

AD-A157 397

POSITION PAPER: THE FEASIBILITY OF LOWERING OXYGEN  
CONCENTRATIONS ABOARD. (U) NAVAL SUBMARINE MEDICAL  
RESEARCH LAB GROTON CT D R KNIGHT 08 APR 85

1/1

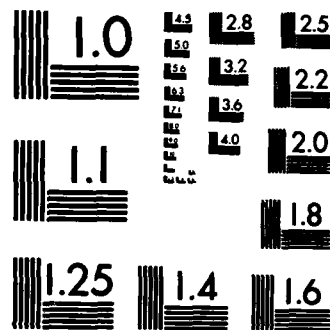
UNCLASSIFIED

NSMRL-MR-84-5

F/G 6/11

NL

|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|--|--|--|--|--|--|--|--|--|--|-------|--|--|--|
|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|  |  |  |  |  |  |  |  |  |  |       |  |  |  |
|  |  |  |  |  |  |  |  |  |  | END   |  |  |  |
|  |  |  |  |  |  |  |  |  |  | FILED |  |  |  |
|  |  |  |  |  |  |  |  |  |  | DTM   |  |  |  |

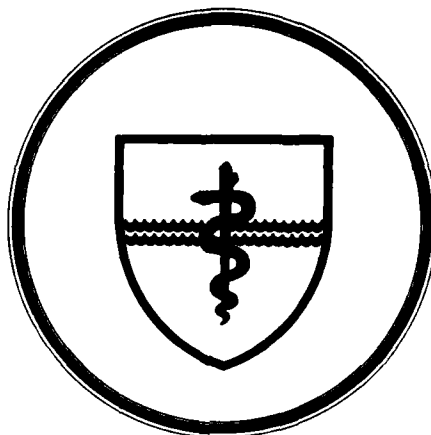


MICROCOPY RESOLUTION TEST CHART  
NATIONAL BUREAU OF STANDARDS-1963-A

AD-A157 397

# NAVAL SUBMARINE MEDICAL RESEARCH LABORATORY

SUBMARINE BASE, GROTON, CONN.



MEMO REPORT 84-5

POSITION PAPER:

THE FEASIBILITY OF LOWERING OXYGEN CONCENTRATIONS  
ABOARD SUBMARINES  
IN ORDER TO IMPROVE FIRE SAFETY

by

Douglas R. Knight, CAPT, MC, USN

DTIC FILE COPY

Released by:

W. C. Milroy, CAPT, MC, USN  
Commanding Officer  
Naval Submarine Medical Research Laboratory

8 April 1985

DTIC  
ELECTE  
JUL 1 7 1985  
S D

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

| REPORT DOCUMENTATION PAGE                                                                                                                                                                                                                                   |                                     | READ INSTRUCTIONS<br>BEFORE COMPLETING FORM                 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------------------------------|
| 1. REPORT NUMBER<br>NSMRL Memo Report 84-5                                                                                                                                                                                                                  | 2. GOVT ACCESSION NO.<br>AD-A157397 | 3. RECIPIENT'S CATALOG NUMBER                               |
| 4. TITLE (and Subtitle)<br>"THE FEASIBILITY OF LOWERING OXYGEN CONCENTRATIONS ABOARD SUBMARINES IN ORDER TO IMPROVE FIRE SAFETY"                                                                                                                            |                                     | 5. TYPE OF REPORT & PERIOD COVERED<br>Interim report        |
|                                                                                                                                                                                                                                                             |                                     | 6. PERFORMING ORG. REPORT NUMBER<br>NSMRL Memo Report 84-5  |
| 7. AUTHOR(s)<br>D. R. KNIGHT, CAPT, MC, USN                                                                                                                                                                                                                 |                                     | 8. CONTRACT OR GRANT NUMBER(s)                              |
| 9. PERFORMING ORGANIZATION NAME AND ADDRESS<br>Naval Submarine Medical Research Laboratory<br>Box 900 Naval Submarine Base New London<br>Groton, Connecticut 06349-0900                                                                                     |                                     | 10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS |
| 11. CONTROLLING OFFICE NAME AND ADDRESS<br>Naval Medical Research and Development Command<br>National Capital Region, Naval Medical Command<br>Bethesda, Maryland 20814                                                                                     |                                     | 12. REPORT DATE<br>8 April 1985                             |
|                                                                                                                                                                                                                                                             |                                     | 13. NUMBER OF PAGES<br>54                                   |
| 14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)                                                                                                                                                                                 |                                     | 15. SECURITY CLASS. (of this report)<br><br>Unclassified    |
|                                                                                                                                                                                                                                                             |                                     | 15a. DECLASSIFICATION/DOWNGRADING SCHEDULE                  |
| 16. DISTRIBUTION STATEMENT (of this Report)<br><br>Approved for public release; distribution unlimited                                                                                                                                                      |                                     |                                                             |
| 17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)                                                                                                                                                                  |                                     |                                                             |
| 18. SUPPLEMENTARY NOTES                                                                                                                                                                                                                                     |                                     |                                                             |
| 19. KEY WORDS (Continue on reverse side if necessary and identify by block number)<br><br>fire safety; fire retardance; submarines; submersibles; oxygen; aerohypoxia; hypoxia; hypercapnia; carbon dioxide; carbon monoxide; altitude; performance; humans |                                     |                                                             |

UNCLASSIFIED

SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

Item 20--continued

centrations of 13-19% could be used to retard fires if the ship's barometric pressure were maintained at appropriate values within the range of 700-1000 torr. The risks of nitrogen narcosis and decompression sickness should not exist when barometric pressure  $\leq$  1000 torr. It is not known whether crew performance would be degraded by hypoxia since few experiments, if any, have evaluated the effects of long-term exposures to 130 torr oxygen, 1% carbon dioxide, and 10 ppm carbon monoxide. Nor is it known if the factors of an 18-hour work day, lack of sunlight, and the stressful life-style of submarine duty would interact with the oxygen-deficient atmosphere to degrade watchstanding. Crew health and performance in diminished concentrations of oxygen should be evaluated in the laboratory and at sea before the current standards of atmosphere control are redesigned to lower the risk of fire damage aboard submarines.

UNCLASSIFIED

Memorandum Report 84-5

POSITION PAPER:  
THE FEASIBILITY OF LOWERING OXYGEN CONCENTRATIONS ABOARD  
SUBMARINES IN ORDER TO IMPROVE FIRE SAFETY

by

Douglas R. Knight, CAPT MC, USN

|                    |                                            |
|--------------------|--------------------------------------------|
| Accession For      |                                            |
| NTIS GRA&I         | <input checked="checked" type="checkbox"/> |
| DTIC TAB           | <input type="checkbox"/>                   |
| Unannounced        | <input type="checkbox"/>                   |
| Justification      |                                            |
| By                 |                                            |
| Distribution/      |                                            |
| Availability Codes |                                            |
| Dist               | Avail and/or<br>Special                    |
| A/1                |                                            |

Approved and Released by:



William C. Milroy, CAPT, MC, USN  
Commanding Officer  
Naval Submarine Medical Research Laboratory



Approved for public release; distribution is unlimited.

## SUMMARY PAGE

**PROBLEM.** Intentional dilution of the shipboard oxygen concentration can improve fire safety by retarding combustion. But, crewmembers may experience unknown problems when exposed to 19% oxygen in the contaminated environment of a nuclear submarine. Matters could be worse during transient reductions of oxygen concentration to 17%.

**FINDINGS.** Crew health and performance depend on the partial pressure of oxygen ( $P_{O_2}$ ) rather than oxygen concentration. A review of the literature indicated that chronic exposure to 19% oxygen is not harmful when the  $P_{O_2}$  is 130-160 torr. The performance of complex tasks was observed to be quite adequate when men worked at altitudes above Denver, Colorado (where  $P_{O_2}$  is 130 torr), and symptoms of acute hypoxia did not appear until  $P_{O_2}$  dropped below 117 torr. Nor did carbon dioxide interfere with the rate of oxygen uptake by humans exposed to reduced  $P_{O_2}$ 's. Laboratory studies did indicate that the performance of complex tasks and the visual adaptation to dark environments were slightly impaired at ambient  $P_{O_2}$ 's of 130-140 torr. Furthermore, it was difficult to predict the effect of 10 ppm carbon monoxide on human performance in  $P_{O_2}$  130 torr. Therefore, laboratory and field investigations should attempt to show that submarine crew performance will not be degraded at  $P_{O_2}$  130 torr.

**APPLICATION.** Ships' engineers can diminish the risk of fire damage aboard submarines by reducing oxygen concentration below 19%.

## ADMINISTRATIVE INFORMATION

This manuscript was submitted for review on 20 Feb 1985 and approved for publication as an NSMRL Memorandum Report on 8 April 1985.

PUBLISHED BY THE NAVAL SUBMARINE MEDICAL RESEARCH LABORATORY

## ABSTRACT

Nitrogen serves as a retardant of fires by diluting the concentration of atmospheric oxygen. Submarine crews could gain long-term protection from fire damage by diluting their oxygen supply if that would not reduce the partial pressure of atmospheric oxygen ( $P_{O_2}$ ) to the point of causing hypoxia. Since residents of Denver perform complex tasks at a  $P_{O_2}$  of 130 torr, submarine crews should be able to dilute their oxygen concentration until  $P_{O_2}$  falls to 130 torr. Consequently, oxygen concentrations of 13-19% could be used to retard fires if the ship's barometric pressure were maintained at appropriate values within the range of 700-1000 torr. The risks of nitrogen narcosis and decompression sickness should not exist when barometric pressure  $\leq$  1000 torr. It is not known whether crew performance would be degraded by hypoxia since few experiments, if any, have evaluated the effects of long-term exposures to 130 torr oxygen, 1% carbon dioxide, and 10 ppm carbon monoxide. Nor is it known if the factors of an 18-hour work day, lack of sunlight, and the stressful life-style of submarine duty would interact with the oxygen-deficient atmosphere to degrade watchstanding. Crew health and performance in diminished concentrations of oxygen should be evaluated in the laboratory and at sea before the current standards of atmosphere control are redesigned to lower the risk of fire damage aboard submarines.

**ACKNOWLEDGEMENT.** The author appreciates the assistance of Mrs. Elaine Gaucher in obtaining the references used to conduct this review.

## Table of contents

|     |                                                                                    |      |
|-----|------------------------------------------------------------------------------------|------|
| 1.  | The question.....                                                                  | p 1  |
| 2.  | Strategy of fire retardance aboard submarines....                                  | p 3  |
| 3.  | Physiological principles of hypoxia.....                                           | p 5  |
| 4.  | Health effects of aerohypoxia.....                                                 | p 13 |
| 5.  | Damage control in aerohypoxia.....                                                 | p 14 |
| 6.  | Field studies of watch standing and psychomotor<br>performance in aerohypoxia..... | p 17 |
| 7.  | Effect of aerohypoxia on task performance.....                                     | p 19 |
| 8.  | Responses of special senses to aerohypoxia.....                                    | p 21 |
| 9.  | Sleep in aerohypoxia.....                                                          | p 22 |
| 10. | Atmosphere contaminants in aerohypoxia.....                                        | p 23 |
| 11. | Hyperbaric nitrogen.....                                                           | p 33 |
| 12. | Potential engineering and operational problems..                                   | p 36 |
| 13. | Summary and recommendations.....                                                   | p 37 |
| 14. | References.....                                                                    | p 42 |

## Tables and figures

|      |           |                                                                |
|------|-----------|----------------------------------------------------------------|
| p 2  | Figure 1: | Life support zone aboard submarines.                           |
| p 4  | Figure 2: | Oxygen levels aboard operational submarines.                   |
| p 6  | Figure 3: | The oxygen cascade.                                            |
| p 7  | Table 1:  | Functional degradation during acute aerohypoxia.               |
| p 11 | Table 2:  | Consequences of chronic exposure to high altitude.             |
| p 15 | Figure 4: | % Change from sea-level measurements of maximal aerobic power. |
| p 24 | Figure 5: | The effects of aerohypoxia and hypercapnia on human function.  |
| p 34 | Figure 6: | Risk of decompression sickness after patrol.                   |
| p 38 | Table 3:  | Functional responses to mild aerohypoxia.                      |

## Terminology

|                                    |                                                                                                                                                 |
|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| Acclimatization.                   | Short term adjustment to strange environments (43).                                                                                             |
| Acclimatization, complete.         | The total of the long term adjustments by organisms to maintain body weight, growth, fertility, and well being (43).                            |
| Accomodation.                      | Short term adjustment to strange environments (43).                                                                                             |
| Acute Mountain Sickness.           | The reversible, ill effects of acute hypoxia.                                                                                                   |
| Adaptation.                        | Short term adjustment to strange environments (43).                                                                                             |
| Aerohypoxia.                       | [synonyms: anoxic anoxia, hypoxic hypoxia (52)] The reduced partial pressure of atmospheric oxygen (21).                                        |
| ATA.                               | An abbreviation for "atmospheres of absolute pressure". One ATA = 760 torr.                                                                     |
| Chronic Aerohypoxia.               | The sustained lack of oxygen to which an individual can accomodate by a complex process termed altitude acclimatization (27).                   |
| Critical Flicker Fusion Frequency. | The lowest frequency, in cycles per second, at which a flickering light appears to be steady (82).                                              |
| Critical Venous Tension.           | The minimum venous tension of oxygen which assures an adequate tissue oxygen tension for oxidative metabolism of cells (modified from ref. 41). |
| Gas Stores.                        | Reservoirs of gases in the body (39).                                                                                                           |
| HbCO.                              | Carboxyhemoglobin.                                                                                                                              |
| HbO <sub>2</sub> .                 | Oxyhemoglobin.                                                                                                                                  |
| Hypercapnia.                       | The condition of an elevated P <sub>CO2</sub> in the atmosphere or tissues.                                                                     |
| Hypoxemia                          | The condition of an abnormally low P <sub>O2</sub> in blood (124).                                                                              |

|                    |                                                                                                                                  |
|--------------------|----------------------------------------------------------------------------------------------------------------------------------|
| Hypoxia.           | [synonym: anoxia (52)] The condition of reduced oxygen tension in the body (43). A subnormal quantity of oxygen in the body (5). |
| Hypoxia, complete. | The sustained lack of oxygen to which an individual can accomodate by a complex process termed "altitude acclimatization" (27).  |
| Hypoxidosis.       | The impairment of aerobic metabolism (21).                                                                                       |
| Normoxia.          | The ambient partial pressure of oxygen is 159 torr.                                                                              |
| Oxygen pressure.   | The partial pressure of oxygen in gas mixtures.                                                                                  |
| Oxygen tension.    | The partial pressure of oxygen in tissues.                                                                                       |
| $P_B$ .            | The barometric pressure, as expressed in units of torr.                                                                          |
| $P_{O_2}$ .        | The partial pressure of oxygen, as expressed in units of torr.                                                                   |
| $P_{CO_2}$ .       | The partial pressure of carbon dioxide, as expressed in units of torr.                                                           |
| Torr.              | A unit of pressure equal to $1/760^{th}$ of an atmosphere, or 1 mm Hg.                                                           |

## 1. The question

Submarine crews would have more time to extinguish fires if the rate of combustion were retarded by low concentrations of oxygen (1). However, the Navy has been hesitant to permit the operation of submarines with reduced concentrations of oxygen. Members (2) of the COMMITTEE ON SUBMARINE FIRE PREVENTION AND CONTROL rationalized that diminished concentrations of oxygen would interact with background levels of carbon dioxide (ca. 1% CO<sub>2</sub>) to degrade crew performance. The side effects could include impaired oxygen utilization, increased incidence of headaches, shortness of breath, and reduced peripheral vision (2). Therefore, an inquiry to the Bureau of Medicine and Surgery questioned the permissibility and physiological effect of maintaining oxygen levels at 19 ± 0.5%, with occasional reductions to 17% (3). The Bureau advised that unknown problems might result from long-term exposure to atmospheres containing 19% oxygen, trace contaminants, and 0.7-1.0% carbon dioxide. Furthermore, doubts were expressed concerning the capability of 19% atmospheric oxygen to significantly lower the fire risk in submarines (4). The final recommendation to the Naval Sea Systems Command was that submarine crews continue to operate in oxygen levels specified for nuclear powered submarines (6).

Current U.S. Navy directives require sufficient oxygen concentrations to maintain P<sub>O<sub>2</sub></sub> at 140-160 torr. Consequently, crews live in an atmosphere pressurized to 700-800 torr while breathing concentrations of oxygen below 21% (figure 1). The data on which these limits were established are not readily available. The policy of the Royal Navy is to limit decrements of P<sub>O<sub>2</sub></sub> to 137 torr aboard all submarines, since lower levels of oxygen are believed to cause deterioration of night visual acuity and decrement of mental performance (7). Naval officers know that nuclear submarines can operate effectively with oxygen

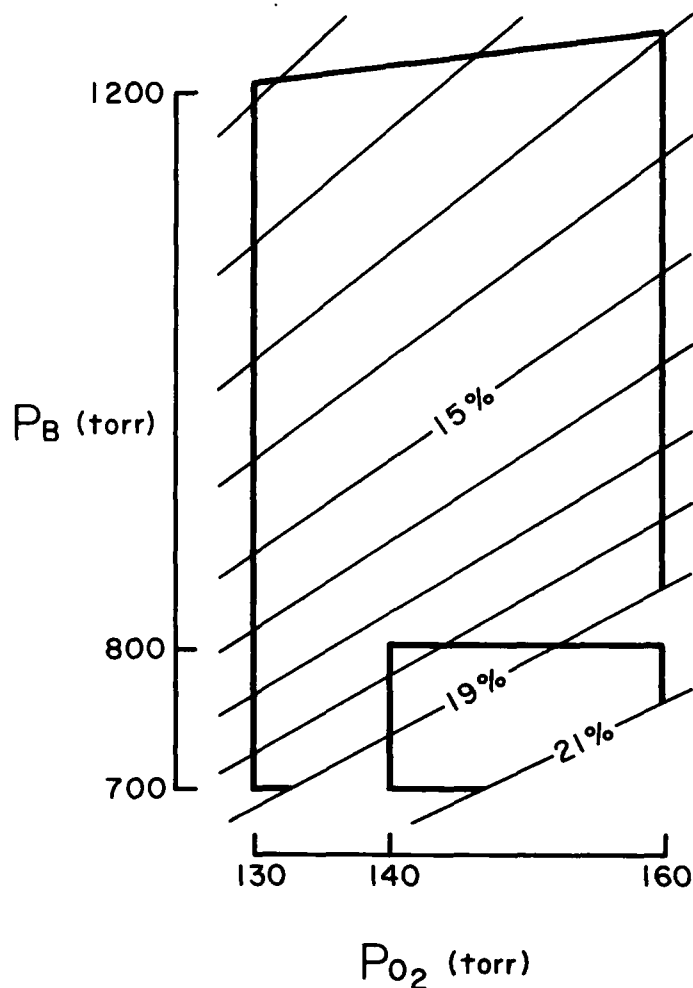


FIGURE 1: LIFE SUPPORT ZONE ABOARD SUBMARINES

Abscissa: sea-level  $P_{O_2}$  is 159 torr; Denver's  $P_{O_2}$  is 130 torr; and,  $P_{O_2}$  at the U.S. Air Force Academy is 128 torr. Ordinate: sea-level pressure is 760 torr.

The heavily outlined pentagons depict two life support zones which are composed of nitrogen and oxygen. Submarine crews currently maintain their barometric pressure ( $P_B$ ) and oxygen pressure ( $P_{O_2}$ ) within the perimeter of the smaller zone. The larger zone is designed to retard fires. The larger zone's upper border is an isobar for atmospheric nitrogen's partial pressure ( $P_{N_2}$ ) of 1.42 ATA. where;  $P_{N_2} = (P_B - P_{O_2}) / 760$ . The diagonal isopleths of oxygen concentration were constructed from the following formula;  $\%O_2 = 100 (P_{O_2} / P_B)$ . The combustion of paper is incomplete when oxygen concentration is less than 15% (13).

concentrations close to 19%. For example, data from a 70-day cruise showed that mean oxygen concentration was 19.6%, with the 1<sup>st</sup> and 99<sup>th</sup> percentiles at 19.1% and 20.2%, respectively. Mean  $P_{O_2}$  was 153 torr and mean  $P_B$  was 778 torr (figure 2). Other field studies indicated that submarine crews tolerate 17% oxygen with 3% carbon dioxide during prolonged rebreathing of the atmosphere (8,9,10, 11,12). The important question is, "What is the lowest concentration of oxygen permitting effective performance by submarine crews?"

## 2. Strategy of fire retardance aboard submarines

Ignition energy, flame size, and burning rate are dependant on oxygen concentration when barometric pressure is 1-1.5 ATA (1,13,18). Consequently, habitable atmospheres retard fires when oxygen is diluted with nitrogen and other noncombustible gases (19). The use of helium and  $CF_4$  for retardance is impractical from the aspects of cost and potential toxic effects on submarine crews. But dilution with nitrogen to 15% oxygen provides a considerable reduction in risk of fire damage. Class A fires burn incompletely in that environment (13) and kerosene will burn until the ambient oxygen concentration falls to 16% (10).

Scientists and engineers (7,13) use  $P_{O_2}$  as the important parameter for assuring life support. Work at the Naval Submarine Medical Research Laboratory recently confirmed this principle by showing that men could live in 5% oxygen when hyperbaric nitrogen maintained  $P_{O_2}$  at 160

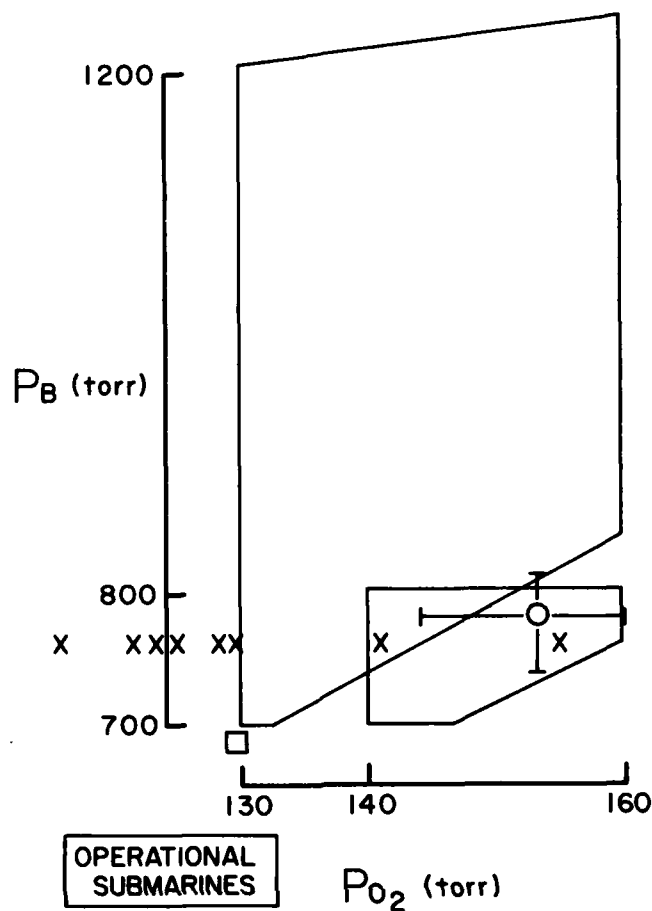


FIGURE 2: OXYGEN LEVELS ABOARD OPERATIONAL SUBMARINES

The pentagonal figures were explained in figure 1. Symbol "O" depicts the mean  $P_{O_2}$  (153 torr) and mean  $P_B$  (778 torr) for 70 continuous days aboard a nuclear submarine. The bars indicate a range of values between the 1<sup>st</sup> and 99<sup>th</sup> percentiles of the tabulated data. The mean oxygen concentration was 19.6%, with the 1<sup>st</sup> and 99<sup>th</sup> percentiles at 19.1% and 20.2%, respectively.

Symbol "x" shows oxygen pressures aboard World War II submarines after prolonged submergences without replenishment of oxygen (8-12,14-17). The partial pressures of carbon dioxide were 14-30 torr when barometric pressure was assumed to be 760 torr. The times of exposure to 129, 141, and 155 torr oxygen were 38, 24, and 12 hours, respectively. Less than 1 hour was spent in oxygen pressures

torr. Fortunately, the concentrations and partial pressures of atmospheric oxygen can be regulated by the engineering plants of nuclear submarines and submersibles. It remains to determine whether crews perform well in 130 torr oxygen, since trace contaminants of the submarine atmosphere might impair oxygen transport to the brain (4).

Figure 1 depicts 2 zones of life support for submarine crews. The smaller zone is currently used to provide life support while protecting against conflagration. The larger zone is proposed for retarding fires at sea. The potential health hazards of operating in the larger zone are hypoxia, nitrogen narcosis, and decompression sickness.

### 3. Physiological Principles of Hypoxia

**ACUTE AEROHYPOXIA.** The physiological mechanisms of oxygen transport depend on a gradient of  $P_{O_2}$ 's from sea-level air ( $P_{O_2}$  159 torr) to the brain ( $P_{O_2}$  1-15 torr) (figure 3). This gradient diminishes after transitions from sea-level to altitudes of 10,000 ft. or 22,000 ft. (21-23). Since cerebral metabolism is limited by the delivery of oxygen through arteries (24), humans tolerate sudden exposures to low  $P_{O_2}$  by accelerating their ventilation and blood flow. Atmospheric  $P_{O_2}$ 's exceeding 109 torr saturate 85% of the circulating hemoglobin with oxygen (25). Consequently, ventilatory and circulatory function are not stimulated by exposure of resting men to altitudes below 10,000 ft. (21). For example, at an altitude equivalent to 118 torr  $P_{O_2}$ , arterial  $P_{O_2}$  dropped 26 torr while oxygen saturation dropped

(Torr OXYGEN)

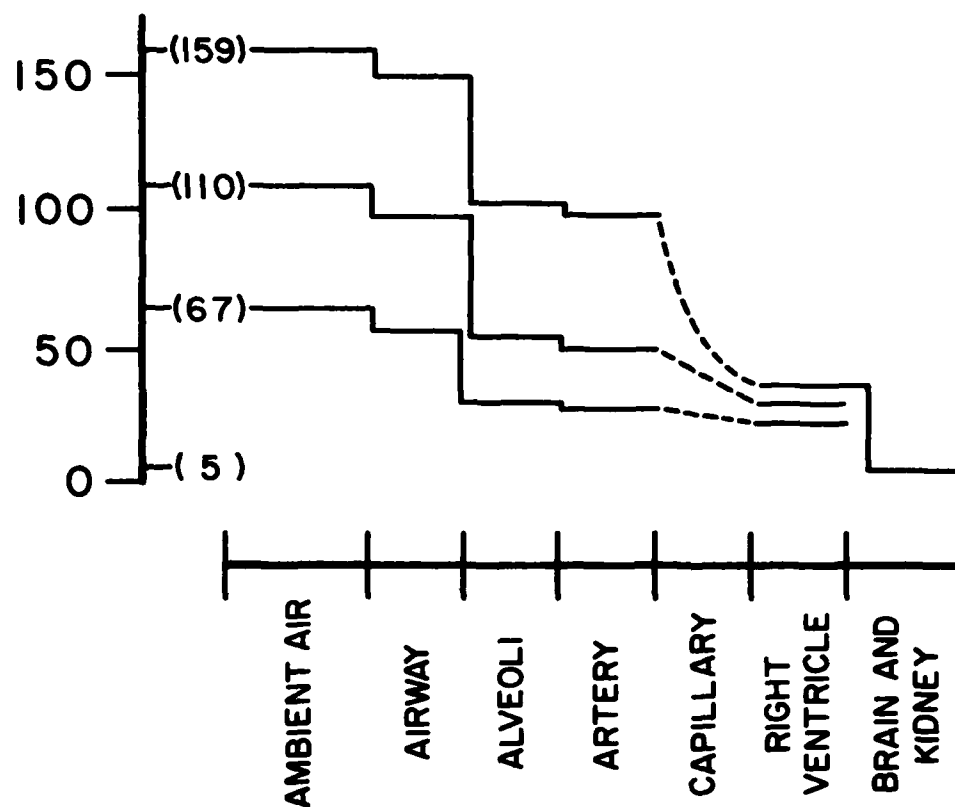


FIGURE 3. THE OXYGEN CASCADE

Oxygen pressures of 159, 110, and 67 torr, respectively exist in air at sea-level, 10,000 ft. altitude, and 22,000 ft. altitude. The data for sea-level and 22,000 ft. altitude were adapted from figure 5 of reference 21. The data for 10,000 ft. were calculated from information in references 22 and 23.

TABLE 1. FUNCTIONAL DEGRADATION DURING ACUTE AEROHYPOXIA

| <u>Ref</u> | <u>Altitude,<br/>feet</u> | <u>Ambient<br/>P<sub>O2</sub>, torr</u> | <u>Effect</u>                                                                                |
|------------|---------------------------|-----------------------------------------|----------------------------------------------------------------------------------------------|
| (21)       | 0-10,000                  | 159-109                                 | INDIFFERENT PHASE                                                                            |
| (31)       | 4,000                     | 136                                     | Raised threshold for scotopic vision.                                                        |
| (21)       | 4,000                     | 136                                     | No change of respiration or blood circulation.                                               |
| (29)       | 5,000                     | 132                                     | Impaired dark adaptation.                                                                    |
| (31)       | 5,000                     | 132                                     | Impaired dual task performance.                                                              |
| (33)       | 5,280                     | 130                                     | Arterial P <sub>CO2</sub> 36 torr; arterial pH 7.41; minute ventilation 9 liters per minute. |
| (32)       | 0                         | 129                                     | Reduced night vision.<br>Increased breathing volume.<br>Tachycardia.                         |
| (25)       | 7,000                     | 122                                     | Deterioration of sensitive visual functions.                                                 |
| (32)       | 0                         | 122                                     | Dizziness.<br>Impaired attention.<br>Impaired                                                |

Loss of consciousness.  
Permanent brain damage.

|      |        |    |                                                                                                                             |
|------|--------|----|-----------------------------------------------------------------------------------------------------------------------------|
| (21) | 15,000 | 89 | MANIFEST HYPOXIA                                                                                                            |
| (25) | 15,000 | 89 | Impaired hearing.                                                                                                           |
| (29) | 15,000 | 89 | Potential onset of euphoria.<br>Irritability.<br>Hallucinations.<br>Impaired critical judgment.<br>Muscular incoordination. |
| (5)  | 18,000 | 80 | Impaired tapping speed.<br>Errors in performance of<br>pursuitmeter task.                                                   |
| (36) | 0      | 77 | 64% saturation of arterial<br>hemoglobin.<br>Slower response to reduced<br>luminescence.                                    |
| (5)  | 0      | 76 | Loss of bone conducted<br>hearing threshold.                                                                                |
| (32) | 0      | 76 | Inability to move.<br>Nausea.<br>Vomiting.                                                                                  |
| (21) | 20,000 | 75 | CRITICAL HYPOXIA                                                                                                            |
| (5)  | 20,000 | 75 | Random performance of audi-<br>tory localization task.                                                                      |
| (29) | 20,000 | 75 | P                                                                                                                           |

indicate impairment of function in the central nervous system despite attempts by the body to sustain the delivery of oxygen to neurons. The brain cannot function without oxygen since it derives energy from the oxidative metabolism of glucose (29). Mitochondrial NADH, a product of glucose catabolism, is the fuel for 80% of the oxygen consumption derived from glucose (30).

There are functional reservoirs of molecular oxygen in the alveolar-arterial compartment, venous blood, and cellular proteins which support metabolism during brief moments of oxygen deprivation (38-40). The shape of the oxyhemoglobin dissociation curve protects the arterial content of oxygen when reductions of alveolar  $P_{O_2}$  fail to drop arterial oxygen tension below 40 torr. Reductions of arterial oxygen tension to the steep region of oxyhemoglobin's dissociation curve favor the release of oxygen to extravascular tissues. The mixed venous  $P_{O_2}$  links cellular function to size of the body's oxygen reservoir (22). Measurements from selected organs indicated that cell function deteriorated when venous oxygen tension ( $P_{v,O_2}$ ) dropped below a minimum value called the "critical venous tension". Signs of brain malfunction appeared when cerebral  $P_{v,O_2}$  dropped below 19 torr (41). Myoglobin binds oxygen so tenaciously that only severely hypoxic muscle cells derive energy from cellular stores of oxygen (22,39,40).

It is generally believed that severe cerebral hypoxia impairs the production of ATP in brain cells. However, this mechanism may not impair learning and judgment when healthy men are exposed to moderate aerohypoxia. Tissue studies showed that the brain's high energy phosphates did not decline until arterial oxygen tension dropped below 35 torr. Moderate aerohypoxia may transiently impair metabolism of neurotransmitters in the brain, since animals experienced transient reductions of catecholamine synthesis in the

CHRONIC AEROHYPOXIA. The consequences of  $\geq 2$  weeks' exposure to high altitude are summarized in table 2. Oxygen uptake was not reduced in resting individuals who were acclimated to altitude (43). Chronic exposure to 15,000 ft. altitude ( $P_{O_2}$  89 torr) increased the blood volume (+25%), hematocrit (+55%), rate of iron turnover, myoglobin content, and respiratory minute ventilation. Residents of Denver also developed higher red blood cell volumes (+ 25%) and hematocrits than sea-level residents. The mild aerohypoxia ( $P_{O_2}$  130 torr) stimulated erythropoiesis without expanding plasma volume or raising the concentration of total serum proteins (48). These functional changes are believed to maintain diffusion of oxygen to tissues and cells in face of reduced diffusion gradients, resulting in improved work capacity at altitude (21,49).

Acclimatized individuals possess: (a.) higher pulmonary diffusion coefficients for oxygen, and (b.) greater effective diffusion areas in capillary beds. Biochemical changes increase the activity of the rate-limiting steps in cell respiration and improve storage capabilities of hemoglobin and myoglobin (43,27,50). Chronic hypoxia raised the tissue concentrations of DNA and high energy phosphate carriers, in addition to increasing cellular activity of glycolytic enzymes, succinoxidase, and the rate-limiting steps of respiration. The cardiac output, systemic blood pressure, and heart size did not change (27).

Long term survival without compensatory mechanisms may be possible when inspired  $P_{O_2}$  exceeds 100 torr, assuming that a  $P_{v,O_2}$  of 30 torr is tolerable (22). Therefore, gradual reduction of oxygen pressure to 130 torr should allow

TABLE 2: CONSEQUENCES OF CHRONIC EXPOSURE TO HIGH ALTITUDE

| <u>Reference</u>   | <u>System</u>      | <u>Effect</u>                                                                                                                                                                                                                                                                                                                                                                                                              |
|--------------------|--------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 42, 27, 43         | Body Composition   | Lower body fat content; Increased content of myoglobin in the heart and skeletal muscle; Increased content of high-energy phosphate carriers in the liver.                                                                                                                                                                                                                                                                 |
| 27, 43, 44         | Pulmonary function | Hyperventilation; Higher alveolar $P_{O_2}$ and lower alveolar $P_{CO_2}$ than in unacclimated man.                                                                                                                                                                                                                                                                                                                        |
| 43, 27, 45, 38, 44 | Circulation        | No change of cardiac output during rest and submaximal work; Reduction of maximal cardiac output; Able to perform hard work; No change of arterial blood pressure; Increased venous pressure; Enlarged chambers of right heart; Increased vascularity of tissues; Increased red blood cell volume and hematocrit; No change of hemolytic index and increased concentration of total bilirubin; Increased turnover of iron. |
| 44, 46, 47         | Blood gases        | Increased oxygen carrying capacity; Lower arterial oxygen tension; No improvement of the low $S_{a,O_2}$ during sleep.                                                                                                                                                                                                                                                                                                     |
| 44, 43             | Blood acid-base    | Compensated respi-                                                                                                                                                                                                                                                                                                                                                                                                         |

|        |                            |                                                                                                |
|--------|----------------------------|------------------------------------------------------------------------------------------------|
|        |                            | ratory alkalosis;<br>Reduced content of<br>bicarbonate ion and<br>reduced alkaline<br>reserve. |
| 43     | Metabolism                 | No change of<br>resting oxygen<br>uptake.                                                      |
| 43     | Endocrine system           | Transient hyper-<br>trophy of adrenal<br>cortex.                                               |
| 43, 44 | Nervous system             | Longer time of<br>useful conscious-<br>ness.                                                   |
| 43     | Gastrointestinal<br>system | No delay in gastric<br>emptying.                                                               |
| 43     | Reproductive<br>system     | Natives have normal<br>fertility and<br>reproduction.                                          |

---

#### 4. Health effects of aerohypoxia

Submarine crews may experience accidental hypoxia in two situations. First, oxygen pressure can rapidly drop below 140 torr during snorkeling (7,14). For example, 7 men were incapacitated by hypoxia when the diesel engine dropped the barometric pressure in their compartment to 260 torr. Prompt remedial action by crew members in adjacent compartments prevented serious injury to the men (14). Second, failure of the engineering plant to replenish the ship's oxygen could asphyxiate an entire crew (8,51).

Acute mountain sickness occurs during the first few days of sudden exposure to aerohypoxia. A reduction of arterial oxygen tension must precede the symptoms of hypoxia (52) and many of the symptoms have been associated with respiratory alkalosis (27). Headache, nausea, vomiting, insomnia, and loss of appetite, develop at altitudes greater than 8,000 ft. ( $P_{O_2} < 117$  torr; reference 28). However, patients with cardiovascular disease have experienced dizziness, nausea, and dyspnea upon sudden exposure to 6,000 ft. altitude ( $P_{O_2} \leq 127$  torr; reference 53).

Duration of consciousness can be shortened to 10 minutes at altitudes above 20,000 ft. ( $P_{O_2} \leq 75$  torr; references 52,29). Severe hypoxia caused irreversible effects when individuals were exposed to altitudes in excess of 28,000 ft. ( $P_{O_2} \leq 52$  torr) for longer than 5 minutes. Loss of consciousness may

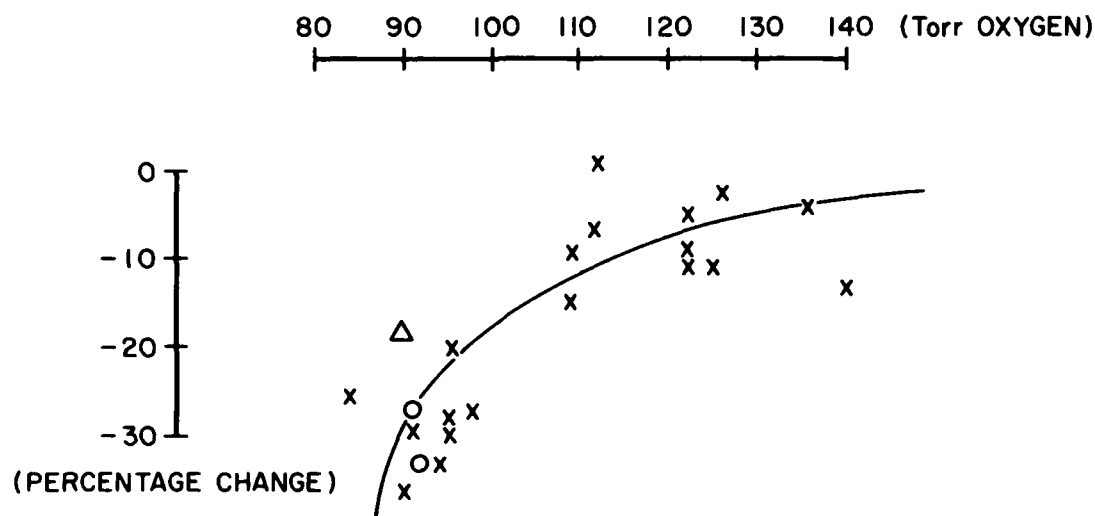
diminished with time, exercise seemed to enhance the process of acclimatization. Over acclimatization may lead to polycythemia and cor pulmonale (27).

#### 5. Damage control in aerohypoxia

The ability to perform exercise in 130 torr oxygen is important when considering the work of controlling and repairing ship's damage. The exertion required to control flooding with hand pumps demands the consumption of oxygen at a rate of 1.9 liters/minute (54). Fire fighting may be characterized by bursts of heavy work during exposure to severe heat, with the uptake of oxygen estimated to be 1.9-3.0 liters/minute (55,56).

The exercising body compensates for reductions of ambient  $P_{O_2}$  by raising minute ventilation and cardiac output above levels required for exertion in the normoxic environment. Heavy work at altitude tended to raise sea-level concentrations of muscle lactate and depress muscle content of high energy phosphates below resting levels (57). Relatively higher concentrations of blood lactate indicated that aerohypoxia retards the acceleration of aerobic metabolism at the onset of submaximal work (58,59), thereby lengthening the time required for subjects to achieve steady-state rates of oxygen uptake at submaximal work loads. However, aerohypoxia did not suppress the steady-state rate of oxygen uptake required for submaximal work (59).

The maximum rate of oxygen uptake was lowered in proportion to the degree of aerohypoxia, irrespective of whether the exposure was acute or chronic (58-60) (figure 4). Sudden exposure to 91 torr oxygen reduced maximal aerobic power by 28% (21,49). Exposure to 125 torr oxygen did not reduce maximal uptake of oxygen, but



exercise in 125 torr than in 159 torr oxygen. The lower  $P_{O_2}$  blunted the rise of arterial  $[H^+]$  during heavy work (61). Graded exercise in oxygen pressures of 124-140 torr (3280-6500 ft altitude) appropriately raised ventilatory rate in proportion to oxygen uptake (21,49). Linear regression analysis prompted Wagner, et al (60), to postulate that decrement of maximal aerobic power occurs when ambient oxygen pressure falls to 147 torr.

Figure 4 suggests that only 2 studies have evaluated the decrement of maximal aerobic power at 130-140 torr  $P_{O_2}$ . The curve, which should intersect the abscissa at 159 torr oxygen, indicates that maximal aerobic power is degraded by less than 10% in 130 torr oxygen (60,38,58).

Impaired diffusion of oxygen at high altitude may limit oxygen transport by reducing the arterial oxygen tension (60). The reason for reduction of maximal aerobic power in oxygen pressures of 84-122 torr is not fully understood (60). Since mild reductions of arterial oxygen tension did not prevent saturation of arterial blood with oxygen, other mechanisms of oxygen transport were presumably impaired. Exercise capacity in moderate hypoxia may be limited by at least one of the following mechanisms: 1. Attainment of maximum cardiac output at lower work loads (59,60). 2. Recruitment of maximum ventilation at lower work loads (59). 3. Early depletion of muscle glycogen by anaerobic metabolism (59). 4. Acute reduction of plasma volume (60).

An elevated rate of anaerobic metabolism may reduce endurance time (63) and lengthen the

Competitive times in normoxic conditions were lengthened at altitude ( $P_{O_2} \geq 112$  torr) when athletes ran distances greater than 400 meters (53,58,64); but, the times for 400 meter runs were not lengthened. Competitive times were degraded when distances of 1500 meters, or longer, were run in 130 torr oxygen. At higher altitude, 121 torr oxygen prolonged the competitive times for distances of 800 meters (58). In all altitudes, single bursts of work are not degraded over 1 minute of maximal effort at altitude.

It is unlikely that 130 torr oxygen will impair the work of damage control by submarine crews. To summarize the previous paragraphs:

- (a.) Maximal aerobic power was only reduced by 5% in 130 torr  $P_{O_2}$ .
- (b.) Aerohypoxia lengthened the time to achieve a steady-state rate of oxygen uptake, but did not suppress the oxygen uptake required for submaximal work.
- (c.) The endurance time of exhaustive work was not significantly degraded at 130 torr  $P_{O_2}$ .
- (d.) Bursts of heavy work were not impaired in 130 torr  $P_{O_2}$ .

Mild aerohypoxia is more likely to impair mental performance than manual labor, since the brain is more vulnerable to aerohypoxia than is skeletal muscle (22).

#### 6. Field studies of watchstanding and psychomotor performance in aerohypoxia.

aboard non-nuclear submarines (9). Crewmembers experienced malaise when exposed for periods of hours to concentrations of oxygen  $\leq 19\%$  combined with 3% carbon dioxide. Under these conditions, shortness of breath interfered with effective communications over the ship's internal communications system. Although crewmembers were able to learn new tasks, there were decrements of night vision, mental recall, and efficiency of physical exercise. The crews tended to feel ill during mild reductions of oxygen (129-133 torr  $P_{O_2}$ ) when associated with hypercapnia (23-30 torr  $P_{CO_2}$ ). Euphoria occurred when lower levels of oxygen (115-130 torr  $P_{O_2}$ ) were combined with 14-16 torr  $P_{CO_2}$  (9). Symptoms of illness may have resulted from an interaction of atmospheric contaminants with low-level oxygen rather than from pure hypoxia. Today's diesel submarine crews seem able to tolerate mild aerohypoxia without distress. Crews of the Royal Navy have operated for 53 hours in oxygen pressures lower than 137 torr. Jolly (7) reported the successful operation of a diesel submarine for 17 hours with ship's oxygen pressures at 126-137 torr. Today's atmosphere monitoring capabilities and reduced levels of atmosphere pollutants may permit crews to tolerate aerohypoxia better than in World War II submarines.

Submariners may be able to perform well during exposure to oxygen partial pressures of 130 torr for up to 90 days since humans routinely perform complex tasks in Denver ( $P_{O_2}$  130 torr) and the U.S.A.F. Academy ( $P_{O_2}$  128 torr). Few studies, if any, have evaluated the performance of AWACS operators and air traffic controllers at altitude (67,68). However, the U.S. Air Force requires crews to perform in-flight missions while

Airborne Command Post, performed repairs and cooked meals. Performance, safety, and crew morale were not compromised by the moderate fatigue at altitude.

The performance and output of machine operators was studied on-site at sea-level (159 torr oxygen), 9,000 ft altitude (114 torr oxygen), and 13,500 ft altitude (96 torr oxygen) (70). Machine tools were handled with nearly equal efficiency during work in 114 and 159 torr oxygen. Deterioration of performance in 96 torr oxygen was indicated by longer production times, inaccuracy of dimensions, and poor quality of surface finish. Certain machine operations lengthened production time in aerohypoxia. Information feedback, via the eye, appeared to be misleading during work in 96 torr oxygen. For example, operators informed that the tool often appeared to be in contact with the workpiece before actual contact. Removal of visual control from the manufacturing process reduced fumbling, hesitation, and delay in machine operation. Removal of tactile control by installing a positive stop relieved the operator from judging accuracy. Productivity at altitude reached sea-level standards when the operator based his judgment on acoustic and tactile stimuli rather than visual stimuli (70).

#### 7. Effect of aerohypoxia on task performance

Acute exposures to altitude degraded performance in proportion to the desaturation of oxygen from arterial hemoglobin. Tests of memory and other cognitive functions were not degraded until ambient  $P_{O_2}$  fell below 130 torr. Reduction of alveolar  $P_{O_2}$  from 100 to 50 torr degraded the score for hand steadiness (71). The performance of cognitive tests was clearly unacceptable when physiological tolerance of hypoxemia approached unacceptable limits (23,72). Marked deterioration of performance terminated

indicated by; (a.) increased body tremor during slow-onset hypoxia ( $P_{O_2}$  46 torr), and (b.) increased anteroposterior sway during rapid-onset hypoxia ( $P_{O_2}$  76 torr) (73).

The threshold altitude at which performance of a task was degraded varied inversely with the complexity of a task (5,74). Environments with oxygen pressures of 130 torr modified performance of complex psychomotor tasks (31,75,76). Response times to complex tasks were longer at a simulated altitude of 7,000 ft. ( $P_{O_2}$  122 torr) than at sea-level or 14,000 ft. ( $P_{O_2}$  91 torr). The time for decision, not speed of response, prolonged the response times (77). Learned tasks were less sensitive to aerohypoxia than novel tasks (72,35). The learning of new tasks may be impaired during sudden exposures to 118-138 torr oxygen, indicating that performance of uniquely difficult tasks may be impaired at 130 torr oxygen (78,79,72). In the absence of pre-training, there was deterioration of information-reduction tasks at 7,000 ft. (80). Exposure to 5,000 ft. altitude ( $P_{O_2}$  132 torr) may impair task performance during learning of the task, but not after practice of the task (31). Ernsting (75) recommended that the hypoxia associated with breathing air at 6,000 ft. (alveolar  $P_{O_2}$  70 torr) represent the safe limit for aircrew performance during routine flight.

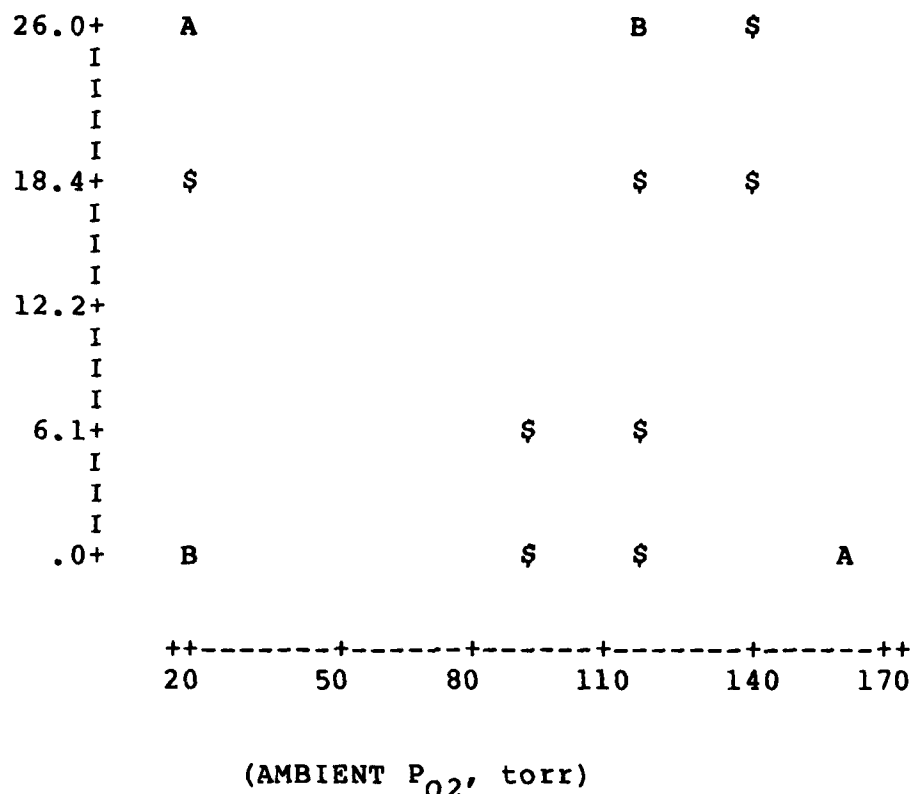
The causes for impaired performance at 7,000 ft simulated altitude ( $P_{O_2}$  122 torr)







(AMBIENT  $P_{CO_2}$ , torr)





















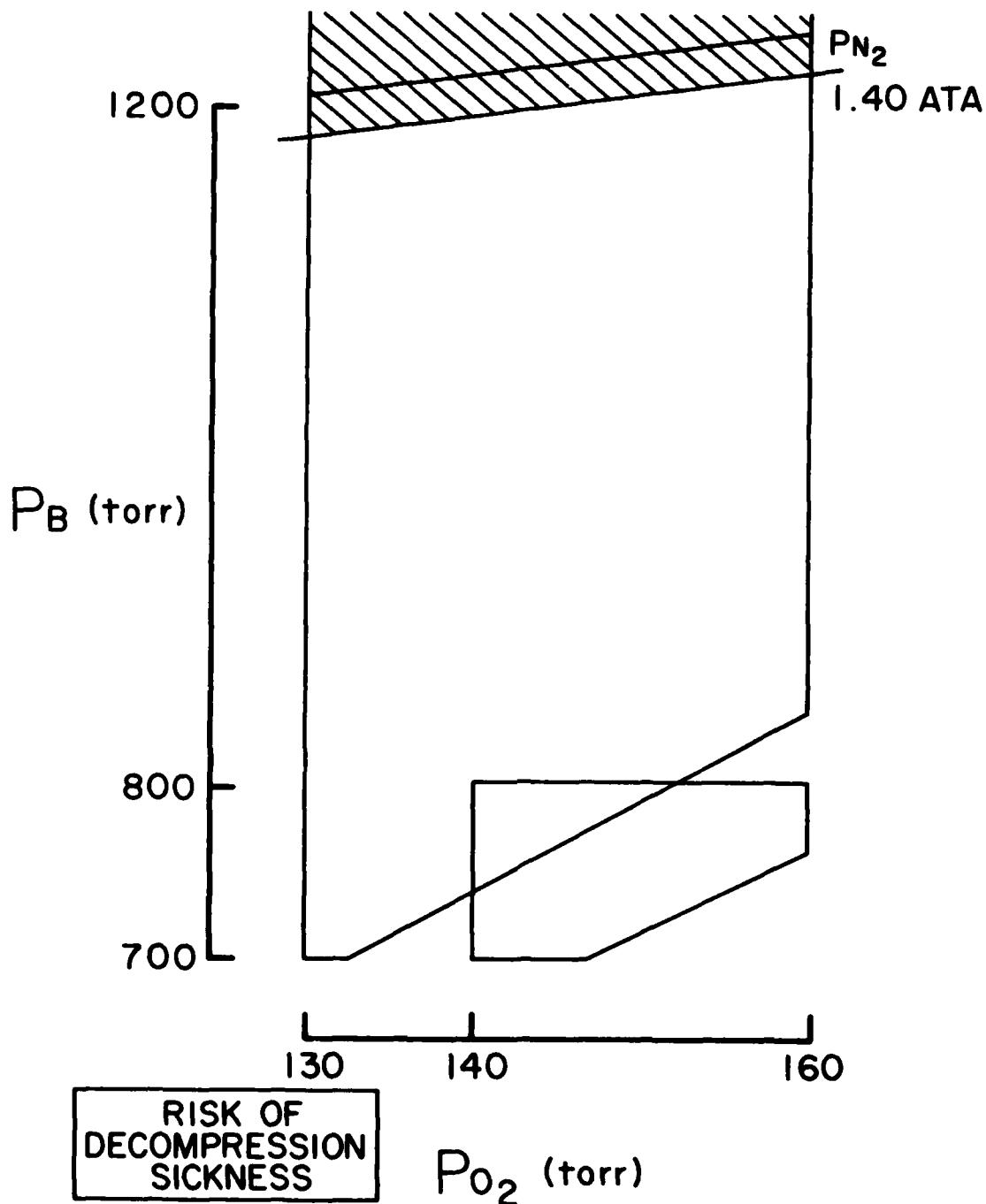


FIGURE 6. RISK OF DECOMPRESSION SICKNESS AFTER PATROL

The cross-hatched region warns of the possible development of decom











|      |      |                                                     |
|------|------|-----------------------------------------------------|
| (70) | 114  | acceptable performance by resident<br>machinists.   |
| (21) | 109  | COMPENSATED HYPOXIA.                                |
| (25) | 109  | oxygen saturation of arterial<br>blood, 85%         |
| (5)  | >101 | normal taste perception and audi-<br>tory function. |
| (21) | 89   | MANIFEST HYPOXIA.                                   |
| (36) | 77   | oxygen saturation of arterial<br>blood, 64%         |
| (21) | 75   | CRITICAL HYPOXIA.                                   |

---





























