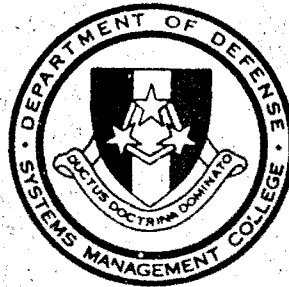


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DEFENSE SYSTEMS MANAGEMENT COLLEGE



PROGRAM MANAGEMENT COURSE INDIVIDUAL STUDY PROGRAM

A DEFENSE SYSTEMS ACQUISITION
MANAGEMENT TAXONOMY AND
INVENTORY OF OFFICIAL ACQUISITION
MANAGEMENT DOCUMENTS

STUDY PROJECT REPORT
PMC 77-1

Joseph Tadashi Tateyama
Major USA

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MANAGEMENT TAXONOMY AND
INVENTORY OF OFFICIAL ACQUISITION
MANAGEMENT DOCUMENTS

Individual Study Program
Study Project Report
Prepared as a Formal Report

Defense Systems Management College
Program Management Course
Class 77-1

by

Joseph Tadashi Tateyama
Major USA

May 1977

Study Project Advisor
Dr. Andrew P. Mosier

This study project report represents the views, conclusions, and recommendations of the author and does not necessarily reflect the official opinion of the Defense Systems Management College or the Department of Defense

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1 September 1977

Foreword

This project to develop a universal defense systems acquisition management taxonomy and apply the taxonomy to develop needed inventories of acquisition management documents is truly a continuing project. Major Morris initiated it as an ISP project in PMC 76-2. Major Tateyama continued it through PMC 77-1 and submitted his project report on 11 May to meet the PMC 77-1 report deadline. Since then, continuing project activities have provided new information and insights. These activities are cited here and their results are included in this report to make it as current and complete as possible, since it is the first report to be distributed widely for use and comment. Feedback aimed at further improvement of both the taxonomy and the inventory of official documents, and at using the improved taxonomy to develop other useful inventories, is needed now to continue the project initiated by Majors Morris and Tateyama.

After submitting his report in May, Major Tateyama continued to add new and updated data to the official documents inventory and to make other improvements in his report until his departure in late June for his new assignment.

The Administration Division of the College and the Library have begun a joint project to use the inventory to develop and maintain an official documents reference section in the DSMC Library. The Administration Division has established procedures to obtain automatic distribution of the following to DSMC: all official publications indexes used to develop the document-source inventories (Indexes E through P) in this report; all additional official publications indexes needed for the development of other document-source inventories as the scope of the official documents section in the Library is increased; and all documents listed in the document-source inventories, as well as all future revisions of these documents. The Inventory by Taxonomy Subject, Index D, will be a library aid in the new official documents reference section, where it can be used to locate all documents that have been classified under any particular taxonomy subject. Automatic distribution to DSMC of all documents listed in the Morris report has been requested, and requests are being processed to obtain automatic distribution of all additional documents identified in Indexes E through P of this report. The currency of the documents in the official documents section of the Library will be continually maintained through automatic DSMC receipt of revised documents as each revision is distributed by its source organization.

Planning to support operations of the official documents section in the DSMC Library revealed the need to define more clearly the following interfaces described in the May report: the interfaces between the universal subject taxonomy and the numerous environment/source taxonomies; and the interfaces between these taxonomies and the official publication indexes that were used to develop the document-source inventories and the Inventory

by Taxonomy Subject. This clearer definition indicated the need to add two Army document-source inventories, Indexes H and I, to the official documents inventory. These additions were required to assure that only current Army field manuals and technical manuals are maintained in the official documents reference section in the Library and to maintain the currency of those parts of the official documents inventory that are concerned with Army field and technical manuals.

The generally applicable "lesson learned" from this experience is that to assure the continued currency and usefulness of any special inventory of systems acquisition management documents, the following is required. Establish document-source inventories for all documents included in the special inventory--one for each set of documents that must be obtained from a particular source (e.g., Indexes E through P). Maintain the currency of each document-source inventory by using it as a control: (a) for obtaining automatic distribution of revisions or cancellations of all documents listed in it, and (b) for recording these changes, and any additions, in the document-source inventory as they occur. Use all document-source inventories in a special inventory to maintain the currency of its inventory by taxonomy subject. The key to maintaining the usefulness of any special inventory is immediate update of every one of its document-source inventories as changes or additions are received.

In early August, Lieutenant Colonel Anthony Stremic, USMC, a graduate of PMC 77-1, accomplished the following to increase the scope of the official documents inventory in this report (and of the official documents section in the library) to encompass all four military services. He arranged for automatic distribution of two Marine Corps official publications indexes to DSMC; helped develop two Marine Corps document-source inventories (Indexes L and M) and determine the subject classification of the Marine Corps documents included in the Inventory by Taxonomy Subject (Index D); and arranged for automatic distribution to DSMC of all documents (and future revisions) that were included in Indexes L and M so that the currency of the Marine Corps part of the total inventory can be maintained.

Constructive feedback from readers of the May report identified a need to define more explicitly the concept of environment taxonomies, illustrated in Figure 1. The need to clarify the interfaces between environment and subject taxonomies from a coding standpoint, was also identified. In addition, the feedback identified the need for a better subject taxonomy provision for classifying certain types of documents in the Inventory by Taxonomy Subject (Index D). The types include those major policy and organization documents that are not specifically concerned with acquisition management, but which may have significant impact on the overall process of acquisition management. Figure 2.1 was modified as shown in the present report and the Major Policies box was added to Figure 2.0 as an approach for coping with this classification and coding problem.

The results cited above have required some changes in the structure of the report which Major Tateyama submitted in May. Concept clarification and more explicit definition of the interfaces have required the use of

some different terminology, and in a few cases, the writing of completely new paragraphs of the report narrative. However, except for the new contribution by Lieutenant Colonel Stremic and the lessons learned from planning to establish an official documents reference section in the DSMC Library, the additions are results from Major Tateyama's project efforts. Accordingly, I have tried to act as an editor. While reporting current project status and results, I have tried to retain the basic structure, and where possible, the original narrative of Major Tateyama's May report.

Major Tateyama's statement in his executive summary is very true, "This report is only the beginning." Feedback is needed from many users in order to continue the project: to improve the general taxonomy and make it more useful and widely applicable as the common base for developing other needed inventories of systems acquisition management knowledge; and to increase the accuracy and comprehensiveness of the official documents inventory.

Your comments, corrections and suggestions for making both the taxonomy and the inventory more useful are requested. If you suggest additions to the inventory, please identify the official publications index which lists each suggested document. If a document is not listed in one of the 12 publications indexes used to develop the present inventory (see page C-24), please provide a copy of the index which does list the document, or provide sufficient information for DSMC to request automatic distribution of the index, so that the required new document-source inventory can be developed and maintained.

Please key your suggestions or corrections to a specific page of the report, and line number or code. An addressed postpaid comments sheet is included for your convenience at the back of the report. Marked copies of the taxonomy or inventory pages may also be used. Address your suggestions and comments to:

Defense Systems Management College
Dept of Program Management, Bldg 202
Fort Belvoir, Virginia 22060

ANDREW P. MOSIER
Director, Individual Study Program

EXECUTIVE SUMMARY

This report is the result of a pilot project to develop a general classification system for defense systems acquisition management in one taxonomy that will be useful for many different purposes. The initial taxonomy in Figures 2.0 through 2.13 is an integration of inputs from many members of the acquisition management community. Several possible uses of a more fully developed classification structure are identified in Section B.

A taxonomy of the Defense systems acquisition management environment was also developed to enable identification of sources of official documents. Both taxonomies and eight* official publications indexes were used to develop an inventory of official DOD publications in order to aid the development of a practical systems acquisition management taxonomy, to test its scope and organization for one application, and to provide some immediately useful information for acquisition managers.

A subject index, Section D, was developed from the general taxonomy. It shows which official DOD, Army, Navy and Air Force documents pertain to each subject. The last part of the report gives a source listing of all official documents that were identified during the project as pertaining to defense systems acquisition management.

This report is only a beginning. All who use it are requested to feed back suggestions for improving the general taxonomy and increasing the accuracy and comprehensiveness of the official document inventory. Send your suggestions, including marked copies of the taxonomies and inventory lists to:

Defense Systems Management College
ATTN: Dr. Mosier
Bldg 202
Fort Belvoir, Virginia 22060

*Four more indexes were added in the September 1977 update of the report

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SECTION A
INTRODUCTION

Purposes

The primary purpose of this project was to continue the development of a universally applicable taxonomy for classifying the most important elements of defense systems acquisition management concepts, knowledge and practice.

Every professional group needs such a taxinomical framework for classifying and organizing its body of professional knowledge. It needs its own framework to assure that its body of knowledge is structured in the way that best supports the initial education and the continuing professional development of its members in all aspects that are critical for effective performance. It needs its own framework to help identify weak areas in its body of knowledge that need to be strengthened. It needs its own framework to facilitate communications among its members concerning inter-related and interdependent professional responsibilities, duties and job tasks. Each profession also needs its own framework to organize and store constituent items of its body of documented professional knowledge so that each member can quickly determine if particular information that he needs is available, and where among many different potential sources it is located.

This is especially true for those professionals who are responsible for managing or directly supporting defense system acquisition programs and projects. These acquisition management professionals require integrated knowledge from many academic disciplines, functional activities and job specialties. Each needs the integrated knowledge to perform

well as a member of the total management team that is required to develop, procure, test and deploy complex, but supportable, defense systems in today's dynamic national and world environment. Each manager needs this knowledge to function as an effective team member regardless of whether he is a manager in a program office, or in an activity which provides essential specialized support for the particular program or project as well as for other programs and projects.

The Taxonomy of Defense Systems Acquisition Management, Figures 2.0 through 2.13, is the product of project efforts to realize this purpose. This general classification structure is oriented toward the identification, storage, retrieval, and most important, the use of management knowledge in various acquisition situations throughout the life cycle of any complex defense system. It is hoped that this initial taxonomy will be improved through use and feedback by members of the systems acquisition management community as they try to apply it to various kinds of acquisition management information problems and situations.

The second purpose of the project was to apply the taxonomy to the development of an inventory of a subset of the body of systems acquisition management knowledge. One objective of this concurrent application during early development of the taxonomy was to obtain iterative feedback which would help make the initial taxonomy both more complete in its details and more comprehensive in its scope. Another was to provide immediately a useful product of the taxonomy's application, as well as an example of the potential of the taxonomy for organizing and inventorying other subsets of systems acquisition management knowledge. The partial inventory of official Department of Defense systems acquisition management documents, Indexes D through P in this report, is the product of project efforts toward realization of the second purpose.

Taxonomy Coding

A major objective in support of the two purposes of this project was to develop a coding approach and structure which would facilitate the following efforts to utilize effectively all feedback received from users.

- a. Continue to improve the systems acquisition management taxonomy and make it more complete in detail and comprehensive in scope.
- b. Use the improved taxonomy to inventory additional subsets of systems acquisition management knowledge.
- c. Update all inventories periodically in order to maintain their currency and usefulness.

To enable effective accomplishment of this objective, the coding approach should meet the following conditions:

- a. Be flexible enough to permit increasing the detail and expanding the scope of the taxonomy and to facilitate its wide application for inventorying diverse subsets of systems acquisition management knowledge.
- b. Permit storing the taxonomy and all inventories on computer storage devices to enable easy update of selected items as changes occur so that the currency of the taxonomy and of each subset inventory can be economically maintained.
- c. Enable using the computer to periodically print the current inventories contained on computer storage devices, so they can be economically reproduced and distributed to users, including libraries.

Environment Taxonomies

The need for a separate acquisition-management-information source taxonomy became evident during early attempts to use the initial acquisition-management subject taxonomy to develop the official documents inventory. This need was also reenforced by initial attempts to develop a coding structure that met the conditions stated above.

Further analysis also showed that development of a single source taxonomy, whose universality approached that of the subject taxonomy, would be impractical. Each group of potential sources for an inventory has its own

lists of items of information/knowledge which will be useful to acquisition managers. The official publications indexes published periodically by OSD and the military services, which were used in this project, are examples of these lists. The lists are available from each source. Similar lists can be grouped in a source taxonomy for use with the more universal subject taxonomy, as in this project, to develop an inventory of any particular subset of acquisition management knowledge. However, developing a new source taxonomy for each different inventory is not economical. Some rational grouping of sources is needed to reduce the number of source taxonomies whose currency must be maintained.

Collectively, the sources also provide the environment within which systems are acquired. However, the total environment encompasses so many different potential sources, each with lists, that a single environment/source taxonomy would be unmanageably large and too complex to code. A practical solution is to develop source taxonomies based on well differentiated subsets of the environment. A practical constraint is that the sources for any inventory must all be included in a single subset environment/source taxonomy. In order to distinguish between the universal defense systems acquisition management taxonomy, which classifies by subject, and those subset taxonomies which classify by source, the latter have been termed environment taxonomies.

SECTION B

A CONTINUING PROJECT

Initial Project

This project was begun by Major Tom Morris, USA, as his ISP Study Project during PMC 76-2. He began by reviewing similar prior efforts. He found that in May 1972, Mr. Al LeBlanc in OSD (DDR&E) had developed a classification tree of DOD directives in an effort to simplify the OSD directives. In June 1974, the Convair Aerospace Division, San Diego Operation, of General Dynamics had developed a matrix of Government systems documents to assist their managers in identifying, by subject, which DOD, USAF, USN, USA and NASA documents applied to systems acquisition. These studies provided Major Morris with over 100 subjects which he classified under nine major systems acquisition management headings in a single classification scheme. Next he obtained and surveyed eight of the official publications indexes listed in Section C to extract all the current documents applicable to systems acquisition management and to develop appropriate document source indexes.

Major Morris began this project because he recognized the great need for a universal taxonomy to organize and classify acquisition management information and knowledge from many diverse sources to help Defense systems acquisition management develop as a real profession. He also recognized from the beginning that the project was too big to be completed in a single ISP project. He documented his results in a "draft" report¹ which would provide the foundation which other PMC students could build upon and continue the project he had started.

¹ A Manager's Bibliography of Official Defense Systems Acquisition Management Documents, Charles T. Morris, Major, USA, PMC 76-2 ISP Project Report.

Present Project

Based on the initial draft project report by Major Morris and comments from students and faculty, a single taxonomy of the systems acquisition management was attempted. It was to include the original classification tree from the initial project, as well as the sources of information. Initial attempts to use this taxonomy to develop the official documents inventory showed that a separate taxonomy was required for the sources (Figure 1) and another subject taxonomy for the "classification tree" (Figure 2.0). The subject taxonomy was further depicted in more detail for each major subject category (Figures 2.1 through 2.13). These taxonomies were distributed in draft format to the DSMC faculty for comment. Upon review and incorporation of appropriate comments, the taxonomies were finalized and used as a basis for the classification of subject categories and indexing of documents. The scope of the project was expanded largely through the following efforts:

a. The subject categories and subcategories were increased to encompass a better grasp of the systems acquisition environment.

b. The index coding of the sources and subject categories was accomplished to insure growth flexibility as well as acceptability for computer input. This will permit the computerized storage, update, improvement, and printouts of the document lists.

c. The addition of AFLC documents to the inventory was performed to round out the sources at the senior acquisition headquarters level (above the commodity command/division level).

d. An in-depth search of reference documents used in the DSMC PMC curriculum, as well as the reference document indexes of each headquarters, at least doubled the number of documents previously identified by Major Morris. Some of the added documents resulted from the expansion of the subject category taxonomy and addition of AFLC documents. The expanded listed of documents applicable to the systems acquisition management taxonomy has been used to develop the document source inventories of this report. (See Indexes E through P.)

The currency of some of the official document indexes that were available for development of the source inventories were not maintained and

need to be updated. As these source indexes are updated periodically, they should be consulted for the latest revisions to determine the currency of the documents listed in Indexes E through P.

Using both source and subject taxonomies, the Inventory by Taxonomy Subject, Index D, was developed showing which DOD, USA, USN, and USAF documents applied to each subject. Documents below the NAVMAT level (USN) are incomplete due to insufficient time for more research.

The classification of systems acquisition management knowledge shown in the subject taxonomy is arbitrary in many parts. The very nature of many subjects do not lend themselves to clearly definable classifications. Some subjects cut across all elements of systems acquisition management knowledge. However, such a taxonomy is needed and will be useful to many managers and can be improved upon as further study is made in this area.

Future Applications

There are many possible applications and uses of the systems acquisition management taxonomy. First, it can be expanded to further sub-classify subjects and identify acquisition management gaps, overlaps, and areas of confusion in the directives system of the Department of Defense and its components. Second, it could be used to identify unofficial studies, books, pamphlets and guides that have been published on systems acquisition management. Third, it could be used to provide an outline for an overview of systems acquisition management. For example, a short statement of the essential and important facts about each subject could be developed and published as a summary of systems acquisition management. Fourth, the taxonomy could be used as a framework for the development of a publication on lessons learned in each area. Fifth, it could be used to classify,

and catalog all acquisition management books, reports, studies and other documents in the DSMC library. Sixth, it could be used to develop a better classification for systems acquisition management information in the Defense Logistics Studies Information Exchange (DLSIE). Seventh, it could be used by the DSMC and other acquisition management schools to assist in their curriculum and course development.

Comments and Corrections

Time available for the project did not permit identification of every document in the official indexes that should be included in the official document inventory, nor verification of the classification of every document that was included. User feedback is encouraged as an essential part of the plan to make both the taxonomy and inventory more useful to all members of the defense systems acquisition management community.

Readers of this report are encouraged to submit suggestions and comments on the taxonomy, and corrections or additions to Indexes E through P to Dr. Andrew Mosier, Defense Systems Management College, Fort Belvoir, Virginia 22060. Please key comments to a specific page and line number or code. Marked copies of pages may also be used.

SECTION C

TAXONOMY OF DEFENSE SYSTEMS ACQUISITION MANAGEMENT AND AN APPLICATION

The purpose of this taxonomy is to present a composite view of the functional areas/disciplines and organizational levels which have an impact on defense systems acquisition management. The taxonomy will be useful as a basis for a reference index of relevant documents in terms of organizational sources and acquisition management subject areas. The desired end product of this study is a current reference guide to applicable source documents to be used by systems acquisition management personnel.

The principles of classification (taxonomy) used in organizing the various elements of defense system acquisition management are based on practical usage (reference indexing), the DSMC curriculum, and organizational levels (sources) of related documents. The anticipated usage of this taxonomy is primarily as a handy reference index which will enable immediate identification of those documents required for a particular problem or area of operation. It is used in this study to begin an inventory of official DOD systems acquisition management documents. The taxonomy has been structured to approximate the functional disciplines in the DSMC curriculum in hopes that it will be used later to catalog and index other acquisition management documents, studies, reports, etc., in the DSMC library. The official systems acquisition management documents are published at the different organizational levels, and the taxonomy is designed to take maximum advantage of this fact.

As explained in the introduction, application of the subject taxonomy to the development of any inventory of systems acquisition management

SYSTEMS ACQUISITION MANAGEMENT ENVIRONMENT

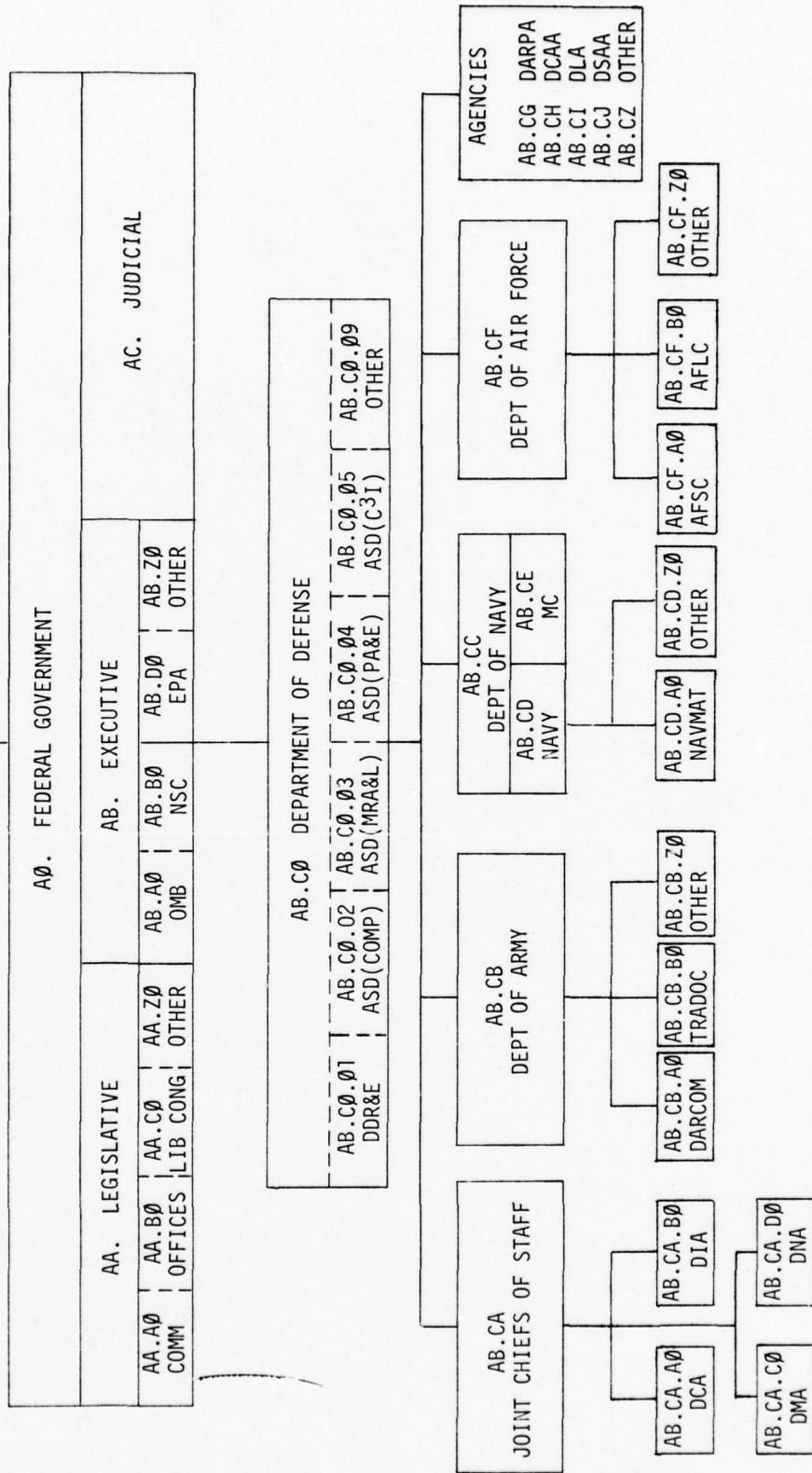


FIGURE 1. TAXONOMY OF DEFENSE SYSTEMS ACQUISITION MANAGEMENT ENVIRONMENT

knowledge requires another taxonomy of the sources information. Grouping related sources into coded taxonomies of different systems acquisition management "environments" was selected as the most economic solution on the continuum between two extremes--developing a separate taxonomy for those sources used in each inventory, or trying to develop an all-encompassing taxonomy that included all potential sources of systems acquisition management knowledge. The result is the taxonomy structure shown in Figures 1 and 2. Both type structures--the relevant environment information-source taxonomy as well as the universal subject taxonomy--are required to develop any inventory of documented systems acquisition management concepts and practice.

Taxonomy of the Environment

Figure 1, Taxonomy of the Defense Systems Acquisition Management Environment, includes the Federal Government sources of the twelve official publications indexes that were used to develop the official documents inventory presented in this report. The total system concept was used in the development of Figure 1. The Federal Government is a subsystem of the overall environment of defense systems acquisition management. All sources in the Federal Government subset of the total acquisition management environment carry the initial index letter A. The rest of the environment is shown at the top in dashed lines. It can be divided into other environment subset taxonomies, coded B, C, D, etc., as additional environment/source taxonomies are required in order to develop other subset inventories of acquisition management knowledge.

At the highest Federal Government level, the Legislative, Executive, and Judicial branches are the logical subclassifications and are assigned the major reference indexing letters of AA, AB, AC respectively. The

Department of Defense, assigned index letters AB.CØ, is the primary channel for higher level policy and includes the major sources of official systems acquisition management information, guidance and directives. The likely sources at the top level in DOD are the Secretary and Deputy Secretary; the Director of Defense Research and Engineering (DDR&E); Assistant Secretary of Defense, Comptroller (COMP); ASD, Manpower, Reserve Affairs, and Logistics (MRA&L); ASD, Planning, Analysis, and Evaluation (PA&E); and the ASD, Communications, Command, Control, and Intelligence (C³I). A further breakdown of DOD substructure is illustrated in Figure 1 and reflects the taxonomy by sources of documents.

In addition to its use in development of the official documents inventory presented in this report, Figure 1 can also be used to develop and help code other inventories for which the Federal Government is the source of information. It can also be easily expanded to include other Federal Government sources--such as the General Accounting Office (GAO) in the Legislative Branch, or the Occupational Safety and Health Administration (OSHA) in the Executive Branch--when lists of documents from these sources are needed for use with the universal subject taxonomy to develop other subset inventories. Furthermore, the coding approach and structure shown on the environment and subject taxonomies (Figures 1 and Figures 2.0 through 2.13) appear adequate to meet all anticipated uses of other environment taxonomies that might need to be developed for use with the universal subject taxonomy to prepare other inventories of systems acquisition management knowledge.

Taxonomy of Systems Acquisition Management

In taxonomy classification by subject, the overall field of systems acquisition management is the prime area of interest and is referenced by index 10 as shown in Figure 2.0. Major policy directives and other major policy guidance on general or overall system acquisition management practices, such as DOD Directives 5000.1 and 5000.2, will be listed under the major policies level of the taxonomy. Similarly, documents with indirect but significant impact on system acquisition management, such as organizational policies and responsibilities of DOD offices, are also listed at the major policies level.

The various functions and disciplines under the general field of systems acquisition management are listed below the 10.00 level and subdivided with index numbers 10.01 through 10.12 (see Figure 2.0). Subclassifications for each major numerical index will follow the scheme indicated by Figures 2.1 through 2.13.

The subdisciplines of systems acquisition management are arranged in the approximate order of their occurrence during the life cycle. Therefore, beginning with a determination of the systems requirements (level 10.01), program initiation is pursued. Requirements may be prompted from advances in the technology base (level 10.01.01) or from user/higher headquarters analyses of future threats (level 10.01.02). The culmination, if appropriate, of the requirements determination process is a Mission Element Needs Statement (MENS) which is a proposal for approval of a system program (level 10.01.03).

Approval of the MENS would signal the need for program/project management (level 10.02) efforts. Considered from a comprehensive viewpoint, program/project management includes all the subdisciplines/functions that

follow, 10.03 through 10.12. To simplify this taxonomy, however, this classification is restricted to those subjects/activities, which at operating levels, are truly general to all aspects of program/project management; and to those subjects/activities that are operationally specific, but which involve or cut across the boundaries of two or more of the subdisciplines/functions 10.03 through 10.12.

Program/project management is applicable to the acquisition of all types of systems but not always in the same way. Different types of systems (level 10.02.03) will require organization and management that is significantly different in some aspects and that is tailored to the major characteristics of the particular class of systems. Although the boundaries are not precise, six different types of systems have been identified in this initial effort to classify systems for more tailored program management.

a. Normal or typical systems (level 10.02.03.01) are those systems, such as airplanes, missiles and tanks, that are complex enough to require a great deal of engineering development and test, followed by production and deployment of a large quantity of the developed system. The phases of the acquisition life cycle inherent for these systems correspond closely to the milestone decision points described in DODD 5000.1.

b. Lead-follow systems (level 10.02.03.02) are large, costly, long lived, few or one of a kind systems. Naval ships and large early warning radar facilities are examples. The engineering development and production phases overlap for the leader (first) system. Experience, drawings, etc., gained from building the leader are then used to build any follower systems. Major modification programs, dealing with major subsystems, are used throughout the long life of the large original systems to maintain their operational effectiveness in a changing environment.

c. Standard Module Subsystems (level 10.02.03.03) are "building blocks" to be used as essential subsystems of similar major systems. Examples include warheads, guidance packages and propulsion subsystems which must meet form, fit and function interface requirements of more than one type of RPV, missile or aircraft systems.

d. Common technology systems (level 10.02.03.04) are groups of small systems whose acquisition, because they require the same technical expertise and management process, are managed by one management office, e.g., a "basket SPO". The life and organization of the program management office (PMO) is not tied to a system's acquisition cycle. Each system may be in a different phase of its acquisition cycle. The systems "flow through" the PMO whose organization tends to be constant as long as the technology itself and the requirement for it do not change. The systems may or may not be subsystems of other larger types of systems identified in level 10.02.03.

e. Additions to large permanent systems (level 10.02.03.05) are advanced systems which do not replace existing large systems. Instead, they are interoperable additions to one or more large permanent systems such as WWMCS or long line communications systems. Another example is SINGGARS (Single Channel Ground and Airborne Radio Subsystem).

f. Major software systems (level 10.02.03.06) are embedded computer systems or computer program oriented management applications to such areas as supply or other logistic systems.

One of the most important attributes of a successful program office is effective organizational behavior interactions (level 10.03). The structuring of the program management office should be considered in relation to the other interpersonal activities which can be described by 10.03 sub-levels. The establishment of a sound organizational base can be a key to the successful launch of a system program office.

The application of the decision/statistical analysis methods (level 10.04), systems engineering management (level 10.05), financial management (level 10.06), procurement management (level 10.07), and test and evaluation considerations (level 10.08) are instrumental in the entire acquisition life cycle of a system. Culminating in production (level 10.09) and initial deployment (level 10.10) of the system to the field, the system eventually becomes a viable part of our national defense weaponry.

During the normal deployment phase of the life cycle, the system may require modernization, modification, or other improvement efforts (level 10.11) to insure its capability to meet the everchanging threats and technological advances. Also during the deployment phase, operations information feedback (level 10.12) provides operational data which will be useful in the determination of requirements for new systems. Additionally, it provides operational cost, maintenance, reliability, availability, and other similar data which enable development of improved systems in the future.

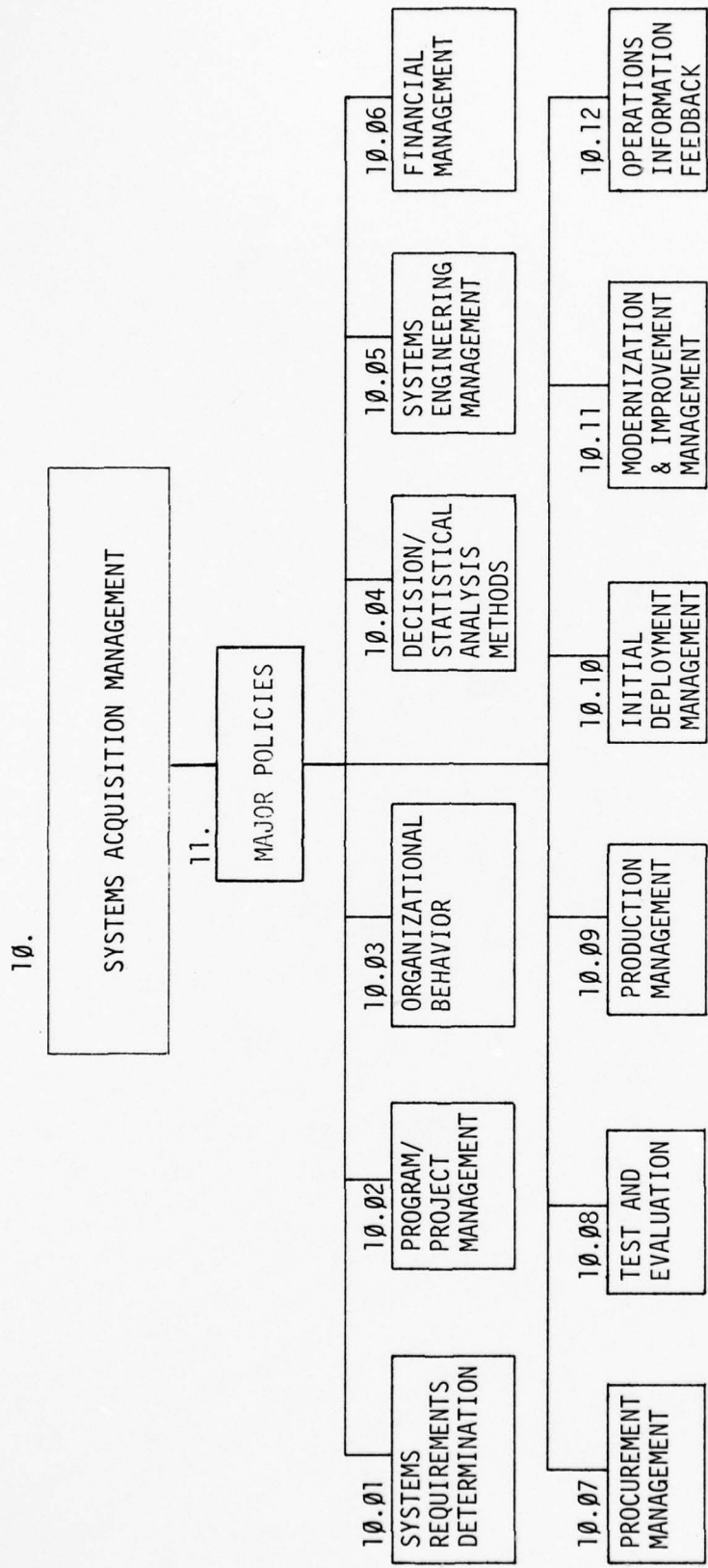


FIGURE 2.0 TAXONOMY OF DEFENSE SYSTEMS ACQUISITION MANAGEMENT

<p>MAJOR POLICIES THAT PERTAIN TO OR IMPACT SYSTEMS ACQUISITION MANAGEMENT</p>
<p>11. ACQUISITION POLICIES</p> <p>12. PLANNING, PROGRAMMING, AND BUDGETING SYSTEM (PPBS)</p>
<p>19. OTHER RELEVANT POLICIES</p>

FIGURE 2.1 MAJOR POLICIES THAT PERTAIN TO OR IMPACT SYSTEMS ACQUISITION MANAGEMENT

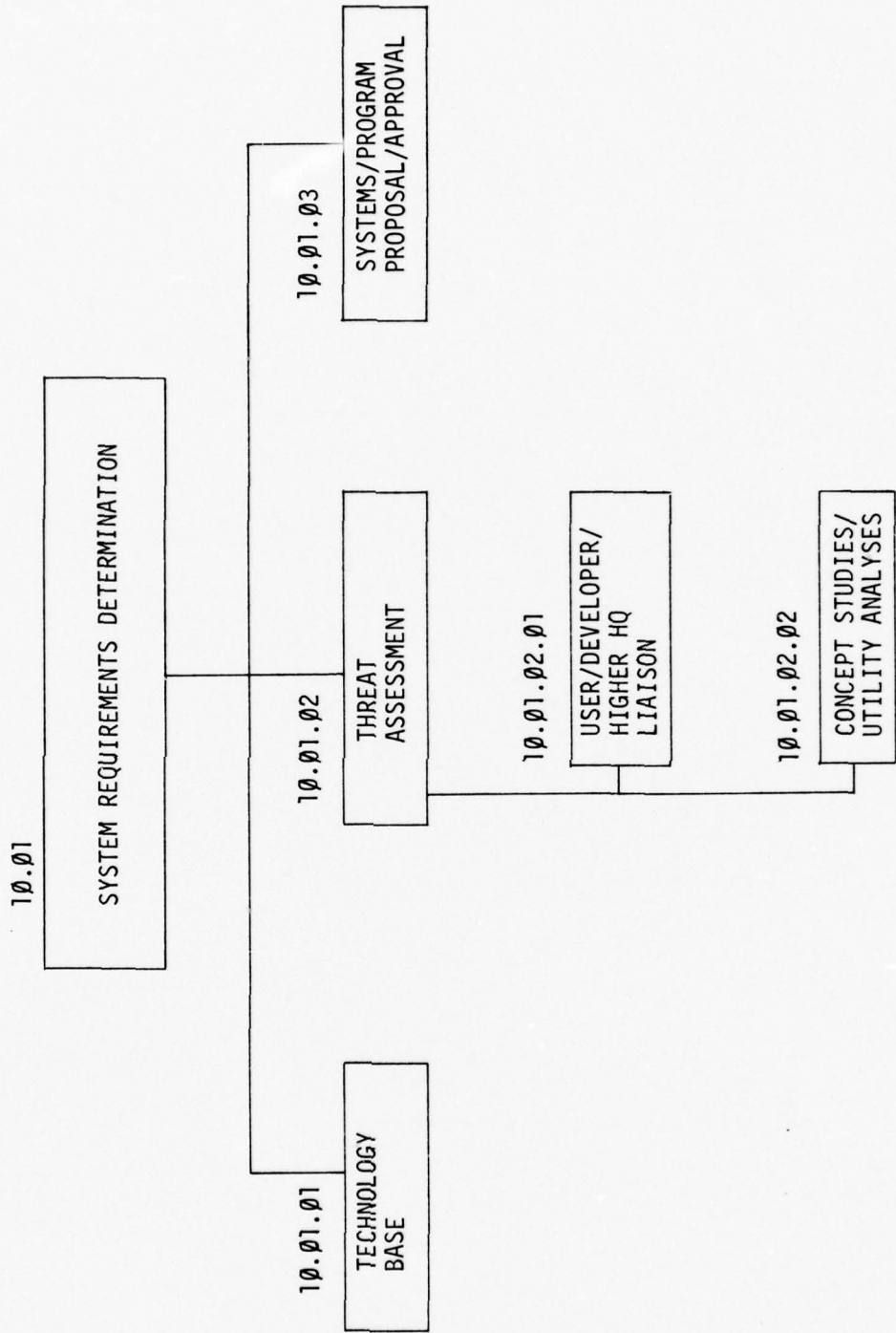


FIGURE 2.2 SYSTEMS REQUIREMENTS DETERMINATION

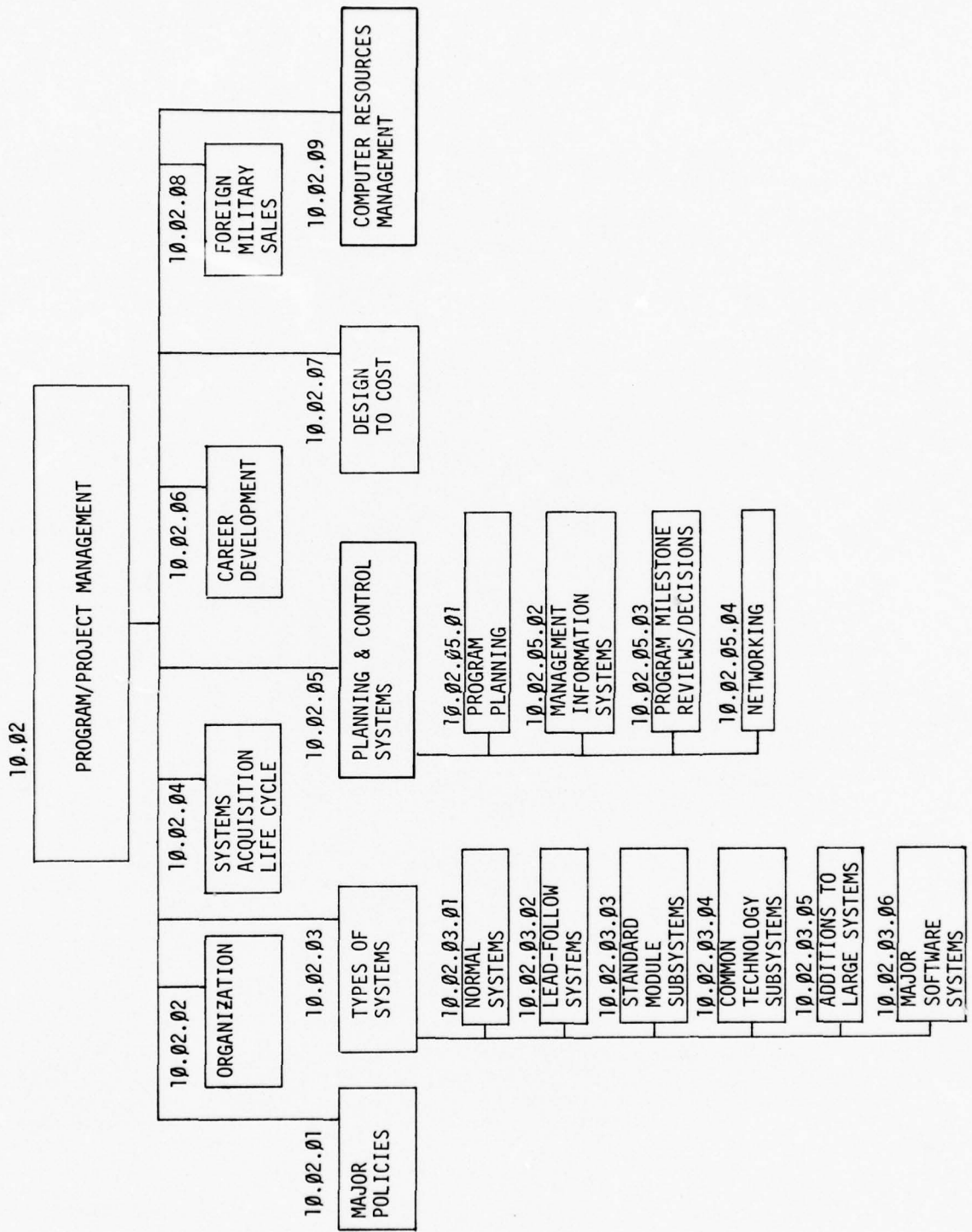


FIGURE 2.3 PROGRAM/PROJECT MANAGEMENT

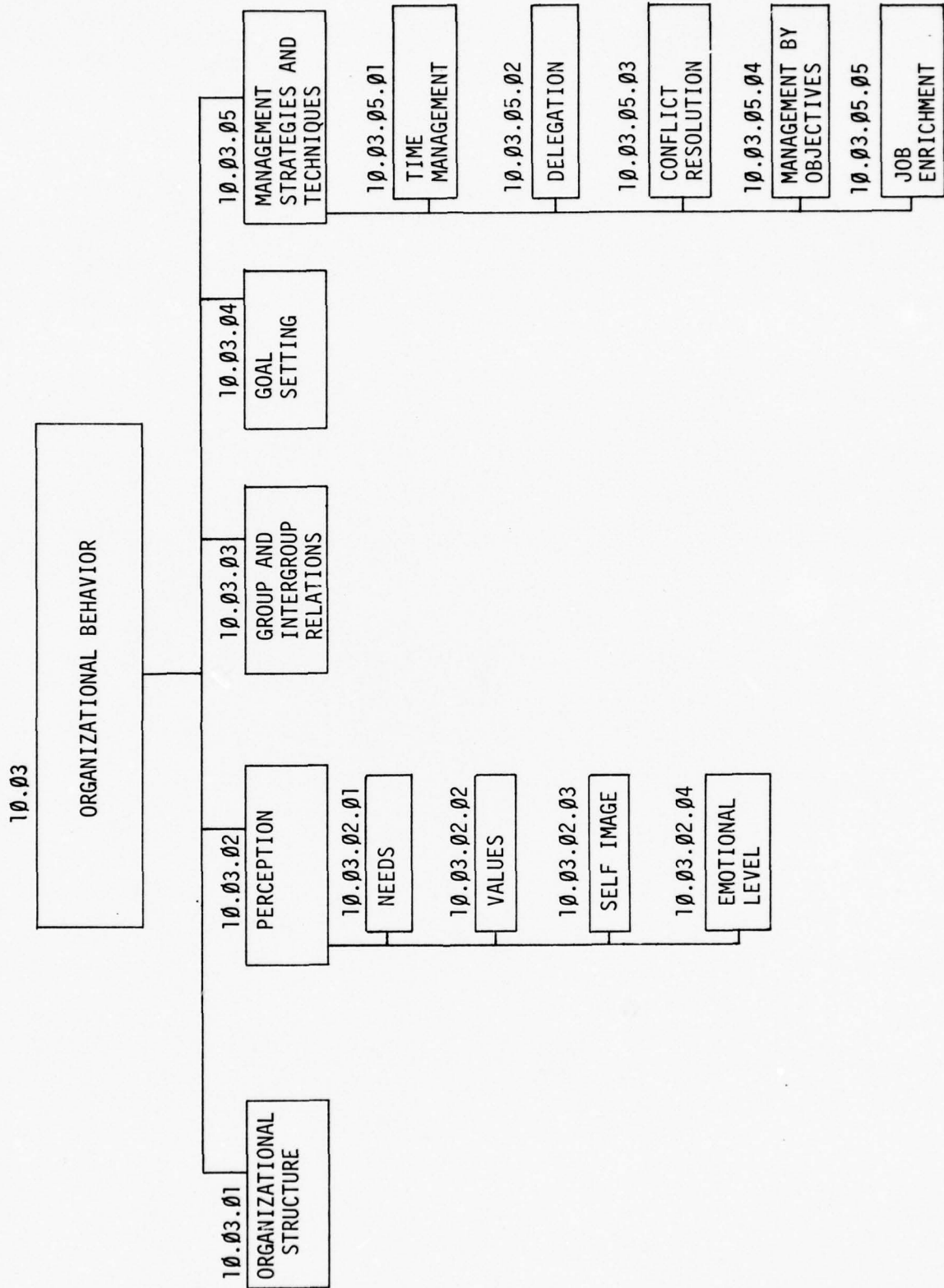


FIGURE 2.4 ORGANIZATIONAL BEHAVIOR

10.04

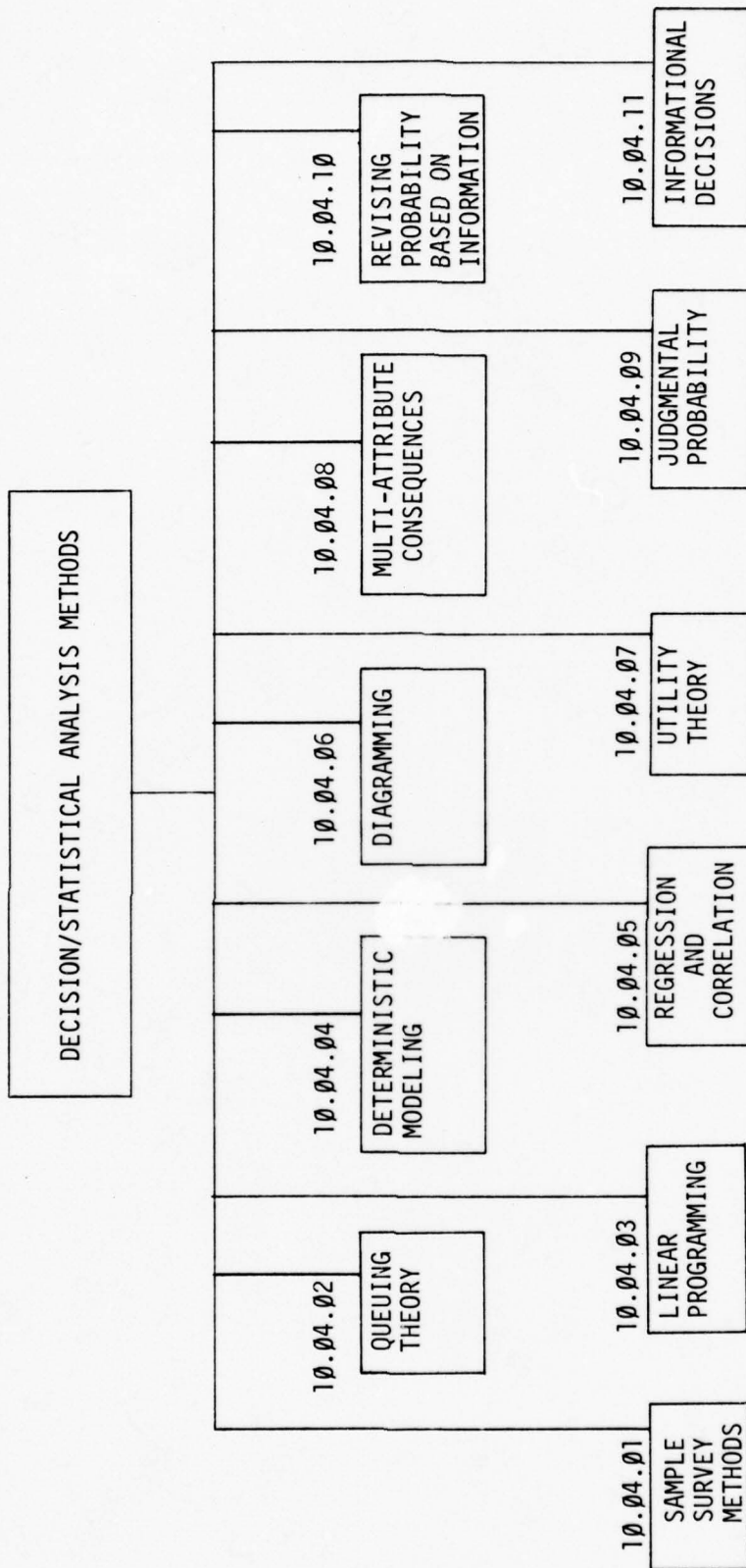


FIGURE 2.5 DECISION/STATISTICAL ANALYSIS METHODS

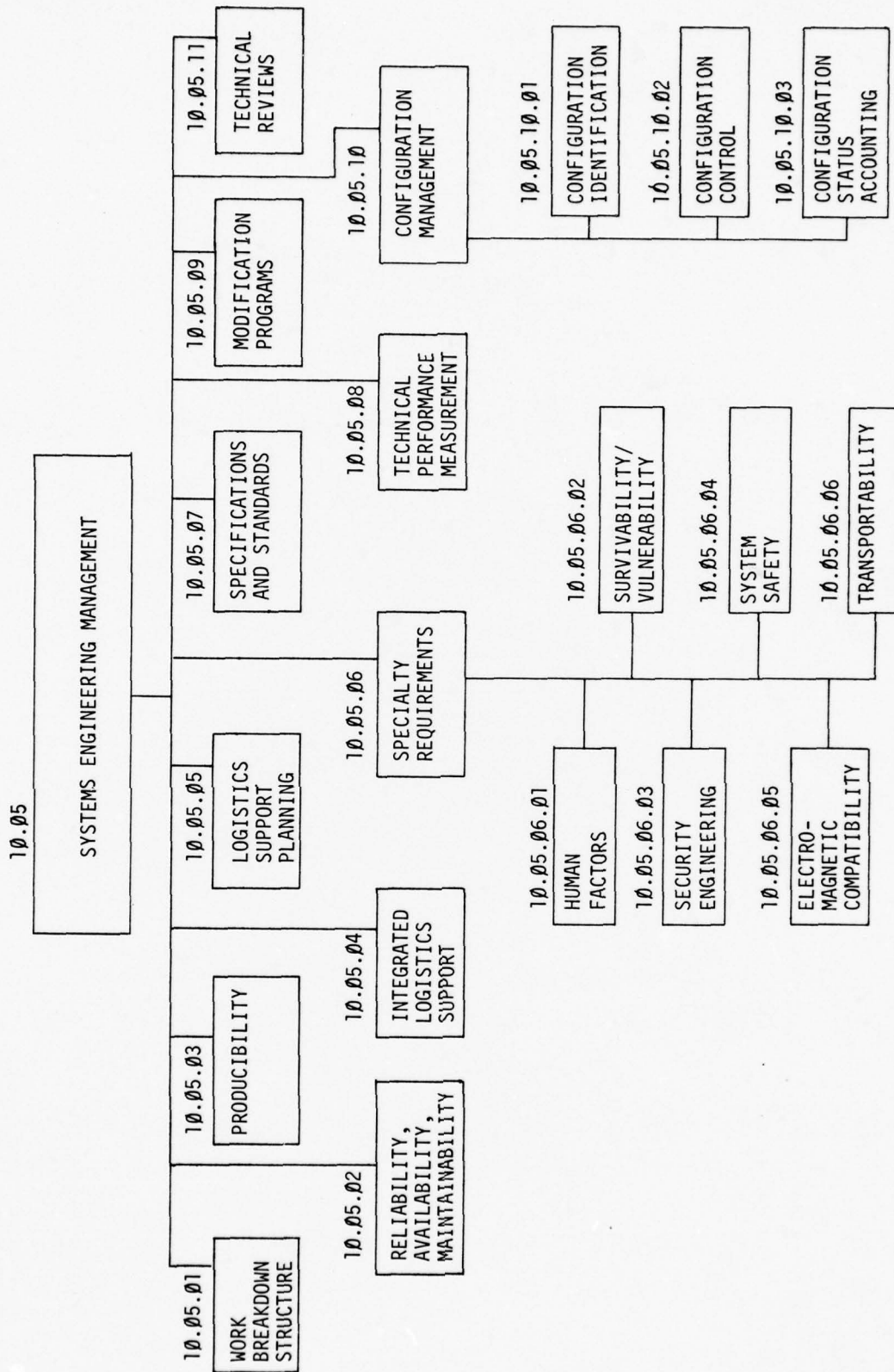


FIGURE 2.6 SYSTEMS ENGINEERING MANAGEMENT

10.06

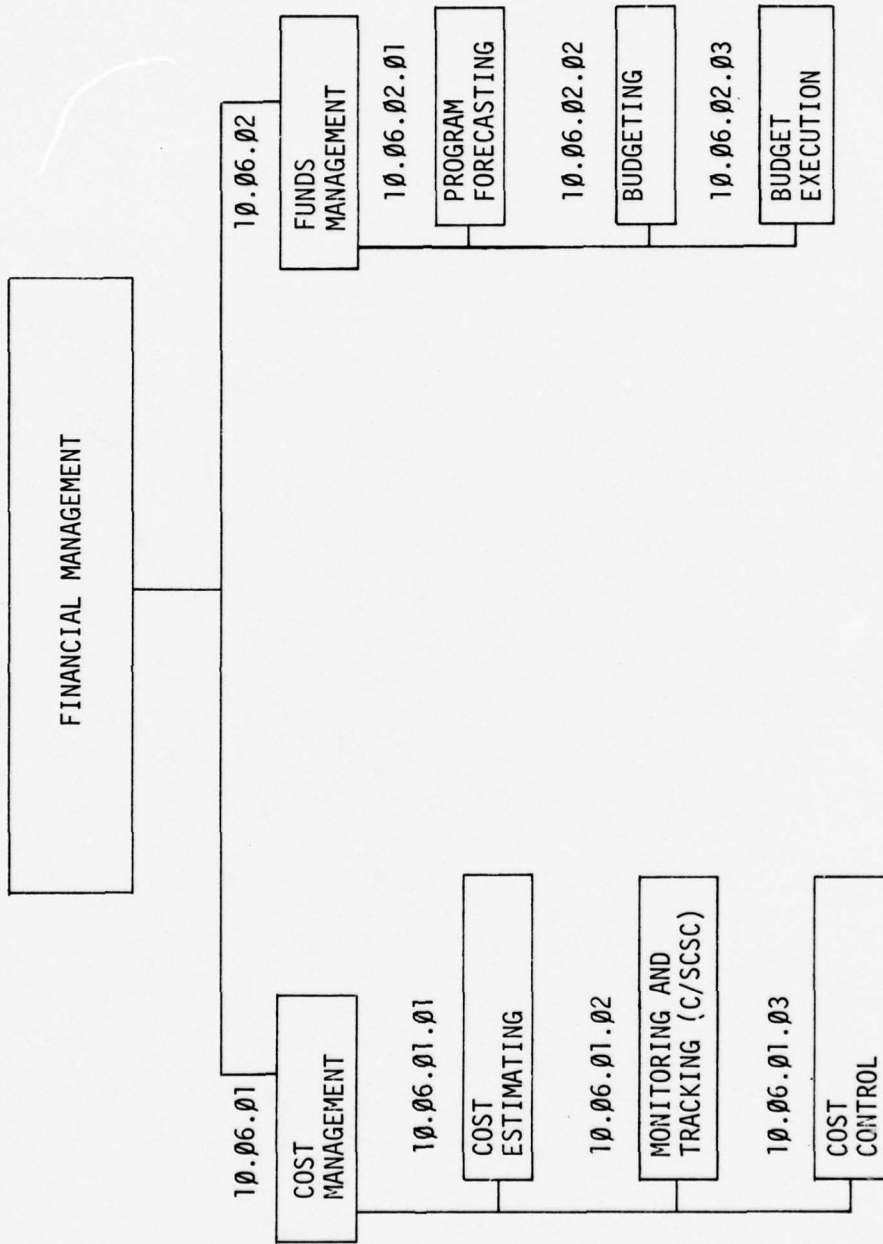


FIGURE 2.7 FINANCIAL MANAGEMENT

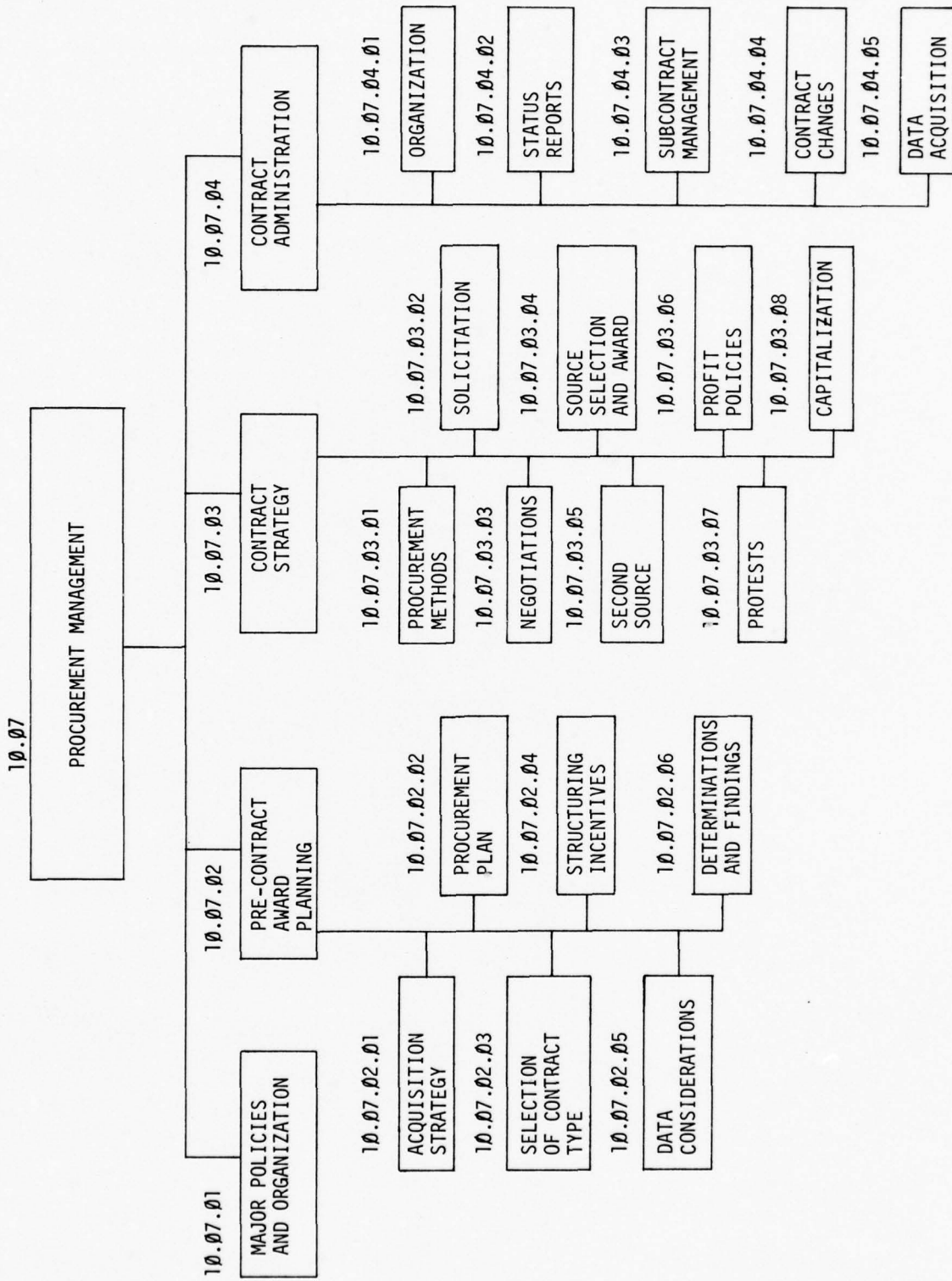


FIGURE 2.8 PROCUREMENT MANAGEMENT

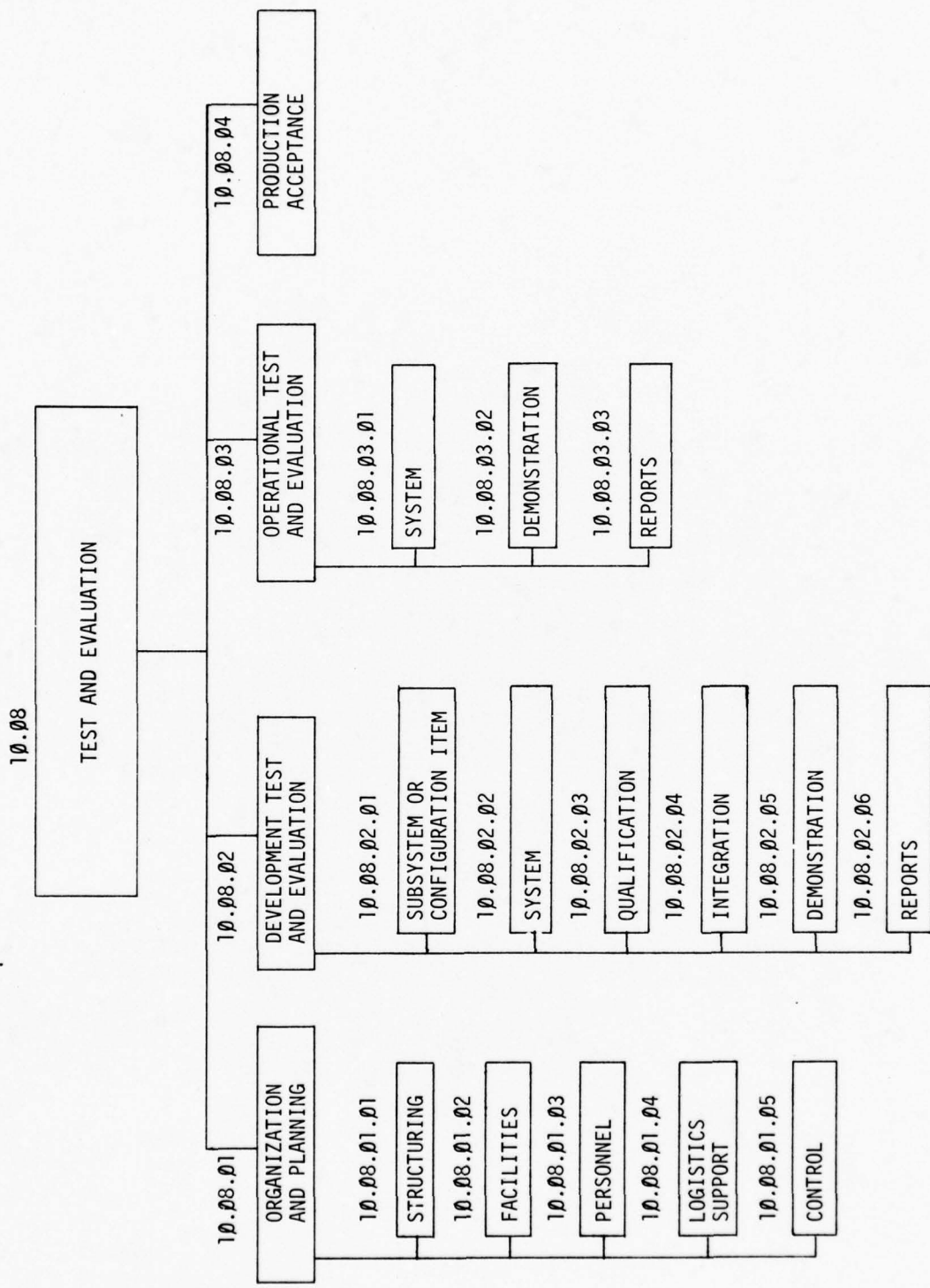


FIGURE 2.9 TEST AND EVALUATION

10.09

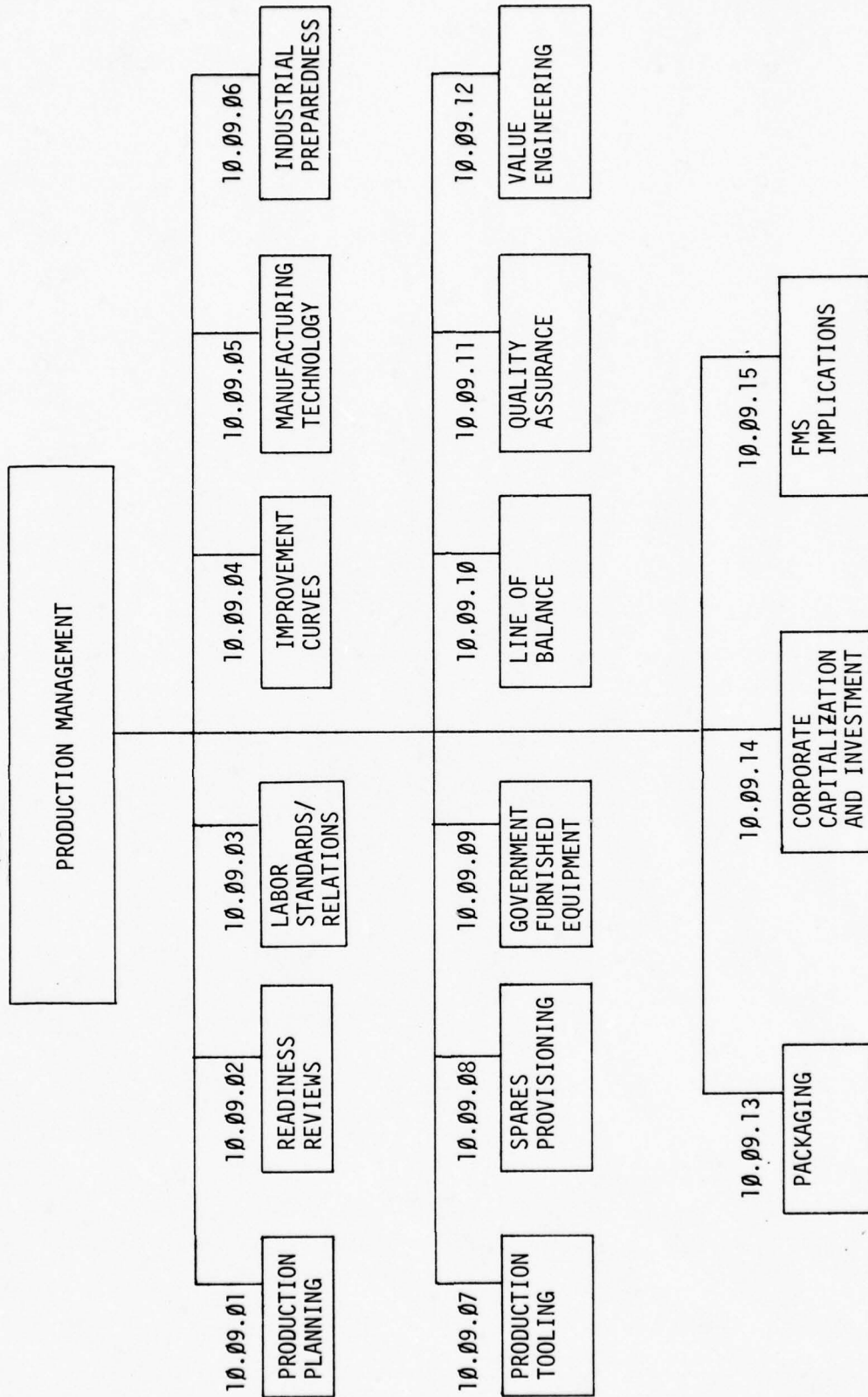


FIGURE 2.10 PRODUCTION MANAGEMENT

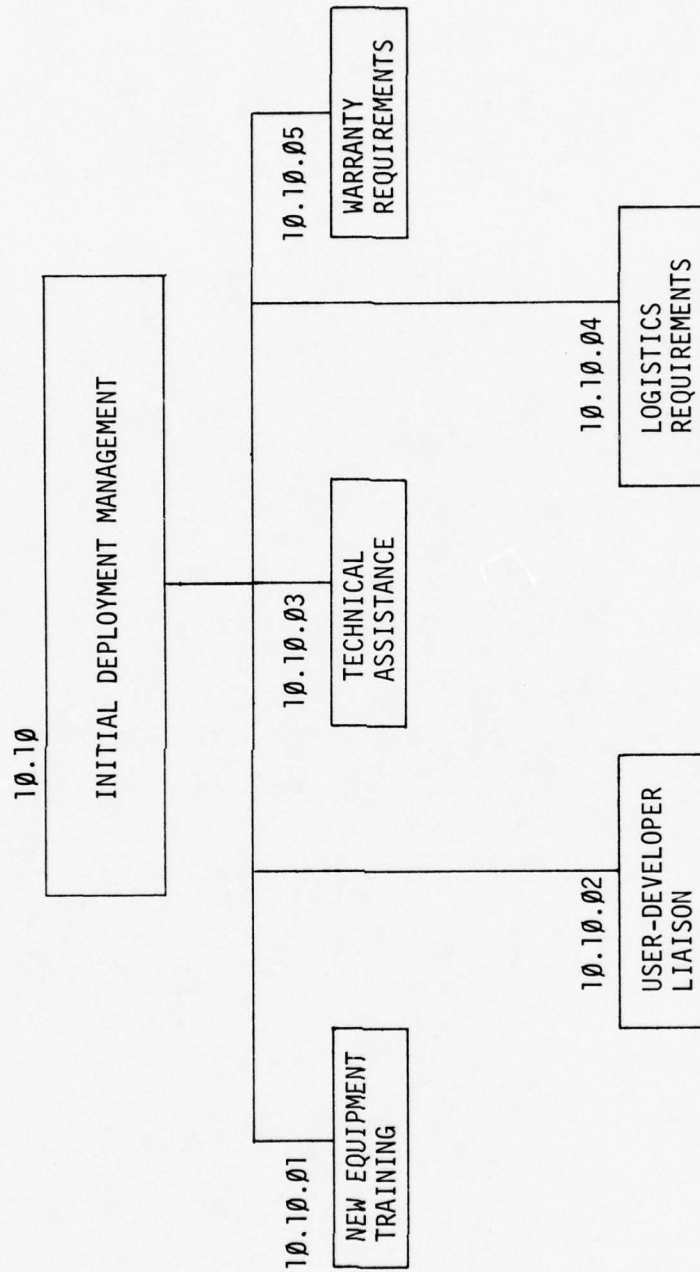


FIGURE 2.11 INITIAL DEPLOYMENT MANAGEMENT

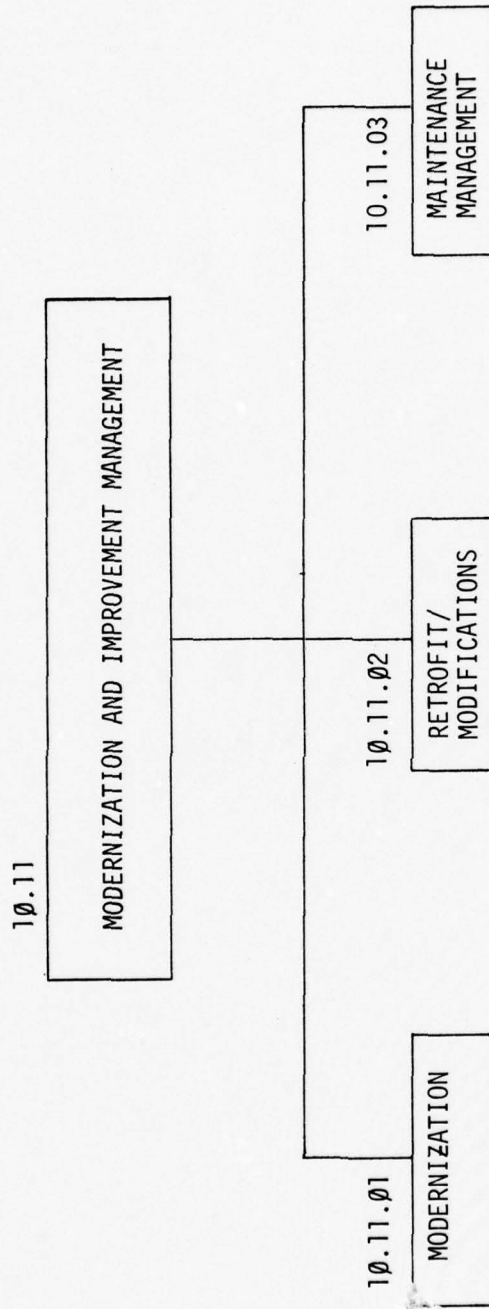


FIGURE 2.12 MODERNIZATION AND IMPROVEMENT MANAGEMENT

10.12

OPERATIONS INFORMATION FEEDBACK

10.12.01

READINESS
STATUS
REPORTS

10.12.02

MAINTENANCE
REPORTS AND
SURVEYS

10.12.03

EQUIPMENT
IMPROVEMENT
RECOMMENDATIONS

10.12.04

SUGGESTION
PROGRAMS

FIGURE 2.13 OPERATIONS INFORMATION FEEDBACK

Initial Application to Develop an Official Documents Inventory

The subject and environment/source taxonomies were used together with 12 official publications indexes to develop an inventory of official defense systems acquisition management documents. The inventory is comprehensive in design. It contains official directives, regulations, instructions, orders, guides and other documents that are published by the Department of Defense, the four major military service components, the four major logistics commands in the DOD, plus a few instructions published by the systems commands of the Navy Material Command. The scope of the inventory can be expanded to include all of the subordinate commodity commands and divisions of the other major logistic commands, as well as the DOD agencies shown on Figure 1, by adding their official publications indexes to the 12 used in the present inventory.

The present inventory, consisting of an inventory by acquisition management subject and 12 document source inventories, comprises the rest of the report. The Inventory by Taxonomy Subject, Index D, classifies by taxonomy category all official documents that were identified during the inventory part of the project as pertaining to, or significantly impacting, the management of defense system acquisitions. Index D also identifies the organizational source and document number of each document included in the inventory. Indexes E through P are the document source inventories. Together they list all of the DOD documents classified by subject in Index D. Each source inventory was developed from the official publications index that is published periodically by the organizational source of the documents listed in the index.

The 12 official publications indexes that were used are listed below, each preceded by the index letter of the source inventory that was developed

from the official index. The Marine Corps inventory indexes L and M were developed by a PMC 77-1 graduate, Lieutenant Colonel Anthony Stremic, USMC, in August 1977 after the initial project report was submitted. (See explanation in the Preface.)

<u>INVENTORY INDEX</u>	<u>OFFICIAL PUBLICATIONS INDEX DATE & TITLE</u>	
E	31 Mar 77	DOD Directives System, Quarterly Index of DOD Issuances
F	1 Jul 75	DOD Index of Specifications and Standards, Part II, Numerical Listing
G	1 May 75 Aug 75 C1	Department of the Army Pamphlet 310-1, Index of Administrative Publications (Regulations, Pamphlets and Circulars)
H	30 May 75	Department of the Army Pamphlet 310-3, Index of Doctrinal, Training and Organizational Publications (Field Manuals, etc.)
I	29 Nov 74 14 Feb 75 C1	Department of the Army Pamphlet 310-4, Index of Technical Manuals, etc.
J	3 Mar 75	Headquarters, US Army Materiel Command, AMC Pam 310-1, Index of Publications and Blank Forms
K	2 Jan 77	Department of the Navy Directives Issuance System, NAVPUBNOTE 5215, Consolidated Subject Index of Instructions
L	2 May 77	Headquarters, US Marine Corps, MC Bul 5215, Marine Corps Directives System Quarterly Checklist
M	1 Jul 77	Headquarters, US Marine Corps, HQ Bul 5215, Semiannual Checklist of Headquarters Orders
N	4 Mar 77	Headquarters, US Air Force, AF Regulation 0-2, Numerical and Subjective Index of Standard Publications
O	1 Apr 77	Headquarters, Air Force Systems Command, AFSC Regulation 0-2, Numerical Index of AFSC Publications
P	31 Oct 76	Headquarters, Air Force Logistics Command, AFLC Regulation 0-2, Numerical Index of AFLC Publications

Uses of Official Documents Inventory

To identify and locate documents that pertain to a particular subject, first use the Inventory by Taxonomy Subject, Index D, to identify the organizational sources and document numbers of all documents that pertain to the subject of interest. Then locate each document number in the appropriate document source inventory, Index E through P, to get additional information about the document (e.g., title, date, kind of document, and the official source of the document) so that it may be obtained for review.

To determine the particular subject(s) under which a document is classified in Index D, first use the appropriate document source inventory to determine the taxonomy category number(s) of the document. Then use the category number(s) to find the taxonomy subject(s) in Index D under which the document has been classified. This reverse process is used primarily to assure that revisions or cancellations of documents listed in the source inventories are reflected in Index D in order to keep current the Inventory by Taxonomy Subject.

INDEX D

OFFICIAL DOCUMENTS INVENTORY BY TAXONOMY SUBJECT

The meanings of the abbreviations and symbols used in this Section are as follows:

* Indicates Joint Services Document

DOD	I Department of Defense Instruction D Department of Defense Directive H Department of Defense Handbook M Department of Defense Manual
Army	AR Army Regulation PAM Army Pamphlet TM Army Training Manual FM Army Field Manual AMCR Army Materiel Command Regulation AMCM Army Materiel Command Memorandum AMCP Army Materiel Command Pamphlet (NOTE: AMC is now designated DARCOM (Development and Readiness Command) and recent issuances will bear the new designation.)
Navy	BUPI BUPERS Instructions NAI NAVAIR Instruction NEI NAVELEX Instruction NFI NAVFAC Instruction NMI NAVMAT Instruction NOI NAVORD Instruction NSI NAVSEA Instruction NSUP NAVSUP Document OPNI OP NAV Instruction SNI SECNAV Instruction
Marine Corps	MCO Marine Corps Order HQO Marine Corps HQ Order
Air Force	AFR Air Force Regulation AFP Air Force Pamphlet SCR Systems Command Regulation SCP Systems Command Pamphlet SCM Systems Command Manual LCR Logistics Command Regulation LCP Logistics Command Pamphlet LCM Logistics Command Manual L/SC Combined Logistics Command/Systems Command Document

INDEX D
OFFICIAL DOCUMENTS INVENTORY BY TAXONOMY SUBJECT

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.00.00.00 SYSTEMS ACQUISITION MANAGEMENT					
11.00.00.00 MAJOR ACQUISITION POLICIES	OMB CIR A-109	D4120.3 D5000.1 D5000.2 D5000.30 D5100.53 D5129.1 D5160.5 D5160.32 D5160.55 I7041.3 I7700.9 I7720.16	AR 10-11 AR 11-28 AR 70-41 AR 1000-1 AMCR 70-59*	SNI 5000.1 SNI 5000.28 SNI 7000.14 NAVSO P-2457 NMI 5000.10* NMI 5260.1 NMP 024 MCO 3900.3C MCO 3900.6A MCO 4120.5C MCO P5000.10 HQO 7000.5A	AFR 23-8 AFR 178-1 AFR 800-2 L/SCR 800-14 L/SCR 800-2* SCR 800-17
12.00.00.00 PPBS		D7000.1 I7000.5 I7045.7 I7045.8 I7045.12 I7110.1 M7110.1M D7200.1	AR 1-1 AR 37-15 AR 37-42 AMCR 11-4-5 AMCR 11-4-6 AMCR 11-31-1 DARCOMR 37-55	SNI 5000.16 SNI 5000.25 OPNI 7000.14 HQO P3121.2C	AFR 27-9 SCR 27-4 SCR 27-6
19.00.00.00 OTHER RELEVANT POLICIES		D4120.15 D5000.9 D5105.19 D5105.21 D5105.22 D5105.31	AR 5-7 AR 10-25 AR 10-32 AR 10-41	OPNI 5430.48 NEI 5260.3 HQO 5000.13 HQO 6240.1A	

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
19.00.00.00 (CONT'D)		D5105.36 D5105.38 D5105.41 D5118.3 D5124.1 D5126.3 D5126.22 I5126.45 D5132.2 D5137.1 D5141.1 I5154.19 D5400.7 D5500.7 D6050.1			
10.01.00.00 SYSTEM REQUIREMENTS DETERMINATION		I4210.7 I4400.1 I4410.3	AR 71-1 AR 71-2 AR 71-9 AR 715-5 AMCR 70-30	SNI 3900.37 OPNI 3900.22 OPNI 4857.1 NMI 4830.17 NMI 4830.33 NMI 4830.34 NMI 4830.42 NMI 4852.4 NMI 4857.4 MCO 3900.6A	AFR 70-24 AFM 78-4 AFR 78-6
10.01.01.00 TECHNOLOGY BASE		I2010.4 I2015.4 D3100.3 I3100.8 D3210.1	AR 15-8 AR 70-11 AR 70-23 AR 70-33 AR 70-41	SNI 3900.40 SNI 5700.14 OPNI 3900.21 OPNI 3910.16 OPNI 5700.13	AFR 80-1 AFR 80-2 AFR 80-3 AFR 80-4 AFR 80-21

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.01.01.00 (CONT'D)		I5030.24 D5100.36 I5100.38 I5100.45 I5100.63 I5100.66 D5105.41 I5129.43 I5129.44 I7720.13	AR 70-45 AR 70-57 AR 70-58 AMCR 70-2 AMCR 70-15 AMCR 70-40 AMCR 70-56	NMI 3900.11 NMI 3900.14 NMI 5700.2 MCO 3900.12 MCO 6440.1A HQO 3900.10	AFR 80-44 AFR 80-47 AFR 80-53 SCR 80-1 SCR 80-8 SCR 80-19 SCR 80-21 SCR 80-23 SCR 80-25 SCR 80-29 SCR 80-44 SCR 80-47 SCR 80-53 SCR 170-5 LCR 80-12
10.01.02.00 THREAT ASSESSMENT		D3100.4 D5105.21 I5129.37	AR 835-11	OPNI 3811.1 NMI 3882.2	
10.01.02.01 USER/DEVELOPER/ HIGHER HQ LIAISON					
10.01.02.02 CONCEPT STUDIES/ UTILITY ANALYSES				MCO 3920.3A HQO 3920.1 HQO 5400.12A	
10.01.03.00 SYSTEMS/PROGRAM PROPOSAL/APPROVAL		D5000.1	AMCR 70-14	MCO 3900.4B	AFR 57-1 AFM 57-1 SCR 57-1

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.02.00.00 PROGRAM/PROJECT MANAGEMENT					
10.02.01.00 MAJOR POLICIES		D5000.1 D5000.2 D5000.19 D5010.22	AR 70-1 AR 70-17 AR 1000-1 FM 38-70 DARCOMR 1-4 AMCR 1-35 DARCOMR 11-1 AMCP 11-4 AMCR 11-16-1 DARCOMR 70-1 AMCR 70-59* DARCOMR 614-13 DARCOMR 700-5	SNI 5000.1 SNI 5200.30 SNI 7000.16 OPNI 5000.42 OPNI 5000.46 NMI 3910.16 NMI 5000.20 NMI 5000.21 NMI 5000.10* NMI 5000.22 NMI 5000.25 NMI 5000.27 NMI 5200.11 NFI 7000.15	AFR 600-1 AFR 800-2 AFR 800-10 AFR 800-12 SCM 80-5 SCR 800-2 SCR 800-3 SCR 800-16 L/SCR 800-2* LCM 800-1
10.02.02.00 ORGANIZATION		I4105.59 H4105.59H I4105.64	AR 70-16 AMCR 10-SERIES AMCR 11-16-2	SNI 5430.67 NMI 4330.29 NMI 5000.26 NMI 5300.5 NMI 5430.33 HQO 1300.92H HQO 1300.129 HQO 5050.29A HQO 5420.29B	AFR 23-8 AFR 23-34 SCR 23-SERIES SCR 800-9 LCM 23-1 LCR 23-30
10.02.03.00 TYPES OF SYSTEMS					

TAXONOMY
CATEGORY
OTHER
DOD
USA
USN/USMC
USAF

10.02.03.01
NORMAL SYSTEMS

10.02.03.02
LEAD-FOLLOW SYSTEMS

D5030.8
D5030.9

OPNI 7043.1
NMI 5400.10
NMI 5400.14
NMI 7000.14
NS PUB REEF PTS

10.02.03.03
STANDARD MODULE
SUBSYSTEMS

10.02.03.04
COMMON TECHNOLOGY
SUBSYSTEMS

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.02.03.05 ADDITIONS TO LARGE SYSTEMS			AMCR 105-22		
10.02.03.06 MAJOR SOFTWARE SYSTEMS		15000.31	AMCP 70-4		
10.02.04.00 SYSTEMS ACQUISITION LIFE CYCLE			PAM 11-35		
10.02.05.00 PLANNING AND CONTROL SYSTEMS			AR 1-1	SNI 7000.16 NFI 7000.15	AFR 600-1 SCR 70-11 SCR 600-1
10.02.05.01 PROGRAM PLANNING			AR 70-27 AR 70-35 AR 71-2 AMCR 11-6 AMCR 11-7 DARCOMR 11-19 ACMP 705-2	NMI 3910.7 NMI 5000.22 NMI 5200.11	AFR 800-4 SCR 27-1 SCP 800-3 SCR 800-4 LCR 27-2 LCR 80-3

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.02.05.02 MANAGEMENT INFORMATION SYSTEMS	OMB CIR A-40	D4100.39 I4140.38 D5000.19 L5000.19L I5000.32 I5010.12 I5010.29 D5400.7	AR 18-1 AR 18-2 AR 70-2 AR 70-9 PAM 18-1-1-1 PAM 18-1-1-2 AMCR 18-5 AMCR 18-18-1	NMI 5200.14	AFR 171-127 AFR 178-7 AFR 310-1 AFR 310-3 SCR 70-13 SCR 178-7 SCR 310-3 LCR 178-1
10.02.05.03 PROGRAM MILESTONE REVIEWS/DECISIONS		D5000.2 D5126.34 I7000.3 MIL-STD-1521	AR 11-4 AR 1514 AR 70-1 AMCR 1-34 AMCR 70-5	SNI 5420.172 SNI 7700.5 OPNI 5000.41 NMI 5000.18 NMI 5000.19 NMI 5000.23 NMI 5000.27 NMI 5040.2	AFR 800-5 SCR 800-1 SCR 800-5 SCR 800-18 SCR 800-23 SCR 800-27
10.02.05.04 NETWORKING		MIL-P-23189	PAM 5-4-6 AMCP 11-6		LCR 171-7 LCM 171-76
10.02.06.00 CAREER DEVELOPMENT		D5000.23 D5160.55	AR 70-17 PAM 600-3 AMCP 600-2 DARCOMM 15-42	SNI 5000.28 NMI 5000.20 NMI 5300.12 NMI 5300.13	AFP 36-22 AFR 36-23 SCR 400-2

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.02.07.00 DESIGN TO COST		D5000.28	AMC GUIDE AMCP 700-6*	NMP 5242*	AFR 800-11 L/SCP 800-19*
10.02.08.00 FOREIGN MILITARY	"ARMS EXPORT CONTROL ACT- 1976-1977" "FMS ACT-1968"	I2000.8 D2000.9 I2010.6 I2110.8 I2110.12 I2110.29 I2140.1 D2140.2 I2140.3 D5105.38 M5105.38M D5105.47 D5132.3	AR 37-80 AR 715-23 AR 795-14 AR 795-22 AR 795-27 PAM 795-1 AMCR 795-4 AMCR 795-5 DARCOMR 795-16	OPNI 4920.7 NMI 4355.63 NMI 4920.2 NMI 4920.5 NAI 4920.1 NAI 7810.3 MCO 4900.1	AFR 67-7 AFR 172-5 AFM 400-3 AFR 400-22 AFR 800-18 SCR 177-1
10.02.09.00 COMPUTER RESOURCE MANAGEMENT	OMB CIR A-71	D4105.55 I4105.65 I4120.17 I5000.22 D5000.29 I5000.31 I5010.21 D5100.40 D5200.28 MIL-S-52779	AMCP 70-4	NMI 4130.2 NMI 4130.3 NMI 5200.27	AFR 300-1 AFR 800-12 AFR 800-14-1 AFR 800-14-2 LCR 171-54

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.03.00.00 ORGANIZATIONAL BEHAVIOR					
10.03.01.00 ORGANIZATIONAL STRUCTURE					
10.03.02.00 PERCEPTION					
10.03.03.00 GROUP AND INTERGROUP RELATIONS					
10.03.04.00 GOAL SETTING					
10.03.05.00 MANAGEMENT STRATEGIES AND TECHNIQUES					
10.04.00.00 DECISION/STATISTICAL ANALYSIS METHODS					

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.05.00.00 SYSTEMS ENGINEERING MANAGEMENT		D1130.2 MIL-STD-499A (AF) MIL-HDBK-226	TM 38-760 TM 38-760-1 AMCR 70-18 DARCOMR 70-52	SNI 4350.8 OPNI 4350.2 NMI 4350.10 NMI 5350.13 MCO P4400.84B	AFR 800-3 SCP 800-3
10.05.01.00 WORK BREAKDOWN STRUCTURE		D5010.20 MIL-STD-881A	AR 70-52		AFR 800-17 SCM 173-4
10.05.02.00 RELIABILITY, AVAILABILITY AND MAINTAINABILITY		I4151.12 MIL-STD-470 MIL-STD-471A MIL-STD-721B MIL-STD-756A MIL-STD-757 MIL-STD-778 MIL-STD-781B MIL-STD-785A MIL-STD-1304A MIL-HDBK-108 MIL-HDBK-217 MIL-HDBK-472 TR-7	AR 702-3 AR 750-1 AMCP 702-3 DARCOMP 702-4 AMCP 702-5 AMCP 702-12 AMCR 702-15 DARCOMP 706-124 DARCOMP 706-132 DARCOMP 706-134 DARCOMP 706-196 DARCOMP 706-197 DARCOMP 706-198 DARCOMP 706-200	SNI 3900.36 OPNI 4700.24 NMI 3000.1 NMI 3900.13 NAI 13070.2 NOI 4700.7 MCO 4856.1	AFR 66-14 AFR 66-44 AFR 80-5 AFR 80-31 AFM 300-4 SCR 66-14 SCR 80-5 L/SCM 800-4 L/SCP 400-11 LCR 375-4 LCR 400-16
10.05.03.00 PRODUCTIBILITY		I4200.15 MIL-STD-499A (AF)	AMCP 706-100		

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.05.04.00 INTEGRATED LOGISTICS SUPPORT		D4000.25 D4100.35 D5126.43 G4100.35G MIL-STD-1388-1	AR 11-10 AR 700-127 TM 38-703-4 TM 38-710* DARCOMP 700-9-1 AMCR 700-97* AMCP 750-16	SNI 4000.29 OPNI 4100.3 OPNI 5420.75 NMP 4000* NMI 4000.20 NMI 4000.38* NAI 4000.2 NAI 4000.10 NAI 4000.12 NFI 4000.3 MCO P4110.1A* HQO P4105.1	AFR 800-8 AFR 800-12 AFR 800-21 AFP 800-7* L/SCR 800-24* SCP 800-21 SCR 800-8 LCM 57-16
10.05.05.00		D4120.3 M4120.3M D5126.45 MIL-STD-1388-1 MIL-STD-1388-2	DARCOMR 700-12 AMCP 750-16	NMI 4000.20 NMI 4000.29 NMI 4000.34 NAI 4000.10 MCO 4400.32B	AFP 800-7 SCP 800-21
10.05.06.00 SPECIALTY REQUIREMENTS					
10.05.06.01 HUMAN FACTORS		MIL-H-46855A MIL-STD-721B MIL-STD-1472B MIL-HDBK-759	AR 70-8 AR 602-1	OPNI 5420.76 NMI 3900.9	AFR 161-35 AFR 800-15 SCR 800-15
10.05.06.02 SURVIVABILITY/			DARCOMR 70-3 AMCR 70-53 AMCR 705-19	NMI 3920.4 NAI 3920.1	AFR 80-38 SCR 80-38

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.05.06.03 SECURITY ENGINEERING		D5200.28			
10.05.06.04 SYSTEM SAFETY	OSHA	D1000.3 I4120.13 I4145.26 MIL-STD-721B MIL-STD-882A MIL-S-38130	AR 385-10 AR 385-16 AMCR 385-12 AMCP 385-23 AMCP 835-100	SNI 5100.10 OPNI 5100.8 NMI 5100.6 NMI 5100.9 NMI 5100.10	AFR 127-8 AFR 127-12 SCDH 1-6 SCR 127-8 SCR 127-12 LCR 127-3
10.05.06.05 ELECTROMAGNETIC		D3222.3 D4630.5 D4650.1 D5160.57 MIL-E-6051D MIL-STD-461A MIL-STD-462 MIL-STD-463 MIL-HDBK-237	AR 11-13 AR 105-1 AR 105-16 AR 105-63 PAM 11-13 PAM 105-2	SNI 2410.1 OPNI 2410.31 NMI 2410.1 NMI 10380.9 NAI 2410.1	AFR 80-23 AFR 100-3 AFR 100-6 AFM 100-31 SCR 100-3 SCR 100-6 SCM 100-31
10.05.06.06 TRANSPORTABILITY		D3224.1 MIL-A-8421F MIL-P-9024G MIL-STD-1367	AR 70-44* AR 70-47 AMCP 706-130	OPNI 4600.22* MCO 4610.14B*	AFR 80-18* AFM 75-1 SCR 80-18

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.05.07.00 SPECIFICATIONS AND STANDARDS		I2010.6 D4120.18 I4120.19 I4120.20 D4120.21 MIL-S-83490 MIL-STD-490 MIL-STD-680 MIL-STD-749B MIL-STD-965 SD-8	AR 700-47 DARCOMP 706-470	SNI 4120.3 NMI 4120.97 NMI 4120.98 NMI 4120.99 NMI 4120.101 NMI 4120.102 NAI 4120.1 NAI 4120.6 MCO 4120.5C MCO 5100 HQO 5000.13	AFR 73-1 AFR 81-6 SCR 73-1 L/SCM 81-1 SCR 81-6 SCR 800-13 SCR 800-25
10.05.08.00 TECHNICAL PERFORMANCE		I7000.2 MIL-STD-480 MIL-STD-481A MIL-STD-499A MIL-STD-1567 (AF)			SCR 80-16
10.05.09.00 MODIFICATION PROGRAMS			AR 70-15	NAI 4000.3	AFR 57-4 SCR 57-4 LCR 27-1 LCR 57-12 LCR 57-21
10.05.10.00 CONFIGURATION		D5010.19 I5010.21 MIL-STD-483 MIL-STD-1456 MIL-STD-680	AR 70-2 AR 70-37*	OPNI 4130.1 NMI 4130.1* MCO 4130.1A* NMI 4130.2 NAI 4130.1	AFR 65-3* SCR 56-3 L/SCM 375-7 LCR 66-16

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.05.10.01 CONFIGURATION IDENTIFICATION	CATALOGING HANDBOOKS (H2;H4-1;H6)	MIL-D-1000 MIL-S-83490 MIL-STD-100A MIL-STD-490	USA	NAI 3910.9 NAI 4120.4 NAI 4330.9	AFR 81-10
10.05.10.02 CONFIGURATION CHANGE CONTROL		MIL-STD-480 MIL-STD-481A	AMCM 15-28	NAI 5600.14	AFR 57-4 AFR 81-11 SCR 57-4 LCR 57-12
10.05.10.03 CONFIGURATION STATUS ACCOUNTING		MIL-STD-482A MIL-STD-1521 (AF)		NMI 4130.5	SCM 171-380 SCM 800-380 LCR 70-9
10.05.11.00 TECHNICAL REVIEWS		MIL-STD-1521 (AF)			
10.06.00.00 FINANCIAL MANAGEMENT		I5000.8 D5100.34 D7000.1 I7041.3 D7800.1	AR 11-28 AR 37-100 PAM 37-3 AMCR 795-4	SNI 7000.14 SNI 7000.18 HQO 7000.3C HQO 7000.5A HQO 7000.43	AFR 178-1

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.06.01.03 COST CONTROL		D7840.2	AR 37-53 AMCR 715-1	SNI 7810.12 NMI 4330.39 NMI 7100.3	AFP 70-22 AFR 800-6 SCR 800-6
10.06.02.00 FUNDS MANAGEMENT		D7200.1 D7200.4 I7220.24			AFR 800-6 SCR 800-6
10.06.02.01 PROGRAM FORECASTING	(SEE 10.06.01.01 - COST ESTIMATING)				
10.06.02.02. BUDGETING		I7045.7 I7110.1 M7110.1M	AR 37-15 AR 37-42 AMCR 11-4-5 AMCR 11-4-6	SNI 7100.10	AFM 172-1-1 AFM 172-1-3 SCM 172-1-1 L/SCR 172-7 LCM 172-1-1
10.06.02.03 BUDGET EXECUTION		I7000.10 D7250.5 I7250.10	AR 37-112 AR 70-6	SNI 7043.2 OPNI 7043.1	AFR 172-14 AFR 177-13 L/SCR 57-7 SCR 177-16
10.07.00.00 PROCUREMENT MANAGEMENT					

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.07.01.00 MAJOR POLICIES AND ORGANIZATION		I4105.1 I4105.59 H4105.59H I4105.61 I4105.64 D5100.83 D5126.34 I5126.44 ASPM NO. 1 ASPR	AR 715-11 DARCOMM 715-11	SNI 4200.25 OPNI 4205.2 NMI 4200.30 NMI 4205.3 NMI 4330.29 NAI 4205.2 NAI 4205.10 MCO 4200.26	AFR 20-13 AFR 70-9 AFR 70-12 AFR 70-16 AFR 70-23 AFM 110-9 SCR 70-7 SCR 70-9 SCR 70-16 SCR 800-10 SCR 800-16 LCR 70-4

D-18

10.07.02.00
PRE-CONTRACT AWARD

I7700.17

10.07.02.01
ACQUISITION STRATEGY

AMCP 70-3
AMCR 70-50

AFR 800-22

10.07.02.02
PROCUREMENT PLAN

ASPR I

HQO 4200.2

LCR 70-15
LCR 84-4

10.07.02.03
SELECTION OF
CONTRACT TYPE

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.07.02.04 STRUCTURING INCENTIVES			FM 38-34*	NMP 4283*	AFP 70-1-5*
10.07.02.05 DATA CONSIDERATIONS		M4100.38M D4100.39 MIL-STD-885B MIL-STD-1552 MIL-STD-1561	(ALSO, SEE 10.07.04.05 - DATA ACQUISITION)	MCO P400.21A MCO P4400.77B HQO 5420.32	
10.07.02.06 DETERMINATION AND FINDINGS					
10.07.03.00 CONTRACT STRATEGY					
10.07.03.01 PROCUREMENT METHODS		ASPR II ASPR IV			
10.07.03.02 SOLICITATION			AMCR 70-46 AMCP 715-4		SCP 70-4 SCP 800-6

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.07.03.03 NEGOTIATIONS		ASPR III		NMI 3900.3	
10.07.03.04 SOURCE SELECTION AND AWARD		D4105.62	AR 715-6 AMCR 715-1 AMCP 715-3	HQO 5420.25D	AFR 70-15 SCM 70-6 SCR 70-15 SCR 80-15
10.07.03.05 SECOND SOURCE		I4105.60	AR 715-22	NMI 4200.33	AFR 57-6
10.07.03.06 PROFIT POLICIES					
10.07.03.07 PROTESTS				SNI 4200.28 MCO 4365.1	
10.07.03.08 CAPITALIZATION		D4275.5			

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.07.04.00 CONTRACT ADMINISTRATION				SNI 4330.13 SNI 4330.15 NMI 4330.34 NMI 4330.36 NMP 024 NAI 5000.6 NAI 5000.7	AFR 70-16
10.07.04.01 ORGANIZATION		I4105.59 H4105.59H D4105.64 D5105.22 D5105.36		NMI 5000.26	SCR 800-9

(SEE 10.05.08.00 - TPM/REVIEWS AND 10.06.01.02 - C/SCSC)

10.07.04.02
STATUS REPORTS

10.07.04.03
SUBCONTRACT
MANAGEMENT

10.07.04.04
CONTRACT CHANGES

NAI 5600.14

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.07.04.05 DATA ACQUISITION		I4151.9 D5000.11 I5000.12 I5000.18 D5000.19 L5000.19L D5000.20 I5000.22 I5000.32 I5010.12 I5010.29 MIL-STD-885B TD-2	AR 700-51 AR 18-12	SNI 5200.19 OPNI 4410.1 OPNI 5200.22 NMI 4000.15 NMI 4000.32 NMI 4000.35 NMI 5600.10 NAI 4000.9 NAI 4200.14 NAI 4200.21 NAI 4200.23 NAI 5600.15 MCO P4000.21A MCO P4400.77B HQO 5420.32	AFR 67-28 AFR 178-7 AFR 310-1 AFR 310-3 L/SCR 172-7 SCR 178-7 SCR 310-1 SCM 310-2 SCR 310-3 L/SCR 310-2 LCR 65-5 LCR 310-1
10.08.00.00 TEST AND EVALUATION		D5000.3 I7000.2 MIL-D-8708B MIL-STD-1540A	AR 10-4 AR 11-10 AR 70-10 AR 70-38 AMCR 700-38	OPNI 3960.10 OPNI 5420.70 NMI 3960.6 NMI 3960.7 NMI 3960.8 NMI 9080.1 NAI 3710.1 NAI 3900.2 NAI 3960.2 MCO 5000.11	AFR 23-36 AFR 80-14 AFR 80-19 AFR 80-31 SCR 80-14 SCR 80-31 LCR 80-4
10.08.01.00 ORGANIZATION AND PLANNING		D3200.11	DARCOMP 70-1		SCR 172-8

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.08.02.00 DEVELOPMENT TEST AND EVALUATION					
10.08.03.00 OPERATIONAL TEST AND EVALUATION			AR 71-3		L/SCR 80-10
10.09.00.00 PRODUCTION MANAGEMENT		I4105.59 H4105.59H I4400.1 D4410.4 D5220.6 D5220.22 MIL-STD-1528 (AF)	AR 715-5 AMCR 700-78	SNI 4857.2 OPNI 4857.1 NMI 4330.29 NMI 4830.17 NMI 4830.33 NMI 4830.34 NMI 4830.42 NMI 4852.4 NMI 4857.4	AFR 70-24 AFR 84-8 AFR 800-9 SCM 84-3 SCP 800-3 LCM 25-3 LCR 84-1 LCR 84-3 LCR 84-4
10.09.01.00 PRODUCTION PLANNING		D2000.9 I4210.7 D6050.1	PAM 200-1 AMCP 715-6		AFR 19-1 AFR 19-2 AFM 78-4 AFR 84-4 LCR 66-4
10.09.02.00 READINESS REVIEWS					SCR 84-2 LCR 84-2

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.09.03.00 LABOR STANDARDS/ RELATIONS		ASPR XII ASPR XVIII MIL-STD-1567 (AF)	AMCR 5-9 AMCR 14-2		AFR 11-23 AFR 78-1 AFR 78-10 SCR 78-1 SCR 78-10
10.09.04.00 IMPROVEMENT CURVES					
10.09.05.00 MANUFACTURING TECHNOLOGY		I4200.15 D4275.5 I7720.13 ASPR I		SNI 4800.11 OPNI 4800.7 NMI 4800.36 MCO P4200.23	AFR 78-3 AFR 78-6 SCR 78-3
10.09.06.00 INDUSTRIAL PREPAREDNESS		D4005.1 I4005.3	AR 700-90	SNI 4802.4 NMI 4800.37 NMI 4800.39 MCO 4802.1B HQO 4810.1A	AFR 78-13 SCR 78-13
10.09.07.00 PRODUCTION TOOLING					

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.09.08.00 SPARES PROVISIONING		I4105.60 I4140.19 I4140.40 I4140.42 I4151.7 MIL-P-84000C MIL-STD-789B MIL-STD-1517	AR 700-18 AR 715-22* AMCR 700-46	OPNI 4423.4 NMI 4200.33* OPNI 4423.5 NMI 4423.5 NSUPI 4400.84 MCO P4800.13A	AFR 65-2 AFR 57-6* SCR 57-6 LCR 57-9 LCR 65-5
10.09.09.00 GOVERNMENT FURNISHED EQUIPMENT		I4140.41	AMCR 700-42	OPNI 4440.19 NMI 4341.1 NMI 4440.42 NMI 9110.3	L/SCR 57-8 L/SCR 65-8 LCR 57-10
10.09.10.99 LINE OF BALANCE			PAM 5-4-6 AMCP 11-5	NMP 1851	
10.09.11.00 QUALITY ASSURANCE		D4155.1 MIL-C-45662 MIL-I-45208A MIL-O-9858A MIL-STD-105D MIL-STD-109 MIL-STD-130D MIL-STD-1520 MIL-HDBK-50 MIL-HDBK-51 MIL-HDBK-52 MIL-HDBK-53	AR 702-2 AR 702-4 AR 702-4-1 AR 750-25 AMCR 700-6 AMCR 700-9 AMCR 702-6 AMCP 702-10 AMCP 702-11 AMCP 702-12 AMCP 702-13 AMCP 702-14	SNI 4355.11 SNI 4355.14 NMI 4355.67 NMI 4355.69A NMI 4855.1 MCO P4855.4A MCO 4855.6	AFR 57-2 AFR 66-38 AFR 66-44 AFR 74-1 AFR 74-6 AFR 74-9 AFR 74-15 AFR 74-18 AFR 80-31 AFR 800-19 AFR 800-20 SCR 66-38

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.09.11.00 (CONT'D)		MIL-HDBK-108 TR-7	DARCOMR 702-21		SCR 74-6 LCR 74-9 LCM 74-12
10.09.12.00 VALUE ENGINEERING		D5010.8 H5010.8H I7110.2 MIL-V-38352	PAM 5-4-5 AMCP 11-3 AMCR 70-8 AMCP 706-104	SNI 4858.2 SNI 7100.10 NMI 4858.8 NMI 7100.3 MCO 4858.1D	AFR 320-1 SCR 320-1
10.09.13.00 PACKAGING	FED-STD-102	D3224.1 I4100.14 MIL-A-8421F MIL-P-9024G MIL-STD-129F MIL-STD-1367	AR 15-450 AR 700-15* AMCR 700-18 AMCP 706-121	NAI 4030.3 NSUPPUB 470* NEI 4030.3	AFR 71-1 AFR 71-6* AFM 71-5 AFR 71-9 AFP 71-15 AFP 71-16 SCR 71-1 SCR 71-9
10.09.14.00 CORPORATE CAPITALIZATION AND INVESTMENT		D4275.5			
10.09.15.00 FMS IMPLICATIONS					
(SEE 10.02.08.00 - FOREIGN MILITARY SALES)					
10.10.00.00 INITIAL DEPLOYMENT MANAGEMENT			AR 71-5	OPNI 4720.9	AFR 800-4 AFR 800-19 SCR 800-4 L/SCR 80-10 L/SCR 80-17

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.10.01.00 NEW EQUIPMENT TRAINING					
10.10.02.00 USER-DEVELOPER LIAISON					
10.10.03.00 TECHNICAL ASSISTANCE					AFR 800-21
10.10.04.00 LOGISTICS REQUIREMENTS				SNI 4860.42 OPNI 4860.5 NMI 4105.1 MCO 4400.32B	
10.10.05.00 WARRANTY REQUIREMENTS					
10.11.00.00 MODERNIZATION AND IMPROVEMENT MANAGEMENT			AR 70-15	NAI 4000.3 MCO 4400.84B	AFR 27-8 AFR 66-30 LCR 27-1
10.11.01.00 MODERNIZATION			DARCOMR 70-1	OPNI 4720.2 MCO 4710.9B	

TAXONOMY CATEGORY	OTHER	DOD	USA	USN/USMC	USAF
10.11.02.00 RETROFIT/MODIFICATIONS					AFR 57-4 SCR 57-4 LCR 57-12
10.11.03.00 MAINTENANCE MANAGEMENT		D4151.1 I4151.11	AR 750-1 AR 750-37 AMCP 750-15 TM 38-750 TM 38-750-1	OPNI 4790.3 OPNI 4790.4 NMI 4000.23 NMI 4790.5 NMI 4790.11 NMI 4790.16 HQO P4790.1	AFM 66-1 AFR 66-14 AFM 66-278 AFM 171-278 SCR 66-14 LCR 57-21
10.12.00.00 OPERATIONS INFORMATION FEEDBACK				HQO P790.1	
10.12.01.00 READINESS STATUS REPORTS			AMCR 700-29		
10.12.02.00 MAINTENANCE REPORTS AND SURVEYS			AR 750-37 TM 38-750 TM 38-750-1 AMCP 750-15	OPNI 4790.3 OPNI 4790.4 NMI 4000.23 NMI 4790.5 NMI 4790.11	AFM 66-1 AFM 66-267 AFM 66-278 AFM 171-267 AFM 171-278

TAXONOMY
CATEGORY

OTHER	DOD	USA	USN/USMC	USAF
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10.12.03.00
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MCO P5600.31D

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I 2010.4	12 DEC 67	US PARTICIPATION IN CERTAIN NATO GROUPS RELATING TO RESEARCH, DEVELOPMENT, PRODUCTION AND LOGISTIC SUPPORT OF MILITARY EQUIPMENT	10.01.01.00
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4000.3A	OPERATIONAL, SAFETY, AND IMPROVEMENT PROGRAM (AIRCRAFT MODIFICATION PROGRAM)	09 FEB 76		10.05.09.00
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4200.21	THE DATA REQUIREMENTS REVIEW BOARD	29 MAR 71		10.07.04.05
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4205.10A	DELEGATION OF AUTHORITY TO CONTRACT ADMINISTRATION OFFICES	07 FEB 74		10.07.01.00
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3900.4B	MC OPERATIONAL REQUIREMENTS DOCUMENTS		15 DEC 75		10.01.03.00
3900.6A	MC SPECIAL PROCEDURES FOR EXPEDITING EQUIPMENT DEVELOPMENT (SPEED)		09 JUN 72		10.01.00.00
3900.12	MC EXPLORATORY DEVELOPMENT PROGRAM		15 MAR 77		10.01.01.00
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P5600.31D	30 AUG 76	MC PUBLICATIONS AND PRINTING REGULATION	10.20.00.00
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P3121.2C	31 DEC 76	MARINE CORPS MANUAL FOR PLANNING AND PROGRAMMING	12.00.00.00
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R 19-2	22 NOV 74	ENVIRONMENTAL ASSESSMENTS AND STATEMENTS	10.09.01.00
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