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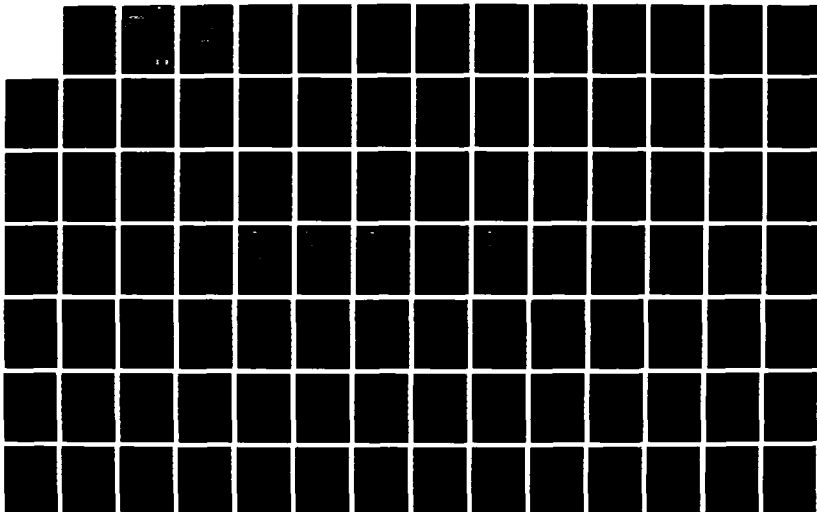
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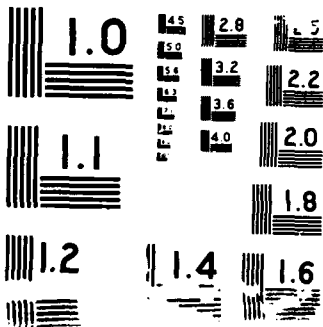
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**FINITE-DIFFERENCE SIMULATIONS OF RAYLEIGH-WAVE SCATTERING  
BY SHALLOW HETEROGENEITY**

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Scattered body wave energy is studied as a function of frequency, scattering angle, and wave type (P or SV). Attenuation of Rayleigh waves by scattering from 2-D shallow velocity heterogeneity is dominated by conversion to body waves and in particular SV energy. Low frequency P and SV energy is scattered in a backwards direction, high frequency P and SV energy is scattered in a forward direction.

As with scattering from rough topography, much of the converted SV energy will be trapped in the crustal waveguide at Lg phase velocities. Therefore, Rayleigh (Rg) to SV body wave conversion by shallow heterogeneity and topography should contribute to formation of Lg by explosions, quarry blasts, and shallow earthquakes.

A comparison is made with results for P-coda from Greenfield (1971). The comparison indicates that self-similar and Gaussian models could be derived with rms velocity variations between 7 and 15% in the upper 3 km of the crust that would produce the observed P-coda/P power levels indicated by Greenfield (1971).



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## SUMMARY

Rayleigh waves normally incident upon 2-D shallow heterogeneity are simulated by the linear finite-difference method to study attenuation, transmission, and reflection of Rayleigh waves and to measure the Rayleigh-to-P and -SV body wave conversion. Transmission, reflection, and scattering depend on the depth, average scale size of the heterogeneity and the amplitude of the spatial fluctuation of velocity. As expected, larger spatial variation in velocity attenuates Rayleigh waves more than smooth media, and the attenuation is roughly proportional to the variance of the velocity fluctuation. The attenuation and scattering due to shallow heterogeneity is weaker than attenuation due to moderately rough topography.

Scattered body wave energy is studied as a function of frequency, scattering angle, and wave type (P or SV). Attenuation of Rayleigh waves by scattering from 2-D shallow velocity heterogeneity is dominated by conversion to body waves and in particular SV energy. Low frequency P and SV energy is scattered in a backwards direction, and high frequency P and SV energy is scattered in a forward direction.

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## INTRODUCTION

A significant fraction of the elastic energy generated by a shallow explosion is contained in short-period Rayleigh waves. These short-period Rayleigh waves are the largest phase on the near-source seismogram. Although short-period Rayleigh or Rg are commonly seen on regional seismograms from shallow sources such as quarry blasts, they are attenuated rather rapidly with spatial Q's at 1 Hz in the range of 50 to 200 (Kafka, 1987; and personal communication).

Attenuation can be due to intrinsic shear attenuation in the near surface, or it can be accomplished by scattering from shallow heterogeneity and topography. If the energy is scattered then it must be observed as coda in the near-source, regional, and/or teleseismic seismogram. Scattering of Rayleigh waves has been hypothesized to produce teleseismic P-wave coda from Novaya Zemlya explosions by Greenfield (1971). Gupta *et al.* (1985) and Gupta and Blandford (1987) propose a model that suggests that P-wave coda is largely composed of scattered Rayleigh waves and therefore coda should contain information about the depth of burial of an explosion. They suggest that P-wave coda by nature of its averaging properties gives a more precise measure of yield than the direct P wave. However, since we have no accepted model for the generation of P-coda by explosions, such a relationship must be established empirically for each testing area.

In support of the Gupta *et al.* (1985) model for Rayleigh to P-wave coda generation, Lay (1987a) observed frequency dependence of the temporal energy centroid from Pahute Mesa. Recently, Lay (1987b) has attempted to image scattered Rayleigh waves at Pahute Mesa assuming that short-period (1 Hz) teleseismic P-wave coda is produced by conversion from Rayleigh waves initially generated by the explosions. He finds that 1 Hz coda does have some spatial patterns reminiscent of the focusing-defocusing patterns of direct P-waves at Pahute

Mesa. Cormier (1987) puts forth a model for coda generation that hypothesizes that coda only partially averages the focusing-defocusing near the source and near the receiver.

McLaughlin *et al.* (1983) examined coda of the near-source seismogram at Pahute Mesa. Although they found that the major transverse motion observed in the near-field appeared to arise directly from the explosion, much of the transverse coda energy was characteristic of scattered phases, and the important scale lengths of shallow heterogeneity at Pahute Mesa were in the 0.5 to 1 km range. Such scale lengths should be optimal for scattering the 1 to 2 Hz Rayleigh waves at Pahute Mesa. However, since the mesa has both topographic relief as well as considerable geologic complexity, it is uncertain at this time as to what structures are responsible for the teleseismic P-coda.

In a related matter, Key (1967 and 1968) observed P to Rayleigh scattering by specific isolated topographic features in the vicinity of the EKA array. Since this is the reciprocal problem of Rayleigh to P wave scattering, one can consider this as additional motivation for studying the phenomenon. Also using array techniques, Dainty (1986) observed that much of the locally generated P-coda at NORSAR is characterized by energy with Rayleigh wave phase velocities. Sometimes the NORSAR coda could be seen to arrive from a specific direction, but it was often isotropic. Der *et al.* (1984) concluded using deconvolution techniques at arrays that the vertical P-wave coda consisted of approximately 50% locally generated response to plane waves arriving near the receiver and of approximately 50% that arrived from the source region as plane waves across the array at the same slowness as the direct P wave.

The fact that explosion transverse Lg is on average just as large as the radial or vertical component of Lg serves to highlight our lack of understanding of the scattering problem. Gupta and Blandford (1983) proposed that P-to-SV and SV-to-SH scattering was responsible for the growth of the transverse Lg with distance, and Baumgardt (1985) has proposed that P-

coda at NORSAR can be observed scattered from "Lg" crossing the Ural mountains. Both of these problems have in common the need to quantify the conversion efficiency of modal waves to body waves and vice versa.

The generation of SV-Lg by explosions is an important problem not only because it is poorly understood but because Lg is commonly used to estimate the yield of explosions (as in Nuttli, 1986a, 1986b, 1987a, and 1987b). The attenuation correction for the Lg amplitude is derived by an analysis of the Lg coda as if it were backscattered Lg modal waves. This Lg coda analysis is questioned by Der *et al.* (1987). They claim that the Lg coda is converted fundamental Rayleigh and not proper "Lg".

Aki and Chouet (1975) proposed models for the generation of coda as the backscattering of S waves and/or surface waves. Their coda model of S-wave single backscattering has become a frequently used paradigm; however, their observations that coda levels were higher at stations located on alluvium and in valleys suggest that much of the coda is surface-wave related. Phillips and Aki (1986) found additional evidence for surface waves at a number of such stations. Estimates for generation of surface waves by incident SV waves and backscattering cross-sections for Rayleigh waves upon topography and shallow heterogeneity would be useful in the modeling of coda. Since coda Q inferred from the coda of seismograms is often interpreted as the crustal shear-wave Q, it is important that the correct model for the creation and propagation of coda be determined.

Frankel and Wennenberg (1987) propose a model for coda that stresses the multiple scattering nature of coda and emphasizes the observed energy flux. Their model questions several important assumptions inherent in the Aki and Chouet (1975) single backscattering "Born approximation" model. Central to these questions of the single scattering model is the issue of conversion between body and surface wave modes of propagation. Dainty *et al.*

(1987) argue that late arriving coda (> 10 to 15 sec) from local earthquakes is horizontally propagating modal or Lg energy. Der *et al.* (1984) propose that a significant portion of the Lg coda is composed of short-period fundamental Rayleigh waves that concentrate energy near the surface and are multiply scattered, contributing to long Lg coda on regional seismograms.

In this report we conduct simulations of fundamental scattering experiments of Rayleigh waves incident upon shallow heterogeneity. Our goal is to determine some basic quantities such as scattering strength and conversion efficiency as well as backscattering strength of shallow heterogeneities. These quantities are needed in order to compose models for coda observed near the surface of the Earth. McLaughlin and Jih (1986b) examined the interaction of incident Rayleigh waves upon 2-D topography using two-dimensional linear elastodynamic finite difference method (LFD). In this report, we explore the stability of planar Rayleigh waves incident upon a 2-D shallow heterogeneous layer of various thicknesses, scale lengths, and rms velocity fluctuations embedded in a homogeneous half space using the same method of analysis. All of the geometries simulated in this work represent planar Rayleigh waves incident upon 2-D heterogeneity.

We first examine the problem of transmission and the partitioning of energy by the scattering process. It is demonstrated as expected that larger scale variations in velocity produce larger attenuation. Reflection (backscattering) is observed to be an inefficient process for all cases examined except for folded media. In this way we characterize shallow heterogeneity by a spatial  $Q$  for the transmitted Rayleigh waves and examine the partitioning of energy into scattered body waves. We use the converted body wave field at depth in the half-space as a measure of the simulated far-field P and S coda. Compared to the strong attenuation of short-period fundamental Rayleigh waves due to moderately rough topography, we find that shallow heterogeneity generates fewer Rayleigh-to-body wave conversions, and hence attenuation is less

for shallow heterogeneity than for rough topography. Examination of the converted body wave field in the half-space leads us to conclude that much of the SV energy will be trapped in the crust as Lg. Conversely, it can be argued that incident SV and P waves will generate Rayleigh waves that propagate as coda on the surface.

We have chosen LFD modeling out of convenience and consistency. The method has the advantages that the solution contains all conversions (P-SV, SV-P) and all orders of multiple scattering. We use the 2nd order formulation of Kelley *et al.* (1976) with absorbing boundary conditions described by Clayton and Engquist (1977) and 1st order free-surface boundary conditions for topographic surfaces described by Jih *et al.* (1986). The method permits examination of fairly general 2-D models. Surface waves are introduced as initial conditions within the half-space grid. There is now a considerable body of work covering many aspects of scattering using 2-D LFD methods (as reviewed by Frankel, 1987).

## THE FINITE-DIFFERENCE SCHEME

The 2-D linear finite-difference scheme popularized by Kelly *et al.* (1976) is used with the absorbing boundary conditions (Clayton and Engquist, 1977; Emerman and Stephen, 1983) on both the sides and the bottom of the grid. Even with the absorbing boundary conditions, the simulations are run with large enough grids such that for the duration of the simulations reflections from the sides do not seriously contaminate the results.

The shallow heterogeneous layers used in these experiments consist of 3 types:

1. Gaussian media created by convolving a 2-D white noise field with 2-D Gaussian filters with specified sizes of the dominant scatterers (*i.e.* mean grain size,  $a$ ), which turn out to be proportional to the mean spatial correlation distance.
2. folded layers with sinusoidal shape of specified wavelength, amplitude and velocity profile.
3. self-similar media generated by modulating the wavenumber-wavenumber spectra of a white velocity field with the 2-D Fourier transform,  $\frac{a^2}{1 + k^2 a^2}$ , of a special Von Karman correlation function.

These heterogeneous media are then embedded into a homogeneous half-space with P- and S-wave velocities of 5.0 and 2.96 km/sec respectively, corresponding to a Poisson's ratio of 0.35 and a Rayleigh wave velocity,  $\gamma$ , of 2.71 km/sec. Similar random media have been widely used in modeling the scattering of either acoustic or elastic wave by the finite-difference method (Frankel and Clayton, 1984,1986; Levander and Hill, 1985; Levander, 1985; Frankel and Wennerberg, 1987; McLaughlin *et al.* 1987a,c)

The formulation generating the incident fundamental Rayleigh wave packet in the homogeneous portion of the medium is analogous to that of Boore (1970) or Munasinghe and Farnell (1973), which has been used extensively by Martel *et al.* (1977), Fuyuki and Matsumoto (1980), Fuyuki and Nakano (1984), Levander (1985), McLaughlin and Jih (1986b). The initial waveform is the fundamental mode Rayleigh wave in the homogeneous half-space with a Ricker wavelet shape. Figures 1 through 3 show the displacement eigenfunctions of the fundamental mode Rayleigh wave (Figure 1), the Ricker wavelet and its wavenumber spectrum (Figure 2), and the near-surface retrograde particle motion (Figure 3). Most of the energy of the incident wave is concentrated in the frequency band below  $0.9 \text{ cycle/km} \approx 2.4 \text{ Hz}$  (Figure 2). To reduce the grid dispersion, we have oversampled the incident wave packet by choosing the dominant wavelength to be  $3.2 \text{ km} = 32 \Delta x$ , where  $\Delta x = 0.1 \text{ km}$  is the grid spacing. The whole grid has dimension 390 by 260, with the heterogeneous media embedded in the center part near the surface.

## NUMERICAL EXPERIMENTS

LFD simulations were first performed for 24 combinations of shallow Gaussian heterogeneities with 4 rms velocity fluctuations (5%, 7%, 10% and 20%), 2 dominant scatterer sizes (1km and 2km), and 3 different depths of the heterogeneous layer (1km, 2km, and 3.2km). 10 fixed seismograms are used to record the near surface displacements and strains. Figures 6A through 6H show snapshots of the displacement fields due to a Rayleigh wave propagating in media with shallow heterogeneity of fixed thickness  $h$  and various velocity fluctuations  $v$  and mean scatterer sizes  $a$ . Successive frames are separated by intervals of 2 seconds. The reflection and transmission coefficients of Rayleigh waves incident upon such media are dependent on all these factors:  $v$ ,  $a$ ,  $h$ . Here we define the energy transmission coefficient,  $T$ , as

$T(f) = \frac{Power_{trans}(f)}{Power_{inc}(f)}$ . The reflection coefficient,  $R$ , is defined in an analogous way:

$R(f) = \frac{Power_{ref}(f)}{Power_{inc}(f)}$ . Power spectra were used to compute the coefficients so as not to be

biased by the dispersion effects. The strain in each case was "measured" one grid point below the flat surface away from the heterogeneity in question.

McLaughlin and Jih (1986b) conducted experiments to justify that, in the case of a homogeneous half-space, the grid dispersion only modulates the spectra of the propagating Rayleigh wave. They also showed that spectral ratios can be used to estimate the scattering attenuation due to random topography. We computed the attenuation,  $\Gamma$ , for the shallow heterogeneities in a similar way as did McLaughlin and Jih (1986b):  $\Gamma(f) \equiv Q^{-1}(f) \equiv -\ln(T(f)) \left( \frac{\gamma}{2\pi f \Delta} \right)$  where  $\gamma = 2.71$  km/sec is the group velocity of the incident Rayleigh wave and where  $\Delta$  the total length of the heterogeneity, which is fixed to be 12 km in all our experiments. Table 1 below gives the effective  $Q$  at 0.78 Hz measured with vertical displacement near the surface. The attenuation factor,  $1/Q$ , plotted as a function of frequency, is shown in Figure 8 for these eight cases.

TABLE 1.  $Q$  measured at  $f = 0.78\text{Hz}$

$f$	$v$	$a$	$Q_V$
.78	5%	1	617
.78	7%	1	248
.78	10%	1	127
.78	20%	1	$38 \pm 18\%$
.78	5%	2	1471
.78	7%	2	394
.78	10%	2	185
.78	20%	2	$58 \pm 9\%$

For larger velocity variation (e.g. 10% or 20%), the attenuation tends to be insensitive to the thickness of the heterogeneity, and the  $Q$  measurement would be more accurate. Frankel and Clayton (1984) and McLaughlin *et al.* (1987a) reported that  $Q^{-1}$  was proportional to the variance of the random medium for body waves in media where the scatterers are comparable to the seismic wavelength. This is consistent with result shown in Table 1 in which  $Q_V$  varies in a manner essentially proportional to  $v^2$ .

A standard (least-squares) regression calculation is performed assuming that the attenuation factor is proportional to a power of the equivalent scattering energy-flux,  $\xi$ , (see Appendix):

$$\Gamma \equiv \frac{1}{Q(f)} = \Gamma_o \xi^y \quad (1)$$

The equivalent scattering energy-flux of the medium,  $\xi$ , is defined as

$$\xi \equiv \langle \int (f_u^2 + f_w^2) dz \rangle \quad (2)$$

where the equivalent scattering forces,  $f_u$  and  $f_w$ , are derived from a perturbation analysis to the equations of motion due to the perturbations in the elastic moduli,  $\delta(\lambda+2\mu)$  and  $\delta\mu$ ,

$$f_u \equiv \delta(\lambda + 2\mu) [U_{xx} + W_{xz}] + \delta\mu [U_{zz} - W_{xz}] \quad (3a)$$

$$f_w \equiv \delta(\lambda + 2\mu) [U_{xx} + W_{zz}] + \delta\mu [W_{xx} - U_{zz}] \quad (3b)$$

and where  $U_{xx}$ ,  $U_{zz}$ ,  $U_{zz}$ , etc, are the 2nd order spatial derivatives of the displacement eigenfunction of the fundamental Rayleigh wave in a homogeneous half space. A more detailed derivation of the above formulas is contained in the Appendix. Under the assumption that the medium has constant density,  $\rho$ , across the grid,  $\delta(\lambda + 2\mu)$  and  $\delta\mu$  reduce to the velocity fluctuations  $\alpha^2 - \alpha_o^2$  and  $\beta^2 - \beta_o^2$  respectively.

For fixed  $ka$ , the attenuation factor,  $1/Q$ , increases with the energy-flux of the medium (Figure 9). But the rate of increase depends on  $ka$ . We see that a first order perturbation analysis of the body-forces should be sufficient to describe the attenuation of the Rayleigh waves for heterogeneity up to 20% rms velocity variation. The eigenfunctions  $U(z)$  and  $W(z)$  do not appear to be perturbed by the random heterogeneity to the extent that the scaling of equation (1) breaks down. The attenuation is therefore dependent upon a kernel that depends only on the distribution of the scatters with depth and the unperturbed eigenfunctions as a function of depth (equations 2 and 3a,b).

A horizontal array of 64 sensors are equally spaced ( $\Delta x = 0.5$  km) near the bottom of the grid to record the converted P (dilatation) and SV (rotation) strain fields. Figures 10A through 10F show the seismic sections recording the converted P wave (dilatational strain, upper) and S wave (rotational strain, lower) at every other sensor of the horizontal arrays. The coda lasts as long as it takes the Rayleigh wave to propagate across the medium.

A frequency-wavenumber analysis technique is used to produce F-K plots of the scattered dilatational and rotational body wavefield as observed near the bottom of the grid (Figures 11A through 11F). Note that at 3 and 4 Hz, the body waves are mostly forward-scattered waves. The spectra of the body waves reflect the spatial attenuation spectra,  $Q^{-1}(f)$ , of the Rayleigh wave.

Several observations can be made immediately. From 0.5 to 2 Hz the power level increases as  $h$  or  $v$  increases. That those media with smaller grain sizes  $a$  would cause more scattering loss is also consistent with the  $Q$  measurements, *i.e.*, Gaussian media with smaller grain sizes produce lower  $Q$ 's. Also, the converted SV waves contain substantially more high frequency components (2 Hz) than the P waves.

For Gaussian media with the same  $v$  and  $a$ , the power ratios of converted P to S waves appears to be a monotone function of the thickness of the heterogeneity,  $h$ . At 1 Hz, P/SV ratio decreases as  $h$  increases, while at 2 Hz, P/SV ratio increases with  $h$ .

For comparison, several LFD experiments were done with folded layers as well as random media with different spatial autocorrelation structures. Each folded homogeneous sinusoidal layer has wavelength 2km, (peak-to-peak) amplitude 2.5km, and thickness 0.5km. The velocity profile has a normal distribution with mean of 5 km/sec and standard deviation of 10%. An interesting phenomenon is obvious from the snapshots (Figure 6J), namely that the converted body wavefields are relatively clean as compared to all other cases. Multiply scattered Rayleigh waves, both reflected and transmitted ones, are generated while the incident wave propagates across the layered structure. There was no head wave generated (Figure 7J), and the attenuation measured is insignificantly small. This is the only model which obviously generates more back scattered body waves at 1Hz (Figure 10J, 11J).

While for the Gaussian or exponential media cases, the correlation distance  $a$  is proportional to the dominant size of the scatterers, von Karman media contain scatterers with size smaller than  $a$ , and they are referred to in Frankel and Clayton (1986) as *self-similar* media. A rudimentary comparison indicates that the thickness of the self-similar media significantly affects the attenuation. It is not surprising that self-similar media attenuate the Rayleigh wave more than Gaussian media of the same velocity fluctuation,  $v$ , and depth.

## DISCUSSION AND CONCLUSIONS

In order to use the results that we have generated from the LFD simulations, we need to develop an approximate theory for the prediction of coda by the scattering of Rayleigh waves. In order to do this we will use the dilatation and rotational strain sensors located near the bottom of the grid and the attenuation estimates for the Rayleigh wave to give an estimate of the energy radiated by the scatterers per unit distance for an incident Rayleigh wave of unit vertical amplitude at the surface. We will use a perturbation approach to replace the scatterers by a surface layer of equivalent sources. The concepts loosely follow the formalism of Greenfield (1971).

For our purposes it is convenient to think of the simulation as though we had a continuous wave (CW) source radiating Rayleigh waves from the left side of the grid and that we observe the power level of coda in the far-field along the array of dilatational and rotational "sensors" near the bottom of the grid. The total energy of the incident Rayleigh wave packet in our simulation is known, and the simulation lasts long enough for the wave packet to completely clear the area of scatterers. We estimate the P coda power levels in the far-field with respect to the incident power by computing power spectra and correcting the power levels for the appropriate time windows. The ratio of the observed P-wave or S-wave coda power spectra to the input power spectrum of the Rayleigh wave of known amplitude then gives us a measure of the scattering of the heterogeneity as a function of frequency.

The dilatational "sensors" are omnidirectional detectors of traveling P waves such that the dilatation,  $\theta(\omega)$ , is proportional to the P-wave amplitude,  $u(\omega)$ .

$$\theta^2 = (k_p u)^2 = \left(\frac{\omega u}{\alpha}\right)^2$$

For a given frequency, the average P-coda power density,  $E_{Pc}$  (energy per unit volume),

observed at a dilatational sensor near the bottom of the grid is related to the velocity signal power and hence ultimately to the observed dilatational signal power,

$$E_{Pc} = \rho v^2 = \rho \omega^2 u^2 = \rho \omega^2 k^{-2} \theta^2 = \rho \alpha^2 \theta^2$$

In our simulation, the Rayleigh waves were normalized such that the Rayleigh wave vertical amplitude at the surface at each frequency was unit amplitude times the spectral amplitude of the Ricker wavelet. Therefore, the power spectral ratios shown in Figures 12A through 12E relate the average dilatational power in the far-field (distance  $\sim 26 \cdot 10^5$  cm) as a function of frequency for an incident planar Rayleigh wave with amplitude of  $10^5$  cm, incident upon  $12 \cdot 10^5$  cm length of shallow heterogeneity. We must also adjust for the fact that the Rayleigh-wave packet is 4 seconds long whereas the coda lasts approximately 12 seconds as the Rayleigh-wave packet passes through the 12 km of heterogeneity. The correction for the attenuation of the planar Rayleigh wave in 12 km is of second order in our simulations ( $e^{-\frac{\pi f \Delta}{2\gamma}} > 0.6$  for  $Q > 30$ ,  $f \sim 1$  Hz,  $\Delta = 12$  km). We correct the coda power density to a distance of 3.2 km from the surface of the half-space as if it were radiated by a distribution of line scatterers along the free-surface. This correction is simply a factor of  $\frac{r}{r_0} = 26/3.2 = 8$ . Therefore, the P-coda energy levels radiating from the bottom of the layer of heterogeneity at a depth of 3.2 km in Figures 12A-E are in units of  $3.4 \cdot 10^{-5}$  erg/sec/cm<sup>3</sup>/(cm of heterogeneity)/(cm<sup>2</sup> incident Rayleigh wave). Similarly, the S-wave coda power ratios are in units of  $1.2 \cdot 10^{-5}$  erg/sec/cm<sup>3</sup>/(cm of heterogeneity)/(cm<sup>2</sup> incident Rayleigh wave). We will give these two quantities the designation,  $\zeta_P(\omega)$ , and  $\zeta_S(\omega)$ . In our simulations, the S-wave coda energy density level is generally about equal to the P-wave coda energy density level. Since body vector forces generate S-wave/P-wave amplitude ratios proportional to  $(\alpha/\beta)^2$  and body stresses generate S-wave/P-wave amplitude ratios proportional to  $(\alpha/\beta)^3$ , we see that the

equivalent sources required to describe the scatterers can not be simply described as either body forces or body stresses. The answer may lie in the multiple scattering and the free surface conversion of P to S and S to P. This implies that we will require a separate set of equivalent sources for the P-coda and S-coda until a self-consistent model can be constructed.

For example, we use the results from the simulation with 20% rms velocity variation, Gaussian scale length of 1 km, in a surface layer 3.2 km thick. The P-coda energy level at 1 Hz is  $2.6 \cdot 10^{-6}$  erg/sec/cm<sup>3</sup>/cm/cm<sup>2</sup>. This is equivalent to an explosive point-source with rms moment-rate of  $0.2 \cdot 10^{20}$  dyne-cm/sec at a distance of 3.2 km.

In order to predict the Rayleigh to P-coda energy density we must predict the Rayleigh-wave amplitude at a given distance from the epicenter. The Rayleigh-wave vertical displacement amplitude from an explosive point source at depth,  $h$ , observed at a distance,  $\Delta$ , can be written as (Hudson and Douglas, 1975)

$$u_z(\omega) = A(\alpha, \beta) B(\alpha, \beta, \rho, \omega) \Delta^{-1/2} \exp(-\omega h \eta / \gamma) \exp\left(-\frac{\pi f \Delta}{Q_R \gamma}\right) m(\omega)$$

$$B(\alpha, \beta, \rho, \omega) = \sqrt{\frac{\omega^3 \gamma}{2\pi\beta}} \frac{1}{8\rho\alpha^2\beta^{3/2}}$$

$$A(\alpha, \beta) = \frac{2 - \gamma^2/\beta^2}{2 - \gamma^2/\beta^2 - \eta\eta' - (\eta'/\eta + \eta/\eta')/2}$$

Where  $\alpha$ ,  $\beta$ , and  $\gamma$  are the P-, S-, and Rayleigh-wave velocities and where  $\eta = (1 - \gamma^2/\alpha^2)^{1/2}$  and  $\eta' = (1 - \gamma^2/\beta^2)^{1/2}$ .  $Q_R$  is the Rayleigh wave quality factor. For example, a  $10^{20}$  dyne-cm explosive source buried 0.5 km will produce  $3 \cdot 10^{-4}$  cm of 1 Hz motion at 10 km distance for our model half-space. So, our previous example becomes an equivalent explosive source density of  $6 \cdot 10^{-15}$  dyne-cm/sec/cm or  $6 \cdot 10^{20}$  dyne-cm/sec/km.

We can now form a simple approximate model for the production of teleseismic P coda from an explosion. As Greenfield (1971) pointed out, the P-coda/P power ratio can be predicted as a function of time if the conversion efficiency (scattering cross-section) is known for short-period Rayleigh to downward scattered P-wave energy. For teleseismic paths, the geometrical spreading for P-coda and the direct P approximately cancel in the P-coda/P ratio. The important factors are the relative excitation of P-wave amplitude and Rayleigh-wave amplitude by the coda sources and the explosion source, respectively, integrated over the scattering volume per unit time.

For the case of the Rayleigh wave spreading out from a point source, the volume is replaced by the surface area at time  $t = \frac{\Delta}{\gamma}$  contributing equivalent scattering sources;  $dA = 2\pi\Delta d\Delta = 2\pi\gamma^2 t dt$ . We assume that the energy is additive from the scattering surface and that to first order the scattering is isotropic. We can modify this assumption later by the introduction of a radiation pattern for the equivalent scatterers and the computation of numerical integrals over the area swept out in each increment in time by the Rayleigh wave. However, to first order we can write that the P-coda power received in a time interval  $dt$  and frequency interval  $d\omega$  normalized to the direct P-wave is given by

$$\frac{\langle u_{Pc}^2 \rangle}{u_P^2} dt d\omega = A^2(\alpha, \beta) B^2(\alpha, \beta, \rho, \omega) \zeta_P(\omega) e^{-\left(\frac{2\omega h \eta}{\gamma}\right)} (\gamma t)^{-1} e^{-\left(\frac{\omega t}{Q_R(\omega)\gamma}\right)} 2\pi\gamma^2 t dt d\omega$$

We see that if the scatterers are evenly distributed then the time decay of the P-coda generated in this manner is controlled by the  $Q_R$  of the short-period Rayleigh, while the frequency dependence is determined by the depth of burial,  $h$ , and the frequency dependence of the scattering  $\zeta(\omega)$ . This suggests that if all P-coda were generated in this manner, we could determine the  $Q$  from the coda time decay. Since there is coda generated by other mechanisms, this is probably not a very helpful procedure. Also, since the direct P spectra may be

contaminated by P+pP interference effects, we should more properly consider the normalization factor as a more idealized explosion source spectrum. The actual level of generated P-coda is a complicated function of  $\zeta$ ,  $h$ , and the functions  $A$  and  $B$ . For layered media we can expect that the dependence will become even more complicated.

For the 20% rms Gaussian model with 1 km scale length heterogeneity in the upper 3.2 km, we can predict the P-coda/P signal energy as a function of time. Greenfield (1971) computed the average coda signal power in the 10 to 40 second window from a bandpass filter normalized to the total energy in the first 10 seconds of the P wave,  $W_0$ , given by

$$W_0 = \frac{1}{30} \frac{\int_0^{40} S^2(t) dt}{\int_0^{10} S^2(t) dt}$$

His  $W_0$  estimates for 1 Hz from two Novaya Zemlya explosions of 21 Oct 1967 and 27 Oct 1966 on LASA beams were about 0.0085 and 0.015 respectively. Since the beams reject local coda this is probably as reasonable a measure of the near source generated coda as can be obtained. Our 20% rms Gaussian model predicts  $W_0 = 0.011$ , which is in the right neighborhood given the crudeness of our model. Velocity heterogeneity of 20% rms in the upper 3.2 km probably is an upper limit if we consider typical velocity distributions of sedimentary rocks. Since there are other mechanisms of near source scattering, this may not be unreasonable result. We have found that rough topography produces greater Rayleigh-wave scattering than shallow heterogeneity, and therefore perhaps topography is responsible for more scattering at Novaya Zemlya than is shallow heterogeneity.

For comparison, the following table compares predicted  $W_0$  values for several of our shallow heterogeneity models at 1 Hz. "SS1" and "SS2" are the self-similar models with 1 and 2 km scale lengths respectively. The "GA1" and "GA2" models are the Gaussian autocorrelation

models with scale lengths of 1 and 2 km respectively. The rms velocity variation,  $v$ , is indicated for each model as well as the predicted value of  $\zeta_p$  and the Rayleigh wave  $Q$ . We see that there are several models that predict values of  $W_0$  in a range around 0.01. Since  $Q(f)$  and  $\zeta(f)$  are roughly flat between 0.5 and 1.5 Hz for most of the models, we would expect that the frequency dependence of the Rayleigh to P-coda ratios would reflect the terms for excitation of the Rayleigh wave,  $\omega^{3/2}e^{-(\omega h/v)}$ , and the attenuation of the short-period Rayleigh wave,  $e^{-(\pi f/Q_R)}$ . Greenfield's LASA beam data for Novaya Zemlya in the 0.5 to 2.0 Hz range suggest a  $Q_R$  in the range of 20 to 80 if we assume that the dependence of  $W_0(f)$  reflects the dependence of  $Q_R(f)$ . Either the self-similar models or the Gaussian models with rms velocity variations in the 7 to 15% range and scale lengths in the 1 to 2 km range could be found to match his results. Until such time as more detailed analysis is made of the P-coda/P as a function of frequency and time, there are far too few degrees of freedom to constrain the selection of scattering models. Of particular importance would be array analysis of P-coda using band-pass filters applied to multichannel deconvolution results such as those of Der *et al.* (1987). Also, analysis of the type performed by Lay (1987b) to image scatters using broadband data coupled with forward models would help to delineate the actual nature of the scatterers.

1 Hz $W_0$ PREDICTIONS				
MODEL	$\nu$	$W_0$	$\zeta_P$	$Q_R$
SS1	20%	1.25544e-03	2.14525e-06	19.95
SS1	10%	3.66908e-02	1.86844e-06	70.79
SS1	7%	5.43877e-02	1.55410e-06	104.71
SS1	5%	6.02012e-02	1.35356e-06	128.82
SS2	20%	1.02967e-03	2.14525e-06	19.05
SS2	10%	4.04064e-02	1.70404e-06	79.43
SS2	7%	6.36782e-02	1.62734e-06	114.82
SS2	5%	6.46347e-02	1.35356e-06	138.04
GA1	20%	1.09775e-02	2.57916e-06	35.48
GA1	10%	3.75454e-02	1.91196e-06	70.79
GA1	7%	4.73805e-02	1.62734e-06	91.20
GA1	5%	5.10469e-02	1.41736e-06	107.15
GA2	20%	9.08656e-03	1.17891e-06	44.67
GA2	10%	2.32515e-02	9.80571e-07	79.43
GA2	7%	2.61012e-02	8.15603e-07	97.72
GA2	5%	2.60344e-02	6.47857e-07	117.49

The analysis of the P-coda/P ratio allows us to cancel the propagation term for the P-coda from scatterer to receiver. In order to use the data for analysis of the potential excitation of SV-Lg by the conversion of Rayleigh to SV, Green's functions will be required for the SV-Lg wave packet. This is a somewhat more difficult problem, but it can in theory be done much the same way as we have treated the P-coda problem. This important problem is left for a later analysis.

We see that moderate heterogeneity in a half space does not attenuate short-period fundamental Rayleigh waves nearly so much as rough topography does (McLaughlin and Jih, 1986b), but it can still contribute substantial P-coda and moderate attenuation of Rg. For the Gaussian media used in these simulations, the energy lost due to body wave conversion varies from several percent to 20% in 12 km distance. A significant result of the simulations is that reflection of Rayleigh waves by heterogeneity at normal incidence is in most cases inefficient, as was the case for rough topography. The only exception observed was a folded structure with a resonant response to the incident Rayleigh wave. Therefore we should not expect to see

Rayleigh-wave back-scattering as a significant contributor to the multiple scattering of fundamental Rayleigh waves that populate coda for Gaussian or self-similar media. Backscattering can be significant for media with well defined organized structures such as folded sedimentary structures. In such a case the backscattered wave has a narrow narrow bandwidth reflecting the resonance phenomenon.

More complicated random media contain many scale lengths and introduce broad-band effects. The Rayleigh-wave attenuation is a complicated function of frequency, but it attains a maximum in the range where the characteristic wavelength of the medium matches the wavelength of the incident Rayleigh wave.

At low frequency ( $\lambda > a$ ) the coda dilatational and rotational wavenumber spectra indicate that the scattered P and SV waves are scattered in the forward direction except for strongly folded structures or Gaussian medium with very strong velocity fluctuations (20%). For higher frequencies ( $\lambda < a$  Hz), the scattered body waves are always maximum in the forward direction. A detailed analysis of Rayleigh to P-coda scattering will have to take this effect into account with an effective radiation pattern to the "equivalent scatterer".

The results presented offer a beginning approach to understanding the effects upon surface waves of scattering by lateral heterogeneity. A complete exploration of the problem will require variation of the P and S velocities, near surface velocity gradients, and crustal velocity heterogeneity as a function of depth.

Much of the SV energy scattered by near surface heterogeneities is concentrated at apparent velocities within 150% of the Rayleigh phase velocity. A crust with surface layer with  $\beta = 2.96$  km/sec as used in these simulations would leak much of the energy to the mantle. However, if the near-surface velocity of the model is lowered, then the slowness space occupied by the scattered waves will scale to the Rayleigh phase velocity and more energy will be

trapped in the crust. Other ways of increasing the trapping of the scattered SV energy are increasing the  $\alpha/\beta$  ratio in the near surface, introduction of gradients near the surface to create higher order modes, and introduction of deeper velocity heterogeneity to scatter P and SV energy back into the waveguide. In short, all these mechanisms can act only to increase the Rayleigh-to-Lg coupling. Therefore, we expect that in real seismological situations much of the Rayleigh-wave energy scattered into SV by near-surface heterogeneity will be trapped in the crust and will find a path to the Lg wavepacket.

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## FIGURE CAPTIONS

**Figure 1** Rayleigh wave displacement eigenfunctions for the vertical and horizontal components of motion.

**Figure 2A** Wavenumber spectrum  $S(k) = (k/k_0)^2 \exp[1-(k/k_0)^2]$  of Ricker wavelet where  $k$  is wavenumber and  $k_0 = 0.3125$  cycle/km the dominant (*i.e.* maximum amplitude) wavenumber.

**Figure 2B** Ricker wavelet in the spatial domain. IFFT was applied on  $S(k)$  to speed up the wavelet generation.

**Figure 3** The retrograde particle motion near the surface.

**Figure 4** Synthetic near-surface vertical displacements (upper) and horizontal displacements (below) for a Rayleigh wave propagating in a homogeneous half-space.

**Figure 5** Spectral ratio of the transmitted Rayleigh waves over the incident Rayleigh waves in a homogeneous half-space. Grid dispersion only modulates the spectra.

**Figure 6A** The snapshots of the displacement field due to Rayleigh wave propagating in a medium with shallow heterogeneity of  $v = 5\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ . Successive frames, (A) through (H), are separated by 2 sec intervals. Displacements are proportional to the darkness of the plot and are normalized to the maximum in each frame.

**Figure 6B** Same as Figure 6A except of  $v = 5\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ . The diffracted wavefield is less complicated as compared to Figure 6A because smaller grain size causes more reflection ((C),(D) of Figure 6A).

**Figure 6C** Same as Figure 6A except of  $v = 7\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6D** Same as Figure 6A except of  $v = 7\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6E** Same as Figure 6A except of  $v = 10\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6F** Same as Figure 6A except of  $v = 10\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6G** Same as Figure 6A except of  $v = 20\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6H** Same as Figure 6A except of  $v = 20\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 6I** Same as Figure 6A except of self-similar medium with  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ ,  $v = 10\%$ .

**Figure 6J** Same as Figure 6A except of folded sinusoidal layers with  $h = 3.2\text{km}$ ,  $v = 10\%$ ,  $\lambda = 2\text{km}$ , peak-to-peak amplitude 2.5km.

**Figure 7A** Synthetic near-surface vertical displacements (upper) and horizontal displacements (below) for a Rayleigh wave propagating in a medium with shallow heterogeneity of

$v = 5\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7B Same as Figure 7A except of  $v = 5\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7C Same as Figure 7A except of  $v = 7\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7D Same as Figure 7A except of  $v = 7\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7E Same as Figure 7A except of  $v = 10\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7F Same as Figure 7A except of  $v = 10\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7G Same as Figure 7A except of  $v = 20\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7H Same as Figure 7A except of  $v = 20\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 7I Same as Figure 7A except of self-similar medium with  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ ,  $v = 10\%$ .

Figure 7J Same as Figure 7A except of folded sinusoidal layers with  $h = 3.2\text{km}$ ,  $v = 10\%$ ,  $\lambda = 2\text{km}$ , peak-to-peak amplitude 2.5km.

Figure 8A Attenuation factor observed as a function of frequency for 4 Gaussian media ( $a = 1\text{km}$ ,  $h = 3.2\text{km}$ ) used in the LFD simulations. "x", "+", triangles, and circles correspond to  $v = 20\%$ , 10%, 7%, and 5% respectively.

Figure 8B Same as Figure 8A except of  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 8C Same as Figure 8A except of self-similar media with  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 8D Same as Figure 8A except of self-similar media with  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

Figure 8E Same as Figure 8A except of folded sinusoidal layers with  $h = 3.2\text{km}$ ,  $\lambda = 2\text{km}$ , peak-to-peak amplitude 2.5km.

Figure 9A The attenuation factor  $1/Q$  at 0.78 Hz versus energy-flux  $\xi$ . Observations are shown in circles, and fit to the curve  $\Gamma = \Gamma_0 \xi^{1.2842}$ . All 12 random media (with  $v = 5\%$ , 7%, 10% and 20%) have dominant grain size 1 km.

Figure 9B Same as 9A except for grain size 2 km. The smoothed curve is  $\Gamma = \Gamma_0 \xi^{1.2991}$ .

Figure 9C Same as 9A except for attenuation measured at 1.56 Hz. The smoothed curve is  $\Gamma = \Gamma_0 \xi^{0.3434}$ .

Figure 9D Same as 9A except for attenuation measured at 1.56 Hz and all media have grain size 2 km. The smoothed curve is  $\Gamma = \Gamma_0 \xi^{0.19944}$ .

Figure 10A Seismic sections recording the converted P wave (dilatational strain, upper) and S wave (rotational strain, lower) at a line of 32 sensors near the bottom of the grid spaced 1 km

apart for the case of  $\nu = 5\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ . See Figure 6A for snapshots.

**Figure 10B** Same as Figure 10A except of  $\nu = 5\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10C** Same as Figure 10A except of  $\nu = 7\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10D** Same as Figure 10A except of  $\nu = 7\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10E** Same as Figure 10A except of  $\nu = 10\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10F** Same as Figure 10A except of  $\nu = 10\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10G** Same as Figure 10A except of  $\nu = 20\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10H** Same as Figure 10A except of  $\nu = 20\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 10I** Same as Figure 10A except of self-similar medium with  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ ,  $\nu = 10\%$ .

**Figure 10J** Same as Figure 10A except of folded sinusoidal layers with  $h = 3.2\text{km}$ ,  $\nu = 10\%$ ,  $\lambda = 2\text{km}$ , peak-to-peak amplitude 2.5km.

**Figure 11A** Log-power horizontal wavenumber spectra for the dilatational (upper) and rotational (lower) wavefields recorded across the bottom linear array for the case of  $\nu = 5\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ . Power spectra at 1, 2, 3, 4Hz are normalized to the maximum. Normally incident waves have a horizontal wavenumber of zero. Wavenumbers are indicated for horizontally propagating P waves. Forward-scattered waves have negative wavenumbers, back-scattered waves have positive wavenumbers. The dilatational and rotational strain energy are largely confined to P wave and S wave slowness across the array respectively.

**Figure 11B** Same as Figure 11A except of  $\nu = 5\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11C** Same as Figure 11A except of  $\nu = 7\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11D** Same as Figure 11A except of  $\nu = 7\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11E** Same as Figure 11A except of  $\nu = 10\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11F** Same as Figure 11A except of  $\nu = 10\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11G** Same as Figure 11A except of  $\nu = 20\%$ ,  $a = 1\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11H** Same as Figure 11A except of  $\nu = 20\%$ ,  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ .

**Figure 11I** Same as Figure 11A except of self-similar medium with  $a = 2\text{km}$ ,  $h = 3.2\text{km}$ ,  $\nu = 10\%$ .

**Figure 11J** Same as Figure 11A except of folded sinusoidal layers with  $h = 3.2\text{km}$ ,  $\nu = 10\%$ ,  $\lambda = 2\text{km}$ , peak-to-peak amplitude 2.5km.

Figure 12A Gaussian autocorrelation models,  $a = 1$  km,  $h = 3.2$  km. Power spectral ratios of the dilatational and rotational strain signals observed 24 km deep within the grid to the vertical displacement of the incident Rayleigh wave. Units are  $3.4 \cdot 10^{-5}$  and  $1.2 \cdot 10^{-5}$   $\text{erg/sec/cm}^3 / (\text{cm of heterogeneity}) / (\text{cm}^2 \text{ incident Rayleigh wave})$  for the P-wave and S-wave coda power density at a depth of 3.2 km in the grid. P-wave coda is lower set of values, S-wave coda is upper set of values.  $v$  varies from 20% (top), 10%, 7%, to 5% (bottom).

Figure 12B Gaussian autocorrelation models with  $a = 2$  km. See Figure 12A caption.

Figure 12C Self-similar autocorrelation models with  $a = 1$  km. See Figure 12A caption.

Figure 12D Self-similar autocorrelation models with  $a = 2$  km. See Figure 12A caption.

Figure 12E Folded models. See Figure 12A caption.

## APPENDIX

We start with the 2-D equation of motion for the vertical component of motion,

$$\rho\omega^2 U = (\lambda + 2\mu)(U_{xx} + W_{zz}) + \mu(U_{zz} - U_{xz}). \quad A1$$

$U$  and  $W$  are the horizontal and vertical displacement components of motion and  $U_{xx}$ ,  $U_{xz}$ , and  $U_{zz}$  are the second order partial derivatives of the motion with respect to range,  $x$ , and depth,  $z$ . The derivation proceeds in a similar manner for the horizontal component of motion, which is left to the reader. The kinetic energy density in the vertical component field is given by  $E = \frac{1}{2}\rho\omega^2 U^2$ . It follows from the Virial theorem that for linear elastic systems the average potential energy is equal to the average kinetic energy in the system, so the total energy is  $\rho\omega^2 U^2$ . We will equate the total energy to the sum of the incoherent scattered and coherent incident field, and we will assume that energy flows from the coherent to the incoherent field.

We let the vertical displacement field be given by

$$U = U_0 + U_1, \quad A2$$

where  $U_0$  is referred to as the "background" field and  $U_1$  is the "scattered" field. The elastic modulus fields are given by

$$(\lambda + 2\mu) = \overline{(\lambda + 2\mu)} + \delta(\lambda + 2\mu) \quad A3$$

and

$$\mu = \bar{\mu} + \delta\mu. \quad A4$$

Then to first order we have for the background field that

$$\rho\omega^2 U_0 = \overline{(\lambda + 2\mu)}(U_{0xx} + W_{0zz}) + \bar{\mu}(U_{0zz} - U_{0xz}). \quad A5$$

and

$$\begin{aligned} \rho\omega^2 U_1 = & \delta(\lambda + 2\mu)(U_{0xx} + W_{0xz}) + \delta\mu(U_{0zz} - U_{0xz}) \\ & + \overline{(\lambda + 2\mu)}(U_{1xx} + W_{1xz}) + \overline{\mu}(U_{1zz} - U_{1xz}). \end{aligned} \quad A6$$

The first term in (A6) is a source term that represents the "equivalent" forces that give rise to the scattered field. The second term is easily recognized as the right side of the propagation equation from (A1). If to first order the scattered field and the incident field are not correlated,

$$\langle U_1 U_0 \rangle \approx 0,$$

then we can express the total energy as the energy of the scattered field plus the energy of the incident (or coherent) field,

$$E = \rho\omega^2 \langle U^2 \rangle = \frac{1}{2} \rho\omega^2 [U_0^2 + U_1^2]. \quad A7$$

Since the energy in the scattered field must arise from the coherent field, we see that the source of energy must be proportional to the square of the source term in (A6),

$$f_u^2 = [\delta(\lambda + 2\mu)(U_{0xx} + W_{0xz})]^2. \quad A8$$

Similarly, we have that

$$f_w^2 = [\delta(\lambda + 2\mu)(U_{0xz} + W_{0xz}) + \delta\mu(W_{0xx} - U_{0xz})]^2. \quad A9$$

The assumption is that energy transfer is unidirectional from the coherent field to the scattered field, so  $Q^{-1} \sim \frac{\delta E_0}{E_0}$  and  $\delta E_0 \sim -\delta E_1$ . Naturally, if the heterogeneity modifies the mode of the incident wave then replacement of  $U_1$  by the eigenfunction of the half-space Rayleigh wave is not acceptable. Also, if energy passes from the scattered field back to a forward propagating Rayleigh wave mode (as may be the case for the folded layers) then resonance is established and this perturbation method is inadequate.

In order to compute the total equivalent scattering energy-flux for our 2-D model, we integrate over the depth and range for which the velocity perturbations are non-trivial. We

divide by the range in order to obtain a range-independent quantity. This assumes spatial stationarity so that integration over range is the same as propagation over a suite of random media with the same statistics.

$$\xi = \frac{1}{\Delta} \iint (f_u^2 + f_w^2) dz dx \approx \langle \int (f_u^2 + f_w^2) dz \rangle. \quad A10$$

The result that attenuation is not directly proportional to  $\xi$  for fixed  $ka$  implies that some multiple scattering is present and that a simple energy flow from the slowly decaying Rayleigh wave into body waves is not an entirely adequate conceptual model. Also, we have assumed that the weak velocity heterogeneity does not perturb on average either the Rayleigh-wave phase velocity nor the eigenfunctions.

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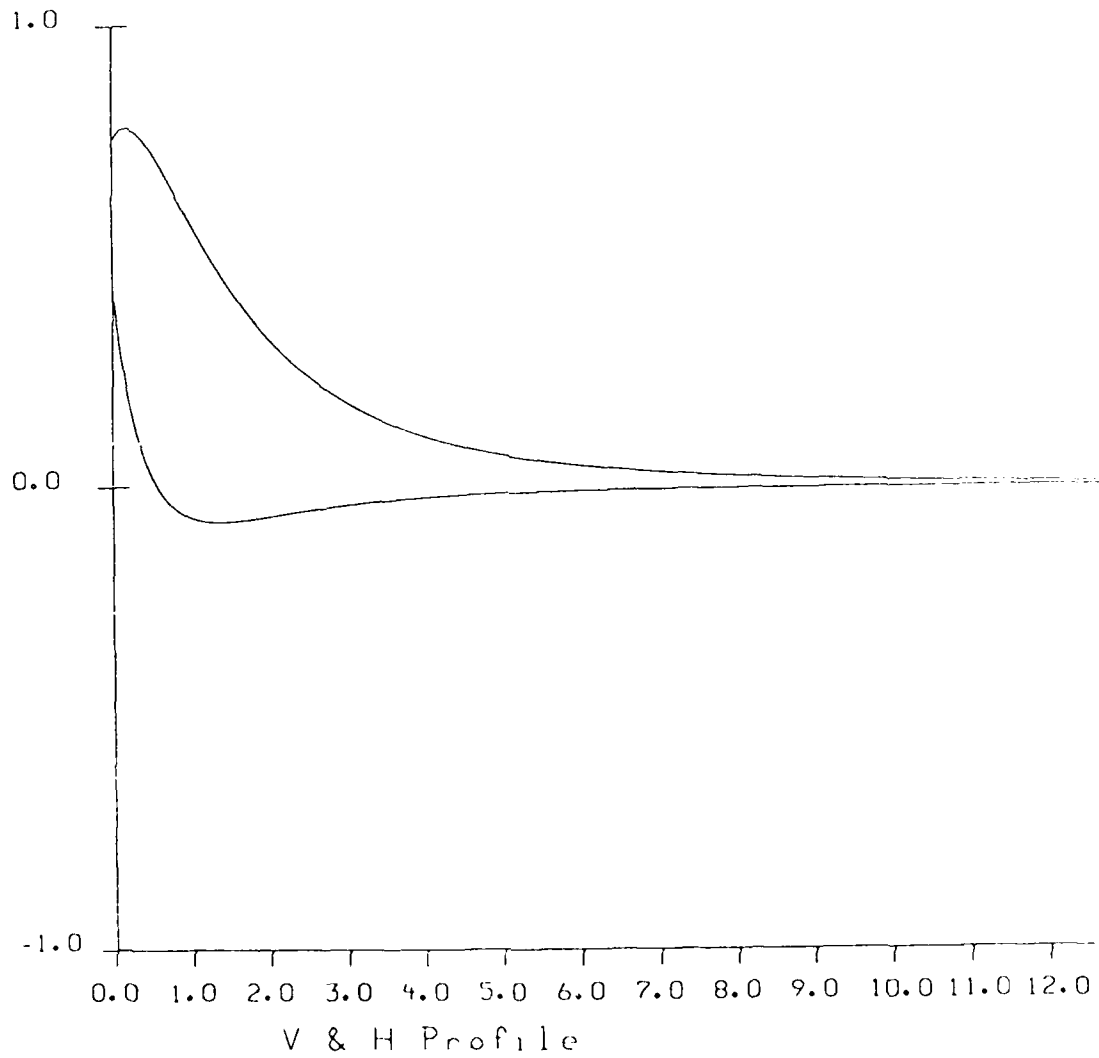
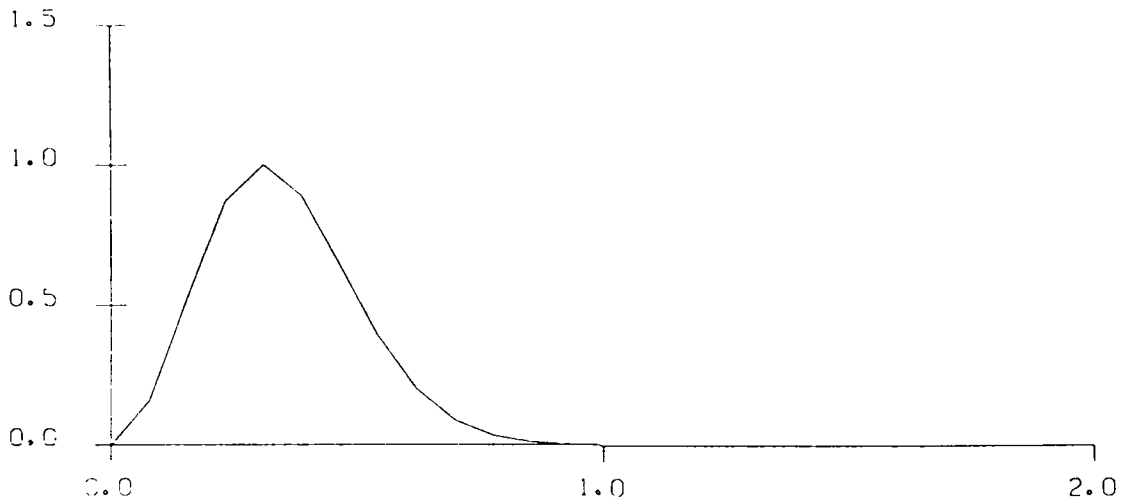
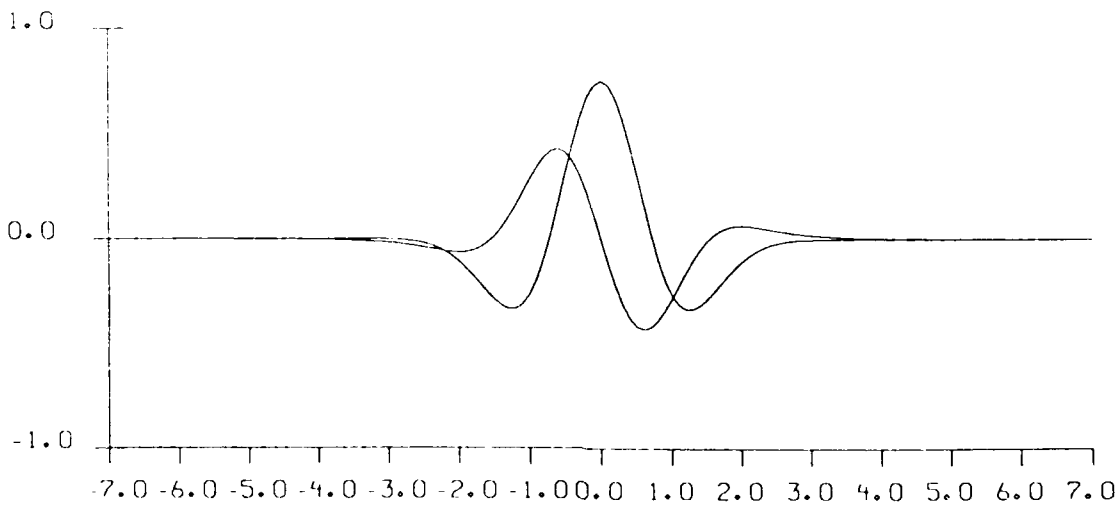


Fig.1

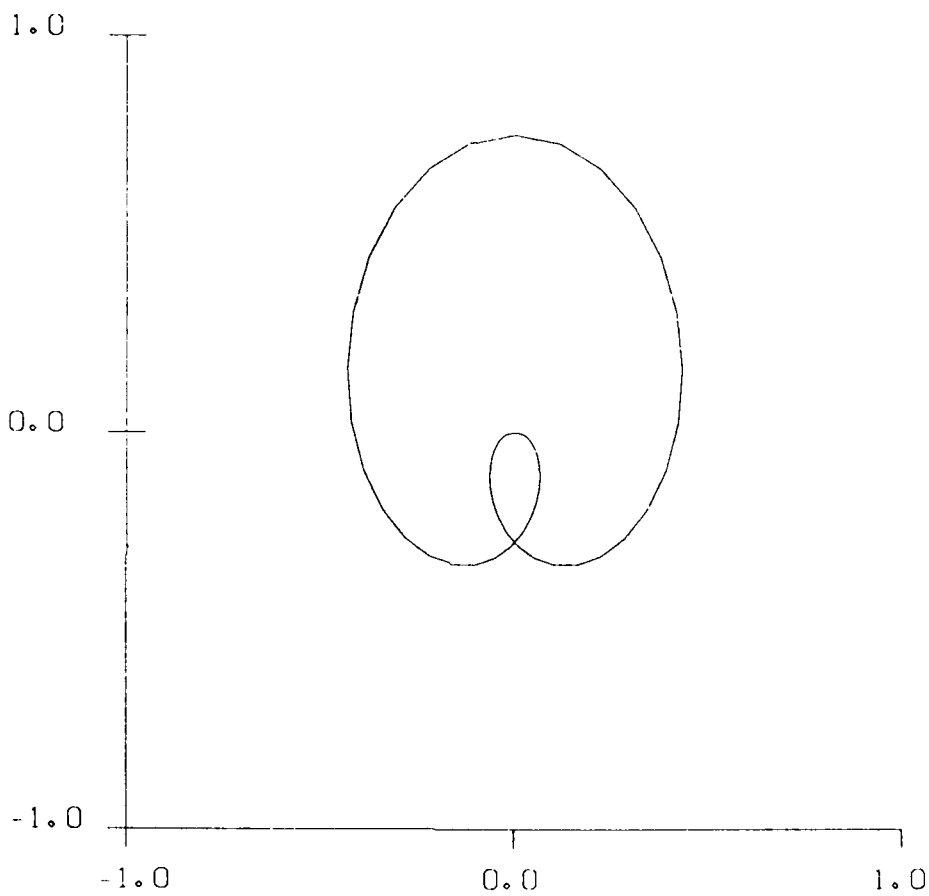


AMPLITUDE vs WAVENUMBER (Cycles/km)



SPATIAL WAVEFORMS (V & H)

Fig.2



Particle Motion

Fig.3

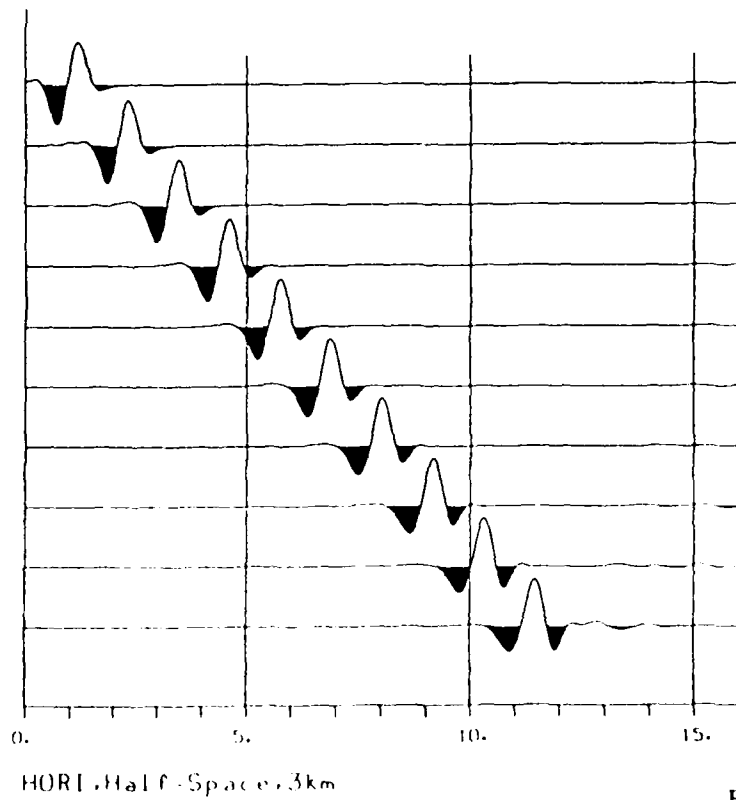
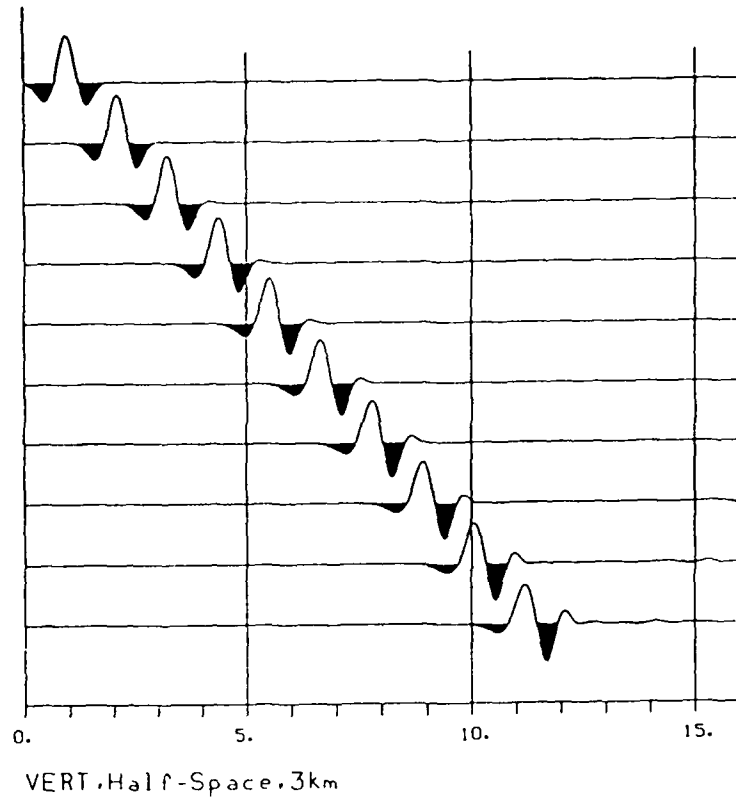


Fig.4

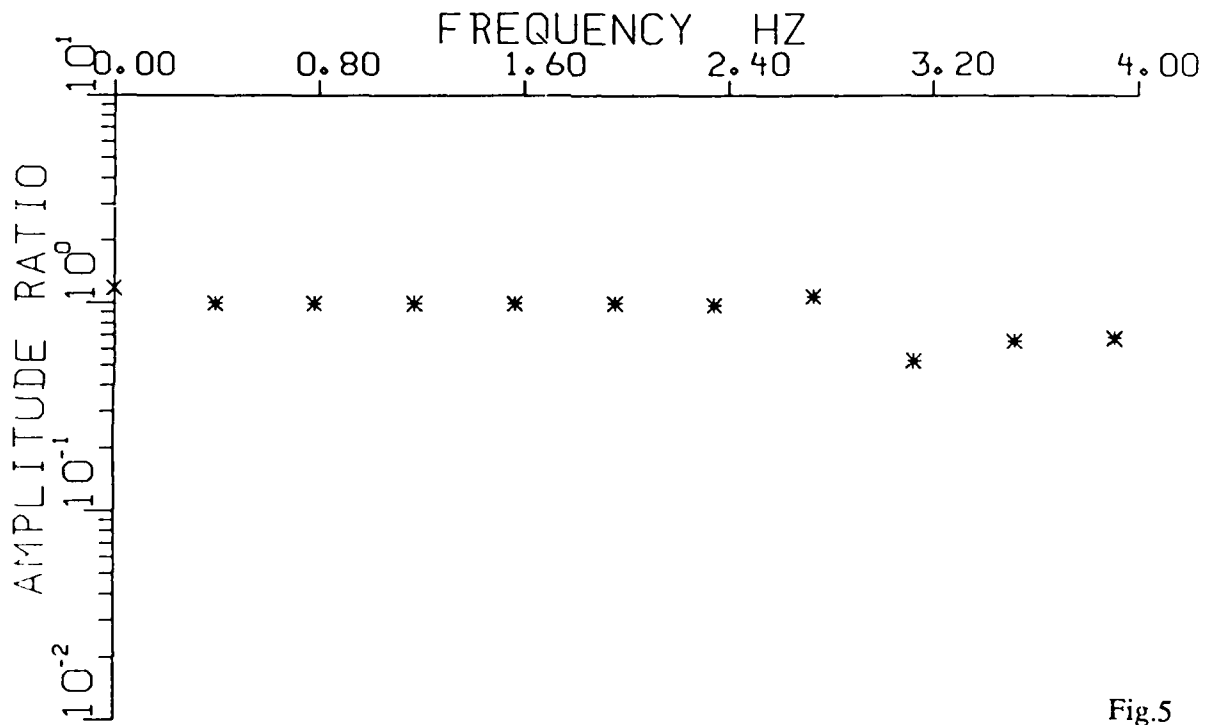


Fig.5

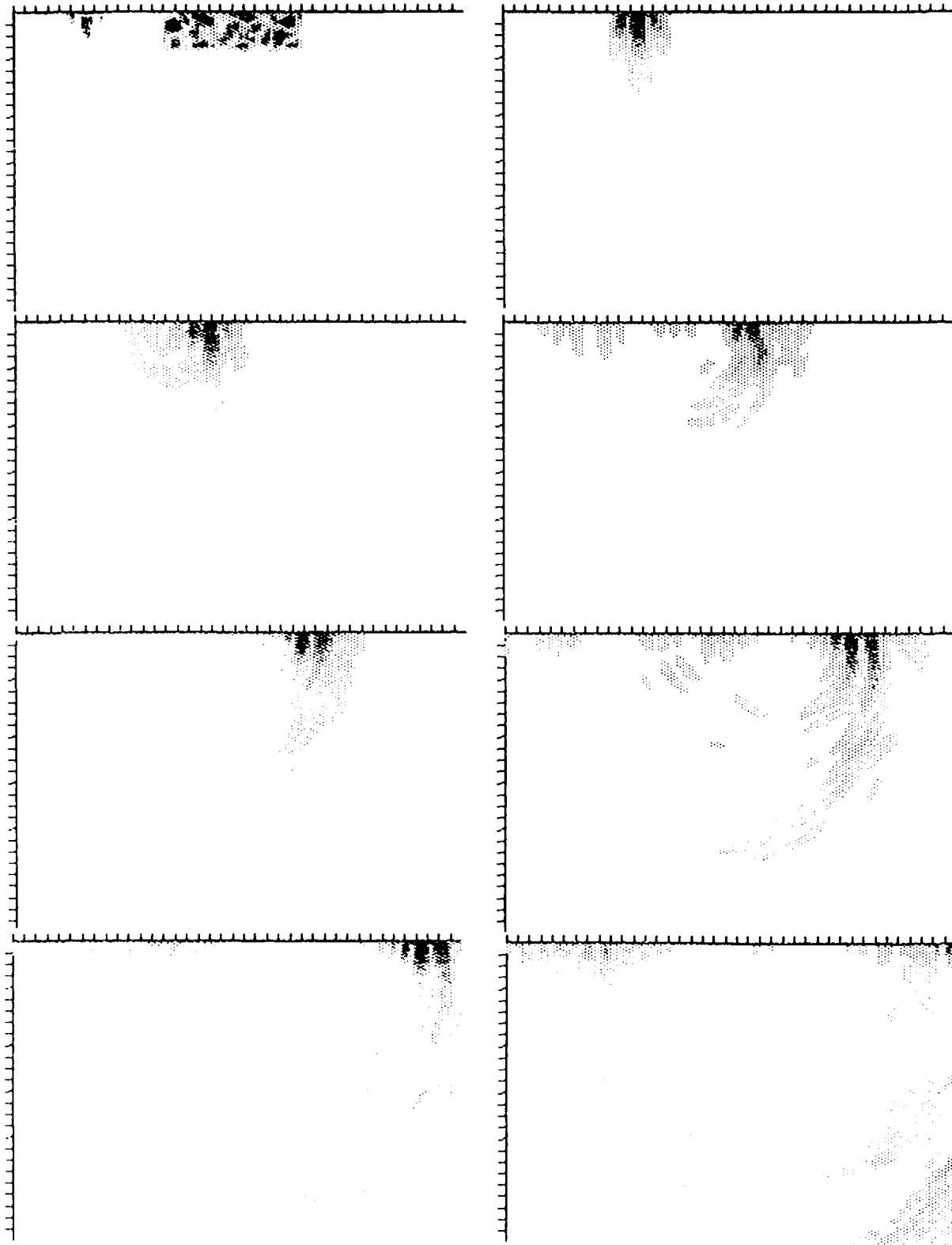


Fig.6A

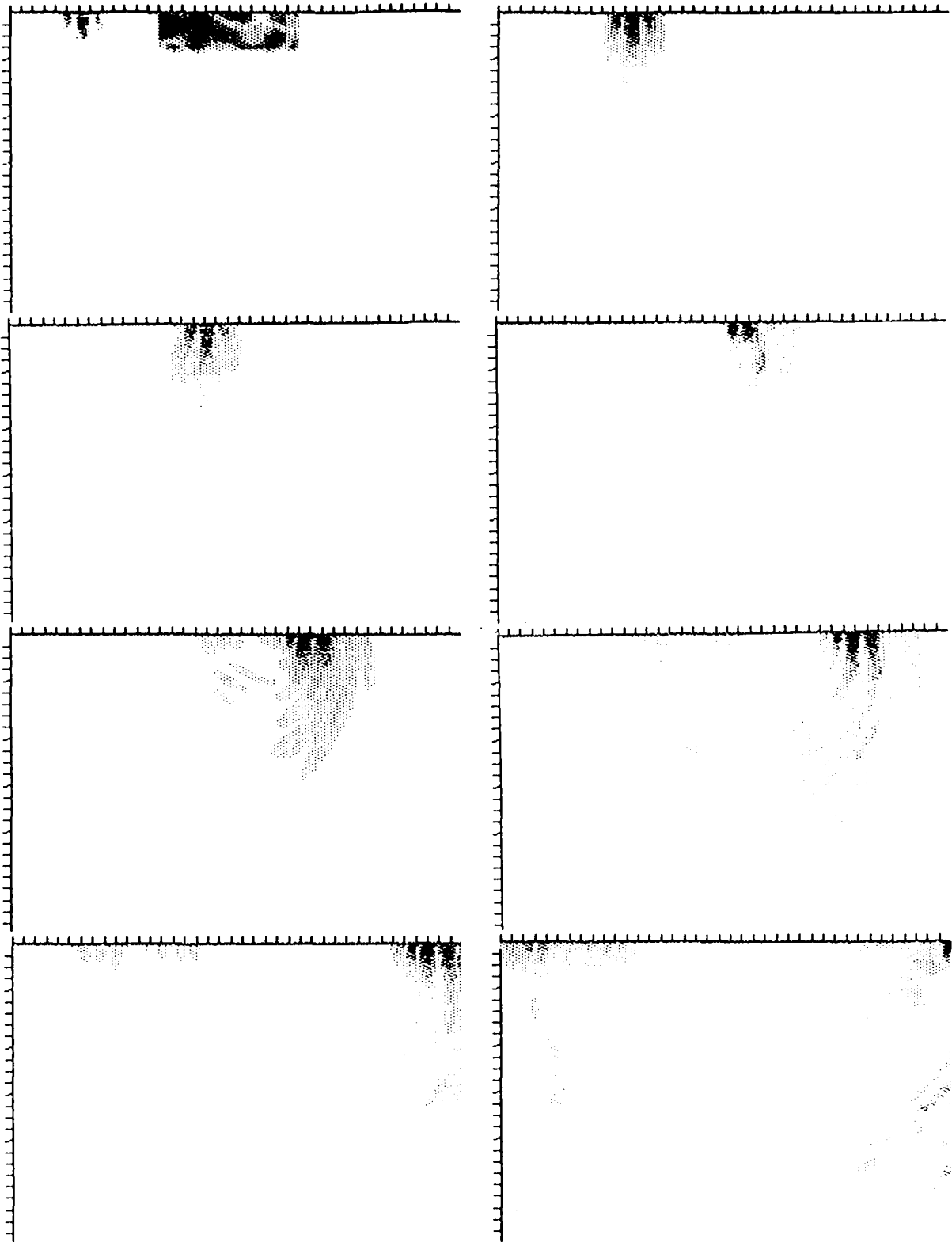


Fig.6B

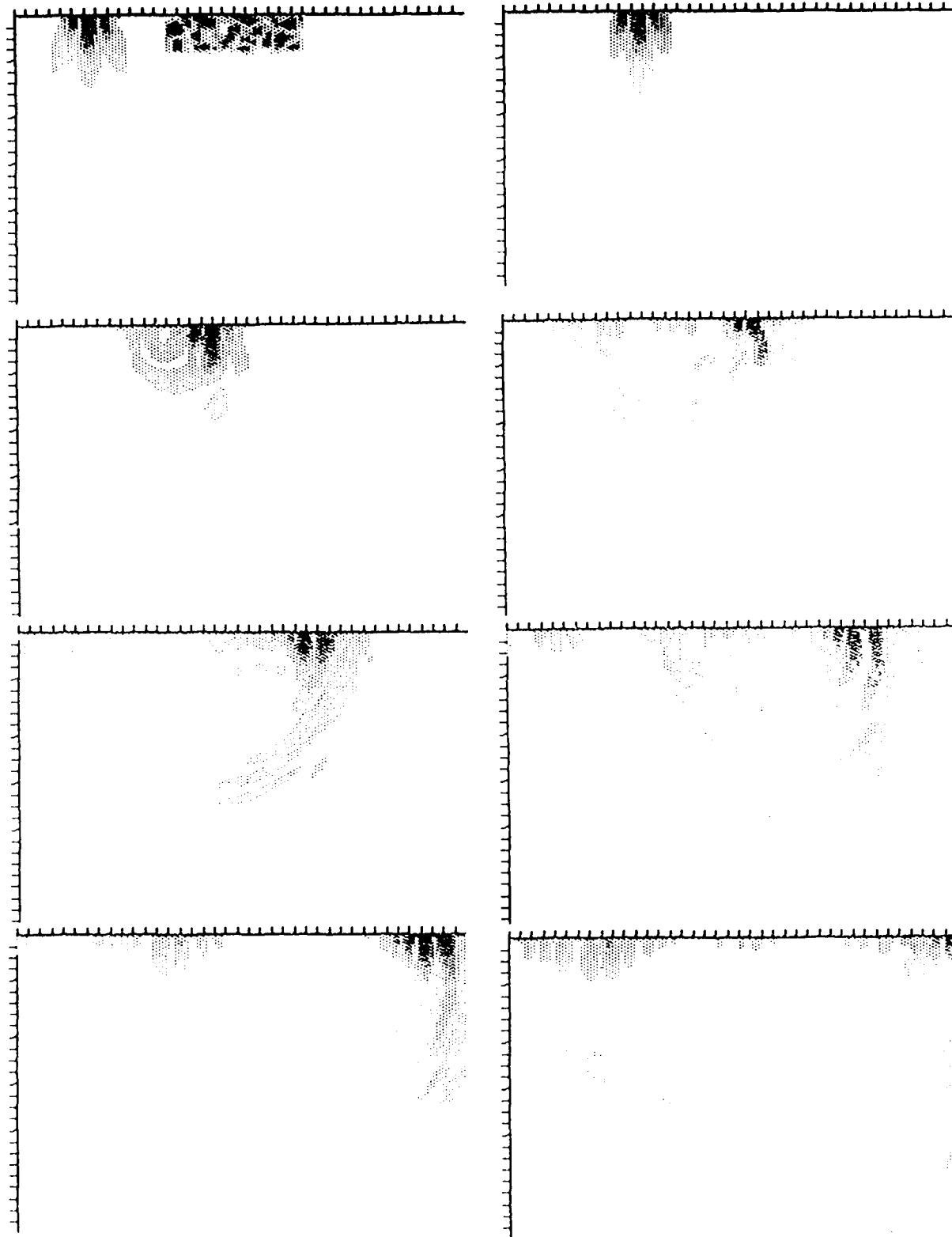


Fig. 6C

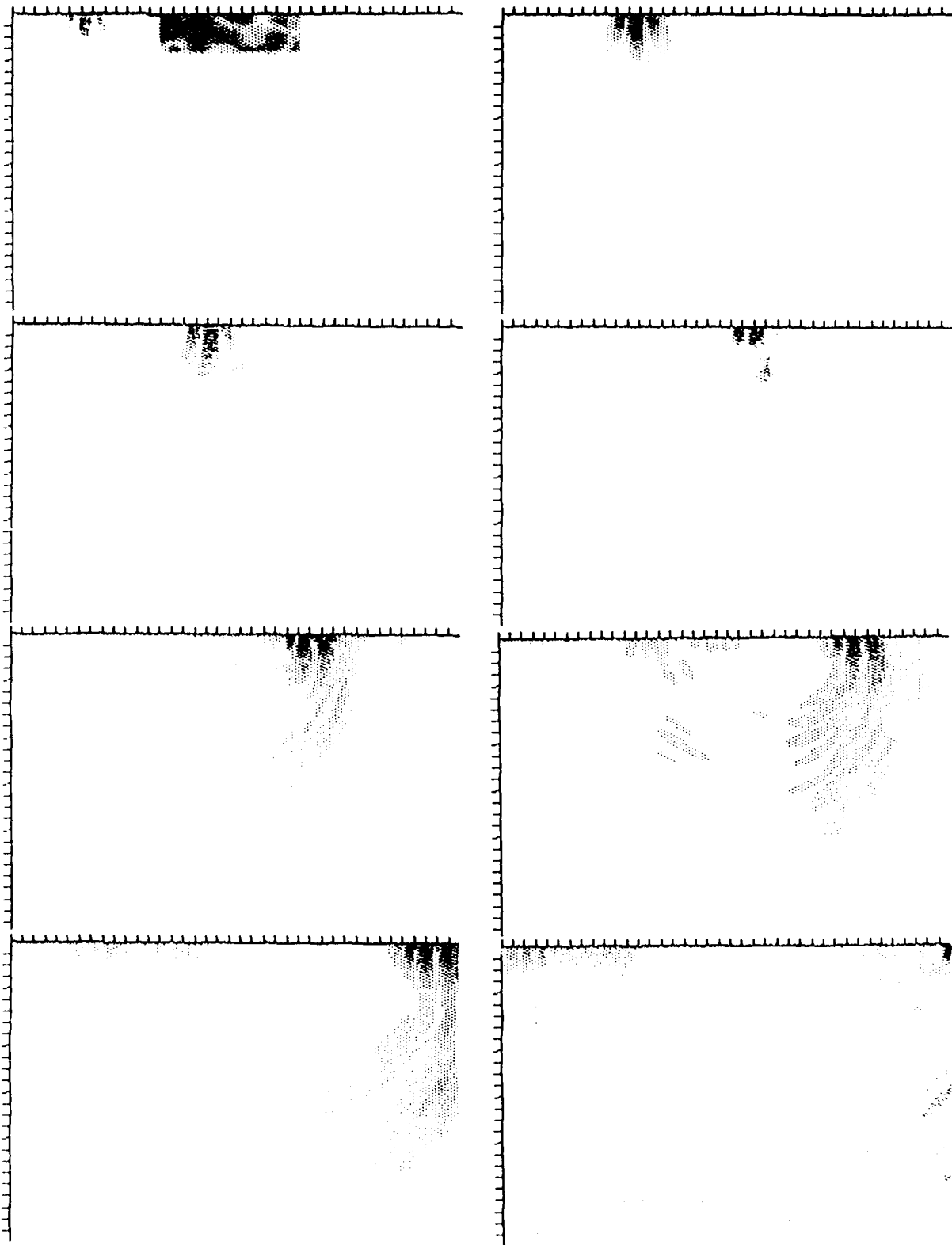


Fig. 6D

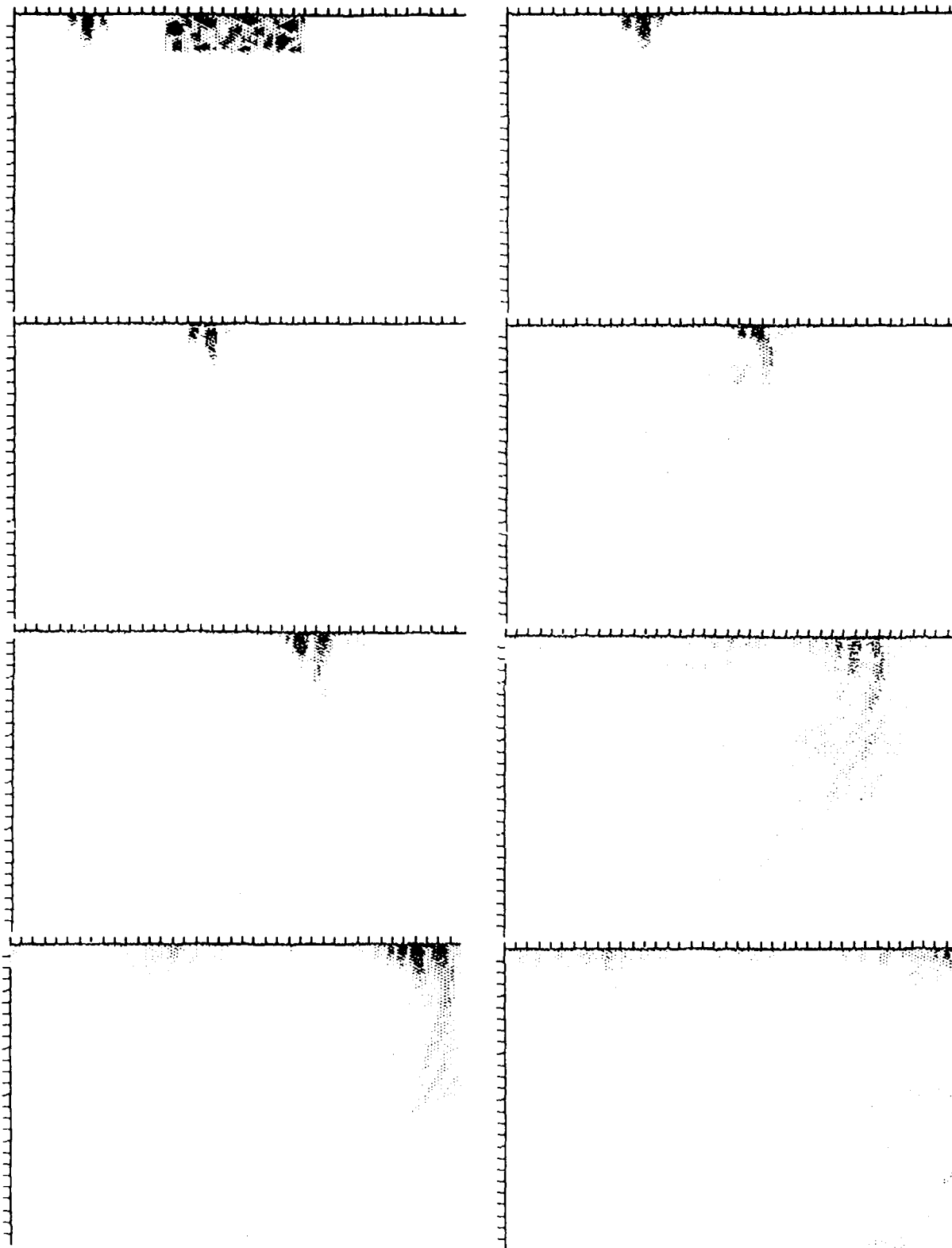


Fig.6E

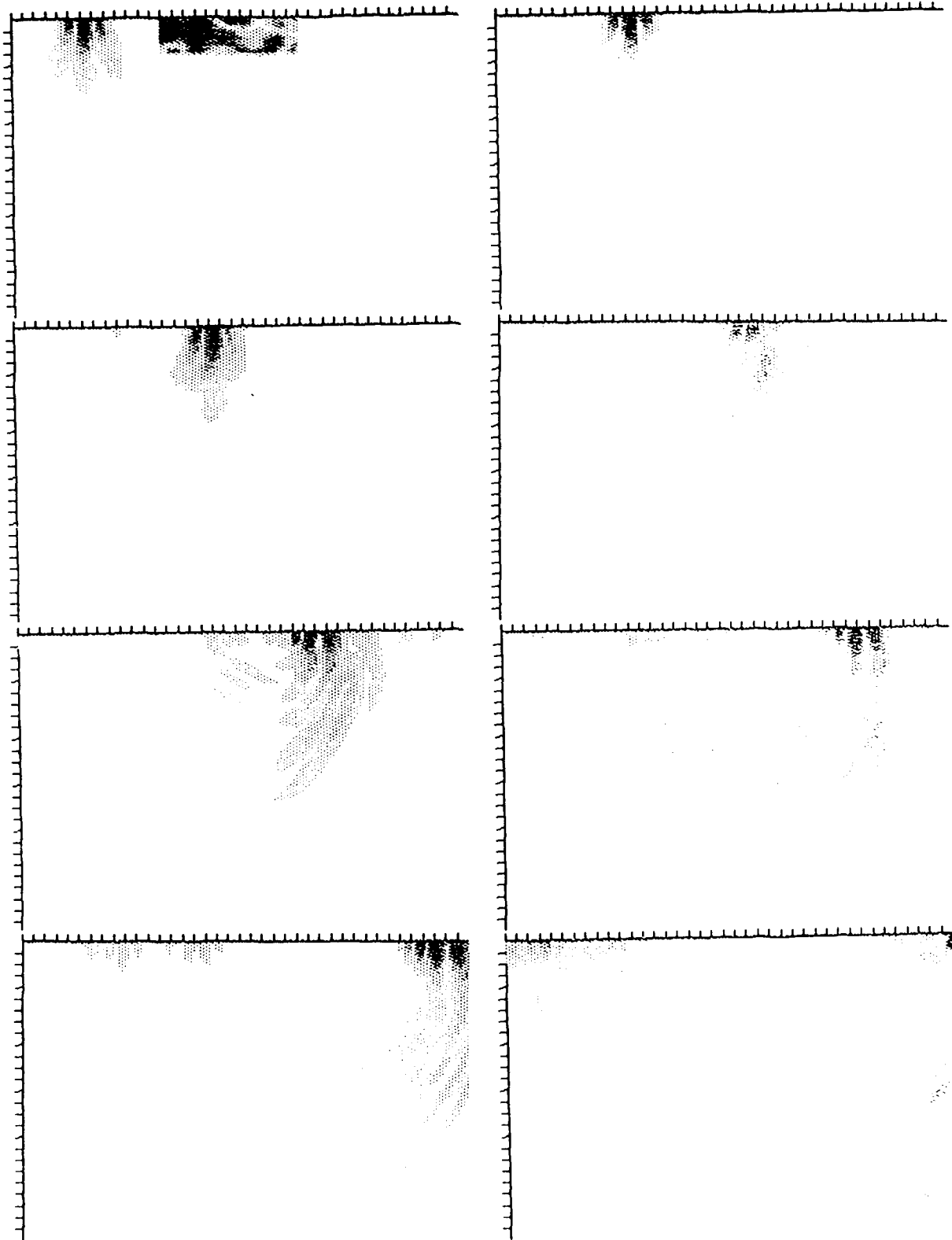


Fig.6F

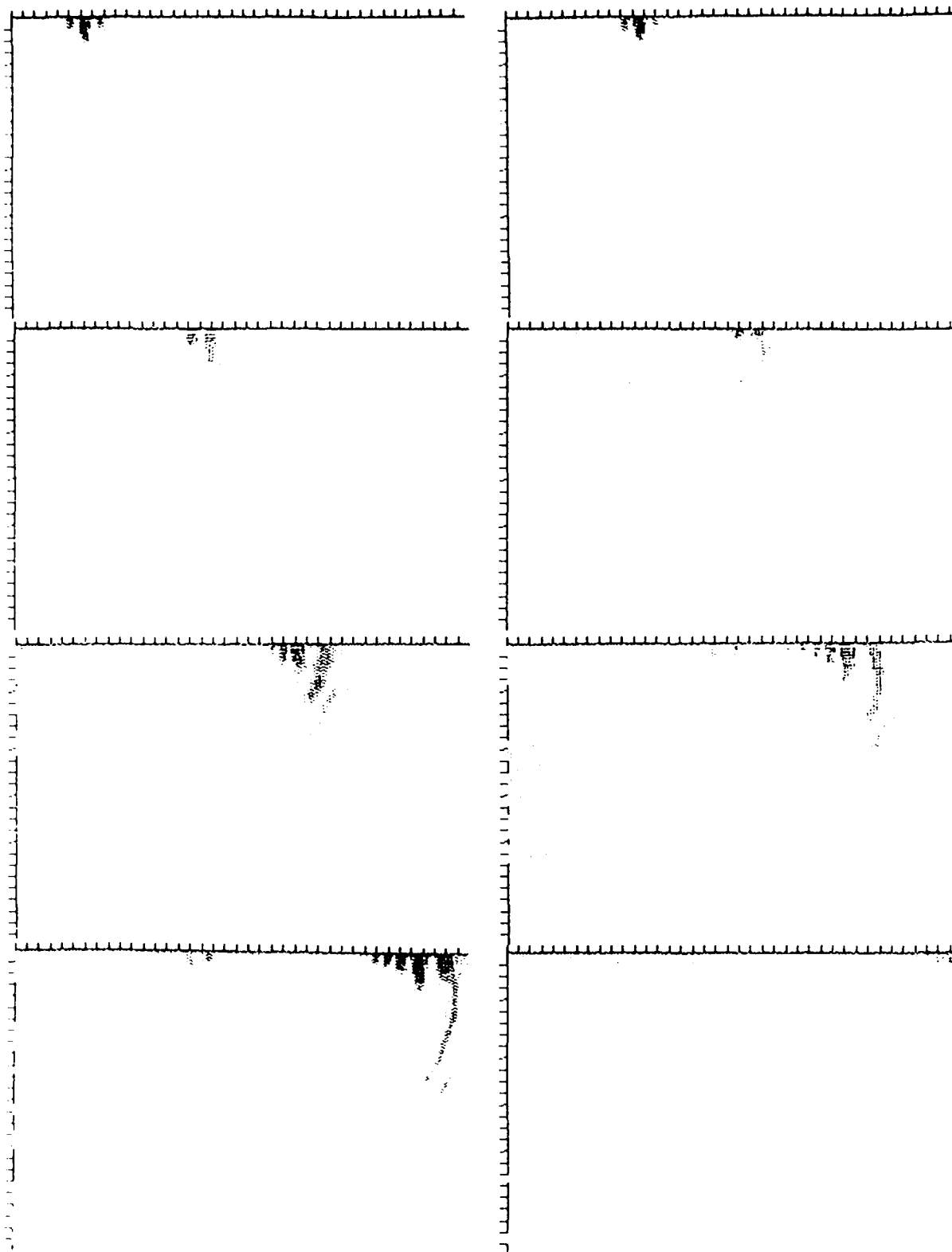


Fig.6G

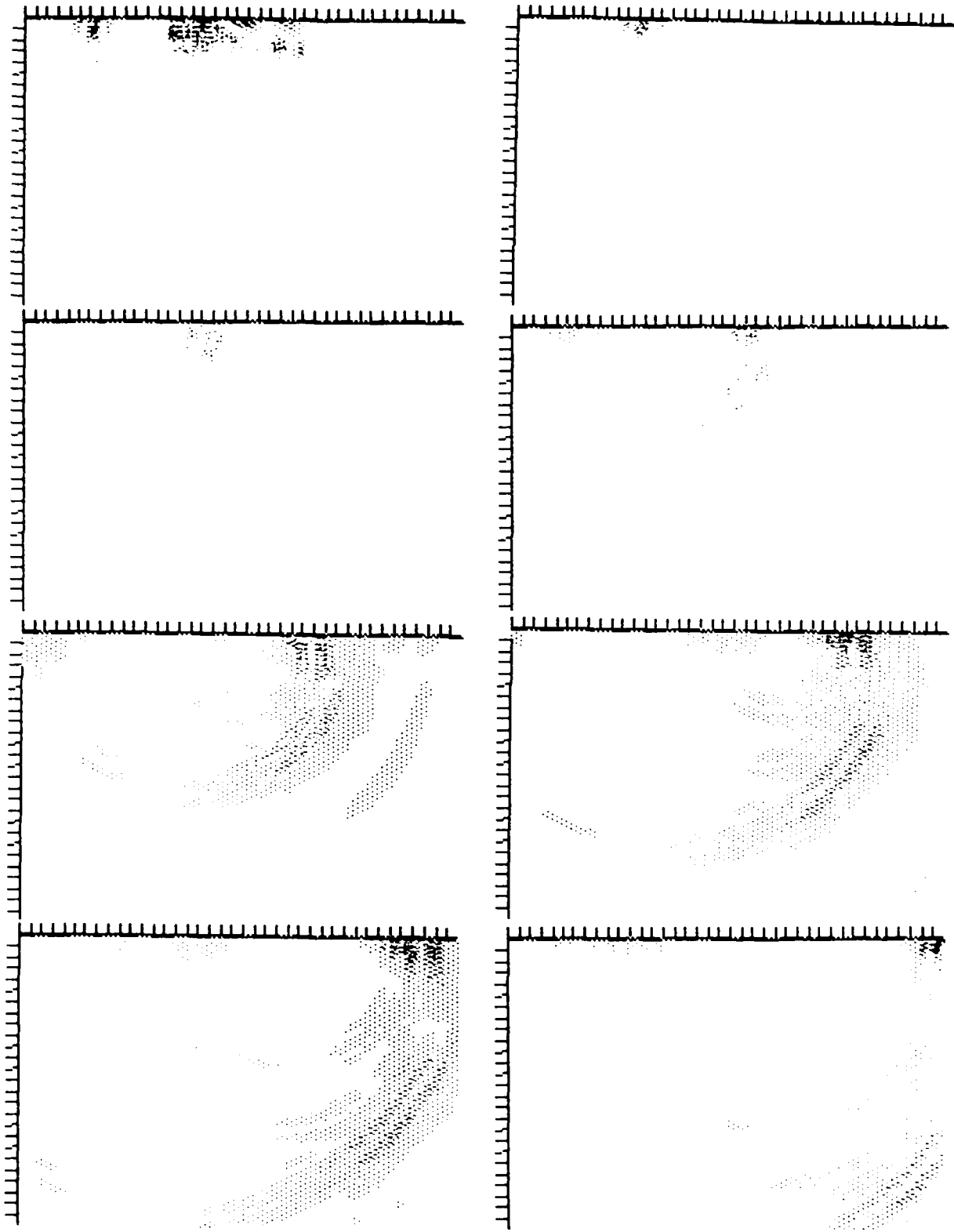


Fig.6H

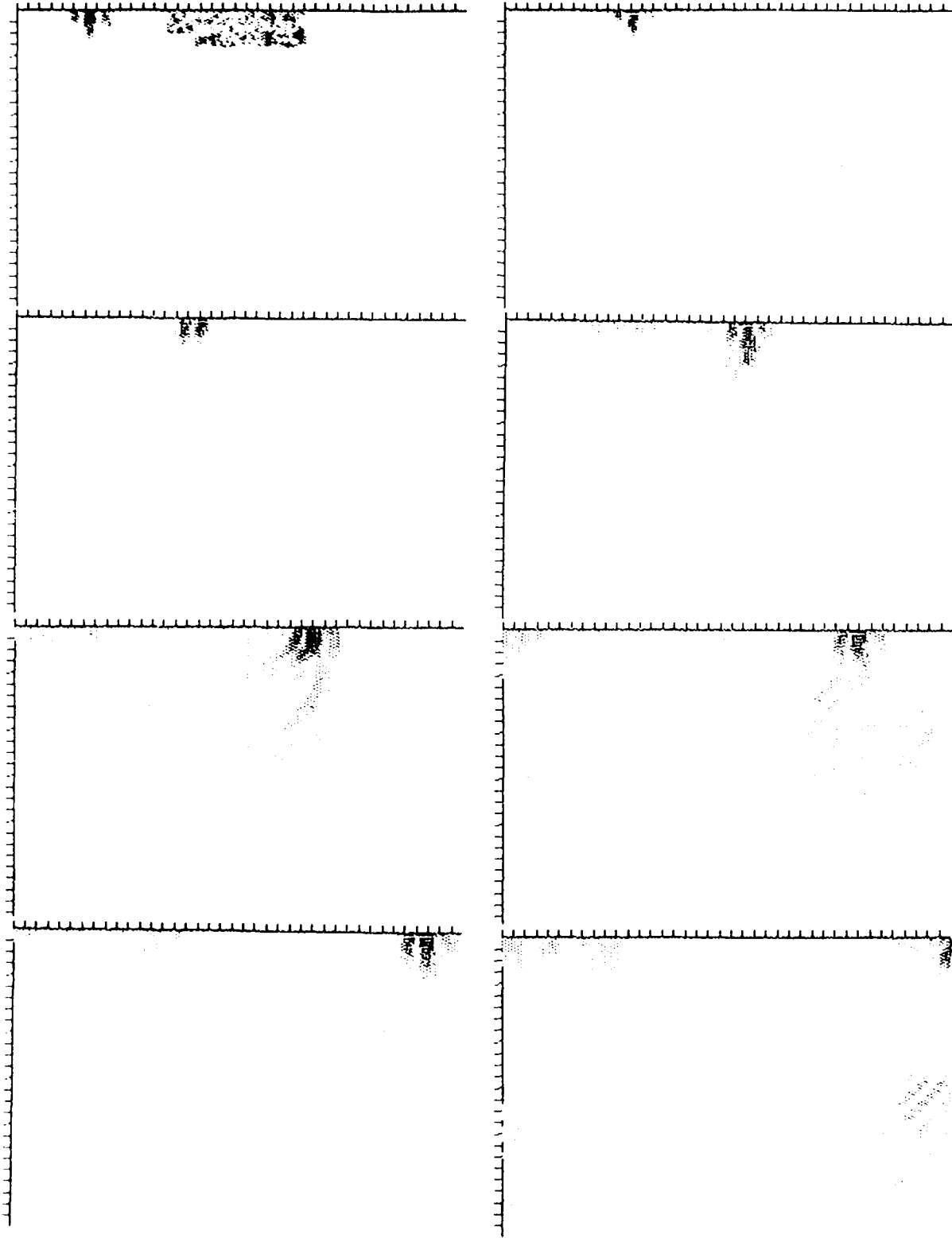


Fig.61

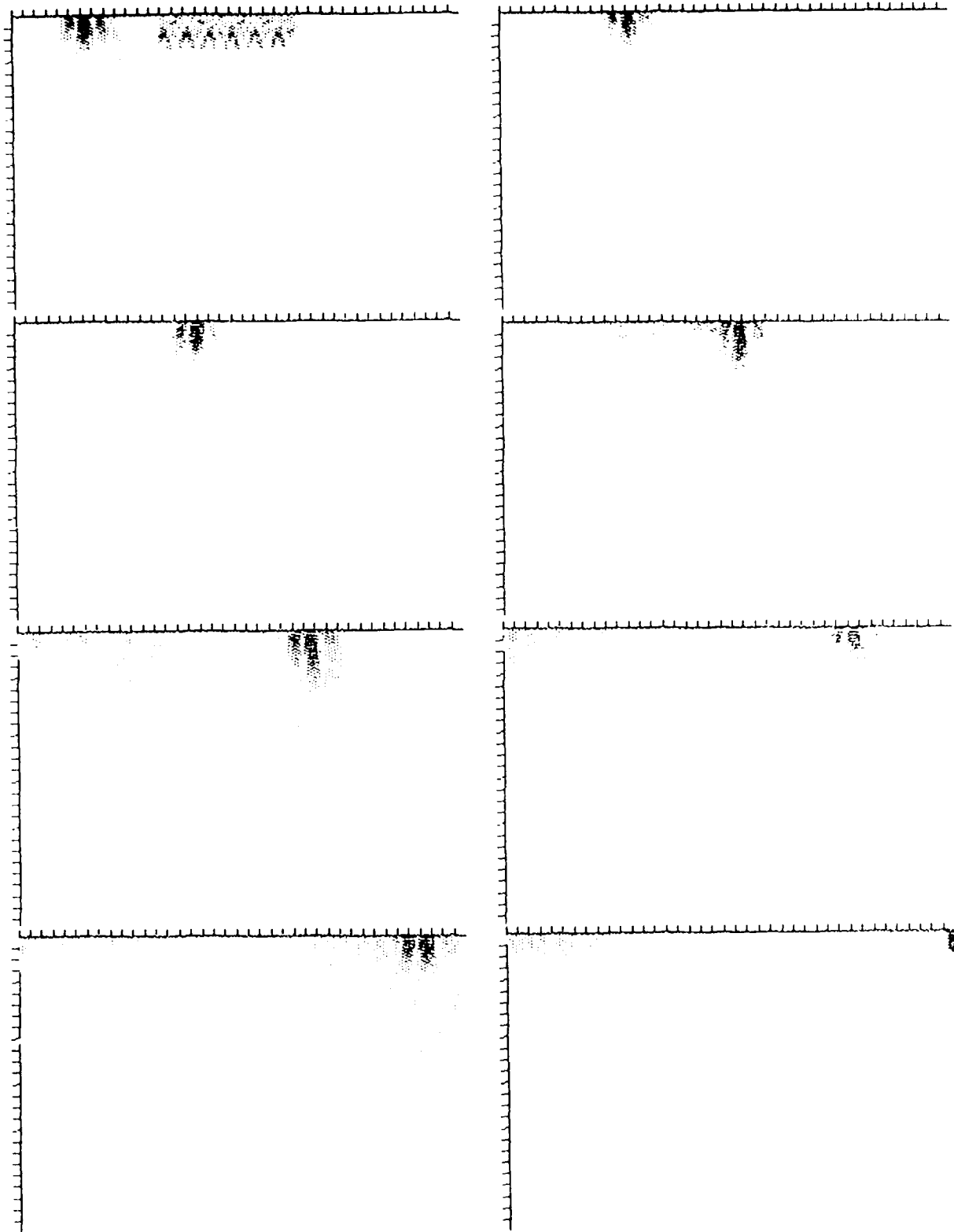


Fig.6J

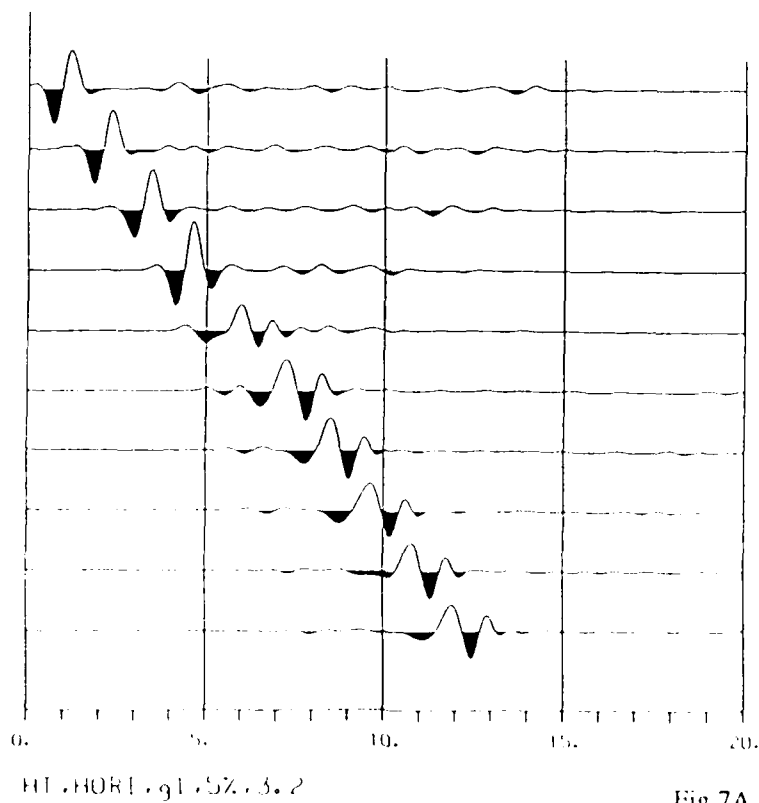
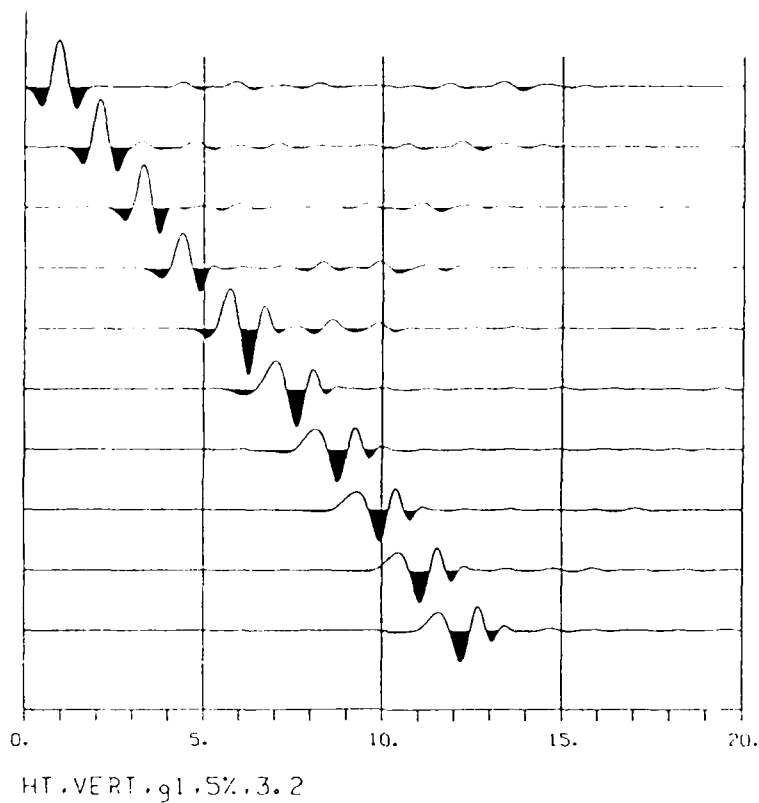
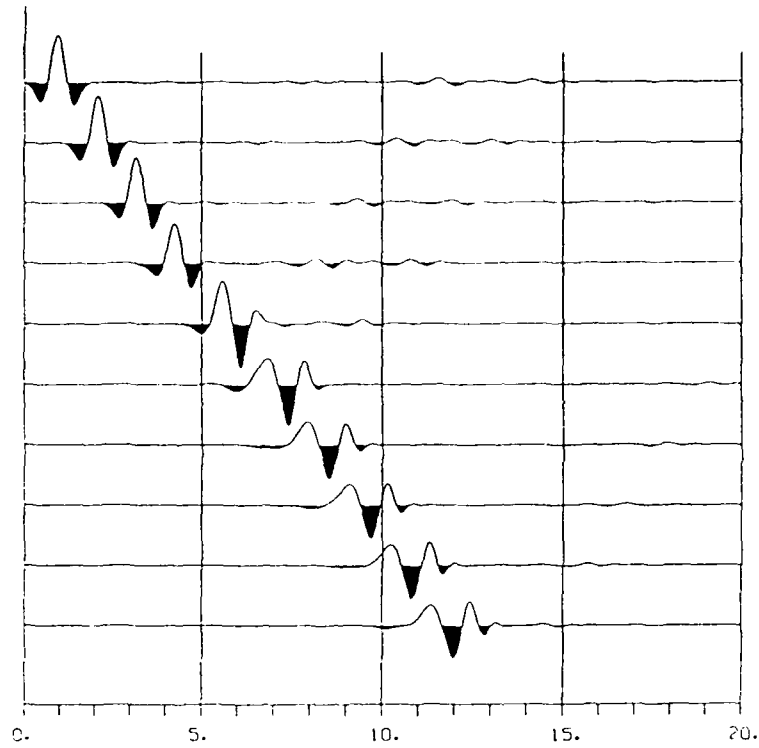
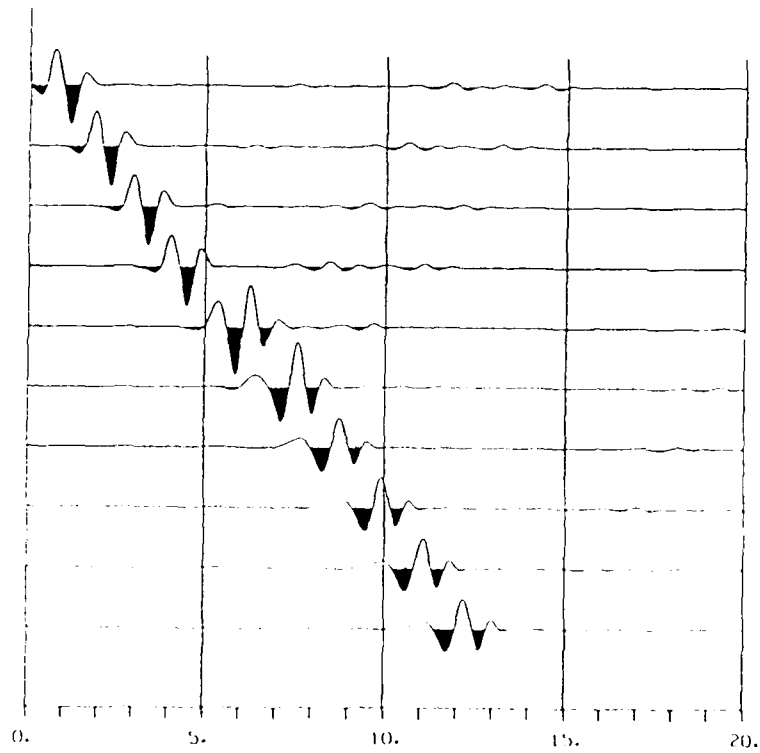


Fig. 7A

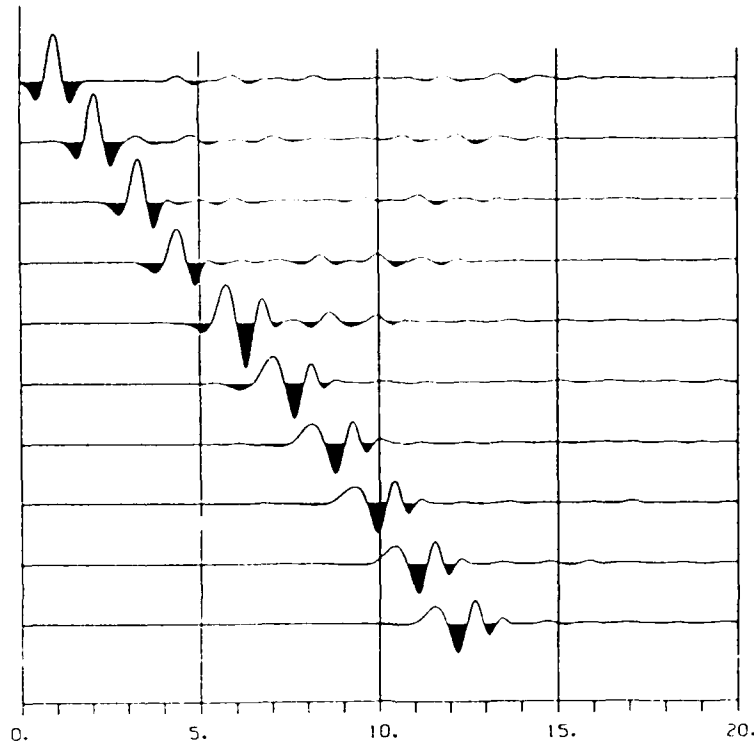


HT, VERT, g2, 5%, 3, 2

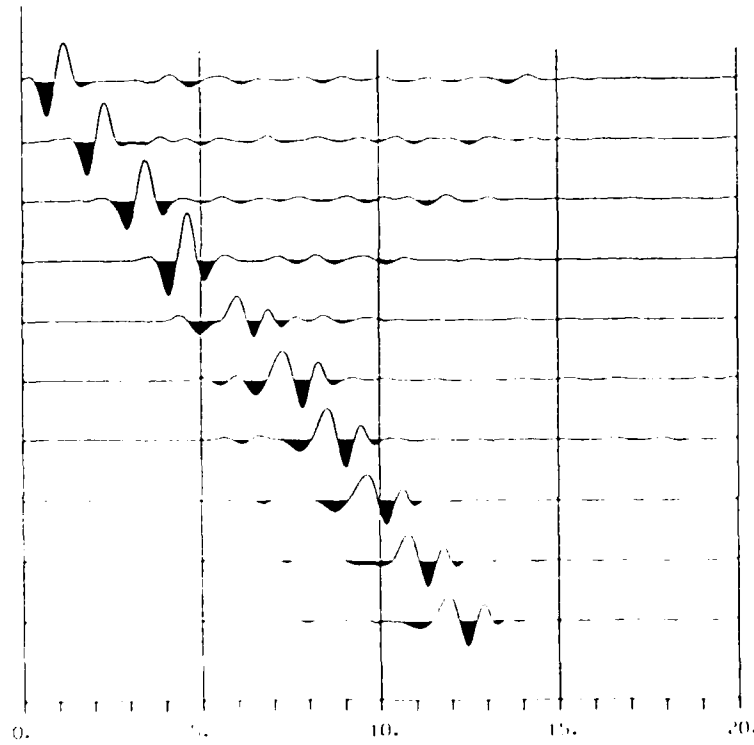


HT, ROT, g2, 5%, 3, 2

Fig. 7B

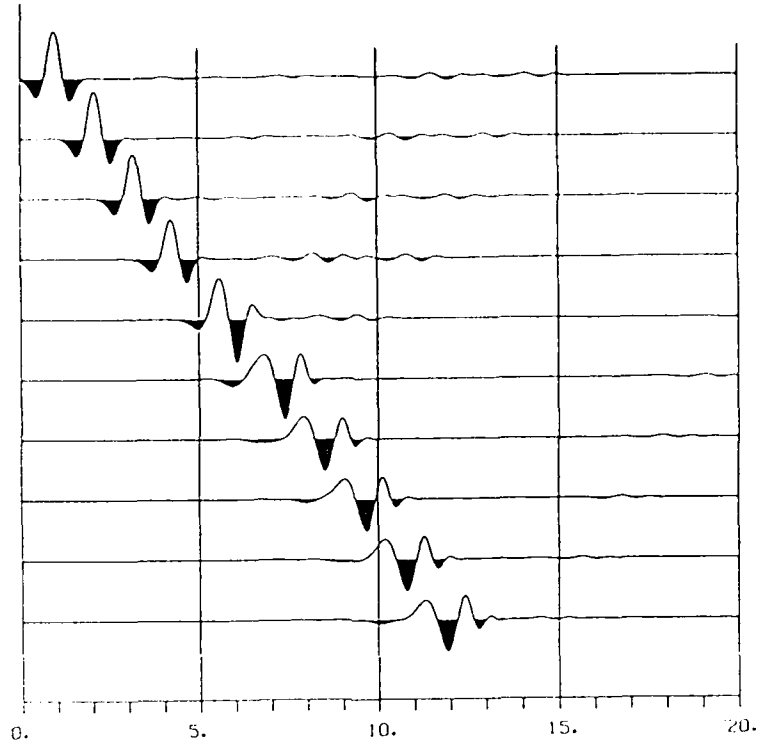


HT.VERT.g1.7%.3.2

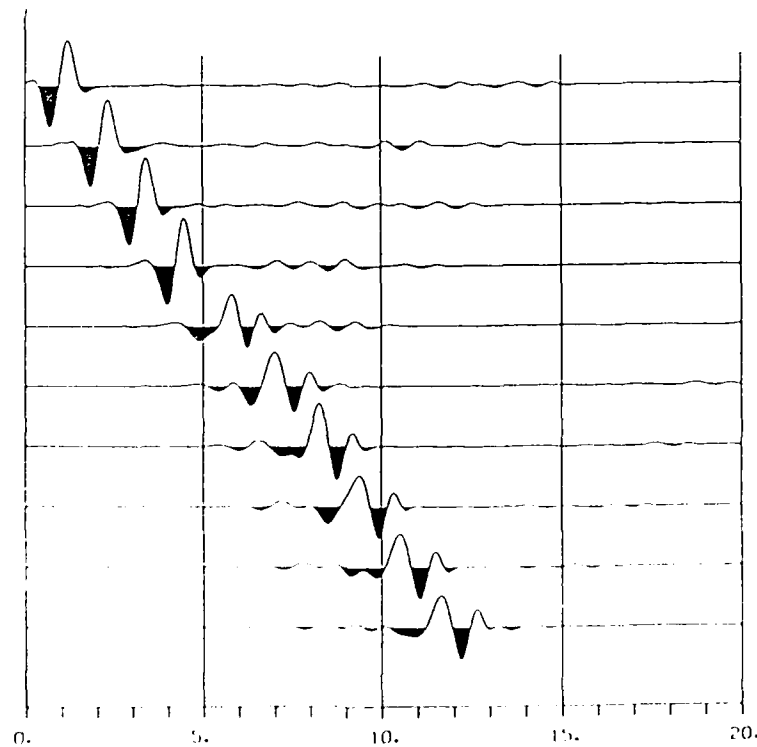


HT.HORI.g1.7%.3.2

Fig.7C

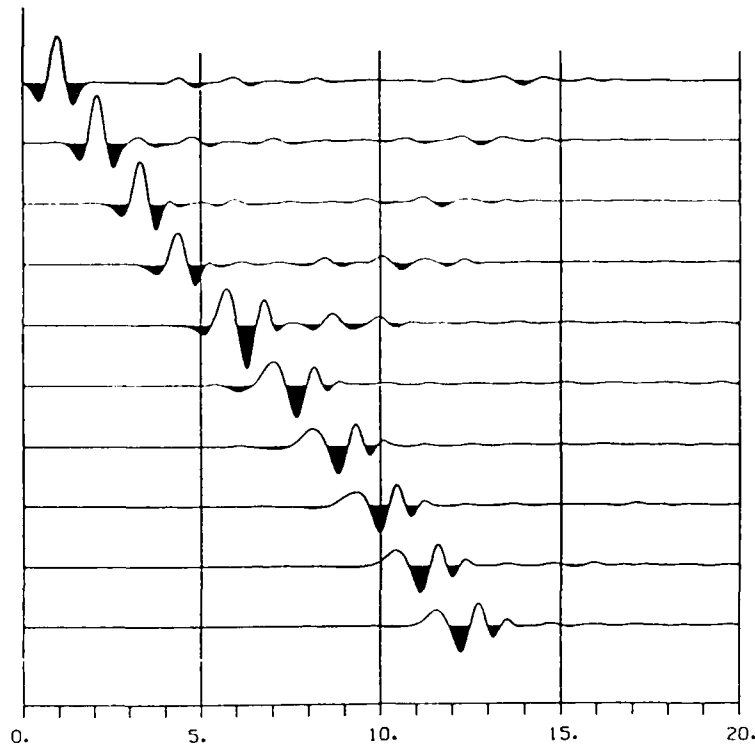


HT, VERT, 92.7%, 3.2

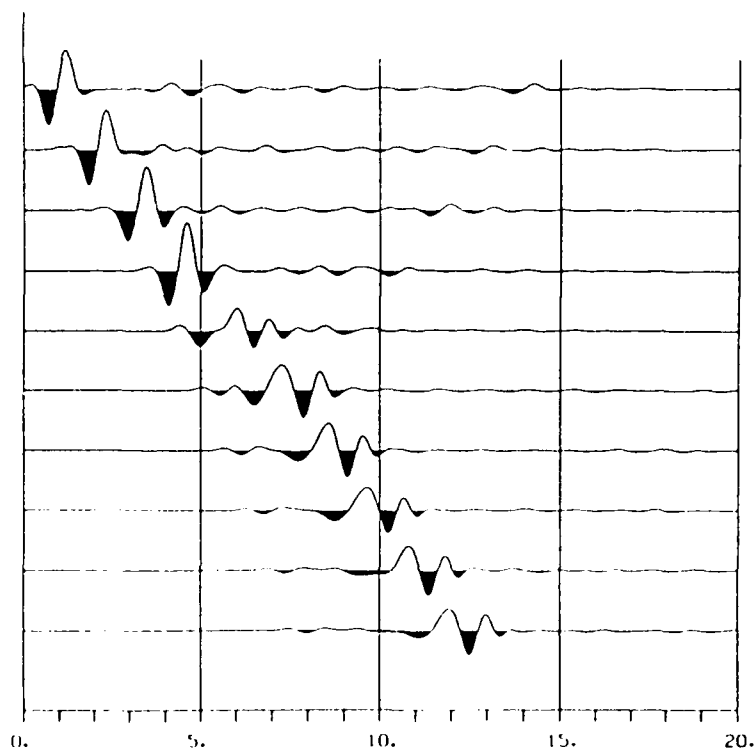


HT, HORI, 92.7%, 3.2

Fig.7D



HT, VERT, g1, 10%, 3. 2



HT, HORI, g1, 10%, 3. 2

Fig.7E

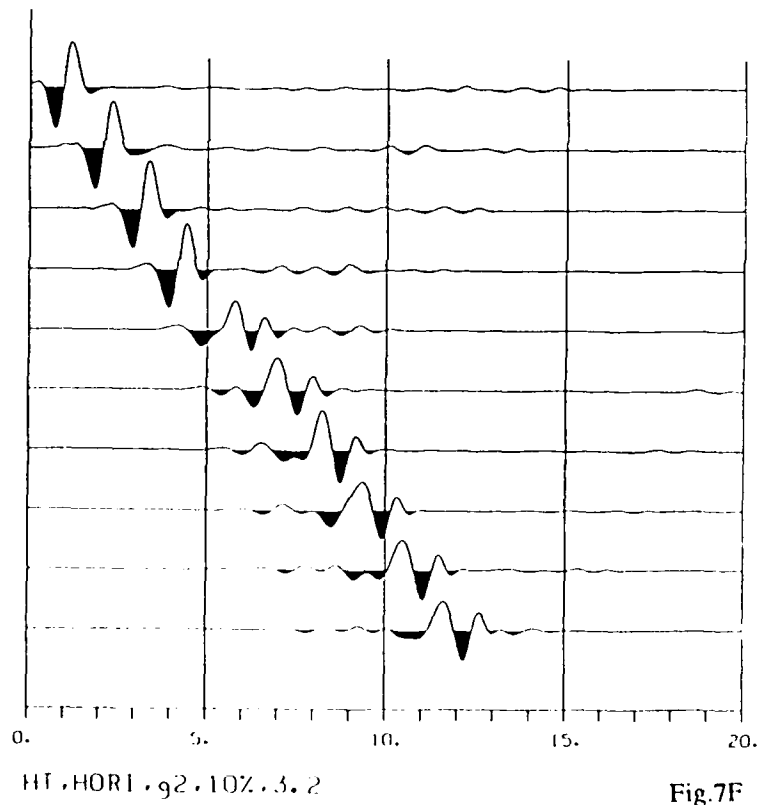
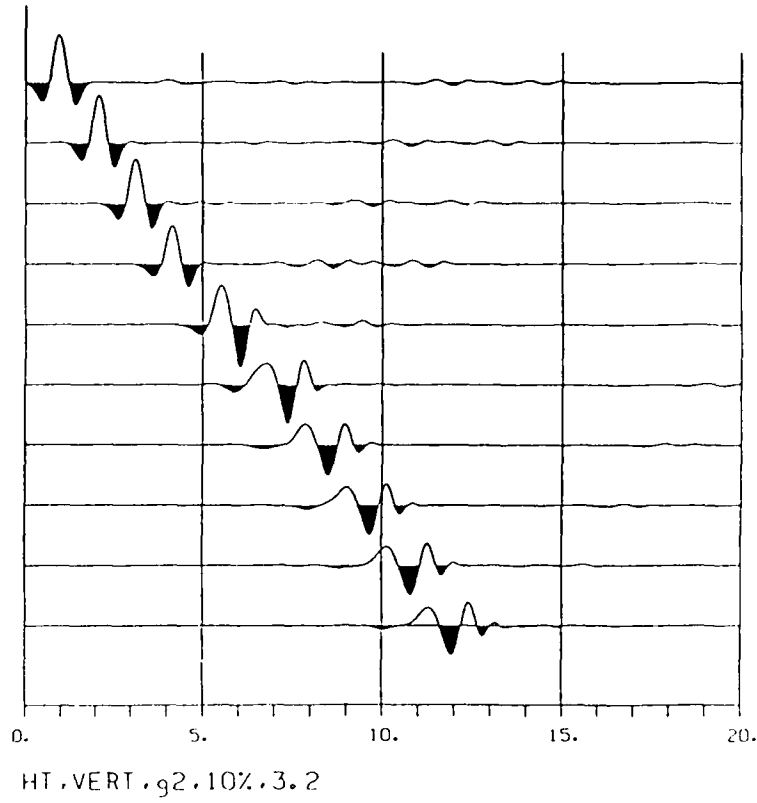


Fig. 7F

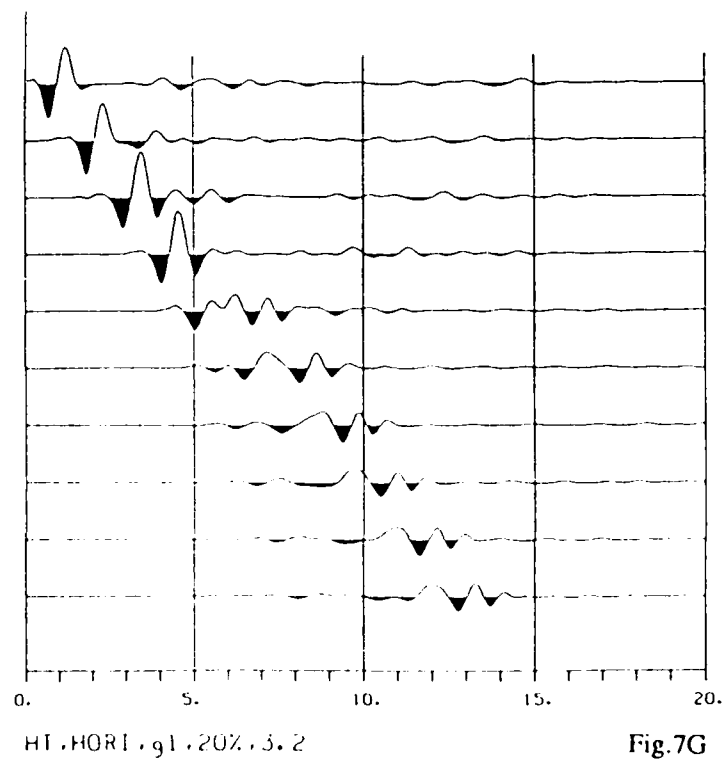
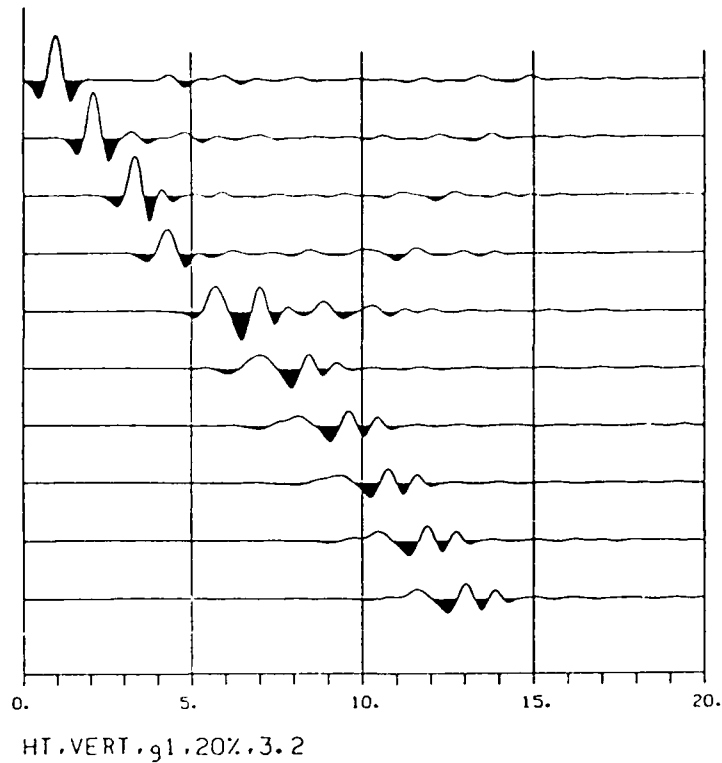
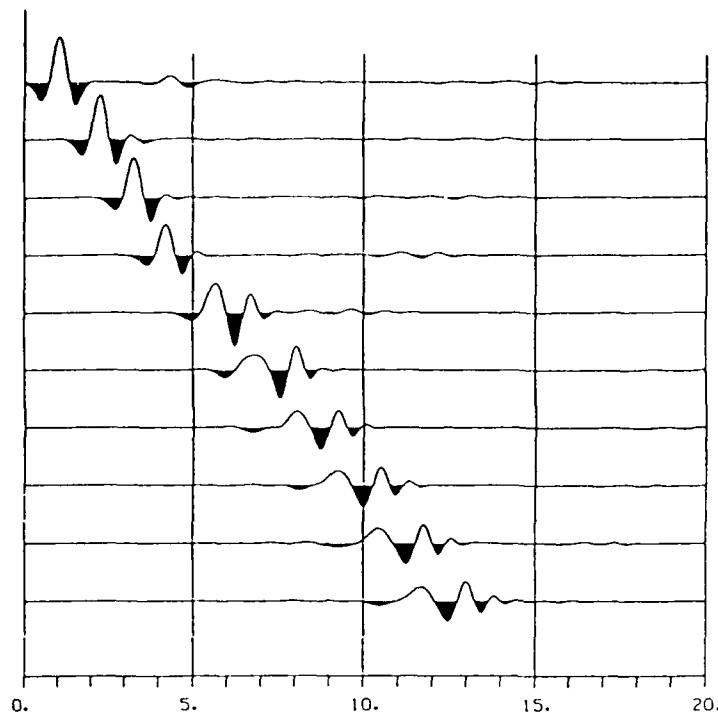
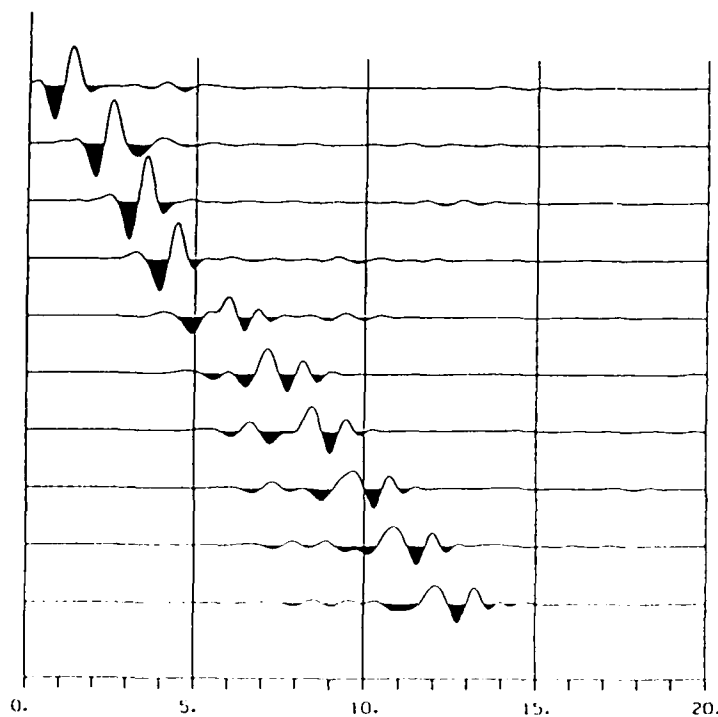


Fig.7G

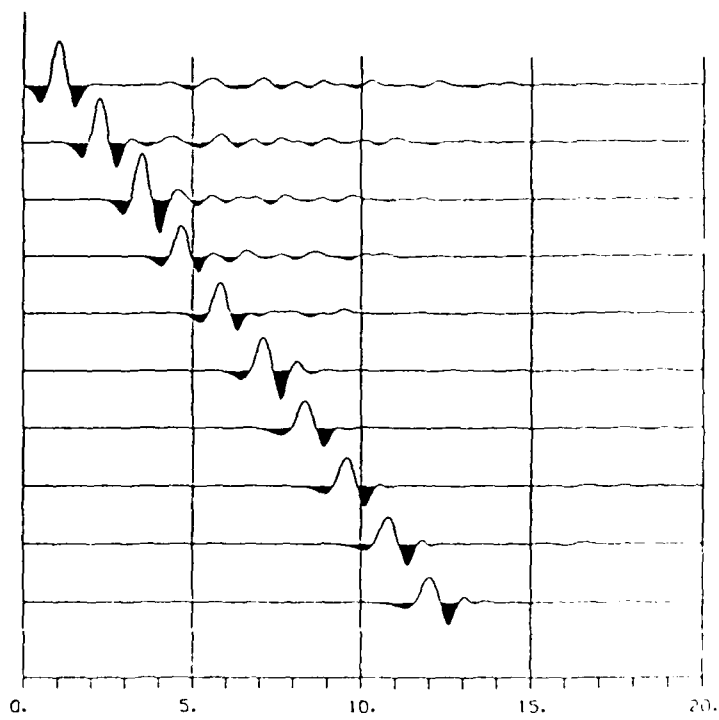


HT, VERT, a2, 20%, 3. 2

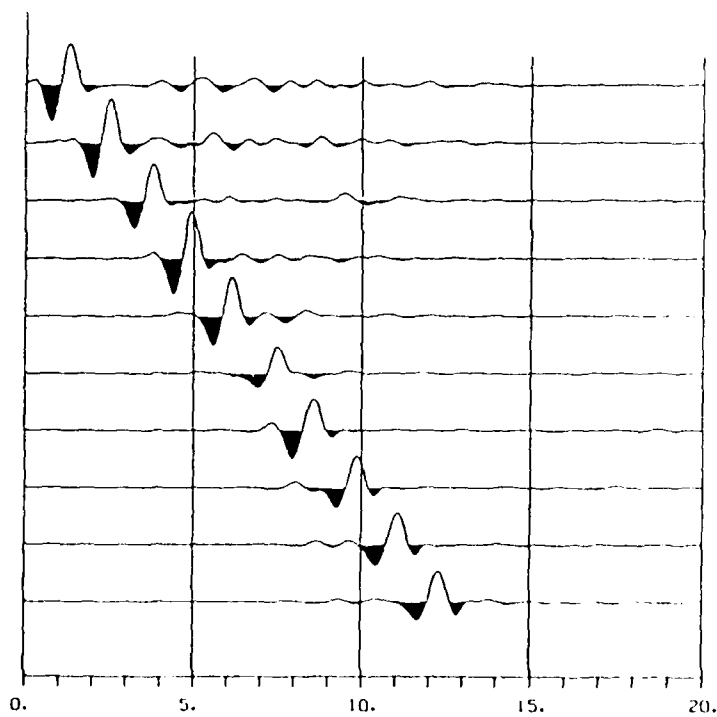


HT, HORI, a2, 20%, 3. 2

Fig.7H



HT,VERT. . . . .3.2



HT,HORI. . . . .3.2

Fig.7I

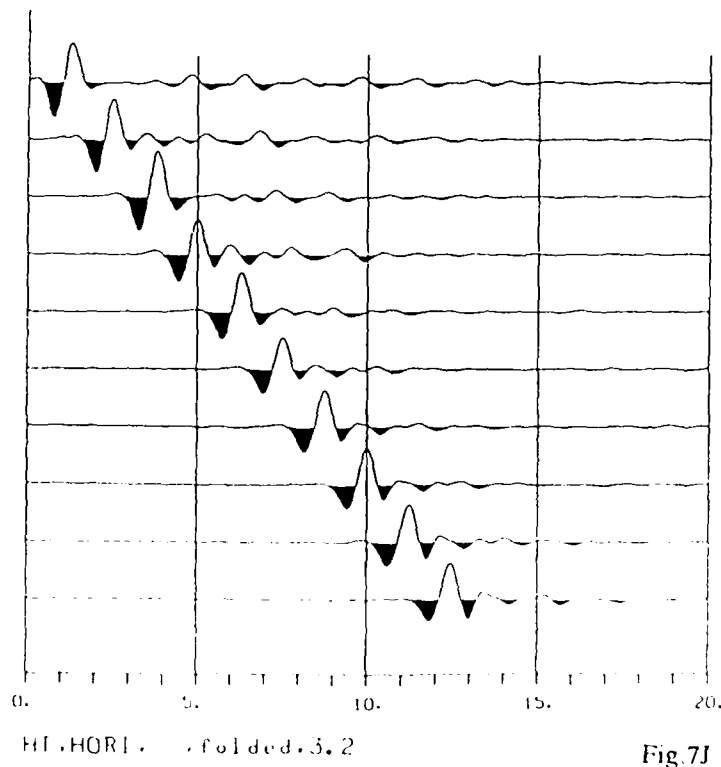
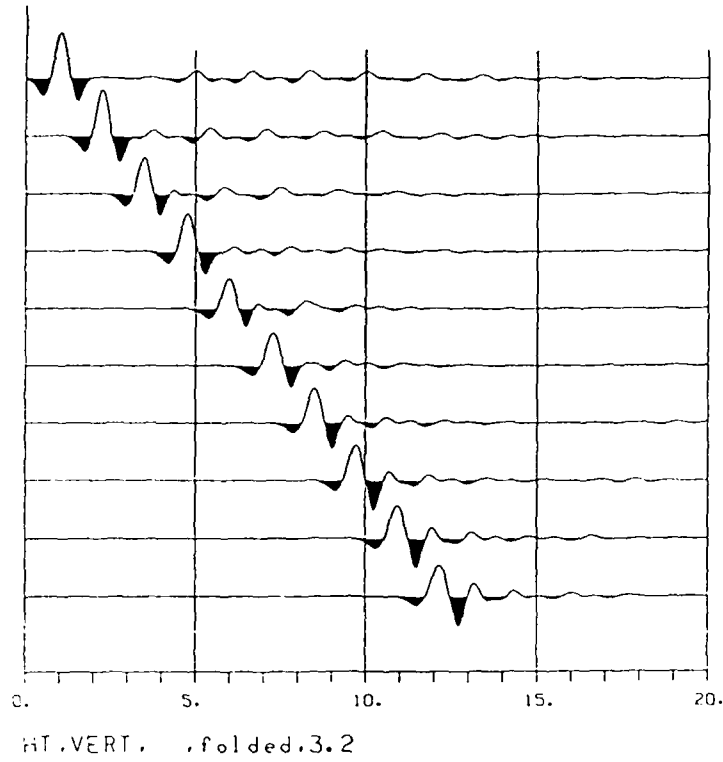


Fig 7J

-LOSS DUE TO HETEROGENEITY

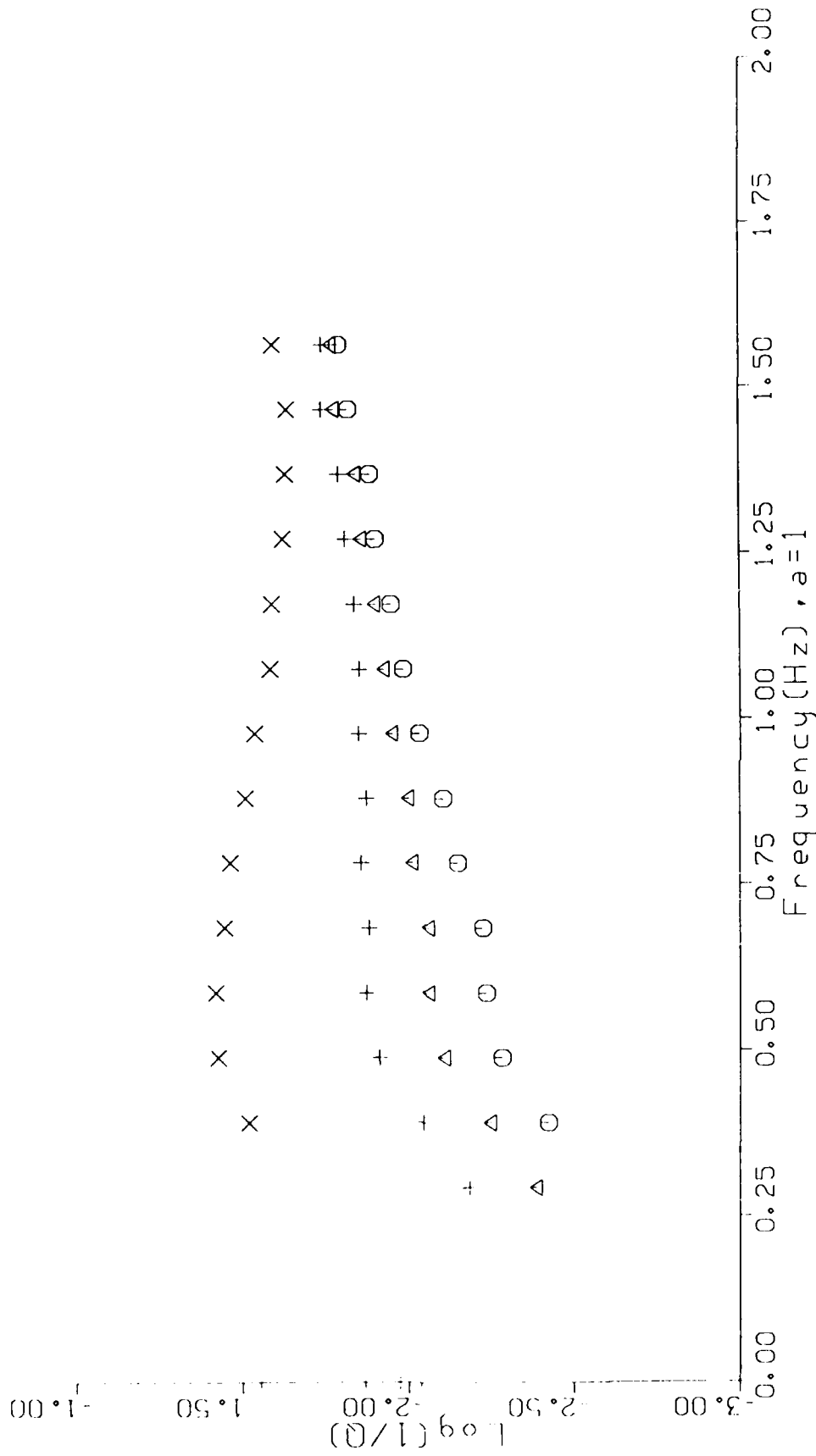


Fig.8A

LOSS DUE TO HETEROGENEITY

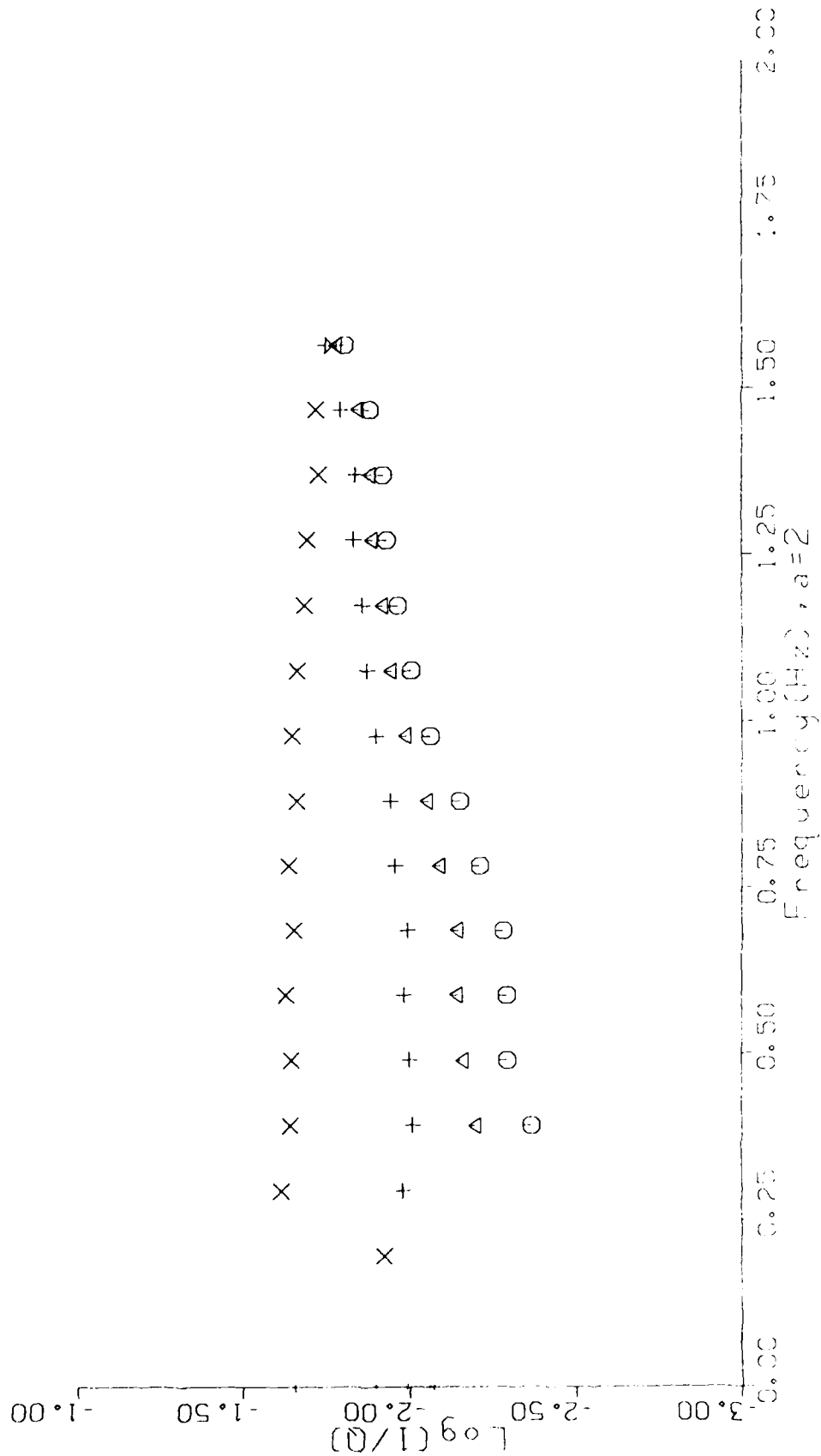


Fig. 8B

LOSS DUE TO HETEROGENEITY

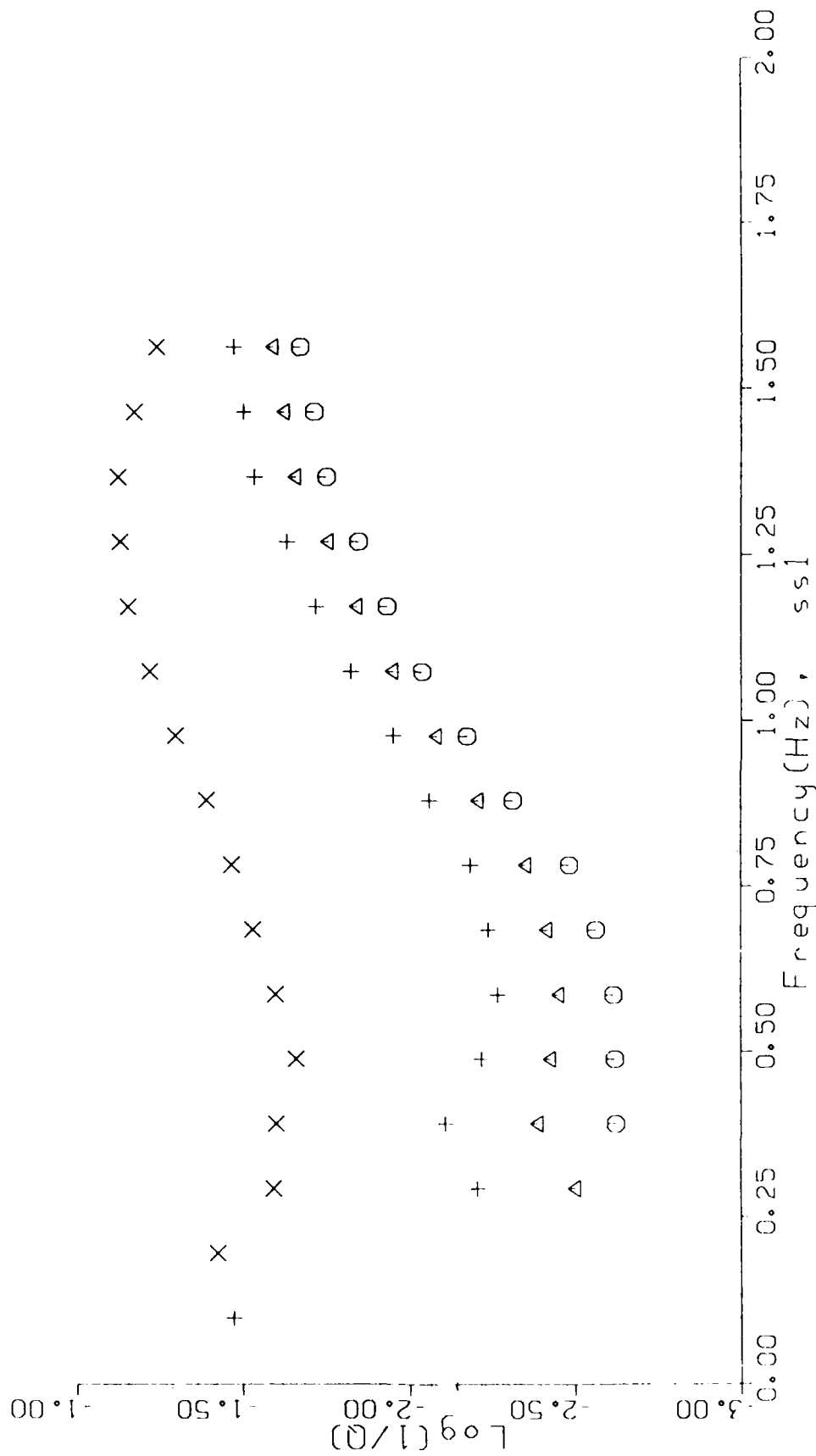


Fig.8C

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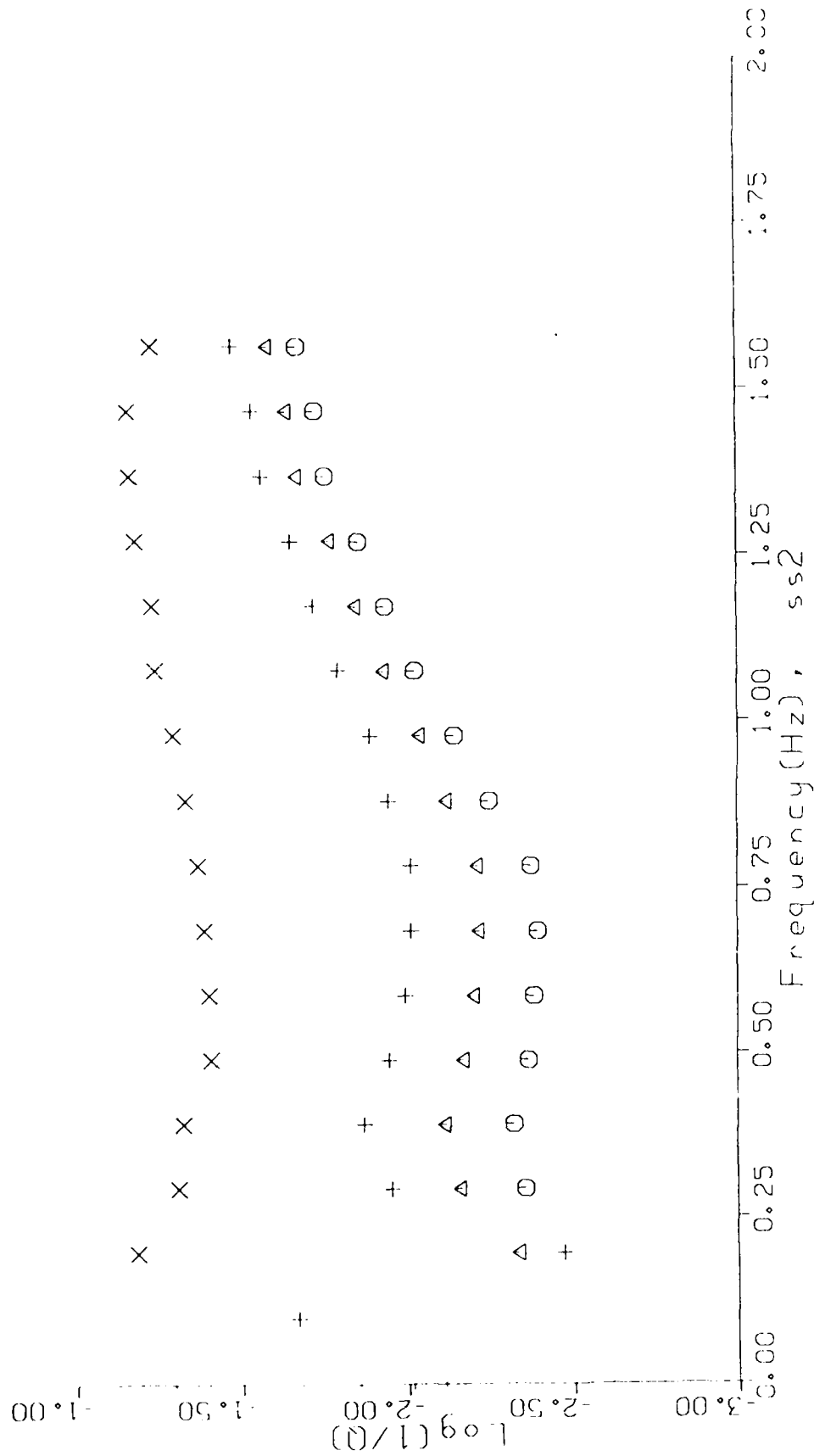


Fig.8D

LOSS DUE TO HETEROGENEITY

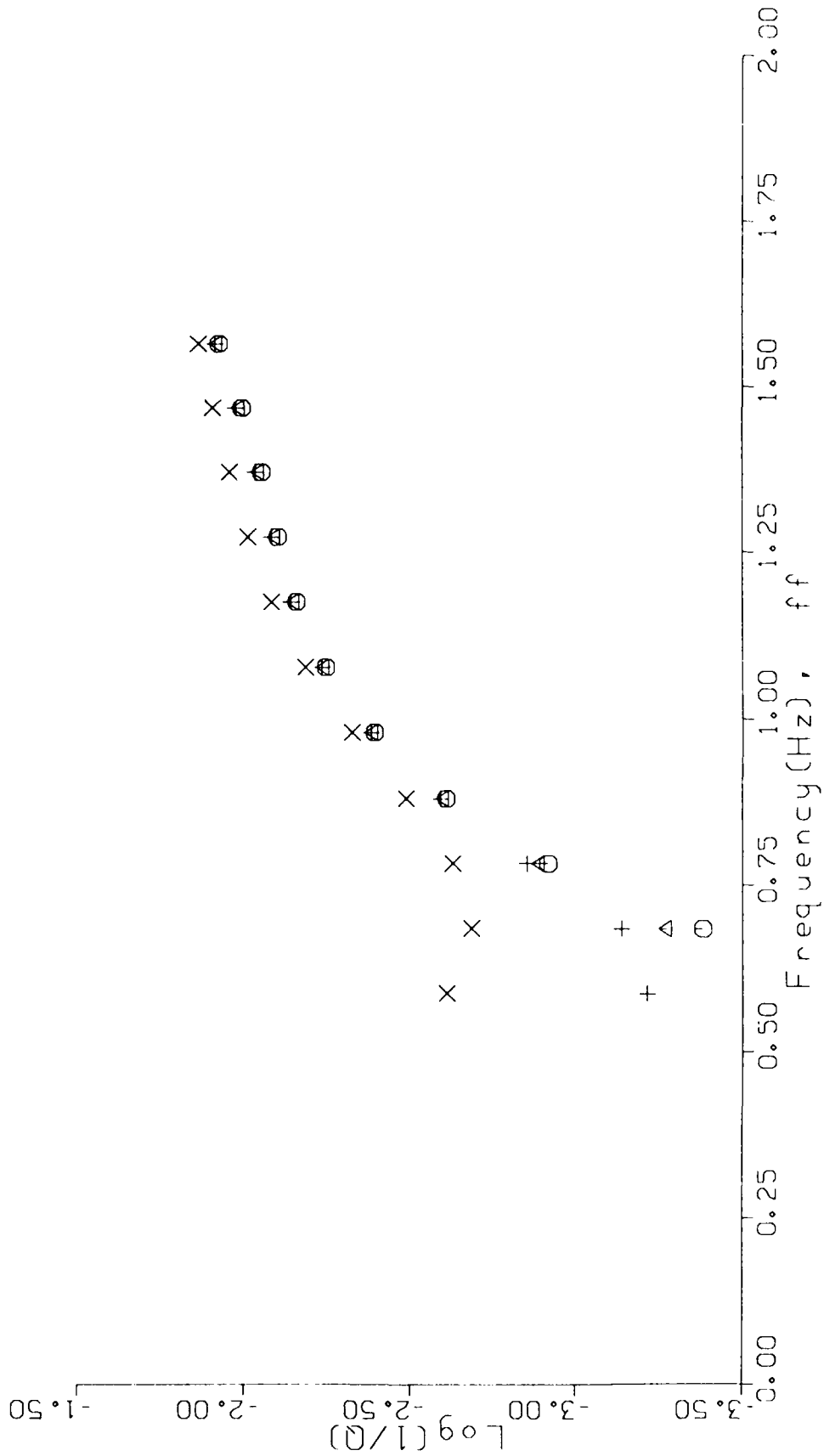


Fig.8E

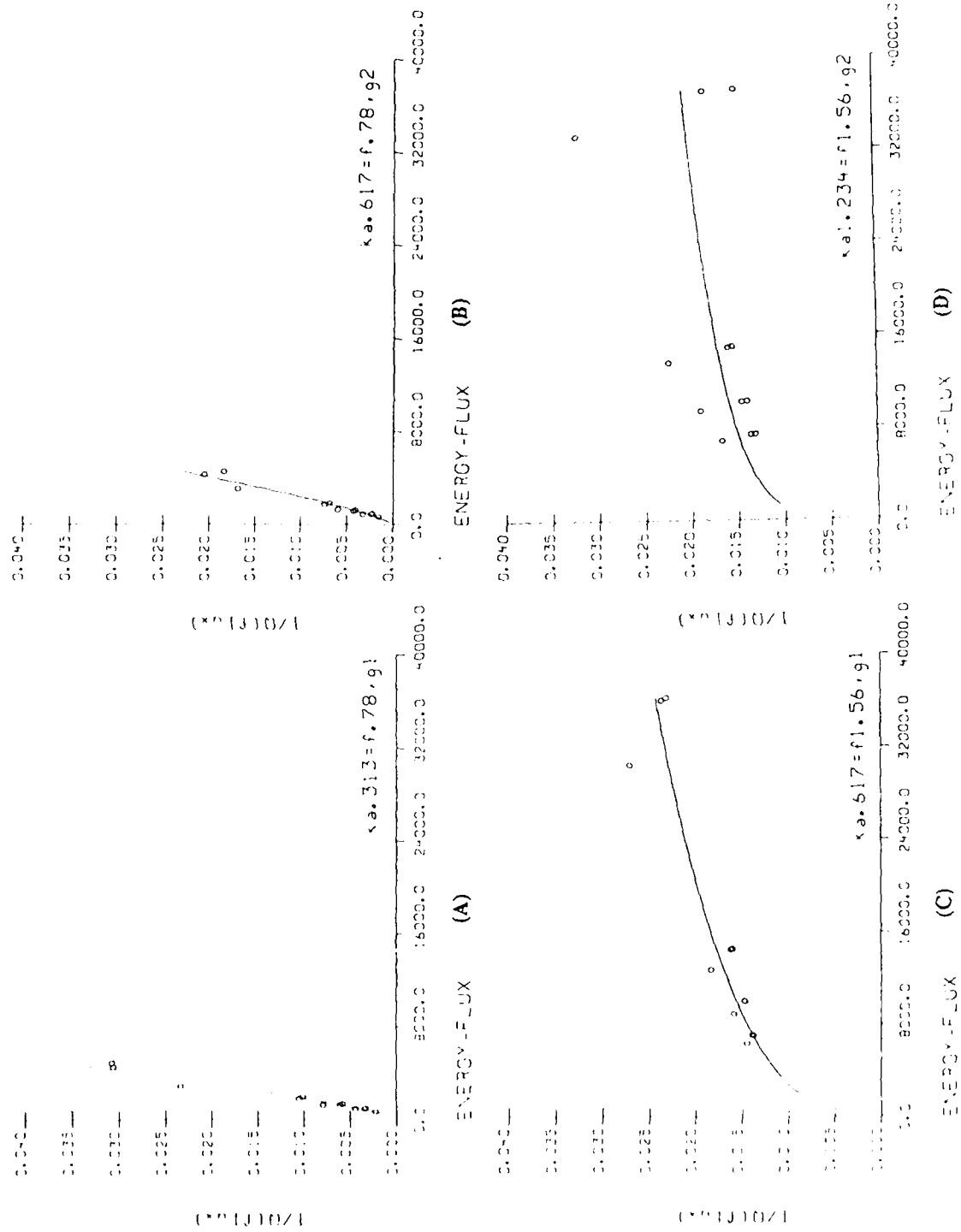
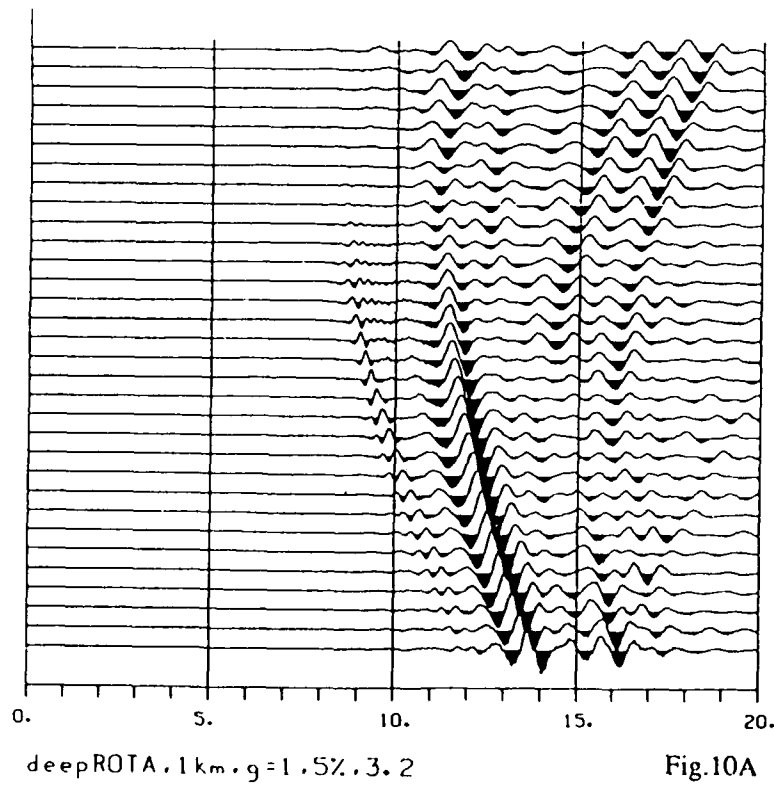
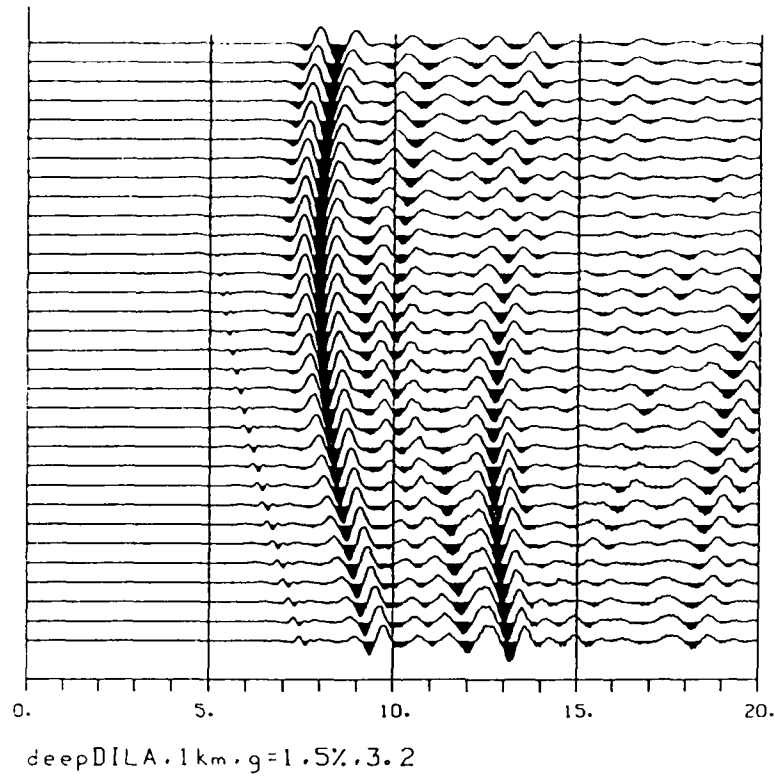


Fig.9



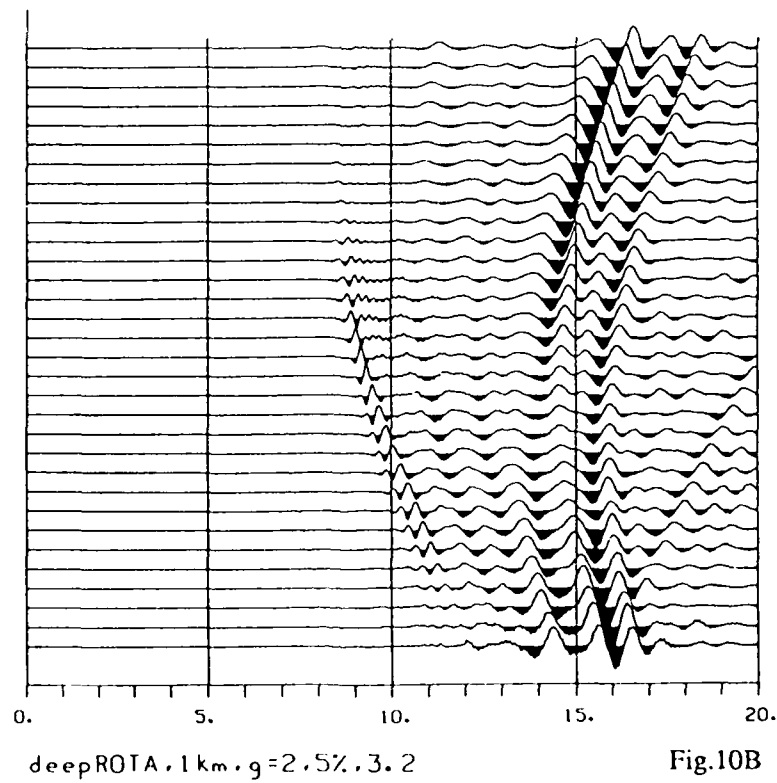
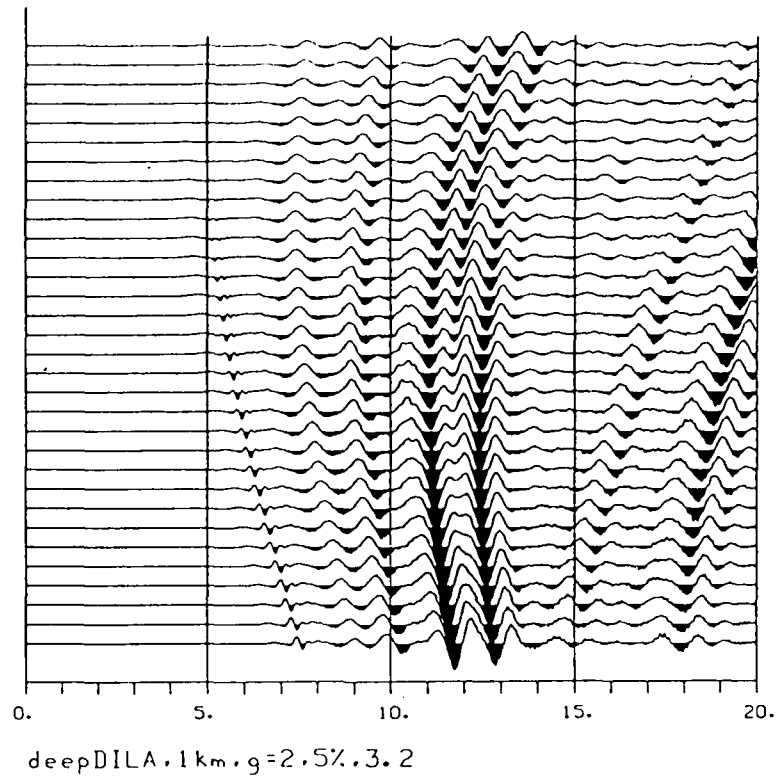
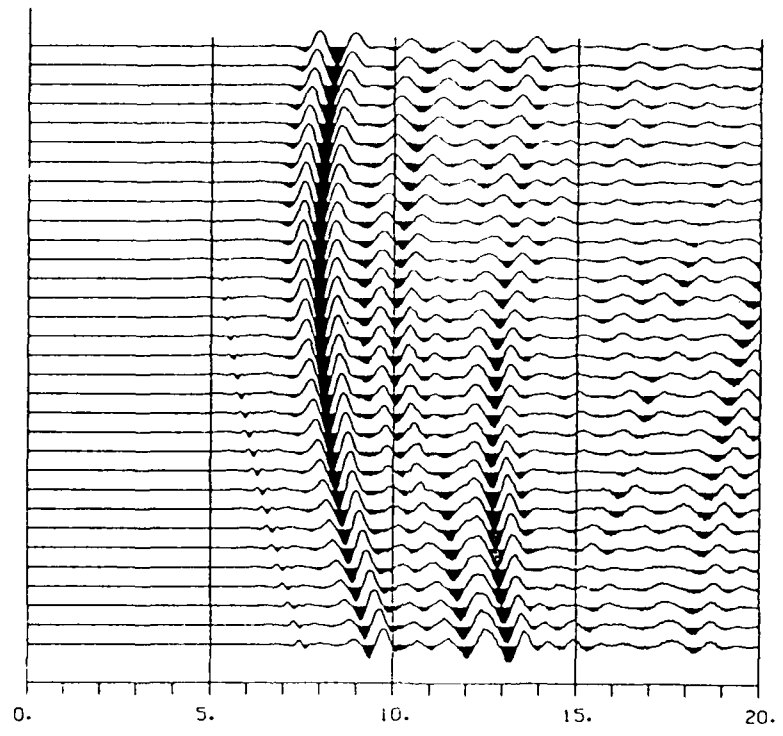
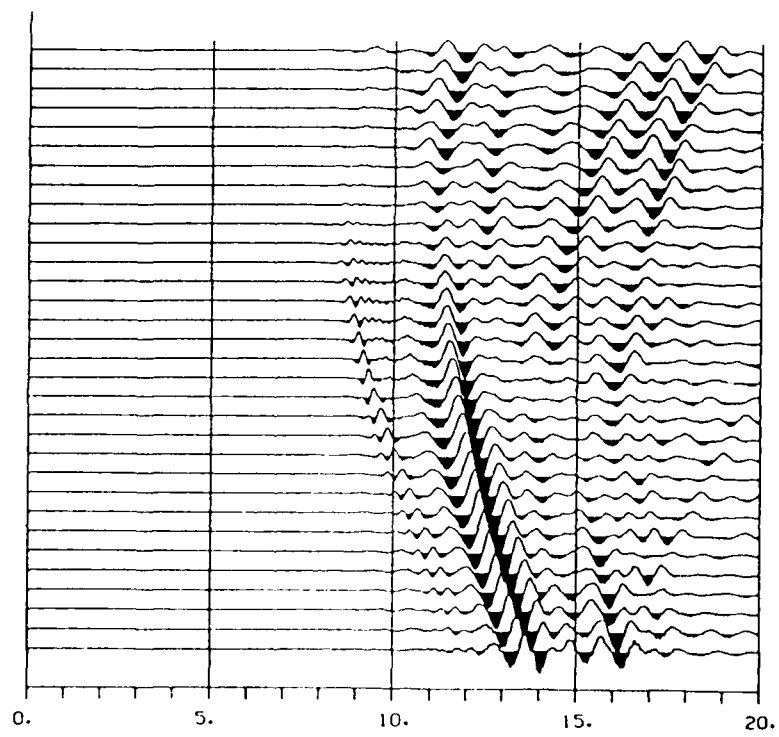


Fig.10B

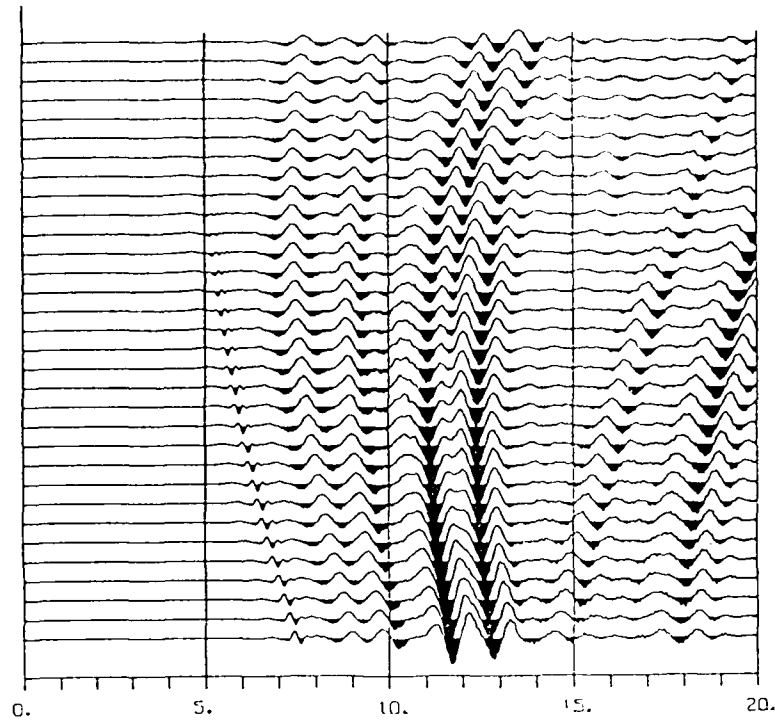


deepDILA.1km.g=1.7%.3.2

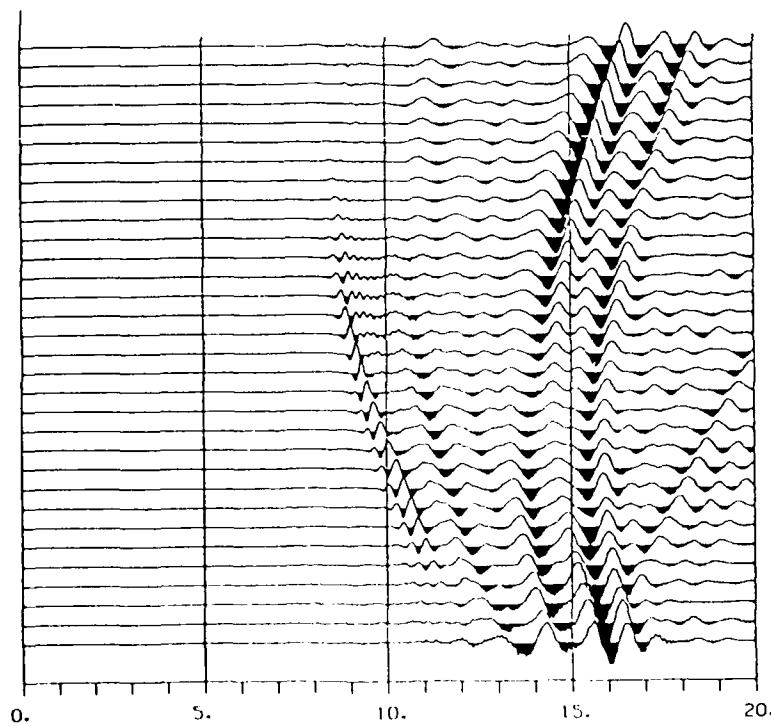


deepROTA.1km.g=1.7%.3.2

Fig.10C

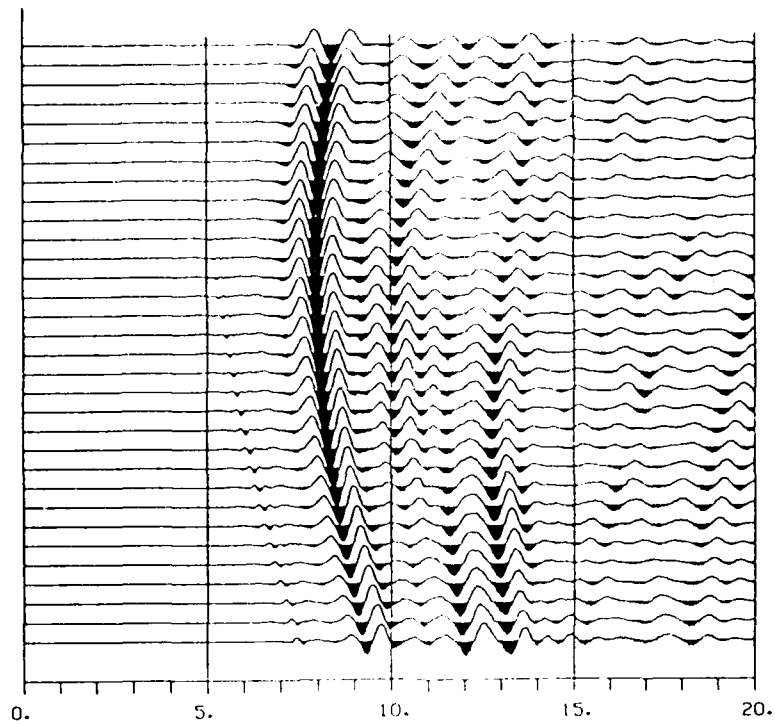


deepDILA, 1 km,  $g=2.7\%$ , 3.2

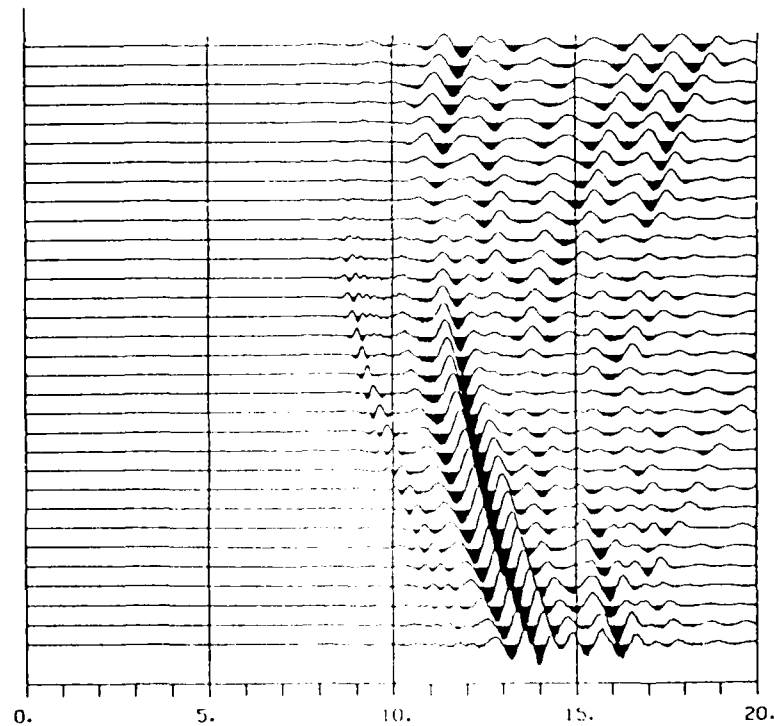


deepROTA, 1 km,  $g=2.7\%$ , 3.2

Fig.10D

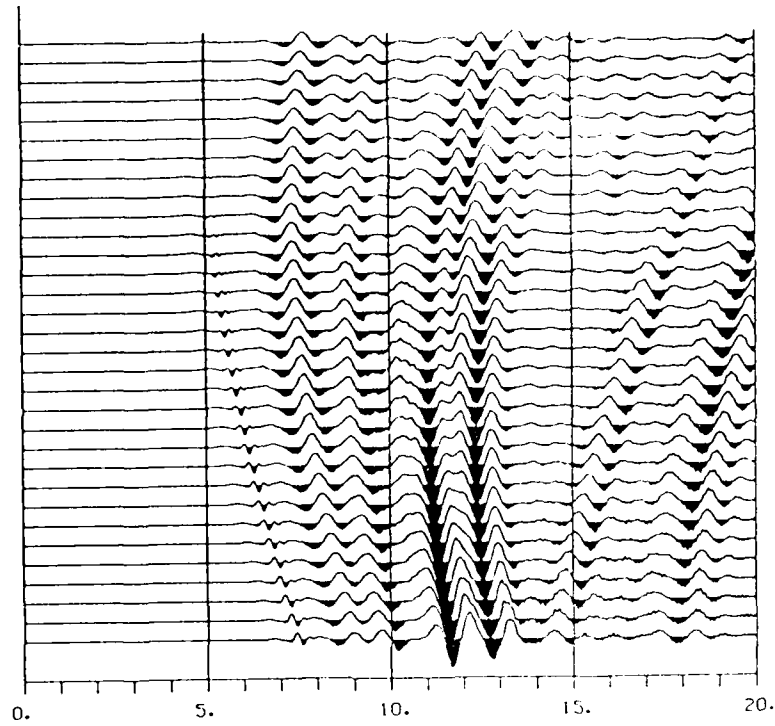


deepDILA . 1 km . g = 1 . 10% . 3 . 2

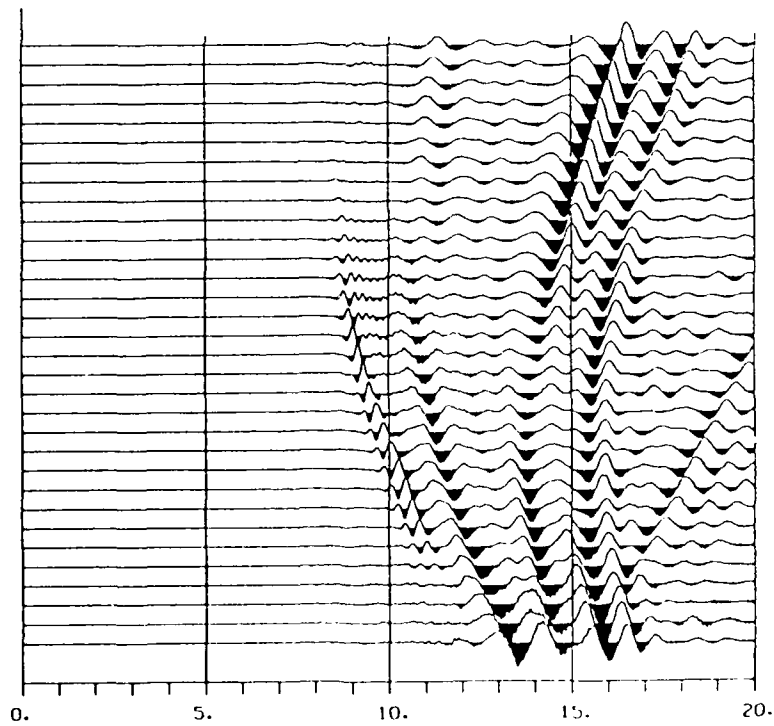


deepROTA . 1 km . g = 1 . 10% . 3 . 2

Fig.10E



deepDILA . 1 km, g=2, 10%, 3. 2



deepROTA . 1 km, g=2, 10%, 3. 2

Fig.10F

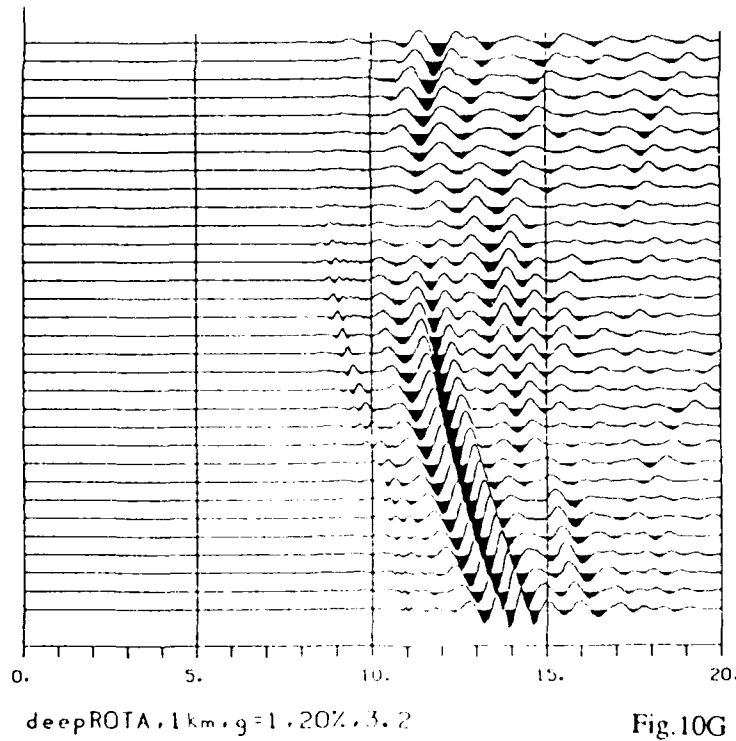
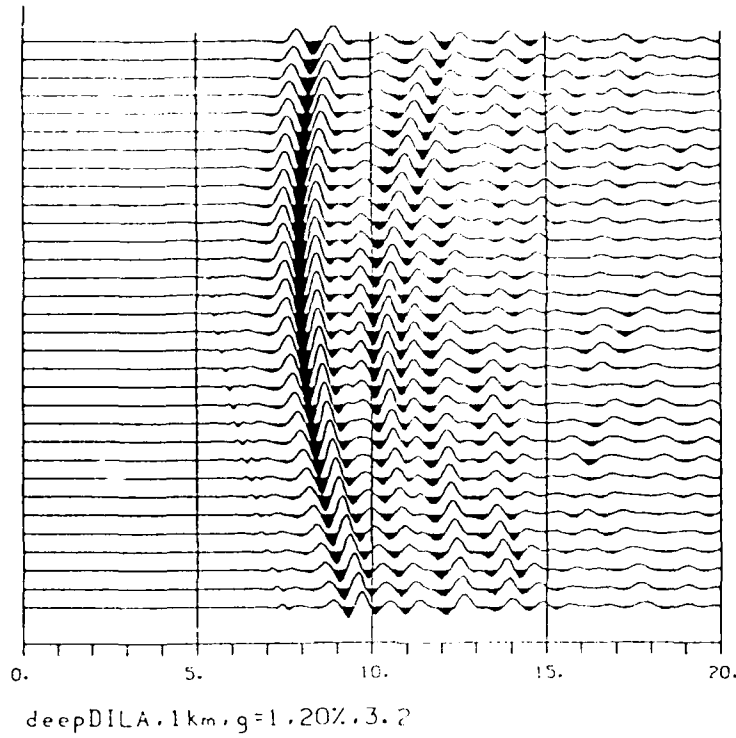


Fig. 10G

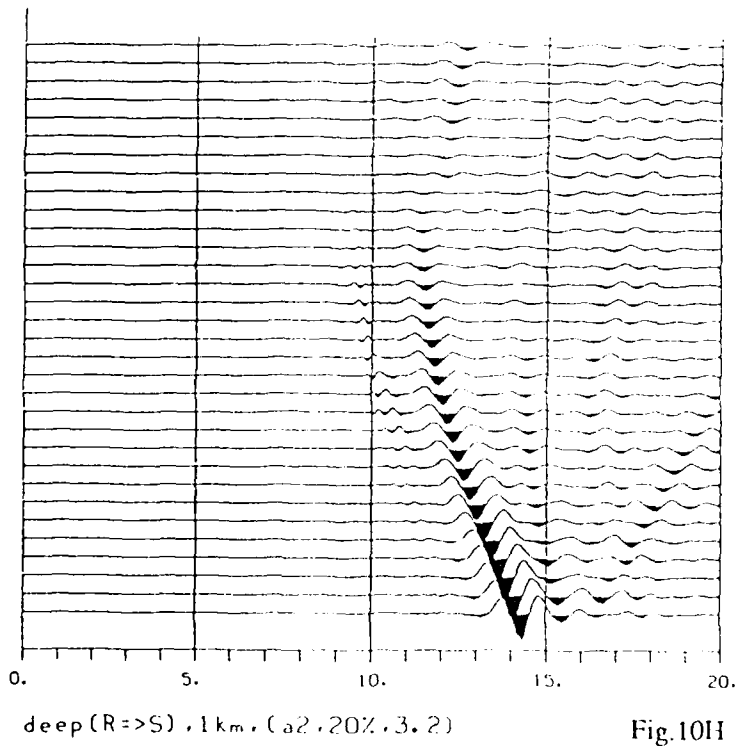
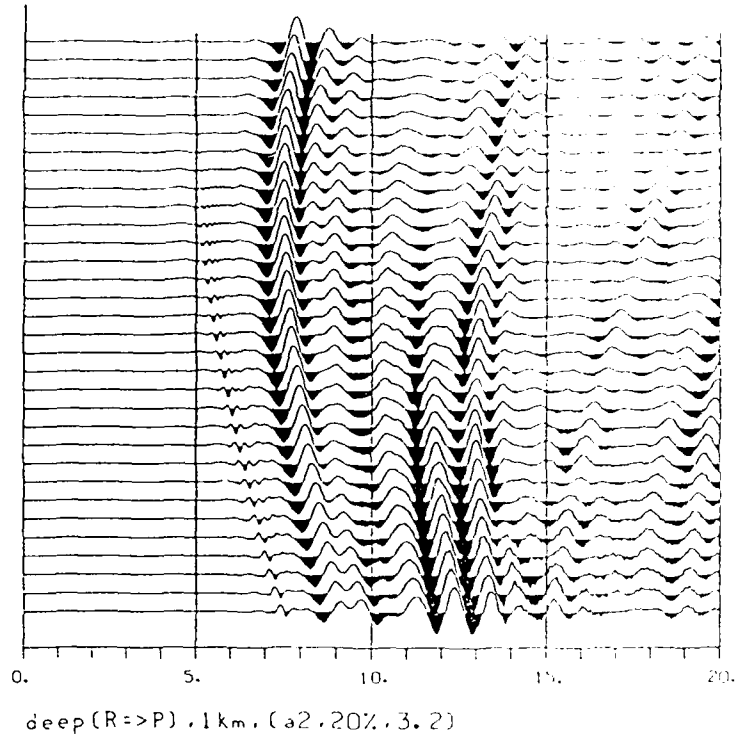


Fig.10H

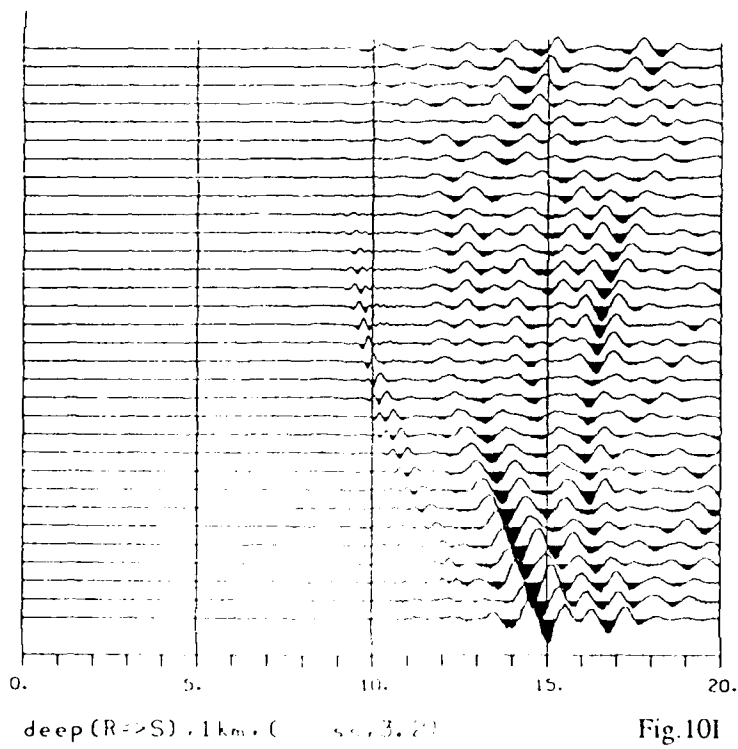
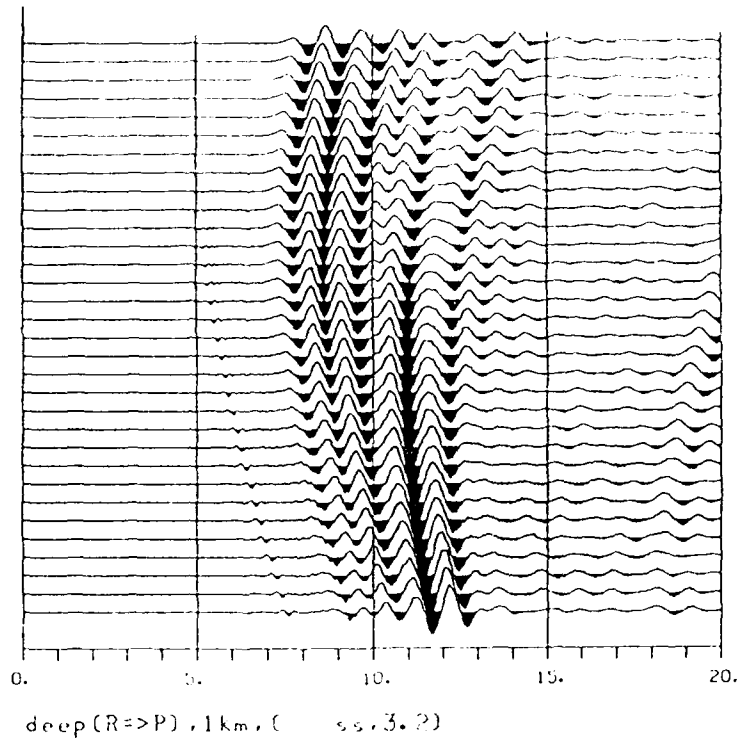
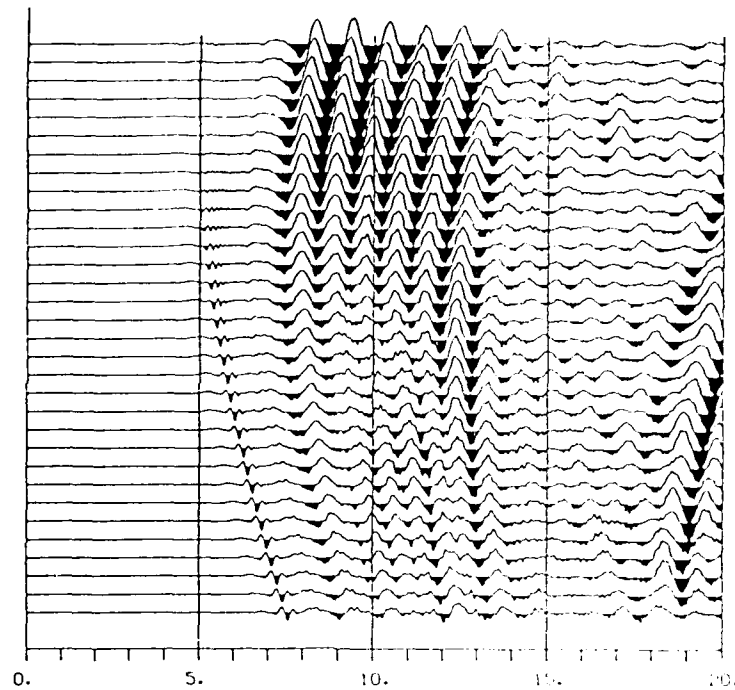
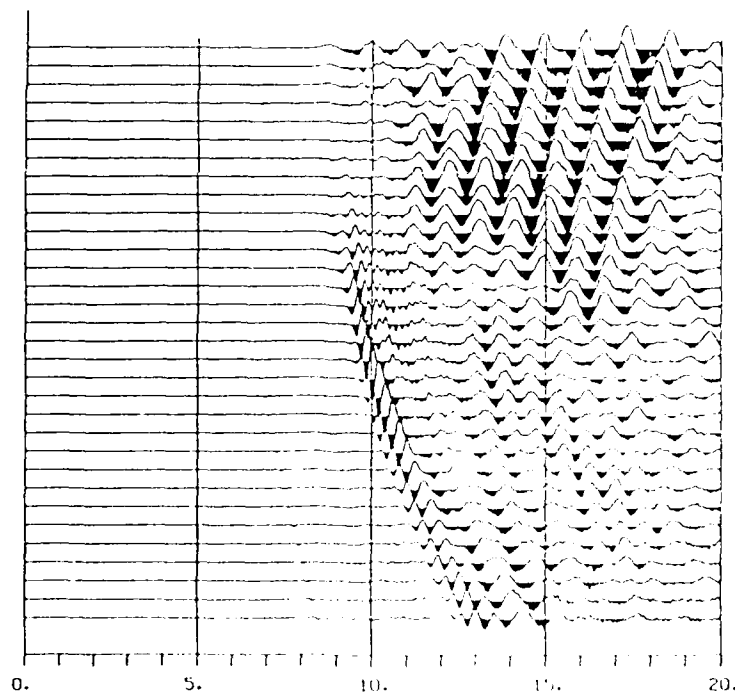


Fig.101

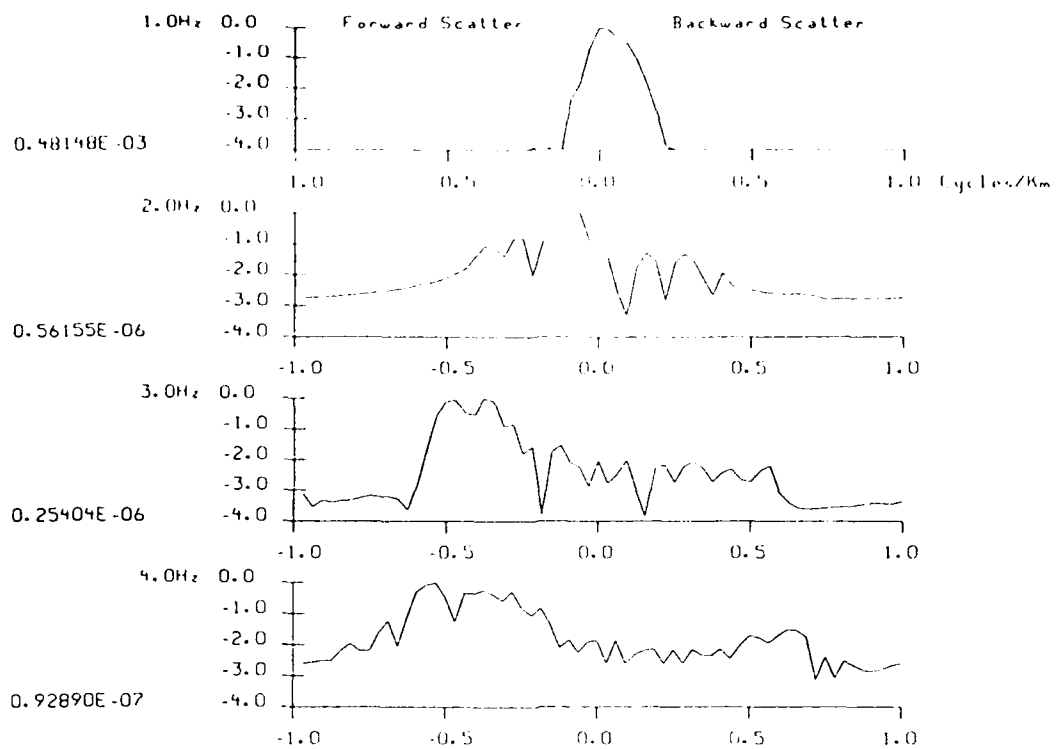


deep (R=>P) , 1 km, ( folded, 3, 2)

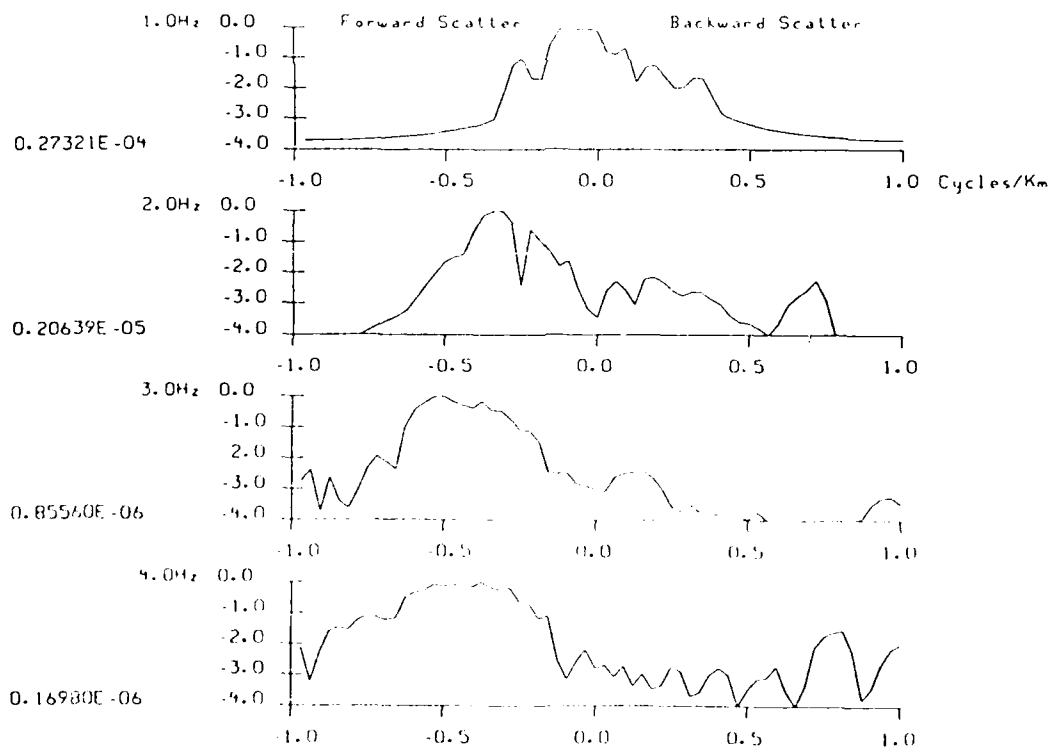


deep (R=>S) , 1 km, ( folded, 3, 2)

Fig.10J



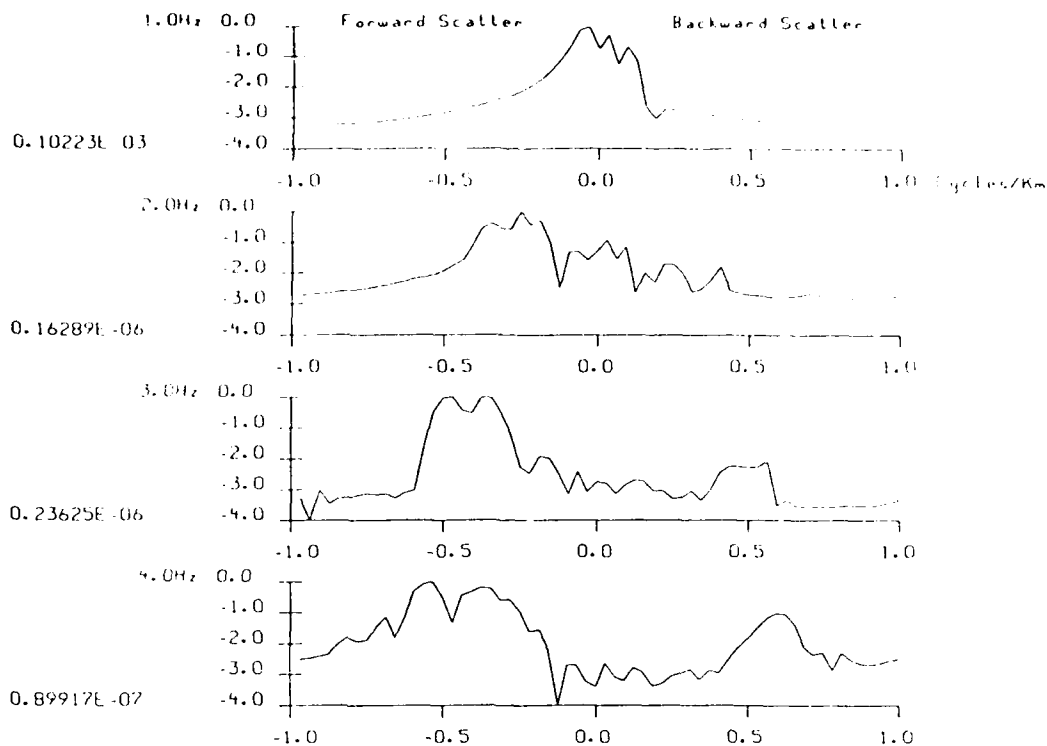
deep (R=>P) .. 5km, (a) 1km, 5%, 3.2km



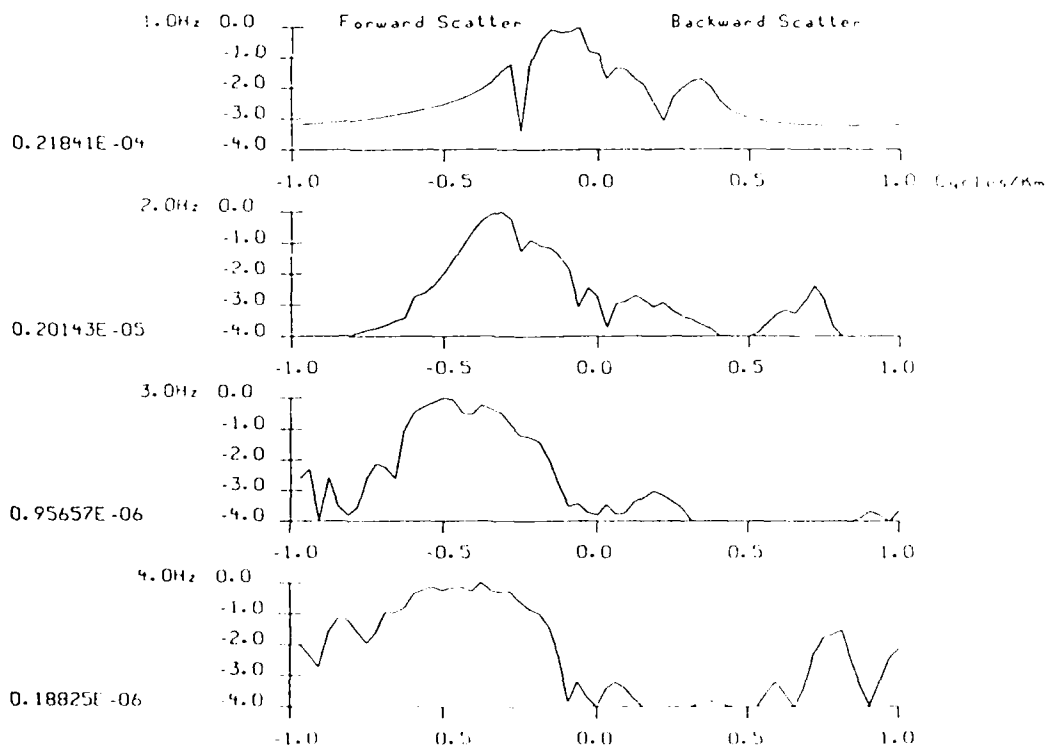
deep (R=>S) .. 5km, (a) 1km, 5%, 3.2km

NORMALIZED Log (POWER) WAVENUMBER SPECTRA

Fig.11A

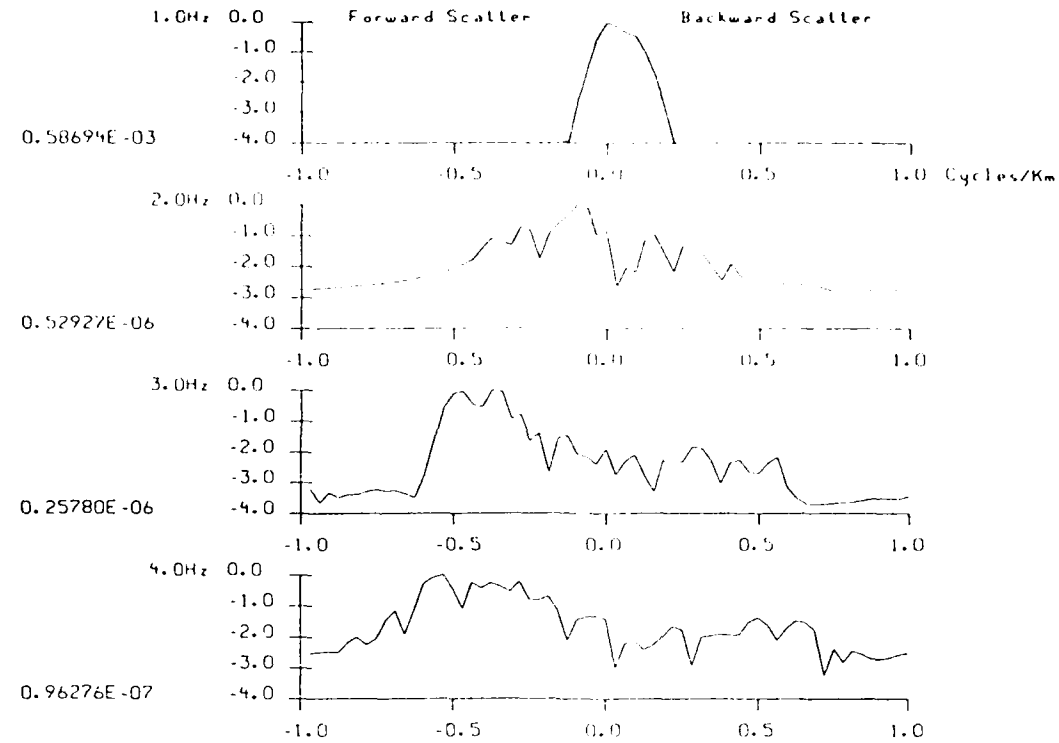


deep (R=>P) .. 5km, (a2km, 5%, 3.2km)

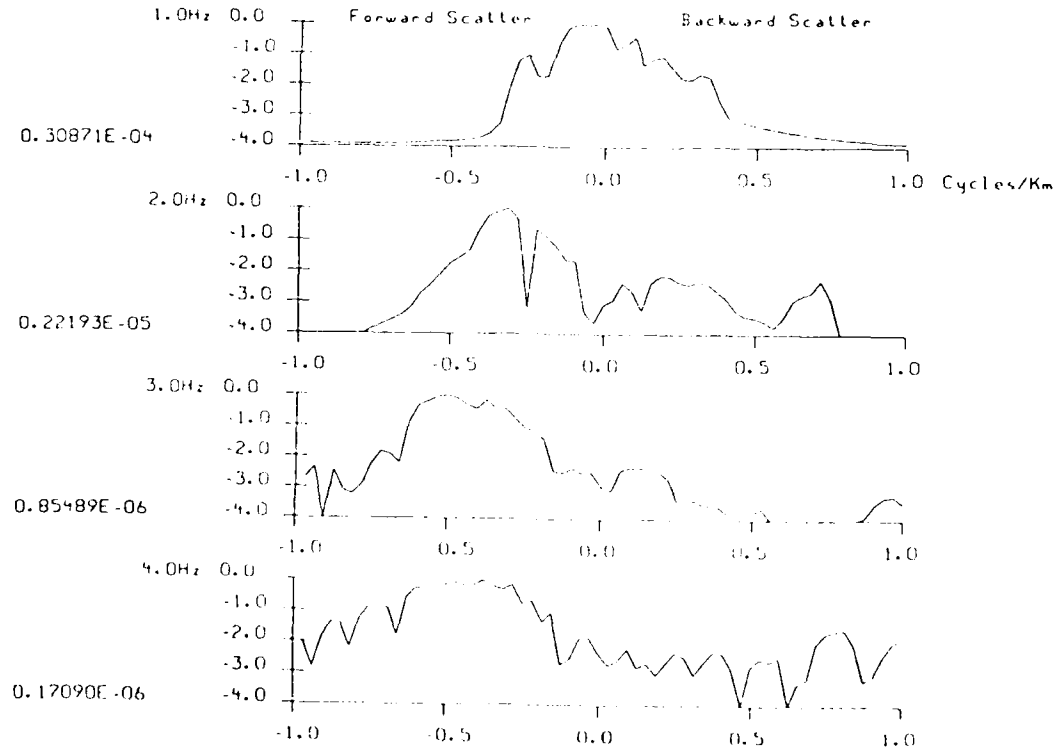


deep (R=>S) .. 5km, (a2km, 5%, 3.2km)

NORMALIZED LOG (POWER) WAVENUMBER SPECTRA Fig.11B

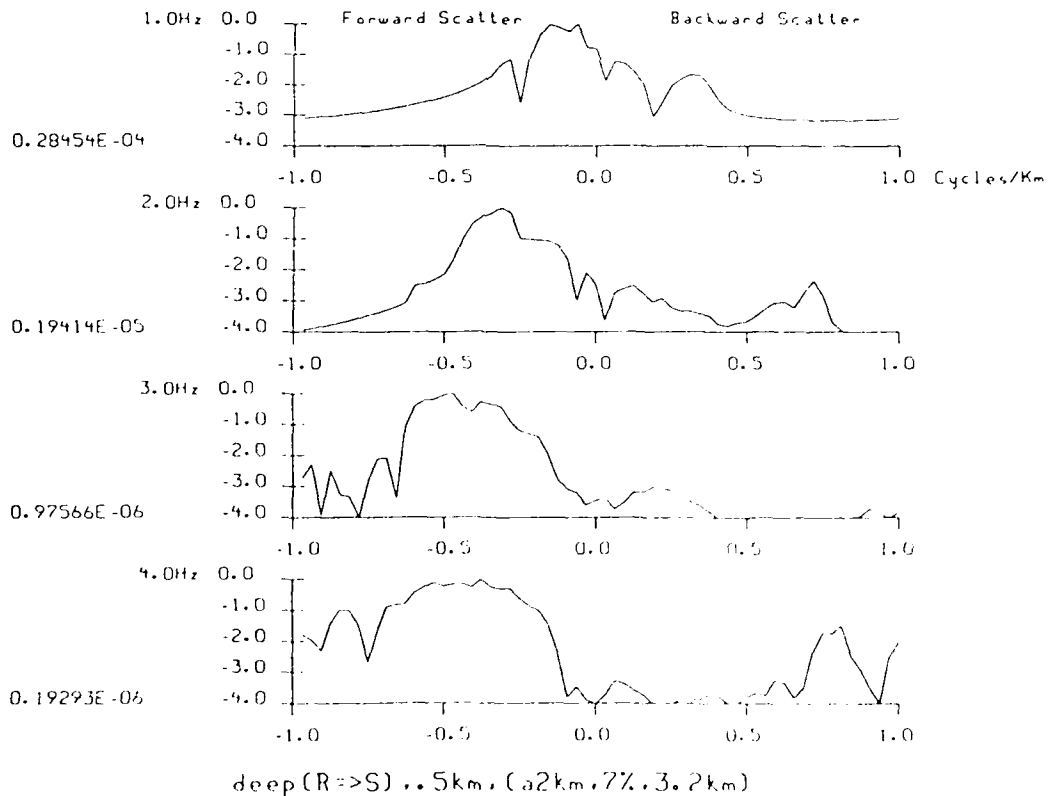
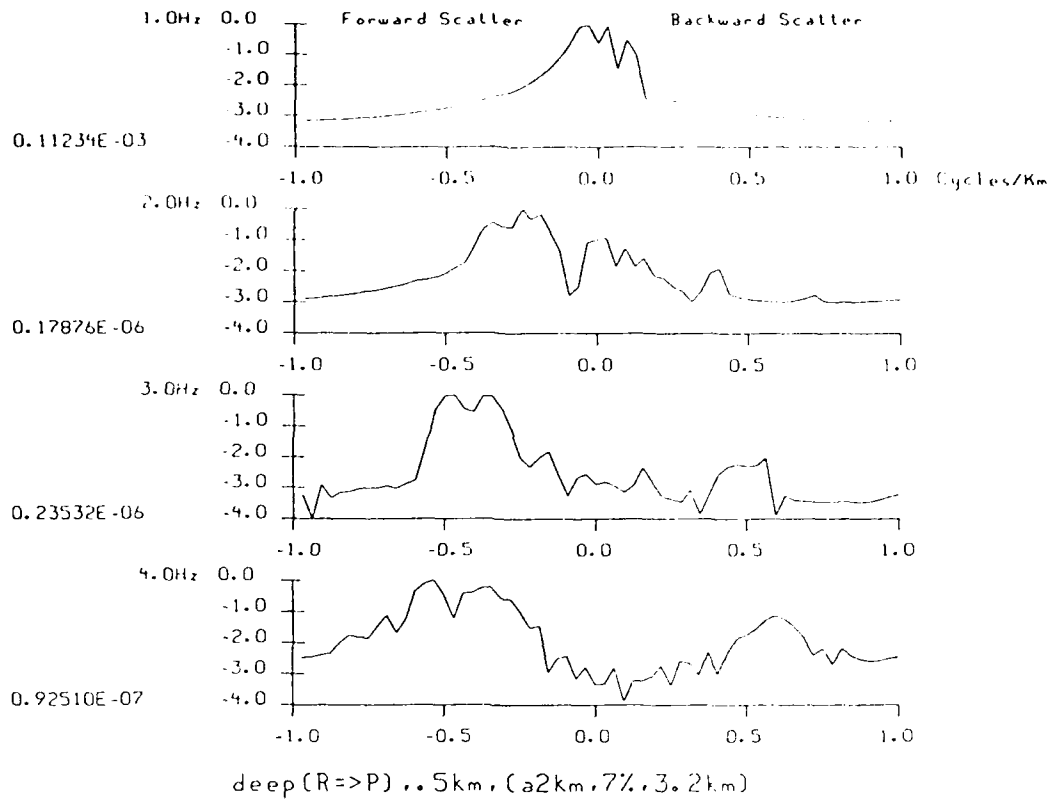


deep(R=>P) .. 5km, (a1 km, 7%, 3.2km)

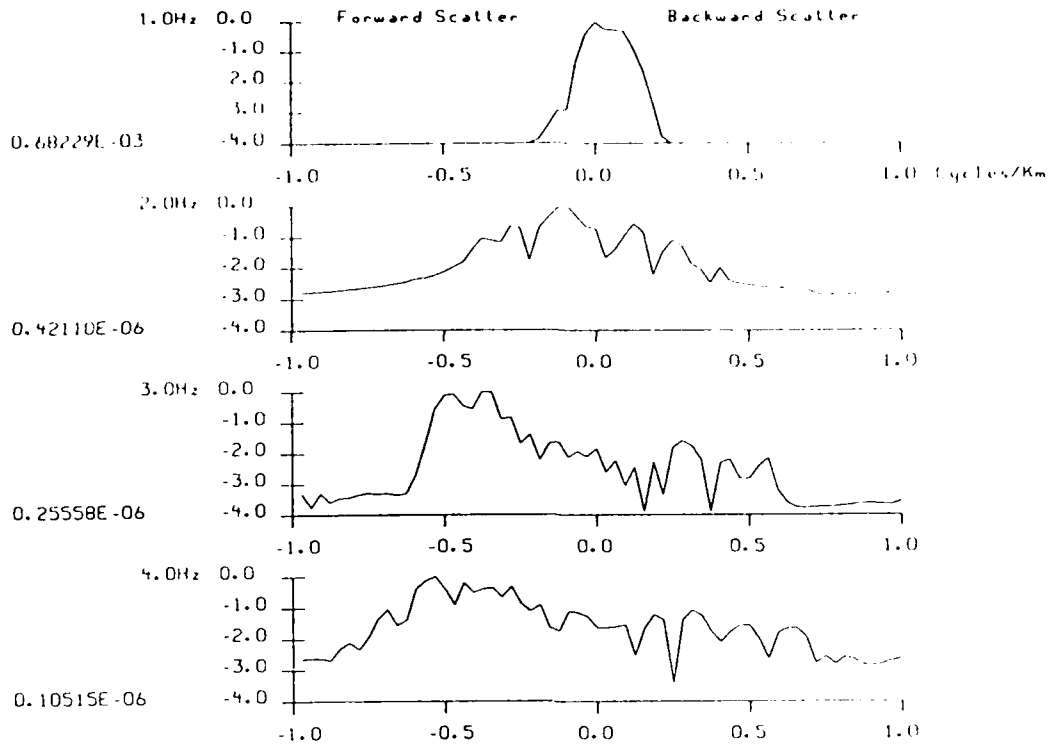


deep(R=>S) .. 5km, (a1 km, 7%, 3.2km)

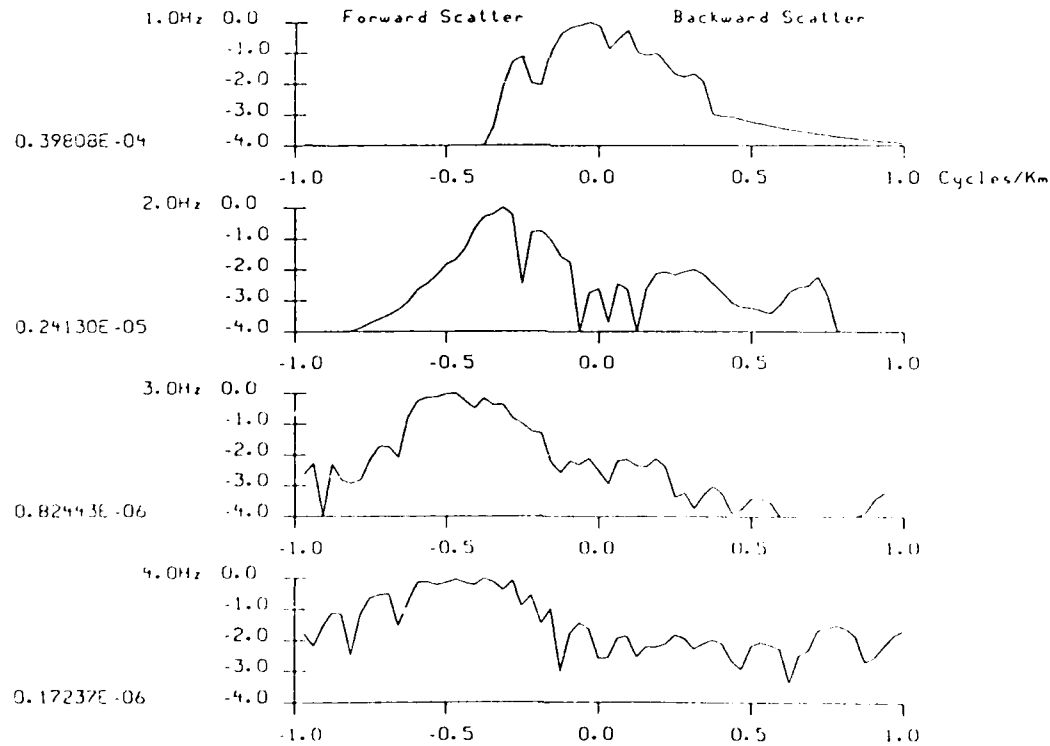
NORMALIZED LOG(POWER) WAVENUMBER SPECTRA Fig.11C



NORMALIZED Log(POWER) WAVENUMBER SPECTRA Fig.1

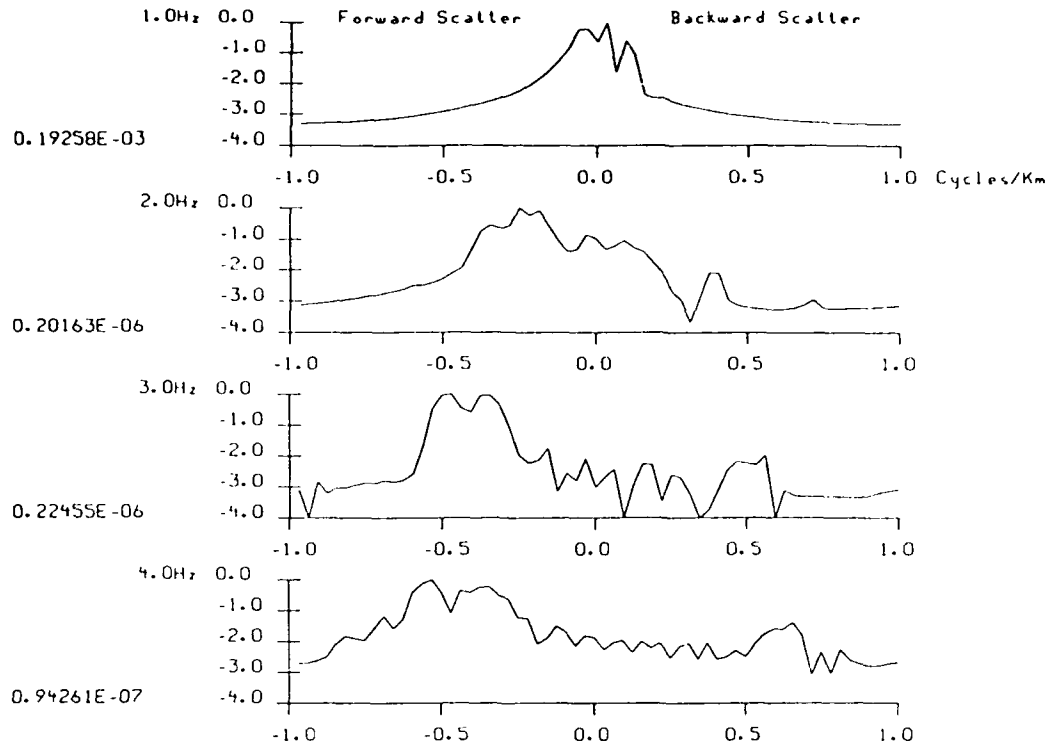


deep (R=>P) .. 5km, (a1km, 10%, 3.2km)

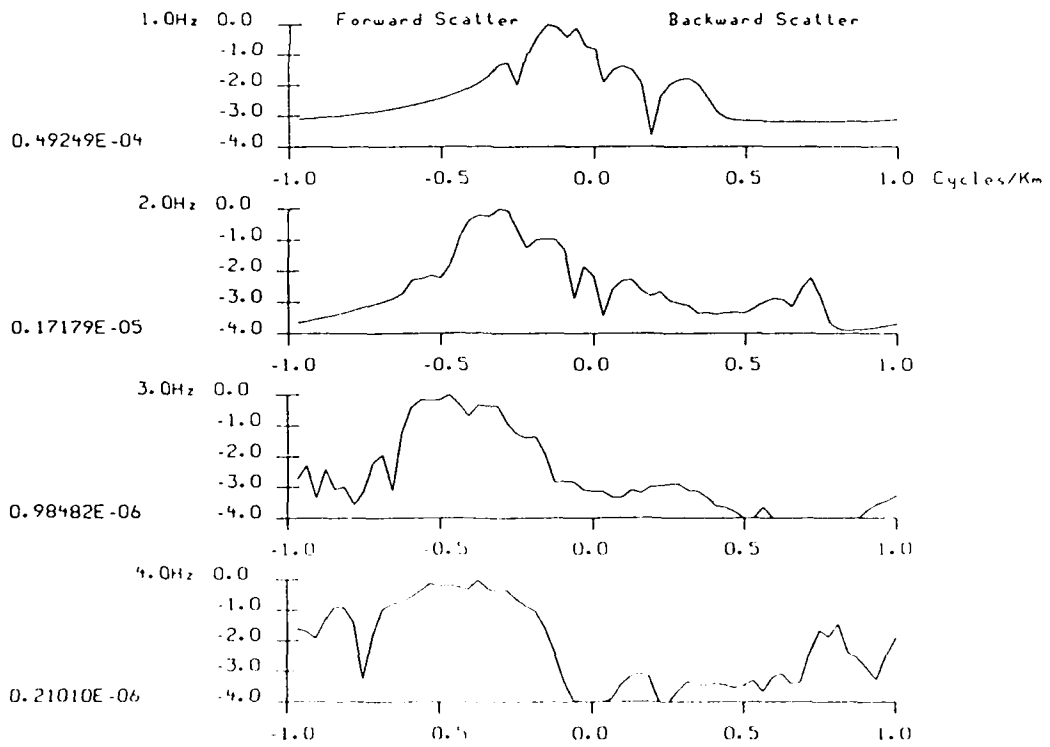


deep (R=>S) .. 5km, (a1km, 10%, 3.2km)

NORMALIZED Log (POWER) WAVENUMBER SPECTRA Fig.11E



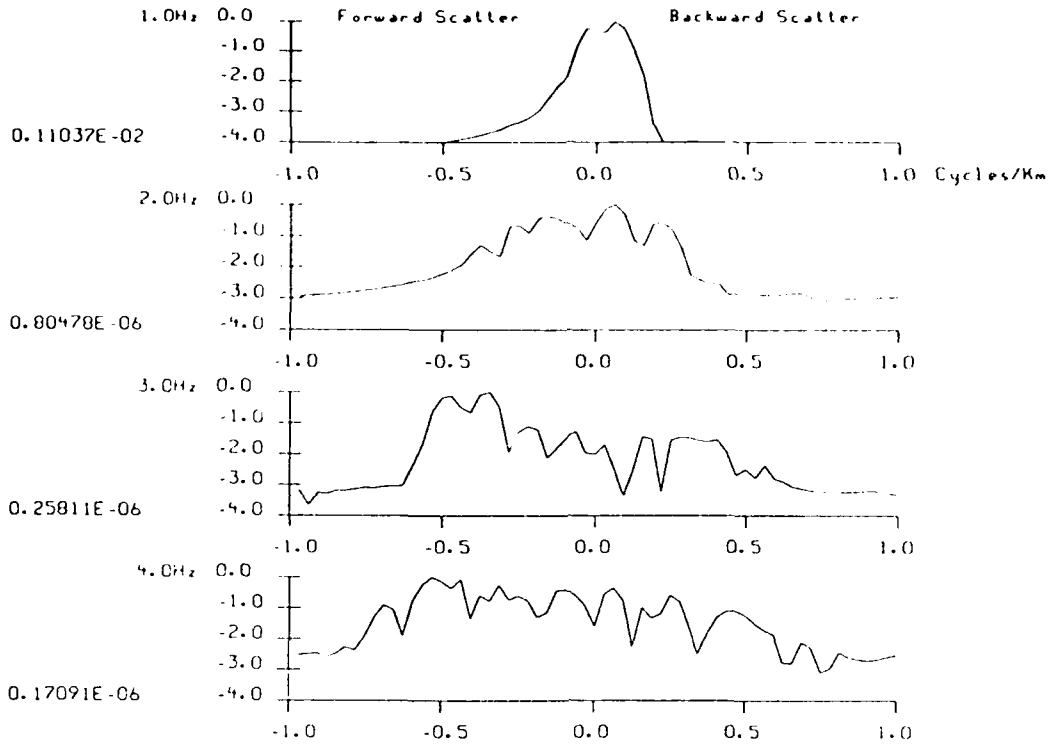
deep (R=>P) .. 5km, (a2km, 10%, 3.2km)



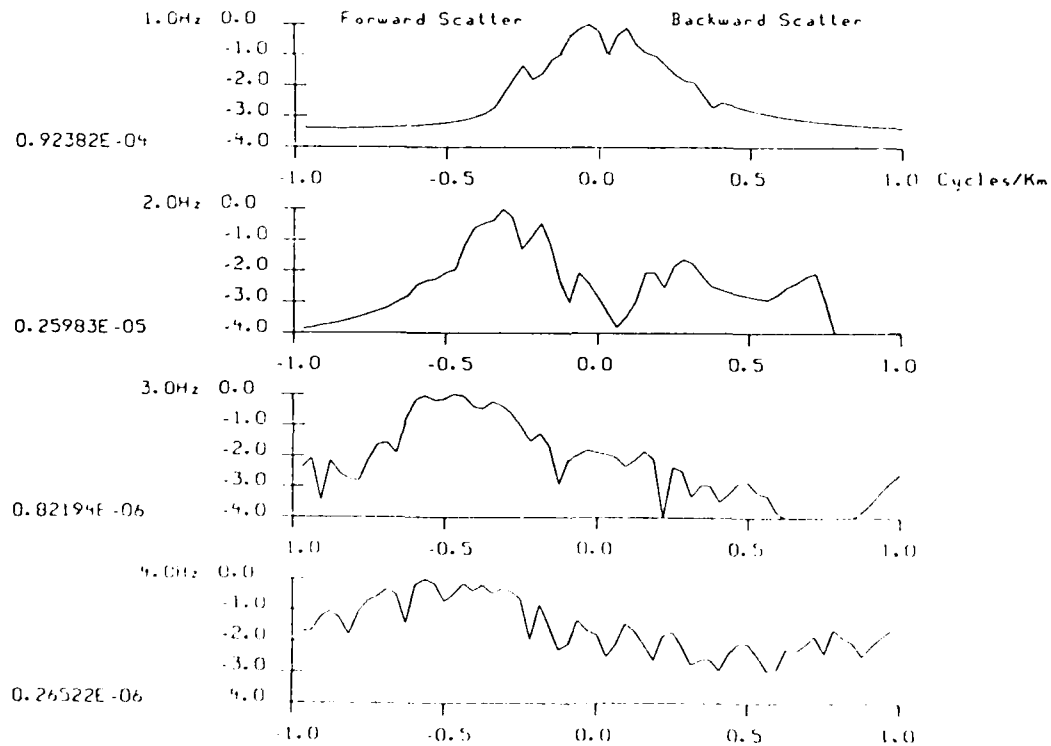
deep (R=>S) .. 5km, (a2km, 10%, 3.2km)

NORMALIZED LOG(POWER) WAVE NUMBER SPECTRA

Fig.11F



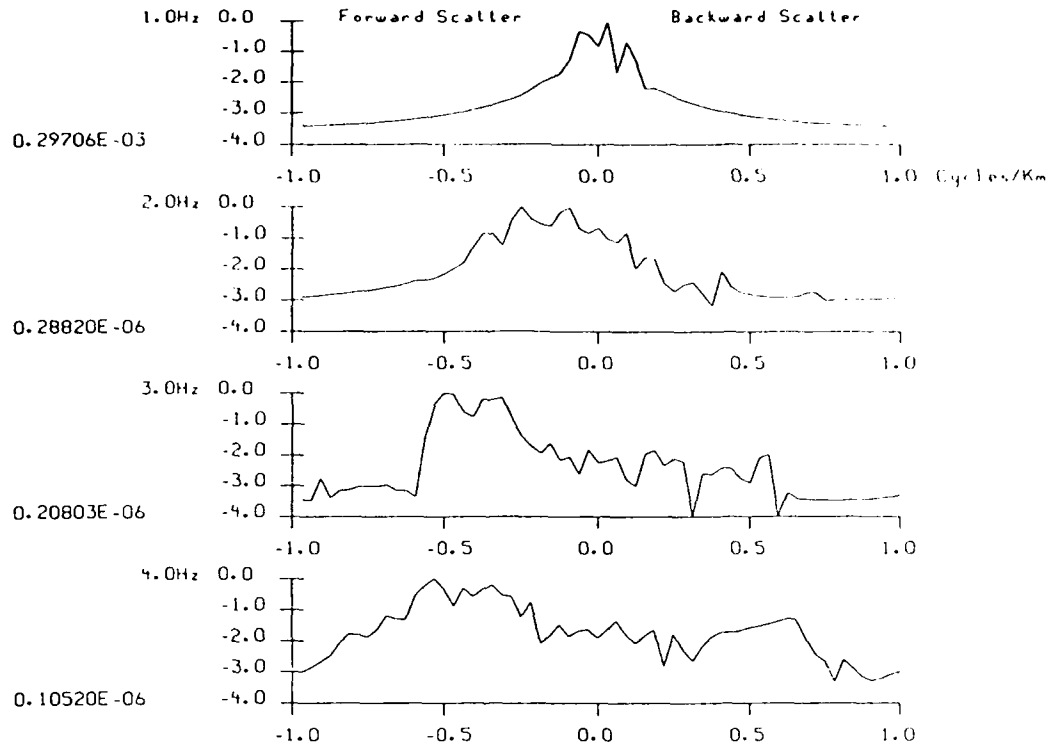
deep (R=>P) .. 5km, (a1km, 20%, 3.2km)



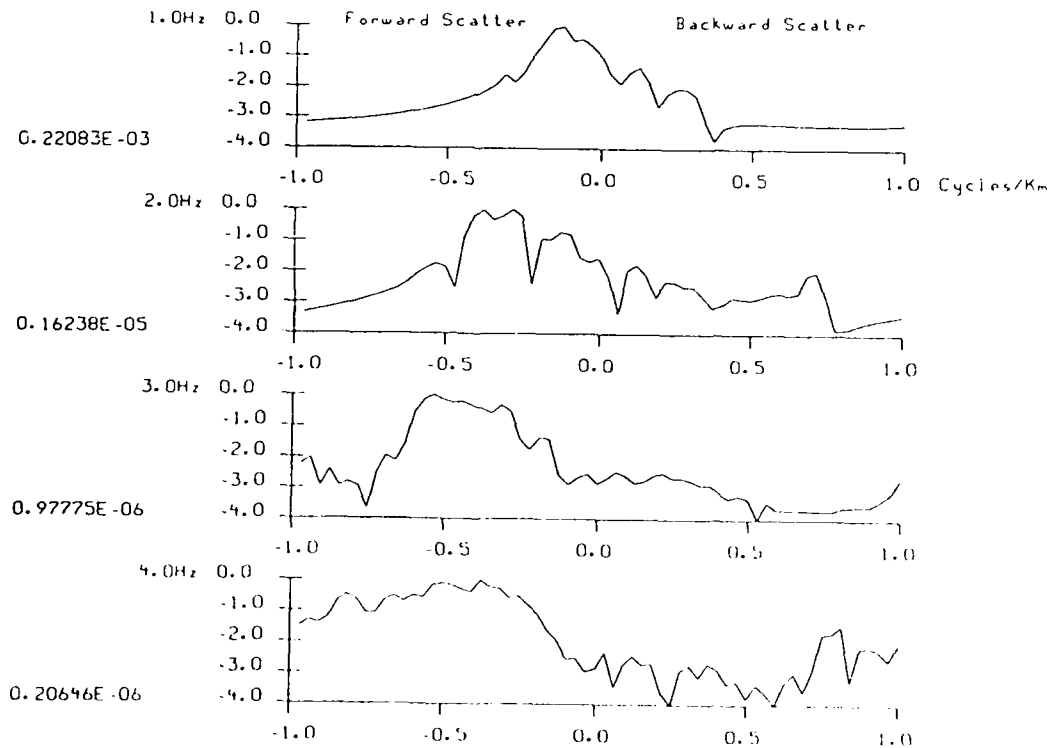
deep (R=>S) .. 5km, (a1km, 20%, 3.2km)

NORMALIZED LOG (POWER) WAVENUMBER SPECTRA

Fig.11G

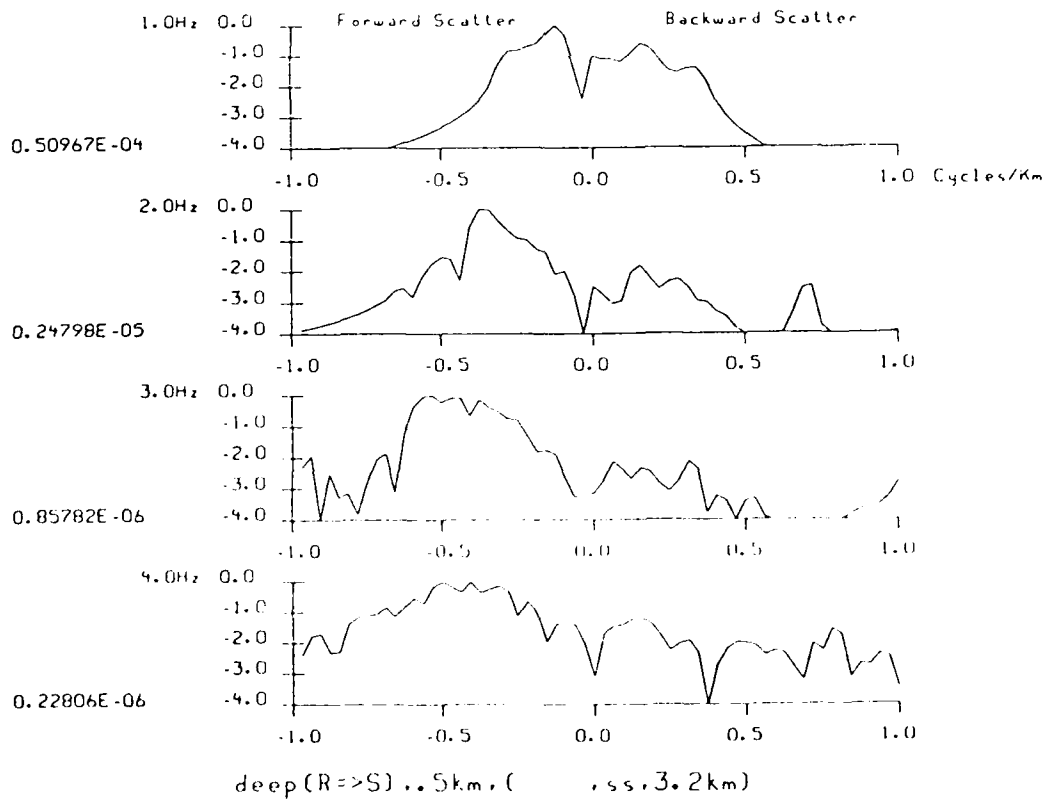
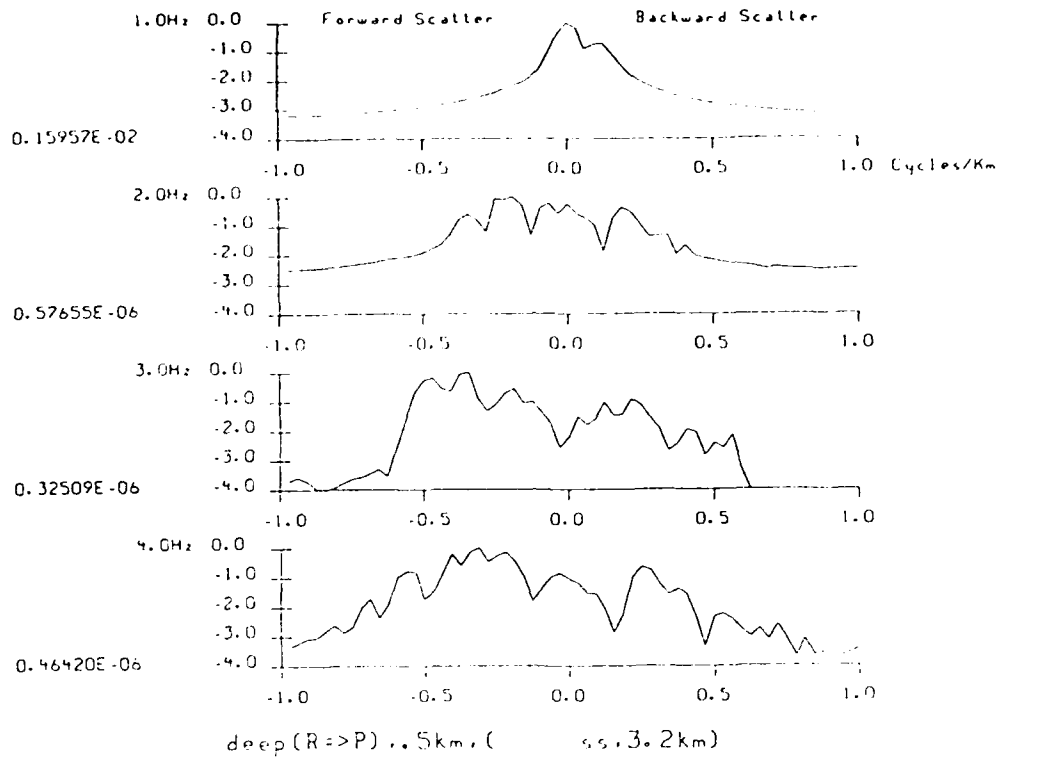


deep (R=>P) .. 5km, (a2km, 20%, 3.2km)

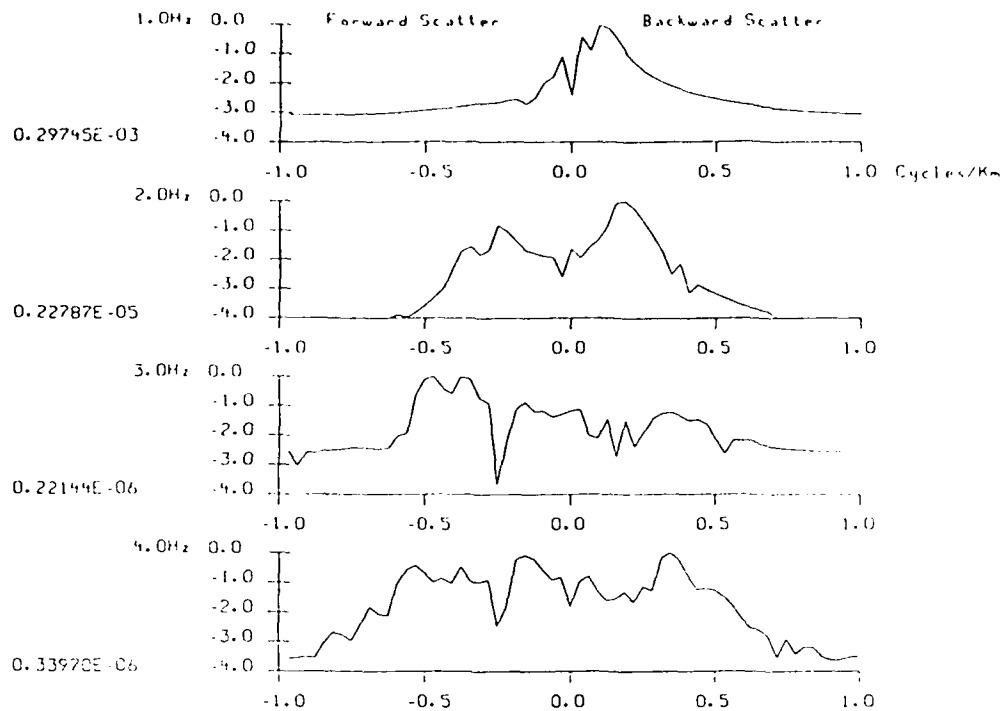


deep (R=>S) .. 5km, (a2km, 20%, 3.2km)

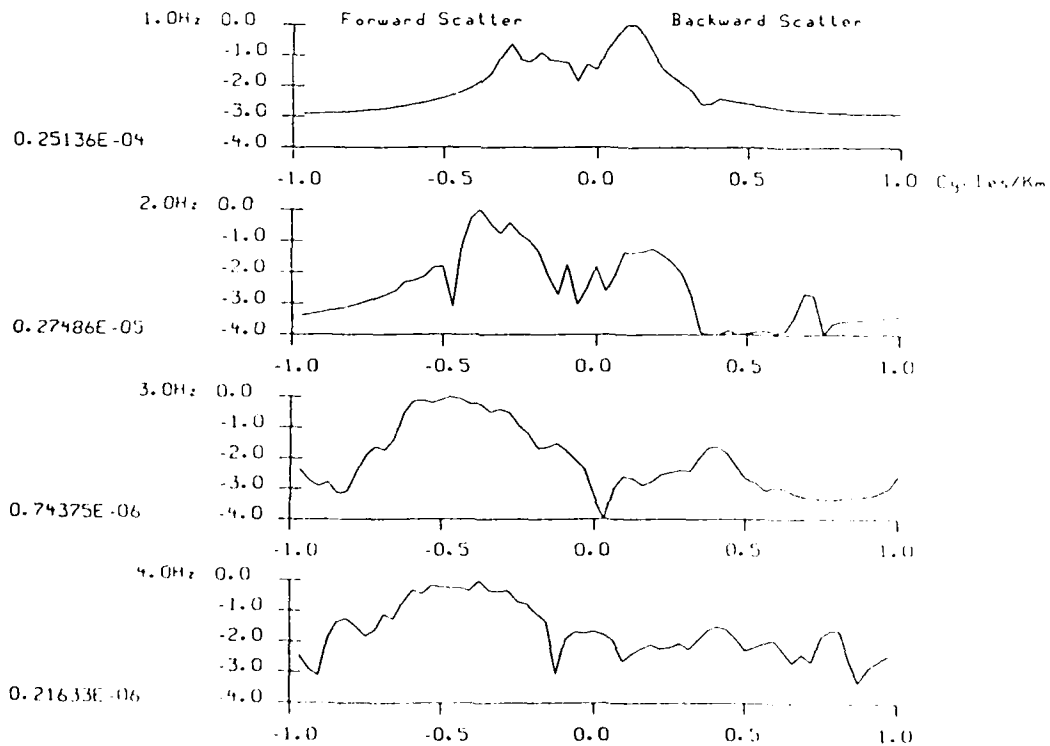
NORMALIZED Log (POWER) WAVENUMBER SPECTRA Fig.11H



NORMALIZED LOG (POWER) WAVENUMBER SPECTRA Fig.111

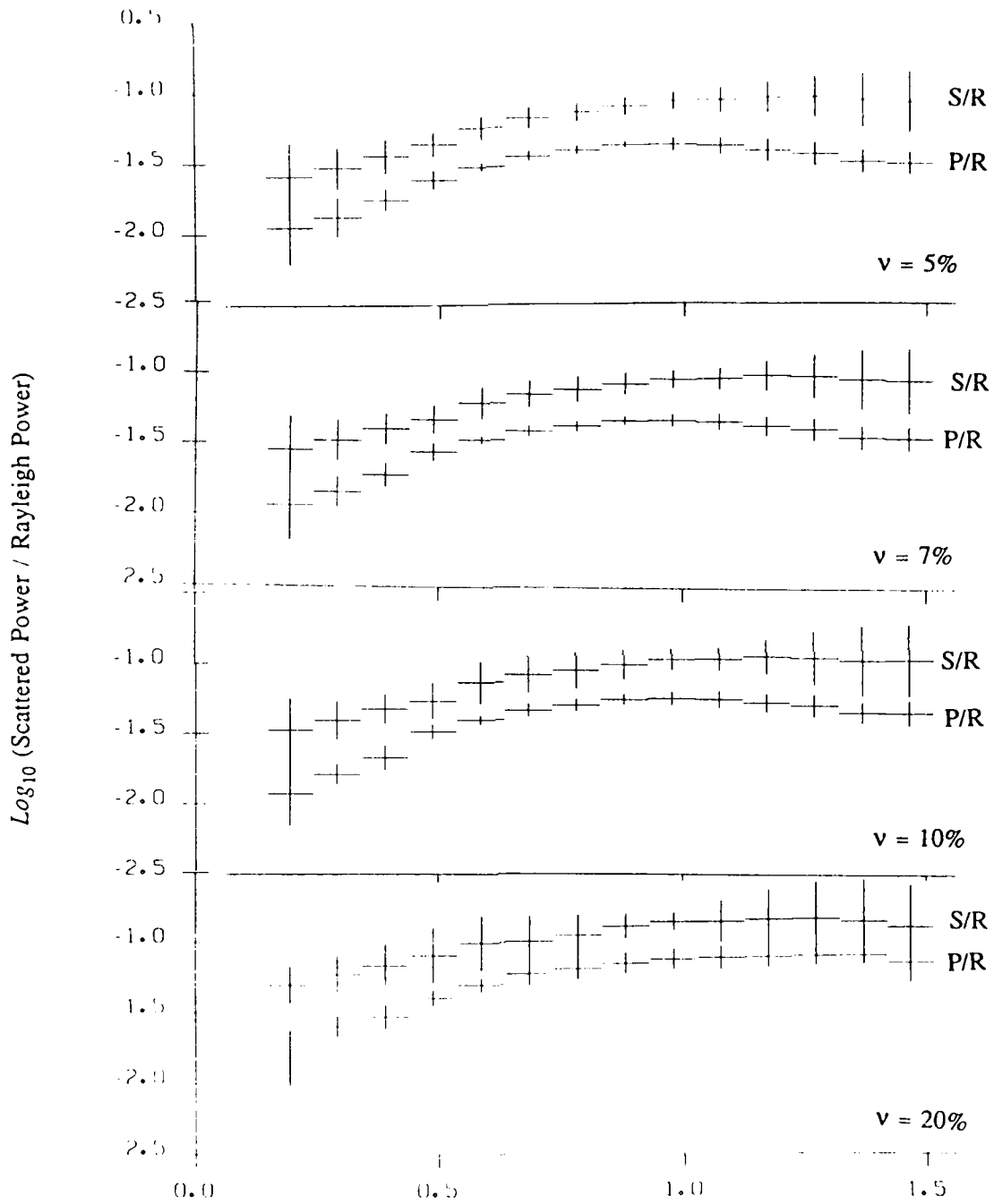


deep (R=>P) .. 5km, ( . folded, 3.2km)



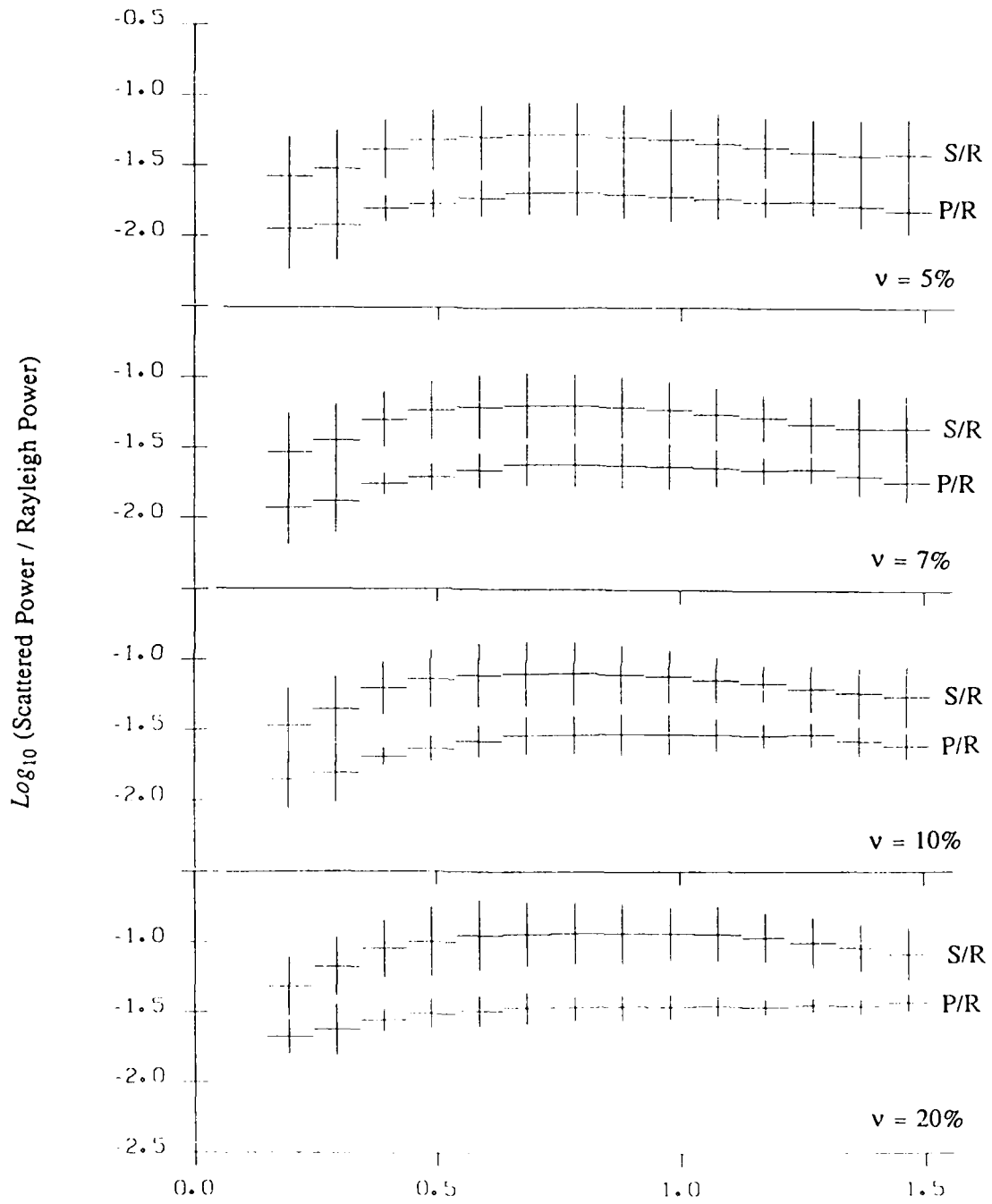
deep (R=>S) .. 5km, ( . folded, 3.2km)

NORMALIZED Log (POWER) WAVENUMBER SPECTRA Fig.11J



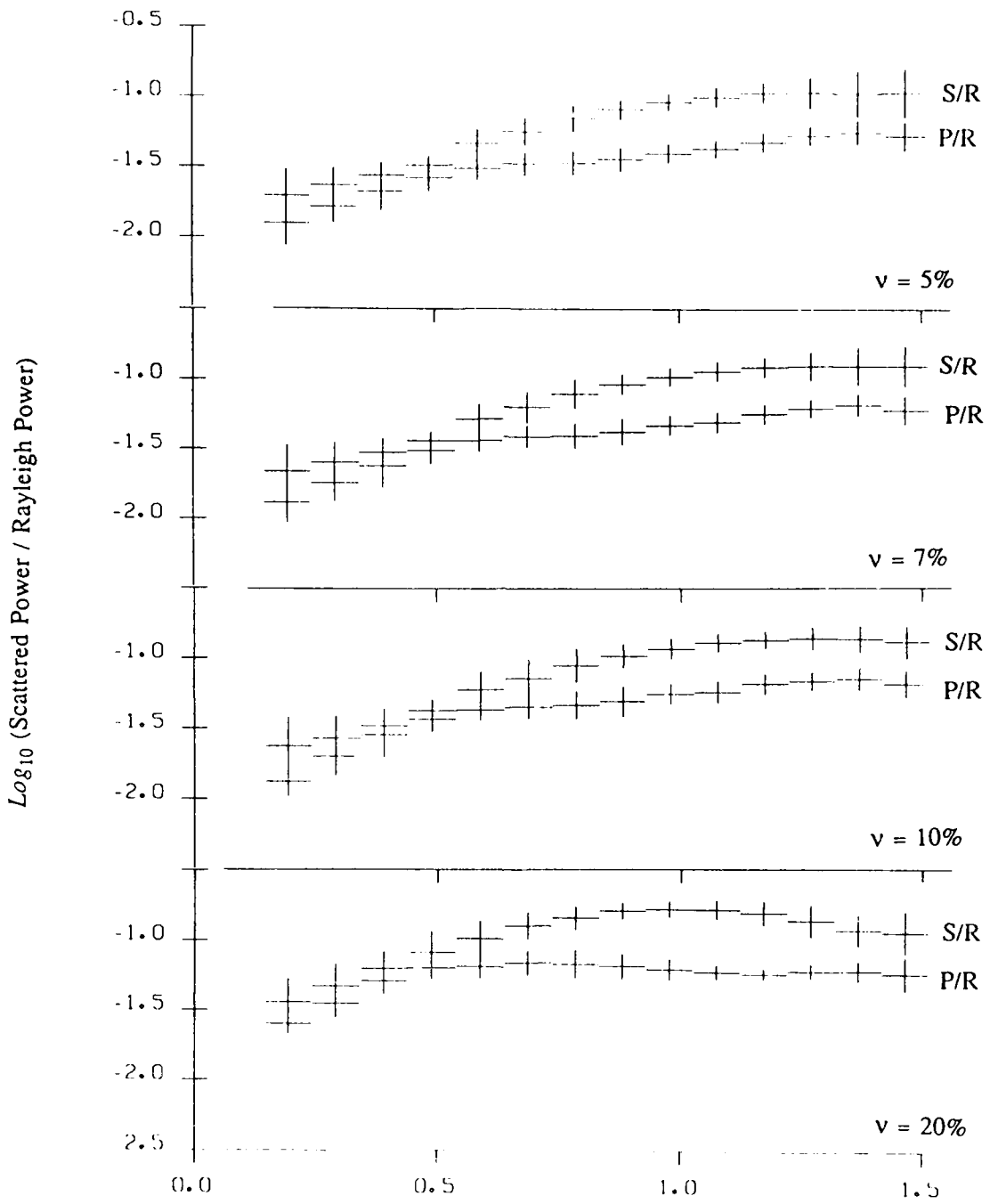
Power Spectral Ratios  
Gaussian Autocorrelation Models ( $a=1\text{km}$ )

Fig.12A



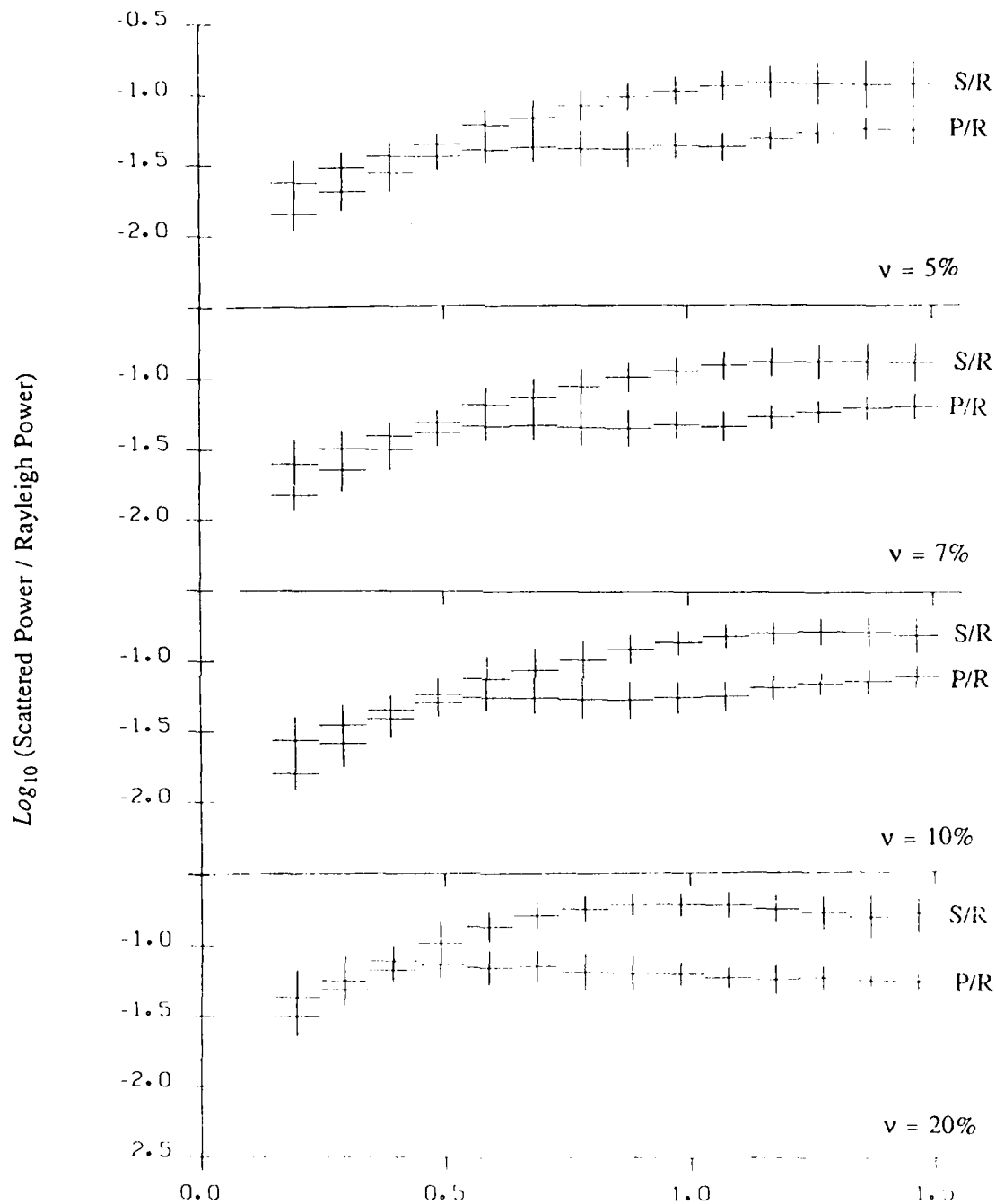
Power Spectral Ratios  
Gaussian Autocorrelation Models (a=2km)

Fig.12B



Power Spectral Ratios  
Self-Similar Models ( $a=1\text{km}$ )

Fig.12C



Power Spectral Ratios  
Self-Similar Models ( $a=2\text{km}$ )

Fig.12D

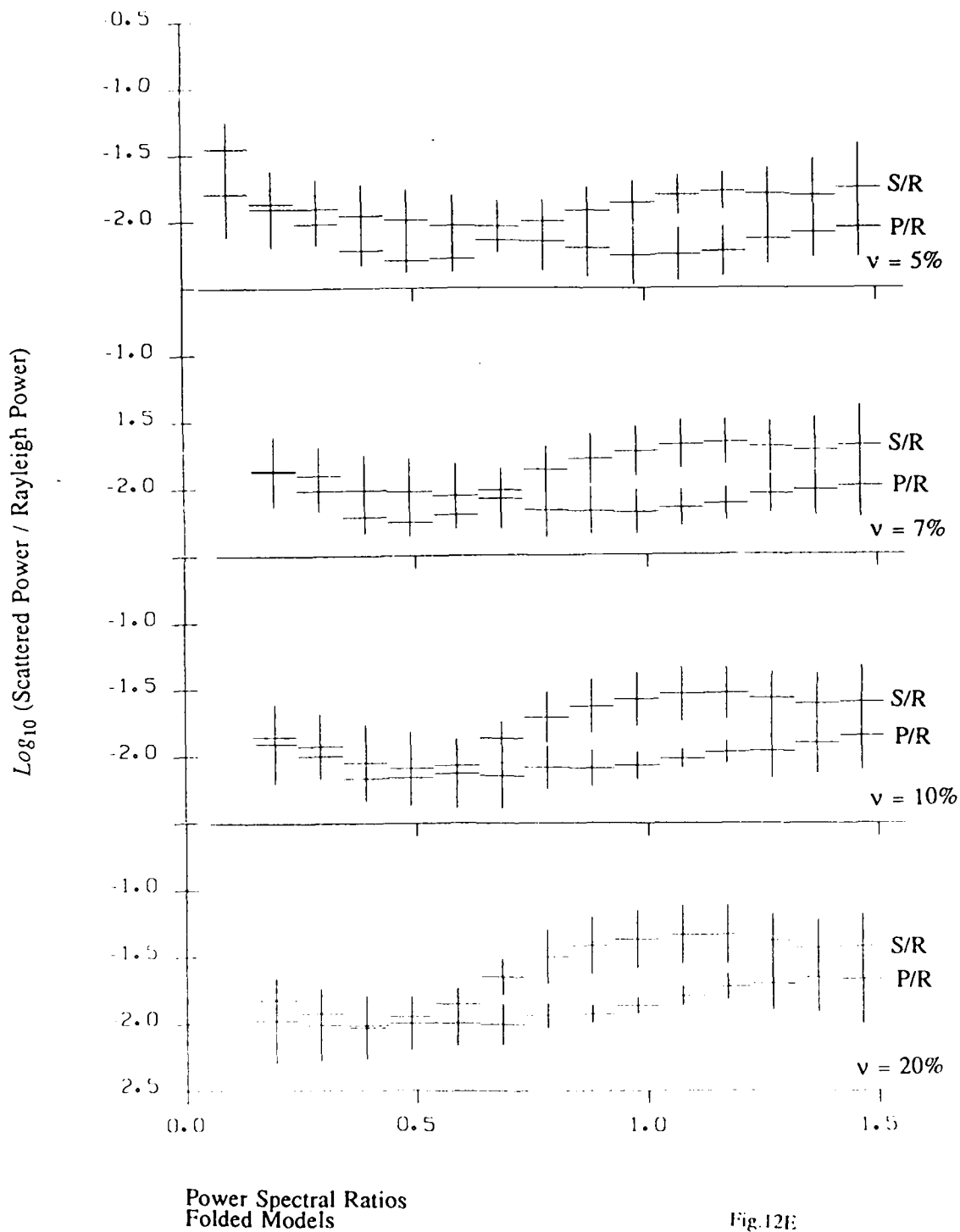


Fig. 12E

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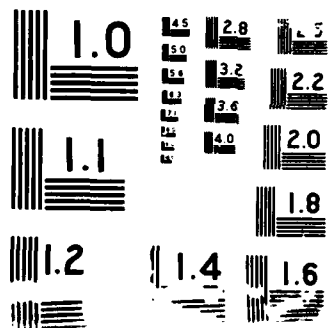
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