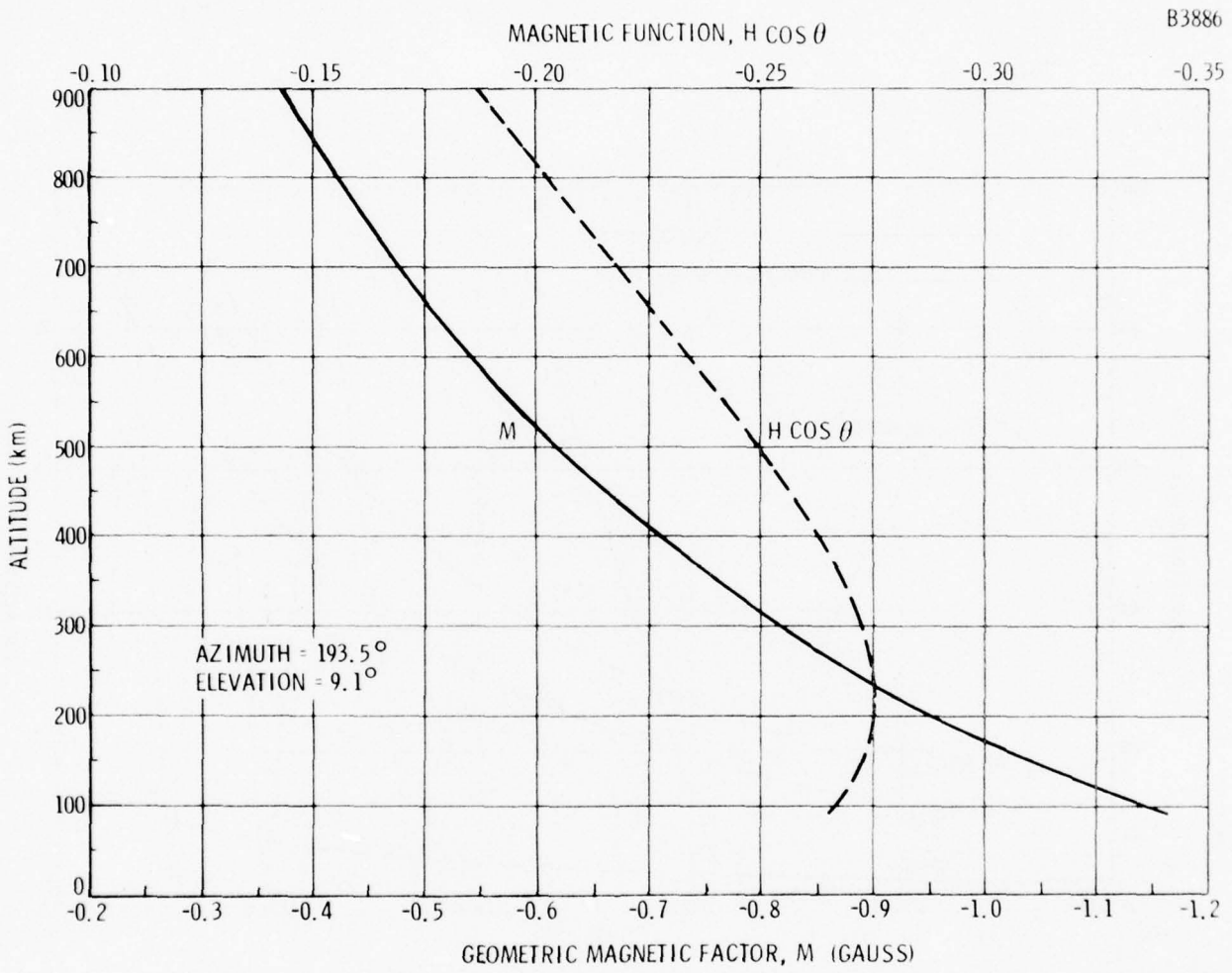

Figure 4-6. The Parameters M and H cos θ in the Direction of Ambiguous Faraday Rotation of $\pi/2$ Radians at 400 MHz

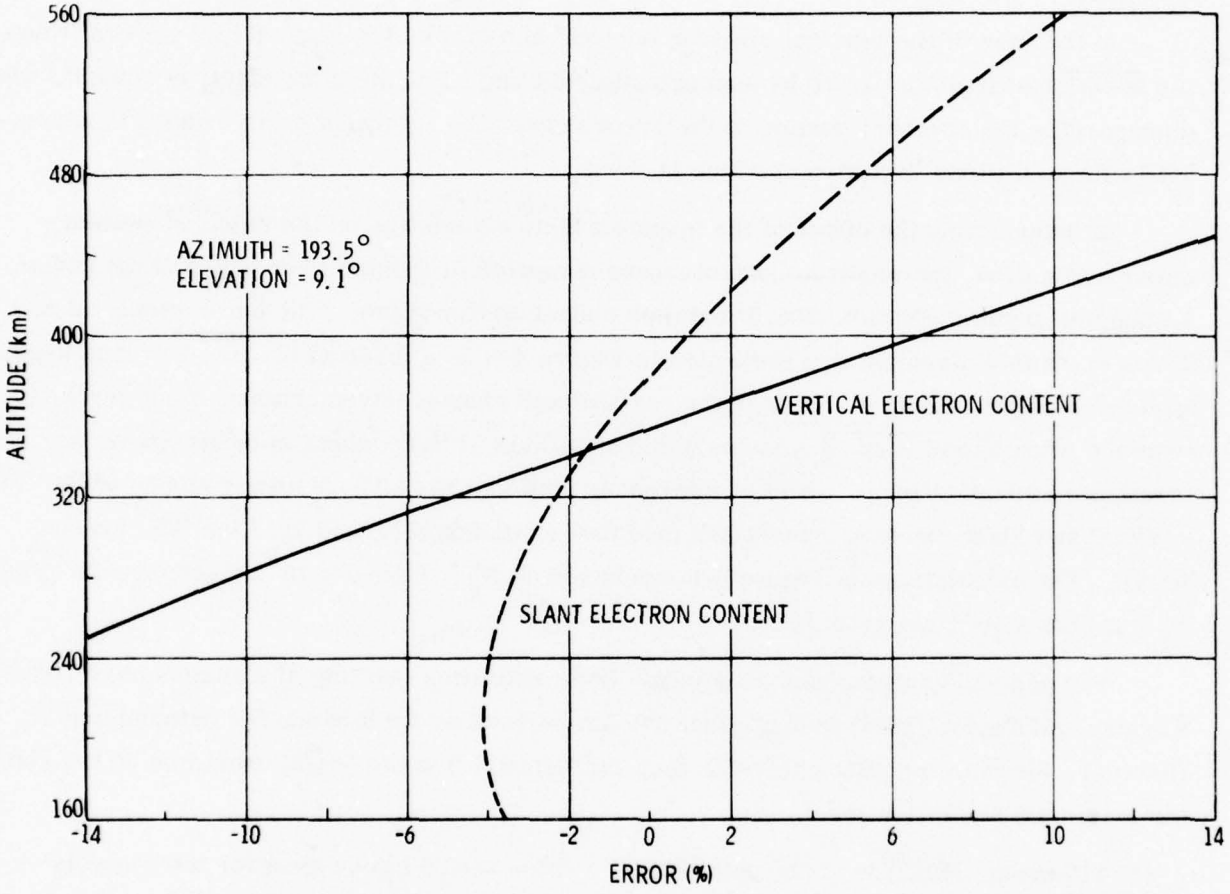

Figure 4-7. Error in Determining the Vertical and Slant Electron Content in the Direction of Ambiguous Faraday Rotation of $\pi/2$ Radians at 400 MHz By the Single Frequency Method

where the prime signifies the theoretical prediction. For this example, $N'_r = 4.0221 \times 10^{13}$ electrons/cm^2 and $N'_t = 1.4050 \times 10^{13}$ electrons/cm^2. It is seen that zero error exists for the vertical electron content when the value of the $\overline{M}$ factor at an altitude of 354 km is used. However, for the slant electron content, the altitude for the $\overline{H \cos \theta}$ value is increased to 380 km. It is noted that, in the $(\pi/2)$ radian direction, the peak of the maximum ionization, is located at approximately 280 km altitude.

In the case of the vertical electron content, the error is increased by 1 percent when the $\overline{\text{M-value}}$ altitude is varied by approximately 14 km. For the slant electron content, when disregarding the reversal portion of the error curve, the 1 percent error change is associated with an altitude deviation of about 14.5 km.

In determining the effect of the magnetic field orientation on the electron content - error estimation, the electron contents were computed in the direction of the $(\pi/4)$ radian - ambiguous angular rotation (206.5° azimuth and 44.5° elevation). The parameters, M and H cos θ, in this direction are presented in Figure 4-8 as a function of altitude. It is apparent from Figure 4-9 that, for both the vertical and slant electron content, zero error is obtained when $\overline{M}$ and $\overline{H \cos \theta}$ are evaluated at 360 km altitude which is 90 km above the maximum ionization level. It is of interest to note that the altitude-error slopes of the vertical and slant electron content are modified to 10.6 km/1% and 12.1 km/1%, respectively. The calculations in Figure 4-9 are based on $N'_r = 1.5086 \times 10^{13}$ electrons/cm^2 and $N'_t = 1.1125 \times 10^{13}$ electrons/cm^2.

For general analysis of Faraday data from satellites orbiting at altitudes near 1000 km, Kersley and Taylor (1974) indicate that 375 km be used as the altitude for determining $\overline{M}$. However, for more precise analysis, they recommend that the height should be 80 km above the maximum ionization level.

Titheridge (1972) is of the opinion that a value of 420 km be used for the analysis of geostationary satellite-Faraday rotation data and that an accuracy of ±5 percent in electron content estimation can be expected. Klobuchar and Allen (1970), on the other hand, assumed a constant mean ionospheric height of 350 km.

According to Yeh (1974), the optimum altitude for the $\overline{H \cos \theta}$ value in deducing the slant electron content from geostationary satellites is 680 km.

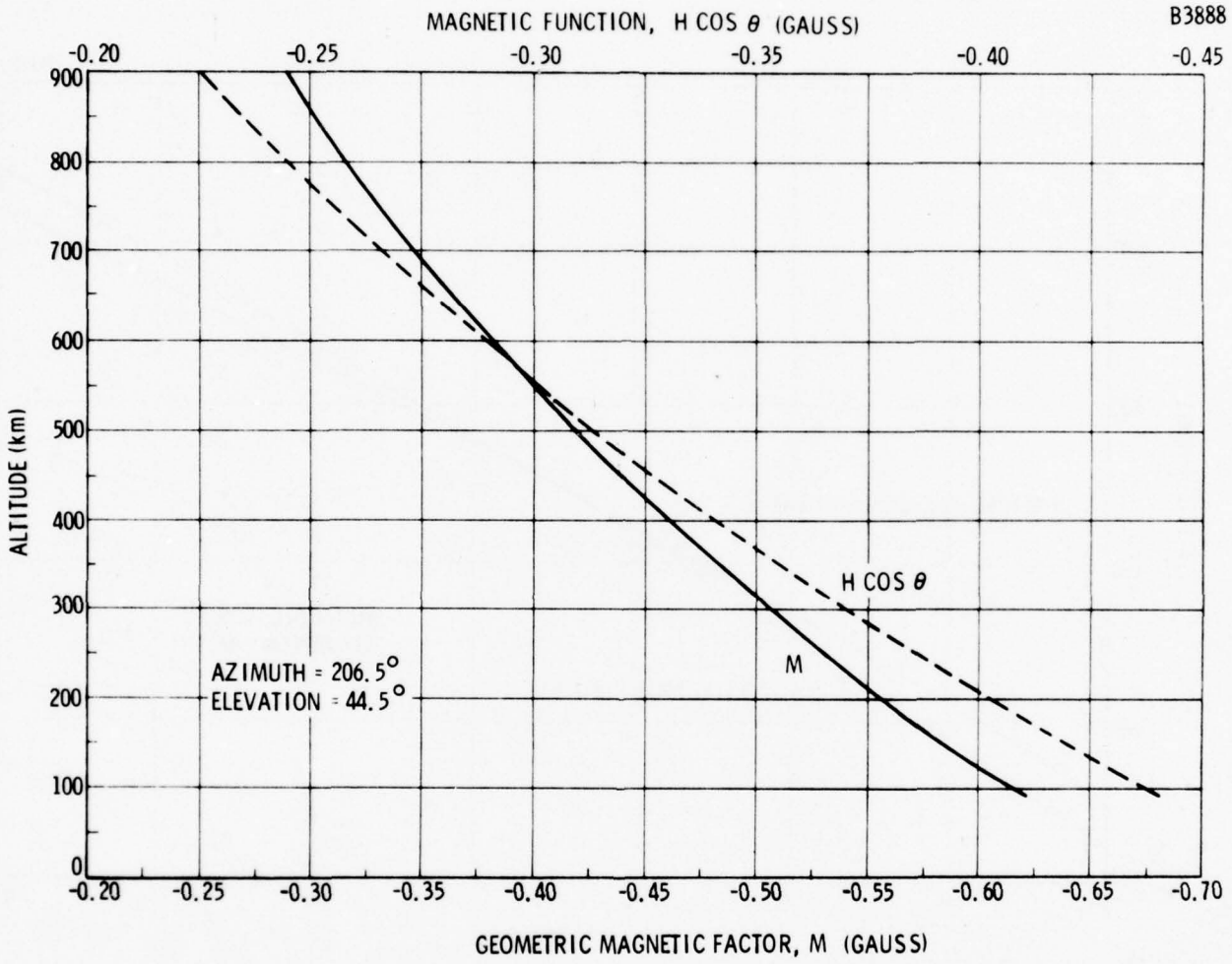

Figure 4-8. The Parameters M and H cos θ in the Direction of Ambiguous Faraday Rotation of $\pi/4$ Radians at 400 MHz

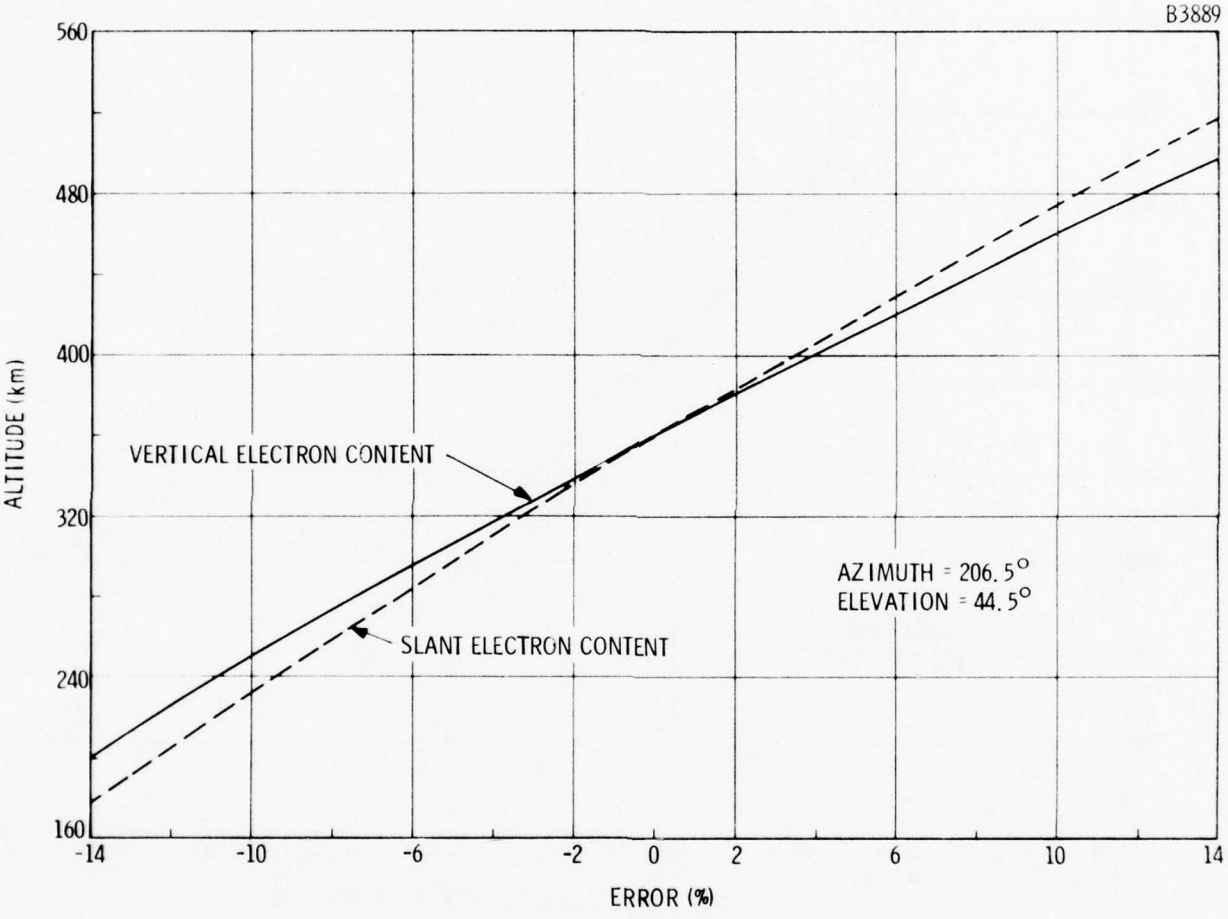

Figure 4-9. Error in Determining the Vertical and Slant Electron Content in the Direction of Ambiguous Faraday Rotation of $\pi/4$ Radians at 400 MHz By the Single Frequency Method

The accuracy in measuring the polarization rotation angle is considered to be on the order ±5°. Thus, for an angular rotation of ($\pi/4$) and ($\pi/2$) radians, this implies an additional error of approximately 11.1 and 5.6 percent, respectively, in resolving the electron content.

In order to minimize the effects of ionization gradients on the accuracy of the differential polarization rotation angle method, it is preferable to employ the lower frequency to deduce the electron content from the Faraday data. Since the Faraday rotation is inversely proportional to the frequency squared, as indicated by Equation (2-1), a greater number of angular rotations occurs at the lower frequency. Thus, for a given polarization twist, this permits the two locations on the satellite orbital pass, as specified by Equation (2-9), to be spatially closer together.

Although Equation (2-9) expresses the relationship for determining the vertical electron content, the slant electron content can also be readily derived by merely replacing the $\overline{M}$ factor by the corresponding $\overline{H \cos \theta}$ terms.

The M and H cos θ parameters along the ray paths in the direction of Faraday rotation difference of ($\pi/2$) radians at 150 MHz are plotted in Figure 4-10. These data were applied to Equation (2-9) to obtain the simulated - computed electron contents. Along both ray paths, the altitude of the maximum electron density was located at approximately 270 km. At an azimuth angle of 346.7° and elevation angle of 43.0°, the true vertical (N'_t) and slant electron content (N'_r) were 1.0055×10^{13} and 1.3939×10^{13} electrons/cm^2, respectively, while at 227.0° azimuth and 63.0° elevation, they were 1.0679×10^{13} and 1.1825×10^{13} electrons/cm^2, respectively.

In deriving the errors defined by Equation (4-1) and shown in Figure 4-11, the mean value of N'_t ($\overline{N}'_t = 1.0367 \times 10^{13}$ electrons/cm^2) and N'_r ($\overline{N}'_r = 1.2882 \times 10^{13}$ electrons/cm^2) were used. The interesting disclosure of Figure 4-11 is the wide separation in the heights, 252 km and 613 km, at which $\overline{M}$ and $\overline{H \cos \theta}$, respectively, are evaluated to obtain zero error. The slopes of the error curves of the vertical and slant electron content are approximately 6.0 and 7.1 km/1%, respectively.

In order to facilitate the analysis of the polarization rotation rate method, the ionosphere is assumed to be horizontally stratified. Equation (2-11) which expresses N_t in terms of the time rate of change of the polarization angle and the geometric magnetic factor can also be employed for the calculation of N_r by simply substituting $\overline{\dot{H} \cos \theta}$ for $\dot{\overline{M}}$.

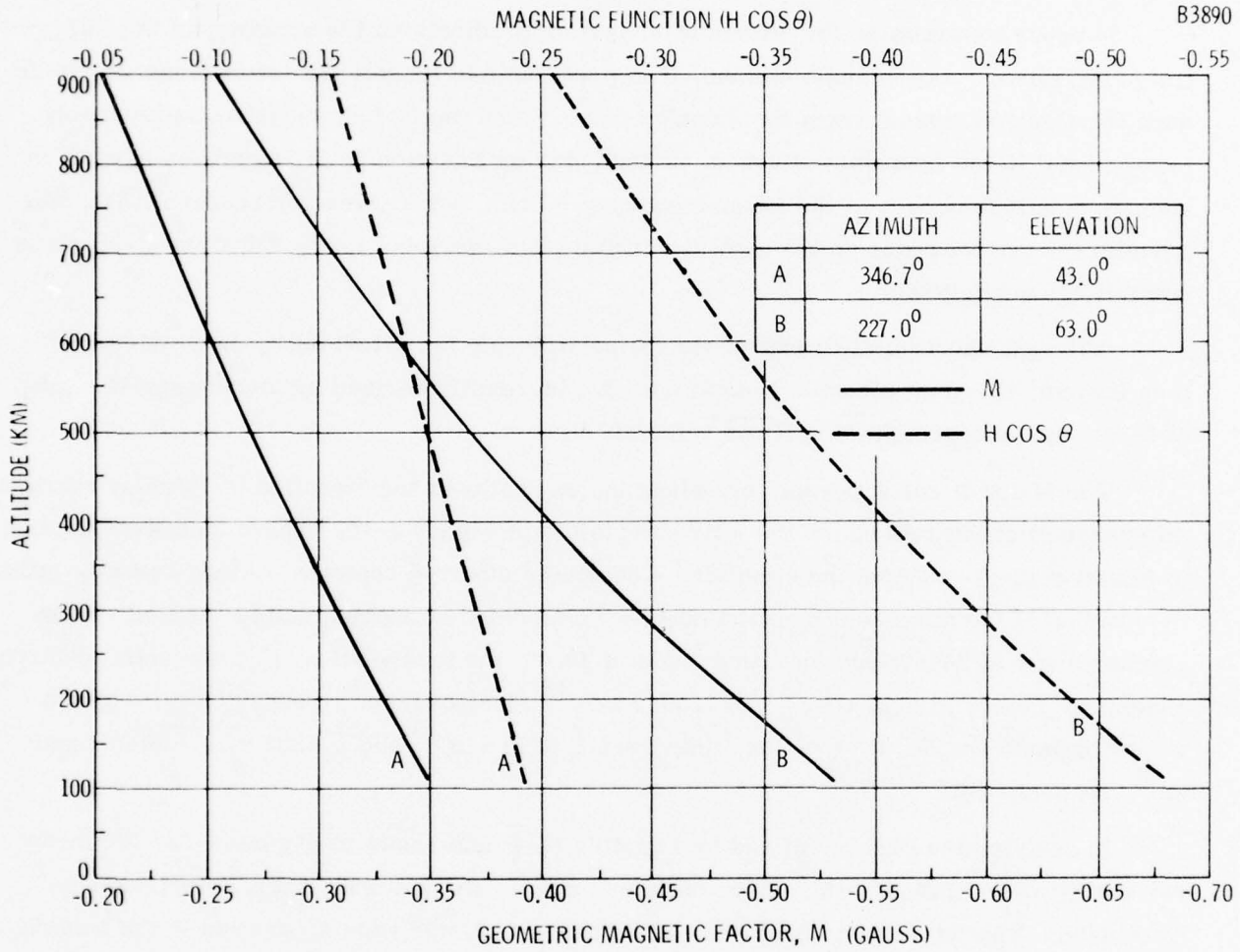

Figure 4-10. The Parameters M and H cos θ in the Directions of Faraday Rotation Difference of $\pi/2$ Radians at 150 MHz

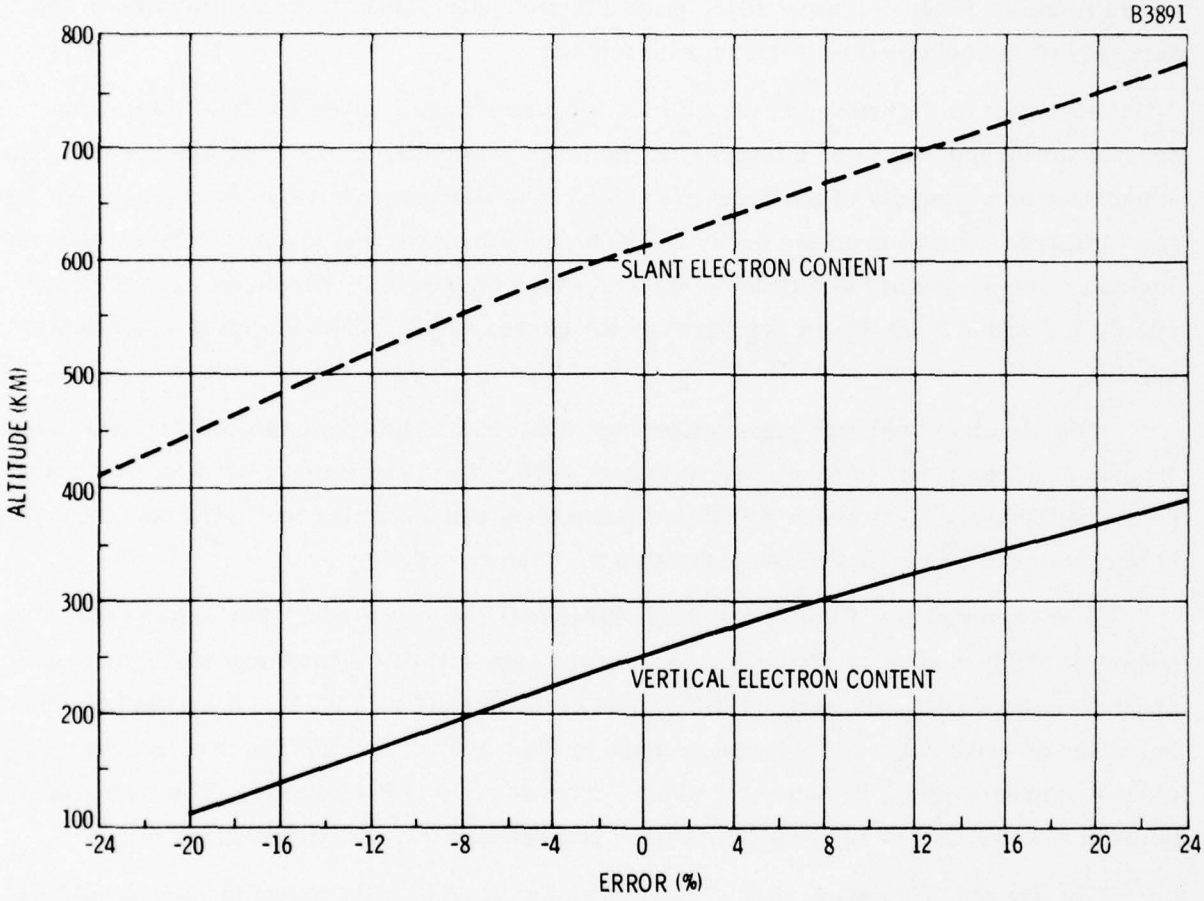

Figure 4-11. Error in Determining the Vertical and Slant Electron Content at 150 MHz by the Differential Polarization Rotation Angle Method

The geometric magnetic factor, M. and the magnetic function, H cos θ, shown in Figures 4-12 and 4-13, respectively, illustrate the spatial variation of the parameters at constant altitudes in the direction of the satellite trajectory.

The time derivative of M and H cos θ plotted in Figure 4-14 and the magnitude of the terms listed in Table 4-1 were used, in conjunction with Equation (2-11) to estimate the accuracy of the polarization rotation rate method.

As shown in Figure 4-15, the altitude for computing $\overline{\dot{M}}$ and $\overline{\dot{H} \cos \theta}$ to attain zero percent error appears to be a function of the propagation direction, or in other words, the orientation and intensity of the magnetic field. It is seen that the mean field height for the slant electron content is on the order of 300 to 400 km above that for the vertical electron content. The slopes of the altitude - vertical electron content error curves are approximately 1.6 and 6.3 km/1% as compared to the corresponding slant-slopes of 4.0 and 8.0 km/1%.

The simulated relative phase difference between the 150- and 400-MHz transmissions received on the ground is presented in Figure 4-16. The calculations are based on Equation (2-23) utilizing the first order refractive index term and assuming that the phase comparison is performed at 150 MHz with the constants a = 1 and b = (3/8).

It is seen that the differential phase undergoes two reversals. The first reversal occurs at the beginning of the orbital pass in the high-altitude ionosphere while the second takes place at an azimuth angle of 287.6° and elevation angle of 71.5°. It should be noted that the latter appears approximately 10 seconds in time before the satellite reaches the point of closest approach which is located at 276.2° azimuth and 71.8° elevation. The time difference between the two data points is attributed to the presence of ionization gradients.

The Doppler frequency shift of the two transmitted signals shown in Figure 4-17 are derived on the basis of free space conditions. Because the satellite is in a noncircular orbit, zero Doppler occurs about 3 seconds later in time than the point of closest approach. The zero Doppler-angular coordinates are 272.9° azimuth and 71.77° elevation.

Figure 4-18 which is a plot of the simulated Doppler frequency shift induced by the ionosphere was computed from the second term on the right side of Equation (2-26). As in the case of the differential phase, the higher order terms in the refractive index have been neglected. It is noted that the spatial position of the zero-ionospheric Doppler frequency shift coincides with the second phase reversal point in Figure 4-16.

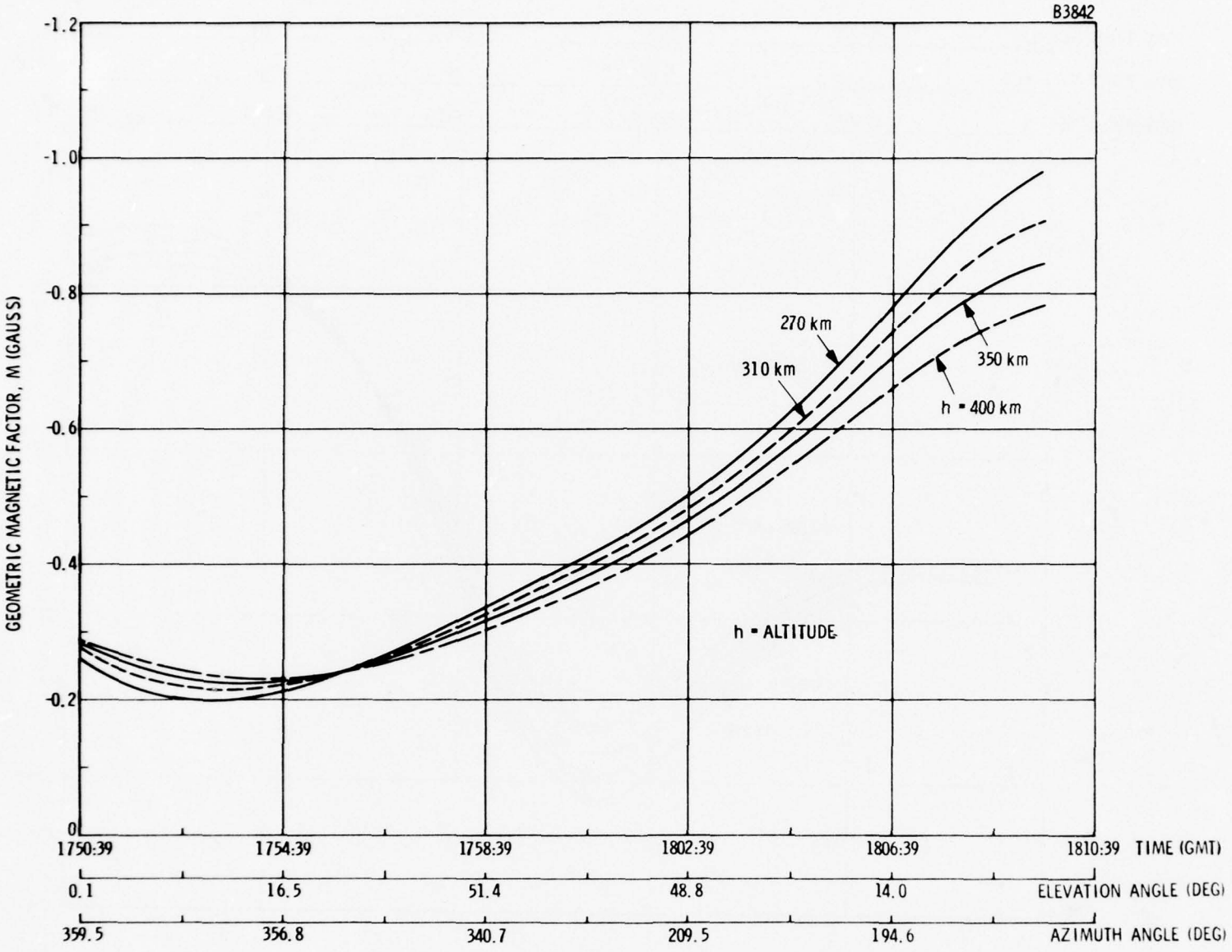

Figure 4-12. Geometric Magnetic Factor, M, As a Function of Altitude Across the Satellite Orbital Pass

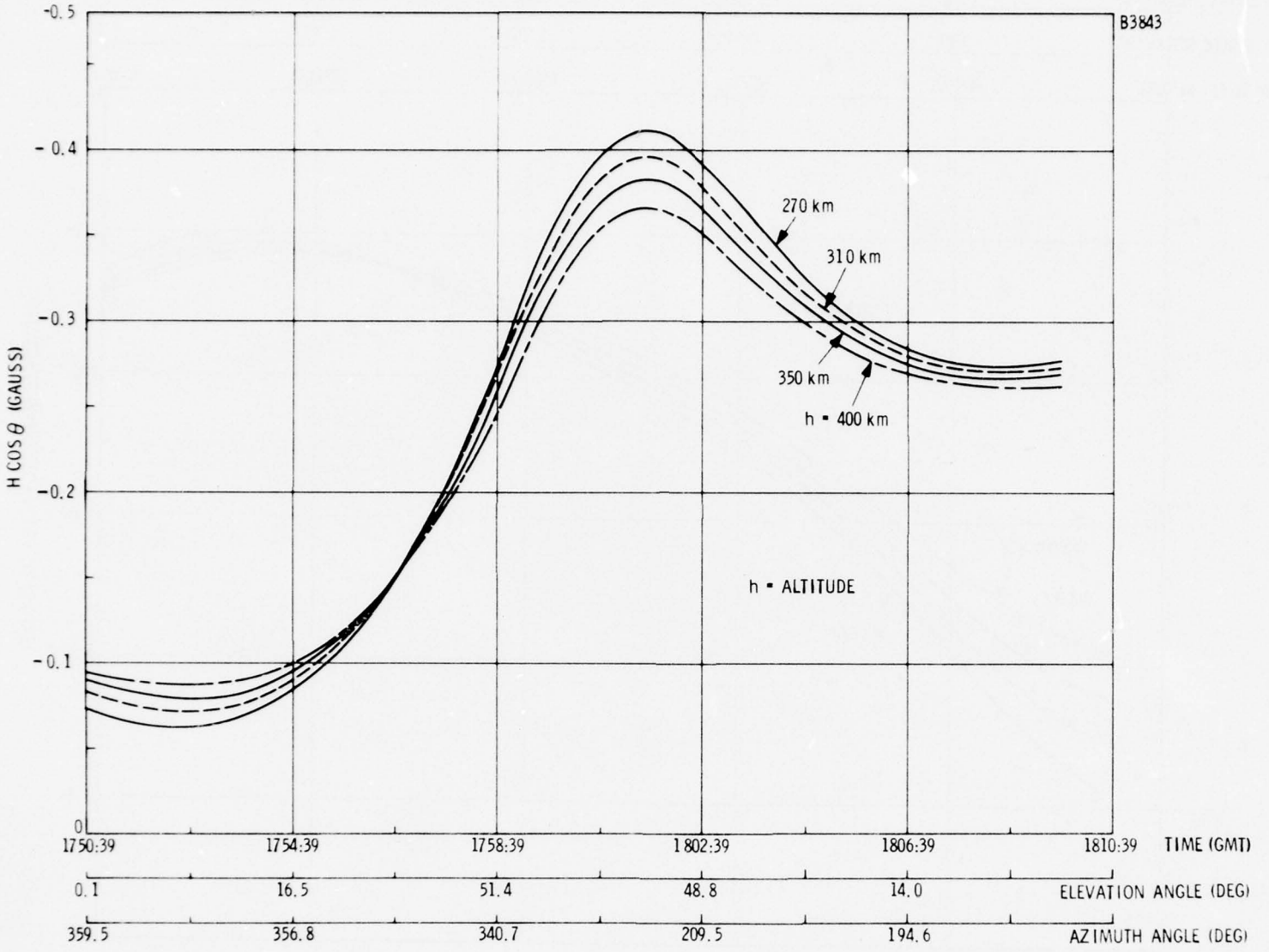

Figure 4-13. Magnetic Function, H cos θ, As a Function of Altitude Across the Satellite Orbital Pass

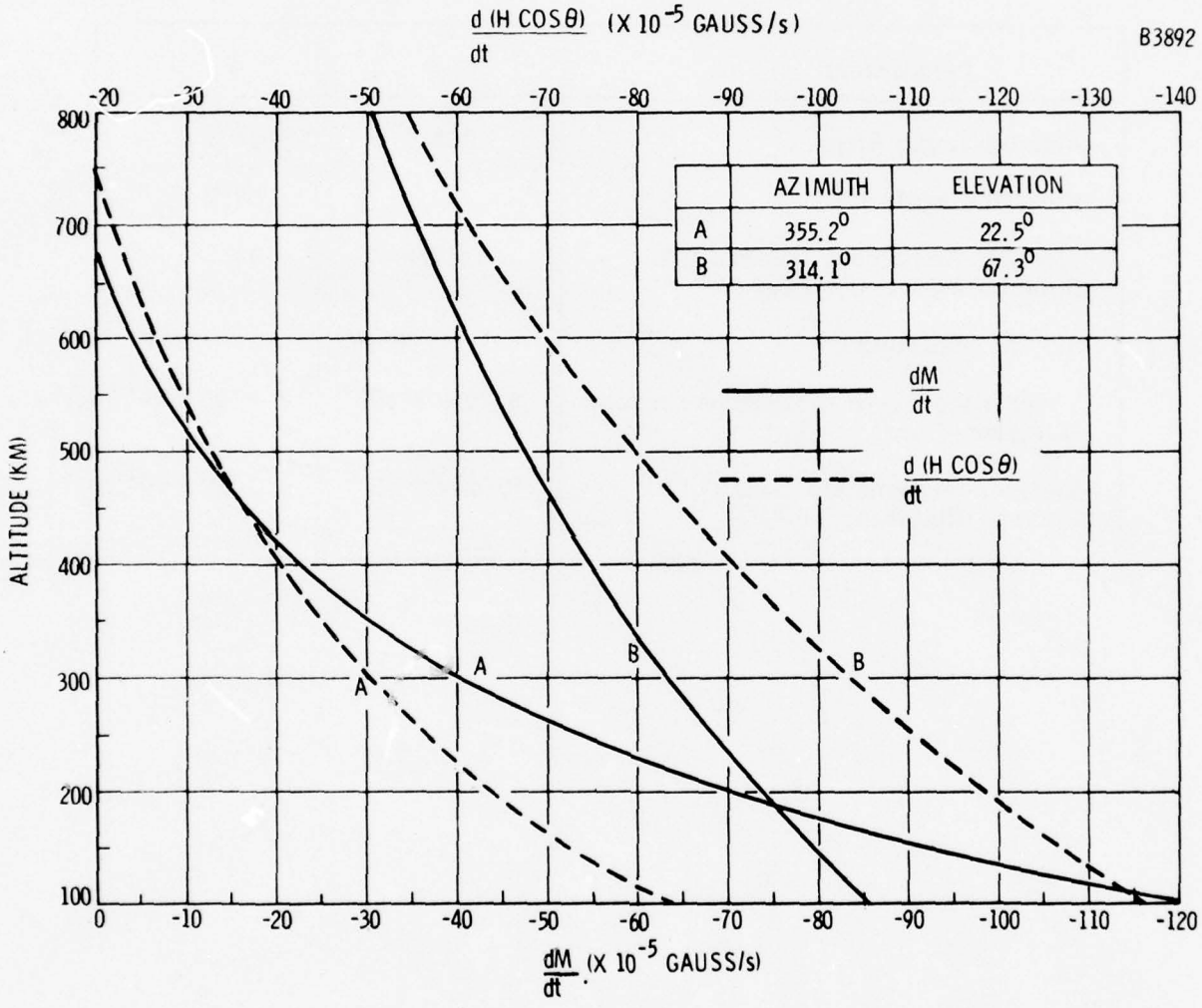

Figure 4-14. Time Derivative of the Parameters M and H cos θ

TABLE 4-1

PARAMETERS USED IN THE EVALUATION OF THE ACCURACY OF THE POLARIZATION ROTATION RATE METHOD

Parameters	A	B
Azimuth Angle (Deg)	355.2	314.1
Elevation Angle (Deg)	22.5	67.3
150 MHz Ambiguous Polarization Rotation Angle, Ω, (Deg)	43.9	41.7
$d\Omega/dt$ (Rad/sec)	0.00637	0.00715
Theoretical Vertical Electron Content (Electrons/cm^2)	9.7042×10^{12}	1.0295×10^{13}
Theoretical Slant Electron Content (Electrons/cm^2)	2.0244×10^{13}	1.1062×10^{13}

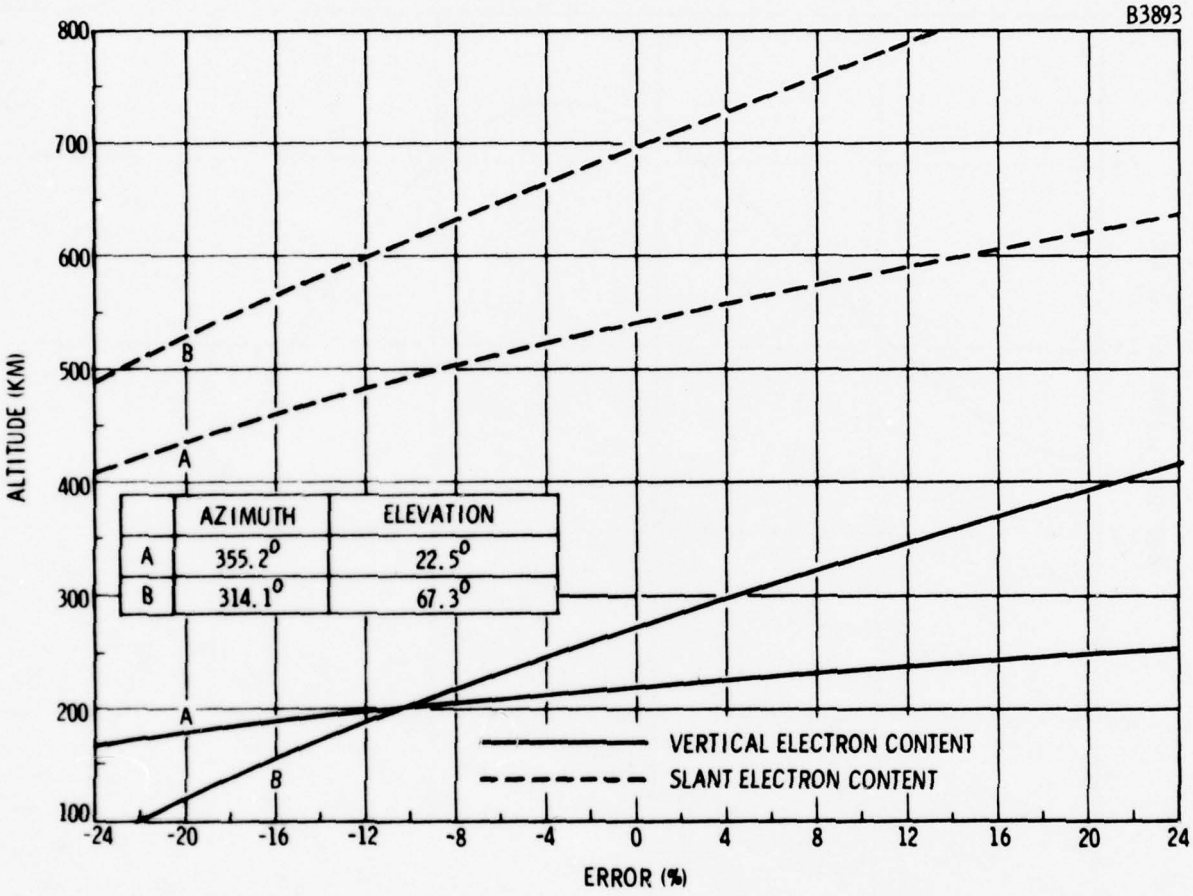

Figure 4-15. Error in Determining the Vertical and Slant Electron Content at 150 MHz by the Polarization Rotation Rate Method

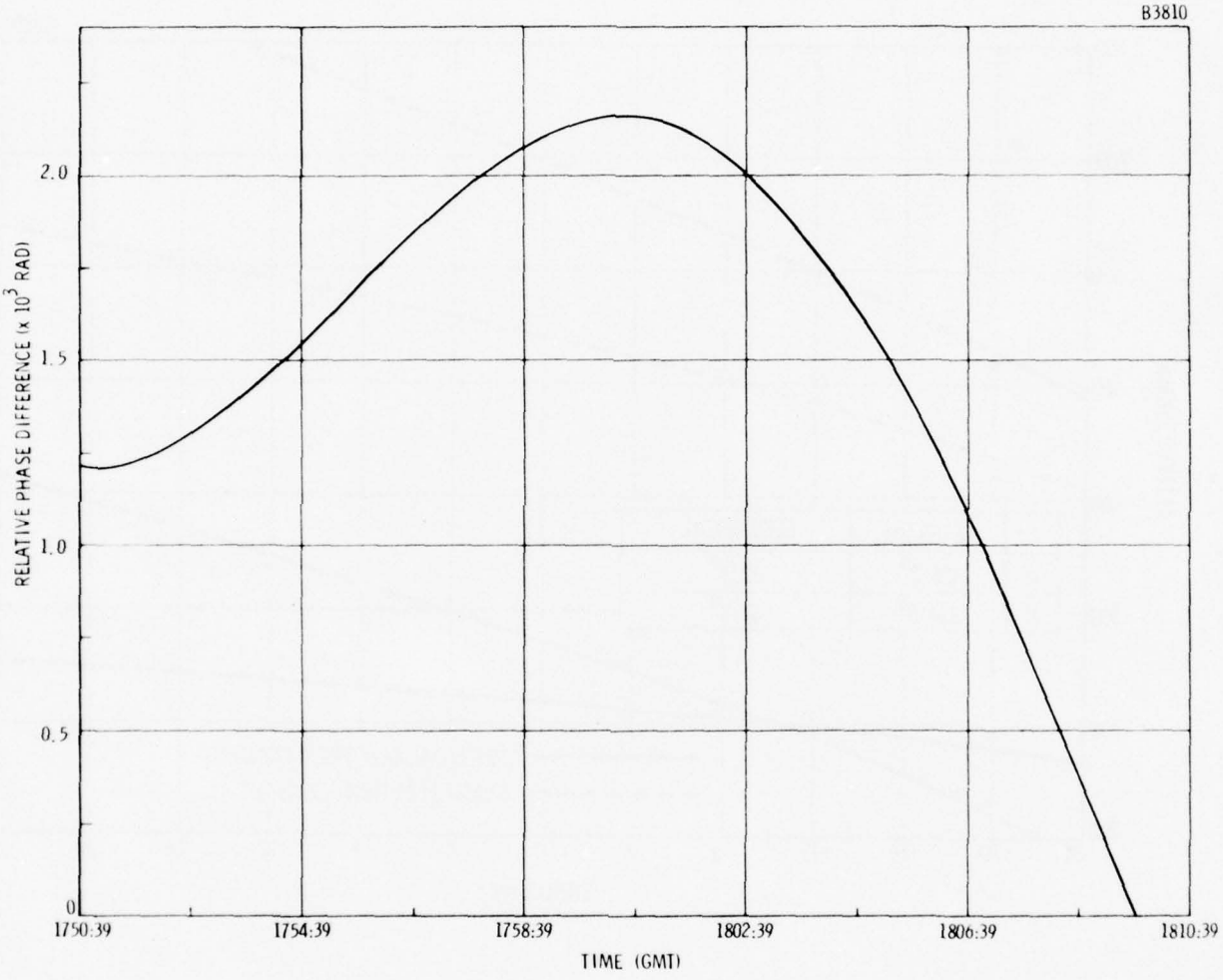

Figure 4-16. Simulated Relative Phase Difference Between 150- and 400-MHz Transmissions from TRANSIT Satellite, Object No. 1970-067A, June 3, 1974

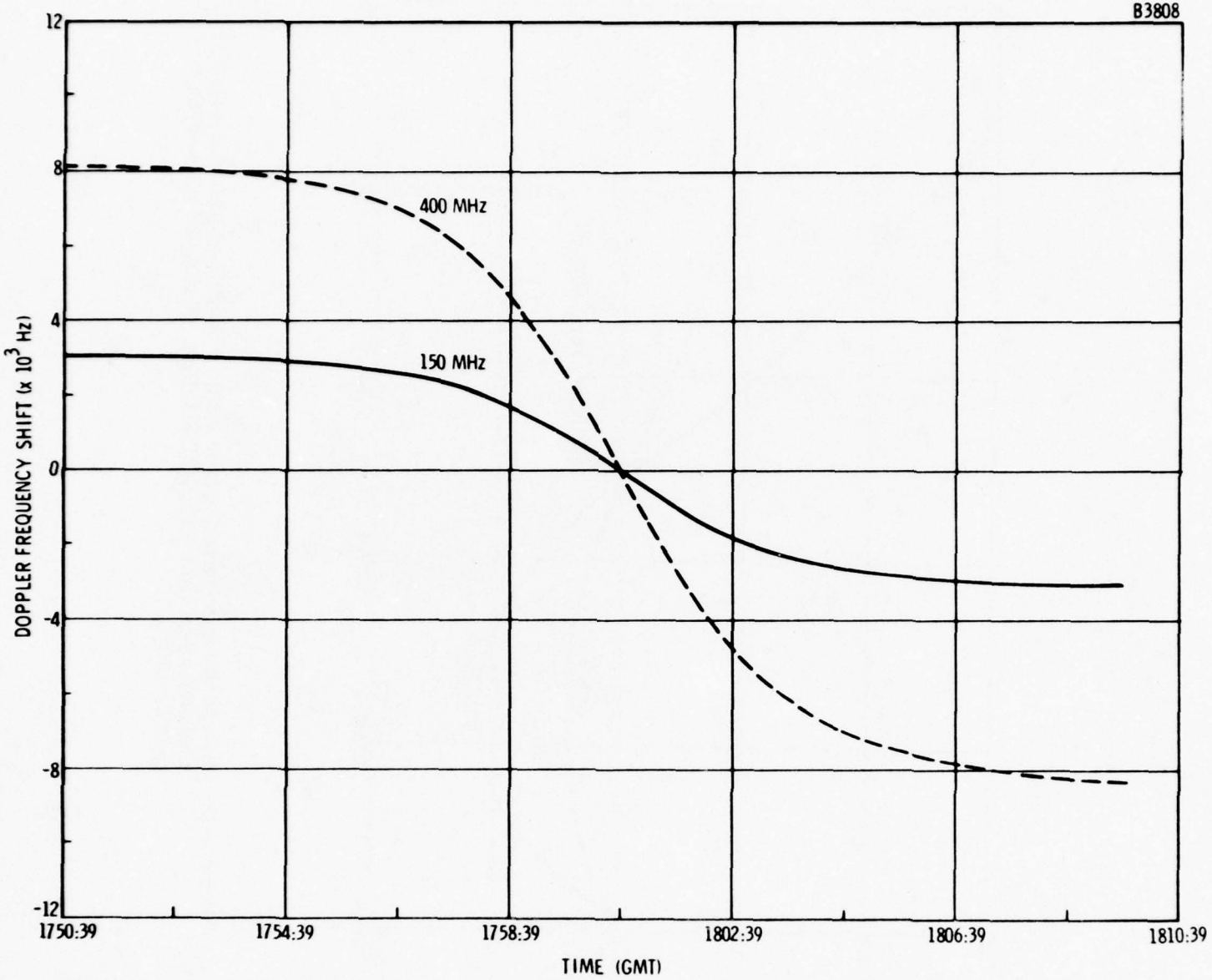

Figure 4-17. Simulated Free Space - Doppler Frequency Shift of 150- and 400-MHz Transmissions From TRANSIT Satellite, Object No. 1970-067A, June 3, 1974

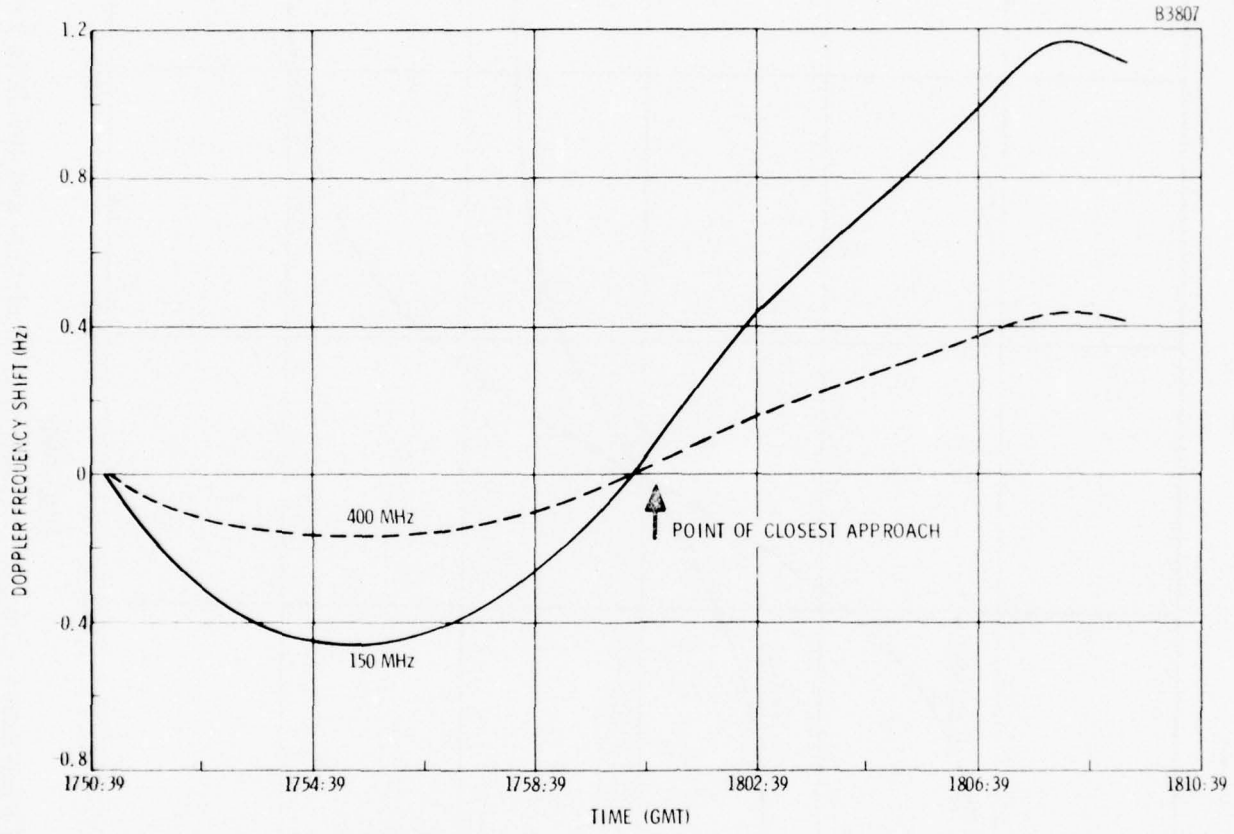

Figure 4-18. Simulated Ionospheric Doppler Frequency Shift of 150- and 400-MHz Transmissions from TRANSIT Satellite, Object No. 1970-067A, June 3, 1974

The conversion of the relative electron content obtained from differential phase measurement into an absolute value can be accomplished by means of the Doppler frequency shift method proposed by Al'pert (1958).

The estimates of the accuracy of this method for four satellite locations near the point of closest approach are contained in Table 4-2, the calculations being based on Equations (2-25), (2-26) and (4-1). It is seen that the error in determining the vertical electron content could vary from -56.5% to +114.4%. It is possible that the accuracy degradation is due to the fact that the satellite is not in a circular orbit.

The Doppler frequency slope method of Arendt et al. (1965), described by Equation (2-27), can also be applied to the electron content calibration.

Table 4-3 lists the values of the parameters at the point of closest approach used in the Doppler slope calculations. It is found that there is a +29.4% error in the estimation of the vertical electron content. It is of interest to note that 100% accuracy would be attained if the satellite is assumed to be at an altitude of 889.5 km.

Vertical incidence ionospheric-sweep frequency soundings in conjunction with the Chapman electron density profile, Equation (2-29), can be employed for the conversion of the differential phase data into an absolute electron content measurement (Evans and Holt, 1973). In applying the Chapman distribution, it is necessary to infer from analytical models the scale height, H_s, and the height of the F-layer maximum ionization, h_m. Since the simulated receiver site, i.e., General Electric Radio-Optical Observatory, is approximately 211 km west of the Millstone Hill radar facility, it is valid to assume the models for H_s and h_m, Equations (2-31) and (2-32), suggested by Evans and Holt (1973). In addition, it is assumed that the ionosonde can accurately measure the F-layer ordinary wave-critical frequency, f_oF2, from which, according to Equation (2-30), the maximum electron density of the F-layer, N_m, can be deduced. It should be noted that, for each one percent error in the f_oF2 determination, a 2% error will be introduced in N_m.

The results of the analysis of the ionosonde method are summarized in Table 4-4. An error of +11.1% implies, that, for this example, the ionosonde method would give a greater electron content than that stipulated by the Penn State model. This is clearly evident in Figure 4-19 which depicts the true and the ionosonde-predicted electron density profile.

TABLE 4-2

ERROR ESTIMATION OF THE DOPPLER FREQUENCY SHIFT METHOD

Azimuth Angle (Deg)	306.6	287.6	255.4	238.7
Elevation Angle (Deg)	69.1	71.5	70.6	67.4
Satellite Altitude (Km)	1153.9	1151.7	1148.5	1146.3
150 MHz Total Doppler Frequency Shift (Hz)	611.43	249.48	-307.39	-667.82
400 MHz Total Doppler Frequency Shift (Hz)	1630.64	665.32	-819.96	-1781.26
Estimated Vertical Electron Content (Electrons/cm^2)	7.3443×10^{12}	4.5011×10^{12}	2.2443×10^{13}	1.7280×10^{13}
Theoretical Vertical Electron Content (Electrons/cm^2)	1.0317×10^{13}	1.0359×10^{13}	1.0468×10^{13}	1.0573×10^{13}
Error (Percent)	-28.8	-56.5	+114.4	+63.4

TABLE 4-3

ERROR ESTIMATION OF THE DOPPLER FREQUENCY SLOPE METHOD

Azimuth Angle (Deg)	276.6
Elevation Angle (Deg)	71.8
Satellite Altitude (Km)	1150.7
150 MHz Total Doppler Frequency Slope (Hz/sec)	13.922
400 MHz Total Doppler Frequency Slope (Hz/sec)	37.132
Estimated Vertical Electron Content (Electrons/cm^2)	1.3428×10^{13}
Theoretical Vertical Electron Content (Electrons/cm^2)	1.0380×10^{13}
Error (Percent)	+29.4

TABLE 4-4

ERROR ESTIMATION OF THE IONOSONDE METHOD

Azimuth Angle (Deg)	276.6
Elevation Angle (Deg)	71.8
Satellite Altitude (Km)	1150.7
Local Time (Hours)	13
Day Number	154
Scale Height (Km)	86.3
Maximum Ionization Height (Km)	245.4
Maximum Electron Density (Electrons/cm^3)	3.381×10^5
Estimated Vertical Electron Content (*Electrons* cm^2)	1.1529×10^{13}
Theoretical Vertical Electron Content (Electrons/cm^2)	1.0380×10^{13}
Error (Percent)	+11.1

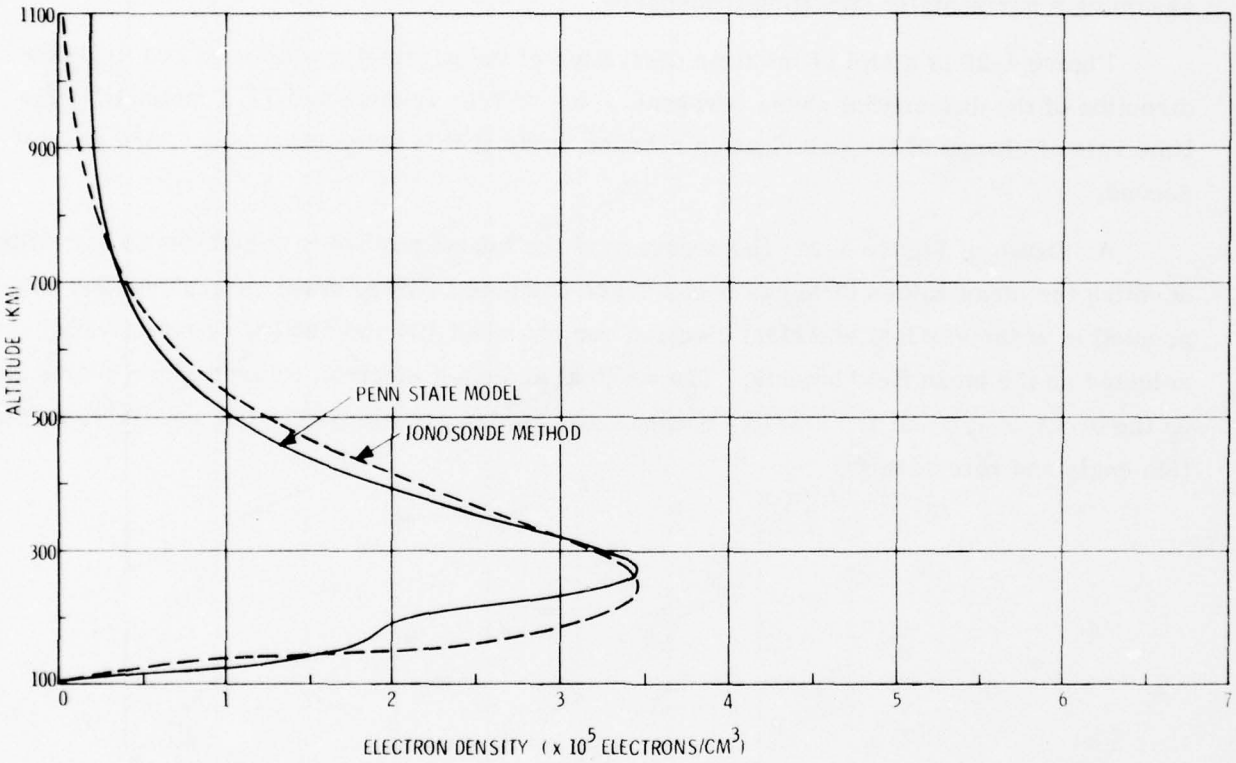

Figure 4-19. Electron Density Profile at Point of Closest Approach of TRANSIT Satellite, Object No. 1970-067A, 1800 Hours GMT, June 3, 1974 at Schenectady, New York as Predicted by the Penn State Ionosphere Model and Ionosonde Method

When Faraday rotation and differential Doppler signals from beacon satellites are simultaneously recorded, the hybrid method proposed by Burgess (1962) can be applied for ionospheric electron content studies. For simplicity, Equation (2-43) is only considered in this analysis. This relationship is applicable for the position on the satellite orbit at which the differential Doppler frequency shift or the time rate of change of the differential phase is zero. It should be noted that, on replacing $\overline{\dot{H} \cos \theta}$ with $\dot{\overline{M}}$, Equation (2-43) becomes identical to Equation (2-11) which was derived for the polarization rotation rate method assuming a horizontally stratified ionosphere.

Figure 4-20 is a plot of the time derivative of the parameters M and H cos θ in the direction of the differential phase reversal, i.e., 287.6° azimuth and 71.5° azimuth. The time rate of change of the polarization rotation angle at this orientation is 0.00584 radian/second.

As shown in Figure 4-21, the accuracy of the hybrid method is dependent on the altitude at which the mean values of M and H cos θ are computed. Zero error is achieved for the prediction of the vertical and slant electron content when 454 and 602 km, respectively, are selected as the mean field heights. The vertical and slant electron content error slopes are on the order of 7.0 and 7.7 km/1%, respectively, which are similar to the polarization rotation angle and rate results.

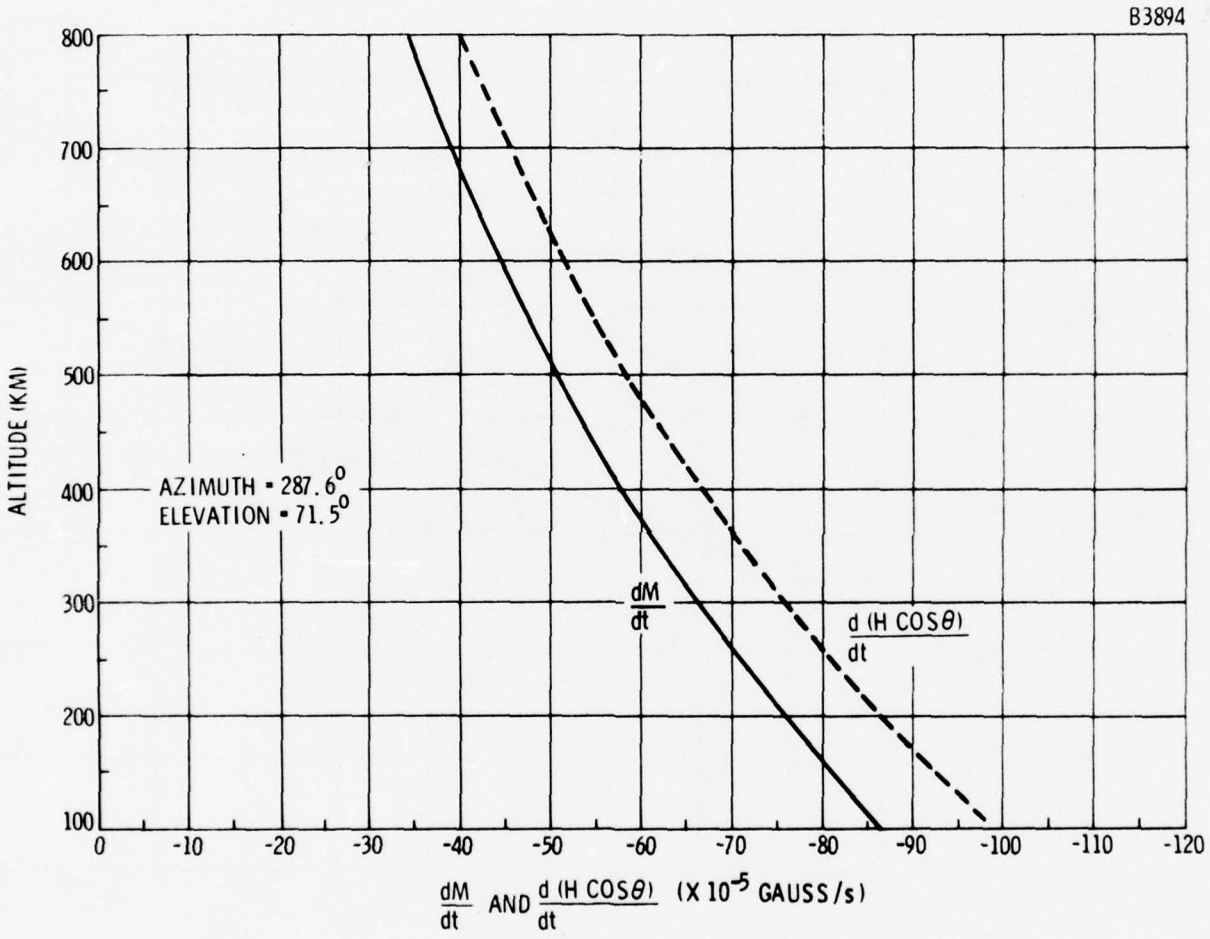

Figure 4-20. Time Derivative of the Parameters M and H cos θ in the Direction of Differential Phase Reversal

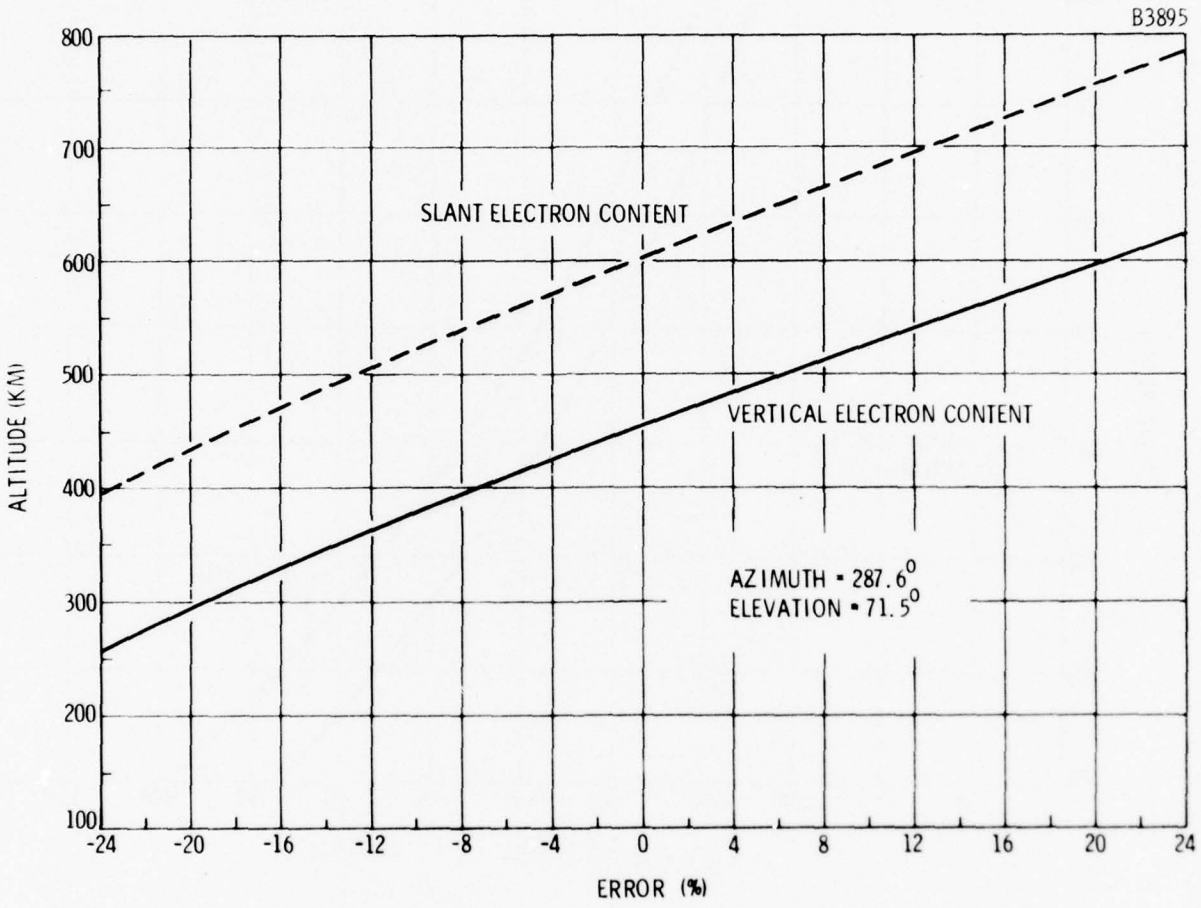

Figure 4-21. **Error in Determining the Vertical and Slant Electron Content at 150 MHz by the Faraday-Doppler Hybrid Method**

SECTION V

CONCLUSIONS

It is demonstrated that the concept of computer simulation can be applied for the evaluation of the various analytical techniques for determining the electron content in the ionosphere utilizing beacon satellite transmissions.

The accuracies of the Faraday rotation single frequency, angle and rate methods and the Faraday-Doppler hybrid method are dependent on the altitude at which the mean geometric magnetic factor, M, and the mean magnetic function, $\overline{H \cos \theta}$ are evaluated.

The mean field heights for 0% error in the estimation of the vertical and slant electron content are summarized in Table 5-1.

The deviation in the $\overline{M}$ heights with respect to the F-layer maximum ionization level is found to be within -50 and +184 km.

The mean field heights for $\overline{H \cos \theta}$ vary from approximately 150 to 425 km above that for $\overline{M}$ except in the case of the Faraday rotation single frequency method which indicates a height variation ranging from 0 to 28 km.

The errors in predicting the electron content due to inaccuracies in the mean field height are listed in Table 5-2. It appears that a mean field height error has the least effect on the accuracy of the Faraday rotation single frequency method and the greatest on the Faraday rotation rate method.

The electron content prediction accuracy attained by employing the Doppler phenomena is summarized in Table 5-3. Of the three analytical techniques investigated, the ionosonde method seems to be the more accurate.

A more thorough investigation is required to determine the accuracy that can be achieved with the Faraday and Doppler least square method of analysis of beacon satellite signals.

TABLE 5-1

ALTITUDE OF $\overline{M}$ AND $\overline{H \cos \theta}$ EVALUATION FOR ZERO PERCENT ERROR IN IONOSPHERIC ELECTRON CONTENT ESTIMATION

Analytical Method	Height of F-Layer Peak (km)	Mean Field Height (km)	
		$\overline{M}$	$\overline{H \cos \theta}$
Faraday rotation single frequency	280	354,360	382,360
Faraday rotation angle	270	252	613
Faraday rotation rate	270	220,272	540,696
Faraday-Doppler hybrid	270	454	602

TABLE 5-2

ERROR IN IONOSPHERIC ELECTRON CONTENT ESTIMATION DUE TO MEAN FIELD HEIGHT INACCURACY

Analytical Method	Altitude-Error Slope (km/1% Error)	
	Vertical Electron Content	Slant Electron Content
Faraday rotation single frequency	14.0, 10.6	14.5, 12.1
Faraday rotation angle	6.0	7.1
Faraday rotation rate	1.6, 6.3	4.0, 8.0
Faraday-Doppler hybrid	7.0	7.7

TABLE 5-3

ERROR IN IONOSPHERIC ELECTRON CONTENT ESTIMATION UTILIZING DISPERSIVE PHASE-DOPPLER TECHNIQUE

Analytical Method	Error (%)
Doppler frequency shift	28.8 - 114.4
Doppler frequency slope	29.4
Ionosonde	11.1

SECTION VI

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APPENDIX A

FARADAY POLARIZATION ROTATION EFFECT

When a linearly-polarized electromagnetic wave enters the ionosphere, the wave separates into two independent components both, in the general case, elliptically polarized with opposite senses of rotation. For frequencies in the VHF range and above, the two components, i.e., the ordinary and extraordinary waves, are circularly polarized.

As the ionosphere is traversed, the two waves progress with different velocities of propagation which result in the phase relationship between them to be continuously changing. On leaving the ionosphere, the circularly polarized components recombine to form a linearly polarized wave which is rotated with respect to the original linear wave.

The differential phase shift that each of the components undergoes in an element of path length, dr, is given by

$$d\phi_o = \frac{2\pi}{\lambda_o} dr \tag{A-1}$$

and

$$d\phi_e = \frac{2\pi}{\lambda_e} dr \tag{A-2}$$

where the subscripts, o and e, refer to the ordinary and extraordinary wave, respectively, and λ_o and λ_e are the wavelengths associated with the phase velocity, V_{po} and V_{pe}, of the waves.

By definition

$$\lambda_{o,e} = \frac{V_{po,e}}{f} \tag{A-3}$$

and

$$V_{po,e} = \frac{c}{n_{o,e}} \tag{A-4}$$

where c is the free space velocity, f is the transmission frequency, and $n_{o,e}$ are the refractive indices of the medium.

Since the angular rotation of the plane of polarization of the wave, $d\Omega$, as it travels the distance, dr, is given by

$$d\Omega = \frac{1}{2}(d\phi_o - d\phi_e) \tag{A-5}$$

it follows from Equations (A-1) through (A-4) that

$$d\Omega = \frac{\omega}{2c}\,\Delta n\, dr \tag{A-6}$$

where $\omega = 2\pi f$ and $\Delta n = n_o - n_e$.

This relationship defines the angular rotation of a linearly polarized for a one-way propagation path. When a two-way transmission path is considered, an additional factor of 2 is introduced.

Thus, the total polarization shift (in radians) for a one-way propagation path in terms of a vertical height variable, dh, becomes

$$\Omega = \frac{\omega}{2c} \int_{h_1}^{h_2} \Delta n\, f(h)\, dh \tag{A-7}$$

where h_1 and h_2 are the height limits of the path.

The function, f(h), which is the secant of the angle between the ray path and the zenith, is given by

$$f(h) = \frac{r_o + h}{\left[(r_o + h)^2 - (r_o \cos E)^2\right]^{\frac{1}{2}}} \tag{A-8}$$

where r_o is the radius of the earth and E is the elevation angle of the antenna beam.

According to Appendix C, the difference in the refractive indices between the ordinary and the extraordinary waves, for quasi-longitudinal propagation, is

$$\Delta n = \frac{\omega_N^2\,\omega_H}{\omega^3}\cos\theta + \frac{\omega_N^2\,\omega_H^3}{\omega^5}\cos^3\theta + \frac{1}{2}\,\frac{\omega_N^4\,\omega_H}{\omega^5}\cos\theta + \cdots \tag{A-9}$$

where ω_H is the angular gyromagnetic frequency of the electron about the earth's magnetic field, ω_N is the angular plasma frequency of the ionosphere and θ is the propagation angle.

It follows that the one-way polarization shift can therefore be written as

$$\Omega = \frac{1}{c\,\omega^2} \int_{h_1}^{h_2} \omega_N^2\, \omega_H \cos\theta\, f(h)\, dh$$

$$+ \frac{1}{2c\,\omega^4} \int_{h_1}^{h_2} \omega_N^2\, \omega_H^3 \cos^3\theta\, f(h)\, dh$$

$$+ \frac{1}{4c\,\omega^4} \int_{h_1}^{h_2} \omega_N^4\, \omega_H \cos\theta\, f(h)\, dh + \cdots \qquad \text{(A-10)}$$

On substituting the parameters defining ω_N^2 and ω_H from Appendix C, this relationship is modified to

$$\Omega = \frac{e^3}{2\pi m_e^2 c^2 f^2} \int_{h_1}^{h_2} N_e H \cos\theta\, f(h)\, dh$$

$$+ \frac{e^5}{8\pi^3 m_e^4 c^4 f^4} \int_{h_1}^{h_2} N_e H^3 \cos^3\theta\, f(h)\, dh$$

$$+ \frac{e^5}{4\pi^2 m_e^3 c^2 f^4} \int_{h_1}^{h_2} N_e^2 H \cos\theta\, f(h)\, dh + \cdots \qquad \text{(A-11)}$$

where e is the electron charge, m_e is the electron mass, and N_e is the electron density and H is the magnetic field intensity.

When utilizing the numerical values of the constants as given in Appendix C, the polarization rotation in cgs units is simplified to

$$\Omega = \frac{2.3617 \times 10^4}{f^2} \int_{h_1}^{h_2} N_e H \cos\theta \, f(h) \, dh$$

$$+ \frac{1.8493 \times 10^{17}}{f^4} \int_{h_1}^{h_2} N_e H^3 \cos^3\theta \, f(h) \, dh$$

$$+ \frac{9.5166 \times 10^{11}}{f^4} \int_{h_1}^{h_2} N_e^2 H \cos\theta \, f(h) \, dh + \cdots \qquad \text{(A-12)}$$

It should be noted that the first term in Equation (A-12) is generally used for polarization rotation calculations. The effect of neglecting the higher order terms in the complete expression for Ω has been investigated by Yeh (1960) and Ross (1965).

It is seen in Equations (A-11) and (A-12) that the magnitude of the polarization rotation angle is basically a function of the integrated electron density, the magnetic field intensity, H, and the propagation angle, θ.

It can be shown (Millman, 1959) that the angle θ is given by

$$\theta = \cos^{-1} [-\cos\epsilon \sin I - \sin\epsilon \cos I \cos(\gamma - D)] \qquad \text{(A-13)}$$

where I and D are the magnetic inclination and declination angles, respectively. These parameters specify the direction of the total magnetic intensity vector in space.

The angle, ϵ, which is the angle between the ray path and the zenith at the point of magnetic field intersection, is defined by

$$\epsilon = \sin^{-1}\left[\frac{r_o}{r_o + h} \cos E\right] \qquad \text{(A-14)}$$

It is noted that ϵ is related to the function, f(h), Equation (A-8), by

$$\epsilon = \sec^{-1} f(h) \tag{A-15}$$

The angle γ which is the geographic azimuth bearing of the radar location as measured at the subionospheric point, i.e., the location on the earth's surface directly beneath the magnetic field intersection point, can be derived from the relationship (Millman, 1958).

$$\gamma = \tan^{-1}\left[\frac{\sin(\lambda_R - \lambda_P)\cos\phi_R}{\sin\phi_R\cos\phi_P - \cos\phi_R\sin\phi_P\cos(\lambda_R - \lambda_P)}\right] \tag{A-16}$$

where ϕ and λ are the geographic latitude and east longitude, respectively. The subscripts, R and P, refer to the radar site and reflection point, respectively.

The parameters I and D can be deduced from a spherical harmonic model of the earth's magnetic field. The spherical harmonic representation assumes that the earth's main field, i.e., the magnetic field that excludes such phenomena as magnetic disturbances and diurnal variations, can be described by a regular or dipole field and an irregular field.

According to Chapman and Bartels (1940), the magnetic inclination and declination angles for the spherical harmonic model are defined by

$$I = \tan^{-1}\left[\frac{Z}{\left(X^2 + Y^2\right)^{\frac{1}{2}}}\right] \tag{A-17}$$

$$D = \tan^{-1}\left[\frac{Y}{X}\right] \tag{A-18}$$

where X, Y, and Z are the northward horizontal, the eastward horizontal, and the downward vertical component, respectively, of the total magnetic field intensity, H, which is given by

$$H = \left[X^2 + Y^2 + Z^2\right]^{\frac{1}{2}} \tag{A-19}$$

The components, X, Y and Z, are related to the magnetic potential, V, by the functions

$$X = \frac{1}{r} \frac{\partial V}{\partial \phi'} \tag{A-20}$$

$$Y = - \frac{1}{r \sin \phi'} \frac{\partial V}{\partial \lambda} \tag{A-21}$$

$$Z = \frac{\partial V}{\partial r} \tag{A-22}$$

$$V = \sum_{n=0}^{\infty} \sum_{m=0}^{n} \frac{r_o^{n+1}}{r^{n+1}} \left[g_{nm} \cos (m\lambda) + h_{nm} \sin (m\lambda) \right] P_n^m (\cos \phi') \tag{A-23}$$

where r is the distance from the center of the earth, ϕ' is the geographic colatitude, $p_n^m (\cos \phi')$ are the associated Lengendre functions of degree n and order m, and g_{nm} and h_{nm} are the coefficients of the spherical harmonic expansion. It is noted that the first-degree harmonic terms in Equation (A-23), i.e., terms with n = 1 and m = 0, reduce to that of a dipole potential.

APPENDIX B

DIFFERENTIAL PHASE AND DOPPLER FREQUENCY SHIFT

The phase of an RF signal received on the ground from a transmitter in a satellite can be represented by

$$\phi = \omega t - \frac{2\pi}{\lambda} P_{ti} \tag{B-1}$$

where ϕ is the phase in radians, ω is the transmitted angular frequency, t is the time, and λ is the transmitted wavelength. The parameter P_{ti} is the phase path length which is given by

$$P_{ti} = \int_0^R (n_t + n_i)\, dr \tag{B-2}$$

where n_t and n_i are the indices of refraction of the troposphere and ionosphere, respectively, and dr is the element of path length.

For a satellite which transmits two harmonically related coherent frequencies, f_1 and f_2, the phase of the received signals can be written as

$$\phi_1 = \omega_1 t - \frac{2\pi}{\lambda_1} \int_0^R n_{t1}\, dr - \frac{2\pi}{\lambda_1} \int_0^R n_{i1}\, dr \tag{B-3}$$

$$\phi_2 = \omega_2 t - \frac{2\pi}{\lambda_2} \int_0^R n_{t2}\, dr - \frac{2\pi}{\lambda_2} \int_0^R n_{i2}\, dr \tag{B-4}$$

where the subscripts, 1 and 2, refers to the two frequencies.

The nonionospheric terms can be eliminated by deriving the phase difference between the two signals which can be expressed by

$$\Delta\phi = a\,\phi_1 - b\,\phi_2 \tag{B-5}$$

where the constants a and b are related to the frequency ratio

$$\frac{f_2}{f_1} = \frac{a}{b} \tag{B-6}$$

Since the index of refraction in the troposphere is independent of frequency for frequencies less than approximately 15 GHz, the phase difference evaluates to

$$\Delta\phi = 2\pi\left[\frac{b}{\lambda_2}\int_0^R n_{i2}\,dr - \frac{a}{\lambda_1}\int_0^R n_{i1}\,dr\right] \tag{B-7}$$

As derived in Appendix C, the ionospheric refractive index is given by

$$n = 1 - \frac{1}{2}\frac{\omega_N^2}{\omega^2} \pm \frac{1}{2}\frac{\omega_N^2\omega_H}{\omega^3}\cos\theta - \frac{1}{2}\frac{\omega_N^2\omega_H^2}{\omega^4}\cos^2\theta$$

$$- \frac{1}{8}\frac{\omega_N^4}{\omega^4} \pm \frac{1}{2}\frac{\omega_N^2\omega_H^3}{\omega^5}\cos^3\theta \pm \frac{1}{4}\frac{\omega_N^4\omega_H}{\omega^5}\cos\theta + \cdots \tag{B-8}$$

where ω_N is the plasma frequency, ω_H is the gyromagnetic frequency of the electrons and θ is the propagation angle. For simplicity, the subscript i is no longer retained. The positive signs of the ± terms are associated with the ordinary wave while the negative with the extraordinary wave.

Substituting Equation (B-8) in Equation (B-7), there results

$$\Delta\phi = 2\pi \left\{ \left(\frac{b}{\lambda_2} - \frac{a}{\lambda_1} \right) R - \frac{1}{2} \left(\frac{b}{\lambda_2 \omega_2^2} - \frac{a}{\lambda_1 \omega_1^2} \right) \int_0^R \omega_N^2 \, dr \right.$$

$$\pm \frac{1}{2} \left(\frac{b}{\lambda_2 \omega_2^3} - \frac{a}{\lambda_1 \omega_1^3} \right) \int_0^R \omega_N^2 \omega_H \cos\theta \, dr$$

$$- \frac{1}{2} \left(\frac{b}{\lambda_2 \omega_2^4} - \frac{a}{\lambda_1 \omega_1^4} \right) \int_0^R \omega_N^2 \omega_H^2 \cos^2\theta \, dr$$

$$- \frac{1}{8} \left(\frac{b}{\lambda_2 \omega_2^4} - \frac{a}{\lambda_1 \omega_1^4} \right) \int_0^R \omega_N^4 \, dr$$

$$\pm \frac{1}{2} \left(\frac{b}{\lambda_2 \omega_2^5} - \frac{a}{\lambda_1 \omega_1^5} \right) \int_0^R \omega_N^2 \omega_H^3 \cos^3\theta \, dr$$

$$\left. \pm \frac{1}{4} \left(\frac{b}{\lambda_2 \omega_2^5} - \frac{a}{\lambda_1 \omega_1^5} \right) \int_0^R \omega_N^4 \omega_H \cos\theta \, dr + \cdots \right\} \qquad \text{(B-9)}$$

When utilizing Equation (B-6), this expression reduces to

$$\Delta\phi = -\frac{1}{2c\,\omega_1}\left(\frac{b^2 - a^2}{a}\right)\int_0^R \omega_N^2\, dr$$

$$\pm\frac{1}{2c\,\omega_1^2}\left(\frac{b^3 - a^3}{a^2}\right)\int_0^R \omega_N^2\,\omega_H \cos\theta\, dr$$

$$-\frac{1}{2c\,\omega_1^3}\left(\frac{b^4 - a^4}{a^3}\right)\int_0^R \omega_N^2\,\omega_H^2 \cos^2\theta\, dr$$

$$-\frac{1}{8c\,\omega_1^3}\left(\frac{b^4 - a^4}{a^3}\right)\int_0^R \omega_N^4\, dr$$

$$\pm\frac{1}{2c\,\omega_1^4}\left(\frac{b^5 - a^5}{a^4}\right)\int_0^R \omega_N^2\,\omega_H^3 \cos^3\theta\, dr$$

$$\pm\frac{1}{4c\,\omega_1^4}\left(\frac{b^5 - a^5}{a^4}\right)\int_0^R \omega_N^4\,\omega_H \cos\theta\, dr + \cdots \qquad \text{(B-10)}$$

Further simplification can be attained on substituting in the definitions of ω_N^2 and ω_H given in Appendix C. It follows that

$$\Delta\phi = -\frac{e^2}{m_e c f_1}\left(\frac{b^2-a^2}{a}\right)\int_0^R N_e\,dr$$

$$\pm\frac{e^3}{2\pi m_e^2 c^2 f_1^2}\left(\frac{b^3-a^3}{a^2}\right)\int_0^R N_e H\cos\theta\,dr$$

$$-\frac{e^4}{2\pi m_e^3 c^3 f_1^3}\left(\frac{b^4-a^4}{a^3}\right)\int_0^R N_e H^2\cos^2\theta\,dr$$

$$-\frac{e^4}{4\pi m_e^2 c f_1^3}\left(\frac{b^4-a^4}{a^3}\right)\int_0^R N_e^2\,dr$$

$$\pm\frac{e^5}{8\pi^3 m_e^4 c^4 f_1^4}\left(\frac{b^5-a^5}{a^4}\right)\int_0^R N_e H^3\cos^3\theta\,dr$$

$$\pm\frac{e^5}{4\pi^2 m_e^3 c^2 f_1^4}\left(\frac{b^5-a^5}{a^4}\right)\int_0^R N_e^2 H\cos\theta\,dr+\cdots \qquad \text{(B-11)}$$

where e is the electron change, m_e is the electron mass, N_e is the electron density and H is the magnetic field intensity.

It is of interest to note that except for the constants a and b, the 2nd, 5th and last term on the right side of Equation (B-11) are identical to the Faraday rotation terms derived in Equation (A-11) of Appendix A.

When the values of the constants are used, the phase difference reduces to

$$\Delta\phi = -\frac{8.4396 \times 10^{-3}}{f_1}\left(\frac{b^2 - a^2}{a}\right)\int_0^R N_e\, dr$$

$$\pm\frac{2.3617 \times 10^{4}}{f_1^2}\left(\frac{b^3 - a^3}{a^2}\right)\int_0^R N_e\, H\cos\theta\, dr$$

$$-\frac{4.1524 \times 10^{11}}{f_1^3}\left(\frac{b^4 - a^4}{a^3}\right)\int_0^R N_e\, H^2\cos^2\theta\, dr$$

$$-\frac{1.7004 \times 10^{5}}{f_1^3}\left(\frac{b^4 - a^4}{a^3}\right)\int_0^R N_e^2\, dr$$

$$\pm\frac{1.8493 \times 10^{17}}{f_1^4}\left(\frac{b^5 - a^5}{a^4}\right)\int_0^R N_e\, H^3\cos^3\theta\, dr$$

$$\pm\frac{9.5166 \times 10^{11}}{f_1^4}\left(\frac{b^5 - a^5}{a^4}\right)\int_0^R N_e^2\, H\cos\theta\, dr + \cdots \qquad \text{(B-12)}$$

It should be noted that the phase difference, Equations (B-10) through (B-12) can also be expressed in terms of the frequency f_2. For this case, the constant a in the denominators of all the terms is replaced by the constant b.

The derivation of the phase difference equations is based on the supposition that the propagation paths of the two frequencies are identical. In other words, the ionospheric effects are assumed to be negligible. This assumption is justified, for the most part, for frequencies in the VHF and UHF range except perhaps for propagation at low elevation angles (Millman, 1965).

The frequency of a radio signal emitted from a space vehicle and received on the earth experiences an apparent shift. The phenomenon often being referred to the Doppler effect occurs because of the relative motion between the transmission source and the stationary receiver terminal.

The nonrelativistic Doppler frequency shift, f_d, in the ionosphere can be defined by the relationship

$$f_d = -\frac{f}{c}\frac{dP}{dt} = -\frac{f}{c}\frac{d}{dt}\int_0^R n\,dr = -\frac{1}{2\pi}\frac{d\phi}{dt} \tag{B-13}$$

On substituting in the definition of the refractive index, Equation (B-8), there results

$$\begin{aligned} f_d = {} & -\frac{f}{c}\dot{R} + \frac{e^2}{2\pi m_e c f}\frac{d}{dt}\int_0^R N_e\,dr \\ & \mp \frac{e^3}{4\pi^2 m_e^2 c^2 f^2}\frac{d}{dt}\int_0^R N_e H\cos\theta\,dr \\ & + \frac{e^4}{4\pi^2 m_e^3 c^3 f^3}\frac{d}{dt}\int_0^R N_e H^2\cos^2\theta\,dr \\ & + \frac{e^4}{8\pi^2 m_e^2 c f^3}\frac{d}{dt}\int_0^R N_e^2\,dr \\ & \mp \frac{e^5}{16\pi^4 m_e^4 c^4 f^4}\frac{d}{dt}\int_0^R N_e H^3\cos^3\theta\,dr \\ & \mp \frac{e^5}{8\pi^3 m_e^3 c^2 f^4}\frac{d}{dt}\int_0^R N_e^2 H\cos\theta\,dr + \cdots \end{aligned} \tag{B-14}$$

It can be readily shown that Equation (B-14) simplifies to

$$f_d = -\frac{f}{c}\dot{R} + \frac{1.3432 \times 10^{-3}}{f}\frac{d}{dt}\int_0^R N_e\, dr$$

$$\mp \frac{3.7588 \times 10^{3}}{f^2}\frac{d}{dt}\int_0^R N_e\, H\cos\theta\, dr$$

$$+ \frac{6.6088 \times 10^{10}}{f^3}\frac{d}{dt}\int_0^R N_e\, H^2\cos^2\theta\, dr$$

$$+ \frac{2.7063 \times 10^{4}}{f^3}\frac{d}{dt}\int_0^R N_e^2\, dr$$

$$\mp \frac{2.9433 \times 10^{16}}{f^4}\frac{d}{dt}\int_0^R N_e\, H^3\cos^3\theta\, dr$$

$$\mp \frac{1.5146 \times 10^{11}}{f^4}\frac{d}{dt}\int_0^R N_e^2\, H\cos\theta\, dr \qquad \text{(B-15)}$$

The first term in Equations (B-14) and (B-15) describes the Doppler frequency shift for an object moving in free space. It is seen that the Doppler shift is a function of the time derivative of the integrated electron density along the propagation path in the ionosphere, the Faraday polarization rotation and the refractive bending terms.

The magnitude of the terms in the Doppler frequency expression has been evaluated by Tucker and Fannin (1968).

APPENDIX C

IONOSPHERIC INDEX OF REFRACTION

The index of refraction in the ionosphere in the absence of electron collisions, i.e., absorption, is defined by Ratcliffe (1959)

$$n^2 = 1 - \frac{2X(1 - X)}{2(1 - X) - Y_T^2 \pm \left[Y_T^4 + 4Y_L^2 (1 - X)^2 \right]^{\frac{1}{2}}} \tag{C-1}$$

where

$$X = \left(\frac{\omega_N}{\omega} \right)^2 \tag{C-2}$$

$$Y_T = \frac{\omega_H}{\omega} \sin \theta \tag{C-3}$$

$$Y_L = \frac{\omega_H}{\omega} \cos \theta \tag{C-4}$$

where θ is the propagation angle, i.e., the angle between the magnetic field vector and the direction of propagation.

The parameter, ω_H, is the angular gyromagnetic frequency of the electron about the earth's magnetic field and is given by

$$\omega_H = \frac{H e}{m_e c} \tag{C-5}$$

where H is the magnetic field intensity in gauss, e is the electron charge (4.8×10^{-10} esu), m_c is the electron mass (9.1×10^{-28} gm) and c is the free space velocity (3×10^{10} cm/s).

The term, ω_N, is the angular plasma frequency of the ionosphere and is given by

$$\omega_N^2 = \frac{4\pi N_e e^2}{m_e} \tag{C-6}$$

where N_e is the electron density in electrons/cm^3.

It should be noted that there are two values for the refractive index, Equation (C-1). The positive sign is associated with the ordinary wave while the negative sign with the extraordinary wave.

The quasi-longitudinal mode of propagation can be represented by the condition

$$4Y_L^2 (1 - X)^2 >> Y_T^4 \tag{C-7}$$

It can be readily shown that, in order to maintain about a factor of 50 between the two terms, the approximation is valid when the propagation angle is restrained between $0° \leq \theta \leq 86.6°$ at 100 MHz and $0° \leq \theta \leq 89.6°$ at 400 MHz.

For the quasi-longitudinal case, the refractive index simplifies to

$$n^2 = 1 - \frac{X}{1 \pm Y_L} \tag{C-8}$$

Substituting Equations (C-2) and (C-4) in Equation (C-8), it follows that

$$n^2 = 1 - \frac{\omega_N^2}{\omega^2 \pm \omega \omega_H \cos \theta} \tag{C-9}$$

The condition for quasi-transverse propagation is denoted by the inequality

$$Y_T^4 >> 4Y_L^2 (1 - X)^2 \tag{C-10}$$

which is merely the reverse of Equation (C-7). For this case, Equation (C-1) reduces to

$$n_o^2 = 1 - X \tag{C-11}$$

$$n_e^2 = 1 - \frac{X(1 - X)}{1 - X - Y_T^2} \tag{C-12}$$

where the subscripts, o and e, signify the ordinary and extraordinary waves, respectively.

Referring to Equation (C-8), the refractive index for the quasi-longitudinal mode of propagation can be written as

$$n^2 = 1 - X(1 \pm Y_L)^{-1} \tag{C-13}$$

Expanding by the binomial theorem, there results

$$n^2 = 1 - X\left[1 \mp Y_L + Y_L^2 \mp Y_L^3 + Y_L^4 \cdots\right] \tag{C-14}$$

Further expansion can be accomplished by letting

$$n^2 = 1 - Z \tag{C-15}$$

Thus

$$n = 1 - \frac{1}{2} Z - \frac{1}{8} Z^2 - \frac{1}{16} Z^3 - \frac{5}{128} Z^4 \cdots \tag{C-16}$$

where

$$Z = X\left[1 \mp Y_L + Y_L^2 \mp Y_L^3 + \cdots\right] \tag{C-17}$$

$$Z^2 = X^2\left[1 \mp 2Y_L + 3Y_L^2 \mp 4Y_L^3 + \cdots\right] \tag{C-18}$$

$$Z^3 = X^3\left[1 \mp 3Y_L + 6Y_L^2 \mp 10Y_L^3 + \cdots\right] \tag{C-19}$$

In order words, the index of the refraction becomes

$$\begin{aligned} n = 1 &- \frac{1}{2} X \pm \frac{1}{2} X Y_L - \frac{1}{2} X Y_L^2 \pm \frac{1}{2} X Y_L^3 - \frac{1}{8} X^2 \\ &\pm \frac{1}{4} X^2 Y_L - \frac{3}{8} X^2 Y_L^2 \pm \frac{1}{2} X^2 Y_L^3 - \frac{1}{16} X^3 \\ &\pm \frac{3}{16} X^3 Y_L - \frac{3}{8} X^3 Y_L^2 \pm \frac{5}{8} X^3 Y_L^2 + \cdots \end{aligned} \tag{C-20}$$

This expression can also be written in terms of the physical constants for X and Y. Equations (C-2) and (C-4). It follows that

$$n = 1 - \frac{1}{2}\left(\frac{\omega_N}{\omega}\right)^2 \pm \frac{1}{2}\frac{\omega_N^2\,\omega_H}{\omega^3}\cos\theta - \frac{1}{2}\frac{\omega_N^2\,\omega_H^2}{\omega^4}\cos^2\theta$$

$$- \frac{1}{8}\left(\frac{\omega_N}{\omega}\right)^4 \pm \frac{1}{2}\frac{\omega_N^2\,\omega_H^3}{\omega^5}\cos^3\theta \pm \frac{1}{4}\frac{\omega_N^4\,\omega_H}{\omega^5}\cos\theta + \cdots \qquad \text{(C-21)}$$

The difference between the refractive indices of the ordinary and extraordinary waves is therefore

$$\Delta n = n_o - n_e = \frac{\omega_N^2\,\omega_H}{\omega^3}\cos\theta + \frac{\omega_N^2\,\omega_H^3}{\omega^5}\cos^3\theta + \frac{1}{2}\frac{\omega_N^4\,\omega}{\omega^5}\cos\theta + \cdots \qquad \text{(C-22)}$$