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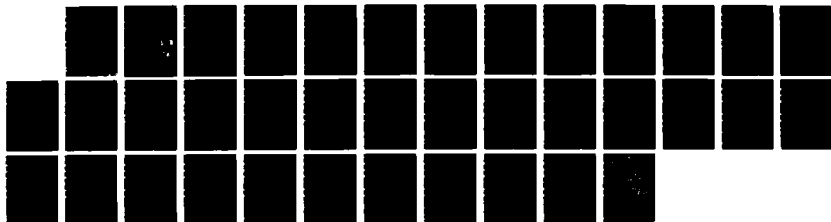
ABSORPTION SPECTRA AND ENERGY LEVELS OF  $Gd^{3+}$   $Nd^{3+}$   
AND  $Cr^{3+}$  IN THE GARNET  $Gd_3Sc_2Ga_3O_{12}(U)$  HARRY DIAMOND  
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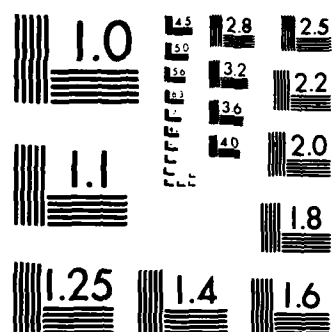
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March 1988

Absorption Spectra and Energy Levels of  $Gd^{3+}$ ,  $Nd^{3+}$ , and  $Cr^{3+}$  in the  
Garnet  $Gd_3Sc_2Ga_3O_{12}$

by John B. Gruber  
Marian E. Hills  
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Milan R. Kokta

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U.S. Army Laboratory Command  
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| 1a REPORT SECURITY CLASSIFICATION<br>UNCLASSIFIED                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |       |                                                    | 1b RESTRICTIVE MARKINGS                                                                       |                                                           |                                  |
| 2a SECURITY CLASSIFICATION AUTHORITY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |       |                                                    | 3 DISTRIBUTION/AVAILABILITY OF REPORT<br>Approved for public release; distribution unlimited. |                                                           |                                  |
| 2b DECLASSIFICATION/DOWNGRADING SCHEDULE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |       |                                                    |                                                                                               |                                                           |                                  |
| 4 PERFORMING ORGANIZATION REPORT NUMBER(S)<br>HDL-TR-2136                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |       |                                                    | 5 MONITORING ORGANIZATION REPORT NUMBER(S)                                                    |                                                           |                                  |
| 6a NAME OF PERFORMING ORGANIZATION<br>Harry Diamond Laboratories                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |       | 6b OFFICE SYMBOL<br>(if applicable)<br>SLCHD-ST-RA |                                                                                               | 7a NAME OF MONITORING ORGANIZATION                        |                                  |
| 6c ADDRESS (City, State, and ZIP Code)<br>2800 Powder Mill Road<br>Adelphi, MD 20783-1197                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |       |                                                    |                                                                                               | 7b ADDRESS (City, State, and ZIP Code)                    |                                  |
| 8a NAME OF FUNDING/SPONSORING ORGANIZATION<br>Center for Night Vision & Electro-Optics                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |       | 8b OFFICE SYMBOL<br>(if applicable)                |                                                                                               | 9 PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER            |                                  |
| 8c ADDRESS (City, State, and ZIP Code)<br>Fort Belvoir, VA 22060                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |       |                                                    |                                                                                               | 10 SOURCE OF FUNDING NUMBERS                              |                                  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |       | PROGRAM<br>ELEMENT NO<br>61102A                    |                                                                                               | PROJECT<br>NO                                             | TASK<br>NO                       |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |       |                                                    |                                                                                               | WORK UNIT<br>ACCESSION NO                                 |                                  |
| 11 TITLE (Include Security Classification)<br>Absorption Spectra and Energy Levels of $Gd^{3+}$ , $Nd^{3+}$ , and $Cr^{3+}$ in the Garnet $Gd_3Sc_2Ga_3O_{12}$                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |       |                                                    |                                                                                               |                                                           |                                  |
| 12. PERSONAL AUTHOR(S)<br>John B. Gruber, Marian E. Hills, Clyde A. Morrison, Gregory A. Turner, and Milan R. Kokta (see reverse)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |       |                                                    |                                                                                               |                                                           |                                  |
| 13a TYPE OF REPORT<br>Interim                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |       | 13b TIME COVERED<br>FROM Oct 86 To June 87         |                                                                                               | 14 DATE OF REPORT (Year, Month, Day)<br>March 1988        |                                  |
| 15 PAGE COUNT<br>34                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       |                                                    |                                                                                               |                                                           |                                  |
| 16 SUPPLEMENTARY NOTATION<br>AMS code: 611102.31B0011; PRON: CJ727269-01-CJ-CT; HDL Project: 590751                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |       |                                                    |                                                                                               |                                                           |                                  |
| 17 COSATI CODES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |       |                                                    | 18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)             |                                                           |                                  |
| FIELD                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GROUP | SUB-GROUP                                          |                                                                                               |                                                           |                                  |
| 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 02    |                                                    | Spectra, rare earth, gadolinium, neodymium, chromium, transition metal,                       |                                                           |                                  |
| 20                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 05    |                                                    | crystal field theory                                                                          |                                                           |                                  |
| 19 ABSTRACT (Continue on reverse if necessary and identify by block number)<br>Absorption spectra recorded between 0.3 and 6.7 $\mu m$ are reported for trivalent gadolinium, trivalent neodymium, and trivalent chromium in single-crystal gadolinium scandium gallium garnet, $Gd_3Sc_2Ga_3O_{12}$ , at liquid helium, liquid nitrogen, and room temperatures. Crystalline electric-field-split energy levels are established from data between 1,500 and 35,000 $cm^{-1}$ for $Gd^{3+}$ ( $4f^7$ ), $Nd^{3+}$ ( $4f^3$ ), and $Cr^{3+}$ ( $3d^3$ ). The $Gd^{3+}$ and $Nd^{3+}$ ions occupy sites having $D_2$ point symmetry; $Cr^{3+}$ ions are found primarily in sites of $C_3$ point symmetry. A Hamiltonian consisting of Coulombic, spin-orbit, and crystalline electric-field terms, having symmetry appropriate to each ion, is diagonalized to obtain theoretical energy levels. The rms deviation between calculated and observed levels for $Nd^{3+}$ (with 45 levels) is 1.7 $cm^{-1}$ , for $Gd^{3+}$ (with 14 levels) is 4 $cm^{-1}$ , and for $Cr^{3+}$ (with 35 levels) is 87.7 $cm^{-1}$ . A lattice sum calculation is compared with the phenomenological parameters obtained from fitting the observed energy levels. |       |                                                    |                                                                                               |                                                           |                                  |
| 20 DISTRIBUTION/AVAILABILITY OF ABSTRACT<br><input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |       |                                                    | 21 ABSTRACT SECURITY CLASSIFICATION<br>UNCLASSIFIED                                           |                                                           |                                  |
| 22a NAME OF RESPONSIBLE INDIVIDUAL<br>Clyde A. Morrison                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |       |                                                    | 22b TELEPHONE (Include Area Code)<br>(202) 394-2042                                           |                                                           | 22c OFFICE SYMBOL<br>SLCHD-ST-RA |

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## 1. Introduction

In recent years gadolinium scandium gallium garnet,  $\text{Gd}_3\text{Sc}_2\text{Ga}_3\text{O}_{12}$  (GSGG), has received growing attention as a laser host material [1-11]. The luminescence and stimulated emission from trivalent neodymium in GSGG were first reported by Kaminskii and coworkers [1]. Struve et al [2] observed broad fluorescence from GSGG doped with trivalent chromium. They were able to demonstrate  $\text{Cr}^{3+}$  laser action in their crystals. Several groups [3-7] discovered that co-doping GSGG with  $\text{Nd}^{3+}$  and  $\text{Cr}^{3+}$  resulted in more efficient energy transfer to the  $\text{Nd}^{3+}$  energy levels involved in laser action. The spectroscopic, optical, and thermomechanical properties of  $\text{Nd}^{3+}:\text{Cr}^{3+}:\text{GSGG}$  recently reported by Krupke and coworkers [11] suggest potential for this material as a powerful laser.

Several years ago we began a theoretical analysis of the crystalline electric field (CEF) splitting of the energy levels of trivalent neodymium, gadolinium, and chromium in GSGG [12-14]. At that time only the experimental CEF splitting of the  $[4I]J$  and  $[4F]3/2$  manifolds of trivalent neodymium had been reported [1,15,16]. Recently Struve and Huber [17] published their work on the energy levels, line broadening, and transition probabilities based on the optical spectra of  $\text{Cr}^{3+}:\text{GSGG}$ . Using as an approximation the cubic energy level scheme of Sugano and Tanabe [18,19], they concluded that the  ${}^4T_2$  level is admixed into the  ${}^2E$  level via spin-orbit coupling [20]. However, no CEF-splitting calculations addressing the correct symmetry for  $\text{Cr}^{3+}$ ,  $\text{Nd}^{3+}$ , or  $\text{Gd}^{3+}$  in GSGG have been reported.

This paper presents the absorption spectra and energy levels for  $\text{Gd}^{3+}$ ,  $\text{Nd}^{3+}$ , and  $\text{Cr}^{3+}$  in GSGG up to  $35,000\text{ cm}^{-1}$  recorded at liquid helium, liquid nitrogen, and room temperatures. The CEF-splitting calculations for  $\text{Gd}^{3+}$ ,  $\text{Nd}^{3+}$ , and  $\text{Cr}^{3+}$  are based on the complete diagonalization of a Hamiltonian including Coulombic, spin-orbit, and CEF terms in symmetry appropriate to the trivalent ion site. The diagonalization involves the 11 lowest  $4f^3 [SL]J$  manifolds for  $\text{Nd}^{3+}$  and the 12 lowest  $4f^7 [SL]J$  manifolds for  $\text{Gd}^{3+}$ . The assumption is made that  $\text{Nd}^{3+}$  substitutes into  $\text{Gd}^{3+}$  sites of  $D_2$  point symmetry in the garnet lattice. The CEF-splitting calculation for trivalent chromium assumes that the ions substitute into scandium ( $C_{3i}$ ) sites. Analyses of fluorescence data [17] and vibronic spectra

are used to establish CEF levels of  $\text{Cr}^{3+}$  since zero-phonon electronic electric-dipole transitions are forbidden between  $3d^3$  states at ion sites having inversion symmetry. The complete diagonalization of the  $3d^3$  states in a strong crystalline electric field of  $C_{3i}$  symmetry with spin-orbit interaction yields energy levels and wavefunctions different from those obtained from the Sugano and Tanabe calculations for cubic symmetry with no spin-orbit corrections [18,19].

## 2. Experimental Results

Single crystals were grown parallel to the  $\langle 111 \rangle$  direction by the Czochralski technique. The starting chemicals were all 99.999 percent pure. An indium crucible 3 in. in diameter and 3 in. deep was used. The crystal was rotated at 15 rpm and pulled at 0.015 in./hr. The growth was carried out under an atmosphere of  $N_2$  containing 2-percent  $O_2$  by volume;  $O_2$  is added in order to minimize the evaporation of gallium during growth. The resulting boule was 1.5 in. in diameter and 6 in. long. A section for optical measurement was cut perpendicular to the growth axis from the center portion of the boule, where the calculated concentrations were 1.56 at. wt. % neodymium based on gadolinium and 1.2 at. wt. % chromium based on scandium.

GSGG is a garnet derivative of gadolinium garnet (GGG) where octahedrally coordinated gallium is replaced with scandium. The critical growth behavior of GSGG very closely resembles that of GGG. There are, however, some minute differences as may be expected. The congruent GGG composition, with which the crystals grow, contains 1 at. wt. % gadolinium ions in octahedral sites (based on octahedral gallium) as is evidenced by comparison of lattice parameters-- $a$  (GGG) = 12.376 Å and  $a$  (congruent GGG) = 12.384 Å.\* Such "spillover" of gadolinium into octahedral sites is not the case in GSGG. The doping of GSGG with neodymium and chromium is better controlled as compared with  $Y_3Al_5O_{12}$  (YAG). The dopant distribution is governed by the same principles, but the distribution coefficients are much more favorable to uniform doping. The neodymium distribution coefficient  $K = 0.6$ , and the chromium distribution coefficient approaches unity very closely. This results in only a very small variation of neodymium concentration in the crystal, and the chromium distribution is uniform.

The  $Ca^{2+}$  impurity concentration was below 3 ppm by weight of scandium oxide. This is very important with respect to the absorption spectrum of  $Cr^{3+}$  in garnets, because the presence of divalent calcium affects the absorption of chromium in garnets by changing relative intensities of the  $^4A_2 \rightarrow ^4T_2$  and  $^4A_2 \rightarrow ^4T_1$  transitions, as well as introducing a broad absorption feature in the region of 0.90 to 1.2  $\mu m$ . The nature of this effect is

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\* (Å) + 10 = (nm).

not yet explained. The crystal grown for this work contained such small  $\text{Ca}^{2+}$  concentration that its effect may be neglected.

Absorption spectra recorded between 6000 and 1500  $\text{cm}^{-1}$  with a resolution of 0.5  $\text{cm}^{-1}$  were obtained from a Nicolet Model 7199 Fourier transform infrared (FTIR) spectrometer. Data were also recorded between 35,000 and 4,000  $\text{cm}^{-1}$  using a Cary Model 17D spectrophotometer. In the ultraviolet region the accuracy of the instrument is about 3 Å and the resolution is better than 2 Å. Some examples of this resolution are found in the figures representing the observed splitting of the  $[6P]J$  manifolds of  $\text{Gd}^{3+}$  in the ultraviolet. The precision in measuring the separation between peaks associated with a given manifold at a given temperature is better than 0.2 Å. In the region of overlap between the spectrophotometer and the FTIR spectrometer, the agreement is within one wavenumber.

A conduction dewar was used to obtain spectra at liquid nitrogen and liquid helium temperatures. Sample temperatures were not measured; the spectra were recorded no sooner than 30 minutes after the dewar was filled to allow for thermal equilibration.

### 3. Results and Conclusions

#### 3.1 Observed Spectra

Below  $1700\text{ cm}^{-1}$  the garnet lattice absorbs [14-16]. Between  $1700$  and  $6000\text{ cm}^{-1}$  the only absorption found is associated with the  $[4I]11/2$ ,  $[4I]13/2$ , and  $[4I]15/2$  manifolds of  $\text{Nd}^{3+}$ . Temperature-dependent spectra were used to establish the excited Stark levels of the ground state manifold  $[4I]9/2$  of  $\text{Nd}^{3+}$  as follows:  $Z_2 = 106\text{ cm}^{-1}$ ,  $Z_3 = 167\text{ cm}^{-1}$ , and  $Z_4 = 264\text{ cm}^{-1}$ . Table 1 lists the experimentally established energy levels in the near-infrared region obtained from an analysis of spectra recorded at three different temperatures. The energy levels are similar, with few exceptions, to those derived for fluorescence data by Kaminskii and his coworkers for  $\text{Nd}^{3+}:\text{GSGG}$  at liquid nitrogen temperature [1].

The absorption spectrum of the  $[4I]11/2$  and  $[4I]13/2$  manifolds of  $\text{Nd}^{3+}$  at liquid helium temperature is shown in figures 1 and 2. A sharp relatively weak peak appears to the low-energy side of peaks  $Y_1$ ,  $Y_2$ ,  $Y_3$ ,  $X_1$ , and  $X_3$ . Peak  $X_4$  in figure 2 has an unresolved shoulder on each side. The weak peaks are found within  $3$  to  $8\text{ cm}^{-1}$  of the strong absorption peaks. With the relatively high concentrations of  $\text{Nd}^{3+}$  and  $\text{Cr}^{3+}$  it is not surprising that some peak broadening and additional structure may be observed under high resolution [14]. Struve and Huber [17] interpret line broadening in  $\text{Cr}^{3+}:\text{GSGG}$  as due to lattice sites occupied by ions of different ionic radii. The strongest transitions appearing in figures 1 and 2 have linewidths at half-maximum less than  $10\text{ cm}^{-1}$  at liquid helium temperature.

There is no absorption above the  $[4I]15/2$  manifold until one reaches the manifolds of  $[4F]3/2$  ( $11,450\text{ cm}^{-1}$ ) (fig. 3),  $[4F]5/2$  and  $[2H]9/2$  ( $12,500\text{ cm}^{-1}$ ), and  $[4F]7/2$  and  $[4S]3/2$  ( $13,500\text{ cm}^{-1}$ ). The excited Stark levels for each of these manifolds have been identified from the liquid helium temperature spectrum (table 2). Temperature-dependent spectra were used to establish the ground state splitting of the  $[4I]9/2$  manifold consistent (within a wavenumber) to the ground state splitting established from the temperature-dependent spectra of the  $[4I]11/2$ ,  $[4I]13/2$ , and  $[4I]15/2$  manifolds (table 1).

Absorption by  $\text{Cr}^{3+}$  appears near  $7000\text{ Å}$  ( $14,200\text{ cm}^{-1}$ ). Only at liquid helium temperature are the details sharp enough to measure (table 3). The  $R_1$  and  $R_2$  peaks of the  $[2E]$  state (levels 3 and 4 in fig. 4) are found at

6965 Å (14,354 cm<sup>-1</sup>) and 6951 Å (14,382 cm<sup>-1</sup>). Both peaks have a linewidth of 10 Å at half-maximum.  $R_2$  (level 4) shows evidence of inhomogeneous line broadening (fig. 4). A band partially resolved into three peaks nearly as intense as the  $R_1$  and  $R_2$  peaks lies to the high-energy side of the  $R_2$  peak. The peaks are at 6933 Å (14,420 cm<sup>-1</sup>), 6923 Å (14,440 cm<sup>-1</sup>), and 6909 Å (14,470 cm<sup>-1</sup>). The onset of the first strong absorption band of Cr<sup>3+</sup> begins around 6900 Å and shows a distinct shoulder near 6632 Å. This shoulder has structure with peaks at 6879, 6795, and 6776 Å (table 3). The band has a maximum absorbance at 6360 Å which decreases to the band edge at 5500 Å. Superimposed on the first Cr<sup>3+</sup> band are the absorption peaks of the Nd<sup>3+</sup> manifolds  $[4F]9/2$  (fig. 4),  $[2H]11/2$  (fig. 5),  $[2G]7/2$ , and  $[4G]5/2$ . Analysis of the absorption spectrum of Cr<sup>3+</sup>:GSGG (without Nd<sup>3+</sup>) and GSGG (without Cr<sup>3+</sup> and Nd<sup>3+</sup>) allows one to separate spectral details of Cr<sup>3+</sup> and Nd<sup>3+</sup>. The absorption spectrum of Cr<sup>3+</sup>:GSGG is displayed in figure 6.

Nd<sup>3+</sup> absorption associated with the  $[4G]7/2$ ,  $[2G]9/2$ , and  $[2K]13/2$  manifolds appears near the onset of the second strong Cr<sup>3+</sup> band beginning at 5150 Å. The Nd<sup>3+</sup> energy levels for these states are listed in table 2. Near the beginning of the second band, Cr<sup>3+</sup> peaks appear at 5043 and 4993 Å (table 3). These peaks have linewidths and line shapes similar to the  $R_2$  and  $R_1$  peaks. The second Cr<sup>3+</sup> band is composed of two peaks at 4806 and 4540 Å. The band edge on the high-energy side is at 3900 Å. Superimposed on the second Cr<sup>3+</sup> band are the Nd<sup>3+</sup> spectra for the  $[4G]9/2$ ,  $[4G]11/2$ ,  $[2K]15/2$ ,  $[2P]1/2$ , and  $[2D]5/2$  manifolds. Table 2 presents the remaining energy levels for Nd<sup>3+</sup>, including those found on a third Cr<sup>3+</sup> band beginning at 3400 Å (fig. 7). Cr<sup>3+</sup> peaks identified from Cr<sup>3+</sup>:GSGG (no Nd<sup>3+</sup>) include 3665, 3438, 3431, 3425, and 3395 Å. The peaks have been confirmed by scanning the spectrum of the undoped crystal. Cr<sup>3+</sup> absorption peaks on the third Cr<sup>3+</sup> band are found at 3198, 3119, 3116, 3105, 3100, 3085, and 3081 Å (table 3). These peaks are weak in comparison with the strong sharp spectra of Gd<sup>3+</sup> (fig. 8). Below 2800 Å the absorption of the crystal is too intense to permit observation of additional structure. Table 4 lists the energy levels of Gd<sup>3+</sup> measured at three different temperatures. Even at room temperature it is possible to see all expected Stark levels resolved in each  $[6P]J$  manifold (fig. 8).

**Table 1. Infrared energy levels: Nd<sup>3+</sup>**

| [SL]J                                    | Label          | Value of E (cm <sup>-1</sup> )<br>at various temperatures <sup>a</sup> |                  |      | Calculated<br>E<br>(cm <sup>-1</sup> ) <sup>b</sup> | $\Delta E$<br>(cm <sup>-1</sup> ) <sup>c</sup> |
|------------------------------------------|----------------|------------------------------------------------------------------------|------------------|------|-----------------------------------------------------|------------------------------------------------|
|                                          |                | RT                                                                     | LN               | LHe  |                                                     |                                                |
| [4I]9/2 <sup>d</sup><br>323 <sup>e</sup> | Z <sub>1</sub> | 0                                                                      | 0                | 0    | -1                                                  | -1                                             |
|                                          | Z <sub>2</sub> | 105                                                                    | 106              | 106  | 106                                                 | 0                                              |
|                                          | Z <sub>3</sub> | 167                                                                    | 167              | 168  | 168                                                 | 0                                              |
|                                          | Z <sub>4</sub> | 263                                                                    | 264              | ...  | 265                                                 | ...                                            |
|                                          | Z <sub>5</sub> | ...                                                                    | 763 <sup>d</sup> | ...  | 762                                                 | ...                                            |
| [4I]11/2<br>2182 <sup>e</sup>            | Y <sub>1</sub> | 1979                                                                   | 1979             | 1980 | 1981                                                | 1                                              |
|                                          | Y <sub>2</sub> | 2002                                                                   | 2003             | 2004 | 2005                                                | 1                                              |
|                                          | Y <sub>3</sub> | 2067                                                                   | 2067             | 2068 | 2066                                                | -2                                             |
|                                          | Y <sub>4</sub> | 2102                                                                   | 2102             | 2103 | 2103                                                | 0                                              |
|                                          | Y <sub>5</sub> | 2383                                                                   | 2389             | 2391 | 2392                                                | 1                                              |
|                                          | Y <sub>6</sub> | 2423                                                                   | 2430             | 2432 | 2432                                                | 0                                              |
| [4I]13/2<br>4151 <sup>e</sup>            | X <sub>1</sub> | 3908                                                                   | 3908             | 3908 | 3908                                                | 0                                              |
|                                          | X <sub>2</sub> | 3917                                                                   | 3917             | 3917 | 3918                                                | 1                                              |
|                                          | X <sub>3</sub> | 4000                                                                   | 3999             | 4000 | 4000                                                | 0                                              |
|                                          | X <sub>4</sub> | 4013                                                                   | 4011             | 4012 | 4009                                                | -3                                             |
|                                          | X <sub>5</sub> | 4352                                                                   | 4357             | 4359 | 4362                                                | 3                                              |
|                                          | X <sub>6</sub> | 4363                                                                   | 4371             | 4372 | 4371                                                | -1                                             |
|                                          | X <sub>7</sub> | 4405                                                                   | 4411             | 4412 | 4412                                                | 0                                              |
| [4I]15/2<br>6192 <sup>e</sup>            | W <sub>1</sub> | 5777                                                                   | 5777             | 5778 | 5778                                                | 0                                              |
|                                          | W <sub>2</sub> | 5810                                                                   | 5813             | 5813 | 5812                                                | -1                                             |
|                                          | W <sub>3</sub> | 5910                                                                   | 5912             | 5913 | 5914                                                | 1                                              |
|                                          | W <sub>4</sub> | 5947                                                                   | 5951             | 5954 | 5957                                                | 3                                              |
|                                          | W <sub>5</sub> | 6493                                                                   | 6495             | 6497 | 6496                                                | -1                                             |
|                                          | W <sub>6</sub> | 6508                                                                   | 6510             | 6510 | 6510                                                | 0                                              |
|                                          | W <sub>7</sub> | 6547                                                                   | 6553             | 6557 | 6557                                                | 0                                              |
|                                          | W <sub>8</sub> | 6640                                                                   | 6642             | 6643 | 6642                                                | -1                                             |

<sup>a</sup>Spectra recorded using the FTIR spectrometer at room temperature (RT), liquid nitrogen (LN) temperature, and liquid helium (LHe) temperature. [4I]15/2 manifold recorded on spectrophotometer. Where data overlap, energy levels agree within 1 cm<sup>-1</sup>.

<sup>b</sup>Calculated energy levels based on B<sub>km</sub> parameters appearing in table 6 under column 6, Nd<sup>3+</sup>(B).

<sup>c</sup>Difference between calculated and observed levels at LHe temperatures.

<sup>d</sup>Fluorescence spectra at LN temperature [1] provide complete splitting of [4I]9/2 manifold as follows: Z<sub>1</sub> = 0, Z<sub>2</sub> = 107, Z<sub>3</sub> = 168, Z<sub>4</sub> = 263, Z<sub>5</sub> = 763, all in cm<sup>-1</sup>.

<sup>e</sup>Theoretical centroid in cm<sup>-1</sup>.

Figure 1. Absorption spectrum of  $[4f]11/2$  manifold of  $\text{Nd}^{3+}$  recorded at liquid helium temperature with FTIR spectrometer.

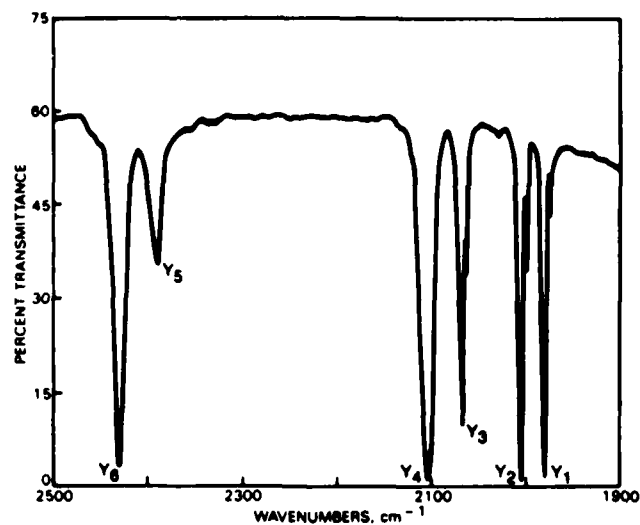


Figure 2. Absorption spectrum of  $[4f]13/2$  manifold of  $\text{Nd}^{3+}$  recorded at liquid helium temperature with FTIR spectrometer.

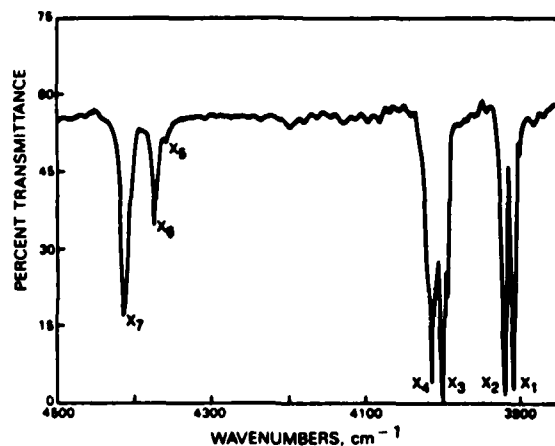
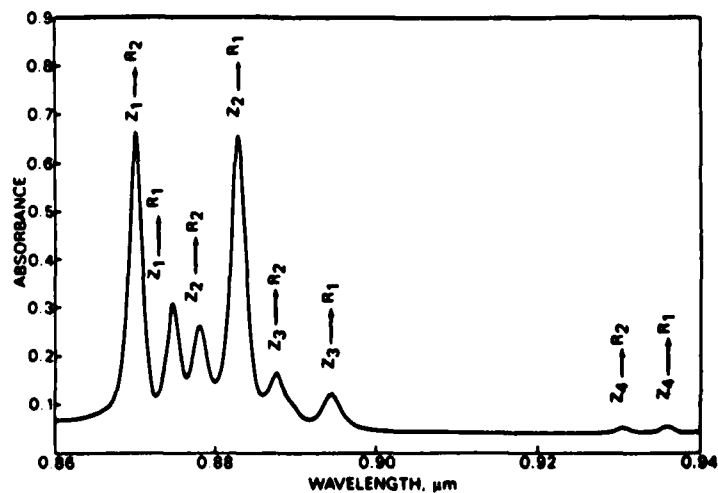


Figure 3. Absorption spectrum of  $[4f]3/2$  manifold of  $\text{Nd}^{3+}$  recorded at room temperature showing temperature-dependent transitions from Stark levels  $Z_2$  ( $106 \text{ cm}^{-1}$ ),  $Z_3$  ( $167 \text{ cm}^{-1}$ ), and  $Z_4$  ( $263 \text{ cm}^{-1}$ ) of ground state manifold  $[4f]9/2$ .



**Table 2. Visible and ultraviolet energy levels: Nd<sup>3+</sup>**

| [SL]J               | Label | Value of $E$ (cm <sup>-1</sup> )<br>at various temperatures <sup>a</sup> |        |        | Calculated<br>$E$<br>(cm <sup>-1</sup> ) <sup>b</sup> | $\Delta E$<br>(cm <sup>-1</sup> ) <sup>c</sup> |
|---------------------|-------|--------------------------------------------------------------------------|--------|--------|-------------------------------------------------------|------------------------------------------------|
|                     |       | RT                                                                       | LN     | LHe    |                                                       |                                                |
| [4F]3/2             | $R_1$ | 11,431                                                                   | 11,432 | 11,432 | 11,432                                                | 0                                              |
| 11,497 <sup>d</sup> | $R_2$ | 11,492                                                                   | 11,494 | 11,494 | 11,494                                                | 0                                              |
| [4F]5/2             | $S_1$ | 12,350                                                                   | 12,352 | 12,354 | 12,348                                                | -6                                             |
| 12,474 <sup>d</sup> | $S_2$ | 12,395                                                                   | 12,390 | 12,390 | 12,392                                                | 2                                              |
| [2H]9/2             | $S_3$ | 12,395                                                                   | 12,404 | 12,405 | 12,405                                                | 0                                              |
| 12,592 <sup>d</sup> | $S_4$ | 12,540                                                                   | 12,543 | 12,544 | 12,544                                                | 0                                              |
|                     | $S_5$ | 12,580                                                                   | 12,584 | 12,586 | 12,590                                                | 4                                              |
|                     | $S_6$ | 12,610                                                                   | 12,617 | 12,618 | 12,618                                                | 0                                              |
|                     | $S_7$ | 12,743                                                                   | 12,745 | 12,745 | 12,746                                                | 1                                              |
|                     | $S_8$ | 12,790                                                                   | 12,791 | 12,793 | 12,791                                                | -2                                             |
| [4F]7/2             | $A_1$ | 13,372                                                                   | 13,374 | 13,374 | 13,375                                                | 1                                              |
| 13,455 <sup>d</sup> | $A_2$ | 13,429                                                                   | 13,430 | 13,430 | 13,432                                                | 2                                              |
|                     | $A_3$ | 13,550                                                                   | 13,553 | 13,555 | 13,556                                                | 1                                              |
| [4S]3/2             | $A_4$ | 13,560                                                                   | 13,566 | 13,566 | 13,567                                                | 1                                              |
| 13,547 <sup>d</sup> | $A_5$ | 13,560                                                                   | 13,572 | 13,573 | 13,572                                                | -1                                             |
|                     | $A_6$ | 13,608                                                                   | 13,610 | 13,611 | 13,609                                                | -2                                             |
| [4F]9/2             | $B_1$ | 14,634                                                                   | 14,637 | 14,639 | 14,641                                                | 2                                              |
| 14,724 <sup>d</sup> | $B_2$ | 14,669                                                                   | 14,671 | 14,673 | 14,675                                                | 2                                              |
|                     | $B_3$ | 14,786                                                                   | 14,789 | 14,791 | 14,789                                                | -2                                             |
|                     | $B_4$ | 14,804                                                                   | 14,806 | 14,810 | 14,809                                                | -1                                             |
|                     | $B_5$ | 14,899                                                                   | 14,900 | 14,902 | 14,901                                                | -1                                             |
| [2H]11/2            | $C_1$ | 15,864                                                                   | 15,865 | 15,865 |                                                       |                                                |
|                     | $C_2$ | 15,888                                                                   | 15,890 | 15,890 |                                                       |                                                |
|                     | $C_3$ | 15,965                                                                   | 15,966 | 15,967 |                                                       |                                                |
|                     | $C_4$ | 16,086                                                                   | 16,090 | 16,091 |                                                       |                                                |
|                     | $C_5$ | 16,105                                                                   | 16,109 | 16,110 |                                                       |                                                |
| [4G]5/2             | $D_1$ | 16,907                                                                   | 16,909 | 16,910 |                                                       |                                                |
|                     | $D_2$ | 17,000                                                                   | 17,005 | 17,008 |                                                       |                                                |
| [2G]7/2             | $D_3$ | ...                                                                      | 17,020 | 17,022 |                                                       |                                                |
|                     | $D_4$ | 17,070                                                                   | 17,074 | 17,075 |                                                       |                                                |
|                     | $D_5$ | 17,278                                                                   | 17,282 | 17,284 |                                                       |                                                |
|                     | $D_6$ | ...                                                                      | 17,550 | 17,557 |                                                       |                                                |
|                     | $D_7$ | 17,563                                                                   | 17,570 | 17,573 |                                                       |                                                |

Table 2. Visible and ultraviolet energy levels: Nd<sup>3+</sup> (cont'd)

| [SL]J    | Label    | Value of $E$ (cm <sup>-1</sup> )<br>at various temperatures <sup>a</sup> |        |        | [SL]J    | Label    | Value of $E$ (cm <sup>-1</sup> )<br>at various temperatures <sup>a</sup> |        |        |
|----------|----------|--------------------------------------------------------------------------|--------|--------|----------|----------|--------------------------------------------------------------------------|--------|--------|
|          |          | RT                                                                       | LN     | LHe    |          |          | RT                                                                       | LN     | LHe    |
| [4G]7/2  | $E_1$    | 18,777                                                                   | 18,781 | 18,783 | [2K]15/2 | $H_5$    | 21,778                                                                   | 21,780 | 21,783 |
|          | $E_2$    | 18,864                                                                   | 18,864 | 18,864 | (cont'd) | $H_6$    | 21,884                                                                   | 21,884 | 21,885 |
|          | $E_3$    | 18,881                                                                   | 18,883 | 18,884 |          | $H_7$    | 22,004                                                                   | 22,005 | 22,006 |
|          | $E_4$    | 18,997                                                                   | 18,901 | 19,004 |          | $H_8$    | 22,053                                                                   | 22,054 | 22,054 |
| [2K]13/2 | $F_1$    | 19,203                                                                   | 19,205 | 19,207 | [2P]1/2  | $I_1$    | 23,190                                                                   | 23,192 | 23,193 |
|          | $F_2$    | 19,236                                                                   | 19,238 | 19,238 |          |          |                                                                          |        |        |
| [2G]9/2  | $F_3$    | 19,330                                                                   | 19,334 | 19,335 | [2D]5/2  | $J_1$    | 23,723                                                                   | 23,723 | 23,724 |
|          | $F_4$    | 19,354                                                                   | 19,359 | 19,359 |          | $J_2$    | 23,778                                                                   | 23,779 | 3,780  |
|          | $F_5$    | 19,410                                                                   | 19,411 | 19,412 |          | $J_3$    | 23,832                                                                   | 23,840 | 23,843 |
|          | $F_6$    | 19,480                                                                   | 19,487 | 19,488 | [2P]3/2  | $K_1$    | 26,045                                                                   | 26,046 | 26,047 |
|          | $F_7$    | 19,518                                                                   | 19,520 | 19,521 |          | $K_2$    | ...                                                                      | 26,055 | 26,056 |
|          | $F_8$    | 19,586                                                                   | 19,590 | 19,592 |          |          |                                                                          |        |        |
|          | $F_9$    | 19,615                                                                   | 19,619 | 19,620 | [4D]3/2  | $L_1$    | 27,685                                                                   | 27,689 | 27,689 |
|          | $F_{10}$ | 19,670                                                                   | 19,672 | 19,672 |          | $L_2$    | 27,761                                                                   | 27,765 | 27,765 |
|          | $F_{11}$ | 19,820                                                                   | 19,824 | 19,824 |          |          |                                                                          |        |        |
|          | $F_{12}$ | 19,890                                                                   | 19,895 | 19,895 | [4D]5/2  | $M_1$    | 27,880                                                                   | 27,880 | 27,882 |
| [4G]9/2  | $G_1$    | 20,793                                                                   | 20,795 | 20,797 |          | $M_2$    | 28,035                                                                   | 28,039 | 28,040 |
|          | $G_2$    | 20,824                                                                   | 20,828 | 20,828 | [4D]1/2  | $M_3$    | 28,105                                                                   | 28,112 | 28,113 |
|          | $G_3$    | 20,842                                                                   | 20,845 | 20,846 |          | $M_4$    | 28,145                                                                   | 28,150 | 28,159 |
| [4G]11/2 | $G_4$    | 20,852                                                                   | 20,856 | 20,858 |          | $M_5$    | 28,280                                                                   | 28,283 | 28,284 |
|          | $G_5$    | 20,977                                                                   | 20,980 | 20,980 | [2J]11/2 | $M_6$    | 28,328                                                                   | 28,333 | 28,335 |
|          | $G_6$    | 21,040                                                                   | 21,042 | 21,043 |          | $M_7$    | 28,409                                                                   | 28,413 | 28,413 |
|          | $G_7$    | 21,093                                                                   | 21,096 | 21,096 |          | $M_8$    | 28,705                                                                   | 28,712 | 28,714 |
|          | $G_8$    | 21,143                                                                   | 21,145 | 21,146 |          | $M_9$    | 28,853                                                                   | 28,857 | 28,859 |
|          | $G_9$    | 21,158                                                                   | 21,159 | 21,159 |          | $M_{10}$ | 29,014                                                                   | 29,017 | 29,018 |
|          | $G_{10}$ | 21,203                                                                   | 21,206 | 21,207 |          |          |                                                                          |        |        |
|          | $G_{11}$ | 21,234                                                                   | 21,238 | 21,240 | [2L]15/2 | $N_1$    | 29,853                                                                   | 29,854 | 29,856 |
| [2K]15/2 | $H_1$    | 21,609                                                                   | 21,610 | 21,611 |          | $N_2$    | 29,963                                                                   | 29,960 | 29,958 |
|          | $H_2$    | 21,714                                                                   | 21,714 | 21,714 |          | $N_3$    | ...                                                                      | ...    | 29,969 |
|          | $H_3$    | 21,740                                                                   | 21,740 | 21,742 | [4D]7/2  | $N_4$    | 29,993                                                                   | 29,997 | 30,000 |
|          | $H_4$    | 21,772                                                                   | 21,773 | 21,774 |          | $N_5$    | 30,051                                                                   | 30,056 | 30,059 |

Table 2. Visible and ultraviolet energy levels:  $\text{Nd}^{3+}$  (cont'd)

| [SL]J               | Label    | Value of $E$ ( $\text{cm}^{-1}$ )<br>at various temperatures <sup>a</sup> |        |        | [SL]J    | Label | Value of $E$ ( $\text{cm}^{-1}$ )<br>at various temperatures <sup>a</sup> |        |        |
|---------------------|----------|---------------------------------------------------------------------------|--------|--------|----------|-------|---------------------------------------------------------------------------|--------|--------|
|                     |          | RT                                                                        | LN     | LHe    |          |       | RT                                                                        | LN     | LHe    |
| [4D]7/2<br>(cont'd) | $N_6$    | ...                                                                       | 30,070 | 30,073 | [2L]17/2 | $O_1$ | 31,413                                                                    | 31,413 | 31,414 |
|                     | $N_7$    | 30,178                                                                    | 30,180 | 30,180 |          | $O_2$ | 31,518                                                                    | 31,520 | 31,522 |
|                     | $N_8$    | 30,209                                                                    | 30,210 | 30,210 |          | $O_3$ | 31,568                                                                    | 31,570 | 31,570 |
|                     | $N_9$    | 30,263                                                                    | 30,264 | 30,265 |          | $O_4$ | 31,610                                                                    | 31,610 | 31,612 |
|                     | $N_{10}$ | 30,300                                                                    | 30,306 | 30,308 |          | $O_5$ | 31,660                                                                    | 31,662 | 31,662 |
|                     | $N_{11}$ | 30,352                                                                    | 30,356 | 30,359 |          | $O_6$ | 31,751                                                                    | 31,753 | 31,755 |
| [2F]13/2            | $N_{12}$ | 30,428                                                                    | 30,430 | 30,431 | [2I]9/2  | $P_1$ | 32,633                                                                    | 32,633 | 32,634 |
|                     | $N_{13}$ | 30,453                                                                    | 30,453 | 30,454 |          | $P_2$ | 32,684                                                                    | 32,686 | 32,686 |
|                     | $N_{14}$ | 30,506                                                                    | 30,509 | 30,510 |          | $P_3$ | 32,708                                                                    | 32,710 | 32,713 |
|                     | $N_{15}$ | 30,532                                                                    | 30,536 | 30,540 |          | $P_4$ | 32,770                                                                    | 32,772 | 32,774 |
|                     | $N_{16}$ | 30,625                                                                    | 30,627 | 30,630 |          | $P_5$ | 32,791                                                                    | 32,795 | 32,797 |

<sup>a</sup>Spectra recorded on spectrophotometer at room temperature (RT), liquid nitrogen (LN) temperature, and liquid helium (LHe) temperature.

<sup>b</sup>Calculated energy levels based on  $B_{\text{km}}$  parameters appearing in table 6 under column 6,  $\text{Nd}^{3+}$  (B).

<sup>c</sup>Difference between calculated and observed levels at LHe temperature; rms deviation for 45 levels (tables 1 and 2) is  $1.7 \text{ cm}^{-1}$ .

<sup>d</sup>Theoretical centroid in  $\text{cm}^{-1}$ .

Table 3. Absorption spectra of  $\text{Cr}^{3+}$  at liquid helium temperature

| $L$ | $\lambda$<br>(Å) | $\Delta\lambda^a$<br>(Å) | $I$  | $E_{\text{obs}}$<br>( $\text{cm}^{-1}$ ) | $E_{\text{calc}}^b$<br>( $\text{cm}^{-1}$ ) | Rep <sup>c</sup><br>$\Gamma_n$ | Free ion state <sup>d</sup><br>(%) |
|-----|------------------|--------------------------|------|------------------------------------------|---------------------------------------------|--------------------------------|------------------------------------|
| 1   | ...              | ...                      | ...  | 0                                        | -37 <sup>e</sup>                            | 4                              | 100 $^4F$                          |
| 2   | ...              | ...                      | ...  | ...                                      | -37                                         | 6                              | 100 $^4F$                          |
| 3   | 6965             | 10                       | 0.15 | 14,354                                   | 15,296                                      | 6                              | 85 $^4F$ + 9 $^2G$ + 3 $^2H$       |
| 4   | 6951             | 10                       | 0.19 | 14,382                                   | 14,328                                      | 4                              | 94 $^4F$ + 4 $^2G$ + 1 $^2H$       |
| 5   | 6933             | 8                        | 0.15 | 14,420                                   | 14,436                                      | 4                              | 79 $^4F$ + 13 $^2G$ + 4 $^2H$      |
| 6   | 6923             | 10                       | 0.14 | 14,440                                   | 14,480                                      | 6                              | 87 $^4F$ + 8 $^2G$ + 3 $^2H$       |
| 7   | 6909             | 10                       | 0.12 | 14,470                                   | 14,486                                      | 4                              | 100 $^4F$                          |
| 8   | 6879             | 5                        | 0.02 | 14,533                                   | 14,540                                      | 4                              | 96 $^4F$ + 2 $^2G$ + 1 $^2H$       |
| 9   | 6795             | 10                       | 0.04 | 14,714                                   | 14,831                                      | 6                              | 45 $^2G$ + 26 $^4F$ + 15 $^2H$     |
| 10  | 6776             | 5                        | 0.03 | 14,754                                   | 14,842                                      | 4                              | 44 $^2G$ + 27 $^4F$ + 16 $^2H$     |
| 11  | 6632             | 8                        | 0.03 | 15,074                                   | 15,176                                      | 6                              | 39 $^2G$ + 35 $^2H$ + 19 $^2P$     |
| 12  | 6535             | 20                       | 0.02 | 15,298                                   | 15,244                                      | 4                              | 39 $^2G$ + 33 $^2H$ + 19 $^2P$     |
| 13  | 6360             | 500                      | 0.43 | 15,719                                   | 15,421                                      | 4                              | 38 $^2G$ + 34 $^2H$ + 23 $^2P$     |
| 14  | 5043             | 10                       | 0.10 | 19,824                                   | 19,948                                      | 4                              | 57 $^4F$ + 42 $^4P$                |
| 15  | 4993             | 14                       | 0.15 | 20,014                                   | 19,964                                      | 6                              | 57 $^4F$ + 43 $^4P$                |
| 16  | 4800             | 20                       | 0.18 | 20,700                                   | 20,830                                      | 4                              | 50 $^4F$ + 48 $^4P$ + 1 $^2H$      |
| 17  | 4806             | 100                      | 0.45 | 20,800                                   | 20,861                                      | 6                              | 50 $^4P$ + 49 $^4F$                |
| 18  | 4783             | sh <sup>f</sup>          | ...  | 20,900                                   | 20,881                                      | 4                              | 50 $^4P$ + 49 $^4F$                |
| 19  | 4772             | ...                      | 0.50 | 20,950                                   | 20,901                                      | 4                              | 51 $^4P$ + 49 $^4F$                |
| 20  | 4650             | sh <sup>f</sup>          | ...  | 21,500                                   | 21,532                                      | 4                              | 33 $^2H$ + 25 $^2D1$ + 19 $^2D2$   |
| 21  | 4540             | 100                      | 0.45 | 22,020                                   | 22,014                                      | 6                              | 40 $^2H$ + 24 $^2D1$ + 17 $^2D2$   |
| 22  | 4524             | sh <sup>f</sup>          | 0.49 | 22,100                                   | 22,063                                      | 4                              | 39 $^2H$ + 24 $^2D1$ + 17 $^2D2$   |
| 23  | 3665             | 10                       | 0.01 | 27,277                                   | 27,185                                      | 4                              | 100 $^2G$                          |
| 24  | 3438             | 5                        | 0.02 | 29,078                                   | 29,020                                      | 4                              | 55 $^2G$ + 39 $^2H$ + 3 $^2D2$     |
| 25  | 3431             | 5                        | 0.01 | 29,138                                   | 29,083                                      | 6                              | 57 $^2G$ + 37 $^2H$ + 3 $^2D2$     |
| 26  | 3425             | 5                        | 0.03 | 29,189                                   | 29,120                                      | 4                              | 53 $^2G$ + 43 $^2H$ + 3 $^2D2$     |
| 27  | 3408             | 10                       | 0.06 | 29,334                                   | 29,477                                      | 4                              | 51 $^2H$ + 29 $^2G$ + 18 $^2P$     |
| 28  | 3395             | 7                        | 0.02 | 29,447                                   | 29,592                                      | 4                              | 51 $^2H$ + 28 $^2G$ + 20 $^2P$     |
| 29  | ...              | ...                      | ...  | ...                                      | 29,602                                      | 6                              | 50 $^2H$ + 27 $^2G$ + 21 $^2P$     |
| 30  | ...              | ...                      | ...  | ...                                      | 31,258                                      | 4                              | 47 $^2H$ + 41 $^2D2$ + 8 $^2D1$    |
| 31  | 3198             | 10                       | 0.01 | 31,261                                   | 31,259                                      | 6                              | 48 $^2H$ + 42 $^2D2$ + 8 $^2D1$    |
| 32  | 3119             | 5                        | 0.04 | 32,052                                   | 32,068                                      | 4                              | 50 $^4P$ + 49 $^4F$                |
| 33  | 3116             | ...                      | 0.04 | 32,082                                   | 32,087                                      | 6                              | 49 $^4F$ + 49 $^4P$ + 1 $^2H$      |
| 34  | 3105             | 3                        | 0.02 | 32,200                                   | 32,099                                      | 4                              | 49 $^4F$ + 48 $^4P$ + 2 $^2H$      |
| 35  | 3100             | 10                       | 0.07 | 32,249                                   | 32,107                                      | 4                              | 50 $^4F$ + 48 $^4P$ + 1 $^2H$      |
| 36  | 3085             | 10                       | 0.09 | 32,406                                   | 32,443                                      | 4                              | 57 $^4P$ + 43 $^4F$                |
| 37  | 3081             | ...                      | 0.03 | 32,440                                   | 32,444                                      | 6                              | 56 $^4P$ + 42 $^4F$ + 1 $^2H$      |

<sup>a</sup>Bandwidth: full width at half-maximum.

<sup>b</sup>The parameters (in  $\text{cm}^{-1}$ ) used in the calculation are  $F^{(2)} = 54,320$ ,  $F^{(4)} = 43,094$ ,  $\alpha = 2.88$ ,  $\gamma = -63.28$ ,  $\zeta_4 = 169.64$ ,  $B_{20} = 1072$ ,  $B_{40} = -22,251$ , and  $B_{43} = 23,443$ , with an rms =  $87.7 \text{ cm}^{-1}$ . ( $B = 620$ ,  $C = 3420$ ,  $Dq = 1450$ ,  $v = -377.5$ ,  $v' = 512.5$ ).

<sup>c</sup>The irreducible representations are  $4 = \Gamma_4 + \Gamma_5$  and  $6 = \Gamma_6$  (doublet) for the group  $C_3$  or  $C_{3i}$  (all  $\Gamma_i$  and  $\Gamma_i'$ ). The notation is from Koster et al [30].

<sup>d</sup>Only states of 1 percent or greater are listed.

<sup>e</sup>The splitting of the ground state is  $0.235 \text{ cm}^{-1}$  with small amounts of the  $^4P$  and  $^2G$  mixed in.

<sup>f</sup>Shoulder of a band.

Figure 4. Absorption spectrum of  $\text{Cr}^{3+}$  levels 3 through 10 and the  $|4F|9/2$  manifold ( $B$  group) of  $\text{Nd}^{3+}$  recorded at liquid helium temperature.

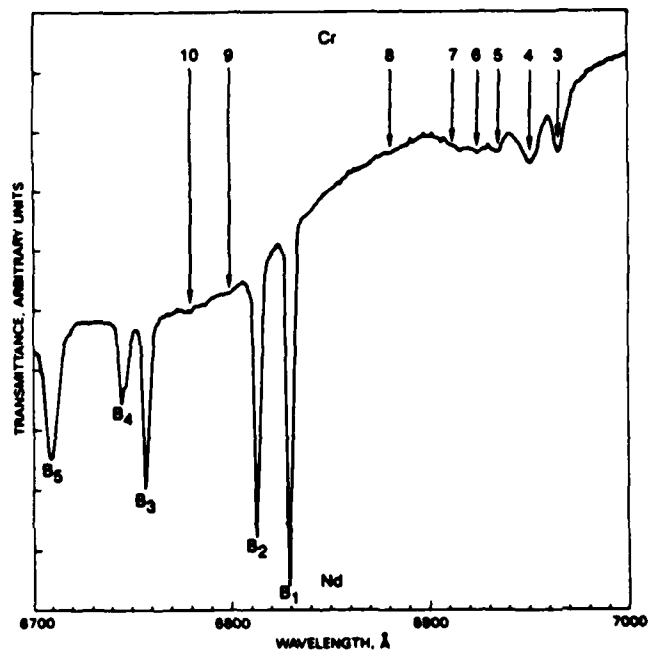


Figure 5. Absorption spectrum of  $|2//11/2$  manifold of  $\text{Nd}^{3+}$  superimposed on first strong  $\text{Cr}^{3+}$  band, recorded at liquid helium temperature.

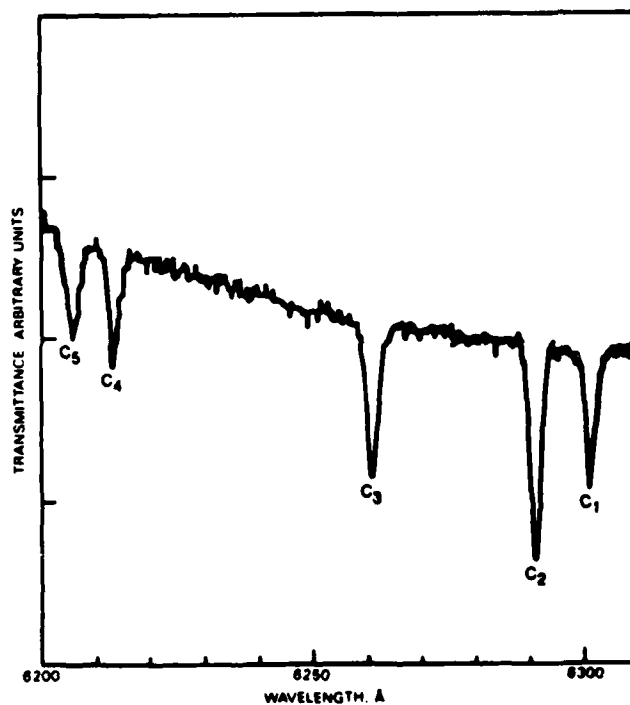


Figure 6. Absorption spectrum of  $\text{Cr}^{3+}$ :GSGG between 3000 and 8000 Å recorded at room temperature with a Cary Model 17 spectrophotometer.

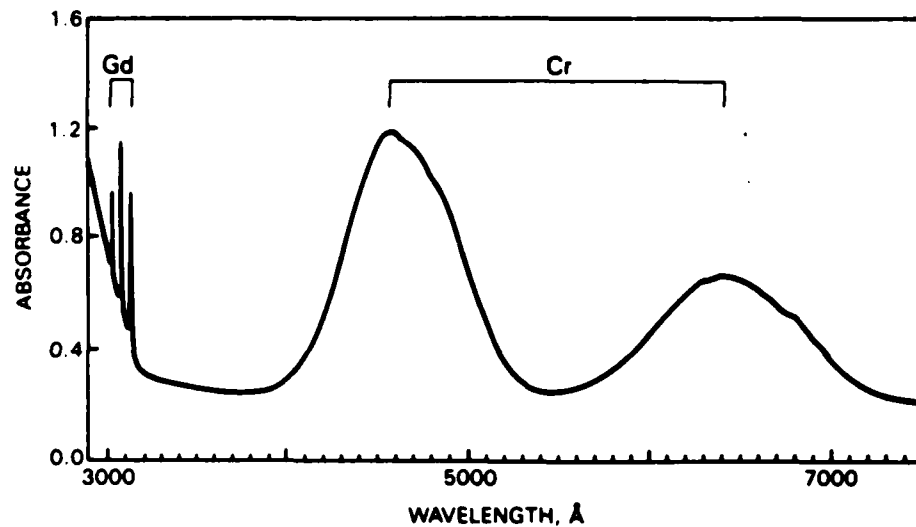


Figure 7. Absorption spectrum of  $[4D]3/2$  (*L* group),  $[4D]5/2$  and  $[2I]11/2$  (*M* group), and part of  $[4D]7/2$  and  $[2I]13/2$  (*N* group) manifolds of  $\text{Nd}^{3+}$  superimposed on third  $\text{Cr}^{3+}$  band recorded at liquid helium temperature.

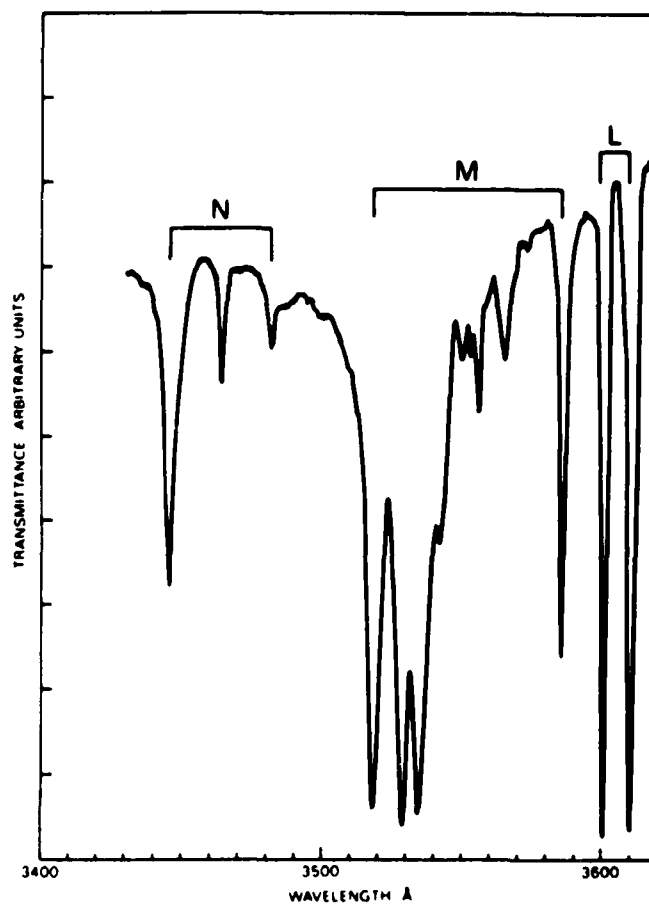


Figure 8. Absorption spectrum of  $[6P]7/2$  (A group),  $[6P]5/2$  (B group), and  $[6P]3/2$  (C group) manifolds of  $Gd^{3+}$  recorded at room temperature.

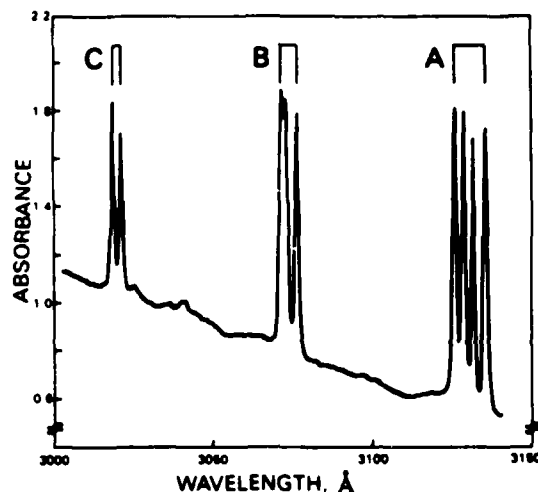


Table 4. Ultraviolet energy levels:  $Gd^{3+}$

| [SL]J                            | Label | Value of $E$ ( $cm^{-1}$ )<br>at various temperatures <sup>a</sup> |        |        | Calculated                        |                                          |
|----------------------------------|-------|--------------------------------------------------------------------|--------|--------|-----------------------------------|------------------------------------------|
|                                  |       | RT                                                                 | LN     | LHe    | $E$<br>( $cm^{-1}$ ) <sup>b</sup> | $\Delta E$<br>( $cm^{-1}$ ) <sup>c</sup> |
| $[8S]7/2$<br>0.4 <sup>d</sup>    | $Z_1$ | 0                                                                  | 0      | 0      | 0.0                               | 0                                        |
|                                  | $Z_2$ | ...                                                                | ...    | ...    | 0.3                               | ...                                      |
|                                  | $Z_3$ | ...                                                                | ...    | ...    | 0.5                               | ...                                      |
|                                  | $Z_4$ | ...                                                                | ...    | ...    | 0.6                               | ...                                      |
| $[6P]7/2$<br>31,980 <sup>d</sup> | $A_1$ | 31,889                                                             | 31,890 | 31,891 | 31,896                            | 5                                        |
|                                  | $A_2$ | 31,926                                                             | 31,927 | 31,927 | 31,922                            | -5                                       |
|                                  | $A_3$ | 31,958                                                             | 31,960 | 31,961 | 31,966                            | 5                                        |
|                                  | $A_4$ | 31,988                                                             | 31,990 | 31,991 | 31,989                            | -2                                       |
| $[6P]5/2$<br>32,573 <sup>d</sup> | $B_1$ | 32,499                                                             | 32,501 | 32,503 | 32,506                            | 3                                        |
|                                  | $B_2$ | 32,536                                                             | 32,534 | 32,532 | 32,528                            | -4                                       |
|                                  | $B_3$ | 32,548                                                             | 32,549 | 32,551 | 32,556                            | 5                                        |
| $[6P]3/2$<br>33,156 <sup>d</sup> | $C_1$ | 33,090                                                             | 33,092 | 33,093 | 33,093                            | 0                                        |
|                                  | $C_2$ | 33,118                                                             | 33,119 | 33,120 | 33,119                            | -1                                       |
| $[6I]7/2$<br>35,879 <sup>d</sup> | $D_1$ | ...                                                                | ...    | 35,860 | 35,857                            | -3                                       |
|                                  | $D_2$ | ...                                                                | ...    | 35,892 | 35,889                            | -3                                       |
|                                  | $D_3$ | ...                                                                | ...    | 35,900 | 35,901                            | 1                                        |
|                                  | $D_4$ | ...                                                                | ...    | 35,910 | 35,915                            | 5                                        |

<sup>a</sup>Spectra recorded on spectrophotometer at room temperature (RT), liquid nitrogen (LN) temperature, and liquid helium (LHe) temperature.

<sup>b</sup>Calculated energy levels based on  $B_{km}$  parameters appearing in table 6.

<sup>c</sup>Difference between calculated and observed levels at LHe temperature; rms deviation for 14 levels is  $4\text{ cm}^{-1}$ .

<sup>d</sup>Theoretical centroid in  $cm^{-1}$ .

### 3.2 Crystal-Field Splitting Calculations: Nd<sup>3+</sup>, Gd<sup>3+</sup>

The material GSGG is a mixed garnet with the same crystal structure as Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) [21,22]. The cubic space group is *Ia3d* with site symmetries as follows: Gd(Nd), *D*<sub>2</sub>; Sc(Cr), *C*<sub>3i</sub>; Ga, *S*<sub>4</sub>; and O, *C*<sub>1</sub>. Crystallographic data are summarized in table 5.

The CEF splitting of the 4*f*<sup>*n*</sup> [*SL*]*J* manifolds, assuming that Gd<sup>3+</sup> and Nd<sup>3+</sup> occupy sites of *D*<sub>2</sub> point symmetry, was analyzed by diagonalizing a parameterized Hamiltonian,

$$H_{D_2} = \sum_{km} B_{km} C_{km} \quad , \quad (1)$$

in a free-ion wave function basis involving the 11 lowest 4*f*<sup>3</sup> [*SL*]*J* manifolds for Nd<sup>3+</sup> and the 12 lowest 4*f*<sup>7</sup> [*SL*]*J* manifolds for Gd<sup>3+</sup> [23]. Free-ion wave functions and reduced matrix elements of the *U*<sup>(*k*)</sup> unit spherical tensors were first obtained by diagonalization of the free-ion Hamiltonian using parameters given by Carnall, Fields, and Rajnak [24]. The nine real, even-fold (even-*k*) parameters in the *D*<sub>2</sub> Hamiltonian were varied to obtain agreement with observed splitting. The relationship between the *B*<sub>*km*</sub> parameters used in this study, along with the *A*<sub>*k*</sub><sup>*m*</sup>⟨*r*<sup>*k*</sup>⟩ and *B*<sub>*km*</sub> parameters which are frequently reported, and the six possible equivalent sets of *B*<sub>*km*</sub> parameters for *D*<sub>2</sub> symmetry can be obtained by using the relations given elsewhere [14].

Table 5. Crystallographic and x-ray data of Gd<sub>3</sub>Sc<sub>2</sub>Ga<sub>3</sub>O<sub>12</sub><sup>a</sup>  
(Cubic *Ia3d*, 230, *Z* = 8)

| Ion | Site | Symmetry               | <i>x</i> | <i>y</i> | <i>z</i> |
|-----|------|------------------------|----------|----------|----------|
| Gd  | 24c  | <i>D</i> <sub>2</sub>  | 0        | 1/4      | 1/8      |
| Sc  | 16a  | <i>C</i> <sub>3i</sub> | 0        | 0        | 0        |
| Ga  | 24d  | <i>S</i> <sub>4</sub>  | 0        | 1/4      | 3/8      |
| O   | 96h  | <i>C</i> <sub>1</sub>  | -0.0272  | 0.0558   | 0.1501   |

<sup>a</sup>Lattice constant is *a* = 12.5668 Å from Brandle and Barns [38]  
and the fractional positions for the oxygen ions are for Y<sub>3</sub>Ga<sub>5</sub>O<sub>12</sub>  
from Euler and Bruce [39].

An initial set of phenomenological  $B_{km}$  parameters for  $\text{Nd}^{3+}$  was obtained from Morrison, Leavitt, and Gildner [23], who calculated the CEF splitting of the  $[4I]J$  and  $[4F]3/2$  manifolds using the data from Kaminskii et al [1]. The present paper extends that work by including data and calculations for the additional  $\text{Nd}^{3+}$  manifolds,  $[4F]5/2$ ,  $[2H]9/2$ ,  $[4F]7/2$ ,  $[4S]3/2$ , and  $[4F]9/2$ . Table 6 presents the final set of  $B_{km}$  parameters based on the experimental energy levels listed in tables 1 and 2. The calculated splittings are also listed in tables 1 and 2. An rms deviation of  $1.7 \text{ cm}^{-1}$  is obtained for 45 observed levels using the final set of phenomenological  $B_{km}$  parameters under column Nd (B) (table 6).

The phenomenological  $B_{km}$  parameters were compared with those obtained from a lattice sum calculation by Morrison, Leavitt, and Gildner [23]. The fractional oxygen positions were taken from the isostructural material  $\text{Y}_3\text{Ga}_5\text{O}_{12}$  [14]. Effective charges,  $Z_i$  ( $q_i = eZ_i$ ) in units of the magnitude of the electric charge, were taken as  $Z_{\text{Gd}^{3+}} = Z_{\text{Sc}^{3+}} = 3$ ,  $Z_{\text{Ga}^{3+}} = 1$ , and  $Z_{\text{O}^{2-}} = -1.5$ . The polarizability of oxygen,  $\alpha_{\text{O}}$ , was taken as  $0.244 \text{ \AA}^3$ . The resulting lattice sums,  $A_{km}$ , which include the point-charge, point-dipole, and self-induced contributions, are listed in table 6. The parameters  $A_{km}$  are related to the  $B_{km}$  phenomenological parameters through the expression

$$B_{km} = \rho_k(\text{Nd}) A_{km} \quad , \quad (2)$$

where  $\rho_k(\text{Nd})$  are radial factors given by Morrison, Karayianis, and Wortman [25]. The lattice sum calculation predicts reasonable values for  $A_{km}$  considering the assumptions that have been made.

The set of  $B_{km}$  parameters for  $\text{Gd}^{3+}$  was obtained by using  $\rho_k(\text{Gd})$  [25] and the phenomenological  $A_{km}$  lattice parameters from table 6. The number (14) of observed energy levels for  $\text{Gd}^{3+}$  is marginal for a meaningful analysis, when the symmetry is low and requires nine CEF parameters. The rms deviation for 14 observed levels is  $4 \text{ cm}^{-1}$ , which is within the uncertainty associated with the data recorded in the ultraviolet region.

**Table 6. CEF parameters for Nd<sup>3+</sup> and Gd<sup>3+</sup>**

| $A_{km}$ | $A_{km}(\text{calc.})^a$<br>(cm <sup>-1</sup> /Å) <sup>a</sup> | $A_{km}(\text{phenom.})^b$<br>Nd (cm <sup>-1</sup> /Å) <sup>a</sup> | $B_{km}$ | Nd <sup>3+</sup> (A) <sup>c</sup><br>(cm <sup>-1</sup> ) | Nd <sup>3+</sup> (B) <sup>d</sup><br>(cm <sup>-1</sup> ) | Gd <sup>3+</sup> <sup>e</sup><br>(cm <sup>-1</sup> ) |
|----------|----------------------------------------------------------------|---------------------------------------------------------------------|----------|----------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------|
| $A_{20}$ | 3572                                                           | 2544                                                                | $B_{20}$ | 434                                                      | 416                                                      | 154                                                  |
| $A_{22}$ | 1010                                                           | 529                                                                 | $B_{22}$ | 90                                                       | 76                                                       | 308                                                  |
| $A_{40}$ | -8.19                                                          | -115                                                                | $B_{40}$ | -67                                                      | -53                                                      | 18                                                   |
| $A_{42}$ | -3990                                                          | -3148                                                               | $B_{42}$ | -1818                                                    | -1774                                                    | -1418                                                |
| $A_{44}$ | -2141                                                          | -1595                                                               | $B_{44}$ | -921                                                     | -980                                                     | -699                                                 |
| $A_{60}$ | -981                                                           | -934                                                                | $B_{60}$ | -1485                                                    | -1516                                                    | -1043                                                |
| $A_{62}$ | -350                                                           | -427                                                                | $B_{62}$ | -679                                                     | -671                                                     | -515                                                 |
| $A_{64}$ | 504                                                            | 485                                                                 | $B_{64}$ | 771                                                      | 777                                                      | 514                                                  |
| $A_{66}$ | -337                                                           | -416                                                                | $B_{66}$ | -661                                                     | -641                                                     | -693                                                 |

<sup>a</sup>Table 2, ref. 23.

<sup>b</sup>Values of parameters  $A_{km}$  calculated from phenomenological  $B_{km}$  parameters given in ref. 23, an analysis of fluorescence data for [4I]J and [4I]3/2 manifolds reported in ref. 1.

<sup>c</sup>Initial set of  $B_{km}$  parameters, from ref. 23.

<sup>d</sup>Final set of  $B_{km}$  parameters for Nd<sup>3+</sup>; 45 levels; rms deviation 1.7 cm<sup>-1</sup>.

<sup>e</sup> $B_{km}$  parameters for Gd<sup>3+</sup>; 14 levels; rms deviation 4 cm<sup>-1</sup>.

### 3.3 Crystal-Field Splitting Calculations: Cr<sup>3+</sup>

Since we have not previously given a detailed description of the method used to calculate the energy level and the fitting procedures used in the theory of transition-metal ions in solids, we go into some detail here. The free-ion interactions ( $H_{FI}$ ) we include are as follows:

$$H_{FI} = \sum_{k=2,4} F^{(k)} \sum_{i>j}^N C_{kq}^*(i) C_{kq}(j) + \alpha L(L+1) + \gamma G(R_5) + \zeta_d \sum_{i=1}^N \vec{l}_i \cdot \vec{s}_i, \quad (3)$$

where  $F^{(k)}$  are the Slater integrals,  $\alpha$  and  $\gamma$  are parameters of the Trees interactions, and  $\zeta_d$  is the spin-orbit constant. In equation (3), the Slater integrals are related to the Racah parameters by

$$\begin{aligned} F^{(2)} &= 7(7B + C), \\ F^{(4)} &= 63C/5, \end{aligned} \quad (4)$$

and the  $C_{kq}$  are related to the spherical harmonics by

$$\begin{aligned} C_{kq}(i) &= \sqrt{4\pi/(2k+1)} Y_{kq}(\theta_i, \phi_i), \\ C_{k-q} &= (-1)^q C_{kq}^*. \end{aligned} \quad (5)$$

The quantity  $G(R_5)$  is the Casimir operator for the rotation group  $R_5$ , and the values for all the states of  $d^N$  are given by Judd [26]. For the  $\text{Cr}^{3+}$  free ion, the parameters in equation (3) have the following values from Uylings et al [27]:  $F^{(2)} = 74,201$ ,  $F^{(4)} = 45,822$ ,  $\alpha = 29.87$ , and  $\zeta_d = 275$  (all in units of  $\text{cm}^{-1}$ ).

Using equation (3) in a least-squares fit to the data reported by Sugar and Corliss [28], we obtained the following values:  $F^{(2)} = 72,389$ ,  $F^{(4)} = 43,044$ ,  $\alpha = 91.75$ ,  $\gamma = -129.6$ , and  $\zeta_d = 277.7$  (all in units of  $\text{cm}^{-1}$ ).

The difference in the two sets of parameters is mostly because Uylings et al [27] include the Trees interaction with the parameter  $T$  as well as  $\beta$ , which multiplies the seniority operator. Because of the agreement given above for transition-metal ions in solids, equation (3) can be considered an adequate representation of the free-ion interaction.

For threefold symmetry such as is found at the Sc site in GSGG (see table 6), the crystal-field interaction ( $H_{CF}$ ) is taken as

$$H_{CF} = B_{20} \sum_{i=1}^N C_{20}(i) + B_{40} \sum_{i=1}^N C_{40}(i) + B_{43} \sum_{i=1}^N [C_{4-3}(i) - C_{43}(i)] \quad , \quad (6)$$

where the  $B_{kq}$  are the crystal-field parameters and  $B_{43}$  can be chosen real and positive with no loss in generality. In the cubic approximation,  $B_{20} = 0$  and  $B_{43} = \sqrt{10/7} |B_{40}|$ , and for this symmetry (threefold),  $B_{40} = -14Dq$ , where we assume  $Dq$  is a positive number.

The matrix elements of the Hamiltonian given in equations (3) and (6) were computed using tapes of coefficients of fractional parentage for the  $d^3$  configuration. The notation of the free-ion levels is that of Nielson and Koster [29]. Total angular momentum wave functions are used; for  $C_3$  symmetry the  $\Gamma_4$  matrix elements [30] are obtained by choosing the projection  $M_J$ , as  $M_J = 1/2 + 3q$ , with  $q$  any integer and  $|M_J| < J$ . For the  $\Gamma_6$  irreducible representation, again we have  $M_J = 3/2 + 3q$ , where  $q$  is any integer and  $|M_J| < J$ . With these values of  $M_J$  the basis is chosen as  $[|J M_J\rangle + (-1)^{J-M_J} |J -M_J\rangle] / \sqrt{2}$  and the energy levels for the basis  $[|J M_J\rangle - (-1)^{J-M_J} |J -M_J\rangle] / \sqrt{2}$  are identical. With these basis functions,

the matrices for the entire Hamiltonian are  $39 \times 39$  for  $\Gamma_4$  ( $\Gamma_5$  is degenerate with  $\Gamma_4$ ) and  $21 \times 21$  for  $\Gamma_6$ . No a priori assumptions are made concerning the relative strengths of the various terms in the Hamiltonian. This choice of basis, while not efficient for a cubic environment, avoids the tedious perturbation calculations when the ion is definitely not in a site of cubic symmetry.

Having established the method by which the calculations are made, we now turn briefly to describe the method used to establish the experimental energy levels. Since  $C_{3i}$  symmetry has inversion, pure electronic electric-dipole (zero-phonon) transitions are forbidden between states of the same parity. However, because the coupling between the lattice and the electronic states is so strong, the vibronic spectra are intense and represent most of the observed  $\text{Cr}^{3+}$  absorption spectra. The only exception is the observation of several allowed electronic magnetic-dipole transitions between Stark levels that are nearly 100-percent pure  $^4F$  states such as transitions between the ground state level and levels 3 and 4 (table 3).

At liquid helium temperature most of the temperature-dependent vibronic spectrum is diminished, so that if a zero-phonon or electronic transition were allowed, it would be found near the low-energy side of the observed vibronic spectra associated with an excited Stark level. Some years ago Satten and his coworkers were successful in analyzing the vibronic spectra, especially of octahedrally coordinated uranium complexes [31]. The method of analysis they used was adopted and expanded by others analyzing vibronic spectra of rare-earth ions having different symmetries [32]. Recently vibronic states in  $C_{3i}$  symmetry were analyzed and the electronic energy levels reported [33]. The method of analysis of vibronic spectra used here follows the detailed descriptions reported earlier. We conclude from our assignments that the uncertainty in location of isolated excited Stark levels is within the final rms value reported. The fluorescence spectrum observed at liquid helium temperature [17] also helps to establish the location of the levels. Several authors described the use of fluorescence data to establish electronic energy levels [14,17,31].

To determine approximate atomic parameters, we began by assuming cubic symmetry. From observed spectra, we estimated the position of the experimental centroids as  $^4A_2 = 0$ ,  $^2E = 14,368$ ,  $^2T_1 = 14,436$ ,  $^4T_2 = 14,793$ ,  $^4T_1 = 20,330$ , and  $^4T_1 = 32,251$  (in  $\text{cm}^{-1}$ ). In making these selec-

tions, we used a Tanabe plot [34] with  $Dq = 1479.3$ . This value of  $Dq$  gave  $B_{40} = -20,710 \text{ cm}^{-1}$  and  $B_{43} = 24,753 \text{ cm}^{-1}$ . For free-ion parameters, we started with those of  $\text{Cr}^{3+}$  in  $\text{Y}_3\text{Al}_5\text{O}_{12}$  (YAG) [35], which are  $F^{(2)} = 55,800 \text{ cm}^{-1}$ ,  $F^{(4)} = 36,800 \text{ cm}^{-1}$ , and  $\alpha = \gamma = \zeta_d = 0$ . With the above parameters as starting values, a least-squares fit to the experimental centroids was performed. The resulting parameters obtained were  $F^{(2)} = 50,200$ ,  $F^{(4)} = 40,821$ ,  $\alpha = 35.09$  ( $\gamma = \zeta_d = B_{20} = 0$  not varied),  $B_{40} = -20,797$ , and  $B_{43} = 24,857.71$  (in  $\text{cm}^{-1}$ ). A similar fit to the limited data of Struve and Huber [17] gave  $F^{(2)} = 53,961$ ,  $F^{(4)} = 40,782$ ,  $B_{40} = -21,882$ , and  $B_{43} = 26,154$  (in  $\text{cm}^{-1}$ ) ( $\alpha = \gamma = \zeta_d = B_{20} = 0$ ).

Proceeding now with the correct symmetry ( $C_{3i}$ ) and the data given in table 5, we computed the point charge lattice sum parameters  $A_{nm}$  [23], which are  $A_{20} = 812 \text{ cm}^{-1}/\text{\AA}^2$ ,  $A_{40} = -11,413 \text{ cm}^{-1}/\text{\AA}^4$ , and  $A_{43} = 13,327 \text{ cm}^{-1}/\text{\AA}^4$ . We then used the rotational invariants [36] defined here as

$$S_n(B) = \left[ B_{n0}^2 + 2 \sum_{m>0}^n B_{nm}^* B_{nm} \right]^{1/2}, \quad (7)$$

which for  $C_{3i}$  symmetry gives

$$S_4(B) = [B_{40}^2 + 2B_{43}^2]^{1/2}. \quad (8)$$

If we assume the theoretical  $B_{nm}$  are given by  $B_{nm} = \rho_n A_{nm}$ , then  $\rho_4 = S_4(B)/S_4(A)$ . From equations (7) and (8) above we have

$$\rho_4 = 1.8537 \text{ \AA}^4. \quad (9)$$

If further we assume that  $\rho_n = \langle r^n \rangle_{HF} / \tau^n$  (with  $\langle r^n \rangle_{HF}$  given by a Hartree-Fock calculation and  $\tau$  a radial expansion parameter), then we can write

$$\rho_2 = \langle r^2 \rangle_{HF} \sqrt{\rho_4 / \langle r^4 \rangle_{HF}}. \quad (10)$$

From Fraga et al [37] we have  $\langle r^2 \rangle_{HF} = 0.4018 \text{ \AA}^2$  and  $\langle r^4 \rangle_{HF} = 0.3344 \text{ \AA}^4$ ; therefore,  $\rho_2 = 0.9460 \text{ \AA}^2$ . Using the relation  $B'_{nm} = \rho_n A_{nm}$  and these values of  $\rho_2$  and  $\rho_4$  we have

$$\begin{aligned} B'_{20} &= 786 \text{ cm}^{-1}, \\ B'_{40} &= -21,156 \text{ cm}^{-1}, \\ B'_{43} &= 24,704 \text{ cm}^{-1}. \end{aligned} \quad (11)$$

The crystal-field parameters given in equation (11) were then used as starting values along with the free-ion parameters obtained from fitting the experimental centroids and with  $\zeta_d = 200 \text{ cm}^{-1}$  in a least-square fit to all the established energy levels. A total of 35 levels of  $\text{Cr}^{3+}$  were involved in the final analysis. The parameters obtained were  $F^{(2)} = 54,320$ ,  $F^{(4)} = 43,094$ ,  $\alpha = 2.88$ ,  $\gamma = -63.28$ ,  $\zeta_d = 169.64$ ,  $B_{20} = 1,072$ ,  $B_{40} = -22,251$ , and  $B_{43} = 23,443$  (in  $\text{cm}^{-1}$ ). The rms deviation is  $87.7 \text{ cm}^{-1}$ .

Table 3 gives the resulting energy levels, along with the percentage of the free-ion composition of each state (only values greater than 1 percent are given). The number of levels of the free-ion composition is limited to 3; the labels are in the convention of Nielson and Koster [29]. Because of the spin-orbit coupling and the twofold crystal field ( $B_{20}$ ), the " ${}^2E$ " cubic level becomes predominantly " ${}^4F$ ," and the entire region from 14,300 through  $15,000 \text{ cm}^{-1}$  is so mixed that a cubic interpretation is practically impossible.

## 4. Conclusions

From temperature-dependent absorption spectra of  $\text{Nd}^{3+}:\text{GSGG}$  [1],  $\text{Cr}^{3+}:\text{GSGG}$  [17], and  $\text{Nd}^{3+}:\text{Cr}^{3+}:\text{GSGG}$  it has been possible to catalogue over 400 zero-phonon transitions which were used to establish the Stark levels of  $\text{Nd}^{3+}$  and  $\text{Gd}^{3+}$  ions occupying  $D_2$  point symmetry in the lattice. The vibronic spectrum of  $\text{Cr}^{3+}$  in  $C_{3i}$  sites has been analyzed to establish the approximate location of many of the Stark levels of  $\text{Cr}^{3+}$ . A Hamiltonian consisting of Coulombic, spin-orbit, and CEF terms and having symmetry appropriate to each ion was diagonalized to obtain theoretical energy levels. The rms deviation between calculated and observed levels for  $\text{Nd}^{3+}$  (with 45 levels) is  $1.7 \text{ cm}^{-1}$ , for  $\text{Gd}^{3+}$  (with 14 levels) is  $4 \text{ cm}^{-1}$  and for  $\text{Cr}^{3+}$  (with 35 levels) is  $87.7 \text{ cm}^{-1}$ . The lattice sum calculations for both  $\text{Nd}^{3+}$  and  $\text{Cr}^{3+}$  are in reasonable agreement with the phenomenological parameters obtained from fitting the observed energy levels. The  $C_{3i}$  point symmetry of the lattice must be used to interpret the energy levels of  $\text{Cr}^{3+}$  in GSGG.

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