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(Technical Report)

A PROBABILISTIC REMARK ON
ALGEBRAIC PROGRAM TESTING

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ABSTRACT: A key step in Howden's method [5] for algebraic program testing requires checking the algebraic identity of multinomials. Howden's solution requires evaluations in at least 2^m points for m-ary multinomials. This note presents a probabilistic solution which achieves small probability of error on 30 points.

Until very recently, research in software reliability has divided quite neatly into two -- usually warring -- camps: methodologies with a mathematical basis and methodologies without such a basis. In the former view, "reliability" is identified with "correctness" and the principle tool has been formal and informal verification [1]. In the latter view, "reliability" is taken to mean the ability to meet overall functional goals to within some predefined limits [2,3]. We have argued in [4] that the latter view holds a great deal of promise for further development at both the practical and analytical levels. Howden [5] proposes a first step in this direction by describing a method for "testing" a certain restricted class of programs whose behavior can -- in a sense Howden makes precise -- be *algebraicized*. In this way, "testing" a program is reduced to an equivalence test, the major components of which become

- (i) a combinatorial identification of "equivalent" structures;
- (ii) an algebraic test

$$f_1 \equiv f_2 ,$$

where f_i , $i = 1, 2$ is a multivariable polynomial (multinomial) of degree specified by the program being considered.

In arriving at a method for exact solution of (ii), Howden derives an algorithm which requires evaluation of multinomials $f(x_1, \dots, x_m)$ of maximal degree d at $O(d + 1)^m$ points. For large values of m (a typical case for realistic examples), this method becomes prohibitively expensive.

Since, however, a test for reliability rather than a certification of correctness is desired, a natural question is whether or not Howden's method can be improved by settling for less than an exact solution to (ii).

We are inspired by Rabin [6] and, less directly, by the many successes of Erdős and Spencer [7] to attempt a *probabilistic* solution to (ii). Using these methods, we show that (ii) can be tested with probability of error ϵ with only $O(g(\epsilon))$ evaluations of multinomials, where g is a slowly growing function of only ϵ . In particular, 30 or so evaluations should give sufficiently small probability of error for most practical situations. The remainder of this note is devoted to proving this result.

Let us denote by $P_{\neq 0}(m,d)$ the class of multinomials

$$f(x_1, \dots, x_m) \neq 0$$

(over some arbitrary but fixed integral domain) whose degree does not exceed $d > 0$.

We define

$$P(m,d,r) = \min_{f \in P_{\neq 0}(m,d)} \text{Prob} \{1 \leq x_1 \leq r, f(x_1, \dots, x_m) \neq 0\}$$

We think of $P(m,d,r)$ as the minimal relative frequency with which witnesses to the non-nullity of a multinomial of the appropriate kind can occur in the chosen interval. Notice, in particular, that since a polynomial of degree d has at most d roots (ignoring multiplicity), the largest probability of finding a root must be at least the probability of finding a root by randomly sampling in the interval $1 \leq x_1 \leq r$; thus

$$P(1,d,r) \geq 1 - d/r.$$

Now, consider some

$$f(x_1, \dots, x_m, y) \neq 0$$

of degree at most d . But there are then multinomials $\{g_i\}_{i \leq d}$, not all $\neq 0$,

such that

$$f(x_1, \dots, x_m, y) = \sum_{i=0}^d g_i(x_1, \dots, x_m) y^i.$$

Let us suppose that $g_k \in P_{\neq 0}^{(m,d)}$. Thus

$$\begin{aligned} & \text{Prob} \{1 \leq x_1 \leq r, f(x_1, \dots, x_m, y) \neq 0\} \\ & \geq \text{Prob} \{g_k(x_1, \dots, x_m) \neq 0 \text{ and } y \text{ is not a root}\} \\ & \geq P(m, d, r)(1 - d/r). \end{aligned}$$

Continuing inductively, we obtain

$$P(m, d, r) \geq (1 - d/r)^m \quad (1)$$

But

$$\lim_{m \rightarrow \infty} (1 - d/r)^m = \lim_{m \rightarrow \infty} \left[1 + \frac{1}{m} \left(\frac{-dm}{r} \right) \right]^m = e^{\frac{-dm}{r}}. \quad (2)$$

Combining (1) and (2), we have for large m , $r = dm$,

$$P(m, d, dm) \geq e^{-1}.$$

Thus, with t evaluations of f for independent choices of points from the m -cube with sides $r = dm$, the probability of missing a witness to the non-nullity of $f(x_1, \dots, x_m)$ is at most

$$(1 - e^{-1})^t.$$

Table 1 shows the probable error in testing $f \equiv 0$ by t evaluations of f at randomly chosen points for some typical values of d, m, r, t .

$[1 - P(m, d, r)]^t$						
dm	r	t=10	t=20	t=30	t=50	t=100
10	10	1.0×10^{-2}	1.0×10^{-3}	1.0×10^{-6}	1.1×10^{-10}	1.2×10^{-20}
20	10	0.23	5.5×10^{-2}	1.3×10^{-2}	7.0×10^{-4}	4.8×10^{-7}
50	10	0.93	0.87	0.82	0.71	0.51
10^2	10	1.0	1.0	1.0	1.0	1.0
10	10^2	6.0×10^{-9}	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$
20	10^2	3.9×10^{-8}	1.5×10^{-15}	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$
50	10^2	8.9×10^{-5}	7.9×10^{-9}	7.0×10^{-13}	$<10^{-20}$	$<10^{-20}$
10^3	10^2	1.0	1.0	1.0	1.0	1.0
10	10^3	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$
20	10^3	9.3×10^{-18}	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$
50	10^3	7.6×10^{-14}	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$	$<10^{-20}$

Table 1. Probable Error in Testing $f(x_1, \dots, x_m) \equiv 0$

(degree $\leq d$) by t random evaluations in $\{1, \dots, r\}$

Notice that for $dm = r$, $t = 30$, this is already $< 10^{-5}$.

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