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SOME ASPECTS OF ADIABATIC
SHEAR BANDS

THOMAS W. WRIGHT

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TABLE OF CONTENTS

	Page
I. INTRODUCTION	5
II. CONTINUUM FORMULATION	5
III. RESULTS OF FINITE ELEMENT CALCULATIONS	8
IV. ESTIMATE FOR THE MAXIMUM CRITICAL TIME OF STRESS COLLAPSE	10
V. STEADY SOLUTIONS	14
VI. THE ESSENTIAL EMBEDDED PROBLEM	17
VII. DIPOLAR PLASTICITY	19
VIII. CONCLUSIONS	19
REFERENCES	21
DISTRIBUTION LIST	25



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I. INTRODUCTION

Adiabatic shear banding is the name given to a localization phenomenon that occurs during rapid plastic deformation of many materials, including both metals and plastics. The process is usually thought of as coming about in the following manner. Plastic deformation heats the material locally, and if the flow stress decreases with increasing temperature, there is the possibility that thermal softening will overcome strain and strain-rate hardening and that stress will subsequently decrease with further straining. When net softening occurs, the material becomes extremely sensitive to inhomogeneities, and the deformation tends to accumulate in narrow regions where the plastic strain-rate is enormous, whereas in neighboring regions the material may even return to a purely elastic state. As localization occurs and the deformation becomes extremely inhomogeneous, heat conduction tends to damp the process.

Shear banding of this type is a major damage mechanism that can occur in machining and forming processes as well as during impact and penetration. Bands may form as macroscopic failure surfaces or as distributed microscopic damage. Many examples of naturally occurring shear bands are given in references 1-4, and in references 5-7 controlled shear experiments, designed specifically to produce isolated shear bands, are reported. Photomicrographs in those articles give a good idea of the morphology and scale of individual bands, which vary in thickness from less than 1 micron to more than 100 or 150 microns, with lateral extent in the plane of the band being many, many times its thickness. In general it appears that when shear bands form, they cut through the underlying metallurgical structures indiscriminately so that a continuum model, based on gross physical properties, can be expected to give an accurate description.

In references 5-7 the experimental data is resolved either in space or in time, but not in both simultaneously. Data exhibiting both space and time resolution during the formation and development of a single band would be of considerable importance for further theoretical advances.

II. CONTINUUM FORMULATION

According to the general description given above, a proper continuum setting for the phenomenon should treat finite deformations of a thermo-visco-plastic material, and therein lie several substantial problems. Namely, there is no generally agreed upon version of plasticity for finite deformations in existence today; the same is true for viscoplasticity even for small deformations; and heat conduction and heat generation from plastic dissipation only make the situation more unsatisfactory. In this report, as in all the other recent literature on adiabatic shear banding, these problems are largely bypassed or ignored by restricting consideration to a one-dimensional initial-boundary value problem for a very highly idealized material. The way in which a theoretical description of shear band formation should be embedded in a three-dimensional theory, with proper account being taken of finite deformations, invariance requirements, finite band size in lateral direction, etc., remains as a major problem for the future.

Accordingly, consider a block of incompressible material with upper and lower boundaries at $\bar{Y} = \pm H$ and undergoing only a simple shearing motion in the \bar{X} direction

$$\bar{x} = \bar{X} + \bar{u}(\bar{Y}, \bar{t}), \quad \bar{y} = \bar{Y}, \quad \bar{z} = \bar{Z}, \quad (1)$$

and all dependent variables depend only on the space coordinate \bar{Y} and time \bar{t} . The overbar signifies a dimensional quantity. Since shear bands are observed to be extremely thin with respect to their lateral extent, this motion is intended to simulate the actual motion far from the edges of the band. In nondimensional terms the governing equations may be written as follows.

$$\text{Momentum:} \quad \rho v_{,t} = s_{,y} \quad (2)$$

$$\text{Energy:} \quad \theta_{,t} = k\theta_{,YY} + s\dot{\gamma}_p \quad (3)$$

$$\text{Constitutive:} \quad s_{,t} = \mu(v_{,Y} - \dot{\gamma}_p) \quad (4)$$

$$\kappa_{,t} = \frac{\text{sh}(\kappa)}{\kappa} \dot{\gamma}_p \quad (5)$$

$$\text{Yield:} \quad f(s, \theta, \dot{\gamma}_p) = \kappa \quad (6)$$

Boundary conditions are taken to be

$$v(+1, t) = +1, \quad \theta_{,Y}(+1, t) = 0 \quad (7)$$

and only solutions with θ and $v_{,Y}$ symmetric about $y = 0$ are considered. In these equations $v = u_{,t}$ is particle velocity, s is shear stress, θ is temperature change, κ is a work hardening parameter, and $\dot{\gamma}_p$ is the plastic strain rate. These variables are related to the dimensional (barred) quantities as follows.

$$\begin{aligned} Y &= \bar{Y}/H, \quad t = \dot{\gamma}_0 \bar{t}, \quad v = \bar{v}/H\dot{\gamma}_0, \quad \dot{\gamma}_p = \dot{\bar{\gamma}}_p/\dot{\gamma}_0 \\ s &= \bar{s}/\kappa_0, \quad \kappa = \bar{\kappa}/\kappa_0, \quad \theta = \bar{\rho}c\bar{\theta}/\kappa_0 \end{aligned} \quad (8)$$

In addition nondimensional constants have been introduced for density, thermal conductivity, and elastic modulus. Respectively these are

$$\rho = \bar{\rho}H^2\dot{\gamma}_0^2/\kappa_0, \quad k = \bar{k}/\bar{\rho}cH^2\dot{\gamma}_0, \quad \mu = \bar{\mu}/\kappa_0 \quad (9)$$

In (7) and (8) $\dot{\gamma}_0 = \bar{v}(H, \bar{t})/H$ is the characteristic strain rate, κ_0 is the initial yield stress, and c is the specific heat of the material.

In writing down equations (2)-(6), a number of implicit simplifying assumptions have been made. In (3) it has been assumed that the elastic and thermal parts of the internal energy are completely decoupled so that there is no thermoelastic effect. In particular there is no thermal expansion, c does not depend on strain, and the elastic modulus does not depend on temperature. The term $s\dot{\gamma}_p$ in (3) is the rate of plastic work, and it is assumed to be

converted completely into heat. In (4) it has been assumed that the total strain rate may be decomposed into the sum of elastic and plastic parts, and that the stress is a linear function of elastic strain. In equation (5) the function $h(\kappa)$ is the slope of a reference isothermal stress strain curve. The equation assumes that the work hardening parameter, κ , evolves according to the plastic work done, so that its evolution is the same in a slow isothermal test as in a rapid adiabatic test. Equation (6) states that the yield surface depends on the plastic strain rate, as well as stress and temperature.

If $\dot{\gamma}_p = 0$, then the equation simply gives the static yield surface in stress temperature space. It is natural to assume that the function f has the properties $f_s > 0$, $f_\theta > 0$, and $f_\gamma < 0$. Thus if $f(s, \theta, 0)$ is greater than κ ,

plastic flow occurs, and if it is less than or equal to κ , the plastic strain rate is zero, and the material deforms only elastically.

Alternatively, by inverting (6) it is possible to write a rate equation for $\dot{\gamma}_p$.

$$\dot{\gamma}_p = g(s, \theta, \kappa) \quad (10)$$

where to be consistent the function g must have the properties $g_s > 0$, $g_\theta > 0$, and $g_\kappa < 0$. In this form it is clear that κ and γ_p have the status of internal variables, which are controlled by rate equations.

In the preceding discussion it has been assumed that there is a definite yield surface where the plastic strain rate vanishes. Many authors prefer to use (10), but without a definite yield surface, for ease in computations. Several examples of this approach are to be found in reference 21, but in every case it turns out that there is a transition region where the plastic strain rate becomes extremely small and the deformation is essentially elastic.

In all the calculations described in this paper, the functions g and h have been taken as follows.

$$g(s, \theta, \kappa) = \frac{sgns}{b} \left\{ \left[\frac{|s|}{\kappa(1-a\theta)} \right]^{1/m} - 1 \right\}, \quad h(\kappa) = \frac{n}{\psi_0} \kappa^{\frac{n-1}{n}} \quad (11)$$

These are empirical functions, which are intended to be fit to representative data for particular materials. They will be more readily recognized in the forms

$$|s| = \kappa(1-a\theta) (1+b|\dot{\gamma}_p|)^m, \quad \kappa = (1 + \frac{\psi}{\psi_0})^n$$

where ψ is plastic strain in a reference test. Equation (11) has introduced five more nondimensional constants, namely

$$n, \psi_0, b = \bar{b}\dot{\gamma}_0, m, a = \frac{\bar{a}\kappa_0}{\rho c} \quad (12)$$

The first one is known as the work hardening exponent, the second can be used to adjust the slope at first yield for the reference curve, \bar{b} is a characteristic time, m is the rate hardening exponent, and $\bar{a} = ds/d\theta$ is the

slope of the thermal softening curve, which has been assumed to be linear. In equations (9) and (12) there are eight independent nondimensional parameters in all that control the behavior of the response. Of the eight, only three contain the externally imposed quantities H and $\dot{\gamma}_0$, and five are determined entirely by intrinsic physical properties of the material. In any case it is to be expected that the overall response may be quite different in different regions of parameter space, and because there are so many independent parameters, it may be some time before the full range of effects can be known.

III. RESULTS OF FINITE ELEMENT CALCULATIONS

In two other papers^{16,17} the results of finite element calculations for the system of equations (2)-(5) and (11) have been described in some detail. Some of the principal features of those calculations are summarized here.

First note that the equations have solutions with $v = Y$ and all other response functions independent of Y . Examples of these so-called homogeneous solutions for particular choices of the eight parameters have been given in reference 17 and are shown in Figure 1. The choice $a = b = 0$ generates the reference stress strain curve, and the choice $a = 0$ generates an isothermal response curve for each applied strain rate. When both a and b have finite values, the response curve starts out like an isothermal curve, but as the plastic work accumulates, the temperature rises and softening begins. Finally at a critical strain the stress response reaches a maximum, denoted P in the figure, and decreases thereafter. This type of behavior for thermal softening materials is well known (eg., references 5,7,8,11-14 and others), although the details of the thermo-visco-plasticity models vary among the various authors. In fact the softening response is typical for many cases of materials with damage, with the damage often being represented by internal variables. Softening may also be induced by geometric changes, the most familiar example being change of cross sectional area in a tension test.

No matter what its origin, once softening begins there is opportunity for localization to occur. With the system of equations described above the onset of localization has been observed by adding a temperature perturbation to the homogeneous solution and restarting the finite element calculation as a new initial-boundary value problem. The perturbation was positive and symmetric about the center of the interval, and it was added at the point marked I in Figure 1, just before the peak homogeneous stress. Complete details have been given in reference 16.

Two cases were considered: one with central height of the temperature bump $\Delta\theta = 0.1$ and width 0.5, and one with 1/5th the height and width of the first. The stress response which is nearly constant in Y , is shown in Figure 2. In the case of the smaller perturbation the stress follows the homogeneous response rather closely at first, until well past the peak homogeneous stress, but eventually the response deviates abruptly, and the stress appears to collapse. For the larger perturbation the pattern is similar except that stress collapse sets in even before peak homogeneous stress. In both cases cross sectional plots of temperature or plastic strain rate at fixed times show large peaks developing in the center of the interval as the stress collapses. At the end of the calculation the central value for $\dot{\gamma}_p = \dot{\gamma}_p / \dot{\gamma}_0 > 60$. As

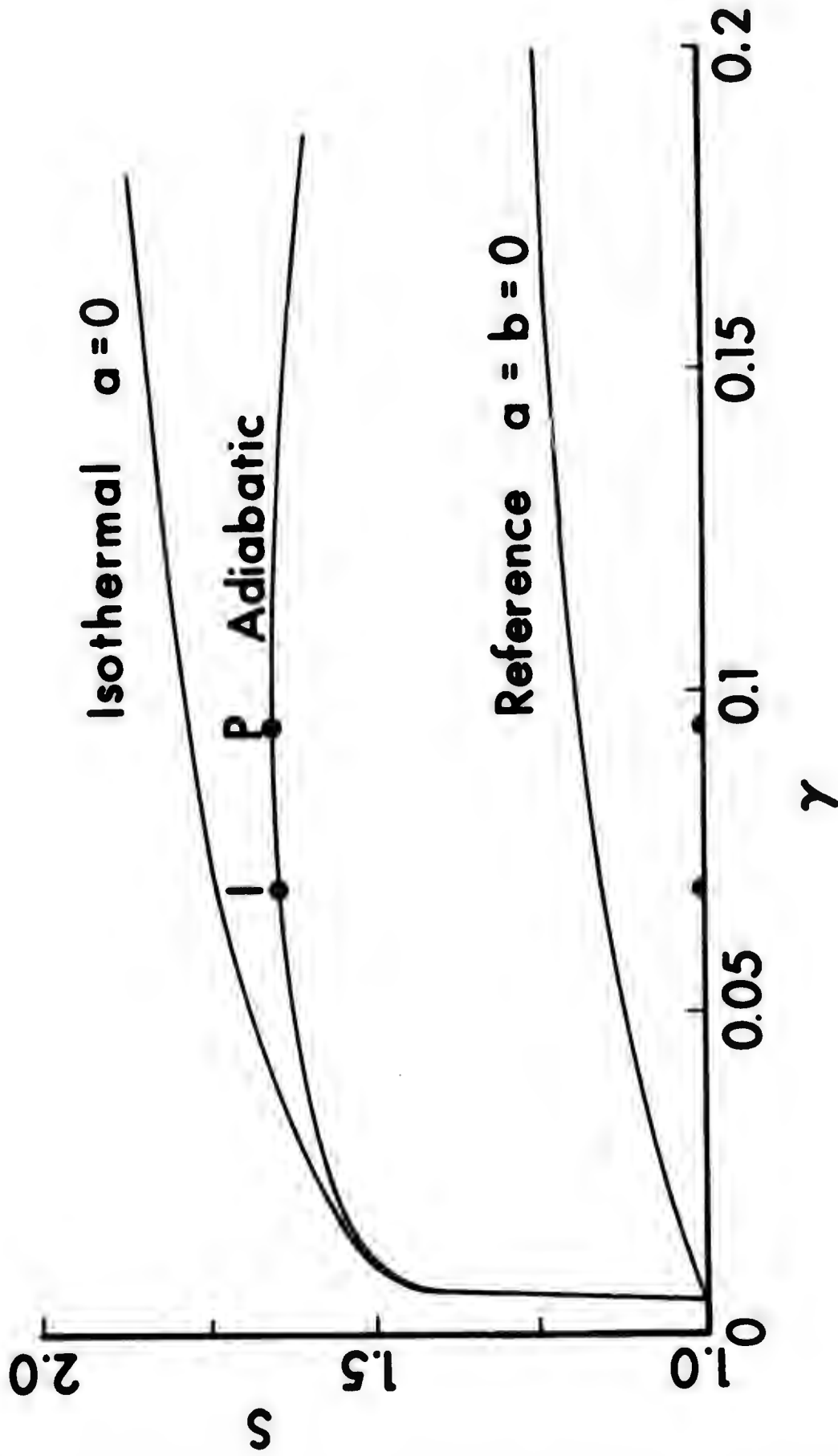


Figure 1. Sketch of Typical Reference, Isothermal and Adiabatic Stress Strain Response for Homogeneous Deformation

the central peaks develop, the plastic strain rate drops to zero at the edges of the interval, and the temperature becomes constant there since plastic working vanishes with plastic strain rate. Calculations for finite deformations have also been reported in references 7 and 13-15 where somewhat different versions of visco-plasticity or just nonlinear elasticity were used. Delayed stress collapse was noted in reference 13, as well, so that the phenomenon appears to be generic for softening behavior and not specific for the particular constitutive description used.

IV. ESTIMATE FOR THE MAXIMUM CRITICAL TIME OF STRESS COLLAPSE

Figure 2 is reminiscent of curves for imperfection sensitivity in a bifurcation problem. All that is missing is the bifurcation branch itself. In the usual buckling problem some measure of deflection is plotted against an external loading parameter. As the load increases, no change occurs in the perfect system until a critical load occurs where the possible solution paths in load-deflection space split into multiple branches. These principal bifurcation branches represent limiting behavior for an imperfect system so they give useful information about the response, although the imperfection sensitivity may be such that, as a practical matter, it is extremely difficult to approach the ideal response. From this point of view there should be a curve (or curves) in Figure 2 that breaks off from the homogeneous response, plunging steeply and lying somewhat to the right of both perturbation curves. Such a curve would indicate the largest strain that could ordinarily be achieved without localization. References 22-24 discuss bifurcation and stability in the context of elastic, plastic, and general systems (mostly in steady motion) respectively.

In the present case time plays the role of the external load, but it is much more than just a passive parameter, as in a static buckling problem, since here it is also an independent variable in the differential equations. In an attempt to examine the stability of the homogeneous response, several authors have considered the equations of first variation with respect to the homogeneous response (references 8-12 and 14), and that will be the approach taken here. With the variations expressed as $v = \tilde{v} - v_H$, etc., where the subscript indicates the homogeneous response, the linearized variational equations have the form

$$u,_{t} = Lu + A(t)u \quad (13)$$

where $u = (\tilde{v}, \tilde{s}, \tilde{\theta}, \tilde{\kappa})$, L is a linear matrix differential operator that contains first and second derivatives on Y , and $A(t)$ is a time dependent matrix with entries determined by the homogeneous motion. Homogeneous boundary conditions for (13) are $\tilde{v}(+1, t) = \tilde{\theta}_{,Y}(+1, t) = 0$.

In previous work the variational equations have usually been treated either as an initial-boundary value problem or as a system with constant coefficients. Since the coefficients are not constant, the latter approach tacitly assumes without further justification that in some sense the coefficients are slowly varying in comparison with the solutions to be found. When treated as an initial-boundary value problem, it is always found that

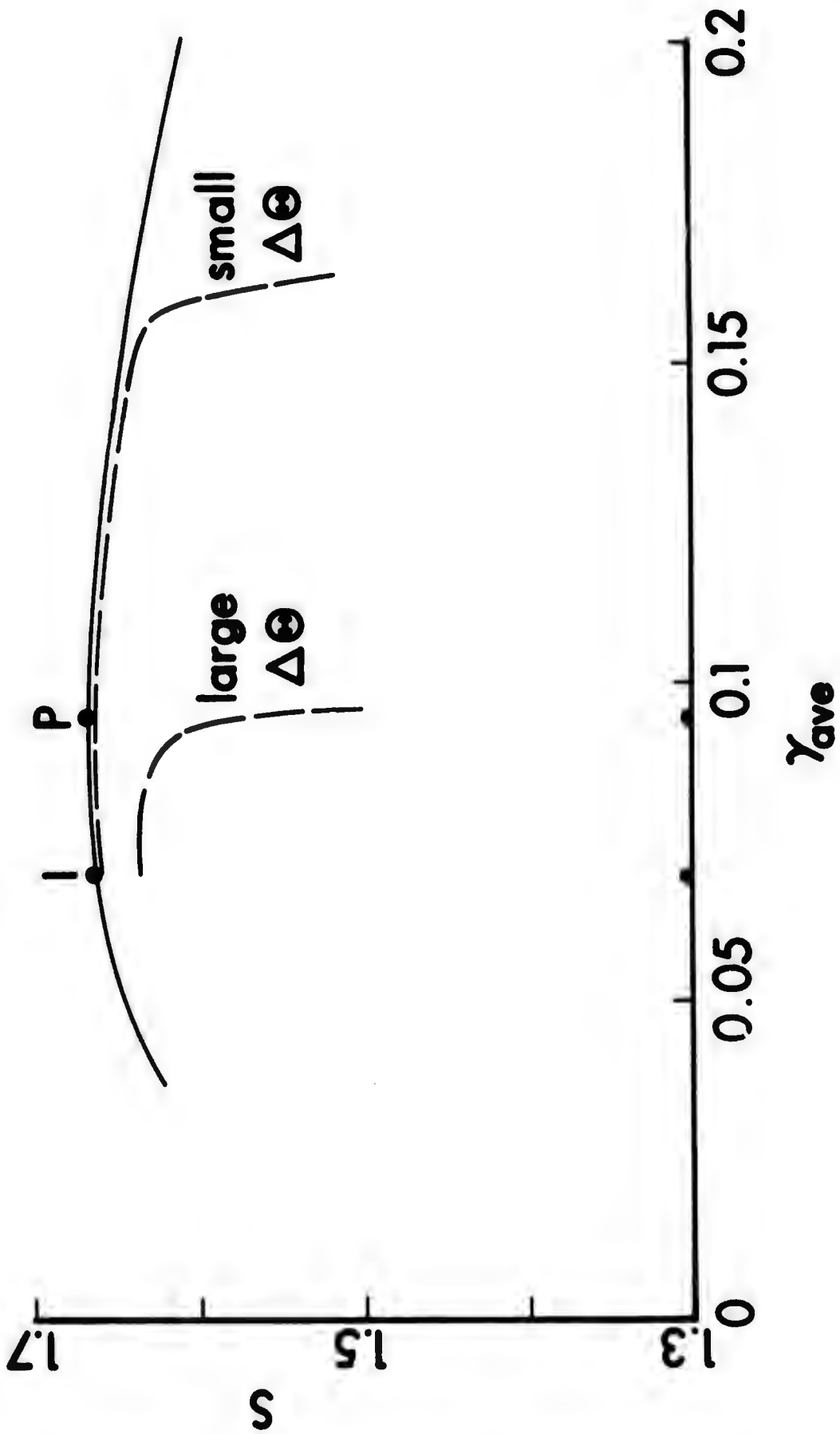


Figure 2. Response to Temperature Perturbations

solutions can begin to grow exponentially once the peak in the homogeneous response has been passed. However, it is also always found that the rate of growth is far too small to explain the most important aspects of observed behavior. In fact the growth of the perturbation in Figure 2 for the smaller disturbance is approximately exponential at first, but at a very low rate, and the early behavior gives no hint about the timing for stress collapse. In view of the results shown in Figure 2 it seems likely that the treatment as an initial-boundary value problem can only give limited information about the sensitivity to infinitesimal imperfections, and no information at all about either the point of bifurcation or the bifurcation branch. In reference 11 the use of a Liapunov function provides a third approach to examine stability and is used to analyze the unsteady shearing of a thermoviscous fluid, that is, a Newtonian fluid with a temperature dependent viscosity. However, a complete bifurcation analysis is not actually carried through, the difficulty being that solutions of the perturbation equations with the time dependent coefficients are not known.

When the left hand side of (13) is multiplied through by the row matrix $(\tilde{v}, \tilde{s}, \beta \tilde{\theta}, \alpha \tilde{\kappa})$, where β and α are arbitrary (at this stage) but positive weights, it becomes the exact derivative of a sum of squares. After integration over the interval followed by use of the boundary conditions and division of both sides by the integral of the weighted sum of squares, (13) becomes

$$\frac{1}{E} \frac{dE}{dt} = \frac{1}{E} \left\{ -\beta k \int_I \tilde{\theta}_{,Y}^2 dY + A_{ij}^s(t) \int_I u_i u_j dY \right\}$$

$$= \Lambda(\tilde{v}, \tilde{s}, \tilde{\theta}, \tilde{\kappa}) \quad \text{i.e., } \Lambda = \Lambda(\dots) \quad (14)$$

$$\text{where } E = \frac{1}{2} \int_I (\rho \tilde{v}^2 + \frac{1}{\mu} \tilde{s}^2 + \alpha \tilde{\kappa}^2 + \beta \tilde{\theta}^2) dY$$

For all possible solutions of (13) we would like to know when the right hand side of (14) can first become positive. Thus for each value of time it is necessary to look for the maximum over solutions of (13). Only the symmetric part of A_{ij} enters into (14), and the most advantageous choices for α and β will be left for later.

Rather than maximizing over solutions, which are unknown, it is easier to regard the right hand side as a Rayleigh quotient for which stationary values are sought among all smooth functions which satisfy the boundary conditions. Since this set of functions contains the solutions, the maximum for the Rayleigh problem will be an upper bound for the original problem, and the time when the Rayleigh quotient first turns positive will be a lower bound for the critical time in the original problem. This approach for estimating the bifurcation point when the coefficients are time varying was introduced by Serrin²⁵ and has been used to advantage in unsteady fluids problems by Neitzel and Davis²⁶. The addition of the free constants in the present case should allow some optimization of the estimate.

To illustrate this idea consider the simple case where $\kappa = 1$ and $\mu = \infty$. Then the homogeneous problem has the solution

$$v_H = Y, \dot{\gamma}_{pH} = 1, s_H = (1 + b \dot{\gamma}_{pH})^m (1 - a\theta_H) \quad (15)$$

$$(1 - a\theta_H) = \exp\{-a(1 + b)^m t\}$$

and the Rayleigh problem becomes

$$\Lambda = \frac{1}{E} \left\{ -\beta k \int_I \tilde{\theta}^2, y \, dY + A_{\alpha\beta}^s(t) \int_I u_\alpha u_\beta dY \right\} \quad (16)$$

where now $E = \frac{1}{2} \int_I (\rho \tilde{v}^2 + \beta \tilde{\theta}^2) dY$, $u = (\tilde{v}, \tilde{\theta})$ and the matrix A is given by

$$\{A\} = \begin{Bmatrix} -g_s & -g_\theta \\ \beta (sg)_s & \beta (sg)_\theta \end{Bmatrix} \quad (17)$$

The plastic strain rate, $\dot{\gamma}_{pH} = g(s_H, \theta_H)$ is found by inverting (15)₃. Next \tilde{s} is eliminated in favor of \tilde{v} and $\tilde{\theta}$ by using the exact perturbation equation

$$s = -\frac{1}{A_{11}} (\tilde{v}, Y + A_{12} \tilde{\theta}) \quad (18)$$

Now the Rayleigh quotient, considered as a variational problem, leads to two coupled, second order, ordinary differential equations in \tilde{v} and $\tilde{\theta}$ with Λ as an eigenvalue. The choice

$$\tilde{v} = \tilde{v}_0 \sin \lambda_j Y, \tilde{\theta} = \tilde{\theta}_c \cos \lambda_j Y$$

$$\lambda_j = j\pi, j = 1, 2, 3, \dots$$

has the desired symmetry about $Y = 0$ and satisfies the necessary boundary conditions. the characteristic matrix is symmetric so the eigenvalues are real, and the characteristic equation for Λ turns out to be

$$\Lambda^2 - \left\{ -2k\lambda_j^2 - \frac{2\lambda_j^2}{\rho g_s} - \frac{2g_s g_\theta}{g_s} \right\} \Lambda$$

$$+ \left\{ \frac{4k\lambda_j^2}{\rho g_s} - \frac{[\beta (sg)_s - g_\theta]^2}{\beta \rho g_s^2} - \frac{4sg_\theta}{g_s} \right\} \lambda_j^2 = 0 \quad (19)$$

From the sign of the coefficients (19) it is clear that

$$\Lambda^{(1)} + \Lambda^{(2)} < 0, \text{ always}$$

and

$$\Lambda^{(1)} \Lambda^{(2)} > 0, \text{ provided that} \quad (20)$$

$$[(-1 + m)x - a]^2 - 4k\lambda_j^2 m(1 + b)^{-m} x < 0 \quad (21)$$

where $x = \beta(1 - a\theta)$. When (20) and (21) hold, both eigenvalues are negative, and therefore, the left side of (16) is guaranteed to be negative for all solutions of the perturbation equations. The left hand side of (21) is a parabola in x that opens upwards and has two real positive roots, $r_1 > r_2 > 0$. The constant β has not yet been chosen, but since x decreases with increasing t , and since $\theta(0) = 0$, the best choice is $\beta = r_1$. Then the left hand side of (21) will be less than or equal to zero from $t = 0$ until the time when $x = r_2$. That is to say, for the perturbation problem

$$\frac{1}{E} \frac{dE}{dt} \leq 0 \quad \text{if } t \leq \frac{1}{a(1+b)^m} \ln \frac{r_1}{r_2} \quad (22)$$

and equality in (22)₂ gives a lower bound estimate for the critical bifurcation time. Note that since the two roots r_1 and r_2 depend on the bifurcation mode j , there is actually a sequence of increasing, critical bifurcation times that corresponds to increasing mode numbers $j = 1, 2, 3, \dots$

It turns out that if either the (nondimensional) thermal conductivity or the rate sensitivity m vanishes, then $r_1 = r_2$ for all modes so the best estimates for the critical bifurcation times are all $t = 0$. As one final comment, note that having an estimate for the critical bifurcation time only provides an estimate for the time of stress collapse in the case of infinitesimal perturbations; it does not provide an estimate for any case of finite perturbation.

The success of this approach for the simple example given above shows that it holds promise for the more general case, which will be reported in a future report.

V. STEADY SOLUTIONS

Equations (2)-(6) also have steady solutions, where the four variables (v, s, κ, θ) depend only on the spatial coordinate Y , but not on time t . For this to be strictly true, equation (5) implies that $h(\kappa) = 0$ throughout the whole interval, which in turn implies that κ is constant. That is to say, the material has saturated with respect to work hardening. Since $s = \text{const}$ by (2), and (6) can be solved to get $\dot{\gamma}_p = \Gamma(\theta; s, \kappa)$, equation (3) reduces to an ordinary differential equation in θ with a first integral

$$\frac{1}{2} \theta_{,Y}^2 = \frac{s}{\kappa} \int_{\theta}^{\theta_c} \Gamma \, d\theta \quad (23)$$

where θ_c is the temperature at the center of the band. Equation (23) may be solved by quadrature to obtain a solution of the form

$$Y = F(\theta; \theta_c, s, \kappa) \quad (24)$$

Since the saturation flow stress κ may be regarded as a material property, equation (24) shows that in general there is a two parameter family of steady solutions for a given material. Once (23) has been obtained, the velocity field may be found by integrating the steady version of (4). Typical profiles are shown in Figure 3. To give a better idea of the physical scaling in a shear band, for the Litonski law in (11) equation (24) may be written as

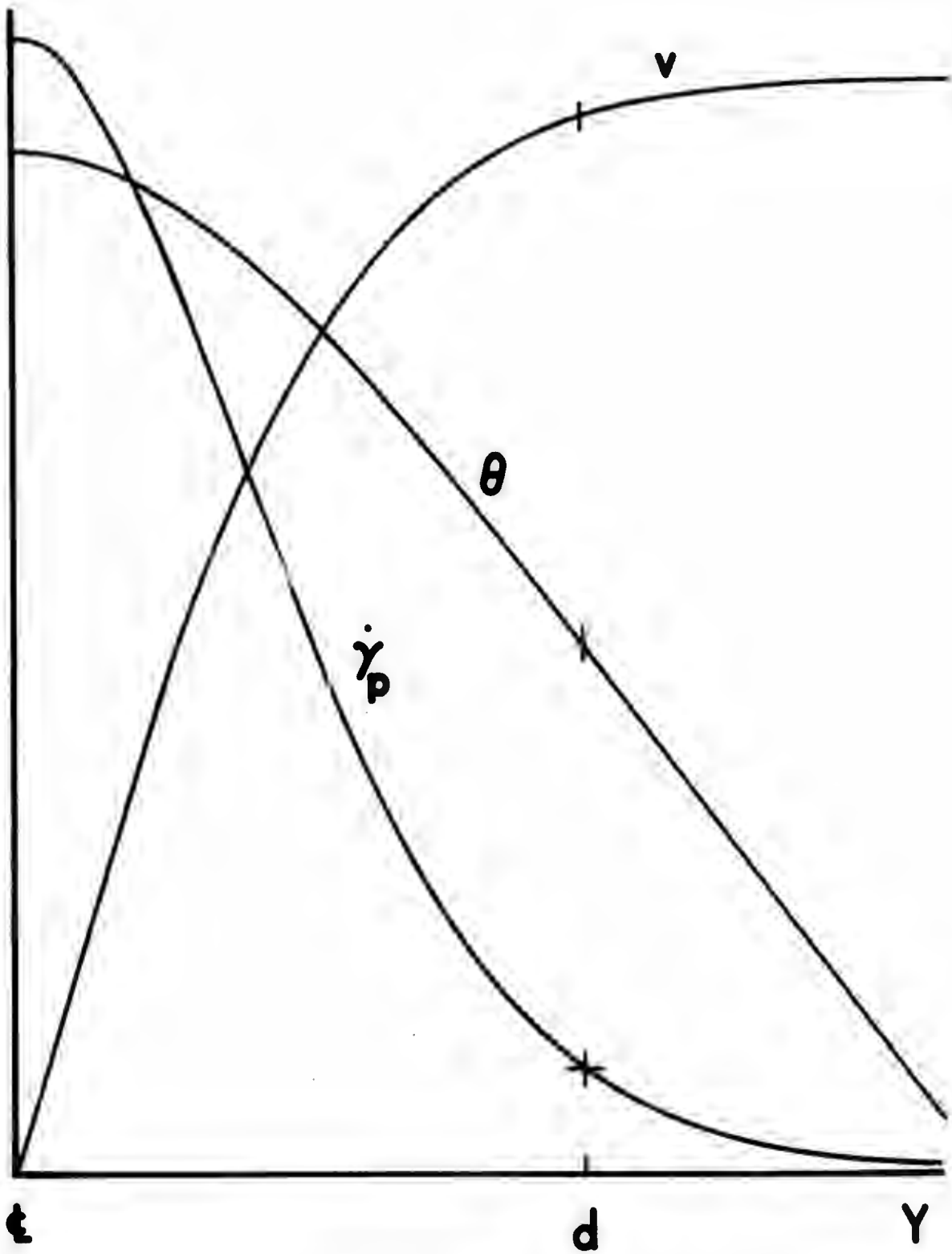


Figure 3. Typical Configuration for Steady Response

$$\gamma = \frac{1}{\sqrt{2}} \sqrt{\frac{\bar{b}\bar{k}}{\bar{a}\bar{\kappa}}} \left(\frac{1-\bar{a}\bar{\theta}_c}{1-\bar{a}\bar{\theta}_a} \right)^{\frac{1+m}{2m}} \int_0^{\bar{a}(\bar{\theta}_c - \bar{\theta})} \frac{dt}{1-\bar{a}\bar{\theta}_c} \left\{ \frac{m}{1-m} \left[\frac{1 - \left(\frac{1}{1+t} \right)^{\frac{1-m}{m}}}{t} \right] - \left(\frac{1-\bar{a}\bar{\theta}_c}{1-\bar{a}\bar{\theta}_a} \right)^{\frac{1}{m}} \right\}^{\frac{1}{2}} \quad (25)$$

where $\bar{\gamma} = 0$ locates the center of the band. In this form all quantities are dimensional, and the residual integral is $O(1)$. $\bar{\theta}_a$ is the temperature where $\dot{\gamma}_p = 0$, and there $\bar{s} = \bar{\kappa}(1 - \bar{a}\bar{\theta}_a)$ holds. Note the square root scaling on the basic physical quantities, but note especially the extremely strong dependence on the temperature contrast between the center and the edge of the band when the strain rate sensitivity is small. Typical values for m in many metals are around 0.02. The temperature term may also be written

$$\frac{1-\bar{a}\bar{\theta}_c}{1-\bar{a}\bar{\theta}_a} = (1 + \bar{b}\bar{\gamma}_p^c)^{-m}$$

so for m small and $\bar{b}\bar{\gamma}_p^c$ large (the usual case) the length scale varies nearly as the inverse square root of the central plastic strain rate and only weakly with \bar{b} .

In Figure 3, d is defined arbitrarily as the distance from the center of the band where the plastic strain rate has fallen to 1/10th its central value. At that distance the velocity has nearly reached its extreme value and the temperature gradient has become nearly constant. Thus, it gives a convenient point for measuring the size and strength of the shear band. The following table shows typical results for a realistic material.

Table 1. Shear Band Values for $\bar{\theta}_c = 400^\circ\text{K}$
and Various Strain Rates

$\dot{\gamma}_p^c, \text{s}^{-1}$	$d, \mu\text{m}$	$\bar{\theta}(d), ^\circ\text{K}$	$\bar{v}, \text{m/s}$	\bar{s}, GPa
10^3	138	334	0.076	0.467
10^4	43	334	0.234	0.489
5×10^4	19	334	0.514	0.505

Note that the temperature difference in the band is not large, but the plastic strain rate varies by a factor of 10 over distances measured in 10's or at most 100's of microns. These characteristic values have been computed using realistic numbers for the material constants of a strong steel, so it is encouraging that the numbers come out in the right general range for known experimental values.

The steady solutions by themselves are of limited utility because they tend to constant, and rather large, temperature gradients away from the center, as can be seen by rough estimation from values in the table. However, note that when the time in (2)-(5) is scaled according to the rule $t = \tau/\delta$, where δ is a small parameter, then the steady equations (vanishing left hand sides) result in the limit as $\delta \rightarrow 0$. With τ being held fixed, $t \rightarrow \infty$, so the

steady equations apparently have the interpretation of holding asymptotically at large times. But since they cannot meet arbitrary boundary conditions away from the center, they must hold only in a central boundary layer. With that interpretation the outer configuration of the steady solutions defines inner boundary values for an exterior solution. The steady solutions and their probable interpretation as boundary layers will be explored in a future report.

VI. THE ESSENTIAL EMBEDDED PROBLEM

Another point of view has been suggested by Varley²⁷, namely that the essential features of the localization type of material response can be captured by some simpler problem, which is embedded within the full set of equations. From that point of view many of the mathematical complications stem from "small higher order terms" that modulate the basic response. To look for a simpler embedded problem, consider the special case of a rigid/plastic, non heat conducting, and non rate sensitive material. With $\mu = \infty$, and $k = f_{\dot{\gamma}} = 0$, equations (2)-(6) become

$$\begin{aligned} s_{,y} &= v_{,t}, & v_{,y} &= p \\ \kappa_{,t} &= \frac{s}{\kappa} h(\kappa)p, & \theta_{,t} &= sp \\ s &= \kappa g(\theta) \end{aligned} \quad (26)$$

where $p = \dot{\gamma}_p \geq 0$ and $g(\theta)$ carries the temperature sensitivity of the material. When $p = 0$, unloading occurs, and in an unloaded region the stress is constant. With v eliminated from the first two equations and sp eliminated from the next two, (26) becomes

$$s_{,YY} = \rho p_{,t}, \quad M(\kappa) = \theta + A(Y), \quad s = S(\theta) \quad (27)$$

In (27) $M(\kappa)$ is a monotonically increasing function since $h(\kappa)$ is positive, $A(Y)$ is an arbitrary function, and in $S(\theta)$ the dependence on Y has been suppressed. Since $g(\theta)$ must be a decreasing function to represent thermal softening, it is not difficult to find combinations of $g(\theta)$ and $h(\kappa)$ such that the function $S(\theta)$ has a single maximum. For example for linear work hardening with constant modulus G_p and linear thermal softening, the stress is given

by $s = S(\theta) = \sqrt{2G_p(\theta+A)} (1-a\theta)$, which has a maximum at $\theta_m = \frac{1}{3a}[1-2aA(Y)]$.

It may be assumed that θ_m is positive as shown in Figure 4a. Now with the aid of (26)₄ and (27)₃ the momentum equation (27) becomes

$$[S(\theta)]_{,YY} = \rho \left[\frac{\theta_{,t}}{S(\theta)} \right]_{,t} \quad (28)$$

This equation is hyperbolic when $S_\theta > 0$ and elliptic when $S_\theta < 0$, and since θ can only increase, each point either evolves toward the hyperbolic/elliptic transition and beyond or else unloading occurs. Figure 4b shows a sketch of the conjectured domains for a typical initial/boundary value problem. Alternatively the H-E boundary would extend to $Y = +1$, and the E-U boundary would come in from the sides at a later time. In either case both θ and $\theta_{,t}$

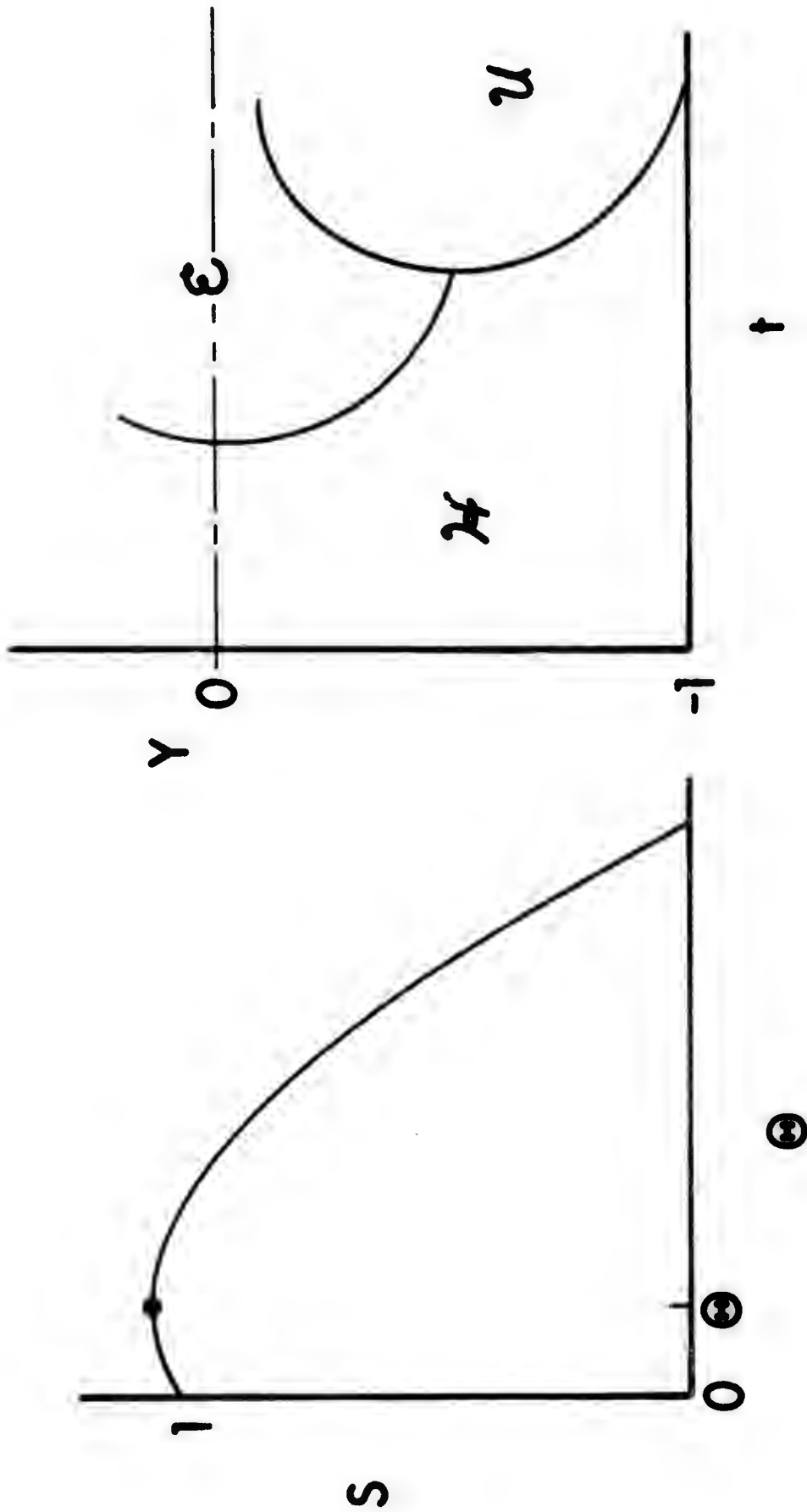


Figure 4. a. Sketch of Stress-Temperature Response in the Absence of Heat Conduction and Viscous Effects for A rigid-Plastic Material. b. Conjectured Hyperbolic, Elliptic, and Unloaded Regions for an Initially Inhomogeneous Temperature Distribution

would be specified along part of the boundary of the E-region, which will generally tend to produce singular behavior at later times in accordance with Varley's conjecture. Without heat conduction or a rate effect there is no mechanism to limit the singularity.

VII. DIPOLAR PLASTICITY

Since large temperature and strain gradients occur during localization, it seems reasonable to examine the consequences of using a dipolar type theory of plasticity, as formulated by Green, McInnis, and Naghdi²⁸. A rate sensitive version of the dipolar theory has been set down by Wright and Batra¹⁷. The equations have homogeneous solutions, which are the same as for the simple case, and preliminary perturbation calculations of the same type as discussed above indicate that the dipolar effect is stabilizing as the homogeneous response changes from increasing stress to decreasing stress, much in the same way that bending stiffness in a thin wire is stabilizing as the axial force changes from tension to compression. Further calculations are in progress.

VIII. CONCLUSIONS

In order to develop adequate damage models for use in ballistic impact calculations, it is desirable to obtain as much information as possible from direct examination of the proposed damage mechanism. This approach, which may be called micromechanics, holds out the promise of providing a rational basis for the damage model that relies to the maximum extent possible on standard laboratory mechanical tests and handbook data, and minimizes extensive, special purpose characterization.

In this report an attempt has been made to summarize the current understanding of one major damage mechanism, namely adiabatic shear bands, and to outline some numerical and analytical work, either in progress or else proposed as likely to deepen understanding. The greatest amount of work so far has been in terms of a one dimensional formulation, but as was pointed out there remain many serious questions regarding the proper way to embed the theory in a three dimensional context. In any case the proper setting is viscoplasticity for a work hardening and thermal softening material with heat conduction.

To date theoretical perturbation studies have indicated that material response becomes unstable and susceptible to localization once the stress response curve for homogeneous deformation achieves a maximum. However, the predicted rate of growth of the localization always turns out to be too small to match experimental evidence. Nonlinear calculations have shown that although the temperature and plastic strain rate do begin to localize at the point predicted by linear perturbation analyses, the stress does not deviate markedly from the homogeneous response until a strain has been reached that may lie well beyond the predicted point. A first attempt at a bifurcation analysis that uses a Liapunov function seems to confirm this result.

Calculations and analyses of the kinds described above are directed toward the initiation stages of shear band formation, but have no bearing on late stage developments or residual strength capacity. Steady solutions of the governing equations, which do exist under certain conditions and whose solutions can be written down as quadratures, seem to be related only to the late stage developments. In fact sample calculations give characteristic geometries which appear to have the correct order of magnitudes for comparison with experiments, and strongly suggest that a steady solution corresponds to a boundary layer within the core of a shear band.

From the analytical point of view the full nonlinear problem is parabolic because of rate effects and heat conduction. These two physical effects are controlled by small parameters; however, and in the limit of these parameters vanishing, the equations show a change of type from hyperbolic to elliptic when the stress response reaches a maximum. Thus another promising way to view the full set of equations is that they contain an embedded change of type, which is the real driver of the localization, and that the higher order effects of heat conduction and viscosity modulate the intensity of localization. It is intended to explore this point of view, which may be expected to lead to a completely new kind of perturbation analysis.

One final variation of the governing equations introduces a gradient sensitivity into the problem because extremely large strain and temperature gradients seem likely to occur during formation of a shear band. Preliminary calculations indicate that a gradient effect tends to stiffen the material and to retard the formation of bands.

A brief bibliography at the end of this report will serve to introduce the interested reader to most aspects of adiabatic shear bands, but the field is an active one and the literature is growing rapidly, so the list is by no means complete.

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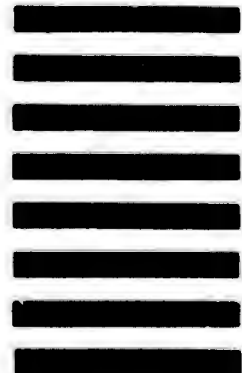
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