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Final Technical Report  
December 1976



SOFTWARE DATA RECOVERY STUDY  
THE UNIVERSITY OF TEXAS AT AUSTIN

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repository including the development and operation of a pilot facility and the expansion of this facility into a fully operational center.

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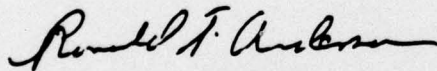
PREFACE

This technical report presents the results of a study to develop the design for a Software Data Repository covering the period June 1975 to August 1976. This study was sponsored by the Information Sciences Division of the Rome Air Development Center (RADC), United States Air Force, under Contract No. F30602-75-C-0257. J. Palaimo of RADC was the Project Engineer.

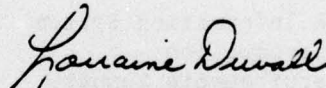
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# SOFTWARE DATA REPOSITORY

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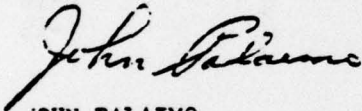
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## EVALUATION

This effort is part of a program, the goal of which is the implementation of a central repository for data and technical information for computer software technology and engineering. This center will provide tools and facilities to store, analyze, and disseminate authoritative scientific and technical information concerning all aspects of computer software and the software development process.

This effort fits into the RADC Technology Plan, particularly RADC TPO 11, Software Sciences Technology. The objective was to investigate areas relating to the design, implementation and operation of a central repository for software data, and to provide a preliminary design including functional description and recommendations for a pilot capability. All major objectives of this program were successfully addressed.

These results in concert with recommendations from a parallel study of software data collection procedures and problems are being incorporated into RADC plans for the implementation of a Data and Analysis Center for Software. The Software Data Collection Study was conducted by System Development Corp and is reported on in RADC-TR-76-329.



JOHN PALAIMO  
Project Engineer

## 1. Introduction

### 1.1 Report Introduction

This report presents the results of a study to develop the design for a software data repository to collect, analyze, and disseminate software development experience information.

### 1.2 Background

Software development research has been hampered by the lack of data. Without the availability of historical data on the production of computer software, we lack the ability to predict costs of future development, to determine the best design, development, and testing methodologies, and the ability to effectively measure and predict the reliability of computer software systems.

In recognition of this need, RADC contracted for this study to establish the specifications for a software data repository for data and information exchange. This repository will serve the government/university/industrial community as the focal point for software development experience information. Data acquired from various sources will be stored in a centralized data base and made available to qualified users, analyses will be performed by computer software engineers and statisticians, and results will be produced in the form of publications to be disseminated to repository users.

The purposes of the software data repository are to upgrade the software development process through the collection, analysis and dissemination of software development experience information; to provide a better understanding of the nature, causes and effects of software failures; and to determine those factors that contribute to the productivity and cost of computer software development and maintenance.

The concept of a data center for the collection, analysis and dissemination of information in a specialized area is not new. As early as 1963, in "The Weinberg Report"<sup>115</sup> 400 Information Analysis Centers were identified. This panel recognized the need for centers that would distill technical information and would serve more than disseminators of documents. The 1970 version of the Directory of Federally - sponsored Centers lists 110 centers (COSATI Panel 6). As an example of these centers, there are presently eight DOD Information Analysis Centers which are administratively managed and funded by the Defense Supply Agency (DSA). They are responsible for the collection, evaluation, analysis and dissemination of scientific and technical information to the scientists, engineers and technicians they support. Among the specialized areas they deal with are hardware reliability, metals and ceramics, mechanical properties, thermophysical and electronic properties and machinability.

The generation of the design for the software data repository defined in this report was guided by the IITRI experience in developing and operating one of the above mentioned DOD Information Analysis Centers - The Reliability Analysis Center (RAC).<sup>101, 106-114</sup>

### 1.3 Report Organization

This report contains eight sections and three appendices.

Section 1 contains background information while Section 2 provides a functional definition of the Software Data Repository including a discussion of the inputs, processes, and outputs.

The requirements for processing the input data and documents is presented in Section 3 and Section 4 contains the requirements of an information system to manage the data including a discussion of the database characteristics and structure.

Section 5 contains discussions on data collection systems that automatically and manually collect software data, data entry hardware devices that are available on the market for consideration as tools to transform hard copy data to computer-readable form, alternative information system and database management system approaches, and alternative approaches for automating the document library.

The design for the Software Data Repository, including the development and operation of a pilot facility and the expansion to a fully operational center, is presented in Section 6. Section 7 contains a summary of the report recommendations and a bibliography is presented in Section 8.

Appendix A contains a glossary of database management terms. Presented in Appendix B are the results of a survey of the software development community to determine their interest in the repository. Appendix C contains definitions of software engineering terms as they appear in the literature.

## 2. Definition of the Software Data Repository

### 2.1 Introduction

This section presents and describes the functional model for the Software Data Repository. In pursuit of this model definition, the following sub-tasks were performed:

- Review of the software engineering literature
- Survey of the software development community
- Visits to software developers and attendance at software engineering conferences
- Meetings with RADC engineers
- Review of the literature on data center operations
- Observing the operation of the Reliability Analysis Center

### 2.2 Functional Model

The Hierarchy Input Process Output (HIPO) chart in Figure 2.1 provides a graphical representation of the functional model of the Software Data Repository (SDR). The principal inputs to the SDR include production/development reports that contain software experience data and documents that contain textual information on the software development process. The data and documents form the basis for a document library and the two computer databases that serve as inputs for subsequent updating and processing.

The major SDR processes include the acquisition of data and documents, the evaluation and summarization of these inputs, the production of reports and data subsets, the performance of engineering analysis, data analysis, and software model development.

The major outputs are the updated databases and document library, data subsets for modeling efforts, published reports containing results of analysis, and answers to inquiries and consulting actions.

As the document library and the two major databases form the heart of the repository, the information content of each is first discussed.

### 2.3 Information Content

There are two major classes of information that form the inputs to the SDR. The first class consists of production/development information relating to the developmental characteristics of producing and monitoring computer software. The second class consists of textual or expository information relating to software development. From these documents the Document Description Database, the Historical Database and the Complementary Database are generated (Figure 2.2).

The Document Description Database contains the information needed to retrieve the document according to the users' need. This database, plus the source documents, form the basis for a document library.

# SOFTWARE DATA REPOSITORY

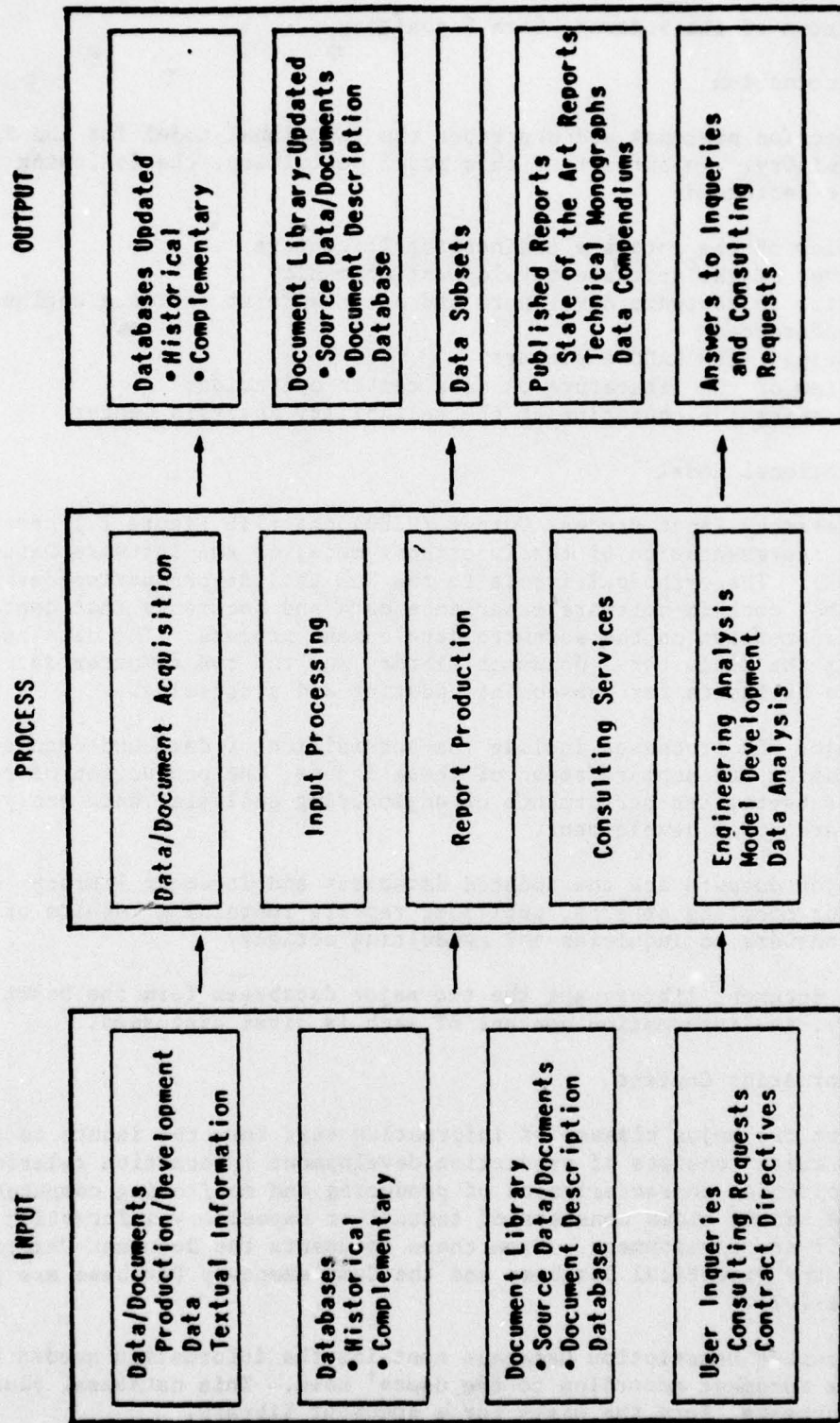


Fig. 2.1 Functional Model

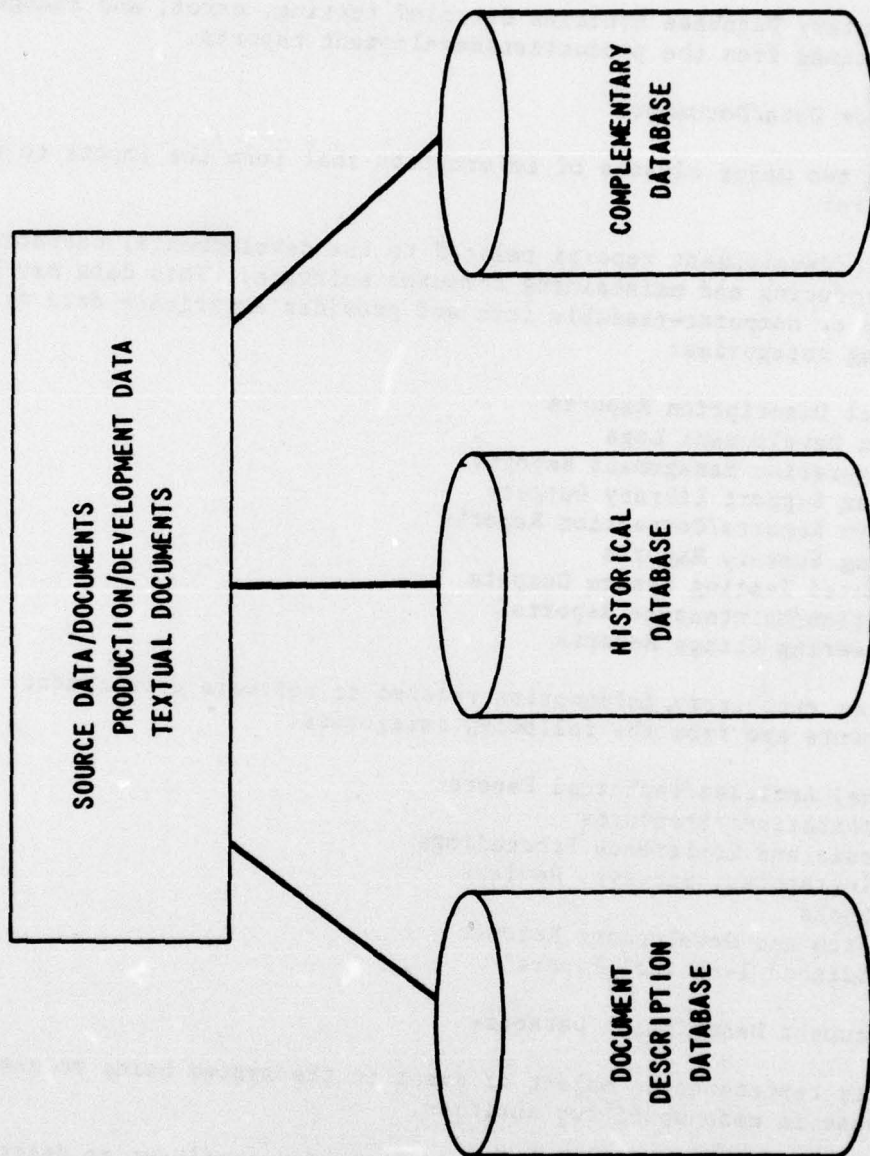


Fig. 2.2 Information Content

The Historical Database contains summarized information obtained from the production/development reports. The types of information contained in this database are productivity, cost, environment, and summarized test and error data.

The Complementary Database contains detailed testing, error, and change information obtained from the production/development reports.

### 2.3.1 Source Data/Documents

There are two major classes of information that form the inputs to the SDR. They are:

1. Production/development reports related to the developmental characteristics of producing and maintaining computer software. This data may be in hard copy or computer-readable form and provides experience data from the following categories:

- . Project Description Reports
- . System Development Logs
- . Configuration Management Reports
- . Program Support Library Outputs
- . Problem Reports/Correction Reports
- . Testing Summary Reports
- . Automated Testing System Outputs
- . Operation/Maintenance Reports
- . Engineering Change Reports

2. Textual or expository information related to software development. These documents are from the following categories:

- . Journal Articles/Technical Papers
- . Specifications/Standards
- . Symposia and Conference Proceedings
- . Bibliographies, Surveys, Reviews
- . Handbooks
- . Research and Development Reports
- . Unpublished Technical Papers

### 2.3.2 Document Description Database

An entity represents an object or event in the system being modeled. This database is made up of two entities.

- . The Document Descriptor Entity - information pertinent to describing the characteristics of the data or the document.
- . The Document/Data Cross Reference Entity - information necessary to retrieve the production/development data stored in the other two databases.

### 2.3.3 Historical Database

The Historical Database contains summarized information from the production/development reports. The data is stored at the project, system and module levels for each phase in the software life cycle.

As used within this report, a project consists of one or more systems and provides a solution to a problem. A system consists of one or more modules and provides a meaningful software product to the user. A module is a discrete identifiable set of instructions handled as a unit by an assembler, compiler or loader.

The software life cycle consists of six phases including the conceptual, requirement, design, implementation, test and operation phases.

#### 1. Conceptual Phase

- Problem statement definition
- Preliminary systems analysis
- Alternative solution categories

#### 2. Requirement Phase

- Project objectives
- System specifications
- Request for Proposal (RFP) preparation
- Proposal preparation and evaluation

#### 3. Design Phase

- Software component definitions
- Interface and data definitions
- Requirement compliance

#### 4. Implementation Phase

- Program code production
- Unit testing

#### 5. Test Phase

- System integration of the software components
- System and acceptance tests
- Requirement compliance

#### 6. Operation Phase

- System use
- System maintenance
  - Detection and correction of errors
  - Modification to add capabilities and/or improve performance

The Historical Database is made up of six entities (Figure 2.3) and the contents of each is briefly described below.

- . The Project/Component Descriptions Entity - descriptive and structural information for the project components; experience information on personnel assigned to the project; and information on the computer system used.
- . The Environment Entity - information, for each component and phase, on the types of personnel and computer used, contract type, applicable standards and collection procedures, and project constraints and priorities.
- . The Technology Entity - information on the various management, development and testing philosophies, tools and techniques used for each component and phase.
- . The Resource Utilization Entity - the calendar time spent, the amount of time for each personnel category and for the computer, and the costs for computer, personnel, travel and miscellaneous items.
- . The Production Entity - summarized information, for each component and phase, on the number of pages of documentation produced; the number and types of changes made, tests run, and errors uncovered and corrected.
- . The Software Characteristics Entity - the software produced in terms of quality, complexity, stress applied and software constituents.

#### 2.3.4 Complementary Database

The Complementary Database contains detailed information from the production/development reports. As in the Historical Database, the data is stored at the project, system and module levels for each phase in the software life cycle.

The Complementary Database is made up of three entities and is expected to grow as special collections on various projects are made (Figure 2.4).

The first entity is the Project/Component Descriptions Entity as discussed under the Historical Database. If this information is recorded for the Historical Database, it can be integrated into the Complementary Database. The other two entities are the Test Results Entity and the Engineering Change Entity.

- . The Test Results Entity - detailed information on the characteristics of each test run including stress applied, error category, severity and correction type, resources used, and affected areas.
- . The Engineering Change Entity - detailed information on the characteristics of each engineering change including change type, calendar time and resources used.



Figure 2.3 Historical Database Entities

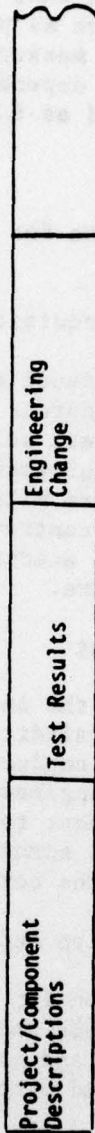


Figure 2.4 Complementary Database Entities

The information in this database is expected to be dynamic to support various research efforts that demand detailed experienced data. As special needs are identified such as performing research in error analysis, maintainability, complexity measures, and program constructs, additional entities will be provided dependent upon data availability. Also, obsolete entities will be deleted as data requirements change.

## 2.4 Process Overview

Major processing elements for the repository are briefly described in the following paragraphs.

### 2.4.1 Data/Document Acquisition

A concerted and continual acquisition effort is required with full cooperation of the many sources that generate information and data that may be acquired through direct solicitation, voluntary contributions and incorporation of data requirements into software development contracts. The major sources of data are military systems program offices, other government agencies, project contractors, computer software developers, independent R&D organizations, academic community, symposia, conference, seminars, and other open literature.

### 2.4.2 Input Processing

It is required that the incoming data and documents be thoroughly reviewed for relevancy, validity, accuracy and completeness prior to acceptance. This review is conducted by computer professionals with technical expertise in software engineering. An accepted document is cataloged in the library for subsequent retrieval. If this input contains production/development data, it is summarized and transformed into the SDR format and then entered into the corresponding database(s).

### 2.4.3 Report Production and Engineering Analysis

The report production for the SDR implies producing subsets of the data in both computer readable and hard copy form, state-of-the-art reports on designing, developing, and maintaining computer software, and the results of software modeling and other analysis efforts.

The types of analysis include evaluation and refinement of software reliability and maintainability models, regression analysis on the factors that effect productivity and cost, and other data analysis techniques such as distribution fitting, calculation of means, averages, correlations, and frequency distributions.

## 2.5 Output Types

The major output types and their uses are briefly described in the following paragraphs:

- Database - access to the database for searching and/or copying the contents of the databases.

- . Data Subscription - computer readable copy of the database(or a subset of the database) and regular updating service.
- . Data compendiums - publications that provide summary information on the database holdings.
- . Handbooks - publications that provide practical guidelines and procedures for producing and maintaining computer software.
- . State-of-the-Art Reports - publications that provide the latest, authoritative information on software development techniques.
- . Technical Monographs - in-depth reports on a specialized software development area such as evaluation and validation of software reliability models.
- . Inquiry and Consulting Services - these services provide the user with knowledgeable assistance in the solution of a problem.
- . Document Library - access to the documents within the library and/or distribution of copies of the documents.
- . Bibliography - a publication including references, bibliographic citations, and indices for user search.

### 3. Input Processing Requirements

#### 3.1 Introduction

One of the most critical and time consuming functions of the repository is that of processing the input to assure that the information is valid, meaningful and easily retrievable. The purpose of this section is to describe the methods required to process the input. Figure 3.1 contains an overall flow of the input processing.

The initial processing of the production/development reports and the textual documents is similar. Both types of inputs are evaluated, indexed, and stored for future reference. If the input includes production/development reports, the data from these reports is transformed and loaded into the Historical and Complementary Databases.

#### 3.2 Evaluation

The first task is to evaluate all incoming data and documents by carefully reviewing the input to determine if the information is valid and is relevant to the software development process. At this point, it is either rejected for inclusion into the SDR or accepted for further processing. Each accepted document is matched against the current source documents for duplication. If a duplication exists, the duplicate document is stored with the original and no further processing is required. If a duplication does not exist, the accepted document is then indexed.

This evaluation process also takes into account the corporate sensitivity of the data or the documents. A determination is made at the time of the levels of security that must be applied to this information.

#### 3.3 Indexing

Indexing is the task of assigning descriptive terms to the input. This is an important process since the access to this information is through the index terms assigned.

The Document Description Form (Figure 3.2) is completed during this indexing task to provide a consistent recording of all indexing information. The indexing information for the reports and documents is grouped into three classes:

- 1) location term
- 2) bibliographic terms
- 3) subject terms or keywords

The location term assigned to any report or document is its accession number. This accession number is unique for each report or document and serves two purposes:

- 1) it is the primary means of locating the report or document within the library
- 2) it is the primary means of cross-referencing the report or document for retrieval

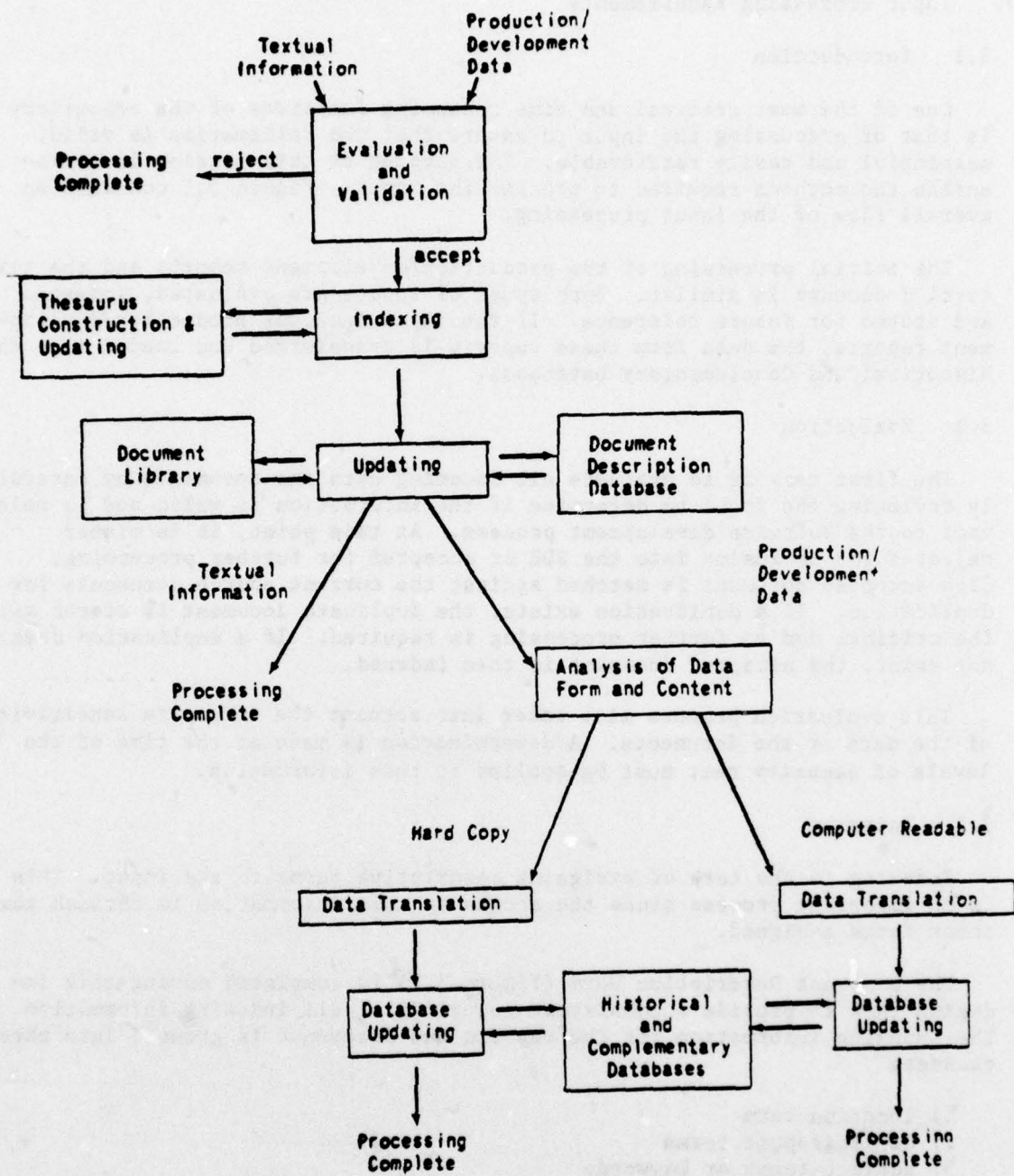


Figure 3.1 Input Processing Flow

**SOFTWARE DATA REPOSITORY  
DOCUMENT DESCRIPTION FORM**

Date Ordered \_\_\_\_\_

Date Received \_\_\_\_\_

Date Processed \_\_\_\_\_

1. Document Accession Number \_\_\_\_\_

2. Title \_\_\_\_\_

3. Author(s) \_\_\_\_\_

4. Company Name \_\_\_\_\_

5. Publication \_\_\_\_\_

Vol. # \_\_\_\_\_ pp. \_\_\_\_\_ pub. date \_\_\_\_\_

6. Document Type \_\_\_\_\_

7. Gov't Report# \_\_\_\_\_

Contract Dates \_\_\_\_\_ Contract # \_\_\_\_\_

8. Keywords \_\_\_\_\_

9. Abstract \_\_\_\_\_

**Figure 3.2 Document Description Form**

The bibliographical terms include title, personal and corporate author(s), publication name, volume number, pagination, publication date and document type. For government reports, report number, contract number and contract dates are also included.

Subject terms (keywords) describe the textual and data content of the report or document. This task of assigning keywords is the most time-consuming and critical procedure in indexing. The user depends upon the document being correctly classified. If incorrect keywords are inadvertently assigned, that information is less than optimally suited for user needs.

An indexer reviews the foreword, abstract, table of contents, data definitions, report summary, and conclusions of each document and produces a list of terms that describe the content of the document. It is very important that the indexer be as consistent as possible and call the same concept by the same term everytime it appears. To facilitate this requirement, a thesaurus is used.

A thesaurus provides both the indexer and the retriever with a list of permitted terms. It contains definitions of the terms, cross references to synonyms and related terms, and cross reference to broader and narrower terms.

The following is a set of guidelines for selecting subject terms:

- . Express all subject terms as nouns
- . When citing terms describing processes, use the gerundive form of the verb. For example: debug becomes debugging
- . Use singular forms when citing:
  - Specific material terms  
e.g., flow chart, schedule, disc
  - Specific terms representing properties, conditions or characteristics  
e.g., reliability, availability, predictability, productivity
  - Terms describing a specific process  
e.g., debugging, verifying, program structuring
  - Proper names  
e.g., Shooman Model
  - Terms describing disciplines, fields, subject areas  
e.g., computer science, automated testing
- . Use plural forms when citing
  - Generic terms  
e.g., operating systems, design techniques, costs
  - Terms describing equipment or devices  
e.g., computers, compilers
  - Terms describing events or occurrences  
e.g., failures, interactions
- . Use terms that are recognized in the software development community, are unambiguous, and do not occur too frequently in the literature.

### 3.4 Updating

Updating is the process of storing the source inputs in the document library and entering the information from the Document Description Form into the Document Description Database.

The source inputs are labeled and stored by accession number with a copy of the Document Description Form attached to the document. The particular storage media is dependent upon the document form. Hard copy documents are stored in file folders in filing cabinets, magnetic tapes are stored in tape racks, and punched computer cards are stored in card files.

The information from the Document Description Form is transformed into computer-readable form and entered into the Document Description Database.

The processing for the textual documents is now complete. The production/development reports require further processing.

### 3.5 Analysis of Data Form and Content

Since the production/development data is destined for the Historical and Complementary Databases, an analysis is made of the form and content of the data to determine subsequent processing procedures. The data is examined for incompatibilities with the requirements for the Historical and Complementary Databases. These incompatibilities take the form of inconsistent coding values and field lengths, incompatible summarization levels, and distribution of data values.

### 3.6 Data Translation

The production/development data is transformed to the formats suitable for the SDR databases. This translation is performed using manual procedures and computer programs.

Using manual procedures, this process includes manually transforming the data into the SDR formats and producing a computer readable copy of the transformed data.

Using computer programs, this process includes defining and reading the source data, translating the data according to the SDR data requirements and outputting the transformed data. The extent of the translation is dependent upon the incompatibilities uncovered during the analysis of the data.

### 3.7 Database Updating

This process includes entering the transformed data into the Historical and Complementary Databases. During the updating process, the data is edited for incorrect coding values, inconsistent records, and duplication of information. If needed, the data is corrected and reloaded into the database.

## 4. The SDR Information System

### 4.1 Introduction

An information system for the SDR requires a computer hardware/software system that provides processing capabilities for the SDR and a database management system that provides automated tools for managing the data.

This section presents a general discussion on the requirements of the hardware/software system including the capabilities of the ARPA network, the general requirements of security procedures, a presentation on the requirements of a database management system (DBMS) to manage the SDR databases, and a discussion of the characteristics of the SDR databases.

### 4.2 Requirements Summary

The basic feature requirements of an information system for the SDR are listed in Table 4.1. Each feature has two columns associated with it. The first column illustrates the need of a feature for a pilot facility, the second column illustrates the need for a fully operational center. The priority need is established according to the following levels:

M Mandatory	This feature must be available.
D Desirable	It would be desirable to have this feature, but if not available, a suitable alternative can be found.
O Optional	It would also be desirable to have this feature but if it is not available, normal operation would not be impaired.
N Not needed	Even if this option were available, it would not be used.
Blank	The requirement level has not been established.

Each of these features are discussed in the following subsections. A glossary of terms is presented in Appendix A for those readers who are not familiar with database terminology.

### 4.3 Hardware/Software Systems

A hardware/software system to be used by the repository must provide facilities for online and batch processing of standard and ad hoc retrievals, batch processing for standard and any ad hoc reports, online/batch processing for retrievals that result in lengthy answers, batch processing for the maintenance and updating of the database. The system must be able to interface retrieval results and report generation with application programs.

There are at least three options that fulfill these general requirements. The first is to utilize a medium to large scale computer with its attendant software and full range of peripherals, such as found in many computing centers (i.e., RADC computer center). The second is to purchase services from outside vendors. A third option is to purchase a mini-computer and perform all of the processing functions in-house.

Table 4.1  
Feature Requirements

Feature	Required	
	Pilot	Full
1. Hardware/Software System		
RADC Computer Center	M	
Dedicated Mini-computer	N	
Outside Computer Service	N	
Interface to the ARPANET	O	D
2. Mode of Operation		
Batch Retrieval	O	O
Batch Maintenance	M	M
Online Retrieval	O	M
Online Maintenance	O	O
Online/Batch Retrieval	M	D
Online/Batch Maintenance	D	D
3. Users		
Data Administrator	D	M
Applications Programmer	O	M
Nonprogrammer	M	M
Parametric User	D	M
4. Data Loading and Maintenance		
Self-Contained	M	M
Host Language	D	M
Foreign Files	M	M
Input Verification	D	D
Reorganization	D	M
Restart and Recovery	D	M
Data Translation	D	M
5. Retrieval and Report Generation		
Self-Contained	M	M
Interface to User Progrsms	D	M
Data Subsets	M	M
Multi-Attribute Qualification	M	M
Multi-Attribute Sorting	M	M
Multi-Users	O	M
6. User Programs		
Data Manipulation Language	D	M
Data Files	M	M
7. Database Characterization and Structure		
Data Description Language	M	M
Schema-Subschema	D	N
Data Structuring	M	M
Data Directory	D	M

**Table 4.1**  
**Feature Requirements (cont'd)**

Feature	Required	
	Pilot	Full
<b>8. Security</b>		
Method		
Administrative	M	M
Hardware	O	D
Operating System	M	M
DBMS	D	M
Technique		
Read Access	M	M
Write Access	M	M
Log-on	M	M
Password	M	M
Level		
Database	D	M
File	D	M
Field	D	M

Any one of these environments could be part of a computer network (such as the ARPA network). A computer network would facilitate direct access to a database and/or the application programs by a multitude of users.

#### 4.3.1 ARPA Network

The ARPA network requirements for the SDR are to provide the facilities for distributing data subsets and receiving foreign files, and to provide direct access to the database by qualified users. RADC has access to the ARPA network through the Multics Operating System.

##### 4.3.1.1 Introduction

The ARPA Network (ARPANET) has been operational since 1969 and is composed of over 85 host computers distributed over 60 different locations in the United States. The geography and topology of the network are illustrated in Figures 4.1 and 4.2 respectively.<sup>307</sup>

The motivations for the network were to establish computer resource sharing, to assist in contractor communication, and to demonstrate the feasibility of packet switching technology.

This network was originally conceived and funded by the Advanced Research Projects Agency (ARPA) of the Department of Defense. The Defense Communication Agency (DCA) has the primary responsibility now and each user is assessed for their network usage. Last year RADC was assessed \$60,000. This year it is expected to be \$72,000. Bolt Beranek and Newman (BBN) is the primary contractor for the development and operation of the network. The MIT Laboratory of Computer Sciences is presently under contract to develop ARPANET capabilities for the MULTICS operating system on the Honeywell 6180 Computer system.

The major attributes of the ARPANET are listed below.<sup>303</sup>

- . A distributed store - and - forward network of heterogeneous computer system (hosts).
- . Network Control Programs (NCP) are the software interfaces for connecting hosts.
- . The hardware interfaces have the characteristics of either a channel or a communications line.
- . An Interface Message Processor (IMP) is a specially modified Honeywell 316 or 516 processor which serves as the communications computer in the network.
- . A Pluribus IMP is a multiprocessor IMP assembled by BBN using microprocessors.
- . Host to host messages are passed from the host to its IMP, broken into packets, and sent to their destination.
- . The route of any given packet is not established in advance and several packets of a message may follow different routes.
- . The destination IMP reassembles the message and delivers it to the proper host.
- . Three Protocol Levels have been established for communication:

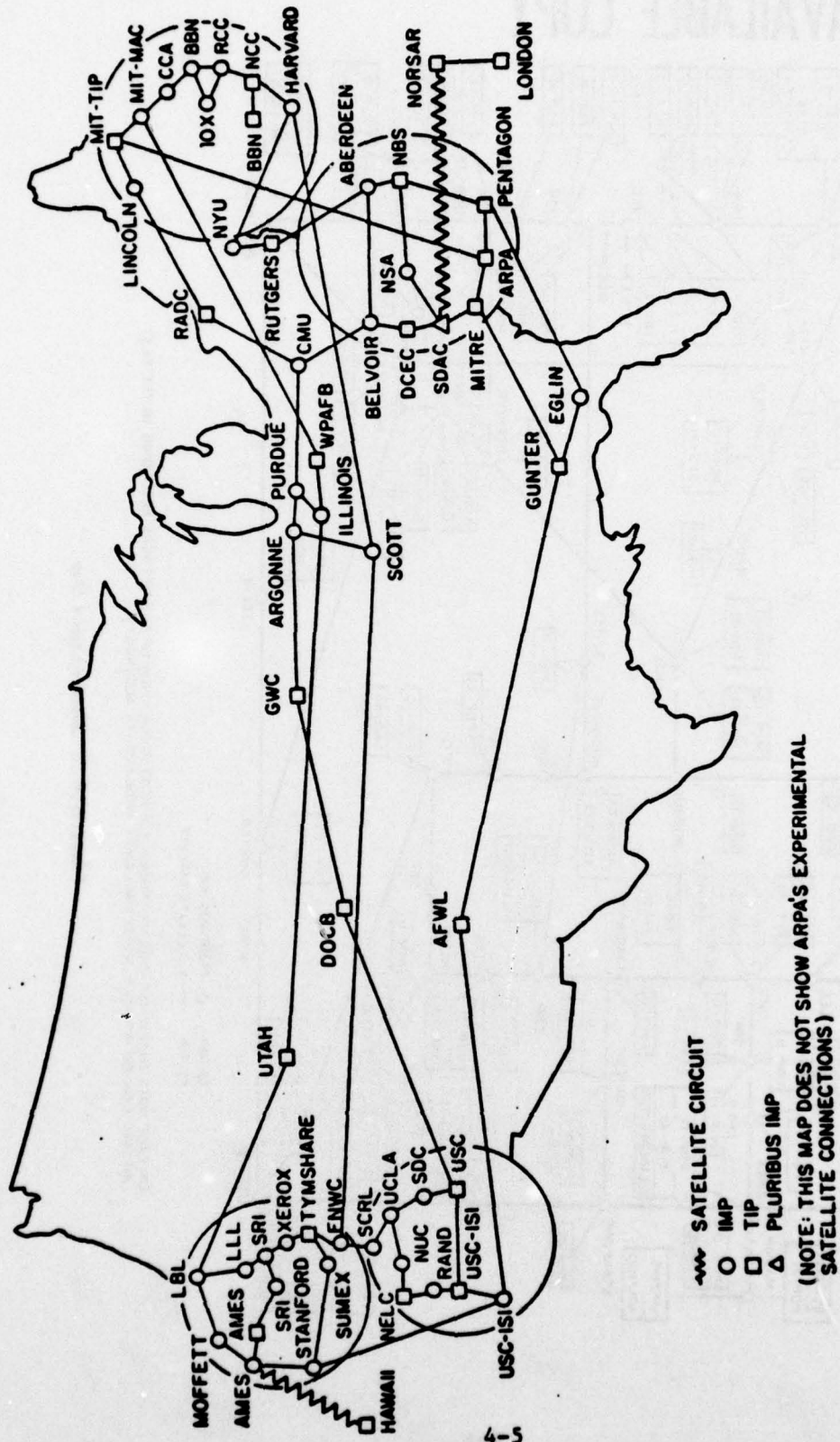
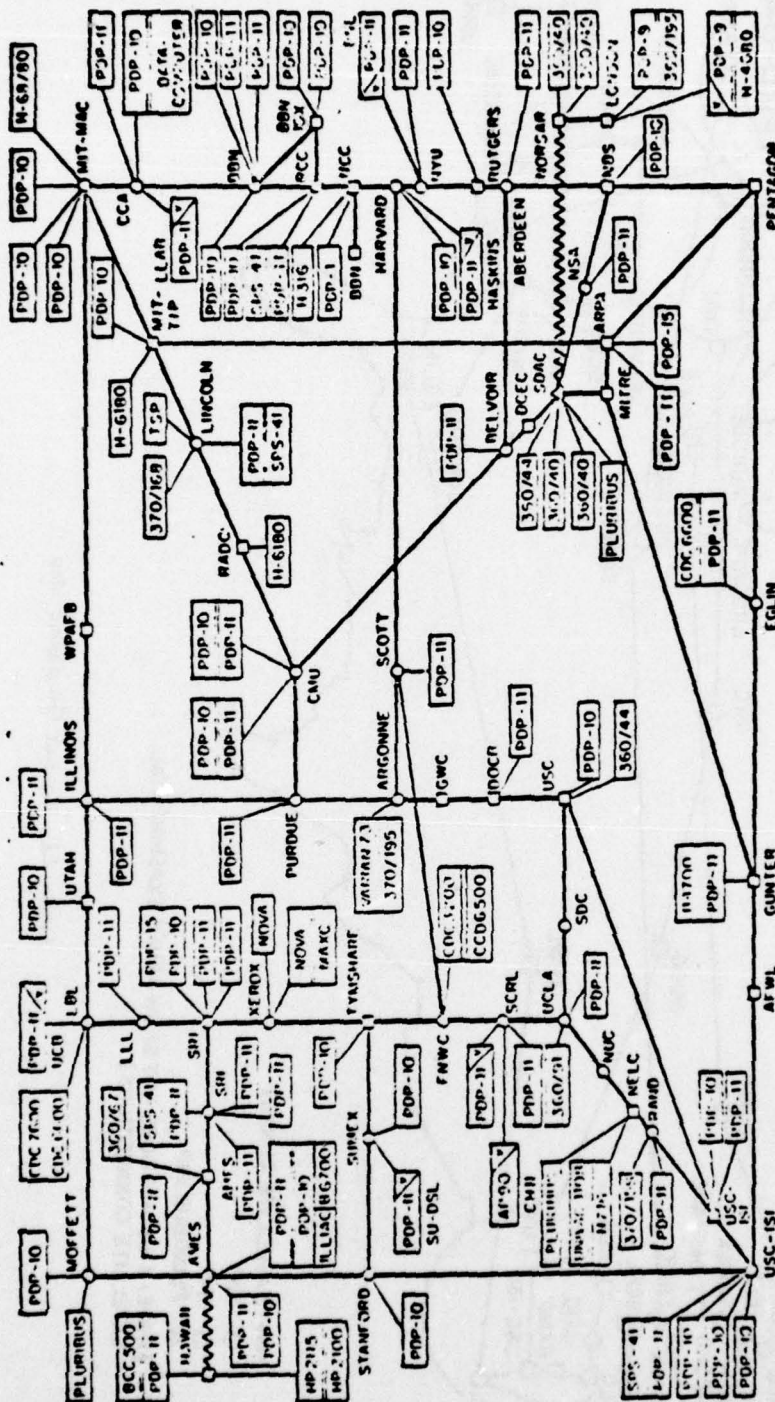


Figure 4.1 Arpanet Geographic Map

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○ IMP  
 △ PLURIBUS IMP  
 □ TIP  
 ~~~~ SATELLITE CIRCUIT

(PLEASE NOTE THAT WHILE THIS MAP SHOWS THE MOST POPULATION OF THE NETWORK ACCORDING TO THE BEST INFORMATION OBTAINABLE, NO CLAIM CAN BE MADE FOR ITS ACCURACY)

Figure 4.2 Arpanet Logical Map

- Level 1 governs logical exchange of information between host and IMP.
  - Level 2 governs logical exchange of information between NCPs in communicating hosts.
  - Level 3 governs communications occurring between processes in the host machines. Examples of this level include the data transfer protocol, the file transfer protocol, and the special TELNET Protocol. The TELNET defines a network virtual terminal and permits all terminals on the network to provide a similar interface to processes in a separate host.
- . A Terminal IMP (TIP) is an IMP which is augmented by additional memory and a multiline controller. The TIP contains a network control program and a TELNET program to permit terminals to access the network directly

#### 4.3.1.2. RADC - ARPANET Accessibility

RADC is presently a TIP user on the ARPANET through the Multics Operating System (Figure 4.3). Below is a brief summary of the status of ARPANET accessibility by RADC:

- . The lower level protocols (Levels 1 and 2) are well established and would be of little concern to the SDR.
- . The file transfer protocol (FTP) is also well established. The transfer parameters include type (ASCII, BCD, etc.), mode (stream, block), and structure (file, record). This protocol is used extensively for transferring messages (mail facility). In addition to this protocol, there is a need for data transformation software (as discussed in a previous section).
- . The other higher level protocols are not as well established and the user must learn the host dependent commands and conventions (log-on procedures, job control language, file naming conventions, etc.). In addition, the quality of documentation and assistance availability varies from site to site. There is a need for a system control language to provide a common means for users and application programs to access the resources needed, independent of their network location.<sup>092</sup>
- . The communication system is highly reliable.
- . The reliability of the host system varies from site to site.
- . Backup processing capability is left to the user.
- . File security is the responsibility of the individual host.

#### 4.3.2 SDR Options for Utilizing the ARPANET

The ARPANET can be used for the repository at RADC to transfer subsets of the production/development data to qualified users, and/or to receive production/development data from the software developer.

A higher level of usage of the ARPANET is to allow qualified users a retrieval capability to provide them with access to the Document Description, Historical and Complementary Databases. In addition the repository personnel may retrieve production/development data from the developers' database to determine applicability to the repository before actually receiving the data.

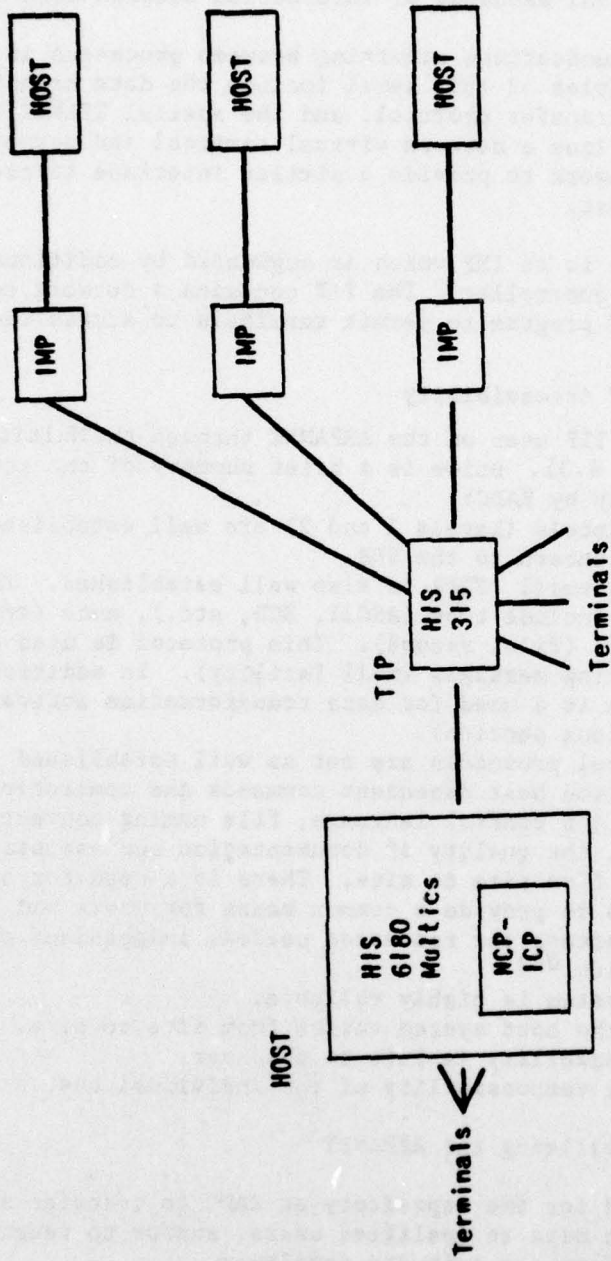


Figure 4.3 RADC - ARPANET Configuration

#### 4.4 Security

As some of the product/development reports will include corporate sensitive productivity and cost data, procedures and mechanisms must be established and utilized to prevent unauthorized access to this sensitive data. In addition, there must be security provisions to safeguard against the accidental destruction of data and the disruption of user services.

The SDR security involves the computer system including its attendant hardware and software components, the repository users, the repository and computer site personnel, the physical environment, and the relationships and interactions between all of the above (Figure 4.4).

Backup procedures such as restart and recovery can be incorporated to provide some level of data integrity. Also editing and validation can be accomplished to prevent the invalid entry of data.

Adequate technological safeguards to provide protection from deliberate misuse or access to the system are not now available in current computer systems.<sup>305</sup> The use of passwords for access to the operating system and the database are available but can be penetrated. These mechanisms will be used, but, at least initially, the sensitive data submitted to the SDR will physically be stored for manual retrieval rather than to make it available through the computer system.

Sensitive data is that data which has been submitted to the repository that the submitter considers sensitive. That is, the judgement of sensitivity will be left up to the contributor. It is expected that the sensitive data may consist of the developers' affiliation, project designations, productivity data, and cost data.

#### 4.5 Database Management System Requirements

Shown in Figure 4.5 are the major database management system functions that must be considered for the SDR.<sup>101</sup> Each of these functions is discussed below including a discussion of the types of users of a database management system.

##### 4.5.1 Users

The Conference on Data Systems Language Systems Committee (CODASYL)<sup>206</sup> defines four levels of users of database management systems. These are data administrator, applications programmer, nonprogrammer and the parametric user. The data administrator is the one person who is responsible for the creation, restructuring, security, etc. of the database.

The next level is the applications programmer. These persons are computer professionals who are well versed in the current practices of data processing. They are responsible for writing application programs, processing difficult queries, generating reports, etc.

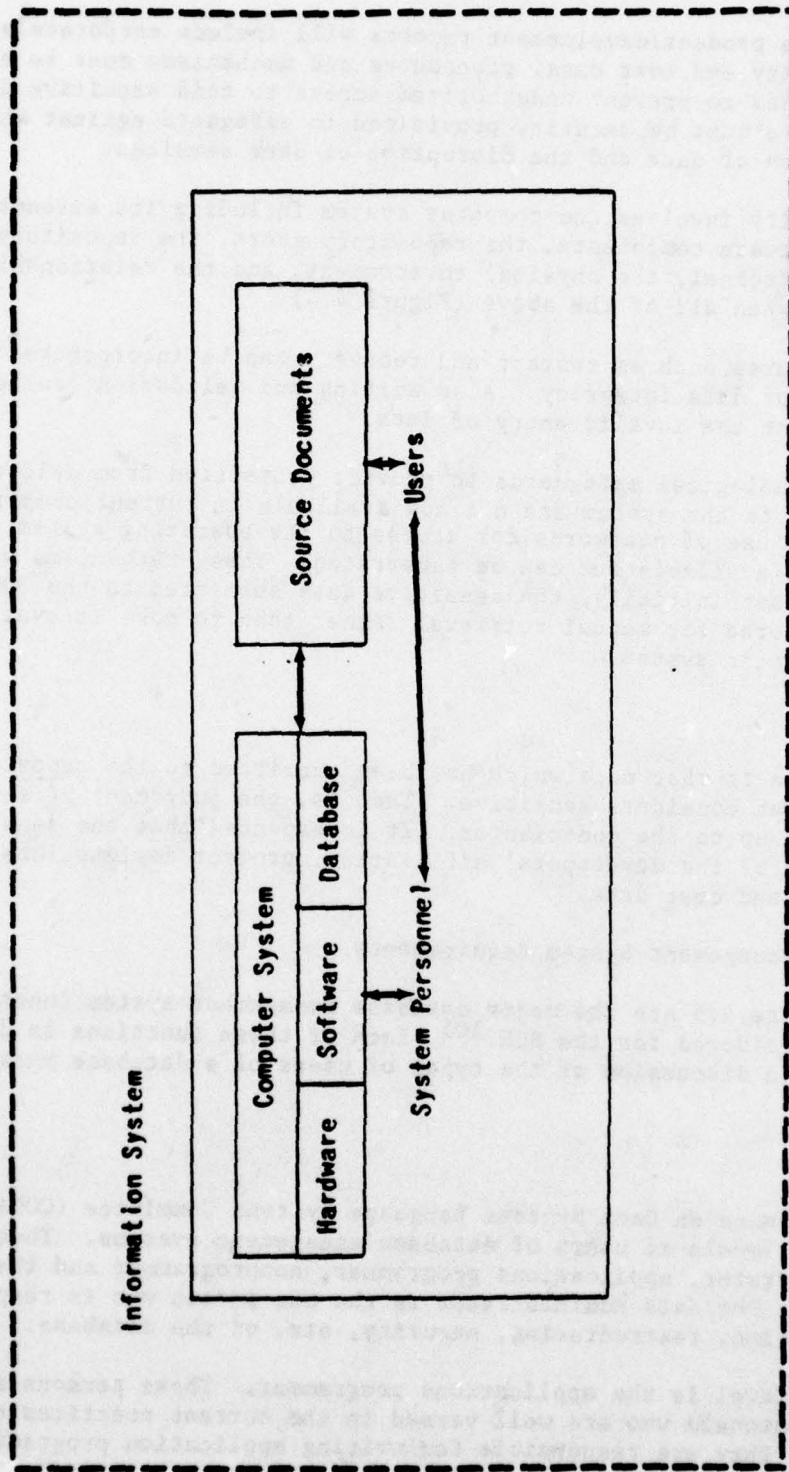


Figure 4.4 Security Elements

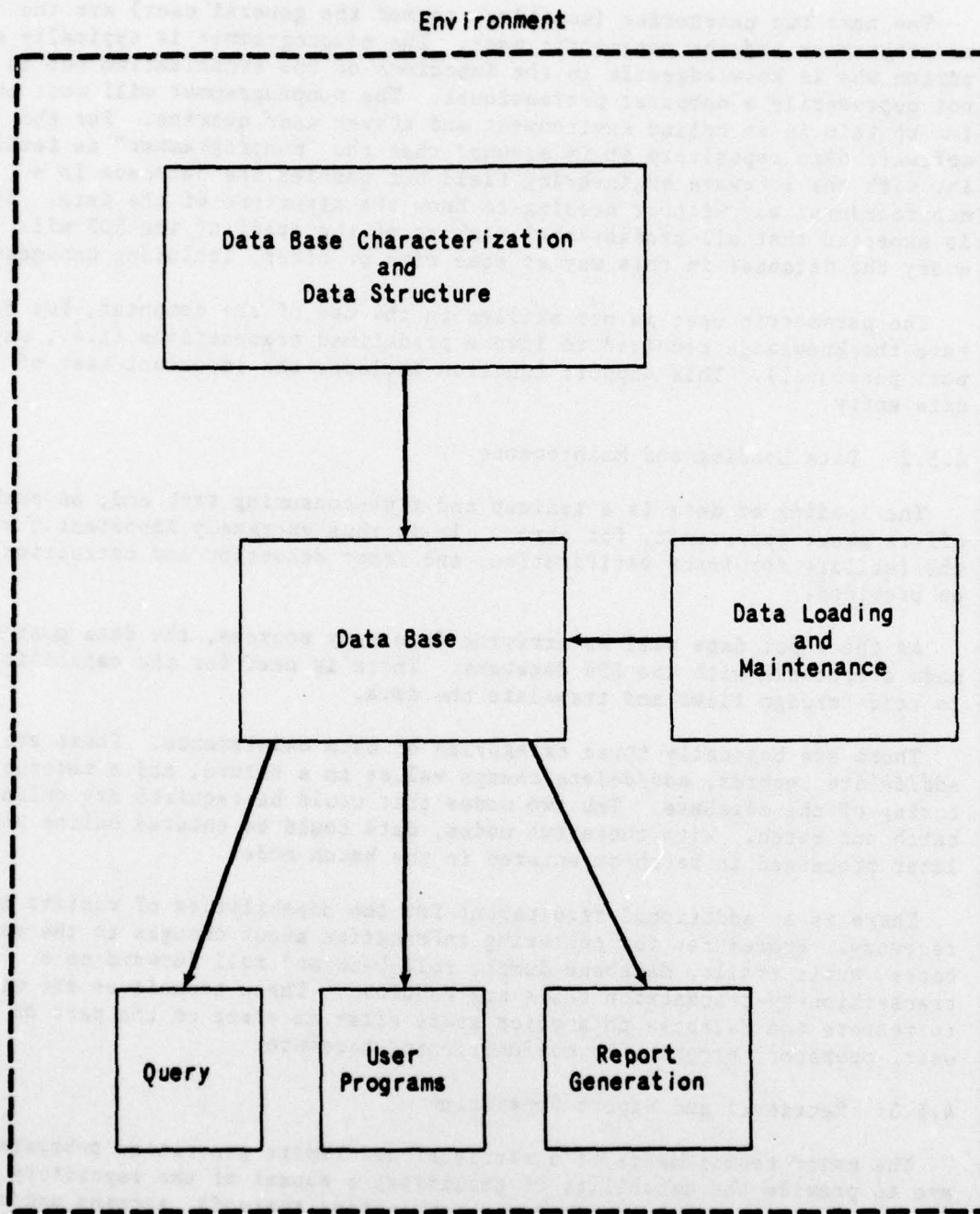


Figure 4.5 Database Management System Functions

The next two categories (sometimes termed the general user) are the nonprogrammer and the parametric user. The nonprogrammer is typically a person who is knowledgeable in the functions of the organization but is not necessarily a computer professional. The nonprogrammer will most often operate in an online environment and answer user queries. For the software data repository it is assumed that the "nonprogrammer" is familiar with the software engineering field but queries the database in a nonprocedural way without needing to know the structure of the data. It is expected that all professional members of the staff of the SDR will query the database in this way at some time or other, including management.

The parametric user is not skilled in the use of the computer, but does have the knowledge required to invoke predefined transactions (i.e., support personnel). This support function includes the important task of data entry.

#### 4.5.2 Data Loading and Maintenance

The loading of data is a tedious and time-consuming task and, as such, offers great opportunity for error. It is thus extremely important that the facility for input verification, and error detection and correction be provided.

As the input data will be arriving from many sources, the data must be made compatible with the SDR database. There is need for the capabilities to read foreign files and translate the data.

There are basically three categories of data maintenance. These are add/delete records, add/delete/change values in a record, and a restructuring of the database. The two modes that would be required are online/batch and batch. With these two modes, data could be entered online and later processed in batch or entered in the batch mode.

There is an additional requirement for the capabilities of restart and recovery. Procedures for capturing information about changes to the databases, audit trails, database dumps, roll back and roll forward on a transaction-by-transaction basis are required. These techniques are used to restore the database to a prior state after an error on the part of the user, operator, program, or malfunctioning hardware.

#### 4.5.3 Retrieval and Report Generation

The major requirements of a retrieval and report generation subsystem are to provide the capability of qualifying a subset of the repository database on all attributes (and any combination thereof), sorting and/or formatting this subset, interfacing this subset to user programs, or printing this subset directly to the requesting computer terminal. Since some of the users of this system will be nonprogrammers, there is a requirement for online tutorial material to aid in retrieval specifications. It would also be highly desirable to have feedback on long retrievals. This would require a combination of online and batch. Thus, retrieval specification would take place online and the actual processing would be performed in batch. There might also be the need for some standard retrievals which would be run quite often and, thus, would be predefined.

It is anticipated that the repository would produce reports summarizing the data. Therefore, this subsystem would have to have the capabilities for multi-attribute qualification, running several different user programs against the database, specifying output columns and headings, placing several different values under each heading, and placing limited free-form remarks under certain headings.

There is the need for the generation of ad hoc reports which may occur as a result of an online batch retrieval or may originate as a batch job.

#### 4.5.4 User Programs

In order to support the repository adequately, the system will have to provide for the running of a wide variety of user programs. These programs might be written in FORTRAN, COBOL, JOVIAL, PL-1 or some other standard programming language, and require the use of a data manipulation language for reading, writing, searching, and updating the database. Depending upon the function of the program, it must be able to operate online, in batch and/or in the online/batch mode.

The user programs will vary from major modeling systems to statistical analysis routines acting on subsets of the database. Some examples of the programs that would be required for statistical analysis include mean, range, variance, standard deviation, confidence intervals, F-test, T-test, chi-square test, analysis of variance, regression analysis, analysis of covariance and probability distribution fitting. Programs of nonstatistical nature would include sort-merge, qualification to obtain a subset of the database, and various routines that would be required for the generation of reports.

#### 4.5.5 Database Characterization and Structure

A database management system must have the capability to define, load and update the data, retrieve on the attributes of the data, produce reports and be able to interface with user programs. There must be a data description language to define the data structure, attribute type and length, and to handle coded values within the value sets.

As discussed in Section 2, there are three SDR databases:

- . Document Description Database
- . Historical Database
- . Complementary Database

The Document Description Database contains descriptive information on the documents within the document collection. This database is made up of two entities, seven groups, and 17 attributes (Figure 4.6).

As defined before, an entity represents an object or event concerning the software development process. An attribute describes the entity and is the smallest named logical unit of data within the database. A group is a named collection of attributes.

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**DOCUMENT DESCRIPTOR ENTITY**

| Group Name    | Attribute Names                                                   |
|---------------|-------------------------------------------------------------------|
| Title, Author | Accession # Title, Personal Author, Corporate Author              |
| Citation      | Accession # Type of document, Name, Volume number, Year           |
| Report        | Accession # Report number, Contract number                        |
| Keywords      | Accession # Keywords                                              |
| Abstract      | Accession # Abstract                                              |
| Processing    | Accession # Ordered date, Received date, Indexed date, Entry date |

**DOCUMENT/DATA CROSS REFERENCE ENTITY**

| Group Name               | Attribute Names         |
|--------------------------|-------------------------|
| Document/ Data Structure | Accession # Summary ID# |

Figure 4.6 Document Description Database

The Historical Database contains summarized environment, resource utilization, production, testing, and change data. This database consists of six entities, 33 groups, 107 attributes and 33 value sets (Figure 4.7). A value set is the set of all possible values for an attribute. The initial values for 24 value sets are illustrated in Figure 4.8. The values for the additional nine value sets will be determined as data is accepted into the database.

The Complementary Database contains detailed testing and change data. This database consists of three entities, 14 groups, 67 attributes and 20 value sets (Figure 4.9).

Illustrated in Figure 4.10 are the entities and groups of the three databases shown in a hierarchical manner.

#### 4.5.5.1 Data Independence

As we learn more about the software development process and the factors that affect cost, productivity and quality, the types of data that are collected and included in the databases will change. As this will be an evolving process rather than a sudden change, there is a need to reflect these modifications minimizing the effects on the operation of existing programs. There is a need to provide for independence from the physical storage device, the ability to add new fields to the records, and the ability to alter relationships. One method of minimizing the effects of these changes is through the concept of schema and subschema, and of execution binding.

The schema is a description of the database (Figure 4.11). The subschema is a description of the data that is needed to meet the requirements of a particular program. The schema is translated by a database management system into a set of stored schema tables. This is done separately from any application program. At program compilation time, the subschema is also translated into a set of tables. Then at execution time, the database management system "binds" these two tables together in order to provide the data as described in the subschema, to the program. The conventional approach is to "bind" the data to the program at compilation time rather than execution time.

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**PROJECT/COMPONENT DESCRIPTIONS ENTITY**

| Group Name           | Attribute Names                                                                                                                                             |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Project              | Project ID<br>Name, Description, Project Type <sup>1</sup> , # Systems, # Modules                                                                           |
| System               | System ID<br>Name, Description, System Type <sup>1</sup> , # Modules                                                                                        |
| Module               | Module ID<br>Name, Description, Module Type <sup>1</sup>                                                                                                    |
| Component ID#-P      | ID#<br>Project ID, System ID, Summary ID#                                                                                                                   |
| Component ID#-S      | ID#<br>System ID, Module ID, Summary ID#                                                                                                                    |
| Job-Personal         | Person ID<br>Job experience <sup>1</sup> , # Years                                                                                                          |
| Application-Personal | Person ID<br>Application experience <sup>1</sup> , # Years                                                                                                  |
| Rating-Personal      | Person ID<br>Rating Criteria <sup>2</sup> , Rating measure <sup>2</sup>                                                                                     |
| Computer Hardware    | Computer ID<br>Classification <sup>2</sup> , Device type <sup>2</sup> , Manufacturers name <sup>2</sup> , Model number, Number of devices, Storage capacity |
| Computer Software    | Computer ID<br>Software type <sup>1</sup>                                                                                                                   |

<sup>1</sup> Value Sets are Available in Figure 4.4

<sup>2</sup> Value Sets are data dependent

Figure 4.7 Historical Database

ENVIRONMENT ENTITY

| Group Name | ID# | Attribute Names                                                                                                                                                         |
|------------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Person     | ID# | Phase <sup>1</sup> Person ID, Person level <sup>1</sup> , % Time, Travel required                                                                                       |
| Computer   | ID# | Phase <sup>1</sup> Computer ID, % Time, Operating Model, Response <sup>1</sup> , Reliability <sup>1</sup>                                                               |
| General    | ID# | Phase <sup>1</sup> Contract Type <sup>1</sup> , Standards <sup>1</sup> , Collection Purpose <sup>1</sup> , Collection Procedures <sup>1</sup> , Priorities <sup>1</sup> |

TECHNOLOGY ENTITY

| Group Name | ID# | Attribute Names                                                                   |
|------------|-----|-----------------------------------------------------------------------------------|
| Technology | ID# | Phase <sup>1</sup> Tech. ID <sup>1</sup> , Tool Name <sup>2</sup> , Cost of Usage |

RESOURCE UTILIZATION ENTITY

| Group Name    | ID# | Attribute Names                                                                                 |
|---------------|-----|-------------------------------------------------------------------------------------------------|
| Calendar Time | ID# | Phase <sup>1</sup> Estimated begin date, Estimated end date, Actual begin date, Actual end date |
| Person Time   | ID# | Phase <sup>1</sup> Person level <sup>1</sup> , Estimated # person days, Actual # person days    |
| Person Cost   | ID# | Phase <sup>1</sup> Person level <sup>1</sup> , Estimated person cost, Actual person cost        |
| Computer Time | ID# | Phase <sup>1</sup> Usage level <sup>1</sup> , Estimated usage level, Actual usage level         |
| Computer Cost | ID# | Phase <sup>1</sup> Estimated computer cost, Actual computer cost                                |
| Travel        | ID# | Phase <sup>1</sup> Estimated # trips, Actual # trips                                            |
| Travel Cost   | ID# | Phase <sup>1</sup> Estimated travel cost, Actual travel cost                                    |
| Misc. Cost    | ID# | Phase <sup>1</sup> Estimated misc. cost, Actual misc. cost                                      |
| Total Cost    | ID# | Phase <sup>1</sup> Estimated total cost, Actual total cost                                      |

<sup>1</sup> Value Sets are Available in Figure 4.8

<sup>2</sup> Value Sets are Data Dependent

Figure 4.7 Historical Database (cont'd)

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PRODUCTION ENTITY

| Group Name    | ID# | Attribute Names                                                                             |
|---------------|-----|---------------------------------------------------------------------------------------------|
| Documentation | ID# | Document Type <sup>1</sup> , # Pages, Reporting period                                      |
| Instructions  | ID# | Instruction Type <sup>1</sup> , # Instructions, Reporting period                            |
| Changes       | ID# | Change Type <sup>1</sup> , # Changes, Reporting period                                      |
| Test          | ID# | Test Type <sup>1</sup> , # Tests, # Failures, Reporting period                              |
| Error         | ID# | Error Category Type <sup>2</sup> , Error Category <sup>2</sup> , # Errors, Reporting period |
| Corrections   | ID# | Correction Type <sup>2</sup> , # Corrections, Reporting period                              |

SOFTWARE CHARACTERISTICS ENTITY

| Group Name   | ID# | Attribute Names                                                                                                                         |
|--------------|-----|-----------------------------------------------------------------------------------------------------------------------------------------|
| Quality      | ID# | Quality measure type <sup>1</sup> - Major <sup>1</sup> , Quality measure type-Minor <sup>1</sup> , Quality measure, Method <sup>2</sup> |
| Complexity   | ID# | Complexity measure type <sup>1</sup> , Complexity measure                                                                               |
| Testing      | ID# | Stress type <sup>1</sup> , Stress measure                                                                                               |
| Constituents | ID# | Constituent type <sup>1</sup> , Constituent name, # Of occurrences                                                                      |

<sup>1</sup> Value Sets are Available in Figure 4.9

<sup>2</sup> Value Sets are Data Dependent

Figure 4.7 Hierarchical Database (cont'd)

Application Experience

Programming language  
Computer system  
Application area

Person Level

Manager  
Systems Analyst  
Senior Programmer  
Junior Programmer  
Computer Operator  
Librarian  
Clerical  
Other  
All

Software Type

Operating system  
Telecommunication system  
Data base management system  
Utilities  
Application programs

Phase

Conceptual  
Requirements  
Design  
Implementation  
Test  
Operation

Operating Mode

Batch  
Remote batch  
Time-sharing  
Time-sharing/batch

Response

Average turn-around time

Contract Type

PRIM - Prime contractor  
SUB - Sub-contractor  
CO - Co-contractor  
INT - Internal project  
CPFF - Cost Plus Fixed Fee  
CPIF - Cost Plus Incentive Fee  
COST - Cost with no fee  
T/M - Time and Material  
FFP - Firm Fixed Price  
FPIF - Fixed Price Incentive Fee

Standards / Specifications

Air Force

AFSR 310-1(TD-3)  
MIL-R-R-83313/11521  
MIL-STD-483(USAF)  
SAFSL EXHBT.20012  
AFSCP 375-1  
AFR 65-3  
AFSCP-800-3,6  
SAMSO EXHIBIT 73-3

DOD

DOD DIRECT.5010.19  
DOD 4120.17-M  
MIL-STD-490  
MIL-STD-100A  
MIL-STD-480, 481, 482

Navy

NAVORD WS 8506  
SECNAV INSTR. 5233.1  
NAVMATINST 4130.1  
NAVAIRINST 4130.1

Army

AR 700-51  
AMCR 11-26

NASA

NHB 80.40.2  
SHUTTLE CM

Internal

Collection Purpose

Contract  
Visibility  
Evaluate personnel  
Evaluate approaches  
Schedule monitoring  
Cost monitoring  
Reliability monitoring

Collection Procedures

Configuration Management  
Software Implementation Monitor (SIMON)  
Management Data Collection  
and Reporting System  
Integrated Management, Project Analysis  
and Control Technique (IMPACT)  
Internal  
Other

Constraints

Limited development computer  
accessibility  
Operational computer different  
than development computer  
Unstable design  
Unstable requirements  
Unrealistic schedule  
Inadequate funding  
Inadequate staffing  
Limited management support  
New application area  
Hardware limitations

Figure 4.8 Value Sets

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## Priorities

Processing speed  
Core utilization  
Schedule  
Cost  
Reliability  
Maintainability  
Transferability

## Usage Level

CPU  
I/O  
Algorithm

## Document Type (DOD 4120.17M)

Functional Description  
Date Requirements Document  
System/Subsystem Specification  
Program Specification  
Data Base Specification  
Program Maintenance Manual  
Users Manual  
Computer Operation Manual  
Test and Implementation Plan  
Test Analysis Report

## Instruction Type

New  
Modified  
Throw-away  
Re-used

## Change Type

Hardware modification  
Efficiency  
Modified requirements

## Test Type

Functional test - selective  
Functional test - exhaustive  
Logical test - selective  
Logical test - exhaustive  
Proof of program correctness

## Quality Measure Type - Major

Completeness  
Conciseness  
Understandability  
Portability  
Consistency  
Maintainability  
Testability  
Usability  
Reliability  
Structuredness  
Efficiency

## Quality Measure Type - Minor

Reliability  
# Errors/1000 lines code  
Probability of success  
(designate model utilized)  
Failure rate  
(designate model utilized)

## Complexity Measure Type

Number of instructions  
(excluding comments)  
Halstead length  
C2 measure  
P2 measure  
M measure  
D measure

## Stress Type

Units of CPU time  
Wall clock time  
Number of instructions executed - total  
Number of instructions executed - unique  
Number of test executions

## Constituent Type

Assembly language instructions  
HOL instructions  
Object words  
Unique variables  
User macros  
System macros  
Comment statements  
Mathematical instructions  
Internal control instructions  
External control instructions  
Input/Output instructions  
Unique input formats  
Unique output formats  
Average # fields/input-output format  
Data items in data base  
Pages of documentation

## Test Result

Success  
Failure

Figure 4.8 Value Sets (cont'd)

TECH ID

Chief programmer team  
Configuration management  
Model driven software

Automated analysis  
Automated design tools  
Automated requirements tools  
Bottom-up design  
Decision tables  
Design language  
HIPO design aid  
Process design language  
State diagrams  
Structure charts  
Top-down design

Modular decomposition  
Program support library  
Simulation  
Structured programming  
Walk-throughs

Assembly language  
Bottom-up programming  
Comparator  
Critical piece first  
Data base analyzer  
Data dictionary  
Documentation generator  
Editor  
Higher order language  
Pre-compiler  
Program restructuring  
Re-usable code  
Top-down programming

Assertion proofs  
Bottom-up testing  
Code standards auditor  
Compatibility checker  
Data base comparator  
Independent test team  
Program flow analyzer  
Program structure checker  
Reliability modeling and measuring  
Test case generation  
Test data generation  
Top-down testing

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Figure 4.8 Value Sets (cont'd)

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**TEST RESULTS ENTITY**

| Group Name | Attribute Names                                                                                                                                                                                     |
|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Test Info  | ID# Phase ↑<br>Test ID, Test Type, Date run, Time run, Stress type, Stress measure, Test result <sup>1</sup> , Problem report number, Report date                                                   |
| Error Info | ID# Phase ↓<br>Problem report number, Error category type <sup>2</sup> , Error category <sup>2</sup> , Origin <sup>1</sup> , Severity type <sup>2</sup> , Severity <sup>2</sup>                     |
| Correction | ID# Phase ↓<br>Problem report number, Correction type <sup>2</sup> , Date began, Date ended, Computer resources used, Person resources used, # Instructions affected, # Pgs. documentation affected |

**ENGINEERING CHANGE ENTITY**

| Group Name | Attribute Names                                                                                                                                                      |
|------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Change     | ID# Phase ↓<br>Change type <sup>2</sup> , Change number, Date initiated, Date approved, Date completed, Person resources, Computer resources, Total cost, Change ID# |

<sup>1</sup> Value Sets are Available in Figure 4.8

<sup>2</sup> Value Sets are Data Independent

Figure 4.9 Complimentary Database

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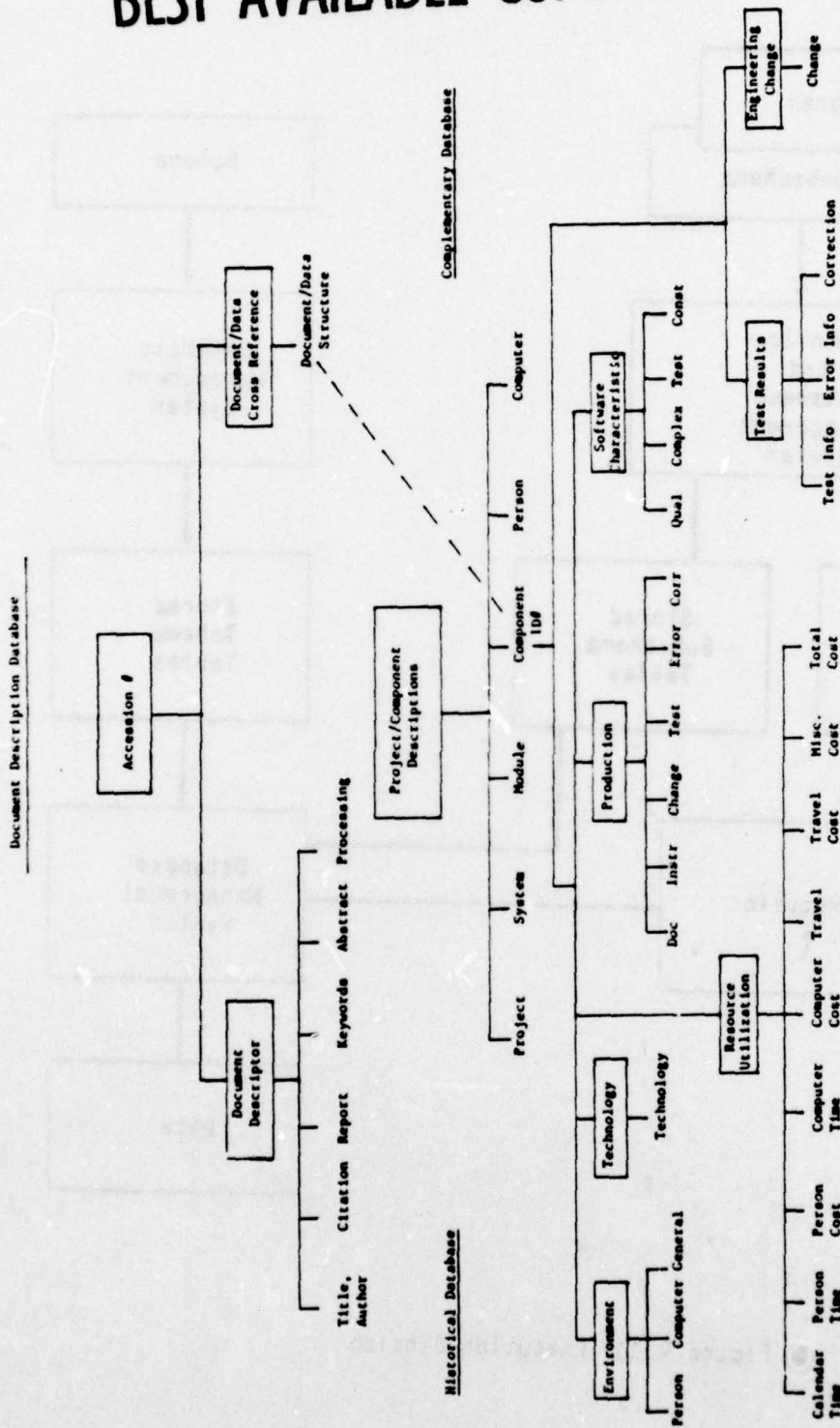


Figure 4.10 SDR Databases - Hierarchical Representation

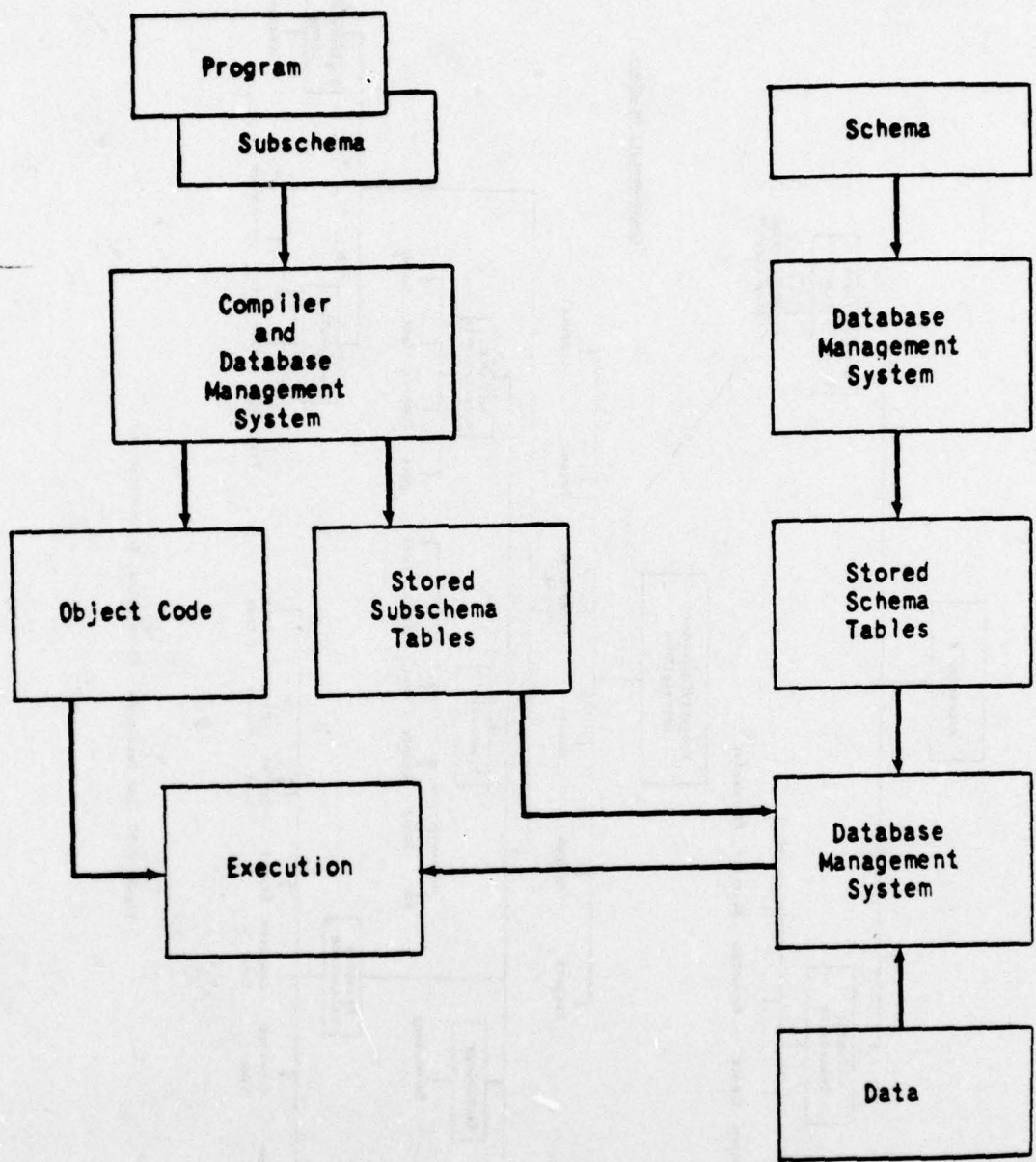


Figure 4.11 Execution Binding

## 5. System Considerations

### 5.1 Introduction

This section contains discussions on:

1. systems that automatically and manually collect software data,
2. data entry hardware devices that are available on the market for consideration as tools to transform hard copy data to computer-readable form,
3. alternative information system and database management system approaches, and
4. alternative approaches to document library automation

The reader who is only interested in the recommendations for the pilot facility would not lose the flavor of the repository if this section was skipped. It is expected that this section will be used more for reference purposes.

### 5.2 Data Collection Systems

The purpose of this subsection is to provide a general description and data applicability to the repository of seven representative systems that collect software experience data. The purpose of these systems vary and are described for each case. Table 5.1 contains an indication of the types of data each system provides.

#### 5.2.1 Management Data Collection and Reporting System

The Management Data Collection and Reporting System (MDC&R) is being developed by the IBM Federal Systems Division under contract to RADC. It was initially specified in the Structured Programming Series Reports and is part of the Program Support Library (PSL).<sup>043, 079, 087</sup> The purposes of this collection system are to provide management with information for project control and to improve our understanding of the software development process. They have identified 112 data items for manual and automatic collection.

They divide the data into two major classes, plan data and actual data. The plan data describes the characteristics of the project as originally planned, or as better estimates become available; the actual data describes the characteristics of the project as the development progresses. Each of these two major classes is further divided into five types: project environment, module, computer utilization, resource cost and program production.

Before explaining these five types, it is important to delineate their five levels for collecting data. The unit level is the lowest level for collecting data. The unit is a named subdivision of a program which can be treated as a single entity. The second level is the program. This is the lowest level that can be assembled (or compiled) and executed as

**Table 5.1**  
**Data Collection Systems**

| Entity/Group Name                     | System Name |       |     |      |        |      |      |
|---------------------------------------|-------------|-------|-----|------|--------|------|------|
|                                       | MDC&R       | SIMON | PET | ASES | IMPACT | RXVP | JAVS |
| <b>Project/Component Descriptions</b> |             |       |     |      |        |      |      |
| Project                               | 3           | 3     |     |      |        |      |      |
| System                                | 2           | 2     | 1   |      |        |      |      |
| Module                                | 2           | 2     | 1   | 1    | 2      | 2    | 2    |
| Component ID                          | 3           | 3     |     |      | 3      |      |      |
| Person                                | 3           | 1     |     |      | 3      |      |      |
| Computer                              | 2           |       |     |      | 1      |      |      |
| <b>Environment</b>                    |             |       |     |      |        |      |      |
| Person                                | 3           | 1     |     |      | 3      |      |      |
| Computer                              | 2           |       |     |      | 2      |      |      |
| General                               | 1           | 1     |     |      | 1      |      |      |
| <b>Technology</b>                     |             |       |     |      |        |      |      |
| Technology                            | 2           |       |     |      |        |      |      |
| <b>Resource Utilization</b>           |             |       |     |      |        |      |      |
| Calendar Time                         | 3           | 2     |     |      | 3      |      |      |
| Person Time                           | 3           | 2     |     |      | 3      |      |      |
| Person Cost                           | 3           |       |     |      | 2      |      |      |
| Computer Time                         | 3           | 3     |     |      | 3      |      |      |
| Computer Cost                         | 3           | 3     |     |      | 3      |      |      |
| Travel                                | 3           |       |     |      | 1      |      |      |
| Travel Cost                           | 3           |       |     |      | 2      |      |      |
| Misc. Cost                            | 3           |       |     |      | 3      |      |      |
| Total Cost                            | 3           | 3     |     |      | 3      |      |      |
| <b>Production</b>                     |             |       |     |      |        |      |      |
| Documentation                         | 2           |       |     |      | 2      |      |      |
| Instructions                          | 2           |       |     |      | 2      |      |      |
| Change                                | 2           |       |     |      | 3      |      |      |
| Test                                  | 2           |       | 2   |      | 3      |      |      |
| Error                                 | 2           | 1     |     |      | 3      |      |      |
| Corrections                           | 3           |       |     |      | 3      |      |      |
| <b>Software Characteristics</b>       |             |       |     |      |        |      |      |
| Quality                               |             |       |     |      |        |      |      |
| Complexity                            | 2           | 2     | 1   |      | 2      | 2    |      |
| Testing                               |             |       |     |      | 2      | 2    | 2    |
| Constituents                          | 2           | 2     | 1   | 1    | 1      | 3    | 3    |
| <b>Test Results (Detailed)</b>        |             |       |     |      |        |      |      |
| Test Information                      |             |       | 2   | 1    | 3      | 1    | 2    |
| Error Information                     |             |       |     |      | 3      |      |      |
| Correction                            |             |       |     |      | 3      |      |      |
| <b>Engineering Change</b>             |             |       |     |      |        |      |      |
| Change                                |             |       |     |      | 3      |      |      |

Key: 3 - Provides the majority of the data required  
 2 - Provides an adequate amount of the data  
 1 - Provides a minimal amount of data  
 Blank - No data is provided

a single entity. The third level is the computer job, where a job is made up of one or more job steps. A job step can be a compilation, utility run, etc. The fourth level is the subsystem, which is comprised of one or more programs, and represents the computer software that is developed for a project.

The project environment data provides a general description of the project and of the software system to be developed; and characteristics of the personnel and the customer. The module data describes the unit and the program in terms of dates, programming language, and name of programmer. The source code also constitutes part of the module data.

The computer utilization data describes the use of the computer, by job, in terms of computer turn around time. The resource cost data describes the costs, by subsystem, for materials, personnel, travel and computer.

The program production data describes the development of the programs and units in terms of number of compilations and changes; number of update executions; and the number and types of improvements made or errors corrected.

As illustrated in Table 5.1, the Management Data Collection and Reporting System provides a good portion of the kinds of data required for the SDR databases. It is lacking in its collection of detailed testing, error, and correction information but does provide some summarized testing and error data. Data on component descriptions, constraints and priorities, quality, and engineering changes are not available through the system but could be provided as supplemental information.

#### 5.2.2 Software Implementation Monitor

The Software Implementation Monitor (SIMON) is being developed by Mitre Corporation under contract to RADC. 021-023, 031, 085 The major purposes of this system are to provide for the systematic and consistent collection of data for research into factors affecting software quality and cost, and to provide technical and managerial visibility of the software development process.

They have defined 111 data items that should be collected during the development cycle. The data is divided into five major types: project, person, subsystem, module, and error-disc-information.

The project data describes the project as a whole; the person data describes the assignments of programmers to subsystems; the subsystem data describes the status of each subsystem in terms of resources used (persons, computer, etc.) and phases complete; the module data describes the program or unit of compilation in terms of complexity measures, program attributes, and status; and the error-disc-information describes the error history during development including number and types of discrepancies and errors by subsystem and total.

SIMON offers a viable approach to provide data to the SDR but it lacks in its collection of detailed testing, error and correction information although some summarized error data is provided. Data on component descriptions, personnel experience, constraints and priorities, technology and production are not available and only computer and total cost data is provided. All of the above, except for production data, could be provided as supplemental information.

### 5.2.3 Program Evaluation and Tester System

The Program Evaluation and Tester (PET) System is a test evaluation tool developed by McDonnell Douglas which automatically generates self-metric or self-measuring software from existing software.<sup>082,083</sup> Self-measuring refers to the ability to measure the thoroughness of testing to which a piece of software has been subjected by analyzing sensors that have been inserted into the software at selected points. Summary and detailed reports are produced that quantify the degree of testing to which a program has been subjected.

The types of data collected relate to the paths in a program that have or have not been tested. Although this system does not collect any cost or productivity data, it could be used in conjunction with other data collection systems to provide information on software validity.

### 5.2.4 Automated Software Evaluation System

The Automated Software Evaluation System (ASES)<sup>060-063</sup> was originally designed by the University of California at Berkeley under the sponsorship of the U.S. Army Safeguard System Evaluation Agency to assist in the development of large scale software. The system produces reports that provide a list of statements, cross reference listings, language constructs, warning messages and structural characteristics of the program. It provides a static analysis by producing lists of undefined labels, unreferenced labels, unreachable statements, statements with no successors within the program, and direct predecessors to all labelled statements. It provides for error detection related to semantics, language constructs and program structure.

The software data collected is similar to that generated by a compiler; therefore, the data collection capability is very limited. This limitation, together with the fact that the system was designed to operate for a specific programming system (CENTRAN) make it undesirable as a data collection tool for the SDR.

### 5.2.5 The Integrated Management, Project Analysis and Control Technique

The Integrated Management, Project Analysis and Control Technique (IMPACT) is a component of the Software Factory developed by System Development Corporation.<sup>011-013, 099</sup> The purpose of IMPACT is to assist the manager in evaluating project progress and spot potential difficulties and development trends.

IMPACT provides for the storage of schedule, resource allocation, personnel assignment, programs, requirements and milestone information. The data files for IMPACT are created and maintained by utilizing data coded onto forms called Task Milestone Worksheets. These worksheets comprise a variety of forms all of which are required to completely describe a project.

The first of the series of worksheets is called the IMPACT Information Item Definition Worksheet. This worksheet is used to record program module descriptions, milestone events, documents to be referenced or written, modifications to be processed and validation criteria for testing and specification reviews. The Module Task List Worksheet is used to record schedules, work units, resource allocation and responsibility assignment data. Also entered on this form is cross-reference information pointing to software documentation, milestones, module files, design change records and software tests and validation criteria.

The third Task Milestone Worksheet is called the Module Environment List. This form is used to record module, data and equipment interdependencies. This data is used to establish the hierarchical structure of the software system. The Task Configuration Worksheet is used to record tasks, subtasks, task interdependencies and formulas for estimating resource requirements per unit of work for the task. The Milestone Event and Software Certification Authentication Log is used to record milestone events, software test schedules, milestone completion dates and the name of the person who certified the milestone as having passed. This information is used to report software configuration status. The Modification Milestone Log is the sixth Task Milestone Worksheet. This form is used to record the processing steps to be followed for proposing, evaluation, approving, and implementing changes.

The last Task Milestone Worksheet is used to record personnel assignments. This data includes the employee name, person number, skill category, organization code, and for each work assignment, the module name, task name and charge number. The time expended on each task is added to the information when a task is completed.

In addition to the information mentioned above as manual input to the IMPACT data base, several production data items are captured automatically from compiler generated information. These data items include:

1. Number of compilations per module
2. Number of tests executed
3. Computer time expended on compilations or test
4. Module size (number of statements)
5. Cross reference information
6. Number of errors encountered

The combination of the manual and automatically collected data constitutes the information retained in the IMPACT database. The IMPACT database comprises the major portion of the project control data and is the major

source of information used by software development managers to monitor project activity.

IMPACT provides a large percentage of data required for the SDR databases. It is lacking the project and system descriptions including constraints, priorities and technologies used. However, these could be collected independently of the IMPACT System. In addition, more detailed configuration management data is collected than is required of the repository and therefore summarization is needed.

#### 5.2.6 RXVP Automated Verification System

The RXVP System was developed by General Research Corporation for further research aimed toward identifying and solving problems of software reliability and quality and evaluating automated tools which enhance software reliability. 050-052, 058 RXVP collects static and dynamic program behavior data by the insertion of software "probes" or "sensors" at each decision point in the Fortran program so the outcome of each decision can be recorded.

All of the data collected by RXVP is collected automatically. The data retained in the database is related to test results and module behavior during testing. The types of module data captured include:

- . name, number, type
- . number of statements, symbols, entries, paths, external modules referenced, paths (2 types), executable statement, branch executions
- . complexity measurements, symbol usage checks
- . essential paths whose execution is necessary to ensure complete testing coverage.

The RXVP data could form the basis of an entity within the Complementary Database for the calculation of complexity and quality measurements. However, because it is Fortran limited and there is no cost, productivity or project description data, this would be of limited utility unless these kinds of data had already been provided through other data collection systems.

#### 5.2.7 Jovial Automated Verification System

The Jovial Automated Verification System (JAVS) was developed by General Research Corporation under contract to RADC and is a similar system to RXVP operating on JOVIAL source code. 016, 017 JAVS analyzes the JOVIAL source code, assists the user in preparing test cases, and analyzes the results of tests.

As with the RXVP data, the JAVS data could be used in conjunction with supplemental cost and productivity data to perform studies on complexity and quality.

### 5.3 Data Entry Hardware Options

The data entry function is defined as those operations necessary to transform required information from its original form to a form most suitable for computer processing. This subsection presents a discussion of alternative hardware devices that assist in this transformation process.

The traditional mode for achieving the data entry function involves the presentation of the source data on an input document which is then read by an appropriately trained and equipped operator and transformed to computer-readable form (such as punched cards or tape, or magnetic tape or disk, etc.) by the entering of the information via some type of keyboard. The keyboard, device itself may be used as a stand-alone unit (i.e., keyboard, control and output are all self-contained), may be online to a host computer for interactive entry/response, or may be one of a multi-station installation (i.e., more than one keystation under the control of a single processor and centralized output storage).

#### 5.3.1 Keyboard Devices

The keypunch has been around for many years and will continue to play a significant role in the future in data entry procedures. However, new technological advances of the past few years allowing the relatively inexpensive replacement of hard-wired controller logic with state-of-the-art microprocessors and semi-conductor memories, coupled with cost reductions which have been effected for magnetic storage drives, now allow a number of other keyboard-to-storage approaches to be seriously considered as very viable alternatives.

For the relatively low volume card-oriented environment a buffered keypunch should be considered. At approximately \$170 per month, little more than the combined cost of an unbuffered keypunch and verifier, the capabilities of both can be combined into one unit, with the added benefit of significantly faster operation and normally many more allowable program formats.

Another alternative, occasionally justified, is the keyboard to punched tape device typified by the Teletype ASR 33, where data is entered onto punched tape in an offline mode and then transmitted over communications networks to a central computer.

A variety of stand-alone keyboard-to-magnetic storage devices may be used to store data onto computer-compatible magnetic tape, magnetic tape cassette or cartridge, or fixed or removable disk or diskettes. For these devices the stored data is conveyed to the central computer by the pooling onto a magnetic tape or disk and/or transmitting the consolidated information via data lines. These devices offer significant advantage over keypunch approaches in that record sizes are no longer limited to the 80- (or 96-) character constraint of a punched card, upper and lower case alphabets can be input to the system, the storage medium can be reused, and throughput is dramatically increased.

Stand-alone key/magnetic tape keystations cost on the order of \$150 to \$250 per month. However, at least one station must be equipped with a pooling and/or data communications capability which will add another \$250 to \$300 per month.

Because of their added reliability and simplicity of use, the key to cassette, cartridge, diskette, and disk units are currently in much higher demand than the key/magnetic tape devices. Key to disk devices start at about \$400 per month and the others at about \$150 to \$250 per month. As with the key to magnetic tape devices, however, at least one unit must have a pooling and/or data communications capability which may add another \$200 or \$300 per month.

Keyboard entry can also be effected through online interaction with a host computer. If this approach is used, online editing of the data as it is entered can be performed. However, there is increased operator-associated overhead resulting from timesharing response delays coupled with the added communications line costs if the central computer is not onsite.

If online data entry is elected, either hard- or soft-copy (e.g., CRT display) terminals can be employed for the data entry activities. Hard-copy terminals, in general, cost less than their soft-copy counterparts and offer the distinct advantage of a hard-copy record of all transactions. The soft-copy units, on the other hand, are generally more reliable and quiet, and besides not everyone needs a hard-copy record of all transactions from the terminal. Costs for hard-copy devices can range from under \$50 per month for the 10 character per second Teletype KSR 33 to about \$150 per month for a typical 30 character per second device. For about \$175 to \$200 per month plus a modem, a 120 cps device may be acquired.

Soft-copy units are primarily CRT displays but could also incorporate other techniques such as LEDs or plasma displays, or even an audible signal. Typical limited capability display devices can be acquired for about \$100 per month while those with more desirable features are generally available for about \$150 per month.

In many cases, the same hard- and soft-copy devices available as online data terminals are also available as integral parts of the keystations used in many key/storage devices.

For installations with substantial data entry volumes, multi-station key to storage devices should be given serious consideration. Such units are considerably more costly than stand-alone devices and require significantly more planning and preparation for their proper use. However, under the proper circumstances, the vastly enhanced operator productivity and system capabilities in the form of relaxed program format and block size restrictions and improved editing, verification, and validation capabilities, can make it all more than worthwhile.

Being a complete computer processing facility in miniature, multi-station key-entry systems come at a premium price, with typical costs running from about \$1,000 per month to an eight-station installation with minimal capabilities to about \$4,000 to \$5,000 per month for more elaborate capabilities and additional stations. The cost per station for the average eight key installation generally runs between \$130 and \$200.

### 5.3.2 Nonkeyboard Data Entry Procedures

In many instances, particularly where there is a high volume of data and there are trained operators in a controlled environment, the elimination of the keyboard entry step through the use of mark or character recording can be most effective. For such instances where the amount of information recorded on a single document is small, the use of mark recording may be very much in order. If the information requirements are such that the data entry document can be designed to be accommodated through the use of pencil marks in selected areas of the document, this technique could indeed be the best alternative.

If the mark sense form can be incorporated onto a punched card, costs for the required mark scanner are relatively low - typically running at about \$250 per month. Because of the added complexity of the document feeding mechanism, scanners for other sizes of marked documents are somewhat more expensive, typically running in excess of \$1,000 per month. Such mark readers can be used offline, recording onto magnetic storage, usually tape, or else directly online to a remote job entry (RJE) terminal or a host computer.

Where remotely-generated information must be processed in very high volume, the use of machine character recognition techniques may be in order. While devices do exist for directly processing handwritten characters, their high cost coupled with the prevailing high rejection rates for such documents except for very strictly constrained circumstances, precludes their serious consideration at this time.

On the other hand, many successful applications have been affected with the use of automatic character recognition techniques using highly stylized character fonts. A number of such fonts have been standardized in the industry and there are a variety of page and document readers available to directly generate the required digitized information from such documents. Typical optical character scanners for the stylized fonts can be installed for upwards from \$1,500 per month while those recognizing limited handprinted information usually cost in excess of about \$2,500 per month.

Another viable option for large volume installations is the use of a so-called mixed media system which combines an optical character recognition (OCR) or optical mark recognition (OMR) reader with a multi-station key to storage system. This approach allows source data to originate from both traditional input forms and from scannable forms as is most appropriate. It also affords improved error correction facilities for resolving unrecognized character problems with OCR processing.

### 5.3.3 Future Trends

It has been estimated\* that at the end of 1974, the total U.S. installed base of data entry/communications facilities amounted to about 840,500 units, about half of which were buffered and unbuffered keypunching devices. However, the same source which predicts a 15 percent annual growth in keyboard based installations through 1979, predicts the following growth factors by 1979 as a multiple of 1974 installations:

- . Multi-station keyboard to storage devices -- Up 250 times
- . Intelligent terminals -- Up 7.5 times
- . Soft-copy editing and conversational terminals -- Up 3.4 times
- . Hard-copy editing and conversational terminals -- Up 1.2 times
- . Stand-alone key to disk storage devices -- Up 1.3 times
- . Keypunches -- Down 1.4 times
- . Stand-alone key to tape devices -- Down 7.7 times

There are presently some 3000 to 4000 OCR units and an unknown number of OMR units installed, OCR has not grown to the extent that was predicted in the 1960s when the first feasible system was developed. Unless procedures in the area of error recognition are vastly improved and/or more effective handwritten character readers are evolved, growth in the use of this approach will probably continue to be stunted.

OMR techniques are very effective in highly constrained and specialized situations. Modest growth in their use is probably to be expected.

As can be seen by the chart above, however, the most spectacular growth is expected to take place in the use of multi-station key/storage devices, with 250 keystations in use by 1979 for every one that was around in 1974.

Use of soft-copy CRT display terminals, presumably for real time application, will more than triple while hard-copy conversational terminals and stand-alone keyboard to disk and diskette units will show only modest gains through 1979.

As more installations switch to the newer techniques for key-entry the actual keypunch population will decline about 30 percent by 1979.

By far the biggest losers, however, are expected to be the stand-alone key to tape devices, with a decline of about 87 percent in installed keystations for the five-year period from 1974. As indicated earlier in this report, actual sales of key to compatible tape devices have been steadily declining since the early 1970s in favor of the easier to use alternatives offered by the key to cassette or cartridge or key to disk

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\* EDP Industry Report, published by International Data Corp., Waltham, Mass., 1975.

or diskette approaches in recent years will most certainly have a major impact on the key/cassette and key/cartridge market. After all, the diskettes are a thousand times faster (in search mode) than cassettes or cartridges, contain about the same amount of data and cost roughly the same.

These figures are also borne out by a spring 1975 Datapro survey regarding site intentions to change data entry facilities. Over half (52 percent) of the keypunch facilities and key to cassette facilities (58 percent) and two thirds of the key to compatible tape facilities (64 percent) plan to replace their devices in the immediate future, while only about 18 percent of the key to disk and key to diskette facilities contemplate any near-term changes. The actual intention figures, in percent, are shown below:

| Users of the Following Types of Devices | Plan to Change to the Following Types of Devices |          |              |                        |       |
|-----------------------------------------|--------------------------------------------------|----------|--------------|------------------------|-------|
|                                         | Online                                           | Key/Tape | Key/Diskette | Multi-Station Key/Disk | Other |
| Keypunches                              | 17                                               | 4        | 12           | 26                     | 2     |
| Key/Tape                                | 30                                               | -        | 16           | 18                     | 2     |
| Key/Cassette                            | 0                                                | 0        | 42           | 8                      | 8     |
| Key/Diskette                            | 11                                               | 0        | --           | 4                      | 4     |
| Milti-Station Key/Disk                  | 13                                               | 0        | 1            | --                     | 4     |

Another notable future trend is the further blending of many of the individually defined data-entry techniques for individual applications. By 1979 the categories of data entry devices as defined in this report will probably no longer hold. The advent of mixed-media systems and multi-station systems with remote data entry capabilities were briefly discussed in this report. To this must be added the evolving use of such facilities for remote batch entry, for accommodating sort and report generation, as well as other sophisticated software capabilities, and the ability of multi-station CPUs to communicate among themselves as well as with Touchtone telephones.

#### 5.3.4 User Guidelines

While there are obviously no hard and fast rules of thumb in planning for data entry needs, in view of the technological discussions contained in the earlier sections of this report, and the future industry expectations as discussed in the preseding subsection, a number of comments can be made, most of which are self-evident:

- As with any computer system, total system costs for the data entry capabilities should be taken into account -- not just hardware device costs. Such costs above and beyond the hardware include:

- personnel (operator and clerical support)
- training
- media handling and storage
- office space utilization
- data conversions
- back-up procedures
- computer preprocessing time
- software programming

- Except for very small volume situations, unbuffered keypunches should normally be rejected in favor of other alternatives.
- Because of the obsolete media and technologies incorporated, key to punched tape and key to compatible magnetic tape entry devices should not normally be considered.
- Where key to diskette or key to disk pack facilities are cost justified, they should always be given greater consideration over key to cassette devices unless the application is limited to data capture and low volume editing.
- In general, all other things being equal, multi-station key to storage data entry devices or optical character recognition techniques are best suited for installations with high data entry volumes, while other stand-alone key to storage devices are more appropriate for lower volume installations.

For further guidance, the reader is referred to the matrix in Table 5.2.

#### 5.4 Information System Alternatives

There are three basic hardware alternatives for fulfilling the general processing requirements for the software data repository. They are the utilization of:

1. The RADC Computer Center
2. Off-site facilities
3. A dedicated mini-computer

Below is a discussion of each of these alternatives highlighting the database management system availability. It is assumed that the alternatives have operational system software including an operating system, high order language compilers, and a set of utility programs.

Table 5. 2  
Data Entry Options

|                                                          | Keypunch/Key Verifier                  | Buffered Keypunches | Stand-Alone Key to Tape Devices | Stand-Alone Key to Cassette/Cartridge | Stand-Alone Key to Diskette Devices | Hard-Copy Teletypewriter Terminals | Soft-Copy Display Terminals | Multi-station Key to Disk Devices | Mixed Media Devices | Mark Sensing, OMR Devices | Optical Character Recognition Devices | Source Data Automation: POS, Data Coll. Term/, Tablets, etc. |
|----------------------------------------------------------|----------------------------------------|---------------------|---------------------------------|---------------------------------------|-------------------------------------|------------------------------------|-----------------------------|-----------------------------------|---------------------|---------------------------|---------------------------------------|--------------------------------------------------------------|
| <b>KEY</b>                                               |                                        |                     |                                 |                                       |                                     |                                    |                             |                                   |                     |                           |                                       |                                                              |
| XX                                                       | Not normally possible                  |                     |                                 |                                       |                                     |                                    |                             |                                   |                     |                           |                                       |                                                              |
| X                                                        | Normally possible but poor application |                     |                                 |                                       |                                     |                                    |                             |                                   |                     |                           |                                       |                                                              |
| O                                                        | Possible                               |                     |                                 |                                       |                                     |                                    |                             |                                   |                     |                           |                                       |                                                              |
| ●                                                        | Good use                               |                     |                                 |                                       |                                     |                                    |                             |                                   |                     |                           |                                       |                                                              |
| Record Volume < 200,000 Records/month                    | O                                      | O                   | O                               | O                                     | O                                   | O                                  | O                           | X                                 | X                   | O                         | X                                     | O                                                            |
| Record Volume > 200,000 records/month                    | X                                      | X                   | O                               | O                                     | O                                   | X                                  | X                           | ●                                 | O                   | O                         | ●                                     | O                                                            |
| Number of Characters/typical record > 80                 | XX                                     | XX                  | O                               | O                                     | O                                   | O                                  | X                           | O                                 | O                   | O                         | O                                     | O                                                            |
| Number of Format Levels/typical job between 2 & 5        | XX                                     | O                   | X                               | O                                     | O                                   | X                                  | X                           | O                                 | O                   | O                         | O                                     | O                                                            |
| Number of Format Levels/typical job > 5                  | XX                                     | X                   | X                               | X                                     | O                                   | X                                  | X                           | O                                 | O                   | O                         | O                                     | O                                                            |
| Character Range: Numbers Only                            | O                                      | O                   | O                               | O                                     | O                                   | O                                  | O                           | O                                 | O                   | ●                         | O                                     | O                                                            |
| Character Range: Standard Alphanumeric                   | O                                      | O                   | O                               | O                                     | O                                   | O                                  | O                           | O                                 | O                   | X                         | O                                     | O                                                            |
| Character Range: Extended Requirements - APL, lower case | XX                                     | XX                  | O                               | O                                     | O                                   | O                                  | O                           | O                                 | O                   | XX                        | X                                     | X                                                            |
| Possible to Capture Data at Source                       | X                                      | X                   | X                               | O                                     | O                                   | O                                  | O                           | X                                 | O                   | O                         | O                                     | ●                                                            |
| Can Accomodate Forms & Procedures for OMR or OCR         | X                                      | X                   | X                               | X                                     | X                                   | X                                  | X                           | X                                 | O                   | ●                         | ●                                     | O                                                            |
| Data Must be Entered with Minimal Delay                  | O                                      | O                   | O                               | O                                     | O                                   | O                                  | O                           | O                                 | O                   | X                         | X                                     | O                                                            |

Table 5.2  
Data Entry Options (cont'd)

|                                                                                                          | Keypunch/Key Verifier | Buffered Keypunches | Stand-Alone Key to Tape Devices | Stand-Alone Key to Cassette/Cartridge | Stand-Alone Key to Diskette Devices | Hard-Copy Teletypewriter Terminals | Soft-Copy Display Terminals | Multi-station Key to Disk Devices | Mixed Media Devices | Mark Sensing, OMR Devices | Optical Character Recognition Devices | Source Data Automation: FOS, Data Coll. Term., Tablets, etc. |
|----------------------------------------------------------------------------------------------------------|-----------------------|---------------------|---------------------------------|---------------------------------------|-------------------------------------|------------------------------------|-----------------------------|-----------------------------------|---------------------|---------------------------|---------------------------------------|--------------------------------------------------------------|
| Local Host Computer Supports Interactive Terminals                                                       | X                     | X                   | X                               | X                                     | X                                   | ●                                  | ●                           | ○                                 | X                   | ○                         | X                                     | ○                                                            |
| Hard Copy Required on Data Entry                                                                         | ○                     | ○                   | ○                               | ○                                     | ○                                   | ○                                  | X                           | ○                                 | ○                   | ○                         | ○                                     | ○                                                            |
| Strong Editing Procedures Unavailable or Subsequent Detection of Errors by Central Computer Unacceptable | XX                    | XX                  | X                               | X                                     | ○                                   | ○                                  | ○                           | ●                                 | ○                   | X                         | X                                     | X                                                            |
| Rapid and Systematic Search of Recorded Data Records Required                                            | XX                    | XX                  | ○                               | ○                                     | ●                                   | ○                                  | ○                           | ●                                 | ○                   | X                         | X                                     | ○                                                            |

#### 5.4.1 The RADC Computer Center

The Information Sciences (IS) Division of RADC is currently maintaining two Honeywell 6180 computer systems to provide services for various computational needs at RADC. The GCOS and Multics Operating Systems are available.

Seven database management systems have been examined for possible use by the SDR. The system characteristics of each are summarized in Table 5.3 including the designation of the appropriate operating system, the organization responsible for maintaining the system at RADC, and an indication of whether its strengths are in the area of self-contained capabilities or host language capabilities. Below is a list of the major characteristics for each system. The current number of users is classified into four categories. None means that there are no current users, small implies less than 10 users, medium implies that there are between 10 and 50 users, and large means that the system is used by over 50 users.

For a definition of terms used below, see Appendix A.

##### DM-1 Characteristics 210, 220

- . Data structure-Hierarchical (partially inverted)
- . Host language
- . Self-contained
- . Number of users - None
- . Special Features - Designed to provide data independence features.

##### JANUS Characteristics 218, 219, 223

- . Data structure - Relational
- . No host language
- . Self-contained
- . Number of users - Small
- . Special Features - Extensive statistical analysis package.

##### I-D-S Characteristics 214, 215

- . Data Structure - Network
- . Host language
- . Self-contained (limited)
- . Number of users - Large
- . Special Features - I-D-S/II consistent with the CODASYL/DDCL 73. <sup>205</sup>

##### MDQS Characteristics 212, 213

- . Data Structure - Network, Hierarchical, Singular
- . No host language
- . Self-contained
- . Number of users - Medium
- . Special Features - Commercial version of the World Wide Data Man-

Table 5.3

Database Management System Characteristics

| Database Management System                 | Operating System | Maintenance | On-Site Maintenance | DBM Type       |
|--------------------------------------------|------------------|-------------|---------------------|----------------|
| Data Manager - 1 (DM-1)                    | GCOS             | --          | --                  | Host Language  |
| JANUS                                      | Multics          | MIT         | --                  | Self-contained |
| Integrated Data Store (I-D-S)              | GCOS             | HIS         | HIS                 | Host Language  |
| Management Data Query System (MDQS)        | GCOS             | HIS         | HIS                 | Self-contained |
| Force Management Information System (FMIS) | GCOS             | SAC         | --                  | Self-contained |
| Multics Relational Data Store (MRDS)*      | Multics          | HIS         | --                  | Host Language  |
| Multics Integrated Data Store (MIDS)*      | Multics          | HIS         | --                  | Host Language  |

\* These Systems are new Multics releases and their capability has not yet been established

agement System (WWDMS). Interfaces with I-D-S files.

FMS Characteristics 226

- . Data structure - Fully inverted
- . No host language
- . Self-contained
- . Number of users - Small
- . Special features - Efficient retrieval capabilities.

MRDS Characteristics 217

- . Data structure - Hierarchical, Network, Relational
- . Host language
- . No self-contained
- . Number of users - Small or none
- . Special features - A function within the Multics Data Base Manager (MDBM).

MIDS Characteristics 217

- . Data structure - Hierarchical, Network
- . Host language
- . No self-contained
- . Number of users - Small or none
- . Special features - A function within the Multics Data Base Manager (MDBM).

#### 5.4.2 Off-Site Facilities

Services can be purchased from commercial data centers, from installations connected to the ARPANET, and from commercial networks. This alternative offers a wide variety of possibilities through the many different hardware/software services available.

Attached to the ARPANET are a number of major large scale computers including IBM, CDC, DEC and Honeywell computers. Other network services offer a variety of processing capabilities. Some of the commercial network possibilities are:

- INFONET  
Computer Sciences Corporation
- DATANETWORK  
Honeywell Information System
- BCS NETWORK  
Boeing Computer Services
- UNA  
Utility Network of America
- TYMNET  
Tymshare, Inc.

The database management system alternatives are greatly expanded when considering to use one of the network services. Some of the better known DBMS are TOTAL, ADABAS, IMS, SYSTEM 2000 AND IDMS (Table 5.4) The basic capabilities are listed below.<sup>230</sup>

#### TOTAL Characteristics

- . Data Structure - Network
- . Host language
- . No self-contained
- . Number of users - Large

#### ADABAS Characteristics

- . Data Structure - Network (partially inverted)
- . Host language
- . Self-contained
- . Number of users - Large

#### IMS Characteristics

- . Data structure - Hierarchical
- . Host language
- . Self-contained
- . Number of users - Large

#### SYSTEM 2000 Characteristics

- . Data structure - Hierarchical (partially inverted)
- . Host language
- . Self-contained
- . Number of users - Large

#### IDMS Characteristics

- . Data structure - Hierarchical, Network
- . Host language
- . No self-contained
- . Number of users - Large

The cost to purchase these database management systems vary from \$30,000 for a basic TOTAL System to \$120,000 for ADABAS with full capability. However, if these systems have been purchased by the commercial network vendors themselves, the cost is distributed depending upon use.

The cost of service for both the hardware and software from these commercial networks varies with usage. The major cost elements are for on-line database storage, CPU and I/O usage, local data entry and remote batch terminals, and communication services. A gross estimate for a pilot facility based on previous projects offers a cost range of \$8,000 to \$19,000 per month.<sup>114</sup>

Table 5-4  
Commercial Database Management Systems

| Identification                        | Acronym         | Computer Hardware System                                                                                                                      | Operating System                                                                 | Originators                                                   | Initial Release Data |
|---------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|---------------------------------------------------------------|----------------------|
| TOTAL                                 | TOTAL           | IBM 360 and 370<br>Honeywell Series 200 and 2000<br>Univac Series 70 and 9400/9700<br>MCR Century Series<br>IBM System 3;<br>CDC Cyber Series | DOS, DOS/VS, OS, VS1 and VS2<br>Mod 1 (MSR), Mod.2, and OS/2C00<br>TDOOS and DOS | Cincom Systems<br>Inc.                                        | Early 1969           |
| Adaptable Data Base System            | ADABAS          | IBM 360, 370<br>or on an IBM-like Univac 9000<br>Siemens 4004                                                                                 | DOS, OS, OS/VS<br>Disk Operating System (PBS for Siemens)                        | Software AG                                                   | 1974 January         |
| Information Management System         | IMS/VS<br>IMS-2 | IBM 370<br>IBM 360, 370                                                                                                                       | OS/VS1, OS/VS2<br>OS/VS, OS/VS1, OS/VS2                                          | International Business Machines<br>North American<br>Rockwell | 1969 September       |
| System 2000                           | System 2000     | IBM360, 370<br>Univac 1105, 1108, 1110<br>CDC 6000 or Cyber 70                                                                                | OS, OS/VS<br>EXEC B<br>SCORE, KRONOS                                             | MRI Systems Corporation                                       | 1970 July            |
| Integrated Database Management System | IDMS            | IBM 360, 370<br>Univac Series 70                                                                                                              | DOS, OS, OS/VS<br>TDOOS and DOS                                                  | Cullinane Corporation                                         | 1973 May             |

An estimate using Boeing Computer Services and the IDMS database management on a surcharge basis was developed. The assumptions made were that the application programs would occupy less than 100K bytes of primary storage, there would be 500 retrieval and update transactions per day, approximately 80 million characters of online storage would be required, and an estimated thirty (30) minutes per day of CPU time would be required for this level of activity. The total monthly cost estimate was \$16,500 and was broken down as follows:

|                                |          |
|--------------------------------|----------|
| CPU and I/C Service            | \$ 6,000 |
| IDMS Surcharge                 | 2,400    |
| One 3330 Spindle               | 1,300    |
| Four dedicated ports           | 2,000    |
| Low speed terminals (6)        | 550      |
| Low speed terminal maintenance | 150      |
| RJE terminal and supplies      | 1,100    |
| Communication service          | 3,000    |

#### 5.4.3 A Dedicated Mini-Computer

The third alternative is the use of a dedicated mini-computer to perform the information processing for the SDR. Some mini-computer systems offer a subset of database management capabilities as discussed previously. These systems are termed file management systems and provide the capabilities for data entry and query, but have limited capabilities for database structuring and maintenance.

The following is a list of mini-computer systems that provide database management or file management capabilities.<sup>114</sup>

- Data General ECLIPSE
- General Automation 18/30
- Honeywell 60/62
- IBM System 3
- Hewlett Packard 3000
- Varian 75
- DEC PDP 11

A cost comparison for three mini-computer systems is provided in Table 5.5. The hardware/software configuration for each is listed below:

#### Varian 75/Vortex II/Total

- . 128K words parity core memory
- . Hardware floating point
- . 16 line data communication multiplexor
- . 93 million byte disk
- . 75 ips, 800 bpi magnetic tape unit
- . 800 lpm electrostatic printer/plotter
- . Multiuser operating system
- . TOTAL Database management system

Table 5.5  
Mini-Computer Cost Comparison

| <u>Equipment</u>                                   | <u>VARIAN</u>    |                  | <u>HP 3000</u>   |                  | <u>PDP 11/70</u> |                  |
|----------------------------------------------------|------------------|------------------|------------------|------------------|------------------|------------------|
|                                                    | <u>Purchase</u>  | <u>Per Month</u> | <u>Purchase</u>  | <u>Per Month</u> | <u>Purchase</u>  | <u>Per Month</u> |
| Hardware and Peripherals                           | \$129,200        | \$ 3,590         | \$155,500        | \$ 5,430         | \$164,800        | \$ 4,580         |
| Software and Firmware<br>(Operating System & DBMS) | 10,800           | 300              | 16,000           | 445              | 25,600           | 710              |
| 6 User Terminals<br>(up to 9600 baud)              | 18,000           | 500              | 18,000           | 500              | 18,000           | 500              |
| Site preparation                                   | 5,400            | 150              | 5,400            | 150              | 5,400            | 150              |
| Maintenance                                        | --               | 2,000            | --               | 2,000            | --               | 2,000            |
| Supplies                                           | --               | 300              | --               | 300              | --               | 300              |
| <b>Total Cost</b>                                  | <b>\$163,400</b> | <b>\$ 6,690</b>  | <b>\$234,900</b> | <b>\$ 8,825</b>  | <b>\$213,800</b> | <b>\$ 8,240</b>  |

#### HP 3000/MPE/IMAGE

- . Model HP 32402C
- . 128 K byte parity core memory
- . Two 47 million byte disks
- . 15 million byte system disk
- . Scientific and Commercial Firmware
- . 16 line multiplexor
- . 500 lpm electrostatic printer plotter
- . 45 ips, 800 bpi magnetic tape unit
- . Multi-user operating system
- . IMAGE/QUERY Database management system

#### PDP 11/70-IAS-IDMS

- . Model 11/70 HA
- . 128 K word parity core memory
- . 512 K word swapping disk
- . 88 million byte disk unit
- . hardware floating point
- . 45 ips, 1600 bpi tape unit
- . 500 lpm electrostatic printer plotter
- . 16 line multiplexor
- . Multiuser operating system
- . IDMS Database management system

#### 5.5 Document Library Implementation Considerations

The previous sections assumed that the description of the documents would be stored in a computerized database (The Document Description Database). However, there are alternate methods for handling this information, their effectiveness dependent upon the size and utilization of the Library.

The primary need of the software document library is one common to all libraries - the need for an efficient storage and retrieval system.

In order to provide optimal satisfaction of users' research needs, any library requires:

1. A means of selecting documents containing information relevant to the purpose of its users.
2. A means of describing (indexing) such documents in an accurate consistent manner so that they can be subsequently retrieved upon the user's request.
3. Convenient storage and accessibility to both the documents and the indexes describing these documents.
4. The ability to manipulate indexed information for search and retrieval.

5. Some form of meaningful, organized output to describe the results of a search.

The Defense Documentation Center (DDC) provides a bibliographic service to eligible users through their Technical Report (TR) Program. The types of documents included in this program are the formally documented scientific and technical results of Defense-sponsored research, development, test and evaluation.

The Technical Report Program is a major source for documents related to Defense-sponsored projects in software engineering. To supplement these reports, other bibliographic services (e.g. ACM Computing Reviews) should be used.

The DDC reports are assigned an AD (Accessioned Document) number and are categorized into a two-level arrangement consisting of 22 major subject fields, with a further subdivision of 188 related subject groups. The subject groups related to software engineering can be further subdivided to reflect the special level of indexing needed for the repository.

Certain procedures are common to document processing within any storage and retrieval system regardless of whether it is a manual, semi-automatic or computer-oriented system. These procedures include the evaluation, description (indexing) and storage of documents. Procedures for the input, storage and manipulation of descriptive information, however, vary depending upon the particular system being employed. These procedures are described within the context of each system as it is discussed.

#### 5.5.1 Manual Methods

Information listed on the Document Description Form (Figure 3.2) are transcribed to a series of 5x8 index cards. Several index files are established using this information. The primary files created are:

1. a complete bibliography - in the form of an abstract reference card. This card contains all the information found in the record and is filed numerically by accession number.
2. personal author and corporate author files - these cards lists author, title, source and date of publication and document accession number. These cards are filed alphabetically according to the author's surname or the corporate name.
3. a subject file - this file is set up in one of two ways:
  - a. a keyword is listed as subject heading, followed by the author, title, source and date of publication, and the document accession number. If a document contains information covering more than one subject, a separate card is prepared for each keyword used. These cards are filed alphabetically by subject heading.

- b. one card is prepared for each subject term in the index. On this card is listed only the accession numbers of all documents dealing with that particular subject. These cards are filed alphabetically by subject terms.

Manipulation and retrieval of indexed information becomes a difficult and inaccurate process as the size of the file and the number of search requests increase. A manual system becomes inadequate when documents must be searched with a combination of index terms. Each index term is searched individually, pulled from the file and then crossmatched against the other search terms. This type of manipulation increases the possibility for human error, especially in missing matches. The index list and bibliographic information is then typed in the form of a search report.

A manual system requires much typing and duplication of information. In addition to at least four different index cards for each document, all bibliographic and index lists would have to be typed by clerical personnel. These files are periodically updated to reflect current file status. Again, this requires considerable amount of retyping material already found in the file.

A manual system is recommended only when the document collection is comparatively small, and the search requests are few in number and not exceedingly specific.

#### 5.5.2 Semi-Automated Methods

The semi-automated system differs from the manual system in its handling of the subject terms. An inverted-term matrix retrieval system is used for the subject terms.

An inverted-term matrix retrieval system involves the preparation of a file record for each concept of term to be indexed. The system used by the Reliability Analysis Center employs an 8-1/2 by 11 inch card (file record) for recording each concept. There are 10,000 possible items (documents) to which accession numbers are assigned. The preparation of one such concept card actually amounts to performing one complete search of the file. A request for the documents pertaining to a particular concept (i.e., cost estimation, reliability, modeling, etc.) is answered by listing the document accession number for which holes are drilled on the particular concept card. The great power of the method, however, lies in the ability to combine concepts and immediately read out the accession numbers pertaining to such combinations.

The advantages of this system are versatility, availability of convenient and inexpensive equipment, and savings in index scanning time resulting from the inverted nature of the index record.

The most important feature of the inverted-term-matrix indexing system is the versatility which it gives the indexer. This versatility arises from the fact that the indexer need not foresee all the possible

combination of concepts which will be of interest to the inquirers. New concepts can be added to the index merely by adding new concept records. Old concepts can be easily modified or changed by eliminating from the file only those concept records which are involved. The system can even be used to find items which do not include a specific concept.

The disadvantages of this system are some of the same as those of the manual system. As there is still a need for, at a minimum, a bibliography file and a personal author file, there is the requirement of typing duplicate information.

### 5.5.3 Computer-Readable Methods

The information listed on the Document Description Form is keystocked and entered into the database. Although information storage and retrieval requirements do not require the full power of a database management system, the capabilities of defining updating and querying the database can be effectively utilized.

The output capabilities are greatly enhanced. In addition to the generation of a bibliography and reports on the results of literature searches, the following special reports can be produced:

- KWIC (Key Words in Context)

In this report, significant words in each title are permitted and listed alphabetically so that each term serves as an access point to the particular document in which it appears.

- KWOC (Key Words Out of Context)

This reports lists key words assigned to each document and cross-references each keyword to its document title. This index is quite useful in determining document content, since titles are often vague or do not always list all types which are covered in the document.

The advantages of a computer-readable system are:

- The ability to manipulate any number of index terms at one time, thereby providing depth and specificity which enhances the retrieval capabilities of the system
- The elimination of large amounts of time and repetitive clerical work involved in the generation of lists and creation of file cards
- The ability to provide the user with organized, meaningful reports which describe the results of a literature search
- The ability to generate a bibliography by automated means
- The ability to handle large volumes of information in a relatively short time

The disadvantages of a computer readable system are:

- The need for more highly qualified people to perform the task of data entry and simple retrievals
- Cost of utilizing the computer system
- Dependency on the availability of the computer system

#### 5.5.4 Distribution Considerations

An important factor to consider when designing a document library is the level of document dissemination. The library can be a lending library, a distribution center, a reference library, or a combination of the above. Factors to consider are copyright permissions, proprietary and security needs, and the costs of reproduction and shipping.

## 6. Software Data Repository Design

### 6.1 Introduction

The functional model as presented in Figure 2.1 is the functional model of all the stages within the software data repository life cycle. As the repository evolves, it is expected that the information content of the data will change, the data collection, input processing, report production, consulting services, and the engineering and data analysis processes will expand, and that a greater quantity and a better quality of outputs will result.

A subset of the services and outputs described in Section 2 shall be provided through the implementation of a pilot facility. This pilot facility should be developed so that continuous services be provided to the software development community as the pilot facility expands into a fully operational center.

This section presents the design for the Software Data Repository including the development and operation of a pilot facility and the expansion to a fully operational center.

### 6.2 Scope of the Pilot Facility

It is recommended that the Software Data Repository pilot facility be established as a separate contractor operated function and be administered and guided by the Rome Air Development Center (RADC), U.S. Air Force. The facility should be located in Rome, New York and utilize the computer systems available at RADC.

#### 6.2.1 Source Data and Documents

The two major classes of information that form the inputs to the pilot facility shall consist of production/development data and textual information. The production/development data shall provide experience information on developing and maintaining computer software. The major sources of this data for the pilot facility include datasets that RADC has contracted to purchase and data that is acquired during the development and operation of the pilot facility.

The five known production/development dataset sources and their characteristics are listed in Table 6.1. The first three sources provide detailed testing and error data while the third source also provides some environment and resource utilization data. The last two sources provide summarized testing and error data in addition to environment, resource utilization, and production data.

The second class of information for the pilot facility shall consist of textual or expository information directly related to improving the process of designing, developing, testing and maintaining computer software. These documents include research and development reports, technical and journal articles, handbooks, conference proceedings, and specifications and standards.

Table 6.1  
Production/Development Information Sources

| Attributes                                        | SOURCE                                |                                                                                                                                                                                            |                                                                                        |                                          |                                 |
|---------------------------------------------------|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------|---------------------------------|
|                                                   | I                                     | II                                                                                                                                                                                         | III                                                                                    | IV                                       | V                               |
| Generic Name                                      | Software Reliability Study            | Data Acquisition                                                                                                                                                                           | Modern Programming Practices                                                           | Management Data Collection and Reporting | Software Implementation Monitor |
| Abbreviation                                      | SRS                                   | DA                                                                                                                                                                                         | MPP                                                                                    | MDC+R                                    | SIMOM                           |
| Company/Agency/Program Contract#/job order number | TRM (Project III)<br>F30602-74-C-0036 | Raytheon (SAM-D)<br>F30602-76-C-0140<br>Boeing (B-1)<br>F30602-76-C-0140<br>Draper Labs (Apollo)<br>F30602-76-C-0151<br>IBM (Safeguard)<br>F30602-76-C-0161<br>IBM (PSL)<br>F30602-76-0016 | SAITEC<br>JON 5550 0847<br>Pave Paws<br>JON 5550 0847<br>IBM (PSL)<br>F30602-76-C-0016 | MMCC6 sites                              | Mitre                           |

The major sources for this information are:

- A) Government reports relating to software engineering
  - Unclassified, unlimited distribution  
National Technical Information Service (NTIS)
  - Classified or limited distribution  
Defense Documentation Center (DDC)

NTIS is an agency of the U.S. Department of Commerce and is a central source for the public sale of Government-sponsored research, development and engineering reports and other analyses prepared by Federal agencies, their contractors or grantees.

DDC is the clearing house for the Defense Department's collection of research and development in virtually all fields of science and technology. Research and development activities within the U.S. Government and their associated contractors, subcontractors, and grantees, with current Government contracts, are eligible to receive most of the information from the DoD data banks located at DDC.

- B) DoD software development specifications and standards
  - Naval Publications and Forms Center

All documents listed in the DoD Index of Specifications and Standards can be ordered from the Naval Publications and Forms Center. This DoD Index includes unclassified Federal, Military and Departmental specifications, standards, and related standardization documents, and those Industry documents which have been coordinated for DoD use.

- C) All other documents related to software engineering
  - Author or Publisher

It is estimated that the number of textual documents for the pilot facility will be in the range of 2,500 to 3,500.

From the textual and production/development inputs, the Document Description Database, the Historical Database, and the Complementary Databases shall be generated.

#### 6.2.2 Document Description Database

This database shall contain the information pertinent to describing the characteristics of the documents and the information necessary to retrieve the production/development data stored in the other two databases.

Approximately 1.5 million characters (without abstracts) are required for the database. If the abstracts were also included in the database, there would be an additional requirement of 3 million characters.

### 6.2.3 Historical Database

The Historical Database shall contain summarized information from the production/development reports. Approximately 1.4 million characters are required for the known production/development datasets (Table 6.2). This is expected to grow to approximately 3 million characters during the development and operation of the pilot facility.

### 6.2.4 Complementary Database

The Complementary Database shall contain detailed information from the production/development reports. Approximately 6.4 million characters are required for the known production/development datasets (Table 6.2). This is expected to grow to approximately 10 million characters during the development and operation of the pilot facility.

### 6.2.5 Output Types

The major pilot facility output types are briefly described in this subsection.

1. Historical and Complementary Database access  
Access to these two databases shall be available to RADC and facility personnel.
2. Data Subscription  
Computer readable data subsets shall be available for distribution to aid in research efforts that require productivity, cost, test, error, change, and software characteristics data. These shall be used to validate and refine software reliability and maintainability models and to aid in additional data analysis studies.
3. Data Compendiums  
Reports summarizing the database holdings shall be produced as facility publications.
4. A State-of-the-Art Report on Testing Tools and Techniques  
A report on various methods for testing computer software shall be produced including the identification, classification, description, and effectiveness of the testing tools and techniques.
5. A Software Engineering Glossary  
A comprehensive glossary of software engineering terms shall be produced.
6. Document Library  
Access to the Document Description Database and the source documents shall be available to RADC and facility personnel.

Search services and reference information dissemination shall be extended to include other Government Agencies and approved contractors.

Table 6.2  
Pilot Dataset Sizing

| Entity                     | SOURCE        |               |               |               |               | Total # Char |
|----------------------------|---------------|---------------|---------------|---------------|---------------|--------------|
|                            | I             | II            | III           | IV            | V             |              |
|                            | Levels # Char | Levels # Char | Levels # Char | Levels # Char | Levels # Char |              |
| Historical Database        |               |               |               |               |               |              |
| Descriptions and Structure |               |               |               |               |               |              |
| Environment                | PM 7,640      | PM 37,500     | PS 5,170      | PSM 50,090    | PSM 10,050    | 110,610      |
| Technology                 | P 80          |               | PS 5,150      | PS 1,080      | PS 180        | 6,490        |
| Resource Utilization       | M 7,400       | P 750         | PS 9,000      | PS 3,000      |               | 20,150       |
| Production                 | PM 44,000     | PM 165,660    | PS 8,640      | PSM 174,960   | PSM 5,700     | 189,300      |
| Software Characteristics   |               | PM 56,250     | PS 8,160      | PSM 587,520   | PS 4,400      | 809,740      |
| TOTAL                      | 59,080        | 260,160       | 39,920        | 994,850       | 45,530        | 263,250      |
| Complementary Database     |               |               |               |               |               |              |
| Test Results               | M 657,000     | M 4,080,000   | M 1,668,000   | 0             | 0             | 6,405,000    |
|                            |               |               |               |               |               | 7,804,540    |
|                            |               |               |               |               |               | TOTAL        |

Key

- P Project level
- S System level
- M Module level

The facility shall not act as a distribution center for the documents within its collection. Ordering information shall be disseminated to those requesting documents.

7. Software Engineering Bibliography

A bibliography shall be produced as a facility publication and include:

- annotated references to significant literature
- bibliographic citations for each reference
- indices for user searches including
  - concept index
  - subject term index (selected)
  - corporate author index

6.2.6 Analysis of the Pilot Input Datasets

The five known source datasets for the pilot facility were analyzed to determine data content, and an analysis was performed to determine the data requirements for representative facility outputs. The analysis technique used was the generation of an input/output matrix listing group/attribute names for the SDR databases, an indication of the content of each source dataset, and an indication of the data requirements for the output products.

In Table 6.3 the first five columns reflect the data content for each source dataset, the last four columns denote the data requirements for utilization of the data. The sources are those listed in Table 6.1. The following is a discussion of each "use" column in the matrix and should be considered as examples of facility outputs.

A) Data Compendiums

Purpose - Provide summary information on the database holdings,  
Input Requirements - Project and system type; person and computer type; standards, collection procedures and technology utilized; calendar, person, and computer time and cost; change attributes, quality and complexity measurements, and constituent attributes.

B) Reliability Data Subsets

Purpose - Provide data subsets for software reliability modeling efforts.  
Input Requirements - System and module name and type; constituent attributes; testing, error, correction, and change information.

C) Complexity Data Subsets

Purpose - Provide data subsets for calculation of complexity measurements.  
Input Requirements - System and module name and type; constituent attributes.

D) Cost Estimation Data Subsets

Purpose - Provide data subsets for cost estimation studies.  
Input Requirements - Project and system type; person attributes;

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Table 6.3  
Input/Output Matrix

| Group/Attribute Name    | SOURCES <sup>1</sup> |    |     |    |   | USES <sup>2</sup> |   |   |   |
|-------------------------|----------------------|----|-----|----|---|-------------------|---|---|---|
|                         | I                    | II | III | IV | V | A                 | B | C | D |
| <b>Project</b>          |                      |    |     |    |   |                   |   |   |   |
| 1 Project ID            | ✓                    | ✓  | ✓   | ✓  | ✓ |                   |   |   |   |
| Name                    | ✓                    | ✓  | ✓   | ✓  | ✓ |                   |   |   |   |
| Description             |                      |    | ✓   | ✓  |   |                   |   |   |   |
| Project Type            |                      |    |     |    |   | ✓                 |   |   | ✓ |
| # Systems               | ✓                    | ✓  | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| # Modules               |                      |    |     |    |   |                   |   |   |   |
| <b>System</b>           |                      |    |     |    |   |                   |   |   |   |
| 1 System ID             |                      |    | ✓   | ✓  | ✓ |                   | ✓ | ✓ |   |
| Name                    |                      |    |     | ✓  | ✓ |                   |   |   |   |
| Description             |                      |    |     |    |   |                   |   |   |   |
| System Type             |                      |    |     | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| # Modules               |                      |    |     |    |   |                   |   | ✓ |   |
| <b>Module</b>           |                      |    |     |    |   |                   |   |   |   |
| 1 Module ID             | ✓                    | ✓  | ✓   | ✓  | ✓ |                   | ✓ |   |   |
| Name (or ID)            | ✓                    | ✓  | ✓   | ✓  | ✓ |                   |   |   |   |
| Description             |                      |    |     |    |   | ✓                 | ✓ |   |   |
| Module Type             |                      |    |     |    |   |                   |   |   |   |
| <b>Person</b>           |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   | ✓ |
| Phase                   |                      |    | ✓   | ✓  | ✓ |                   |   |   | ✓ |
| Person ID               |                      |    | ✓   | ✓  | ✓ |                   |   |   | ✓ |
| Job function experience |                      |    | ✓   | ✓  | ✓ |                   |   |   | ✓ |
| Application experience  |                      |    | ✓   | ✓  | ✓ |                   |   |   | ✓ |
| Rating criteria         |                      |    |     |    |   |                   |   |   | ✓ |
| Rating measure          |                      |    |     |    |   | ✓                 |   |   | ✓ |
| Person level            |                      |    | ✓   | ✓  |   |                   |   |   | ✓ |
| Time percentage         |                      |    |     |    |   |                   |   |   |   |
| Travel requirements     |                      |    |     | ✓  |   |                   |   |   |   |
| <b>Computer</b>         |                      |    |     |    |   |                   |   |   |   |
| ID #                    | ✓                    |    | ✓   | ✓  |   | ✓                 |   |   | ✓ |
| Phase                   | ✓                    |    | ✓   | ✓  |   | ✓                 |   |   |   |
| Computer ID             |                      |    | ✓   | ✓  |   |                   |   |   |   |
| Hardware                |                      |    | ✓   | ✓  |   |                   |   |   |   |
| Software                |                      |    | ✓   | ✓  |   |                   |   |   |   |
| Operating mode          | ✓                    |    | ✓   | ✓  |   |                   |   |   |   |
| Response                |                      |    | ✓   | ✓  |   |                   |   |   | ✓ |
| <b>General</b>          |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    | ✓   |    |   | ✓                 |   |   | ✓ |
| Phase                   |                      |    | ✓   |    |   |                   |   |   |   |
| Contract type           |                      |    | ✓   |    |   |                   |   |   |   |
| Standards               |                      |    | ✓   |    |   | ✓                 |   |   |   |
| Collection purpose      |                      |    |     |    |   |                   |   |   |   |
| Collection procedure    |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Constraints             |                      |    | ✓   |    |   |                   |   |   | ✓ |
| Priority                |                      |    |     |    |   |                   |   |   |   |
| <b>Technology</b>       |                      |    |     |    |   |                   |   |   |   |
| ID #                    | ✓                    | ✓  | ✓   | ✓  |   | ✓                 |   |   | ✓ |
| Phase                   | ✓                    | ✓  | ✓   | ✓  |   | ✓                 |   |   | ✓ |
| Task ID                 | ✓                    | ✓  | ✓   | ✓  |   | ✓                 |   |   | ✓ |
| Task name               | ✓                    | ✓  | ✓   | ✓  |   | ✓                 |   |   | ✓ |
| Cost of usage           |                      |    |     |    |   |                   |   |   |   |

Table 6.1  
Section 6.2.6

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Table 6.3  
Input/Output Matrix (Cont'd)

|                         | SOURCES <sup>1</sup> |    |     |    |   | USES <sup>2</sup> |   |   |   |
|-------------------------|----------------------|----|-----|----|---|-------------------|---|---|---|
|                         | I                    | II | III | IV | V | A                 | B | C | D |
| <b>Calendar Time</b>    |                      |    |     |    |   |                   |   |   |   |
| ID #                    | ✓                    |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Phase                   | ✓                    |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Estimated begin date    |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Estimated end date      |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Actual begin date       | ✓                    |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Actual end date         | ✓                    |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| <b>Person Time</b>      |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Phase                   |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Person level            |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Estimated # person days |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Actual # person days    |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| <b>Person Cost</b>      |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  |   | ✓                 |   |   |   |
| Phase                   |                      |    |     | ✓  |   |                   |   |   |   |
| Person level            |                      |    |     | ✓  |   |                   |   |   |   |
| Estimated person cost   |                      |    |     | ✓  |   | ✓                 |   |   |   |
| Actual person cost      |                      |    |     | ✓  |   | ✓                 |   |   |   |
| <b>Computer Time</b>    |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Phase                   |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Usage level             |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Estimated usage         |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| Actual usage            |                      |    | ✓   | ✓  | ✓ | ✓                 |   |   |   |
| <b>Computer Cost</b>    |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  | ✓ | ✓                 |   |   |   |
| Phase                   |                      |    |     | ✓  | ✓ |                   |   |   |   |
| Estimated computer cost |                      |    |     | ✓  | ✓ | ✓                 |   |   |   |
| Actual computer cost    |                      |    |     | ✓  | ✓ | ✓                 |   |   |   |
| <b>Travel</b>           |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  |   |                   |   |   |   |
| Phase                   |                      |    |     | ✓  |   |                   |   |   |   |
| Estimated # trips       |                      |    |     | ✓  |   |                   |   |   |   |
| Actual # trips          |                      |    |     | ✓  |   |                   |   |   |   |
| <b>Travel Cost</b>      |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  |   |                   |   |   |   |
| Phase                   |                      |    |     | ✓  |   |                   |   |   |   |
| Estimated travel cost   |                      |    |     | ✓  |   |                   |   |   |   |
| Actual travel cost      |                      |    |     | ✓  |   |                   |   |   |   |
| <b>Misc cost</b>        |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  |   |                   |   |   |   |
| Phase                   |                      |    |     | ✓  |   |                   |   |   |   |
| Estimated misc cost     |                      |    |     | ✓  |   |                   |   |   |   |
| Actual misc cost        |                      |    |     | ✓  |   |                   |   |   |   |
| <b>Total Cost</b>       |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Phase                   |                      |    |     | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Estimated total cost    |                      |    |     | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Actual total cost       |                      |    |     | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| <b>Documentation</b>    |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Phase                   |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Document type           |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| # pages                 |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Reporting period        |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| <b>Instructions</b>     |                      |    |     |    |   |                   |   |   |   |
| ID #                    |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Phase                   |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Instruction type        |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| # instructions          |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |
| Reporting period        |                      |    |     | ✓  | ✓ |                   |   |   | ✓ |

<sup>1</sup>Table 6.1  
Section 6.2.6

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Table 6.3  
Input/Output Meas (Cont'd)

|                            | SOURCES <sup>1</sup> |    |     |    |   | USES <sup>2</sup> |   |   |   |
|----------------------------|----------------------|----|-----|----|---|-------------------|---|---|---|
|                            | I                    | II | III | IV | V | A                 | B | C | D |
| Change                     |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      |    |     | ✓  |   | ✓                 |   |   |   |
| Phase                      |                      |    |     | ✓  |   |                   |   |   |   |
| Change type                |                      |    |     | ✓  |   | ✓                 |   |   |   |
| # changes                  |                      |    |     | ✓  |   | ✓                 |   |   |   |
| Reporting period           |                      |    |     | ✓  |   |                   |   |   |   |
| Test                       |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      | ✓  | ✓   | ✓  |   |                   |   |   |   |
| Phase                      |                      | ✓  | ✓   | ✓  |   |                   |   |   |   |
| Test type                  |                      | ✓  | ✓   | ✓  |   |                   |   |   |   |
| # tests                    |                      | ✓  | ✓   | ✓  |   |                   |   |   |   |
| # failures                 |                      | ✓  | ✓   | ✓  |   |                   |   |   |   |
| Reporting period           |                      |    |     | ✓  |   |                   |   |   |   |
| Error                      |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Phase                      |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Error category type        |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Error category             |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| # errors                   |                      |    | ✓   | ✓  | ✓ |                   |   |   |   |
| Reporting period           |                      |    |     | ✓  |   |                   |   |   |   |
| Corrections                |                      |    |     |    |   |                   |   |   |   |
| ID #                       | ✓                    | ✓  | ✓   |    |   |                   |   |   |   |
| Phase                      | ✓                    | ✓  | ✓   |    |   |                   |   |   |   |
| Correction type            | ✓                    | ✓  | ✓   |    |   |                   |   |   |   |
| # corrections              | ✓                    | ✓  | ✓   |    |   |                   |   |   |   |
| Reporting period           | ✓                    | ✓  | ✓   |    |   |                   |   |   |   |
| Quality                    |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Phase                      |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Quality measure type-major |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Quality measure type-minor |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Quality measure            |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Method                     |                      |    |     |    |   | ✓                 | ✓ |   | ✓ |
| Complexity                 |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      |    | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Phase                      |                      |    | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Complexity measure type    |                      |    | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Complexity measure         |                      |    | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Testing                    |                      |    |     |    |   |                   |   |   |   |
| ID #                       |                      |    |     |    |   |                   |   |   |   |
| Phase                      |                      |    |     |    |   |                   |   |   |   |
| Stress type                |                      |    |     |    |   |                   |   |   |   |
| Stress measure             |                      |    |     |    |   |                   |   |   |   |
| Conditions                 |                      |    |     |    |   |                   |   |   |   |
| ID #                       | ✓                    | ✓  | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Phase                      | ✓                    | ✓  | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Condition type             | ✓                    | ✓  | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| # of occurrences           | ✓                    | ✓  | ✓   | ✓  | ✓ | ✓                 | ✓ | ✓ | ✓ |
| Test info                  |                      |    |     |    |   |                   |   |   |   |
| ID #                       | ✓                    | ✓  | ✓   |    |   |                   | ✓ |   |   |
| Phase                      | ✓                    | ✓  | ✓   |    |   |                   | ✓ |   |   |
| Test ID                    | ✓                    |    | ✓   |    |   |                   | ✓ |   |   |
| Test type                  | ✓                    |    | ✓   |    |   |                   | ✓ |   |   |
| Date run                   |                      |    | ✓   |    |   |                   | ✓ |   |   |
| Time run                   |                      |    | ✓   |    |   |                   | ✓ |   |   |
| Stress type                |                      | ✓  |     |    |   |                   | ✓ |   |   |
| Stress measure             |                      | ✓  |     |    |   |                   | ✓ |   |   |
| Test result                |                      | ✓  |     |    |   |                   | ✓ |   |   |
| Problem report number      | ✓                    | ✓  | ✓   |    |   |                   | ✓ |   |   |
| Report date                | ✓                    | ✓  | ✓   |    |   |                   | ✓ |   |   |

<sup>1</sup>Table 6.1

<sup>2</sup>Section 6.2.6

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Table 6.3  
Input/Output Matrix (Cont'd)

|                               | <u>SOURCES</u> <sup>1</sup> |    |     |    |   | <u>USES</u> <sup>2</sup> |   |   |   |
|-------------------------------|-----------------------------|----|-----|----|---|--------------------------|---|---|---|
|                               | I                           | II | III | IV | V | A                        | B | C | D |
| <b>Error Info</b>             |                             |    |     |    |   |                          |   |   |   |
| ID #                          | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Phase                         | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Problem report number         | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Error category type           | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Error category                | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Origin                        |                             |    | ✓   |    |   |                          | ✓ |   |   |
| Severity type                 | ✓                           |    | ✓   |    |   |                          | ✓ |   |   |
| Severity                      | ✓                           |    | ✓   |    |   |                          | ✓ |   |   |
| <b>Correction</b>             |                             |    |     |    |   |                          |   |   |   |
| ID #                          | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Phase                         | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Problem report number         | ✓                           | ✓  | ✓   |    |   |                          | ✓ |   |   |
| Correction type               | ✓                           | ✓  |     |    |   |                          | ✓ |   |   |
| Date began                    | ✓                           |    |     |    |   |                          | ✓ |   |   |
| Date ended                    | ✓                           | ✓  |     |    |   |                          | ✓ |   |   |
| Computer resources used       |                             | ✓  |     |    |   |                          |   |   |   |
| Person resources used         |                             |    |     |    |   |                          |   |   |   |
| #Instructions affected        |                             |    | ✓   |    |   |                          |   |   |   |
| #Pages documentation affected |                             |    |     |    |   |                          |   |   |   |
| <b>Change</b>                 |                             |    |     |    |   |                          |   |   |   |
| ID #                          |                             |    |     |    |   |                          | ✓ |   |   |
| Phase                         |                             |    |     |    |   |                          | ✓ |   |   |
| Change type                   |                             |    |     |    |   |                          | ✓ |   |   |
| Change number                 |                             |    |     |    |   |                          | ✓ |   |   |
| Date initiated                |                             |    |     |    |   |                          | ✓ |   |   |
| Date approved                 |                             |    |     |    |   |                          | ✓ |   |   |
| Date completed                |                             |    |     |    |   |                          | ✓ |   |   |
| Person resources              |                             |    |     |    |   |                          | ✓ |   |   |
| Computer resources            |                             |    |     |    |   |                          | ✓ |   |   |
| Total cost                    |                             |    |     |    |   |                          | ✓ |   |   |
| Change ID #                   |                             |    |     |    |   |                          | ✓ |   |   |

<sup>1</sup>Table 6.1

<sup>2</sup>Section 6.2.6

constraints, priorities, and technology attributes; total cost; amount of documentation and number of instructions produced; quality and complexity measurements; constituent attributes.

This list of data requirements is considered to be open ended because of the need to provide a variety of data compendiums and data subsets.

### 6.3 Pilot Information System Development and Recommendations

#### 6.3.1 Introduction

This section presents the recommendations for the pilot information system and the development needed to establish an information system.

#### 6.3.2 Developments for Input Processing

The input processing procedures discussed in Section 3 should be followed. However, the following developments must first occur.

##### 6.3.2.1 Construction of a Glossary of Software Engineering Terms

So that there is a consistency of terminology to describe items in the database, there is a need for the construction of a glossary of software engineering terms. As part of the present study effort, a glossary of software engineering terms used in the literature was generated (Appendix C). This Glossary should be refined, and in conjunction with the work now being accomplished by the IEEE Subcommittee on Software Engineering Standards, be used as a guideline for indexing documents and standardizing the classification within the database definitions. In addition, this glossary will be published and disseminated as a facility publication.

##### 6.3.2.2 Thesaurus Construction

Related to, but distinct from the construction of the glossary, is the need for the construction of a thesaurus for document indexing and retrieval.

The thesaurus should be developed using the following procedures;

1. Construct a set of thesaurus forms as illustrated in Figure 6.1 using the keywords (282) and the papers (59) contained in The Proceedings of the International Conference on Reliable Software.<sup>401</sup>
2. Generate a mini-thesaurus consisting of:
  - A structured term list grouping the terms into logical categories
  - An alphabetical term list which includes all the concept terms of the structured term list

**THESAURUS FORM**

Editor \_\_\_\_\_

Date \_\_\_\_\_

1. **Keyword:** \_\_\_\_\_

2. **Synonyms:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. **Broader Terms:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. **Narrower Terms:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. **Related Terms:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. **Definition:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Figure 6.1 Thesaurus Form

3. Construct an additional set of thesaurus forms using this mini-thesaurus and the documents in the present library.<sup>402</sup>
4. Update the mini-thesaurus to generate a thesaurus that will serve as a basis for subsequent document indexing and retrieval.

#### 6.3.2.3 Input Forms and Data Formats

Standard input forms and procedures must be designed to be compatible with the databases. The attributes of the database must be defined in the data definition language of the database management system.

#### 6.3.2.4 Analysis of Input Datasets

Procedures must be established to evaluate the scope and content of the input datasets. The purposes of this evaluation are to determine the applicability of the data, to determine the requirements for further processing to transform the data to the SDR formats, and to determine the sensitivity of the data.

#### 6.3.2.5 Data Translation

All of the known source datasets for the pilot facility have some or all of the data in computer readable form. It is expected that additional datasets will also be in computer readable form.

Procedures must be established for transforming these datasets into the SDR database formats. It is recommended that general purpose translation software be developed along the lines of the data translation tools developed by the University of Michigan Data Translation Project.<sup>202</sup> These tools provide for describing both the source and target data, and the necessary transformations required to generate the target data from the source.

For those datasets that arrive in hard copy form and contain small amounts of data (such as the system productivity and cost data from the MPP Projects), it is recommended that manual translation be performed to be compatible with the SDR databases.

In addition to conversion of these foreign files into the data format for the pilot, code conversion and data summarization procedures are required.

#### 6.3.2.6 Security Procedures

The facilities of the operating system and the database management system shall be used to provide backup and restart and recovery capabilities to protect the database and the application programs from destruction or loss. It is recommended that no corporate sensitive data be accepted for the pilot facility.

### 6.3.3 System Recommendations

From the system alternatives presented in Section 5, the following recommendations are made.

#### 6.3.3.1 Data Collection Systems

As there is no one data collection system that collects a complete set of data, it is recommended that data from various data collection systems be acquired and evaluated. It is also recommended that during the development of the pilot facility, the specifications for a data collection system be generated.

#### 6.3.3.2 Data Entry

As the majority of the known source datasets for the pilot will be in computer readable form, the requirement for keystroking data is minimal.

Therefore, it is recommended that existing keypunches be used to convert this data to computer readable form.

#### 6.3.3.3 Information System

It is recommended that the RADC Honeywell 6180 Computer System using the GCOS operating system and the MDQS database management system be used for the implementation and retrieval of the facility databases. This alternative provides easy to use production oriented hardware and software services to both RADC and facility personnel to assist in their research efforts.

It is recommended that during the operation of the pilot facility the ARPA Network not be used to provide database retrieval and updating capabilities. However, it is recommended that a task be undertaken to determine the feasibility of using the ARPA Network as the facility expands to a fully operational center.

#### 6.3.3.4 Document Library

Because of the expected number of documents in the document library and the need for a versatile information storage and retrieval capability, it is recommended that the description of the documents be stored in a computer-readable Document Description Database.

### 6.3.4 Data/Document Acquisition

Some datasets and textual documents have been identified to form the basis for the pilot facility. However, to insure continued acquisition of data for the pilot and beyond, there is a need for the establishment of a data acquisition program. The methods and procedures

for the identification and acquisition of the document inputs must be established during the development of the pilot facility to assure quality data acquisition from all segments of the government-industry software development community.

Procedures for the acquisition of the textual information can be accomplished routinely with minimal engineering effort. The acquisition of production/development data requires more detailed procedures that assist in identifying potential sources, solicitation of these sources, and the acquisition of the data from these sources. Because of economic and proprietary considerations, this acquisition is more difficult.

#### 6.4 Pilot Facility Operation

It is recommended that the responsibility for the operation of the pilot facility be divided into four major functional areas (Figure 6.2).

1. Technical and administrative direction
2. Document acquisition
3. Information processing
4. Data and information analysis

##### 6.4.1 Technical and Administrative Direction

The technical and administrative direction involves the overall responsibility for all contractor operating and policy decisions, and provides official interface to the RADC Project Engineer on technical matters.

The three remaining functional areas are technically oriented with each reporting to a project manager. These functional areas are accountable to each other.

##### 6.4.2 Data/Document Acquisition

The function of data/document acquisition during the operation of the pilot facility encompasses the identification, solicitation, and acquisition of data and documents and the refinement of the methods and procedures for this acquisition process. There is a functional separation between the acquisition of textual information and production/development reports.

##### 6.4.3 Information Processing

There are three major, but interrelated, functional areas included for information processing.

1. The input processing function includes document review and indexing, document library and database updating, and transformation and summarization of the production/development reports.

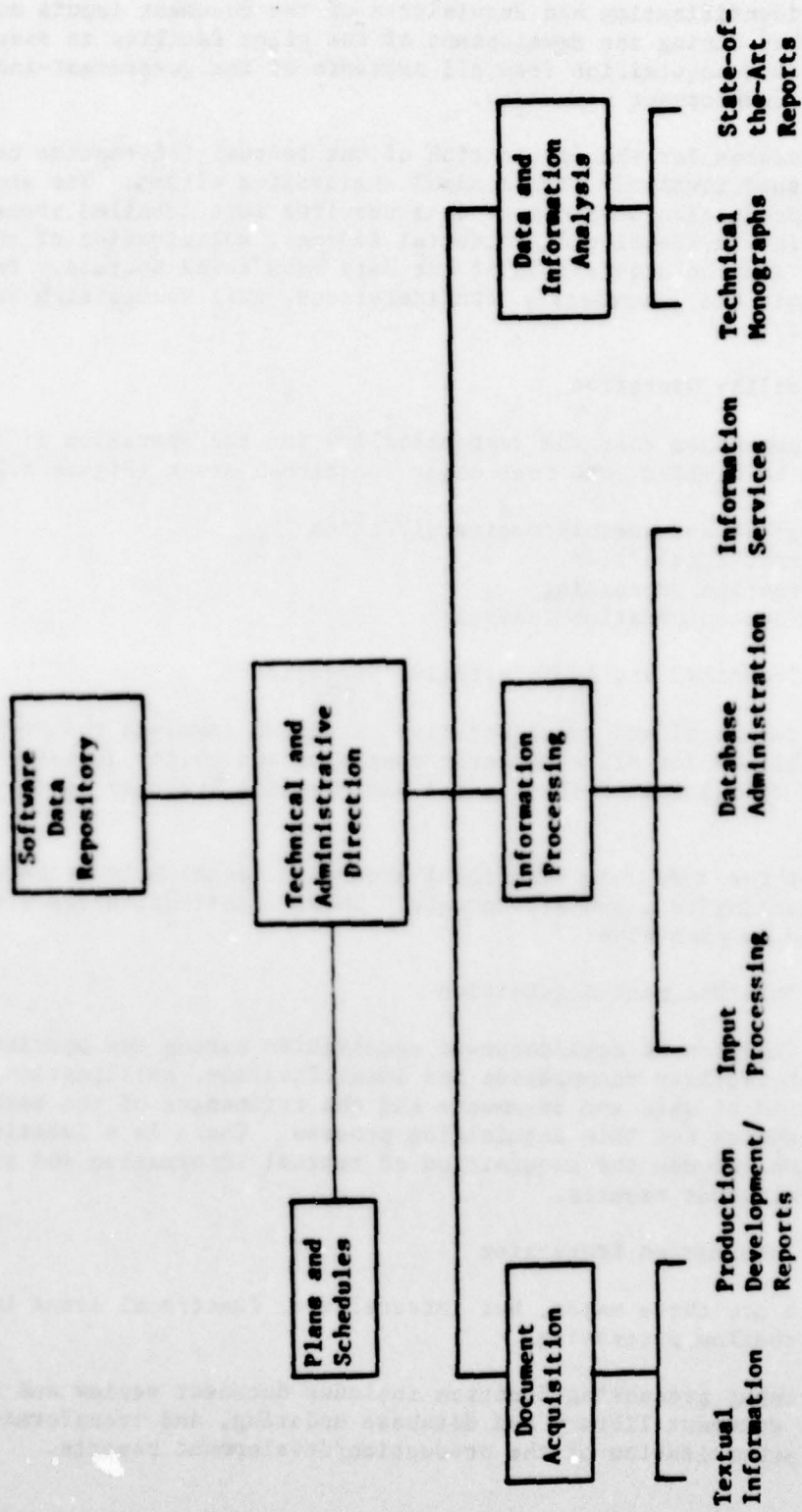


Figure 6.2 Pilot Operation Organization

2. The database administrative function includes controlling the contents and integrity of the databases by establishing and modifying database maintenance procedures, evaluating the utilization and growth characteristics of the database, restructuring the databases, monitoring and evaluating the security procedures, and by establishing user interfaces to the database and the requirements for data transformations.
3. The information services function involves the evaluation of users needs including dissemination of information on services provided by the facility; the production of data compendiums, subsets of the database, and a bibliography; assisting in search and retrieval requests; providing answers to "simple" user inquiries and generating requirements for in-depth analysis requests.

#### 6.4.4 Data and Information Analysis

This function involves performing data and engineering analysis and producing technical monographs and state-of-the-art reports containing the results of the research efforts.

At a minimum, for the pilot facility, this includes producing a report that summarizes and evaluates the capabilities of tools and techniques that have been developed for testing software.

Other efforts that should be considered include software reliability and maintainability model development, and data analysis studies on software complexity, quality, productivity, and cost estimation.

#### 6.5 Pilot Facility Management Plan

The recommended schedule for the development and operation of the pilot facility for a two-year period is illustrated in Figure 6.3.

The facility staff should consist of both professional and non-professional personnel. It is recommended that the professional staff consist of individuals from the following personnel categories:

- Manager
- Senior Analyst
- Software Engineer
- Information Scientist

and that the non-professional staff consist of clerical personnel and technicians.

The capabilities of the manager are required for overall administration and client communication.

The principal function of the software analyst(s) is database administration. This includes the establishment of the database formats and the

| ACTIVITY                                 | 1st Year |   |   |   | 2nd Year |   |   |   |
|------------------------------------------|----------|---|---|---|----------|---|---|---|
|                                          | 1        | 2 | 3 | 4 | 5        | 6 | 7 | 8 |
| 1. Software engineering glossary         |          |   |   |   |          |   |   |   |
| 2. Thesaurus construction                |          |   |   |   |          |   |   |   |
| 3a. Input forms and procedures           |          |   |   |   |          |   |   |   |
| b. Data formats                          |          |   |   |   |          |   |   |   |
| 4. Dataset analysis procedures           |          |   |   |   |          |   |   |   |
| 5. Data translation                      |          |   |   |   |          |   |   |   |
| 6. Security procedures                   |          |   |   |   |          |   |   |   |
| 7. Data collection system specifications |          |   |   |   |          |   |   |   |
| 8. Data acquisition procedures           |          |   |   |   |          |   |   |   |
| 9. Evaluation                            |          |   |   |   |          |   |   |   |
| OPERATION                                |          |   |   |   |          |   |   |   |
| 1. Data/Document Acquisition             |          |   |   |   |          |   |   |   |
| a. Textual                               |          |   |   |   |          |   |   |   |
| b. Production/development data           |          |   |   |   |          |   |   |   |
| 2. Information processing                |          |   |   |   |          |   |   |   |
| a. Input processing                      |          |   |   |   |          |   |   |   |
| b. Data administration                   |          |   |   |   |          |   |   |   |
| c. Information services                  |          |   |   |   |          |   |   |   |
| 1. Data compendiums                      |          |   |   |   |          |   |   |   |
| 2. Database subsets                      |          |   |   |   |          |   |   |   |
| 3. Bibliography                          |          |   |   |   |          |   |   |   |
| 3. Data and information analysis         |          |   |   |   |          |   |   |   |
| a. Testing tools and techniques report   |          |   |   |   |          |   |   |   |
| b. Modeling efforts                      |          |   |   |   |          |   |   |   |
| c. Data analysis                         |          |   |   |   |          |   |   |   |

**ACTIVITY**

- DEVELOPMENT**
1. Software engineering glossary
  2. Thesaurus construction
  - 3a. Input forms and procedures
  - b. Data formats
  4. Dataset analysis procedures
  5. Data translation
  6. Security procedures
  7. Data collection system specifications
  8. Data acquisition procedures
  9. Evaluation
- OPERATION**
1. Data/Document Acquisition
    - a. Textual
    - b. Production/development data
  2. Information processing
    - a. Input processing
    - b. Data administration
    - c. Information services
      1. Data compendiums
      2. Database subsets
      3. Bibliography
  3. Data and information analysis
    - a. Testing tools and techniques report
    - b. Modeling efforts
    - c. Data analysis

Figure 6.3 Pilot Facility Schedule

dataset analysis procedures, the establishment and evaluation of the data translation and security methods, and the maintenance and overall responsibility for the databases.

The software engineering function includes the production of state-of-the-art reports, technical monographs, and the data collection system specifications. It also includes the establishment of the data acquisition procedures for the production/development data, and the acquisition of the data. The software engineers shall provide consulting services for the information scientist and the senior analyst, develop computer programs to supplement the database management system, and shall have the overall responsibility for the production of the data compendiums and the database subsets.

The information science functions include the construction of a glossary and a thesaurus, the establishment of the input forms and procedures, the establishment of the document acquisition procedures for the textual information, the indexing of the documents, the production of the Bibliography, providing search and reference services, the acquisition of the textual documents, and the overall responsibility for the document library.

The clerical personnel are responsible for daily typing and report typing, some input processing functions, order processing and shipping, telephone services, travel arrangements, etc.

The technicians are responsible for computer data entry, data summarization, and ordering documents.

#### 6.6 Pilot Facility Evaluation

The evaluation of the pilot facility includes the determination of the effectiveness of the facility in terms of responsiveness to the user's needs and the operational flexibility and efficiency of the facility.

The evaluation of the internal operation of the facility must consider the amount of time to process the source input and the amount of time to answer user inquiries. The amount and quality of the data and information dissemination must also be a consideration.

The users of the pilot facility shall be RADC personnel, facility personnel, and approved RADC contractor and other Air Force personnel. The responsiveness to these users' needs can be determined by both quantitative and qualitative measures. The quantitative measures include the number of requests for data subsets and reports, the number of inquiries and bibliographic services, the percentage of these requests that could be fulfilled, and some measure of the usefulness of the services and products in terms of resources saved. This last figure is subjective in that the users themselves must determine the relative savings from using the products and services.

The qualitative measures include feedback from the users and potential users concerning the quality of the products and services, and what additional services they require.

This kind of feedback is useful in determining what additional services should be provided and/or other areas that could be included in a fully operational center. This feedback can be acquired through participation in government and industry software engineering symposiums, conferences, meetings, and committee activities.

It is recommended that the development, operation, and evaluation of the pilot facility proceed in such a manner that a fully operational center can evolve to provide continuous and expanded services to the users. As new services are provided and internal processing functions are expanded, they should be accomplished with minimum disruption on the operation of the repository, whether it be the pilot facility or a fully operational center.

## 6.7 A Fully Operational Center

As the pilot facility evolves into a fully operational center, the user community shall expand, the scope of the information shall change, and the engineering analysis activities should encompass more software engineering areas which will result in a better quality and greater quantity of output services.

### 6.7.1 The User Community

It is expected that as the repository evolves, the user community will encompass more members of the software development community including not only government agencies and contractors, but the private sector as well.

This expansion of the user community necessitates the need for the incorporation of a cost recovery program. This program can consist of charging the user an annual cost which would entitle the user to publications issued during the period plus a prescribed number of direct inquiries. In addition, separate pricing for individual publications and consulting services can be instituted.

To provide existing and potential users with an awareness of the services provided, the following vehicles can be used:

1. Brochures listing repository publications and services along with complete pricing and ordering information.
2. Newsletters discussing repository services and other software engineering activities.
3. Workshops concentrating on specialized software development problems, trends, etc.
4. Presentations at software engineering conferences, technical meetings, etc.

### 6.7.2 Information Content

The content of the databases and the number of documents in the library will increase as the repository expands.



The primary information content of the databases for the pilot facility consists of testing, error, and change data. It is expected that as software reliability and maintainability models are developed and validated, the need for the data will decrease, but will still exist.

With an aggressive data collection and acquisition program, more cost and productivity data should become available.

The initial estimate for the size of the pilot facility databases is approximately 16 million characters. By being selective in the acceptance of data, purging obsolete data, and including productivity and cost data in the Historical Database at only the project and system levels, the size of the databases should not exceed 100 million characters.

Because of this change in information content, one or more of the following activities should occur:

1. Database reorganization
2. Addition of new data items
3. Purging obsolete complementary database entities and constructing new complementary database entities.
4. Data summarization modification.
5. Modifying input processing, dataset analysis, and data translation procedures.
6. Establishing a secure information system.

#### 6.7.3 Output Services

The output services should be expanded to include the results of more in-depth studies than were produced during the pilot facility. This can be accomplished because of the expanded scope of the data, and because we will have learned more about the software development process and the techniques to analyze the data.

Database and document library access shall continue to be available to all qualified users. Data subsets and data compendiums shall be produced as user requirements are identified while a handbook on designing and developing computer software shall be developed to assist both the practitioner and the researcher.

Technical monographs and state-of-the-art reports shall be produced as user requirements are identified. It is expected that they will cover the following areas:

- cost and resource estimation
- software development monitoring
- quality software production
- software quality measures
- software complexity measures
- software requirements and specifications

The inquiry and consulting services shall become an increasingly more important function as the user community expands, more data becomes available, and as greater expertise exists in the repository.

These services may take the form of answering questions on the use of a data compendium to long term consulting actions. To provide quality consulting services, it shall be necessary for the software engineers to specialize in certain areas. These areas may be reliability, maintainability, testing tools and techniques, development techniques, requirement and specification methodologies, and/or project monitoring.

The consulting tasks may include measurement, estimation, and prediction of the reliability of a software system; assist in determining requirements and test plans; conduct broad and indepth surveys among government and industrial organizations to seek out specialized information and data; and perform qualitative and quantitative studies on estimating the resources for a software development project.

## 7. Recommendations

The following recommendations are a summary of the recommendations contained in this report.

- Establish a software data repository to provide users with access to quality, relevant, software development experience information to assist in their research and development efforts.
- Develop the repository so that continuous service be provided to the users.
- Include both production/development data and textual information. Establish three databases including:
  - o Document Description Database containing descriptions of the documents and the data.
  - o Historical Database containing summarized productivity, cost, environment, test, error, and change data.
  - o Complementary Database containing detailed test, error, and change data with the capability to add additional entities.
- Provide the following services and outputs:
  - o Database and Document Library access
  - o Database Subsets and Data Compendiums
  - o Technical Monographs and State-of-the-Art Reports
  - o Handbooks and a Bibliography
  - o Inquiry and Consulting Services
  - o Data and Engineering Analysis
- Establish a pilot facility as a subset of a fully operational center. The following are the recommendations for the establishment and operation of a pilot facility:
  - o The facility be located at RADC in Rome, NY
  - o Limit the users to members of the Air Force and approved contractors and agencies.
  - o Accept only non-sensitive data including RADC purchased datasets.
  - o Construct a glossary of software engineering terms and a thesaurus.
  - o Establish procedures for acquiring, evaluating, summarizing, and transforming the production/development data.
  - o Acquire data from various data collection systems. Establish the specifications for a data collection system for the repository.
  - o Implement the databases on the RADC Honeywell 6180 computer system using the GCOS operating system and the MDQS database management system.
  - o Do not use the ARPA Network for the pilot facility. Determine feasibility for using the ARPA Network for a fully operational center.

- o Produce data compendiums and data subsets containing productivity, error and cost data; a bibliography of relevant software engineering literature; technical monographs containing the results of modeling and data analysis efforts.
  - o Evaluate the facility and determine additional user requirements.
- Evolve into a fully operational center:
- o Expand the user community.
  - o Extend scope and content of the data.
  - o Expand output services.

## 8. Bibliography

The Bibliography is divided into five series to facilitate easy access to different subject areas.

The major subject areas and their corresponding reference numbers are illustrated below.

|         |                                          |
|---------|------------------------------------------|
| 001-099 | Software Engineering                     |
| 101-116 | Data Center Operations                   |
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## SOFTWARE ENGINEERING

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Appendix A  
GLOSSARY  
OF  
DATABASE MANAGEMENT TERMS

## DATABASE MANAGEMENT TERMS

### ACCESS

The ability to approach, communicate with (input to or receive output from), or otherwise make use of any resource of an automated data processing system.

### ACCESS CONTROL

The process of limiting access to the resources of an automated data processing system to only the authorized persons or (in computer networks) other data processing systems. Access control may be implemented by use of hardware devices, software routines, operating procedures, people, and various combinations of these.

### ACCESS TYPE

The kinds of access which may be authorized; e.g., read, write, append, modify, delete, create.

### CONTROLLED ACCESS

The property of a software security system that allows each properly identified user to access information and resources within the system to which he or she is authorized, but no more.

### DATABASE

- a) A generalized, common, integrated collection of data which fulfills the data requirements of all applications which access it, and which is structured to model the natural data relationships which exist in an enterprise.
- b) A collection of interrelated data items processable by one or more programs.

### DATABASE ADMINISTRATOR

A person or persons given the responsibility for the definition, organization, protection and efficiency of the database for an enterprise.

### DATABASE DIRECTORY

A collection of descriptors of all units of data that are available to the database management system, these descriptors being derived from the data description language statements.

### DATA CLASSIFICATION

The level of security classification assigned to data.

### DATA DESCRIPTION LANGUAGE (DDL)

The language used to describe the database, or that part of the database known to a program.

### DATA-ITEM OR ATTRIBUTE

The smallest unit of named data, an occurrence of which is a representation of a value.

#### DATA INDEPENDENCE

- a) The concept of separating the definitions of logical and physical data, such that application programs need not be dependent on where or how physical units of data are stored.
- b) The ability to alter the content, format, structure and physical characteristics of a database with a minimal impact on existing programs.

#### DATA INTEGRITY

The concept that all units of data must be protected against accidental or deliberate invalidation.

#### DATABASE MANAGEMENT SYSTEM

An integrated set of computer procedures, designed to facilitate storage and retrieval of large amounts of structured data in an orderly fashion, by providing frequently used functions to a user at a level not requiring detailed knowledge of the data structure or major portions of the processing system.

#### DATA MANIPULATION LANGUAGE (DML)

The language used to cause data to be transferred between the application program and the database.

#### DATA STRUCTURE

The logical relationships which exist among the units of data in a database and which are under control of a database management system.

#### ENTITY

A person, place, thing or event of interest to the enterprise, and about which data may be recorded.

#### ENTITY NAME

The symbol by which a person, place, thing, class or any object of thought is known.

#### FIELD

A specific defined area of a record used for a particular category of data. A group of character positions used to hold a value.

#### FILE

A collection of all occurrences of a given type of logical record.

#### FILE MANAGEMENT

A generic term for the functions of creation, insertion, deletion and modification of records; reorganizing, sorting, merging and other processes commonly performed on files.

## HIERARCHICAL STRUCTURE

A method of conceptualizing and/or storing fields, records, or files so that more than one-for-one relationship is defined as existing for a file, and the actual distinctions among hierarchical levels are expressed in the data.

## HOST LANGUAGE SYSTEM

A database management system that is built upon the facilities of a programming language and is identified to the application programmer as new tools of the language for logical and physical file manipulations. The tools are embedded in the host language (e.g., FORTRAN, JOVIAL, COBOL) and are accessed usually through CALL statements, but sometimes by extensions in the language.

## INDEX

An ordered reference list of the contents of a field or fields of a file, together with a reference notation for identification or location of each field and most likely other related fields. For example, an index might list each value of a field and the location of every record containing that value.

## INVERTED FILE

A storage organization in which an index is provided for the values of each type of data-item. If an index is provided for every data type, the file is called totally inverted; if an index is provided for only some of the data item types, the file is partially inverted.

## LOGICAL RECORD

The collection of fields as a unit of data to be processed, independent of their physical environment. Portions of the same logical record may be located in different physical records.

## NETWORK

One or more collections of directed relationships between three or more units of data such that some units of data are considered owners which others are members, where each member may have more than one owner.

## OCCURRENCE

A specific instance of the value of a unit of data.

## PASSWORD

A secret word or a string of characters which either identifies or authenticates a user or a defined system resource, such as a program or data.

## PHYSICAL RECORD

The collection of fields as a unit of data which is stored, retrieved, or moved as one unit. The unit of data is defined in terms of its physical storage qualities.

### PHYSICAL SEQUENCE

An order of records or fields in a file that is the same order in which the records or fields are located in adjacent physical storage.

### RECORD

a) A collection of related values treated as a logical unit during any operation of the data management system (e.g., during data collection, processing, or output). (Logical Record)

b) A unit of data to be placed on, or taken from, a storage device in a single operation. (Physical Record)

### RECOVERY PROCEDURE

The actions to be taken in restoring a system's computational capability or the data files after any system failure or penetration.

### RELATIONAL MODEL

A logical model of data in which records of a given type have a given number of (nonrepeating) items. All instances of a record type are considered to be collected together in a mathematical set. The database is considered to be a collection of time varying relations of varying degrees.

### REORGANIZATION

The process of rearranging the relative physical placement of data-units in the database.

### REPEATING GROUP

A set of associated fields in a record or in another repeating group which may have more than one value. Individual values for one field are associated with individual values in the other fields (which may include the null value). A repeating group containing only one field is generally called a multivalued field.

### REPORT GENERATOR

A computer program, usually included in a database management system, that can direct the production of formatted output reports if it is provided with format specifications, input file detail, and other information.

### RESTRUCTURE

The process of adding to or deleting from the types of data-units and data-relationships represented in the database, of rearranging data-units which are components of larger data-units, and of making the corresponding changes to its schema.

### RETRIEVAL

The operation in a database management system used to recover data stored in a database in such a way that it is available to other database management system functions. A retrieval generally involves conditional selection of data and the assembling of selected records.

## RETRIEVAL SPECIFICATIONS

The manner and syntax of specifications used to express the conditions under which files, records, or fields in a database will be selected for further processing during a retrieval operation.

## ROLL-BACK

The process of reversing recent activities of the system, to restore some of or all of the database to its state at a previous point in time.

## SCHEMA

A complete description of the database in terms of the characteristics of the data and the implicit and explicit relationship between data-units.

## SECURE OPERATING SYSTEM

An operating system effectively utilizing those hardware and software control functions necessary to provide the protection appropriate for the value of the information and resources managed by the system.

## SECURITY

In the computer community, the realization of protection for hardware, software, and data.

- a) Administrative Security -- The management constraints, operational procedures, accountability procedures, and supplemental controls used to provide an acceptable level of protection for secure material
- b) Computer Security -- The hardware/software functions, characteristics and features needed to provide an acceptable level of protection in a computer system.
- c) Data Security -- The protection of data from accidental or intentional but unauthorized modification, destruction, or disclosure.
- d) Information Security -- The hardware/software functions, characteristics, and features; operation procedures, accountability procedures, and access controls at the center computer facility, remote computer and terminal facilities; the management constraints, physical structures, and devices; and personnel and communications controls needed to provide an acceptable level of protection in a computer system.
- e) Personnel Security -- Insuring that all personnel who have access to any sensitive data have the appropriate clearances.
- f) Physical Security -- Physical security, as it pertains to computers, does not differ from physical security for other installations. It is achieved through the use of locks, guards, badges, personnel security clearances and administratively controlled measure outside the computer as well as measures required for the protection of the structures housing the computer and related equipment against damage from accident, fire and environmental hazard, thus ensuring the protection of their contents.

#### SELECTED RECORDS

The set of complete or partial records which are the result of a retrieval operation and become available for further processing, such as for sorting and printing.

#### SELECTION CRITERIA

The conditions expressed or implied by a user which are used selectively to recover data during a retrieval operation; i.e., a logical expression.

#### SELF-CONTAINED SYSTEM

A database management system, the capabilities and language of which are intended primarily for the nonprogrammer. They are self-contained in the sense that they usually have no connection with any procedural language (except that the system itself may be written in a procedural language, or it may permit user-written code in a procedural language).

#### SENSITIVE INFORMATION

Information whose disclosure or modification is disadvantageous.

#### SEQUENTIAL FILE STRUCTURE

A method of storing and retrieving data in which information becomes available in a one-after-the-other sequence only.

#### SET

A named collection of related records representing a one-to-many relationship between the owner and member records.

#### SORT KEY

A field of a record whose values will be used in arranging the records, or just the values, into a sorted sequence.

#### STORAGE AND RETRIEVAL SYSTEM

A system for storing and locating, on demand, certain documents or other records relevant to a given information requirement from a file of such material. Examples are classification, indexing, and machine searching systems.

#### SUBSCHEMA

A description of those data-units and relationships from a database of interest to a particular program.

#### SUBSET FILE (also Data Subset)

A selected portion of a data file arranged so that it is also (or can be used as if it were) a data file, separately processable by the database management system.

Appendix B  
SURVEY RESULTS

Appendix B  
SURVEY RESULTS

ABSTRACT

The results of a survey on the exchange of software development experience information are presented in this Appendix. These results indicate that members of the software community are interested in the establishment of a center for information sharing and are willing to share their experiences and data on developing computer software. They are interested in the results of analyses on determining the effects that different developmental and testing philosophies have on the cost and productivity of software development.

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## 1. Introduction

To develop the specifications for the Software Data Repository, it was necessary to determine the potential users of the data center and the software engineering areas in which they are interested. To ascertain the types of potential users and their technological interest, we performed a survey of a portion of the software development community.

Because a software experience database would be the heart of the data repository, the survey also contained questions concerning the data collection efforts of the recipients and their opinions on sharing their data and their experiences in collecting the data.

This appendix is organized as follows. First, the background for the survey is presented followed by an initial analysis of the survey. Then, the results of further analysis is presented, correlating response categories.

## 2. Survey Approach

During the summer of 1975, a survey was sent to selected members of the software development community. A total of 1659 copies of the survey were mailed. Two mailing lists were used:

1. Recipients of the RADC-sponsored "Structured Programming Series" publications RADC-TR-74-300 (875 names).
2. Attendees of the International Conference on Reliable Software April 1975 (784 names)

By the end of October, 337 responses had been received -- a response rate of 22%.

The response card used for this survey is reproduced here as Figure 1. The questions on this survey card contain four major areas dealing with:

- A. Usage level of the repository
- B. Data collection activities and cooperation posture
- C. The characteristics of the respondees
- D. Interest level for the repository

## 3. Survey Results

The survey results are discussed below relative to each of the questions contained on the survey form.\*

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\* For a more detailed analysis of the results, see Duvall, L.M., "Software Data Repository Study - Survey Results", IITRI Report E6330-5, February 1976

## Usage

The survey question to determine the usage interest was in matrix form. The rows designated a technology area (Reliability Prediction, Testing Techniques, Development tools, Other) and the columns designated a usage level (Data for your own Analysis, Reports on Analysis Tools, Results of Analysis). Contained in Table I is a summary of these responses. In the first three columns, the numbers represent the number of times that cell was checked; the "% of Total" represents the percentage of survey cards that contained a check mark in that cell. For example, 136 survey cards contained a check mark for the cell "Reliability Prediction, Data for Your Own Analysis". Of all cells, this cell was checked the least number of times whereas "Testing Techniques, Results of Analysis" was checked most often (226 times).

Figure 2 contains histograms representing the percentage of respondents that checked each individual cell. In all three technology areas, "Data for Your Own Analysis" was checked the least number of times (40% for Reliability Prediction, 47% for Testing Techniques, and 48% for Development tools), and "Results of Analysis" was checked the greatest number of times (65% for Reliability Prediction, 67% for Testing Techniques and 66% for Development Tools).

As shown in Figure 3, 75% checked one or more cells in the "Reliability Prediction" row, 84% checked one or more cells in the "Testing Techniques" row and 81% checked one or more cells in the "Development Tools" row.

Thirty-four percent of those that responded included comments in the "Other" row. The keywords most often mentioned or implied here were: cost, management, reliability, productivity development and production design languages, testing standards, and maintainability.

## Data Collection

Sixty-two percent of the respondents indicated they were collecting data (Figure 4), 77% indicated they would be willing to share experiences (Figure 5), and 76% indicated they would support making the data available (Figure 6).

Of those respondents that indicated they were collecting data, 83% stated they would be willing to share experiences and 82% indicated they would support making the data available (Table II). Of those that were not collecting data, 68% noted that they would share the data (i.e. the data they did not have). This anomaly most likely occurred because the respondents wanted to indicate that they would be willing to share if they did have the data.

Seventy-five comments were made in this section even though there was no specific "other" category. Under the collection question, the majority (70%) of the comments were concerned with qualifying their collection (e.g. planning, informally, some, etc.). In addition, six specifically noted cost data.

For the other two questions on sharing experiences and data, the majority (75%) of the comments had to do with the need for approval and proprietary limitations.

### User Attributes

Of those that responded, 25% stated they were from the government, 43% from industry, 20% from universities and 12% "other" (Figure 7). The "other" category means that either none or more than one box was checked. If more than one box was checked, the respondee is most likely teaching and consulting for the government or industry, or working for an industrial firm on government contracts.

To provide a comparison of those who responded to those who were recipients of the survey, the mailing lists were analyzed by categorizing the institutional type of the recipients (Table III). Most of the recipients were not difficult to categorized except for the "other" category. As can be seen, the number that responded followed closely to those who received the survey.

The survey also asked the potential users to indicate their principal functions as: design software, software development research, and/or evaluate software. The highest percentage (64%) of respondees noted that one of their principal functions was designing software; the lowest percentage (41%) indicated a user of software (Figure 8).

### Receiving/Providing Further Information

The last question on the survey was included to provide us with some indication as to interest in the repository. The response was overwhelmingly positive. Of those that responded, 307 (or 91%) indicated "yes" to the question: "Are you interested in receiving and/or providing further information?", 13 (or 4%) indicated "no", and 17 (5%) checked neither box.

### Usage vs Institution Type

The data on institution type as related to potential usage showed that the respondees of the universities were slightly more interested in development tool effects than those from the government or industry. Those from the government expressed more interest in obtaining the results of analyses than those from industry or the universities. Table IV contains summary data correlating this potential usage of the data repository and the Institution Type. For example, 36 respondees indicated they were from the government and were interested in "Reliability Prediction, Data for Your Own Analysis". Referring to Table I, 36 of the 136 who indicated interest in "Reliability Prediction, Data for Your Own Analysis" were from the government. In reviewing this column, 123 cells out of a total of 490 cells (25%) checked by the government respondees indicated interest in data for some technology area. This is the lowest percentage for any category vs institution type. However, there is little variation when comparing the total percentages to the individual percentages for each institution type.

### Data Collection vs Institution Type

Within institutions, the greatest percentage (69%) collecting data are from the government; the least percentage (48%) collecting data are from the

universities; and the respondents from the universities are the most willing to share experience and data (88% and 85%, respectively). Although still an encouraging response, those from industry were least willing to share experiences (70%). The distribution of the respondents by institution type to those responding "yes" to the data collection question is illustrated in Figure 9; to those responding "yes" to the sharing experience question is shown in Figure 10; and to those responding "yes" to the sharing data question is illustrated in Figure 11.

#### Function vs. Institution Type

The highest percentage of respondents that stated they were designing software was from industry (72%) and the highest percentage of respondents for implementing software (69%) was also from industry. The government respondents showed a slight edge over industry (49% vs. 46%) for evaluating software; the universities showed a slight edge over industry (44% vs 42%) as users of software (Figure 12).

The largest differential occurred in the software development research area. The universities indicated 71% compared to 61% for "other", 50% for industry and 49% for government.

#### Interest vs Institution Type

As seen in Figure 13, 91% of all the respondents indicated they were interested in receiving/providing further information. The highest percentage of affirmative responses came from industry (94%). The lowest percentage of negative responses came from government (2%).

#### 4. Conclusions

First and foremost, the survey results indicate that the software development community is interested in the establishment of a software data repository. The potential users are most interested in receiving information on the effects that different tools and techniques have on the software development process; and, secondarily, are interested in having access to data so that they can perform their own analyses. The effects on productivity, costs and reliability are also areas of user interest.

Most of the potential users are either collecting data or planning to do so, and an overwhelming majority are willing to share these data with a non-partisan organization. The user group showing the highest level of data collecting activities is the government, rather than industry or the universities. University personnel are the most willing of the three user groups to share their data.

The principal functions of government and industrial users are designing and implementing software; whereas, with the universities, the principal function is performing research in the area of software development.

SOFTWARE DATA REPOSITORY SURVEY

A. USAGE

What might be your usage of the Data Repository? (Please check appropriate cells)

|                           | DATA FOR YOUR OWN ANALYSIS | REPORTS ON ANALYSIS TOOLS | RESULTS OF ANALYSIS      |
|---------------------------|----------------------------|---------------------------|--------------------------|
| 1. Reliability prediction | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |
| 2. Testing techniques     | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |
| 3. Development tools      | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |
| 4. Other _____            | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |
| _____                     | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |
| _____                     | <input type="checkbox"/>   | <input type="checkbox"/>  | <input type="checkbox"/> |

B. DATA COLLECTION

- 1. Are you presently collecting, have collected, or are planning to collect software error and/or cost data?  yes  no
- 2. Would you be willing to share your experiences and reporting procedures with a non-partisan organization?  yes  no
- 3. Would you support making the data available to a non-partisan organization with appropriate confidentiality safeguards?  yes  no

C. USER ATTRIBUTES

- government
- industry
- university
- Principal Functions:
  - design software
  - implement software
  - user of software
  - software development research
  - evaluate software
  - other

Name \_\_\_\_\_ Phone \_\_\_\_\_

D. Are you interested in receiving and/or providing further information?  yes  no

Figure 1 SURVEY RESPONSE CARD

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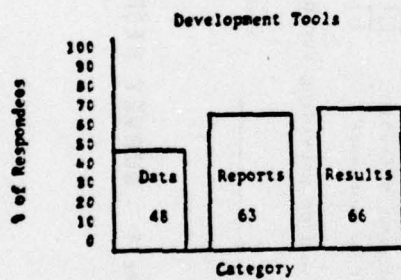
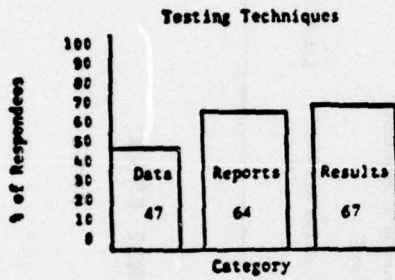
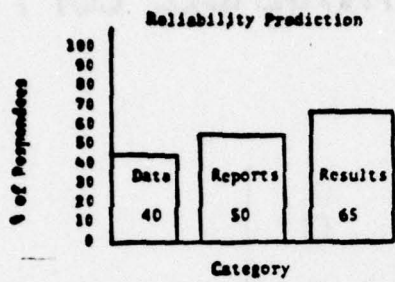


Figure 2 TECHNOLOGY vs. CATEGORY

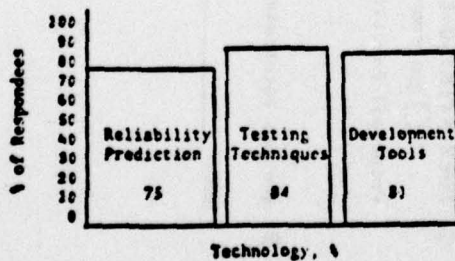


Figure 3 RESPONSE DISTRIBUTION BY TECHNOLOGY

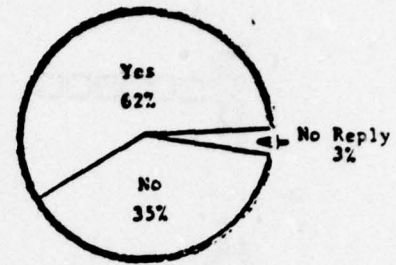


Figure 4 RESPONSE DISTRIBUTION BY COLLECTING DATA

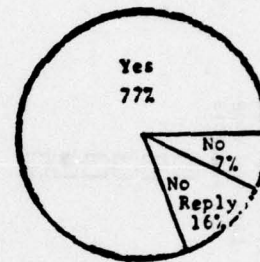


Figure 5 RESPONSE DISTRIBUTION BY SHARING EXPERIENCE

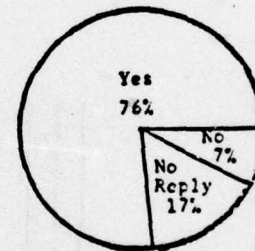


Figure 6 RESPONSE DISTRIBUTION BY SHARING DATA

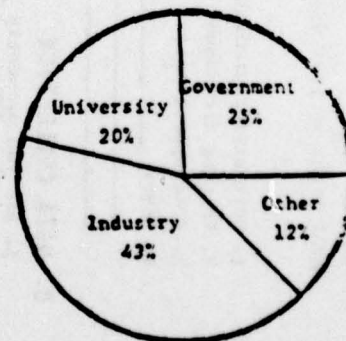


Figure 7 RESPONSE DISTRIBUTION BY INSTITUTION TYPE

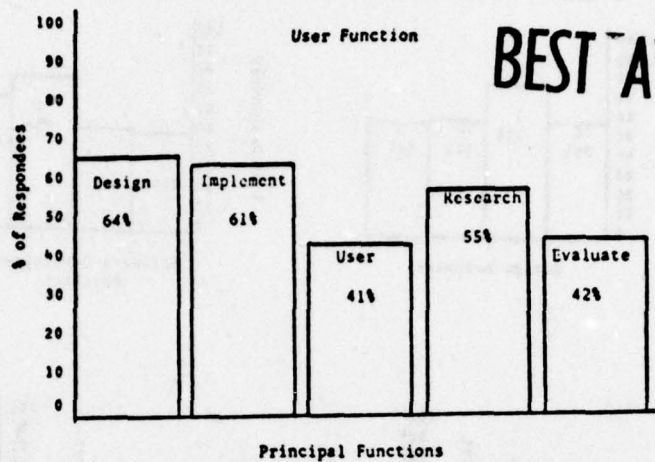


Figure 8 RESPONSE DISTRIBUTION BY PRINCIPAL FUNCTIONS

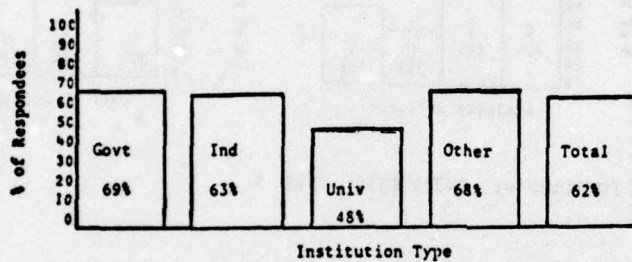


Figure 9 YES, COLLECTING DATA vs. INSTITUTION TYPE

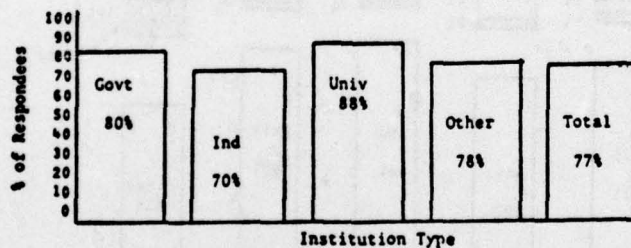


Figure 10 YES, SHARING EXPERIENCES vs. INSTITUTION TYPE

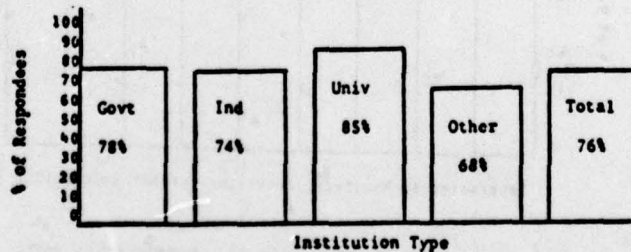


Figure 11 YES, SHARING DATA vs. INSTITUTION TYPE

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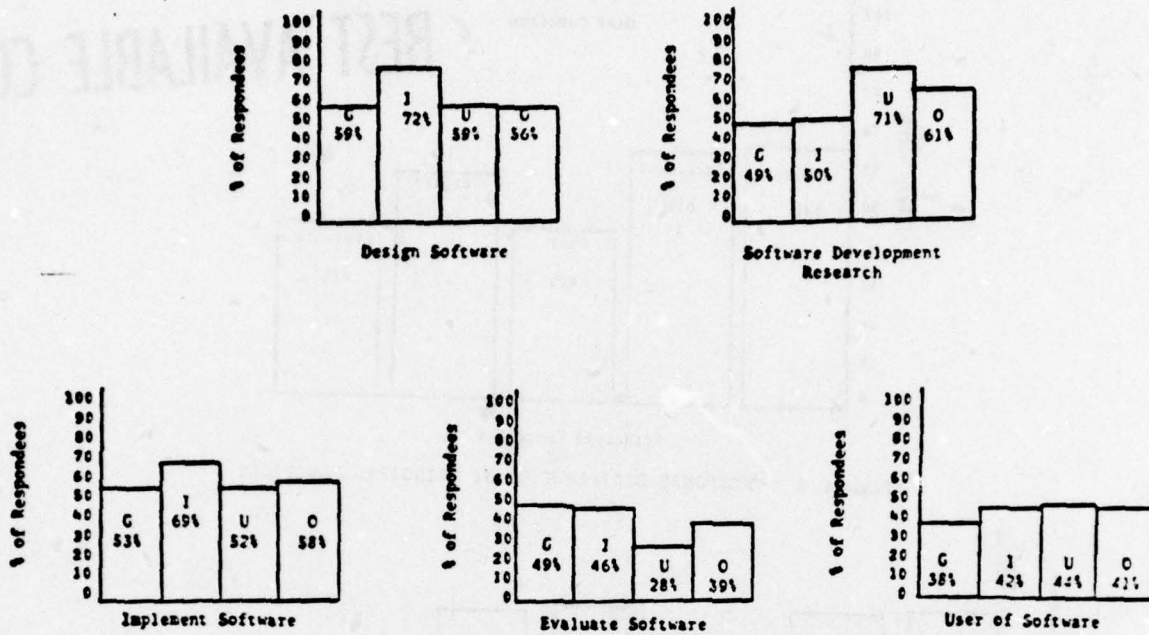


Figure 12 FUNCTION vs. INSTITUTION TYPE

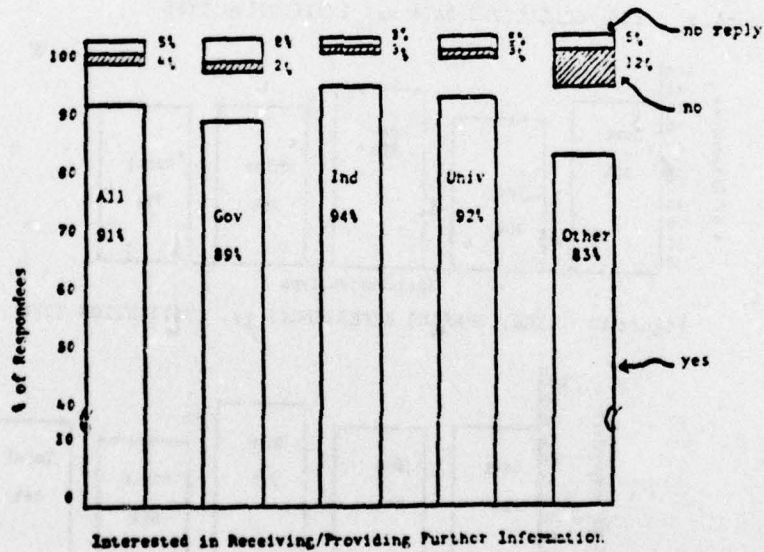


Figure 13 INTEREST vs. INSTITUTION TYPE

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Table I  
USAGE SUMMARY TABLE

| Technology                         | <u>Data for Your Own Analysis</u> |             | <u>Reports on Analysis Tools</u> |             | <u>Results of Analysis</u> |             | <u>TOTAL OCCURRENCES EACH TECHNOLOGY</u> |       |
|------------------------------------|-----------------------------------|-------------|----------------------------------|-------------|----------------------------|-------------|------------------------------------------|-------|
|                                    | No.                               | % of Total* | No.                              | % of Total* | No.                        | % of Total* | No.                                      | %     |
| Reliability Prediction             | 136                               | (40)        | 169                              | (50)        | 218                        | (65)        | 523                                      | (30)  |
| Testing Techniques                 | 159                               | (47)        | 216                              | (64)        | 226                        | (67)        | 601                                      | (35)  |
| Development Tools                  | 160                               | (48)        | 211                              | (63)        | 223                        | (66)        | 594                                      | (35)  |
| ALL TECHNOLOGIES TOTAL OCCURRENCES | 455                               | (26)        | 596                              | (35)        | 667                        | (39)        | 1718                                     | (100) |

\*Total number of responses = 337.

Table II COLLECTING DATA vs. SHARING EXPERIENCES AND DATA

| Yes, Share Experience, % |                      |  | Yes, Share Data, % |
|--------------------------|----------------------|--|--------------------|
| 68                       | not collecting data  |  | 68                 |
| 50                       | no reply             |  | 50                 |
| 83                       | yes, collecting data |  | 82                 |

Table III  
INSTITUTION TYPE - RECIPIENTS vs. RESPONDEES

| Institution Type | Mailed, % |     |      | Responses (both) |     |
|------------------|-----------|-----|------|------------------|-----|
|                  | 1st       | 2nd | Both | %                | No. |
| Government       | 41        | 11  | 27   | 25               | 85  |
| Industry         | 48        | 63  | 55   | 43               | 146 |
| University       | 9         | 24  | 16   | 20               | 65  |
| Other            | 2         | 2   | 2    | 12               | 41  |
| Total            | 100       | 100 | 100  | 100              | 337 |

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Table IV  
SUMMARY: USAGE vs. INSTITUTION TYPE

| Technology             | Data for Your Own Analysis |       |    | Reports on Analysis Tools |     |       | Results of Analysis |    |     | Technology Totals |    |    |     |     |        |    |     |    |    |    |  |
|------------------------|----------------------------|-------|----|---------------------------|-----|-------|---------------------|----|-----|-------------------|----|----|-----|-----|--------|----|-----|----|----|----|--|
|                        | G                          | I     | U  | G                         | I   | U     | G                   | I  | U   | G                 | I  | U  |     |     |        |    |     |    |    |    |  |
| Reliability Prediction | 36                         | 62    | 18 | 20                        | 44  | 79    | 26                  | 20 | 63  | 97                | 34 | 24 | 143 | 29  | 236    | 32 | 75  | 27 | 64 | 35 |  |
| Testing Techniques     | 45                         | 71    | 27 | 16                        | 63  | 95    | 36                  | 22 | 72  | 94                | 37 | 23 | 180 | 37  | 260    | 34 | 100 | 35 | 61 | 33 |  |
| Developmental Tools    | 42                         | 71    | 31 | 16                        | 57  | 94    | 39                  | 21 | 68  | 94                | 39 | 22 | 167 | 34  | 259    | 34 | 109 | 38 | 59 | 32 |  |
| Totals G               | 123                        | (25%) |    |                           | 164 | (33%) |                     |    | 203 | (41%)             |    |    |     | 490 | (100%) |    |     |    |    |    |  |
| I                      | 204                        | (27%) |    |                           | 268 | (35%) |                     |    | 285 | (38%)             |    |    |     | 757 | (100%) |    |     |    |    |    |  |
| U                      | 76                         | (27%) |    |                           | 101 | (35%) |                     |    | 110 | (38%)             |    |    |     | 287 | (100%) |    |     |    |    |    |  |
| O                      | 52                         | (28%) |    |                           | 63  | (34%) |                     |    | 69  | (38%)             |    |    |     | 184 | (100%) |    |     |    |    |    |  |

Key:  
G - Government  
I - Industry  
U - University  
O - Other

Appendix C  
SOFTWARE ENGINEERING TERMS  
FROM THE  
LITERATURE

## Appendix C

### SOFTWARE ENGINEERING TERMS FROM THE LITERATURE

#### 1. Introduction

This Appendix contains definitions of software engineering terms as they appear in the literature. A list of the papers and reports that were searched and used for the term definitions is included in Section 2, along with the corresponding reference number.

Section 3 contains a list of the terms, the reference number (in parenthesis) of the document that contained the definition, and the definition.

2. References

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- 005 Barry, Barbara S., "Structured Programming Series, Volume X, Chief Programmer Team Operations Description", RADC-TR-74-300, 22 January 1975, AD A008 861
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- 016 Boehm, B.W., et. al., "Characteristics of Software Quality", TRW-SS-73-09,  
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- 017 Clapp, J.A., "Software Engineering: Problems and Future Developments",  
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- 018 Luppino, F.M. et. al., "Structured Programming Series, Volume V, Pro-  
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## Definitions

- Accreditation (012)**  
All activities that, taken together, establish a sufficient level of confidence in the final product that the developer is able to guarantee its functional performance to specifications and to provide a warranty to the customer with minimum risk of additional support at the developer's expense.
- Accuracy study processor (003)**  
A computer program used to perform calculations to assist in determining if program variables are computed with required accuracy.
- Actual costs (018)**  
Data describing the results of programming actions for a project that will be the primary costs included in the management reports.
- Analytical Modeling (003)**  
The technique used to express mathematically (usually by a set of equations) a representation of some real problem. Such models are valuable for abstracting the essence of the subject of inquiry. Because equations describing complex systems tend to become complicated and often impossible to formulate, it is usually necessary to make simplifying assumptions which may distort accuracy. Specific language and simulation systems serve as aids to implementation.
- Analyzer (003)**  
A computer program used to provide source language or execution frequency statistics at the program or source-statement level to assist in performance evaluation and determination of test case coverage.
- Assemblers (012)**  
Tools that translate programs written in symbolic machine language into actual machine language programs.
- Automated network or path analysis (009)**  
A technique which defines a practical measurable means by examining source code and determining the minimum set of paths which exercise all logical branches of a program.
- Automated test generator (003)**  
A computer program that accepts inputs specifying a test scenario in some special language, generates the exact computer inputs, and determines the expected results.
- Automated verification systems (003)**  
Computer programs that instrument the source code by generating and inserting counters at strategic points to provide measures of test effectiveness. They provide data that details how thoroughly the source code has been exercised.
- Block diagram (008)**  
A diagram of a system, instrument, or computer, in which the principle parts are represented by suitably associated geometrical figures to show both the basic functions and the functional relationships among the parts. (ANSI)
- Budgeting and estimating (012)**  
Those activities that determine the levels of effort and resources needed to accomplish a project.
- Bug (002)**  
One or more software bugs exist in a system if a software change is required to correct a single major error or minor error so as to meet specified or implied system performance requirements.
- Certification (009)**  
Carries the connotation of an authoritative endorsement and

seems to imply testifying in writing that the program is of a certain standard or quality. Certification usually implies the existence of an

independent quality control group. Certification extends the process of verification and validation to an operational environment and involves acceptance testing of the overall system.

**Certification (012)**

The process of demonstrating that the system meets its customer requirements and guaranteeing that compliance in writing.

**Certification tools (012)**

Information reporting and summarizing components that provide a systematic data collection and summarizing mechanism that produces a system status report.

**Change (002)**

Any alteration (addition, deletion, correction) of the program code whether it be a single character or thousands of lines of code. Changes made to improve documentation or satisfy new specifications are important to record and study but are not counted as bugs.

**Chief programmer team (017)**

This concept implies the use of a highly structured team of specialists for software production relying on technical procedures which are based on structured programming principles, and office procedures backed up with automated aids to support group communication. Specialized roles for people on a project, and the relationships among them, are well-defined.

**Comparator (003)**

A computer program used to compare two versions of the same computer program under test to establish identical configuration or to specifically identify changes in the source coding between the two versions.

**Compiler (012)**

A tool, used in the production of software systems, that allows programs to be written in higher-order languages. Examples include PL/I compiler, FORTRAN Compiler, and COBOL compiler.

**Computer data (010)**

A representation of facts, concepts or instructions in a structured form suitable for acceptance, interpretation

or processing by communication between computer equipment. Such data can be external (in computer-readable form) or resident within the computer equipment and can be in the form of analog or digital signals.

**Computer equipment computer hardware (010)**

Devices capable of accepting and storing computer data, executing a systematic sequence of operations on computer data or producing computer outputs. Such devices can perform substantial interpretation, computation, communication, control and other logical functions. Examples: central processing units, terminals, printers, analog/digital converters, tape drives, disks and drums.

**Computer program certification (003)**

The test and evaluation of the complete computer program aimed at ensuring operational effectiveness and suitability with respect to mission requirements under realistic operating conditions.

**Computer program validation (003)**

The test and evaluation of the complete program aimed at ensuring compliance with performance and design criteria.

**Computer program verification (003)**

The iterative process of determining whether the product of each step of the computer program acquisition process fulfills all requirements levied by the previous step.

**Computer software (010)**

A combination of associated computer programs and data

- required to command the computer equipment to perform computational or control functions.
- Computer system (010)**  
An interacting assembly consisting of computer equipment, computer programs and computer data.
- Computer turnaround time (018)**  
the time differential between the submittal of a job to the Computer Center and the return of the job results to the programmer.
- Configuration control (012)**  
A methodology concerned with procedures for controlling the contents of a software system. A way of monitoring the status of system components, preserving the integrity of released and developing versions of a software system, and controlling the effects of changes throughout the system.
- Configuration management (012)**  
All activities related to controlling the contents of a software system. It monitors the status of system components, preserves the integrity of released and developing versions of a system, and controls the effects of changes throughout the system. It is a process dealing as much with procedures as with tools.
- Constants auto checker (003)**  
A computer program used to search a tape for all constants and parameters to identify the name of the constant, its storage location, and the binary scale factor. These are then compared with specification values to assure compliance.
- Control (012)**  
A major sub-division without configuration management. The procedures by which changes to the Design Requirements are proposed and formally processed.
- Conversion aids (007)**  
Those software tools which assist in converting operational software from one compiler to another. These tools analyze the source code as written for one compiler and highlight those statements which are not compatible with the capabilities of the target compiler. In some instances these conversion aids will replace the incompatible statements with one or more target compiler statements which are designed to achieve the same result.
- Correctness proof (004)**  
The technique of proving mathematically that a given program is consistent with a given set of specifications. This process can be accomplished by manual methods or by program verifiers requiring manual intervention.
- Correctness proofs (003)**  
Automated verification systems exist which allow the analyst to prove small programs are correct by means similar to those used in proving mathematical theorems. Axioms and theorems derived are used to establish validity of program assertions and to provide a fundamental understanding of how the program operates.
- Cost data (019)**  
Data describing the costs associated with the resources expended by a software project.
- Cost estimation (012)**  
A standard technique for estimating the amount of labor necessary for the completion of a task, the amount and potential costs of computer time required, etc., prior to and during a project's lifetime.
- Cost management (012)**  
A collected set of tools that provide the criteria and devices for tracking project costs.
- Cross compiler (012)**  
A tool that can operate on a host computer and produce code for a designated external computer.

**Cross-assembler (003)**

A computer program that accepts symbolic instruction mnemonics for a selected target computer and generates target computer machine code while hosted on another computer. A cross-assembler thus allows code written for one computer to be assembled on another.

**Cross-reference program (003)**

A group of computer programs that provide cross-reference information on system components. For example, programs can be cross-referenced with other programs, macros, parameter names, etc. This capability is useful in problem-solving and testing to assess impact of changes to one area or another.

**Cross-reference tools (007)**

Utility programs which provide cross-reference data concerning a program written in a higher level language. These utility programs analyze a source program and provide as output such data as follows:

1. Statement label cross-index
2. Data name cross-index
3. Literal usage cross-index
4. Inter-subroutine CALL cross-index
5. Statistical counts of statement types

**Cyclic data (018)**

Data on programming activities that occurred since the last management reporting period.

**Data (013)**

A set of facts

**Data analysis tools (012)**

Programs specifically designed to perform statistical and comparative analyses on data produced during the execution of a program test.

**Data base analyzer (003)**

A computer program that reports information on every usage of data, identifies each program using any data elements, and indicates whether the program inputs, uses, modifies, or outputs the data element. Any unused data is printed. Errors dealing with misuse and non-use of data and conflicts in data usage are identified.

**Data definition language (003)**

A computer program used to describe data at a sufficiently high level in order to make the use of a particular programming language transparent to the data definition process. This language allows us to specify the data so that multiple languages can share and use it.

**Data item (013)**

A specific entity of data.

**Data reduction tools (012)**

Often data-base dependent, these programs process a data set and convert the information into readable form. These programs perform statistical and comparative transformations on the recorded data obtained by instrumentation.

**Debugging (009)**

Debugging starts with known errors and attempts corrections.

**Debugging (012)**

The testing of a program for proper execution. Includes the detection, diagnosis, recovery, and correction of program errors.

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Also see Validation and Debugging Tools.

**Debugging tools (012)**  
 Those programs designed to locate and eliminate programming errors and to test a program for proper execution. Also see Validation and Debugging Tools.

**Decision table (008)**  
 A tabular representation of the following three items:  
 1. Conditions - factors to consider in making a decision.  
 2. Actions - steps to be taken when a certain combination of conditions exist.  
 3. Rules - specific combinations of conditions and the actions to be taken under those conditions.

**Deliverable code indicator (018)**  
 Indicates whether or not the code will be delivered to the customer.

**Delivery (001)**  
 The point at which the software package is turned over to a customer for use in the operational environment.

**Design implementation specifications (012)**  
 This document defines the system and program design. It includes block and program flow diagrams, set-used information on all data descriptions of all data and may include narrative descriptions of the operation of every program in the system.

**Design language (003)**  
 A computer program used to provide an understandable representation of the software design as it evolves. These programs allow designs to be constructed and expanded in a hierarchical fashion. They document the design and the decisions that led to it.

**Design requirements baseline document (012)**  
 This document is the baseline description of the object system; hence, the foundation upon which system design and configuration control proceed.

**Design simulation (009)**  
 A technique that describes a proposed system, produces a computer based "model" or simulated system, and then evaluates the effect of various system requirements and design alternatives.

**Design validation (009)**  
 The examination or inspection of the functional requirements and the design of a software system for the purpose of finding errors. Other terms used to describe this technique or variations of this technique include design review, project review, design inspections, walk-throughs, and in-process reviews. This technique is similar to the design verification technique except that it is performed earlier in the software development cycle and at a system functional level.

**Design verification (009)**  
 The examination or inspection of a software specification for the purpose of finding design errors. Other terms used in the literature to describe this technique or variations of this technique include design review, design inspection, specification testing, paper testing walk-through, structured walk-through, and preliminary design review.

**Development test and evaluation (003)**  
 Test and evaluation that focuses on the technological and engineering aspects of the system, or equipment items (AFR 80-14).

**Diagnostics debug aids (003)**  
 Compile and execution time checkout and debug capabilities that help identify and isolate program errors. These capabilities usually include commands or directives such as DUMP, TRACE, MODIFY, CONTENTS, BREAKPOINT, etc.

**Direct interface (001)**  
 An interface immediately between two software elements.

**Documentation (012)**

the production of all the paper work necessary to describe the final product. Examples include: cross-reference listings, dictionary listings, and flow charts.

**Driver program (009)**

Superfluous (throw-away) code needed to perform the unit testing and lower levels of integration testing in a bottom up software development effort.

**Drivers (009)**

Computer based informal testing technique and are used in conjunction with other techniques almost exclusively during the system implementation phase of a software development project.

**Dynamic simulator (003)**

A computer program used to check out a program in a simulated environment similar to that in which it will reside. Closed-loop effects between computer and environmental models are gained when inputs and outputs are responded to by the various models. The simulator allows the environment to be stabilized at a specific configuration for any number of runs required to observe, diagnose, and resolve problems in the operational program.

**Editor (003)**

A computer program used to analyze source programs for coding errors and to extract information that can be used for checking relationships between sections of code. The editor will scan source code and detect violations to specific programming practices and standards, construct an extensive cross-reference list of all labels, variables, and constants, and check for prescribed program formats.

**Egoless programming (017)**

This term was coined by Weinberg (The Psychology of Computer Programming, Van Nostrand Reinhold Company New York, 1971). He felt that some programmers can become psychologically attached to their programs as extensions of themselves so that errors in programs become damaging to the programmer's self-image. An egoless programming environment is one that creates an attitude that open, shared programming is good. By making code publicly available, the ownership of code is discouraged, and individuals are encouraged to write code that will be clear and understandable to others.

**Element (001)**

A Grouping of Routines which performs a prescribed function.

**Embedded computer system ECS (010)**

A computer system that is integral to an electromechanical system such as a combat weapon system; tactical system; aircraft, ship missile, spacecraft, certain command and control systems; and civilian systems such as an automated rapid transit system. Embedded computer systems are primarily differentiated from automatic data processing systems (ADPs) by how they are developed, acquired and operated in a using system.

Its key attributes are:

1. It is physically incorporated into a larger system whose primary function is not data processing.
2. It is integral to such a larger system from a design, procurement and operations viewpoint.
3. Its outputs generally include information, control signals and computer data.

**Engineering Scientific Simulations (003)**

An engineering simulation is used to study system characteristics, develop algorithms, and provide data that act as a standard for testing. These programs generally simulate subsystems at varying degrees of complexity depending on the subsystem being studied or the use made of the simulation. They generally consist of a set of modules, each of which is assigned a specific simulation function and is designed with well-defined inputs and outputs and precise

interfaces. Each module performs an assigned simulation function to vary the method, speed of computation, accuracy, complexity, etc. The structure can encompass all basic simulation capabilities for simulation of continuous and discrete systems.

**Engineering change proposal (012)**

The formal vehicle by which a change in the baseline document is proposed. It describes the nature and magnitude of a proposed change and the impact of the change on all elements of the system.

**Environment simulator (003)**

A computer program used to permit testing of operational programs on a host computer. The operational programs run under simulated conditions as if they were operating within the real-time control program of a machine to which all of the devices constituting the ultimate system are attached. The simulator program contains expansions of all control program macros that modify the entry block and other working storage in the same manner as the macros in the actual control programs.

**Equate program (003)**

A computer program that lists all equivalences found in examined code and prints warnings when multiple equivalences are found.

**Estimating (012)**

Determining what levels of effort and what resources need to be applied to accomplish the desired results. Also see Budgeting and Estimating.

**Execution analysis (009)**

The automated monitoring of the computer based software testing activities, collection data from these testing activities, and subsequently predicting, by manually analyzing the data, the duration and cost of testing, and the quality of the software product. Other terms used in the literature referring to this technique or variations of this technique include code analyzer, code auditor, program evaluator, and product assurance evaluator.

**Flight tests (003)**

A technique used to demonstrate hardware and software performance in actual system operation.

**Flow charts (012)**

Diagrams of a program's logic flow.

**Flowchart (003)**

A graphical representation for the definition, analysis, or solution of a problem, in which symbols are used to represent operations, data, flow, equipment, etc. (ANSI).

**Flowchartter (003)**

A computer program used to show in detail the logical structure of a computer program. The flow is determined from the actual operations as specified by the executable statements, not from comments. The flowcharts generated can be compared to flowcharts provided in the computer program specification to show discrepancies and illuminate differences.

**Flowcharting tools (007)**

Utility programs which automatically draw program flowcharts directly from source code.

**Formal Validation (011)**

Mathematical techniques for proving program correctness.

**Formal testing (001)**

Testing conducted according to test procedures which are documented and approved by contractor and customer.

**Formal testing (009)**

Testing performed in accordance with customer-approved test plans. This type of testing verifies that the software system

is operating according to the requirements of the development specifications. Normal testing is usually performed during the system evaluation phase of software development. Terms used in the literature to describe this testing include:

- 1 System Integration Testing
- 2 Prototype Testing
- 3 System Testing
- 4 Acceptance Testing

Function (001)

A grouping of routines which performs a prescribed function.

Function (012)

A sub-division of processes.

Functional requirements (006)

Describe the functions the system must perform.

Functional specifications (012)

Describe a system in terms of its principle functions and their interrelationships, i.e., the functional relationships of the parts.

Functional testing (009)

The execution of independent tests designed to demonstrate a specific functional capability of a program or a software system.

Generator (003)

A generator produces test data or test cases to exercise the target system. A generator in this case is differentiated from a simulator because it actually creates test data using numerical iterators, random number generators, etc. Once the data are produced by the generator, a simulator might be required to route the data to the system.

Generators are useful in a system test environment where "live" data is not available. Useful output of a data generator are tapes of logged data that can be used with a data replay facility for establishing standard test cases.

HIPO (008)

Hierarchy plus input/process/output is a graphic design technique used to show function. HIPO diagrams describe functions in terms of the input to a process. They show a system, subsystem, or program functionally, i.e., the functions that it performs, answering the question "what does it do?" Since these diagrams are visual, they are easier to understand than most documentation which is narrative. Although flowcharts are another graphic design technique, they show organization and logic in contrast to function.

Hardware monitors (003)

A unit that obtains signals from a host computer system through probes attached directly to the computer's circuitry. The signals obtained are fed to counters and timers and are recorded. These data are reduced to obtain information about CPU utilization, channel activity, etc. These data can be used to improve both system and program performance.

Implementation misinterpretation error (019)

An error for a unit of source code associated with a program due to the misinterpretation of the program specifications.

Implied system performance (002)

An unwritten requirement which is understood by the majority of the project team to be essentially equivalent to a written requirement.

Informal proof of correctness (012)

The visual inspection of a small, comprehensive set of test cases indicating that the code of a program segment matches its specification. Validation of the program segment is based on axioms stating that lower-level segments match their specifications.

Informal testing (009)

Testing that utilizes internal test documentation control and

procedures. Informal testing usually is designed to be development group testing and requires no formal customer approval. Informal testing usually begins when the first program unit is coded and continues throughout the system implementation phase of software development. Terms used in the literature to describe this testing include:

- 1 Unit Testing
- 2 Subsystem Testing
- 3 Integration Testing
- 4 Component Testing
- 5 Development testing

**Information (018)**

Correlation of data for the process of informing.

**Instruction simulator (003)**

A computer program used to simulate the execution characteristics of a target computer using a sequence of instructions of a host computer. The instruction simulator provides bit-for-bit fidelity with the results that would be produced by the target computer following the same operations and initial conditions.

**Instruction trace (003)**

A computer program used to record every time a certain class of operations occurs and trigger event-driven data collection. In some cases, this creates a complete timed record of literally everything significant that occurred during program execution. These traces contain data on instruction and become a permanent record of a program's execution.

**Instructions (001)**

(machine instructions (machine dependent parameter)

**Instrumentation tools (012)**

Those programs that monitor and record information about an object system, or portions thereof, as it operates data reduction and analysis.

**Interface checker (003)**

A computer program used to automatically check the range and limits of variables as well as the scaling of source programs to assure format compliance with interface and control documents.

**Interface specification document (012)**

A document that serves as a communications vehicle between the Configuration Control and Technical Implementation processes, and supports the coordination of efficient, controllable interfaces.

**Internal delivery (001)**

The point at which the software as an entire package is given to the independent test group.

**Interrupt analyzer (003)**

A computer program that examines source code and determines potential conflicts in the use of data and/or storage due to interrupts.

**JOBVAL (012)**

A programming language designed specifically for command and control projects.

**Job (018)**

Computer job consisting of one or more steps such as compilation, assembly, or utility runs.

**Language processors (003)**

Computer programs used to translate high-level or symbolic instruction mnemonics into computer-oriented code capable of being obeyed by a computer. These processors typically have capabilities for error detection through syntax analysis and provide symbolic addressing, expression evaluation, and symbol cross-reference listings. Compilers, assemblers and meta-assemblers are examples of this category of aids.

**Levels of abstraction (012)**

A design and implementation method that helps produce reliable software that is more easily modified and maintained

by identifying and placing into a hierarchical structure the functional processes and data resources that constitute the system's program structure. In addition, a design discipline that supports the creation of a well behaved, hierarchically structured, modular system.

Line of source code (013)

80 character card image of source code.

Lines of source code from another source (013)

Code not developed but extracted from other sources.

Loadable program data (012)

Data that is relocatable or absolute binary modules produced by a link editor.

Loader (003)

A computer program that enables external references of symbols among different assemblies as well as the assignment of absolute addresses to relocatable strings of code. This program provides diagnostics on assembly overlap, unsatisfied external references, and multiple defined external symbols.

Logic equation generator (003)

A computer program used to automatically reconstruct arithmetic text and to flowchart assembly language programs. One such program translates assembly language instructions into a machine-independent microprogramming language and builds the microprogramming statements into a network in which flow of control is analyzed and equations reconstructed.

MAP program (003)

A computer program used to provide location and/or size information about all or selected parts of the target system, or about device-resident data.

Major error (002)

A catastrophic event which interrupts or could interrupt most of all major system functions, e.g. an infinite loop, system crash, a major memory overflow, a data base corruption, etc.

Management (012)

A term that indicates methodology, tools, and procedures.

Management control and project visibility (012)

Those processes that monitor the project's status in respect to planned levels of schedule, cost, and performance, and take corrective action if necessary.

Management functions (005)

Although the management process has been described in many ways, four basic functions have received general acceptance - planning, organizing, controlling and communicating.

1. Planning. The function of determining the project objectives and the policies, programs, procedures and methods for achieving them. The planning function must provide a framework for decision making.

2. Organizing. The function of determining the activities required to achieve the objectives of a programming project, the departmentation of these activities and the assignment of authority and responsibility for their performance.

3. Control. The function of assuring that the various components of a project are performing in accordance with the plan. Control is essentially the measurement and modification (if necessary) of component activities to assure the accomplishment of the overall plan.

4. Communications. The function of transferring information among decision makers throughout the project.

Management statistical data (018)

General name applied to all the data collected and accumulated by the PSL for the purpose of producing management reports including both Plan and Actual data.

Management statistical data base (018)

A data base containing Management Statistical data for an ongoing programming project.

Manual based testing (009)

Testing that is usually directed at evaluating both the design and the product (i.e., programs and documentation). The design is usually evaluated from documents containing information such as functional requirements, system specifications, and program specifications. The product evaluation usually involves review of the computer programs and the documentation describing the programs or systems.

**Metacompiler (012)**

A compiler system designed specifically to implement (compile) language compilers.

**Metric (001)**

A measure of the extent or degree to which the software possesses and exhibits a certain characteristic, quality, property, or attribute.

**Minor error (002)**

A marginal event which allows or could allow some portions of the system to operate properly while interrupting others, e.g. some missing output, some wrong output, an inaccurate computation, a recoverable transient error, etc.

**Mistake (011)**

A human action producing an unintended result.

**Modeling and simulation tools (012)**

Tools used for trade-off studies and to investigate particular abstractions and approaches for the system design. They are useful for analyzing and modeling particular approaches to system designs. Examples include: CASE, CSS, GPSS, MODLIT, SCERT, and SPCL.

**Modifiability (013)**

Implies controlled change, in which some parts or aspects remain the same while others are altered, all in such a

way that a desired new result is obtained.

**Modular programming (003)**

the technique of producing relatively small, easily interchangeable computer routines which meet certain standardized interface requirements. This technique makes it easier to develop and verify completed computer programs. Modularity is accomplished by breaking the program into limited line-segments that perform complete functions and are therefore completely understandable in themselves. Aids that help implement these techniques are standards and procedures.

**Modularity (013)**

Deals with how the structure of an object can make the attainment of some purpose easier. Modularity is purposeful structuring.

**Module (012)**

A program unit that is discrete and identifiable with respect to compiling, combining with other units, and loading.

**Object program data (012)**

The resulting form of a source language program after processing by a compiler or assembler. They are also called Object Modules.

The object program is in a format suitable for loading and execution. It may require additional processing by a link loader or link editor.

**Operational (001)**

The status given a software package once it has completed contractor testing and its turned over to the eventual user for use in the applications environment.

**Overlay program (003)**

A computer program that allows specific system components (load modules, core, data base, etc.) to be modified during execution. In the case of modules, a program with an error can be replaced in core without bringing the system down and starting it up again. System parameters that affect performance can be varied during execution to compare various priority, timing, etc., schemes.

PSL job (018)  
 All of the computer processing that results from a single user request to execute the PSL for the purpose of performing one or more PSL functions such as update, compile or output.

Path analysis (007)  
 A software technique which scans source code in order to design an optional set of test cases to exercise the primary paths in a software module.

Performance (009)  
 The evaluation of nonlogical properties (i.e., computer run time, resource utilization) of a software system. Performance is measured in terms of the amount of resources required by a software system to produce a result.

Performance oriented data (012)  
 Information regarding management items.

Performance requirements (006)  
 Specify the time and space constraints which must be met.

Peripheral simulator (003)  
 A computer program used to test critical computer/peripheral interfaces that exist in real-time applications. These simulators range from functional, in which case the peripheral provides feedback to the program on the assumption that all interface constraints are satisfied, to high-fidelity simulations in which the interface constraints must be modeled to a detailed level of timing and message formatting.

Plan data (018)  
 Data describing the method or scheme of action for a project that will be included in the management reports.

Planning (012)  
 A technique that includes the evaluation of project requirements in terms such that logical assignments can be made. Also see Planning and Scheduling.

Planning and scheduling (012)  
 All activities that include an evaluation of the project's requirements and making assignments to conduct the project.

Pre-execution tools (012)  
 Tools that operate on the linguistic description of a program and do not require its execution. Examples include: syntax checking, interactive compilers, program reference listings, flow charts, and reformatters.

Precompiler (007)  
 A particular type of computer program which has the following characteristics:  
 1. It is normally executed immediately preceding a program compilation.  
 2. Its input consists of programming statements, of which all or part are unacceptable to the compiler.  
 3. It generates, as output, a computer program in a syntax acceptable to the compiler.

Process construction (003)  
 A technique used to combine and link independently-coded modules into a run-time process. These include linkages to the operating system. The technique allows for rapid reconfiguration based on stimuli from the run-time environment of a software system to reflect changes made to a number of its modules. Specific computer programs are available that serve as aids to implementation these include special-purpose editors and control programs.

Product (012)  
 Everything contracted for, produced for, and delivered to the customer. Examples include: hardware, programs, documents, and training.

**Product certification (012)**

A demonstration that the actual system performance corresponds to the expected system performance.

**Product warranty (012)**

A process that precedes configuration control and quality assurance activities. This process is a device for customer feedback after a software system has been delivered. It provides: (1) a means by which the customer reports suspected problems; (2) a means for technical and contractual evaluation of these problems; (3) arbitration and appeal procedures; and (4) a means to re-certify the corrected system. Also see Product Warranty and Maintenance, and Product Warranty Procedures.

**Product warranty and maintenance (012)**

A device, indicating the procedures to be followed during the warranty period of a system, for customer feedback after the delivery of a system.

**Product warranty procedures (012)**

A customer feedback device that precedes the delivery of a product,

and indicates the procedures to be followed during the warranty period of a system.

**Production libraries (003)**

A technique used to provide constantly up-to-date representations of the computer programs and test data in both computer and human readable forms. The current status and past history of all code generated is also maintained. Specific library programs are available to serve as aids to implementation.

**Production run (012)**

The operation of a software system under real operating conditions and the production of useful products for the customer. This is contrasted with a test run, which is the operation of a software system to test its performance.

**Production tools (012)**

Tools related to the specific requirements for software system production. Examples include: Structured Programming Design Tool, Program Production Library, Program Validation Tools, Program Stub Simulators, compilers, link editors, and source program editors. See Program Production Tools.

**Productivity (006)**

Traditionally, the generally accepted description (if not definition) of programming productivity has been "lines-of-code/man-month" (i.e., quantity of code produced). Contemporary research suggests that the definition of productivity should somehow contain at least three additional elements:

1. A qualitative element concerned with the correctness and efficiency of a program.
2. A qualitative element concerned with complexity (i.e., the difficulty of comprehending the application (or algorithm) being implemented and the organization of the program hierarchical tree structure (e.g., number of levels, width, data interfaces).
3. An element concerned with the cost of the program.

**Program (018)**

The lowest level of module that can be assembled or compiled and can be executed as a single entity.

**Program Office (003)**

The field office organized by the Program Manager to assist him in accomplishing the program tasks (AFR 500-2).

**Program description specifications (012)**

These are informational documents produced for the customer, usually according to his requirements.

**Program design language (005)**

(PDL) a design tool used to facilitate the translation of functional specifications into computer instructions.

**Program development tools (012)**

tools that take the computer-stored source program into an object code module, then a load module, and subsequently execute the code in a specific test environment.

**Program flow analyzer (003)**  
A computer program that provides statistics on source code statement usage and timing data on program elements during test case executions.

**Program implementation (012)**  
All activities associated with software module design, coding and testing; and the integration of software modules into a functional system operating on the total, final hardware configuration.

**Program instrumentation (012)**  
A quantitative assessment of how thoroughly a program is exercised by a set of test cases.

**Program instrumentation tools (012)**  
Tools that provide a mechanism for monitoring and recording information about an object program as it operates. Examples include traces and snapshot dumps.

**Program management directive (003)**  
The official HQ USAF management directive used to provide direction to the implementing and participating commands and satisfy documentation requirements. It will be used during the entire acquisition cycle to state requirements and request studies as well as initiate, approve, change, transition, modify, or terminate programs. The content of the PMD, including the required HQ USAF review and approval actions, is tailored to the needs of each individual program (AFR 300-2).

**Program management plan (003)**  
The document developed and issued by the Program Manager that shows the integrated time-phased tasks and resources required to complete the task specified in the PMD. The PMD is tailored to the needs of each individual program (AFR 300-2).

**Program manager (003)**  
The generic term used to denote a single Air Force Manager (System Program Director, Program/Project Manager, or System/Item Manager) during any specific phase of the acquisition life cycle (AFR 300-2).

**Program production tool (012)**  
An established procedure or computer program that provides assistance in the development, implementation, and testing of a software system.

**Program references (012)**  
Special listings, produced by many compilers, that increase the user's understanding of the nature of the program produced. Examples include dictionary listings, core-storage maps, and cross-reference listings.

**Program segment (012)**  
A combination of program steps and calls to lower-level program segments.

**Program sequencer (003)**  
A computer program used to force execution of all possible program instructions and branches to determine program flow, execute seldom-used branches, and to verify proper program operations. The aid is often used with an instruction simulator.

**Program stub (018)**  
A temporary (i.e., dummy) unit of source code which is part of an incomplete structured program and will be replaced by the actual unit of code when it is completed.

**Program stub simulators (012)**  
Generalized subroutines used in Program Stubs that supply the code required to establish the desired linkage with the higher-level program segments. They include generalized table lookup routines, random number generators, or routines that only record the invocation of a program segment.

**Program stubs (012)**

Dummy program segments containing enough code to establish linkage with a higher-level segment.

**Program validation (012)**  
All techniques used to ensure correct programs, including system and sub-system tests and system integration testing.

**Program validation tools (012)**  
Tools that are used in the preexecution and run time phases of Program Implementation and Program Validation.

**Programming support library (005)**  
(PSL) a repository for data necessary for the orderly development of computer programs using structured programming technology. The data repository is in two forms: data is stored in machine readable form accessible by the computer and the identical data is stored in hard copy form in project notebooks. A PSL also includes the necessary computer and office procedures for manipulating this data.

**Project (012)**  
All processes necessary to produce a product.

**Project (018)**  
A general term used to describe a software development effort.

**Project construct data (012)**  
Information on design and implementation details.

**Project data base (012)**  
A project-specific catalog containing management data and program data supplied and used by various facilities. Examples include: the contents, names, and linkages of all the modules in a particular system. The management data pertaining to the tasks and milestones of a specific project.

**Proof of correctness (012)**  
Proof that a program produces correct results for all possible inputs. Validation of a program in the same way a mathematical theorem is proved correct, i.e., by mathematical analysis of its properties.

**Proofs of correctness (017)**  
An alternative to executing tests of software to demonstrate its correctness is the method of analytic proofs. The verification process consists of making assertions describing the state of a program initially, at intermediate points in the program flow, and at termination, and then proving that each assertion is implied by the initial or prior one and the transformations performed by the program between each two consecutive assertions. An assertion consists of a definition of the relationships among the variables at that point in the program where the assertion is made. The proofs employ standard techniques for proving theorems in the first order predicate calculus. Proof of the correctness of a program using this approach obviates the need for executing test cases, since all possibilities are covered by the proofs.

**Quality assurance (012)**  
The process of activity during which the system design is audited to determine whether or not it represents a verifiable and certifiable specification, and during which test plans and test procedures are formulated and implemented. This activity ensures the technical compliance of the software system—a product—to its Requirements and Design specifications. Quality assurance is an independent audit review of all products to ensure their compliance to a management-directed standard of quality.

**Record generator (003)**  
A computer program used to construct test data. Essentially, the program contains a library of data formats, including the location, size, character (alpha or numeric), and normal contents of each field of each record type from which it generates

records required for testing.  
 reporting (012)  
 A major sub-division within Configuration Control. The reporting and documenting activities needed to monitor the status of configuration during the life of a system.

requirements analysis (012)  
 The process of studying the customer's problem from both that of the developer and the user in order to arrive at a functional definition of system requirements. Includes all activities related to analyzing and developing a clear, unequivocal, and mutually agreed upon set of functional specifications for a project.

Requirements and design specifications (012)  
 Precise specifications of the operational characteristics of the final product.

requirements language (003)  
 A computer program used to provide a succinct and unambiguous specification of the system, then computer requirements. It more precisely allows requirements to be communicated and translated in a hierarchical manner.

Routine (001)  
 Smallest group of compilable code.

SNAP generator (003)  
 A computer program used to search a tape to provide absolute program locations in memory that are relative to program labels. In addition, the computer program provides storage address and binary scaling associated with user-specified variable names. A SNAP generator is used typically to present a picture of a selected portion of memory.

Schedule management (012)  
 A method of determining what work must be done to produce each element of a system.

scoring program (003)  
 A computer program that performs the same calculations as the target system. The program includes a compare capability so that results computed by the target system can be automatically compared and discrepancies flagged.

Simulator (003)  
 A computer program that provides the target system with inputs or responses that resemble those that would have been provided by the process for the device being simulated. The simulator's function is to present data to the system at the correct time and in an acceptable format. This is a category for generalized simulators that cannot be classified according to specific functions under Designators 15, 17, 18, 24, 34, 49, 50 or 51.

Snaps (003)  
 A computer program that allows intermediate data values to be recorded on an external medium during execution. Snaps are usually formatted prior to being recorded so that no postprocessing is required. Snaps are assembled in-line with the application code and therefore cannot be dynamically overridden during execution except for being turned on or off.

Software (016)  
 Computer program code and its associated necessary data and documentation.

Software development cycle (006)  
 The software development cycle consist of four phases: definition, design, implementation and evaluation.

Software development cycle (014)  
 1. requirements specification. Translation of an operational (or application) requirement into a statement of the functions to be performed.

2. System design. Translation of the requirements into a description of all the components necessary to implement the system.

3. Programming. Production of the code which will control the system and perform all required logic and computation.

4. Checkout. Verification that the coded and other components of the system satisfy the original requirements.

Software development process (016)

The formal process by which project objectives are transformed into project requirements, then into design specifications, implemented into code, tested, and finally placed and maintained in operational status.

Software errors (011)

Any discrepancy between a computed, observed or measured quantity and its true, specified, or theoretically correct value. Errors are introduced into software by human mistakes that is: deficiencies or

misinterpretations of design criteria, logical mistakes, syntactical mistakes made in transcribing program statements into the input data.

Software factory (012)

A collected set of tools, methodologies, and a common data base that provide a procedural approach to the successful completion of software projects.

Software failure (011)

Software monitor (003)

A computer program that provides detailed statistics about system performance. Because software monitors reside in memory, they have access to all the tables the system maintains. Therefore, they can easily examine such things as core usage, queue lengths, individual program operation, and so on to help measure performance.

Software product (012)

The software component of the product.

Software reliability (002)

Software reliability is defined as the probability that a given software program operates for sometime period, without an external software error, on the machine for which it was designed given that it is used within design limits.

Software reliability measures (011)

Probability measures of the quality with which design requirements have been transformed into software programs. A typical measure is the mean time to failure as a function of operating time.

Software system (012)

See Software Product.

Software system dependability (011)

The probability that the application program together with its supervisory program, data base and hardware will perform in its intended environment. The environment will include anomalies and failures, such as:

1. Deficiencies in requirements
2. Software design errors (incorrect algorithms, word length problems, timing problems, etc)
3. Software failures
4. Processor errors
5. Memory errors
6. Failures in the communication network
7. Failures in peripheral devices
8. Operator mistakes
9. Power failures
10. Environmental failures
11. Gradual erosion of the data base
12. Hardware saturation (CPU, memory, I/O channels)

Software testing (011)

The process of exercising software in an attempt to detect errors which exist in the code. Software testing does not prove that a program

is correct.  
Source unit end date (018)

The date of the last update made to the unit of source code.  
Source unit start date (018)

The date the unit of source code was entered into the PSL.  
Specification (012)

The technical definition of the system and its parts.  
Specification omission error (016)

An error for a unit of source code associated with a program due to omissions in the program specifications.  
Specified performance requirements (002)

A written requirement, figure of merit, or parameter which qualitatively or quantitatively defines system performance.  
Standards (003)

Procedures, rules, and conventions used for prescribing disciplined program design (program structuring, and data structuring) and implementation. Architecture and partitioning rules, documentation conventions, configuration and data management procedures, etc., are among those standards to be disseminated.

Standards enforcer (003)

A computer program used to automatically determine whether prescribed programming standards and practices have been adhered to. The program can check for violations to standards set for such conventions as program size, commentary, structure, etc.

Statements (001)

Programming language at the source code level.

Statistical prediction (009)

The computation of a confidence factor that indicates the effectiveness of the programming and verification process by inserting errors into the software system.

Stepwise refinement (004)

The process of defining data in more and more detail as the need arises during the programming process.

Structured program (005)

A program constructed of a basic set of control logic figures which provide at least the following: sequence of two operations, conditional branch to one of two operations and return, and repetition of an operation. A structured program has only one entry and one exit point. In addition, a path will exist from the entry to each node and from each node to the exit.

Structured program segments (012)

A combination of program steps and calls to lower-level program segments.

Structured programming (003)

The technique used in structured programming (limited number of logic structures, top-down development, etc.) make it easier to develop and verify completed computer programs. Aids that help implement these techniques follow.

1. Automated language restructure - a computer program used to restructure source programs into an acceptable structured form.

2. Language enhancer - a computer program used to provide existing high-order language compilers with acceptable language constructs for structured programming. Language enhancers include both the preprocessor and macro mechanizations presently in use.

3. Source code indenter - a computer program used to automatically indent source code listings to add to their readability.

4. Production support libraries - a form of production library used to record and store programming data.

Structured programming (005)

(3x) the process of developing structured programs.

Associated with structured programming are certain practices such as indentations of source code to represent logic

levels, the use of intelligent Gate names and descriptive commentary.

**Structured programming (012)**

A programming discipline providing a means of expressing a system design that ensures a testable and understandable implementation and that enforces simple and well-defined corrections between

program modules. The programming discipline uses the repeated application of a small number of basic control statements to form simple program constructs that represent large and complex programs. The process of creating the program modules includes: making local or tactical programming decisions within the designed module; writing program segments that represent these decisions; and, integrating program segments into a unit corresponding to a system module.

**Structured programming (015)**

Structured programming is strictly more of a program organization discipline than a design technique, but it can be used to significantly enhance most of the available design techniques. It is basically a set of standards for organizing the control structure of a set of computer programs. The key ideas in structured programming are:

1. Only "proper programs" having one flow of control in and out of each unit should be developed.
2. Only three basic control structures are allowed: DO-WHILE, IF-THEN-ELSE, and SEQUENCE. These three structures are sufficient to express any proper program(15). Two additional structures are optional, the DO-WHILE and the CASE.
3. Programs are organized according to a hierarchical, modular block structure.
4. Additional standards are imposed on the size of modules, the formatting of instructions and declarations, program commentary, etc.

Boehm, Barry W.

**Structured programming language (012)**

A language that supports the concepts of Structured Programming and permits closer integration of management and production tools.

**Structured programming technology (005)**

A term which collectively references the following list:

1. Program design language
2. Programming support library
3. Top down structured programming

**Structured programs (012)**

Small computer programs written in a restricted, simple syntax.

**Structured segment (005)**

A logically complete set of executable instructions constructed of nested structured programming figures. In addition to or in place of executable instructions, a structured segment may include non-executable instructions such as data declarations and descriptive commentary.

**Structured source code listing (005)**

A listing for a top down structured program (TOSP) comprised of the following sections:

1. Section 1 contains the first executable structured segment (commonly referred to as the top level segment as coded in the source programming language.
2. Section 2 contains all remaining structured segments. The structured segments are alphabetized by name. As in Section 1 each structured segment is represented as coded in the source programming language.
3. Section 3 contains the executing sequence among the structured segments.

**Structured walk-through (009)**

A generic name given to a set of techniques (i.e., design validation, design verification, and code verification), each with different objectives and each occurring at different times in the software development cycle.

**Subsystem (013)**

A subdivision of a software system with a meaningful product to the user and is comprised of one or more programs. A subsystem name identifies the top unit of a tree structure.

**System (018)**

Consists of more than one software subsystem and provides a solution to a problem.

**System design (012)**

The process of transferring the design requirements into an overall system structure that supports programs satisfying those requirements. Includes all activities concerned with transforming functional requirements/specifications into a Structured Programming system capable of satisfying those requirements.

**System simulations (003)**

Computer system simulation is a technique used to predict system performance by exercising a model of the system hardware/software over time. Simulation based on well-planned experiments representative of the real-world environment will produce results that help verify and improve system performance. The simulations are also used to help predict how the system will react to alternative loads with modified configurations. Specific language systems such as ECSS, CSS, SCERT, and SAM have been devised to act as aids to implementation.

**System verification (012)**

All activities concerned with ascertaining that the system performs as the customer intended.

**Trace program (003)**

A computer program that records the chronological sequence of events taken by a target program during its execution.

**Task milestone (012)**

A major visible event or interface during a project.

**Terminal simulator (003)**

A computer program used to present input messages to the control program so as to appear that they had been input from an actual terminal device.

**Test (003)**

Any program or procedure that is designed to obtain, verify, or provide data for the evaluation: research and development

(other than laboratory experiments): progress in accomplishing development objectives; or performance and operational capability of systems, subsystems, components, and equipments items (ARJ 80-14).

**Test beds (003)**

A test site that either contains the actual hardware and interfaces (hardware test bed) or simulates them (software test bed).

1. Hardware test bed - includes actual computer and interface hardware, thus permitting actual checkout of hardware/software interfaces and actual input/output. The program execution is confirmed using actual hardware timing characteristics, but the output is limited, and it has limited diagnostic capabilities.

2. Software test bed - uses an instruction simulator to simulate actual hardware. The approach poorly represents actual I/O, runs 7 to 15 times real-time, and is an expensive method of

conducting lengthy testing of software. The approach permits full control of inputs and computer characteristics, allows processing of intermediate outputs without destroying

- real time, and allows full test repeatability and diagnostics.
- Test data (012)**  
Test environment data that are prepared manually or by an automatic test case generator.
- Test data base (009)**  
Collection of data stored on a computer peripheral device (e.g., tape, disk that closely matches the "real" data base). Ideally, a test data base should be identical to a real data base but usually it only provides representative data.
- Test drivers, scripts, data generators (003)**  
To run tests in a controlled manner, it is often necessary to work within the framework of a "scenario"—a description of a dynamic situation. To accomplish this, it is necessary to load the input data files for the system with data values representing the test situation or events to yield recorded data to evaluate against expected results. These aids permit relatively easy generation of data in external form to be entered automatically into the system at the proper time.
- Test plan (003)**  
A management document that describes how and when specified test objectives will be met (AFR 80-14).
- Test plan document (012)**  
A plan that tests the effectiveness of the object system, as implemented, and determines how it can be validated, verified, and certified. This document is input to the subsequent functions of the Quality Assurance process and to the Program Validation function.
- Test result processor (003)**  
A computer program used to perform test output data reduction, formatting and printing. Some perform statistical analysis where the original data may be the output of a monitor.
- Testing (009)**  
Measures how well the specifications are met.
- Text data (012)**  
Program documentation that is prepared manually and updated by a text editor.
- Timing analyzer (003)**  
A computer program that monitors and prints execution time of all program elements (functions, routines, and subroutines).
- Top down design (017)**  
Top down design implies an ordering to the sequence of decisions which are made in the decomposition of a software system, by beginning with a simple description of the entire process (top level). Through a succession of refinements of what has been defined at each level, lower levels are specified.
- Top down programming (005)**  
The concept of performing in hierarchical sequence a detailed design, code, integration and test as concurrent operations.
- Top down programming process (017)**  
An expansion of functional specifications to simpler and simpler functions until, finally, statements of the programming language itself are reached.  
Ref H. Mills, "Top Down Programming in Large Systems", "Debugging Techniques in Large Systems", R. Austin, (ed), Prentice-Hall, NJ 1972, 41-55.
- Top down structured program (005)**  
(TDSPP) a structured program with the additional characteristics of the source code being logically, but not necessarily physically, segmented in a hierarchical manner and only dependent on code already written. Control of execution between

segments is restricted to transfers between adjacent hierarchical segments.

**Top down structured programming (005)**

The process of developing top down structured programs. Associated with top down structured programming are certain practices such as indentations of source code to represent logic levels, the use of intelligent data names and descriptive commentary. Top down structured programming requires top down programming as the primary implementation methodology.

**Top down testing (017)**

If modules are produced in a top down order, then top down testing can also be employed using a partially completed system in which lower levels are represented by program "stubs", and programs are executed in the environment in which they will actually operate. This approach allows for earlier integration and testing which should uncover problems sooner than conventional testing where integration is the last step.

**Top-down program development (012)**

The process of coding and testing Structured Programs successively downward from the top level of program design to the bottom levels, continuously exercising the actual interfaces between program

modules. The bottom level may be standard packaged routines--data access methods, sort routines or interfaces with other systems. This approach is the opposite of the usual one of checking the bottom-level modules first and working up, finally integrating and testing the entire system. Here, the integration of modules is a continuous process.

**Tracer program (007)**

A program analysis tool which will analyze computer programs looking for "code" - i.e., code in a program which can not be executed.

**Translator (003)**

A computer program written to accept information from one system of representation and convert this into equivalent information in another system of representation.

**Traps (003)**

A technique in which the logic flow of a program is interrupted for the purpose of setting aside interim results for test measurement or performing special test functions.

**Unit (013)**

A named subdivision of a program which is capable of being stored in a PSL and manipulated as a single entity.

**Units consistency analysis program (003)**

A computer program that analyzes source code to verify units consistency for each usage of each parameter.

**User (001)**

The individual at the man/machine interface who is applying the software to the solution of a problem, e.g. test or operations.

**Utilities (003)**

Computer programs employed by other V/V/C aids to provide special services. These services include preparing program deck listings, creating load tapes, and plotting output results.

**Utilities (012)**

Tools that facilitate the production and control of software systems. These include tools for data set management, program development, and program execution.

**Validation (009)**

The process of determining whether executing the system (i.e.,

software, hardware, user procedures, personnel) in a user environment causes any operational difficulties. Validation is more difficult than the verification process since it involves

questions of the completeness of the specification and environment information. There are both manual and computer based validation techniques.

**Validation (012)**

The process of ensuring that specific program functions meet their detailed design requirement specifications. Also, see program Validation, Program Validation Tools, and Validation and Debugging Tools.

**Validation and debugging tools (012)**

Tools related to the production of correct, serviceable programs. Validation includes the prevention, detection, diagnosis, recovery, and correction of errors. Debugging includes correcting errors of both a logical and a clerical nature.

**Validation criteria (012)**

A guide defining what will be used to determine completion of a milestone. This includes successful operation of a test tool,

or acceptance of a document, or approval by the review board.

**Validation tools (012)**

See Validation and Debugging tools.

**Verification (009)**

The process of determining whether the results of executing the software product in a test environment agree with the specifications. Verification is usually only concerned with the software's logical correctness (i.e. satisfying the functional requirements) and may be a manual or a computer based process (i.e., testing software by executing it on a computer).

**Verification (012)**

The process of ensuring that the system and its structure meet the functional requirements of the Baseline Specification

Document. Also see System Verification.

**Verification validation certification (003)**

(of computer programs). The process of determining that the computer program was developed in accordance with the stated specification and satisfactorily performs, in the mission environment, the function(s) for which it was designed (AFM 800-14). See computer program verification, computer program validation, computer program certification.

**Version modification level (018)**

An indication of the version and modification level of a unit of source code.

## METRIC SYSTEM

### BASE UNITS:

| Quantity                  | Unit     | SI Symbol | Formula |
|---------------------------|----------|-----------|---------|
| length                    | metre    | m         | ...     |
| mass                      | kilogram | kg        | ...     |
| time                      | second   | s         | ...     |
| electric current          | ampere   | A         | ...     |
| thermodynamic temperature | kelvin   | K         | ...     |
| amount of substance       | mole     | mol       | ...     |
| luminous intensity        | candela  | cd        | ...     |

### SUPPLEMENTARY UNITS:

|             |           |     |     |
|-------------|-----------|-----|-----|
| plane angle | radian    | rad | ... |
| solid angle | steradian | sr  | ... |

### DERIVED UNITS:

|                                    |                           |     |                    |
|------------------------------------|---------------------------|-----|--------------------|
| Acceleration                       | metre per second squared  | ... | m/s                |
| activity (of a radioactive source) | disintegration per second | ... | (disintegration)/s |
| angular acceleration               | radian per second squared | ... | rad/s              |
| angular velocity                   | radian per second         | ... | rad/s              |
| area                               | square metre              | ... | m                  |
| density                            | kilogram per cubic metre  | ... | kg/m               |
| electric capacitance               | farad                     | F   | A·s/V              |
| electrical conductance             | siemens                   | S   | A/V                |
| electric field strength            | volt per metre            | ... | V/m                |
| electric inductance                | henry                     | H   | V·s/A              |
| electric potential difference      | volt                      | V   | W/A                |
| electric resistance                | ohm                       | ... | V/A                |
| electromotive force                | volt                      | V   | W/A                |
| energy                             | joule                     | J   | N·m                |
| entropy                            | joule per kelvin          | ... | J/K                |
| force                              | newton                    | N   | kg·m/s             |
| frequency                          | hertz                     | Hz  | (cycle)/s          |
| illuminance                        | lux                       | lx  | lm/m               |
| luminance                          | candela per square metre  | ... | cd/m               |
| luminous flux                      | lumen                     | lm  | cd·sr              |
| magnetic field strength            | ampere per metre          | ... | A/m                |
| magnetic flux                      | weber                     | Wb  | V·s                |
| magnetic flux density              | tesla                     | T   | Wb/m               |
| magnetomotive force                | ampere                    | A   | ...                |
| power                              | watt                      | W   | J/s                |
| pressure                           | pascal                    | Pa  | N/m                |
| quantity of electricity            | coulomb                   | C   | A·s                |
| quantity of heat                   | joule                     | J   | N·m                |
| radiant intensity                  | watt per steradian        | ... | W/sr               |
| specific heat                      | joule per kilogram-kelvin | ... | J/kg·K             |
| stress                             | pascal                    | Pa  | N/m                |
| thermal conductivity               | watt per metre-kelvin     | ... | W/m·K              |
| velocity                           | metre per second          | ... | m/s                |
| viscosity, dynamic                 | pascal-second             | ... | Pa·s               |
| viscosity, kinematic               | square metre per second   | ... | m <sup>2</sup> /s  |
| voltage                            | volt                      | V   | W/A                |
| volume                             | cubic metre               | ... | m                  |
| wavenumber                         | reciprocal metre          | ... | (wave)/m           |
| work                               | joule                     | J   | N·m                |

### SI PREFIXES

| Multiplication Factors                        | Prefix | SI Symbol |
|-----------------------------------------------|--------|-----------|
| 1 000 000 000 000 = 10 <sup>12</sup>          | tera   | T         |
| 1 000 000 000 = 10 <sup>9</sup>               | giga   | G         |
| 1 000 000 = 10 <sup>6</sup>                   | mega   | M         |
| 1 000 = 10 <sup>3</sup>                       | kilo   | k         |
| 100 = 10 <sup>2</sup>                         | hecto* | h         |
| 10 = 10 <sup>1</sup>                          | deka*  | da        |
| 0.1 = 10 <sup>-1</sup>                        | deci*  | d         |
| 0.01 = 10 <sup>-2</sup>                       | centi* | c         |
| 0.001 = 10 <sup>-3</sup>                      | milli  | m         |
| 0.000 001 = 10 <sup>-6</sup>                  | micro  | μ         |
| 0.000 000 001 = 10 <sup>-9</sup>              | nano   | n         |
| 0.000 000 000 001 = 10 <sup>-12</sup>         | pico   | p         |
| 0.000 000 000 000 001 = 10 <sup>-15</sup>     | femto  | f         |
| 0.000 000 000 000 000 001 = 10 <sup>-18</sup> | atto   | a         |

\* To be avoided where possible

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