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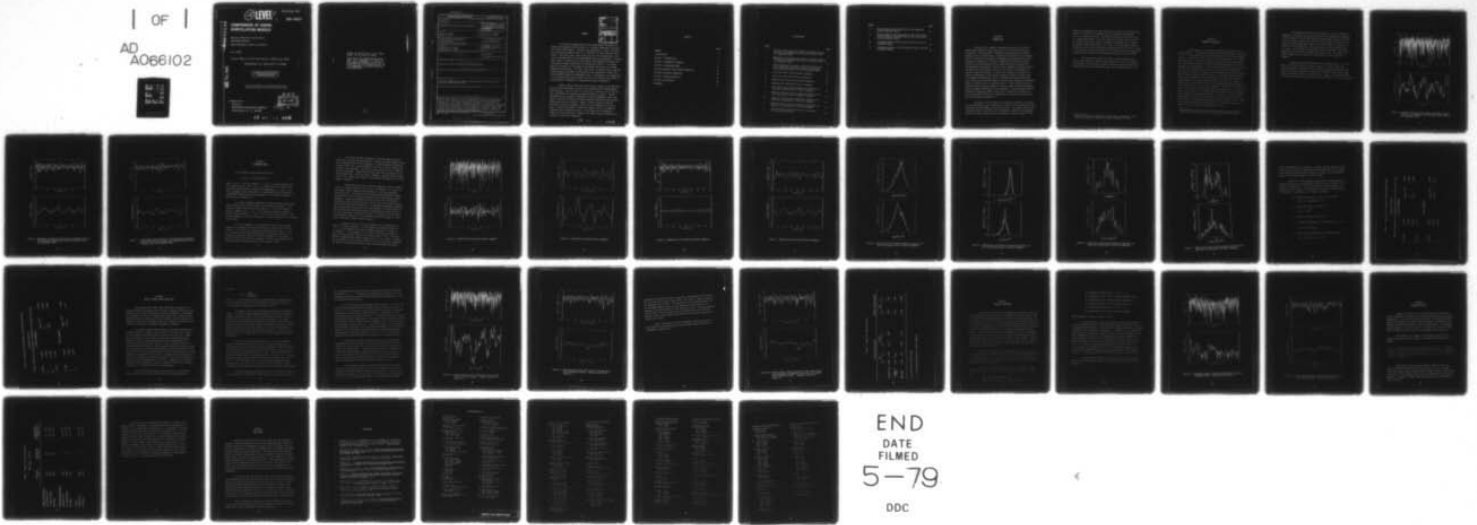
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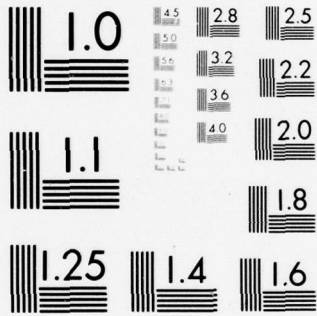
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Mission Research Corporation
735 State Street
Santa Barbara, California 93101

July 1978

Topical Report for Period February 1978—June 1978

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20. ABSTRACT (Continue on reverse side if necessary and identify by block number) Scintillating signals taken from the DNA Wideband satellite experiment are used as direct input to a digital simulation of a phase-shift keyed (PSK) demodulator. The resulting demodulator performance is compared to that obtained with input signals generated according to a two-component (log-normal plus joint Gaussian) model. A second comparison is made with input signals generated by a multiple phase screen propagation simulation. Results are used to assess the suitability of the models for simulation of satellite communication systems in disturbed environments.		

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SUMMARY

Scintillating communication signals generated by two models are compared with Wideband satellite data in a simulated demodulator for phase-shift keyed (PSK) signals. To effect this comparison, a digital simulation of a PSK modem designed for DSCS II is exercised for three different input signals. One input signal, which forms the basis for the comparison, consists of detrended data from the DNA Wideband satellite experiment. A second input signal is obtained from the statistical two-component log-normal plus joint-gaussian model proposed by SRI International (SRII).¹ The initial statistics required for this model are obtained from analysis of the DNA Wideband satellite data. The final input signal is obtained from a numerical multiple phase-screen (MPS) simulation which was generated to "match" the initial Wideband satellite experimental data. The purpose of the comparisons is to test the capabilities of models now in use to represent the effects of transionospheric propagation in communication system simulations.

Results indicate that both the statistical model and the MPS model yield receiver performance similar to that obtained with the DNA Wideband satellite data. Thus, at least for this class of receiver and for PSK signals, both methods of generating simulated signals are useful. The MPS simulation has the potential advantage of yielding information about ionospheric structure including rms electron-density fluctuations and electron-density power spectral density. However, the MPS simulation requires information on the link geometry as well as the ionospheric structure as input. The major advantage of statistical models, such as the combined log-normal and joint-gaussian model, is the simplicity of generating signals with such statistics. However, such models require information on a number of statistical parameters.

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CONTENTS

	PAGE
SUMMARY	1
ILLUSTRATIONS	3
SECTION 1—INTRODUCTION	5
SECTION 2—PARAMETER ESTIMATION	7
SECTION 3—2-COMPONENT MODEL	12
SECTION 4—MULTIPLE PHASE SCREEN SIMULATION	25
SECTION 5—RECEIVER SIMULATION	33
SECTION 6—SIMULATION RESULTS	37
SECTION 7—CONCLUSIONS	40
REFERENCES	41

ILLUSTRATIONS

FIGURE		PAGE
1	Severely scintillating UHF signal—(detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).	9
2	Moderately scintillating UHF signal—(detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).	10
3	L-band signal during severely scintillating UHF conditions—(detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).	11
4	Severe UHF scintillation—scatter component.	14
5	Severe UHF scintillation—focus component.	15
6	Moderate UHF scintillation—scatter component.	16
7	Moderate UHF scintillation—focus component.	17
8	Severe UHF scintillation—probability densities for real and imaginary parts of scatter component.	18
9	Moderate UHF scintillation—probability densities for real and imaginary parts of scatter component.	19
10	Severe UHF scintillation—probability densities for phase and log-amplitude of the focus component.	20
11	Moderate UHF scintillation—probability densities for phase and log-amplitude of the focus component.	21
12	Multiple phase screen realization of the severely scintillating UHF signal.	28

FIGURE		PAGE
13	Multiple phase screen realization of the moderately scintillating UHF signal.	29
14	Multiple phase screen realization of the L-band signal using ionospheric parameters derived from the severely scintillating UHF signal.	31
15	Simulated severely scintillating UHF signal using the 2-component model.	35
16	Simulated moderately scintillating UHF signal using the 2-component model.	36

SECTION 1 INTRODUCTION

Satellites have assumed an important position in the overall communication capability supporting both civil and military operations. Consequently, transionospheric propagation considerations have become a necessary part of system design. Experience has shown that the ionosphere cannot be considered a transparent, homogeneous propagation medium, even at gigahertz frequencies.²⁻⁴ Design of communication signals and signal processors requires knowledge of the signal distortion resulting from transionospheric propagation. Models of the ionospheric distortion process are needed for these designs and to test the results of propagation on specific signals and systems. A further use of models is to serve as a basis for extrapolation from natural ionospheric effects to effects due to ionospheric perturbations caused by high altitude nuclear explosions. Since little data on nuclear induced scintillation is available, propagation models must be derived on a theoretical basis. These models should, as a minimum, properly predict propagation effects through a natural ionosphere, given an adequate description of the ionosphere. The development of transionospheric propagation models, then, leads to derivation of models for use in design and simulation of communication systems and to extraction of pertinent ionospheric parameters.

The purpose of this study is to compare the results of two models for transionospheric signal scintillation. The basis for comparison is data taken from the DNA Wideband satellite experiment.¹ The models used are the 2-component model⁵ developed at SRI International (SRII), and the multiple phase screen (MPS) numerical Fourier propagation simulation model.^{6,10} For

purposes of comparison, two time periods were selected from Wideband data taken at Ancon, Peru, on December 16, 1976, and kindly furnished by Mr. R. C. Livingston of SRII. Selections were made on the basis of the S_4 scintillation index. The first time period extends over a period of 40 seconds, starting at 4 hours, 47 minutes, 32 seconds (UT).* During this period signal scintillation was severe. The scintillation index at 413 MHz was 1.00, and at 1239 MHz the scintillation index was 0.45. During this period only the last 30 seconds of 1239 MHz data was used because the L-band signal was used for phase reference during the first 10 seconds.

The second time period, taken from the same satellite pass, extends from 4 hours, 49 minutes, 48.6 seconds, to 4 hours, 50 minutes, 28.6 seconds, and illustrates a period of moderate signal scintillation. During this period the scintillation index for the UHF signal was 0.56.

* Local time at Ancon is five hours earlier than at Greenwich. Local time was 23 hours, 47 minutes, 32 seconds, December 15, 1976.

SECTION 2 PARAMETER ESTIMATION

Experimental data is recorded as quadrature components of the received signal. The Wideband beacon provides an S-band signal (2891.2 MHz) for use as a phase reference for coherent detection of the lower frequency signals. The satellite signal received on the ground would vary in amplitude and phase as a result of changing slant range, antenna gain pattern, and total electron content (TEC) along the propagation path, even if the ionosphere were unperturbed. As the satellite progresses through the pass, the line from satellite to receiver scans a portion of the ionosphere. The process of scanning translates spatial ionospheric variations into temporal variations of the received signal. The variations discussed above, resulting from conditions of the experiment, take place slowly and are termed trends. The initial processing step is to remove the trends. In Reference 5, Fremouw and Rino discuss the detrending process and adopt an upper frequency of 0.1 Hz for trend components. This limit was found by use of the criterion that detrending should not reduce the S_4 index by more than "a few" percent. It is clear that this frequency limit is dependent on the geometry of the propagation path.* It is also very likely that it should depend on ionospheric structure. For this work we have used the 0.1 Hz cutoff, 6 pole Butterworth numerical filter adopted by SRII. Signal level variations with periods greater than ten seconds are normally of little concern in operational communication systems.

* Reference 5 reports detrending ATS-6 data with filter time constants sixty times as long as those used for Wideband and Transit data.

The Wideband satellite data is presented in terms of quadrature components. The phase of the signal derived from the quadrature components is made continuous by limiting the phase change between consecutive samples to plus or minus π radians. The intensity of the signal is the sum of the squares of the component amplitudes. Phase and logarithm of intensity are low-pass filtered separately. The filter output is then subtracted from the input. In this manner log-intensity and phase variations with periods greater than ten seconds are removed from the raw data. The subtraction process normalizes the intensity by the average over the filter time constant.

Detrended amplitude and phase for the Wideband signals at 413 MHz and at 1239 MHz are shown in Figures 1 through 3. Figure 1 shows the detrended intensity and phase for the earlier, severely scintillating, UHF signal and Figure 2 presents the moderately scintillating UHF signal during the 40 second period about two minutes later. Figure 3 shows the detrended L-band signal during the time period when the UHF signal is severely disturbed.

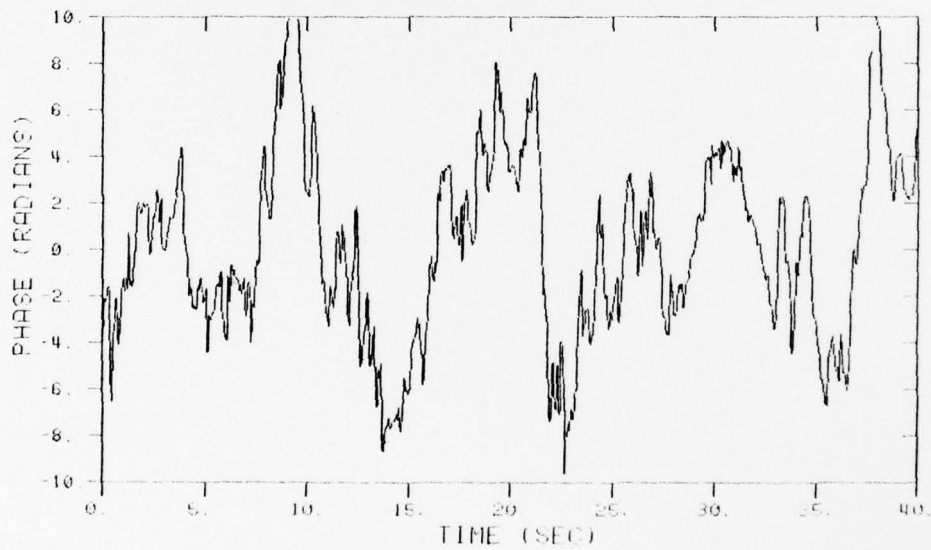
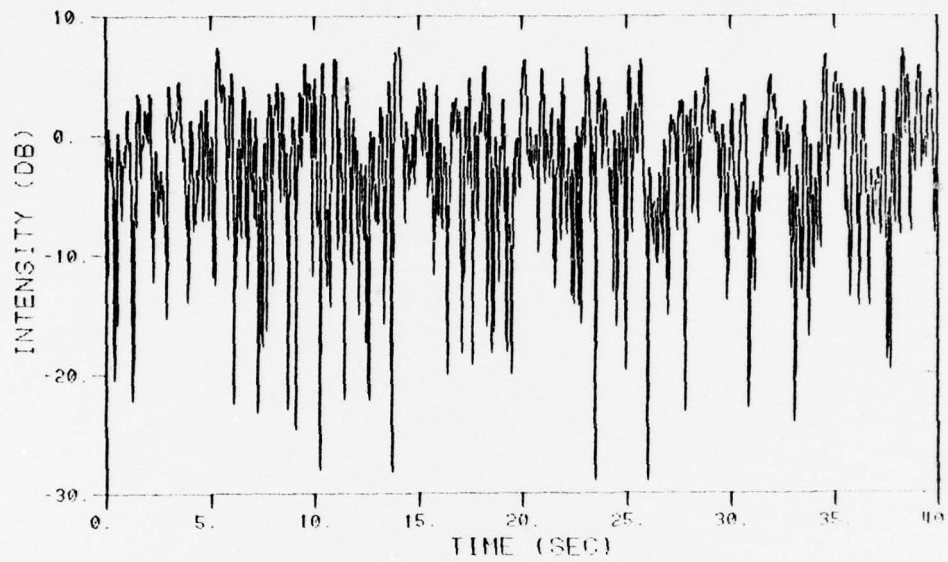


Figure 1. Severely scintillating UHF signal—(detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).

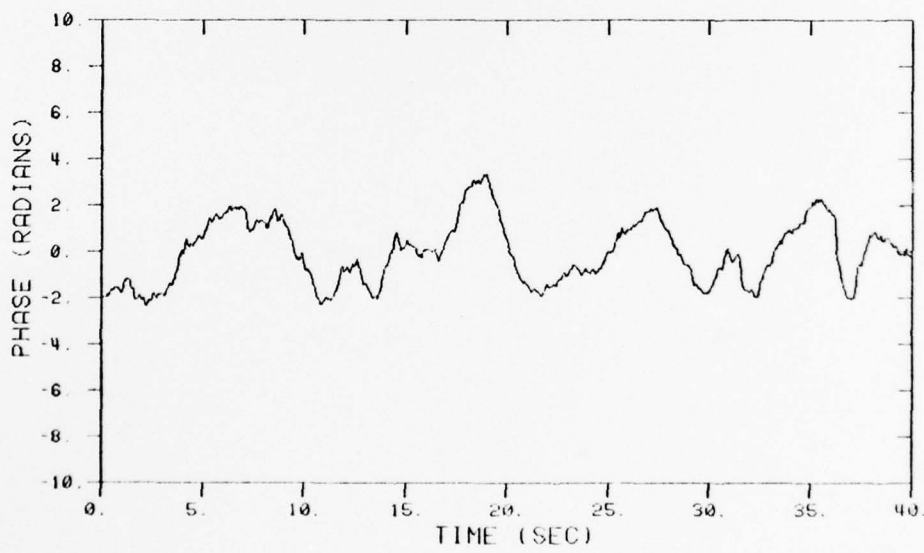
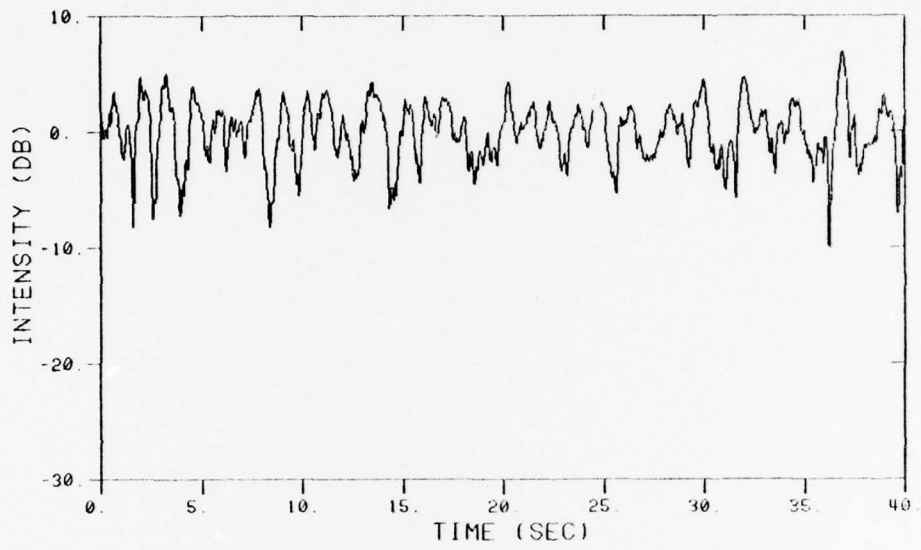


Figure 2. Moderately scintillating UHF signal—(detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).

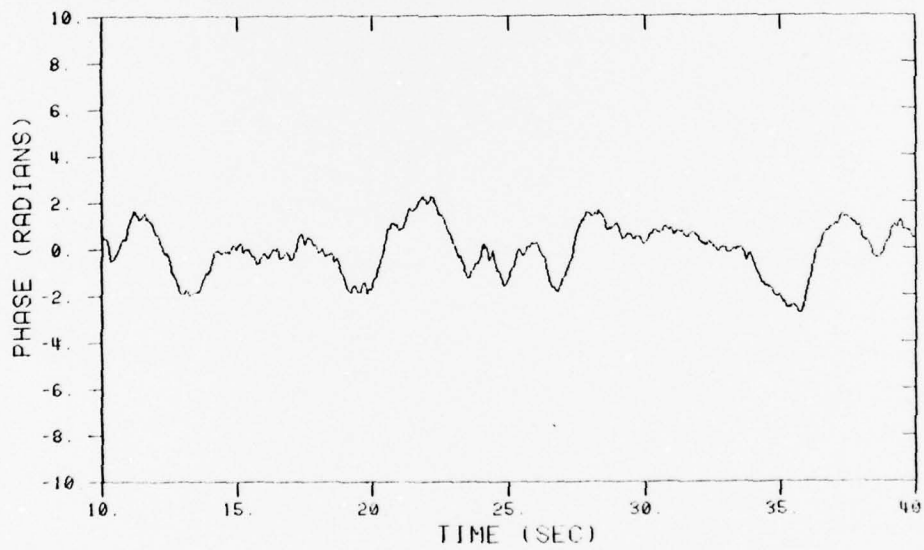
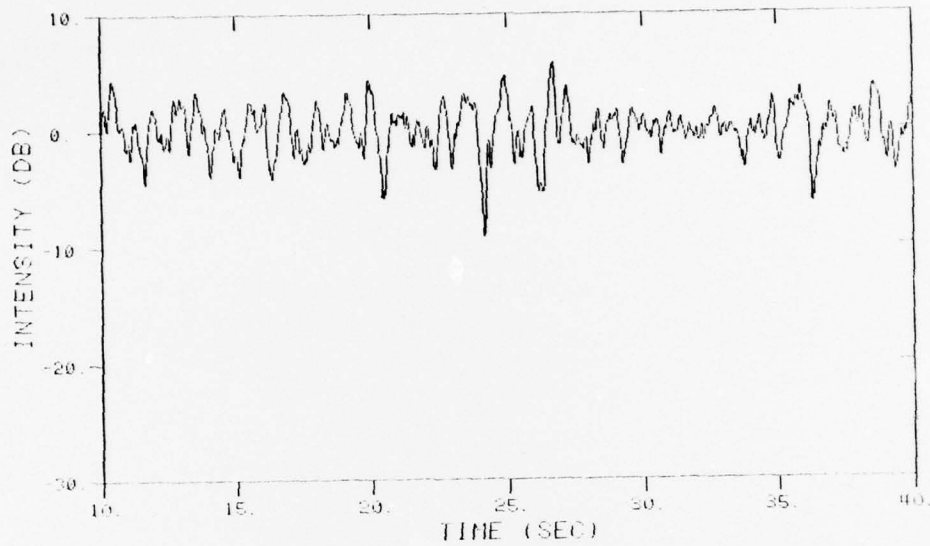


Figure 3. L-band signal during severely scintillating UHF conditions— (detrended intensity and phase from Wideband satellite pass at Ancon, Peru, on 16 December 1976).

SECTION 3 2-COMPONENT MODEL

The 2-component signal model has the form

$$E = E_s E_f = (\mu + x + jy) \exp(\eta + \chi + j\phi) \quad , \quad (1)$$

where μ and η are real constants, x and y are joint gaussian zero mean random variables, and χ and ϕ are another pair of joint gaussian zero mean random variables statistically independent of x and y . In this 2-component model scintillation is represented by two statistically independent multiplicative random components: the "scatter" component E_s , and the "focus" component, E_f .

The scatter component represents the rapidly varying signal amplitude and phase caused by fairly small-scale ionization structure. It is modeled by complex gaussian statistics wherein μ is the unscattered or specular component, and x and y are a pair of correlated zero-mean gaussian random variables. x and y need not have equal variances. This scatter component is associated with what is sometimes referred to as classical scintillation.

The focus component, E_f , represents the more slowly varying components of amplitude and phase caused by fairly large-scale ionization structure. It is modeled by complex log-normal statistics where χ and ϕ are correlated zero-mean random variables with unequal variances. The amplitude fluctuations associated with this component are often rather small; the primary effect of this component is usually associated with the relatively slowly fluctuating phase of the composite signal.

The scatter and focus components of the detrended signal are separated in a second filtering process. Because this model assumes statistical independence of the two components, a 10-pole Butterworth numerical low-pass filter with a cutoff frequency of 0.4 Hz is used to separate the spectra of the components. The output of the low-pass filter is taken as the focus component. Subtracting the focus phase and log intensity from the detrended signal gives the scatter component. Scatter and focus components of the severely and moderately scintillating UHF signals are given in Figures 4 through 7.

The gaussian nature of the scatter component is shown in Figures 8 and 9. In these figures the real ($\mu+x$) and imaginary (y) parts of the complex scatter component are presented as a discrete probability density function. The normalization performed during detrending divides the amplitude terms by the root mean square of the total received signal amplitude. A gaussian probability density function with the mean and standard deviation calculated from the data for each case is plotted with the discrete function for comparison. We note that the imaginary component has an essentially zero mean for both time segments for the UHF signal. In the severely scintillating UHF signal the normalized real part has a mean of 0.28, approaching a Rayleigh distribution. The mean of the real part of the scatter component for the moderately scintillating signal is nearly unity, indicating a largely specular process.

Figures 10 and 11 show the distributions of the amplitude and phase of the focus component. In these figures the normalized value of $\eta + \chi$ is the logarithm of the focus component amplitude. The phase is the quantity ϕ from Equation 1. The discrete distribution functions plotted in these figures suffer from a limited amount of data. The focus spectral components have periods ranging from 2.5 to 10 seconds. Therefore, the 40 seconds of data used here contain 4 to 16 cycles of these components. The spikes in the density functions clearly match the maxima and minima

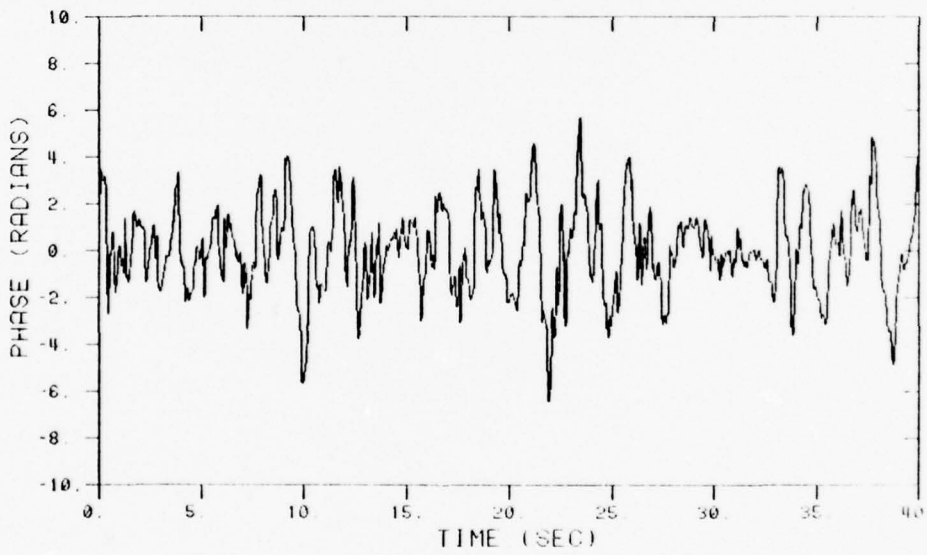
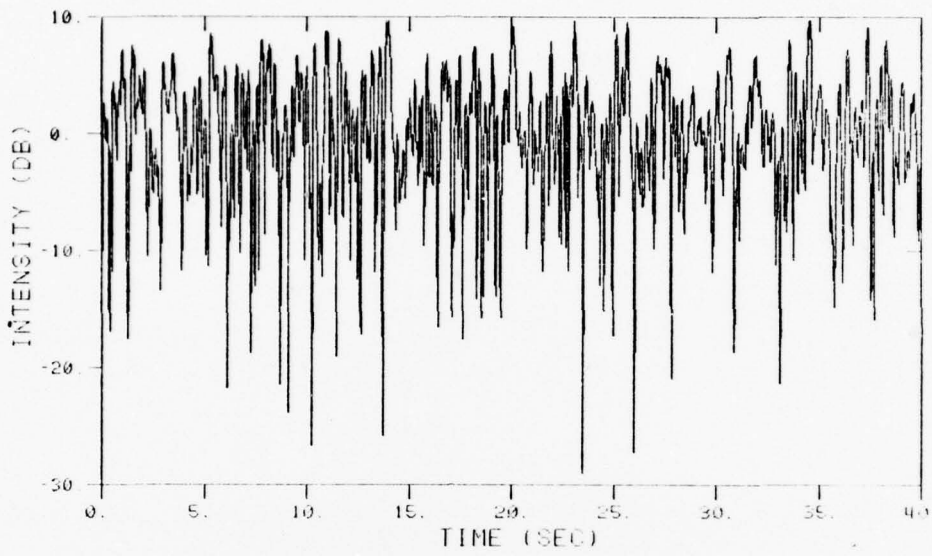


Figure 4. Severe UHF scintillation—scatter component.

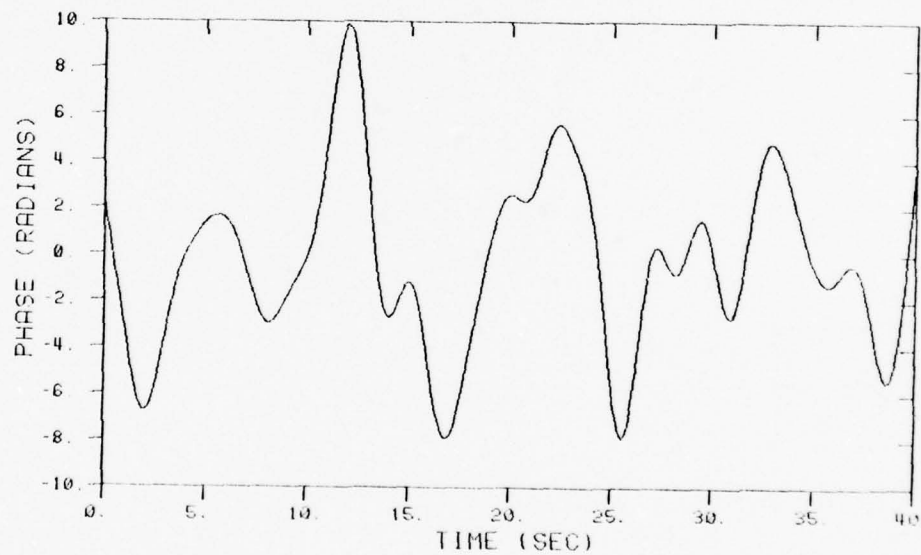
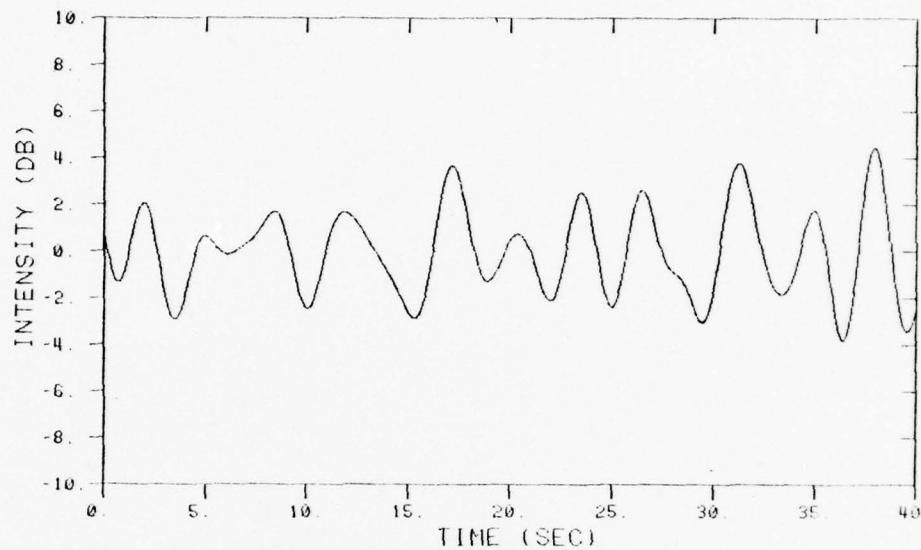


Figure 5. Severe UHF scintillation—focus component.

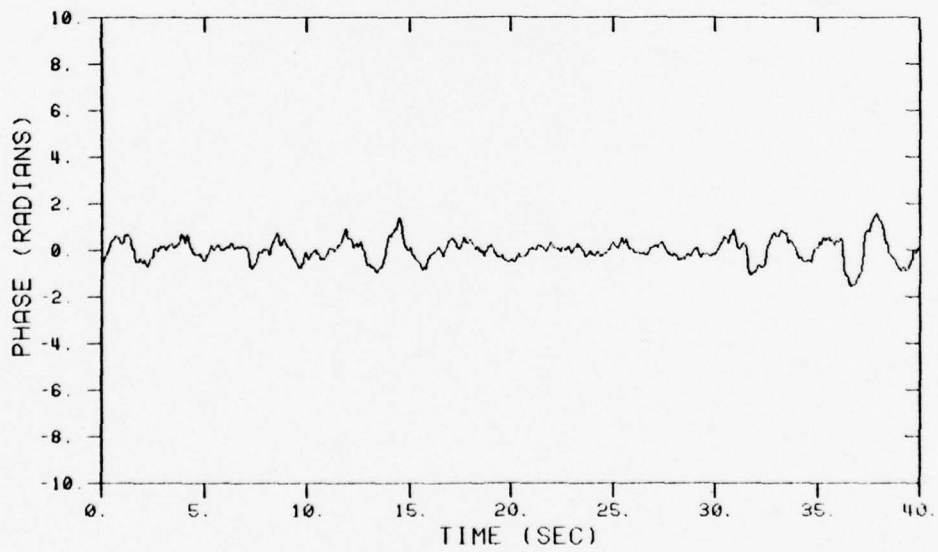
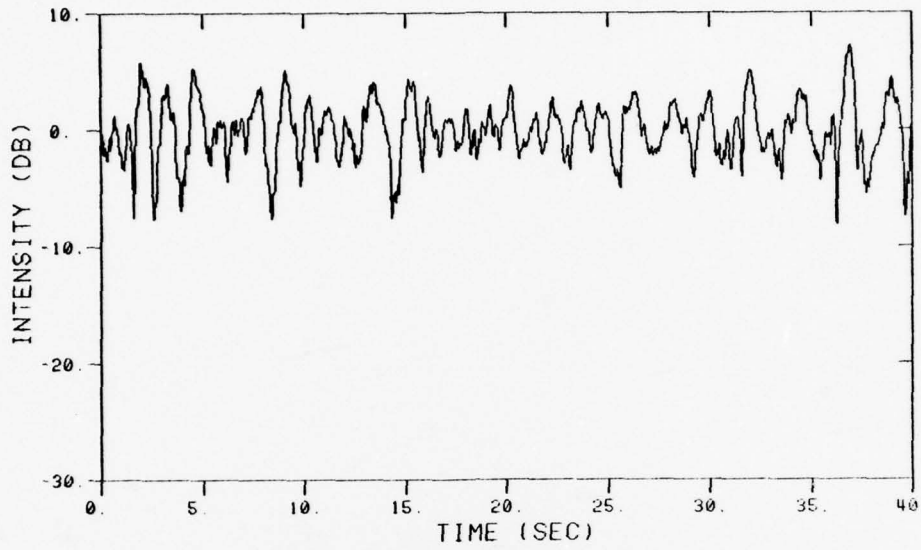


Figure 6. Moderate UHF scintillation—scatter component.

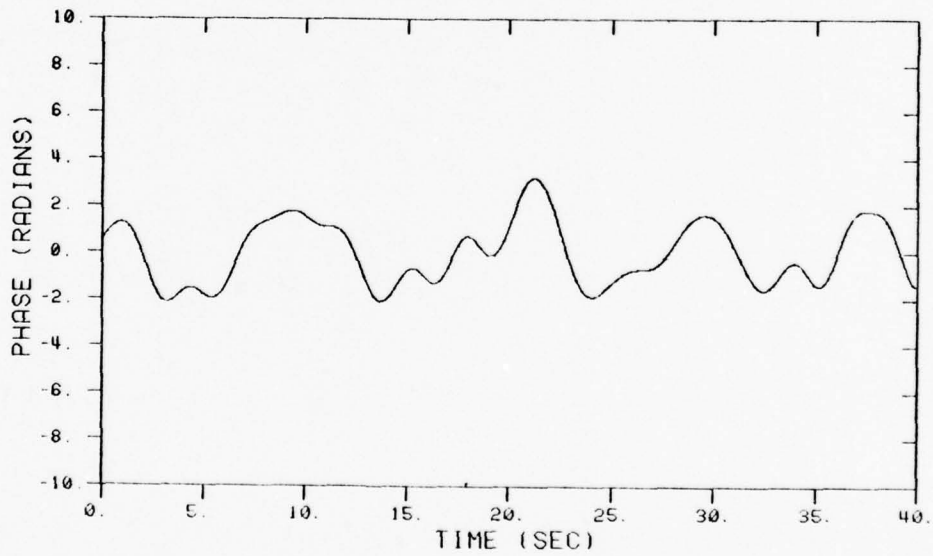
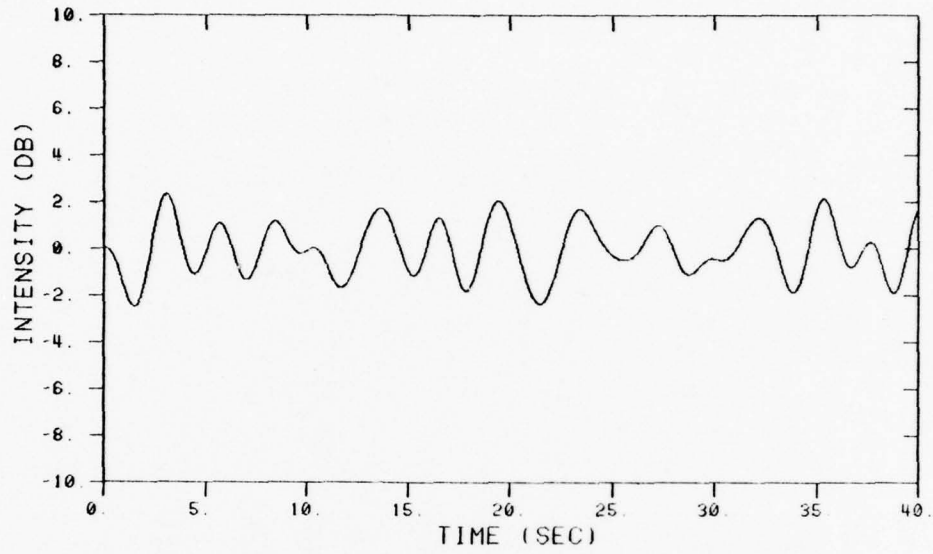


Figure 7. Moderate UHF scintillation—focus component.

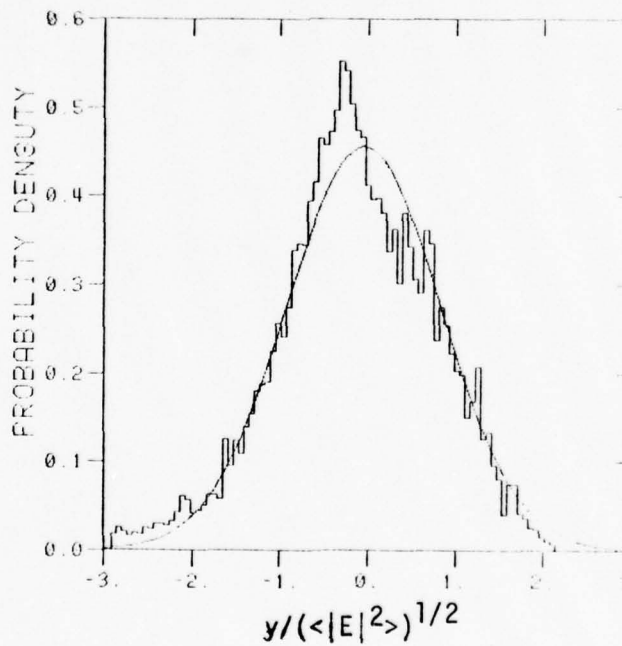
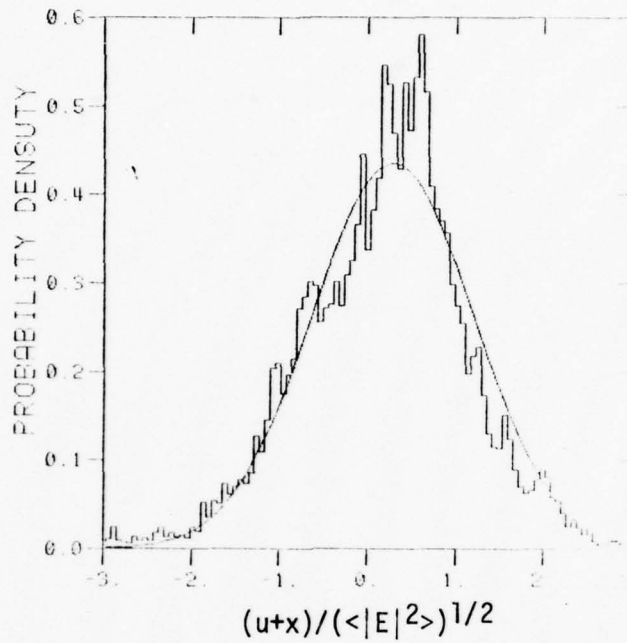


Figure 8. Severe UHF scintillation—probability densities for real and imaginary parts of scatter component.

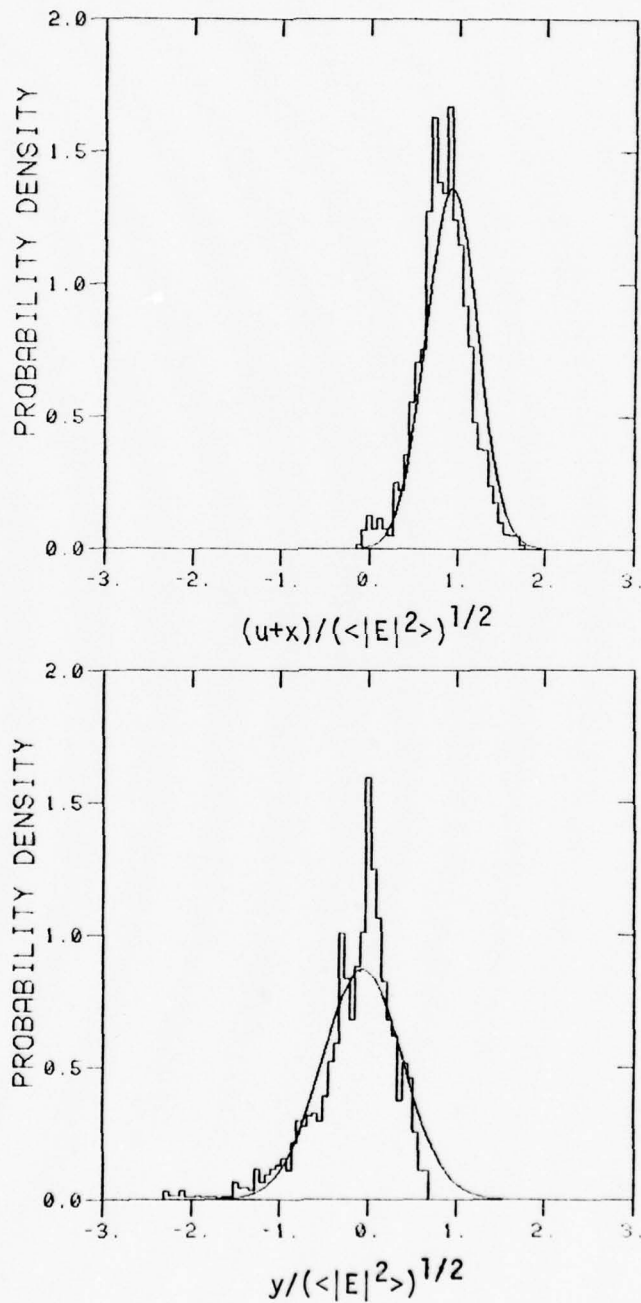


Figure 9. Moderate UHF scintillation—probability densities for real and imaginary parts of scatter component.

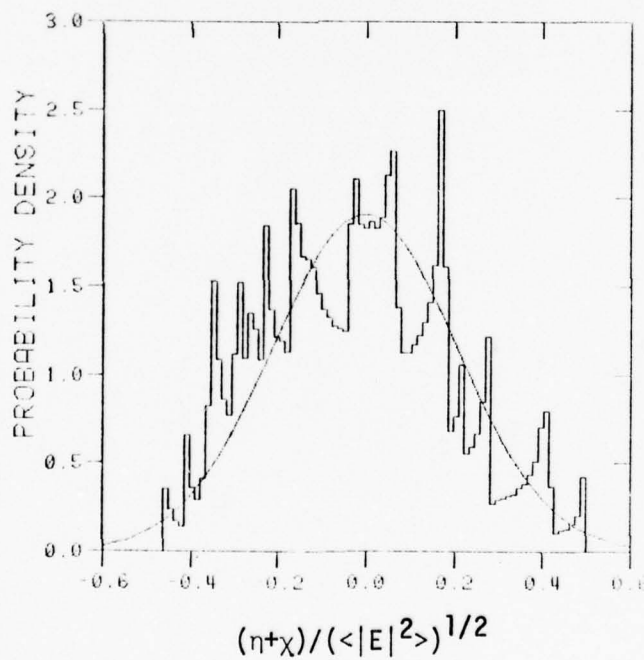
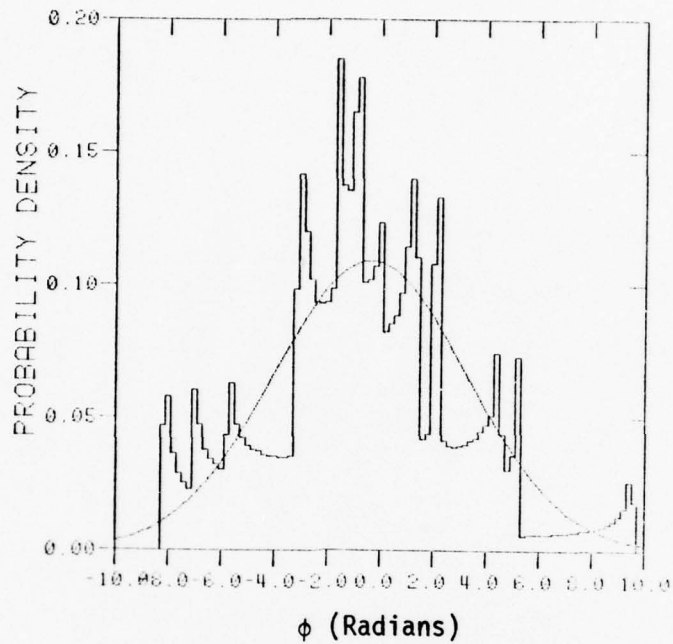


Figure 10. Severe UHF scintillation—probability densities for phase and log-amplitude of the focus component.

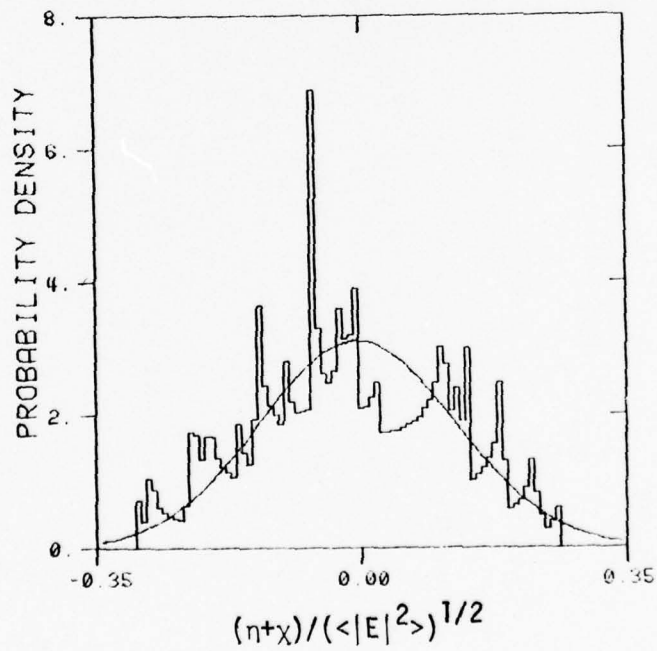
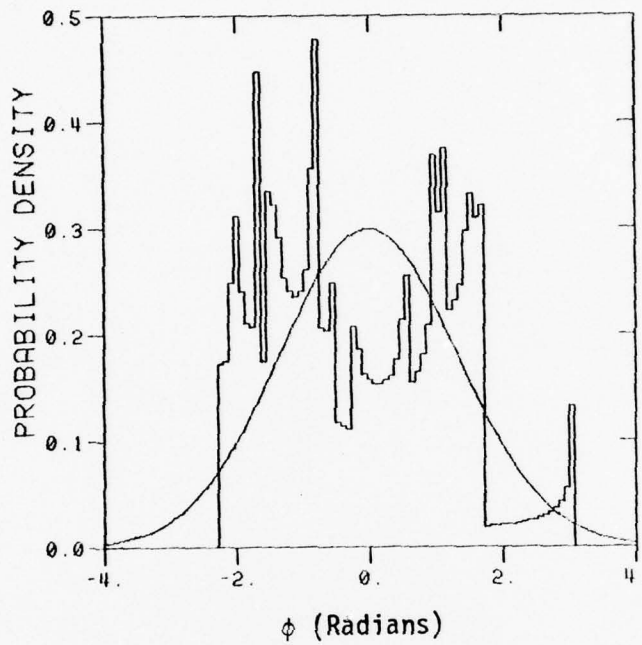


Figure 11. Moderate UHF scintillation—probability densities for phase and log-amplitude of the focus component.

of the corresponding time functions. It appears that the discrete density functions would as likely approach gaussian distributions with zero means as any other distribution if more data were included in the calculation. This is true for both segments of the UHF signal.

Statistics for the detrended, normalized, continuous phase UHF signals during the two 40 second time periods are given in Tables 1 and 2. Each set of statistics is a result of processing 20,000 samples of the Wideband satellite signal. The parameters in the table are defined below for reference. Angle brackets, $\langle \rangle$, indicate averages.

$$S_4 = \text{Scintillation index} = [(\langle I^2 \rangle - \langle I \rangle^2) / \langle I \rangle^2]^{1/2}$$

where I is intensity = $|E|^2$

$$\sigma_x = \text{Root mean square of values of } x$$

$$= [\langle x^2 \rangle - \langle x \rangle^2]^{1/2}$$

$$\sigma_y = \text{Root mean square of values of } y .$$

$$\rho_{xy} = \text{Normalized coefficient of correlation of } x \text{ and } y$$

$$= \langle (x - \langle x \rangle) (y - \langle y \rangle) \rangle / \sigma_x \sigma_y$$

$$\tau_x = \text{Time interval such that the correlation between}$$

$$x(t) \text{ and } x(t+\tau) \approx 1/e = 0.37$$

Table 1. Statistics for severely scintillating UHF signal.

Wideband satellite

Data at Ancon, Peru, during period 23:47:32 to 23:48:12 local time.

SCATTER COMPONENT

S_4 Index	0.9825	σ_{phase} (rad)	1.8456
σ_x	0.9164	σ_y	0.8756
ρ_{xy}	- 0.0022	σ_x^2/σ_y^2	1.0952
τ_s (sec)	0.12		

FOCUS COMPONENT

S_4 Index	0.4416	σ_{phase} (rad)	3.6563
σ_x	0.2090	τ_F (sec)	10.0
$\rho_{x\phi}$	- 0.1376		

Table 2. Statistics for moderately scintillating UHF signal.

Wideband satellite

Data at Ancon, Peru, during period 23:49:48.6 to 23:50:28.6 local time.

SCATTER COMPONENT

S_4 Index	0.5920	σ_{phase} (rad)	0.4642
σ_x	0.2922	σ_y	0.4585
ρ_{xy}	- 0.2039	σ_x^2 / σ_y^2	0.4061
τ_s (sec)	0.325		

FOCUS COMPONENT

S_4 Index	0.2569	σ_{phase} (rad)	1.3328
σ_x	0.1282	τ_F (sec)	10.0
$\rho_{x\phi}$	- 0.5435		

SECTION 4

MULTIPLE PHASE SCREEN SIMULATION

The multiple phase screen (MPS) propagation simulation is an analytical/numerical technique which provides a numerical solution for the propagation of a plane wave through a disturbed ionosphere. By modeling the ionosphere as a series of random phase screens with a power-law power spectral density and utilizing the actual Wideband satellite geometry, we attempt to "match" the experimental data (in a qualitative sense) and thus obtain some information on possible ionospheric parameters.

The multiple phase-screen propagation simulation represents the disturbed region by a number of phase-screens (10 for this work) located in the disturbed region between the satellite and the receiver. Random phase fluctuations in each screen are generated using the statistical properties of the electron-density fluctuations as determined by the electron-density power spectral density. A wave (initially plane as it enters the disturbed region) is then propagated numerically from one screen to the next by use of the Fresnel-Kirchhoff integral equation until a solution is obtained for the complex electric field in the receiver plane. This technique is equivalent to a solution of the parabolic wave equation and is thus able to account for multiple scattering.⁸ Since the phase-screens are random, the signal propagated to the receiver is random and, if desired, statistics may be obtained by averaging a number of different simulations, each based on a different sequence of random numbers.

The electron-density power spectral density is a simulation input, but here we assume a one-dimensional power spectral density of

the form

$$S(K_{\perp}) = \frac{\sigma_N^2 L_o}{\pi(1+K_{\perp}^2 L_o^2)}$$

where σ_N is the standard deviation of electron-density fluctuation and L_o is the outer scale size. Both parameters are simulation inputs. K_{\perp} is the wavenumber perpendicular to the magnetic field.

We assumed a 100 km thick ionosphere centered at an altitude of 350 km. To model the actual propagation geometry for the link from the Wideband satellite to Ancon we used constant elevation angles of 15° and 30° for the first and second time periods, respectively. The actual satellite elevation angles were changing slowly during the 40 second time intervals and the use of constant angles simplifies the analysis. In this manner we modeled the early time geometry by a layer of irregularities 386 km thick with the center 1352 km from the receiver. In the late time period the layer of irregularities was 200 km thick centered 700 km from the receiver.

The best match of MPS calculated data to the actual Wideband satellite experimental data was obtained by a visual examination of a small number of plots of intensity and phase generated by the MPS propagation simulation. For both time periods we found that an outer scale size of 500 meters gave reasonable fits to the data. During the first time period an electron density standard deviation of $9.9 \times 10^4 \text{ cm}^{-3}$ was used; during the second time period an electron density standard deviation of $3.5 \times 10^4 \text{ cm}^{-3}$ was found to give a good fit to the experimental data. Values for both the outer scale size and the electron density standard deviation are within ranges regularly observed in the equatorial ionosphere.

Since the propagation simulation results are functions of distance in a plane normal to the magnetic field direction, the simulation results

may be converted to functions of time by dividing the simulation computed distances by V . V is defined as the component of the velocity at the ionospheric penetration point of the propagation path perpendicular to the local magnetic field direction.

For the strong UHF scintillation case a value of V of 780 m/sec was required to match the observed signal intensity decorrelation time. A value of V of 720 m/sec was required to match the intensity decorrelation time observed for the moderate UHF scintillation. The geometry of the satellite pass indicates that a value of V of about 500-700 m/sec results from satellite motion perpendicular to the magnetic field direction. Another 100-200 m/sec could be added from mean atmospheric drift.² These considerations indicate a likely range of V from 600-900 m/sec. The values used here are within this range. The purpose of the comparison is to investigate adequacy of the statistical signal description in the two models—thus, correspondence of subsidiary quantities such as V , while encouraging indicators of validity for MPS, are not necessary to a valid comparison.

Note that the simulation parameters which match the Wideband experimental data are not unique. They were chosen simply because they yielded the closest match to the observed signal intensity and phase from a small set of simulations. A somewhat better match may be obtained for a different electron-density standard deviation and outer scale size. However, the signals already obtained seem to match the data quite well and we do not expect that better matches will result in much change in either electron-density standard deviation or outer scale size.

The magnitude and phase of the MPS realizations for the early time period are shown in Figure 12. These can be compared with the corresponding detrended Wideband satellite data in Figure 1. Similarly, the MPS generated amplitude and phase for the late time period are presented in Figure 13 for comparison with Figure 2. In addition, the selected

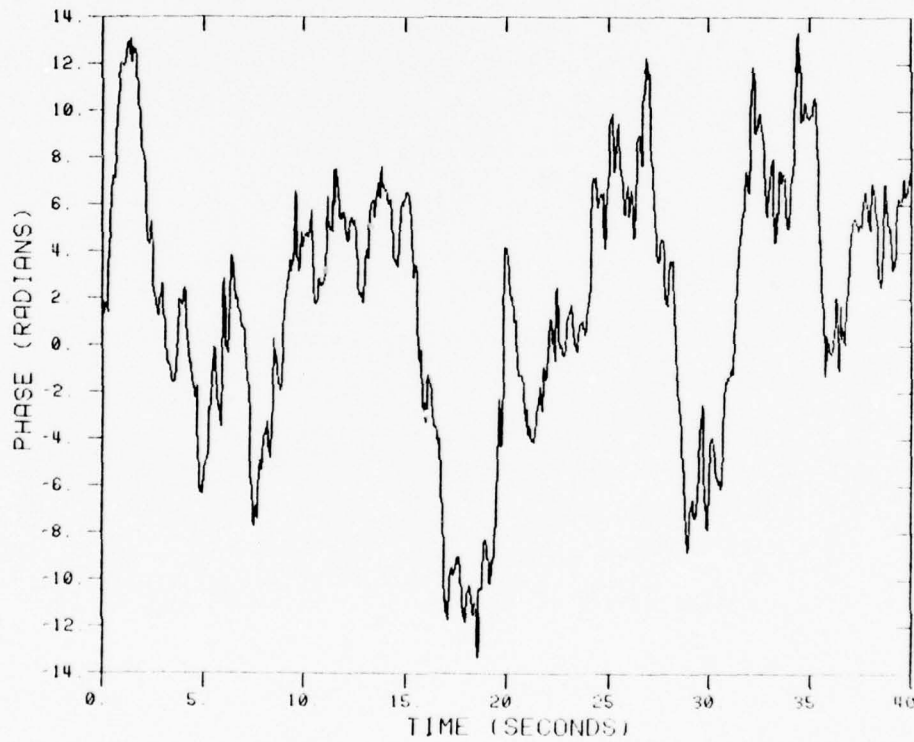
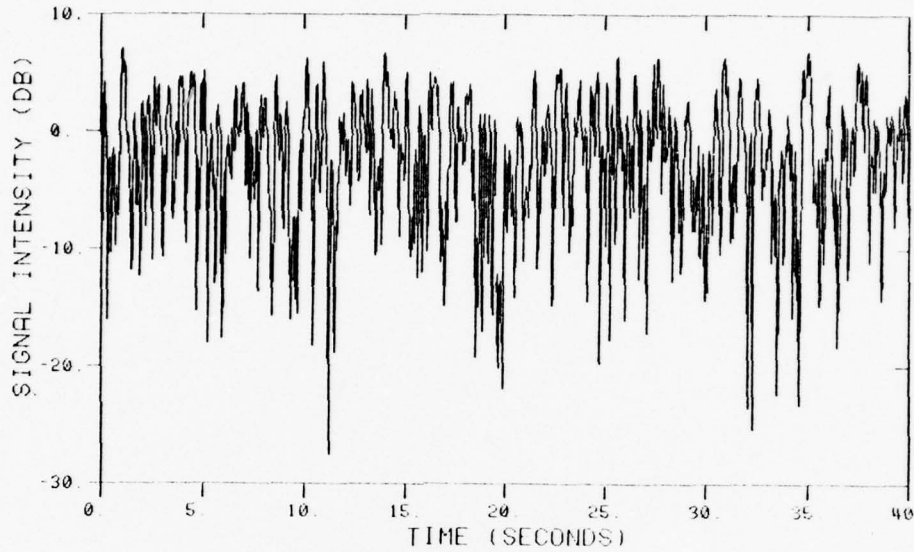


Figure 12. Multiple phase screen realization of the severely scintillating UHF signal. (Compare with data in Figure 1.)

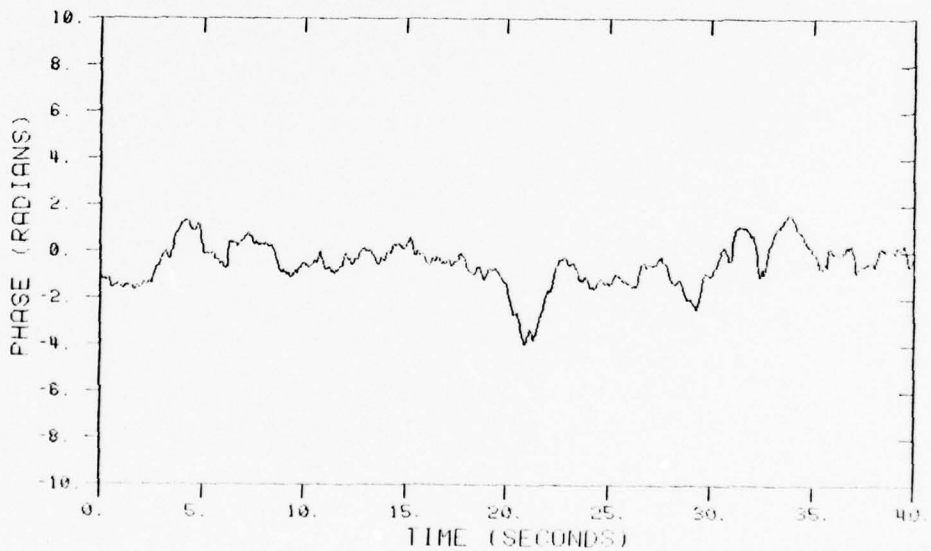
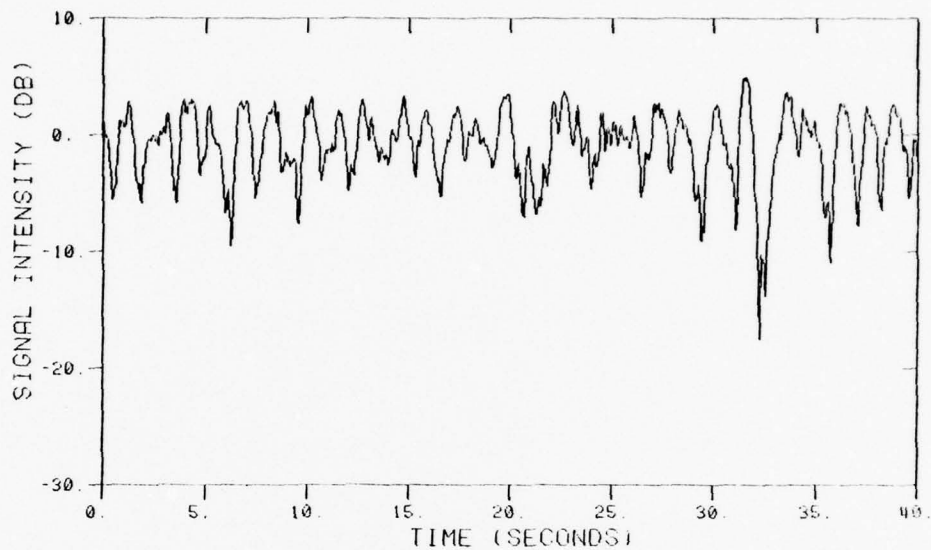


Figure 13. Multiple phase screen realization of the moderately scintillating UHF signal. (Compare with data in Figure 2.)

ionospheric parameters were used to generate a scintillating signal simulation at L-band for the early time period. This signal is shown in Figure 14 for comparison with Figure 3. The similarity of the L-band MPS results and the detrended Wideband satellite data demonstrates the feasibility of modeling scintillation effects at SHF given data at UHF. L-band propagation effects for the late time period were not modeled because the relatively moderate effects at UHF indicate that effects of the L-band signal perturbation will be small.

Table 3 summarizes statistical parameters indicating severity of scintillation for the Wideband data and for signals generated using the 2-component and MPS models.

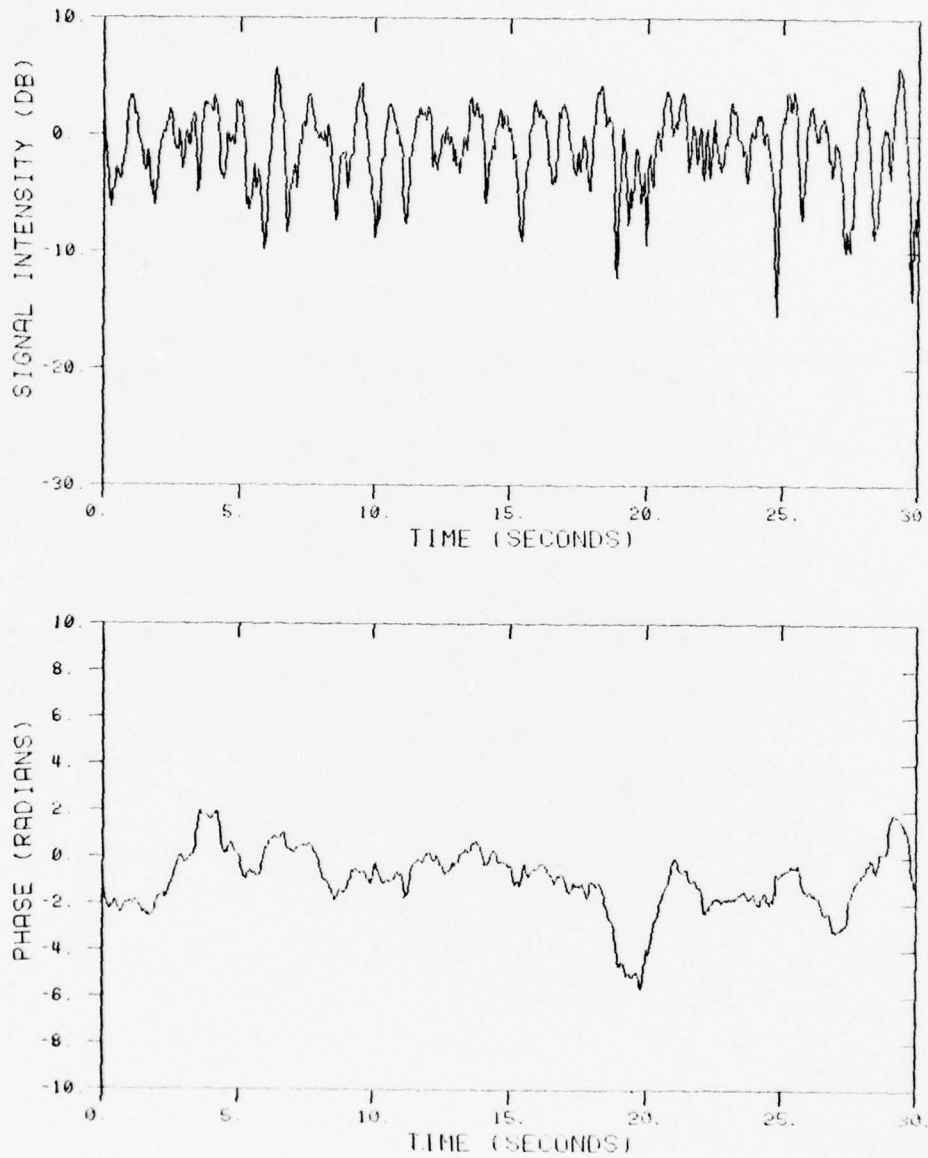


Figure 14. Multiple phase screen realization of the L-band signal using ionospheric parameters derived from the severely scintillating UHF signal. (Compare with data in Figure 3.)

Table 3. Summary of signal statistics.

	SEVERE SCINTILLATION		MODERATE SCINTILLATION	
	UHF	L-Band	UHF	UHF
	S_4	S_4	S_4	σ_ϕ
Wideband Data	0.998	4.065	0.554	1.355
2-Component Model	1.073	20.45	0.571	1.091
MPS Model	0.950	5.625	0.555	0.9372

S_4 is the scintillation index.

σ_ϕ is the standard deviation of phase (radians).

SECTION 5 RECEIVER SIMULATION

To investigate the effects of propagation disturbance modeling on the performance of system models, the perturbed signals were used as input to a sampled data digital simulation of the DSCS-II AN/USC-28 modem.⁷ For this purpose a 75 bit per second data rate was used with coherent detection of a phase-shift keyed (PSK) signal with differential encoding of the data. The receiver representation used a second order modified Costas phase-locked loop with a loop bandwidth of 21 Hz. The simulation sampling rate was 300/sec. A mean carrier-power-to-noise density of 35 db-Hz, corresponding to a mean E_b/N_o of 16 db was used so that detection errors could be attributed to the nature of the signal rather than to receiver noise. Without signal disturbances the error rate is vanishingly small for this signal-to-noise ratio. The receiver model can accept signal input data in each of the three forms discussed here.

The form of the direct data input to the receiver model consists of digitized amplitude and phase samples. Since the Wideband satellite data is sampled at a rate of 500 samples/second and the receiver model sample rate is 300 samples/second, an interpolation is necessary. Otherwise the detrended data is used directly.

Use of the 2-component model requires that statistics for the scintillating signal be derived. Eight parameters are required. These are:

- Scatter scintillation index, S_4
- Ratio of variances of x and y , σ_x^2/σ_y^2

- Correlation coefficient of x and y , ρ_{xy}
- Decorrelation time (e^{-1}) for the scattered signal, τ_s
- Standard deviation of the log-normal amplitude, σ_χ
- Standard deviation of the log-normal phase, σ_ϕ
- Correlation coefficient of χ and ϕ , $\rho_{\chi\phi}$
- Decorrelation time for the log-normal signal, τ_f

These quantities are given in Tables 1 and 2.

The random scatter signal scintillation components are computed at each sampling time using a correlated random sampling technique applicable to gaussian random processes. The correlated sampling technique was developed by Hendrick and is described in Reference 9. A separate set of correlated gaussian random variables is generated for use in the representation of the focus component of the signal. The scintillating signal amplitudes and phases generated by this process are presented in Figures 15 and 16. The phase as plotted in Figure 15 is constrained to an interval ranging from -3π to 3π radians. Whenever the actual phase decreases below -3π , 2π is added to all subsequent phase values and plotting continues. The many sharp discontinuities shown in Figure 15 are results of the addition of these 2π shifts and affect only the plotted phase, not the receiver.

The form of the MPS inputs to the receiver model is the same as that for experimental data. These are the signals presented in Figures 12 through 14.

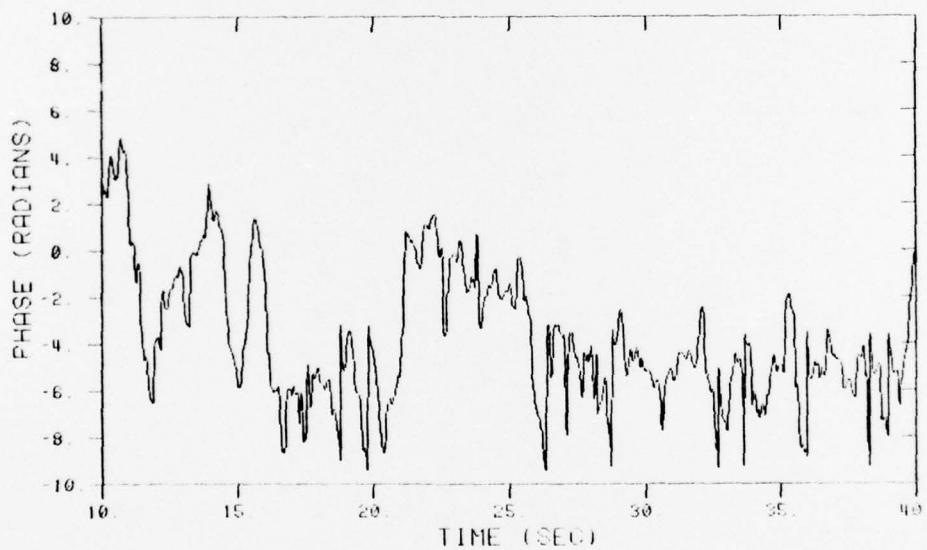
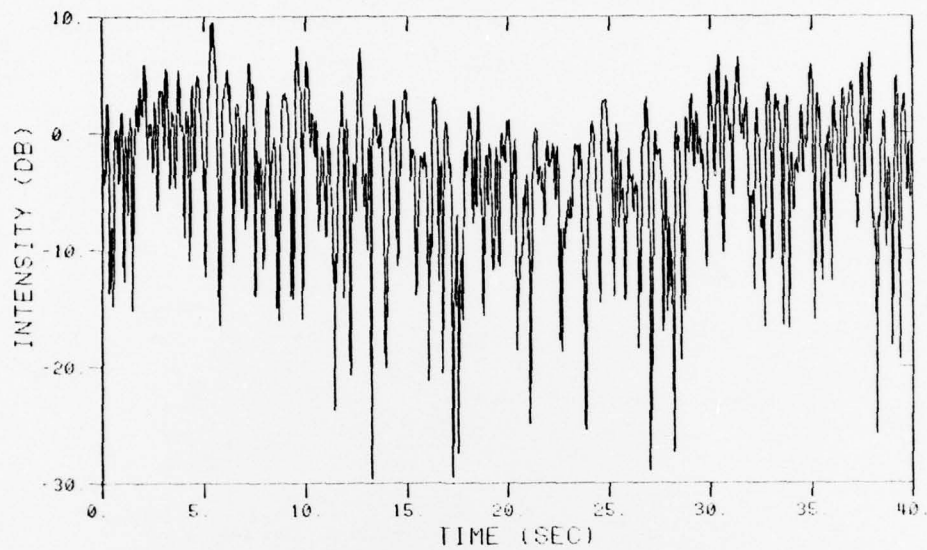


Figure 15. Simulated severely scintillating UHF signal using the 2-component model. (Compare with Figure 1.)

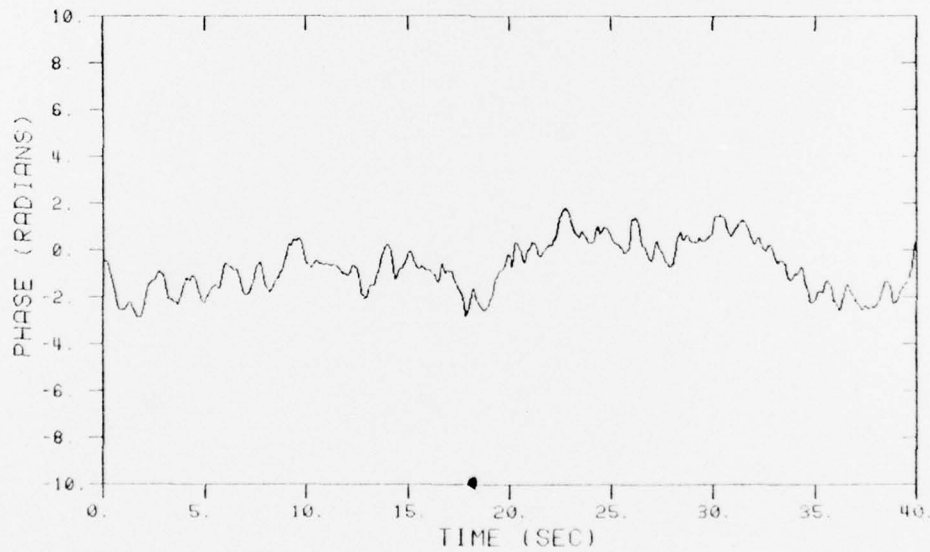
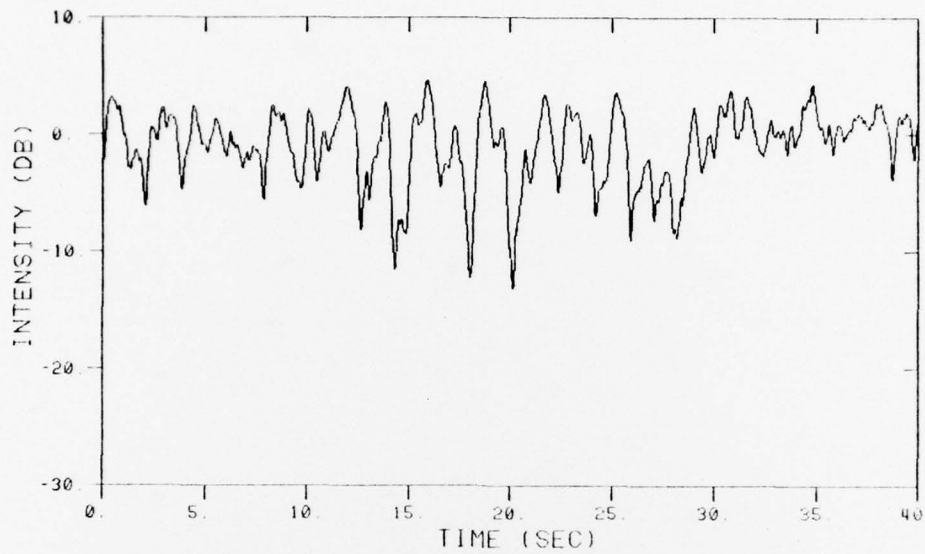


Figure 16. Simulated moderately scintillating UHF signal using the 2-component model. (Compare with Figure 2.)

Table 4. Summary of error statistics.

Mean $E_b/N_0 = 16.25$ db

	Transmitted Bits	Demodulated Bit Errors	Calculated Average Bit Error Probability
<u>Severe Scintillation</u>			
UHF Wideband Data	3003	59	1.3×10^{-2}
2-Component Model	3003	67	2.3×10^{-2}
MPS Model	3003	55	2.0×10^{-2}
<u>Moderate Scintillation</u>			
Wideband Data	3003	1	2.2×10^{-5}
2-Component Model	3003	0	3.9×10^{-4}
MPS Model	3003	4	4.9×10^{-4}
<u>L-Band</u>			
Wideband Data	2254	0	2.1×10^{-6}
MPS Model	2254	2	8.9×10^{-4}

An explicit bit by bit demodulation process is simulated in the receiver model. In addition, a conditional binary error probability is calculated. The conditional error probability is a function of the phase tracking error and the signal amplitude at each sampling time. The calculated bit error rates given in Table 4 are computed by averaging the conditional binary error probabilities over the simulation time interval. These are the average error rates that would be expected for very long samples. When the average error probability is of the order of 10^{-4} , the expected number of bit errors in a 40 second period, for a bit rate of 75/sec, is less than one. However, because the decorrelation time is long compared to a bit period, the errors are clumped in time. Longer simulations would be required to obtain good statistics for demodulated bit errors in the cases involving moderate scintillation.

SECTION 7 CONCLUSIONS

Models for representation of radio signals that have propagated through a disturbed ionosphere are useful for generating input signals for computer simulation of communication systems. One of the models used here, the joint gaussian plus log normal 2-component model, represents the signal through measured statistical parameters. The second model, the multiple phase screen model, represents the signal by generating amplitude and phase samples based on physical propagation effects. In the comparison of these models presented here, a digital simulation of a BPSK demodulator was found to give similar demodulation performance for the two signal models. The binary error rates resulting from use of the models were comparable to results obtained from use of the data taken from the DNA Wideband satellite experiment.

In this work the MPS simulations which were obtained to "match" the Wideband satellite data were chosen on the basis of scintillation index and phase standard deviation from a small set of possible cases. Future work of this type should be directed toward matching the intensity and phase power spectral densities of the received signal to obtain a match of both first and second order statistics. In addition to being a further test of how well the models match experimental data, these quantities impose requirements on automatic gain control and phase tracking loop bandwidths.

The MPS technique has advantages for communication link simulations because the parameters required are related to ionospheric structure rather than a priori assumptions of signal statistics.

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