

AD-A199 960

(4)

REPORT DOCUMENTATION PAGE

1a. REPORT SECURITY CLASSIFICATION Unclassified			1b. RESTRICTIVE MARKINGS		
2a. SECURITY CLASSIFICATION AUTHORITY DTIC SELECTED			3. DISTRIBUTION/AVAILABILITY OF REPORT Unlimited		
2b. DECLASSIFICATION/DOWNGRADING SCHEDULE EFFECTIVE 7 1988					
4. PERFORMING ORGANIZATION REPORT NUMBER(S) Technical Report No. 10			5. MONITORING ORGANIZATION REPORT NUMBER(S)		
6a. NAME OF PERFORMING ORGANIZATION The University of Texas at Arlington		6b. OFFICE SYMBOL (If applicable)		7a. NAME OF MONITORING ORGANIZATION Office of Naval Research	
6c. ADDRESS (City, State, and ZIP Code) Department of Chemistry, Box 19065 The University of Texas at Arlington Arlington, Texas 76019				7b. ADDRESS (City, State, and ZIP Code) 800 North Quincy Street Arlington, Virginia 22217	
8a. NAME OF FUNDING/SPONSORING ORGANIZATION Defense Advanced Research Projects Agency		8b. OFFICE SYMBOL (If applicable) DARPA		9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER N00014-86-K-0769	
8c. ADDRESS (City, State, and ZIP Code) 1410 Wilson Boulevard Arlington, Virginia 22209				10. SOURCE OF FUNDING NUMBERS	
		PROGRAM ELEMENT NO.		PROJECT NO.	TASK NO.
					WORK UNIT ACCESSION NO.
11. TITLE (Include Security Classification) Electronic and Ionic Transport in Polymers					
12. PERSONAL AUTHOR(S) Jose P. Ruiz, K. Nayak, Dennis S. Marynick and John R. Reynolds					
13a. TYPE OF REPORT Technical		13b. TIME COVERED FROM TO		14. DATE OF REPORT (Year, Month, Day) 1988 September 27	
				15. PAGE COUNT 40	
16. SUPPLEMENTARY NOTATION Macromolecules, in press					
17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)		
FIELD	GROUP	SUB-GROUP	Conductive Polymers, Substituted Polythiophenes, Theoretical Calculations, thiophenes. (11711) ←		
19. ABSTRACT (Continue on reverse if necessary and identify by block number)					
Polymers of 3-ethylmercapto and 3,4-bis(ethylmercapto)thiophenes have been synthesized and characterized. These polymers are soluble in common organic solvents such as methylene chloride, chloroform and THF. Structural characterization using FT-IR and NMR spectroscopy show that these polymers have a well-defined (3-ethylmercapto substituted 2,5-(thienylene) polymeric structure. Visible-near IR absorption spectra of electrochemically doped cast films and chemically doped solutions of the polymers show that they can be oxidized to form bipolaronic species. GPC studies show a number average molecular weight of about 2500 ($M_w/M_n \approx 5$) for both polymers. Maximum electrical conductivities of $10^{-3}/\Omega^{-1}\text{cm}^{-1}$ for the 3-ethylmercapto, and 10^{-7} for the 3,4-bis(ethylmercapto) substituted polymers have been obtained in the oxidized state. Experimental results are correlated with theoretical calculations using the PRDDO and extended Hückel methods, which demonstrate radical-cation reactivities for the thiophene monomers, along with minimum energy conformations and band structures in these substituted polymers.					
20. DISTRIBUTION/AVAILABILITY OF ABSTRACT ☒ UNCLASSIFIED UNLIMITED ☐ SAME AS PPT ☐ DTIC USERS			21. ABSTRACT SECURITY CLASSIFICATION Unclassified		
22a. NAME OF RESPONSIBLE INDIVIDUAL Dr. John M. Millikan			22b. TELEPHONE (Include Area Code) 22c. OFFICE SYMBOL (202) 696-4410		

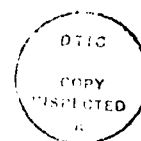
Soluble Ethylmercapto Substituted Polythiophenes

Jose P. Ruiz, K. Nayak, Dennis S. Marynick* and John R. Reynolds*

A Contribution From
Department of Chemistry
The University of Texas at Arlington
Arlington, Texas 76019

Accession		☒
NTIS	DTIC	☒
DTIC	DTIC	☐
Unannounced		☐
Submitted		

A-1



Abstract. Polymers of 3-ethylmercapto and 3,4-bis(ethylmercapto)thiophenes have been synthesized and characterized. These polymers are soluble in common organic solvents such as methylene chloride, chloroform and THF. Structural characterization using FT-IR and NMR spectroscopy show that these polymers have a well-defined β -ethylmercapto substituted 2,5-(thienylene) polymeric structure. Visible-near IR absorption spectra of electrochemically doped cast films and chemically doped solutions of the polymers show that they can be oxidized to form bipolaronic species. GPC studies show a number average molecular weight of about 2500 ($M_w/M_n \sim 5$) for both polymers. Maximum electrical conductivities of $10^{-3} \Omega^{-1} \text{ cm}^{-1}$ for the 3-ethylmercapto, and 10^{-7} for the 3, 4-bis(ethylmercapto) substituted polymers have been obtained in the oxidized state. Experimental results are correlated with theoretical calculations using the PRDDO and extended Hückel methods, which demonstrate radical-cation reactivities for the thiophene monomers, along with minimum energy conformations and band structures in these substituted polymers.

Introduction

In the last decade, there has been considerable interest in the study of electronically conducting polymers. These efforts can be attributed to the large number of potential applications for such materials and scientific curiosity in the ability of organic materials to conduct charge.¹ The most promising of these materials should demonstrate good solution or melt processability, environmental stability, good mechanical properties and controllable conductivities.

To improve the physical and electronic properties of these polymers, much work has been done in altering the synthetic conditions and molecular architecture of aromatic polyheterocycles, including polythiophenes, polypyrroles and polyfurans.² It has been found that by placing β -position substituents on polythiophenes, highly conducting (*ca.* $10^{+1} \Omega^{-1} \text{ cm}^{-1}$), yet soluble polymers are formed. Initially, Elsenbaumer *et al.*³ reported a series of 3-alkyl thiophene polymers that were soluble in common organic solvents. Their solubility increased with the size of the substituents, while having little affect on the maximum conductivity. Similar results have also

been reported by Sato *et al.*⁴ Due to their solubility in typical organic solvents, solution spectroscopic studies have been carried out by Heeger *et al.*^{5,6} Recently, conducting polymers prepared from β -substituted thiophenes were reported by Bryce *et al.*⁷ that not only are soluble, but in some cases had elevated conductivities (*ca.* $10^{+3} \Omega^{-1} \text{cm}^{-1}$) relative to the unsubstituted parent, polythiophene. To date there have been two brief reports on the preparation of mercapto substituted polythiophenes.^{3,8} In both cases relatively low maximum conductivities, on the order of $10^{-1} \Omega^{-1} \text{cm}^{-1}$, were reported.

The ability to process these polymers using common solution processing techniques opens up a broad area of research in conductive polymers. Here we report on the synthesis and characterization of poly(3-ethylmercaptothiophene) (PEMT) and poly[3,4-bis(ethylmercapto)-thiophene] (PBEMT), both of which are soluble in common organic solvents and semiconducting (up to $10^{-3} \Omega^{-1} \text{cm}^{-1}$) in the oxidized state. We correlate our structural and electronic results to results from theoretical calculations, demonstrating the ability of theory to assist in directing experimentation.

Experimental

Preparation of Monomers and Polymers. 3-Ethylmercaptothiophene (EMT) and 3,4-bis(ethylmercapto)thiophene (BEMT) were prepared from their corresponding bromo-substituted thiophenes according to the method of Yabukov *et al.*⁹ Both materials were purified by fractional distillation *in vacuo*.

Different α -halogenation procedures were used for the monomers. EMT was brominated using a published procedure for typical brominations.¹⁰ Pure 2,5-dibromo-3-ethylmercaptothiophene was obtained after fractional distillation *in vacuo*. BEMT was iodinated employing the method of Barker *et al.*¹¹ to give 2,5-diiodo-3,4-bis(ethylmercapto)thiophene. Purification was carried out by reprecipitation from methylene chloride into methanol.

Solution polymerization of these 2,5-dihalogeno substituted monomers was done via a nickel catalyzed Grignard coupling similar to the procedures used by Kobayashi *et al.*¹² and

Elsenbaumer *et al.*³ As reported previously, 2-methyltetrahydrofuran was used as the Grignard formation solvent and nickel (II) bis(diphenyl phosphino)propanedibromide (NiDPPPBr₂) as the coupling catalyst. The polymerization was carried out under nitrogen at 130°C for at least 70 hours. The polymers were purified by reprecipitation into methanol from methylene chloride and dried under vacuum. Brick red and ochre colored powders were obtained for EMT and BEMT polymers, respectively.

Structural Identification of Monomers and Polymers. Infrared spectra were obtained using a Digilab FTS-40 FT-IR Spectrophotometer utilizing transmittance between NaCl plates for liquids and diffuse reflectance technique (DRIFT) for solids. The DRIFT method was used instead of pressed KBr pellets due to the highly opaque nature of the polymer samples.

¹H-NMR spectra were done on a Varian EM-360 spectrometer for all monomeric materials and a Nicolet 200 FT-NMR for polymers in deuterated chloroform. ¹³C-NMR for all materials were obtained on the Nicolet 200 instrument.

Elemental analyses were done by Texas Analytical Laboratories (Tallahassee, Florida).

Theoretical Calculations. Molecular orbital calculations were performed in order to assess the spin densities of the monomeric radical-cations and to estimate the conformational preferences of the poly[3,4-bis(ethylmercapto)thiophene] (PBEMT) and poly(3-ethylmercaptothiophene) (PEMT) systems. Most calculations were done by the method of Partial Retention of Diatomic Differential Overlap (PRDDO).^{13,14} PRDDO is an approximate molecular orbital treatment which accurately reproduces the results of minimum basis set ab initio calculations in a fraction of the computational time. Open-shell calculations were performed within the Unrestricted Hartree-Fock (UHF) approximation.¹⁵ The accuracy of the PRDDO method has been well-documented.^{16,17} To check the accuracy of the PRDDO results in these systems, some calculations at the ab initio level were also performed using the STO-3G¹⁸ and STO-4-31G¹⁹ basis sets and the ab initio program GAMESS.²⁰

Band structure calculations were performed on polythiophene (PT), PEMT and PBEMT. The extended Hückel approach within the tight binding approximation, was employed.²¹⁻²⁷ This

technique, more commonly known as the Mulliken-Wolfsberg-Helmholtz technique,²⁸ employs an empirical Hamiltonian representing the one electron energy. The energy expectation values were evaluated using a linear combination of atomic orbitals (LCAO). Briefly, the set of all energy bands obtained from the solution of the secular equation describes the band structures of the

$$H(k) C_n(k) = S(k) C_n(k) E_n(k) \quad (1)$$

one-dimensional polymer chain, where $H(k)$ is the Hamiltonian operator, $S(k)$ is the overlap matrix, and the $C(k)$ is the expansion coefficient in LCAO. The Hamiltonian operator is defined as

$$H_{ij} = K S_{ij} (E_{ii} + E_{jj}) / 2 \quad (2)$$

where K is a scaling factor (usually 1.75) and E_{ii} denotes the one-electron eigenvalue. Due to symmetry considerations the energy bands are calculated within the first Brillouin zone, $-0.5 K \leq k \leq 0.5 K$ (where $K = 2\pi/a$ is the reciprocal lattice vector and a is the basis vector of the translational symmetry, which is parallel to the chain axis). The geometric parameters required, such as bond lengths and bond angles, were obtained from the PRDDO optimization of the raw crystallographic data. All the valence atomic orbitals of the H, C, and S atoms were included in the present calculation. The atomic parameters used for the calculations were obtained from the literature.^{22,25} The polythiophene backbone was assumed to be planar for the calculations reported in detail. A few additional calculations were done with nonplanar backbones.

Oxidative Doping of Polymers. Solution preparation for UV-VIS-NIR oxidation studies were all done under a nitrogen atmosphere. Polymer solutions (0.05 mg/ml) were made in chloroform. The oxidizing agent, nitrosylhexafluorophosphate (NOPF_6), was used as received from Strem Chemicals and dissolved in dry CH_3CN (40 mg/ml). Oxidized polymer solutions were prepared by adding appropriate amounts of NOPF_6 solution to the previously prepared chloroform solutions of polymer. Electronic spectra were obtained on Cary 14 and Varian 2300 spectrophotometers.

Optoelectrochemistry experiments²⁹ were done in $\text{CH}_3\text{CN}/\text{AgClO}_4$ (0.1M) after solution casting the polymers on Indium Tin Oxide (ITO) plated glass. The films were dried at 100°C for 30 minutes. The optoelectrochemical cell consisted of the polymer cast ITO glass working electrode and silver foil counter electrode in a quartz cuvet. The potential was controlled between 0.0 and 1.0 V using a Kikusui Model PAB 18-3A power source. After a small potential step the current was monitored and allowed to fall to background levels before spectra were obtained.

Complete solution oxidation of the polymers by NOPF_6 , Br_2 and I_2 was carried out in chloroform. In the case of PEMT, an equimolar mixture of oxidizing agent per monomeric unit was prepared while, for PBEMT, five times as much NOPF_6 was required. The reaction was allowed to proceed for 10 minutes and solid oxidized polymer was isolated by vacuum filtration and washed with chloroform. The solids, as dark blue-black powders, were dried in a vacuum desiccator.

Electrochemistry. Cyclic voltammetry was performed in propylene carbonate (0.1 M) tetrabutylammonium tetrafluoroborate using an EG&G PAR Model 273 Potentiostat/Galvanostat, a platinum button working electrode, a silver wire quasi-reference electrode and a platinum foil counter electrode. Polymers were solution cast onto the platinum working electrode.

Molecular Weights. Molecular weights were determined by gel permeation chromatography (GPC) against polystyrene standards using a Waters 840 system and tetrahydrofuran as the eluting solvent. Ultrastaygel columns (Waters), with porosities of 10,000, 5,000 and 100 Å in series, were used.

Conductivity Measurements. Measurements were made by either a two-probe method using a Keithly 610C Electrometer or a four-probe method using a Keithley 197 Autoranging Microvolt DMM. Samples were prepared by pressing between two copper wires in a capillary tube or as pressed pellets.

Results and Discussion

Monomeric Materials. The synthesis and characterization of BEMT has been well documented by Yabukov *et al.*⁹ EMT was synthesized in a similar manner, giving a clear oil b.p. 81-83°C (11 mm Hg). The IR and NMR data given in Tables 1, 2 and 3 are consistent with the structures of these materials.

The halogenation of the above compounds is reported here for the first time. Exhaustive bromination, shown in reaction (1), in the α -position of EMT gave a thick clear oil

INSERT REACTION (1) AND (2) HERE

(b.p. 145-147°C/11 mm Hg). The iodination of BEMT, shown in reaction (2), resulted in a yellow crystalline solid that has a melting point of 124-125°C. The purity and structure of these monomers were confirmed by IR and NMR results as shown in Tables 1, 2 and 3.

Polymerization Route. The polymerization route, using a Nickel catalyzed Grignard coupling reaction shown in reaction (3), was selected after futile attempts at electropolymerization.

INSERT REACTION (3) HERE

Electrooxidation in a number of solvent/electrolyte systems lead to formation of an orange colored, highly soluble, product and no precipitated or deposited polymer film. Other work in our laboratory³⁰ has demonstrated that a necessary condition for the successful polymerization of these and similar monomers (e.g., pyrrole) is that the spin density of the radical-cation monomers be located predominantly on the alpha carbons of the heterocyclic ring. The calculated spin densities for EMT and BEMT at the PRDDO-UHF level are shown in Figures 1 and 2 respectively. Clearly, neither monomer has significant positive spin density at *both* alpha carbons. This is entirely consistent with the observed failure of the electrochemical polymerizations. Calculations carried out on thiophene, bithiophene and 1,2-dithienylethylene³¹ all show significantly more spin density on the outer alpha positions. These monomers are easily electropolymerizable to electrode

deposited conductive polymer films. These examples show that, in a general sense, the determination of spin densities on these aromatic heterocycles can be used to discern whether or not a specific monomer will successfully electrochemically polymerize. Recently, Kaeriyama *et al.*⁸ have electrochemically polymerized a structurally similar monomer, 3-methylmercaptothiophene, and obtained solid films. The materials were found to be oligomers with degrees of polymerization of 7.4, while under similar conditions 3-methoxythiophene electrochemically polymerizes to a higher degree of polymerization of 79. This order of magnitude increase in chain length, with change of heteroatom, demonstrates the lower reactivity of the mercapto substituted monomer radical cation to polymerization.

Polymer Structural Properties. The principal IR absorption bands for the polymers and their assignments are listed in Table 1. Results for polythiophene (PT) and poly(3-hexylthiophene)(P-3-HT) are reported for comparison. The absorbances due to the ring vibrations found in the vicinity of $1520\text{--}1440\text{ cm}^{-1}$ and the C-H out of plane vibrations about 820 cm^{-1} are characteristic of 2,5 disubstituted thiophenes.³² PBEMT does not exhibit any absorbances at 820 cm^{-1} since no thiophene ring hydrogens are present. The aromatic C-H stretch at 3070 cm^{-1} observed in PEMT is due to the β -position hydrogen. Weak bands at 3090 cm^{-1} are present in both the PEMT and PBEMT polymers, which can be attributed to the terminal hydrogens on the polymer chains which are located in the α -positions. This band is not observed for PT and P3-HT, both reported previously and prepared in our laboratory, suggesting lower molecular weights for our polymers.

¹H-NMR results are listed in Table 2 for the polymer. There is no significant shift in the resonance upon going from non-halogenated monomers to polymers while splitting, due to proton coupling, is affected. Well defined triplets and quartets are observed for the methyl and methylene substituents in the monomers which are transposed into broad peaks for the polymers. This can be attributed to the inequivalent proton environments for the substituents along the polymer chain. The presence of two resonances for both the methyl and methylene groups in PEMT correspond to a mixture of head to tail and head to head linkages along the chain. The amount of these linkages,

shown in Figure 3, is not controlled in this synthesis. This is not a factor for PBEMT which is structurally symmetric. The aromatic proton resonances prove that a straight chain 2,5-thienylene polymer is formed and the weak resonances found for both polymers at 7.04 to 7.05 ppm are due to the α -position hydrogens of the chain ends.

The ^{13}C -NMR chemical shifts and their assignments found in Table 3, confirms the stability of the thiophene ring and the ethylmercapto substituents to the polymerization conditions. Two resonances are observed for the methylene group in PEMT, comparable to the ^1H NMR spectra, due to the different interchain linkages. A broad absorption in the aromatic region suggests numerous environments for the carbon nuclei of the thiophene rings. No other carbon nuclei are observed unlike the desulfurization of thiophene reported in the materials prepared by Kobayashi *et al.*¹²

Elemental analysis results are as expected for both polymers having atomic ratios of $\text{C}_{6.0}\text{H}_{5.9}\text{S}_{1.9}$ and $\text{C}_{8.0}\text{H}_{9.6}\text{S}_{3.0}$ for PEMT and PBEMT respectively.

Polymer Physical Properties. Similar to previously reported 3-substituted thiophene polymers^{3,4,7}, the neutral states of both PEMT and PBEMT are soluble in common organic solvents, including methylene chloride, chloroform, carbon tetrachloride and tetrahydrofuran. These polymers, however, are not soluble in more polar solvents such as methanol, acetone and water. Both polymers can be cast from solution onto mercury to form free standing, yet brittle, films. Means by which a film's mechanical properties can be improved are currently under investigation.

The number average molecular weights, determined by gel permeation chromatography are 2200 ($M_w = 13000$) for PEMT and 2600 ($M_w = 9000$) for PBEMT. This gives an average of about 15 repeat units for each polymer with a relatively broad polydispersity. These values should only be taken as approximate since it is common knowledge that comparison of polystyrene standards with conjugated polymers does not provide exact results. Difficulties in attaining a stoichiometric formation of active Grignard coupling sites is the expected problem in attaining high molecular weights since the polymers remain soluble throughout the polymerization.

Polymer Conformations. In order to gain some insight into the preferred conformations of PEMT and PBEMT, PRDDO calculations were performed on the fragments shown in Figures 4 and 5. These models were chosen because they are the smallest systems which contain all of the steric and electronic interactions expected to be important in the real polymeric systems. The all transoid conformations were adopted, since both ab initio STO-3G and STO 4-31G calculations predict bithiophene to be more stable in this conformation. Referring to Figure 4, a potential energy surface was generated, at the PRDDO level for a planar backbone ($\phi' = \phi'' = 0^\circ$), by varying ϕ_1 and ϕ_2 by 30 degree increments and optimizing ϕ_3 at each point. Only one minimum was found, which was subsequently refined to yield $\phi_1 = 72^\circ$ and $\phi_2 = 13^\circ$. This is a shallow minimum, and the all-planar configuration ($\phi_1 = \phi_2 = 0^\circ$) is only 1.7 kcal/mol higher in energy. Subsequent optimizations of ϕ' and ϕ'' yielded 5° and 0° , respectively; however, this potential is extremely shallow. The structure with a planar backbone ($\phi' = \phi'' = 0.0$, $\phi_1 = 72^\circ$, $\phi_2 = 13^\circ$) is calculated to be only 0.06 kcal/mole higher in energy. This small energy difference may well be numerically insignificant.

Similar calculations were carried out for PBEMT (Figure 5). Here, the steric requirements of the ethylmercapto groups force one to lie above and one below the plane of the polymer, and the all-planar structure ($\phi_1 = \phi_2 = \phi' = \phi'' = 0$) lies several hundred kcal/mol higher in energy than the minimum. Optimizations were performed assuming $\phi_1 = -\phi_1'$, $\phi_2 = -\phi_2'$, and $\phi' = -\phi''$. The final optimized values are: $\phi_1 = 78^\circ$, $\phi_2 = 11^\circ$, and $\phi' = 15^\circ$.

Band Gap and Polymer Oxidation. The electronic spectra (VIS-NIR) were obtained for the polymers at various levels of oxidation in solution and as films cast on ITO glass. Figure 6 shows absorption spectra of neutral solid films of PEMT and PBEMT in comparison to that of reduced polythiophene (from 2,2'-bithiophene electropolymerized onto ITO glass). The mono β -substituted polymer, PEMT, presents a band gap (onset of the $\pi \rightarrow \pi^*$ transition) at about 2.0 eV which is comparable to that of polythiophene. The di β -substituted polymer, PBEMT, presents a slightly higher band gap due to the presence of the two bulky ethylmercapto substituent causing a distorted preferred conformation.

The electronic spectra of these same polymers in solution shows a hypsochromic (blue) shift compared to their solid phase, 2.2 eV for PEMT and 2.4 eV for PBEMT. This shift implies a change to a more disordered, flexible conformation in solution.⁵ Both polymers were oxidized in solution via the incremental addition of NOPF₆ oxidizing agent. The absorption spectrum for a neutral and oxidized PEMT polymer solution is shown in Figure 7. The molar ratio of dopant to monomer repeat unit, commonly termed the y value for $[(C_6H_6S_2)(PF_6)_y]_x$, is increased to a point (Figure 7g) where $y=1$, assuming complete reactivity of the oxidizing agent. The $\pi \rightarrow \pi^*$ transition is depleted as two new lower energy bands start to grow. The two principle subgap absorptions with maxima at *ca.* 1.55 eV and *ca.* 0.85 eV in the doped polymer are consistent with charge storage predominantly via bipolarons.³³ As the dopant level is increased, the sub-gap absorptions are shifted slightly towards higher energies as seen in other polythiophenes. This can be attributed to depletion of electron density from the gap edge.

An optoelectronic study of PEMT film, cast on ITO glass, Figure 8, shows that both doping techniques give essentially the same results. The onset of the $\pi \rightarrow \pi^*$ transition is now found at *ca.* 2.0 eV with the two subgap transitions still at *ca.* 0.85 and *ca.* 1.55 appearing upon doping with the depletion of the interband transition. The striking similarity of these results indicate that, chemically or electrochemically, the species formed during oxidation are equivalent.

A similar set of oxidative doping experiments were carried out on PBEMT. In solution, spectra essentially equivalent to those obtained for PEMT were obtained, but excessive amounts of NOPF₆ oxidant were required ($y > 2$). The apparent excess addition of oxidant, with no visible sign of unreacted nitrosonium ion, suggests that the NOPF₆ may be reacting with the sulfur of the side chain. In the case of PBEMT, no changes in its absorption spectra were obtained during electrochemical oxidation. A possible explanation here is that the high content of ethylmercapto side groups is inhibiting charge transport through the material. This is addressed further in our discussion of bulk electrical conductivity.

It has been found that, as the length of the side group is increased, polymer solubility also increases. If solubility is mainly attributable to solvent/side chain interactions, little solvent effect

should be discernible in the $\pi \rightarrow \pi^*$ transition energy. In fact, spectra of PEMT run in CCl_4 ($\epsilon=2.24$), CHCl_3 ($\epsilon=4.81$), THF ($\epsilon=7.58$) and CH_2Cl_2 ($\epsilon=8.93$) were identical. As the molecular weight of the polythiophene backbone increases the polymer solubility conversely decreases. Since high molecular weight is not necessarily required for conductivity in these samples, it is expected that these low molecular weight soluble polymers will be useful in blends and composites with high molecular weight carrier polymers.³⁴

Band Structure Calculations

Standard extended Hückel calculations have been found to reproduce band structures of hydrocarbon polymers²¹⁻²⁷ fairly well. Initially, two chemical units of thiophene in the trans form were chosen as a repeat unit for unsubstituted polythiophene. The energy bands were calculated within the first Brillouin zone and are illustrated in Figure 9. Four highest occupied valence bands along with the lowest unoccupied conduction band are shown. The valence bands are mainly of π character, arising from the p_z orbitals of carbon and sulfur. The conduction band is of antibonding π^* character. The energy gap (which is the minimum separation between the highest occupied valence band and the lowest unoccupied conduction band) was found to be 1.74 eV, in good agreement with the experimentally accepted value of 2.0 eV. Our calculated bandwidth (BW) of the highest occupied band is 1.2 eV. For comparison, VEH calculations yield a band gap of 1.6-1.7 eV and a BW of 2.4-2.6 eV.³⁵

In a similar manner, two chemical units of EMT and BEMT were chosen as the repeat units for the band structure calculations. The corresponding energy bands for the planar forms of the polymers are shown in the Figs. 10 and 11. The energy gaps are calculated to be 1.67 and 1.60 eV for PEMT and PBEMT respectively. These values are in reasonable quantitative agreement with the experimental findings discussed above. The calculated band gaps are quite sensitive to the twist angle (ϕ' and/or ϕ'') assumed. For instance, assuming $\phi' = \phi'' = 10^\circ$ results in calculated band gaps of 1.87 and 1.73 eV for PEMT and PBEMT, respectively. Thus, the experimentally observed trends between solutions and the solid phase are probably a measure of the

conformational preferences of the polymer backbone and the electronic effects of the substituents are negligible.

In the case of PT, the highest occupied valence band and the lowest unoccupied conduction band are exclusively comprised of p_z orbitals of carbon and sulfur atoms and are purely π in nature. The ethylmercapto substituents lead to a significant mixing of 3s and 3p orbitals on the sulfur atoms of the ethylmercapto groups with the polythiophene orbitals. The dispersions of the highest occupied valence bands of PEMT, and PBEMT are of the order of 0.67 and 0.37 eV respectively. Thus, the highest π -band is much narrower in PEMT and PBEMT than in PT.

Electrochemistry. Cyclic voltammograms were obtained against silver wire quasi reference electrodes for both polymers. Oxidation potentials of 0.8 V for PBEMT and 0.75 V for PEMT were found. These values are comparable to the reported 0.7 V for poly(2,2'-bithiophene) and 0.77 V for poly(3-methyl thiophene) (vs. SCE).¹ Scanning to higher oxidative potentials resulted in the evolution of other peaks and loss of electroactivity. This may be due to the decomposition of the substituted thiophene repeat unit or side chain cleavage. These phenomena have been investigated more fully and will be reported elsewhere.³⁶

Electrical Conductivities. As synthesized, both PEMT and PBEMT are insulating polymers as shown by the conductivities reported in Table 4. Reaction of polymer solutions with either NOPF_6 , Br_2 or I_2 oxidants leads to precipitation of green/black semiconductive complexes in the form of insoluble powders. Though, as shown in Table 4, up to a 9 order of magnitude conductivity increase is seen in the case of PEMT, the values of 10^{-5} to $10^{-3} \Omega^{-1} \text{cm}^{-1}$ are lower than that observed for the 3-alkyl substituted thiophenes. An elemental analysis of Br_2 doped PEMT indicates that a relatively high dopant level is attained with about one Br_3^- for every four thiophene rings. A higher conductivity of $4 \times 10^{-1} \Omega^{-1} \text{cm}^{-1}$ was measured for a Br_2 doped unsubstituted polythiophene using comparable techniques.

The comparison of the conductivities of these, with related polymers, allows some generalizations to be made. The alkylmercapto substituted polythiophenes have lower

conductivities than their alkyl- or unsubstituted analogs in this, and other^{3,8}, work. One possibility for this is the nature of the charge carrier.

The commonly accepted bipolaronic charge carrier in these α,α' -linked polyheterocycles is shown in Figure 12 A for the specific case of the ethylmercapto polythiophene. It can be seen that another possible resonance form, Figure 12 B, might exist in which the positive charge is localized on the sulfur atoms of side chains. We have carried out charge density calculations on these two charged structures and find that neither is dominant and the charge appears to be spread over the entire structure. Thus, a significant fraction of the positive charge may be centered on the ethylmercapto side chain. This charge is more localized and could serve to decrease carrier mobility in the polymer. Examination of the bipolaronic structure for PBEMT indicates that charge localization on the substituent sulfur atoms is also likely.

It was recently reported³⁷ that cis-polyisoprene, a non-conjugated polymer with isolated double bonds, could attain conductivities as high as $10^{-2} \Omega^{-1} \text{ cm}^{-1}$ when subjected to I_2 doping. These charges, localized due to the lack of conjugation, are mobile via hopping processes. Similar charge hopping may be occurring in the polymers investigated here where the charge is somewhat localized on the sulfur atoms. This model can serve to explain the low conductivities measured in PEMT while the optical absorption experiments definitely show the presence of bipolarons. It should be pointed out that this resonance form only exists in the presence of head-head linkages along the chain where the substituted β -carbons are adjacent to one another.

Chemically synthesized,³ I_2 doped, and electrochemically synthesized⁸ poly(3-methylmercaptothiophene) (PMMT) has a reported conductivity of $10^{-1} \Omega^{-1} \text{ cm}^{-1}$; two orders of magnitude higher than the maximum reported for PEMT here but still four orders of magnitude lower than the highest value reported for poly(3-methylthiophene).³⁸ The similarity in structure between PMMT and PEMT suggest reasons other than charge localization may be causing the lowered measured conductivities in PEMT. The number of possibilities is quite broad including molecular weight, morphology and extent of oxidation. For example, we have found large differences in the electrochemical charge

transport properties of PMMT, when synthesized under varied experimental conditions, which can be explained by the morphology of the films obtained.³⁹

Oxidation level also deserves strong consideration in that it is commonly known that conductivity is a function of dopant level. Recent work,^{36,40,41} has shown that over oxidation causes a loss of electroactivity in polythiophenes in general and this effect was observed as a conductivity decrease for PMMT in highly doped samples. To evaluate this in the case of PEMT we carried out a series of I_2 doping experiments reducing the amount of I_2 . In these experiments only a decrease in conductivity was observed suggesting over oxidation is not limiting charge transport here.

The conductivity of PBEMT when doped is lower than PEMT. This is comparable to other dialkyl substituted polythiophenes³ and can, in addition to the effects described above, be attributed to a greater degree of steric hindrance between substituents forcing the chain partially out of planarity and inhibiting interchain packing of neighboring polythiophene chains. Figure 5 illustrates this latter possibility by showing the necessity of the two substituents of being on opposite faces of the thiophene ring. When this occurs in a polymer it is difficult for the π systems of two chains to interact.

Conclusion and Summary

Poly(3-ethylmercaptothiophene) and poly[3,4-bis(ethylmercapto)thiophene] are both soluble conjugated polymers in the reduced state, with structures composed of 2,5-thienyl linkages. The Grignard coupling polymerization method affords materials that can be cast into free standing films, though structural and physical analyses indicate a relatively low degree of polymerization (15-20). This low DP is not necessarily a problem in that both polymers exhibit bipolaronic charge carriers upon oxidation and elevated conductivities. Comparison of the doped conductivities of PEMT ($10^{-3} \Omega^{-1} \text{ cm}^{-1}$) with poly(3-alkyl thiophenes) show the former to be *ca.* 3-6 orders of magnitude lower. One possible explanation for this is partial positive charge localization onto the sulfur atom of the ethylmercapto substituent. Theoretical analyses indicate that

the polymers minimum energy conformations lead to planar conjugated backbones with some distortion in the disubstituted material. The calculated band gaps, using the Extended Hückel technique approximate those found experimentally and show little, if any, substituent effects. Thus differences between the spectra of polymers in solution and solid polymer films can be attributed to conformational changes.

Acknowledgments. This work was supported by grants from the Defense Advanced Research Projects Agency, monitored by the Office of Naval Research, and the Robert A. Welch Foundation.

References

- (1) Reynolds, J.R. *Molec. Elec.* **1986**, *2*, 1.
- (2) Skotheim, T.J., Ed. *Handbook of Conducting Polymers*; Marcell Dekker: New York, 1986.
- (3) Elsenbaumer, R.L.; Jen, K.Y.; Oobodi, R. *Synth. Met.* **1986**, *15*, 169.
- (4) Sato, M.; Tanaka, S.; Kaeriyama, K. *J. Chem. Soc. Chem. Comm.* **1986**, 873.
- (5) Hotta, S.; Rughooptha, S. D.D. V.; Heeger, A.J.; Wudl, F. *Macromolecules* **1987**, *20*, 212.
- (6) Nowak, M.J.; Rughooptha, S.D.D.V.; Hotta, S.; Heeger, A.J. *Macromolecules* **1987**, *20*, 965.
- (7) Bryce, M.R.; Chissel, A.; Kathirgamanathan, P.; Parker, D.; Smith, N.R.M. *J. Chem. Soc. Chem. Comm.* **1987**, 466.
- (8) Tanaka, S.; Sato, M.; Kaeriyama, K. *Synth. Met.*, in press.
- (9) Yabukov, A.P.; Grigor'eva, N.V.; Belen'kii, L.I. *J. Org. Chem. USSR*, **1978**, *14*, 593.
- (10) Ongley, P.A., Ed. *Organicum: Practical Handbook of Organic Chemistry*; Addison-Wesley: Massachusetts, 1973.
- (11) Barker, J.M.; Huddleston, P.; Wood, M.L. *Syn. Comm.* **1975**, *5(1)*, 59.
- (12) Kobayashi, M.; Chen, J.; Chung, T.C.; Moraes, F.; Heeger, A.J.; Wudl, F. *Synth. Met.* **1984**, *9*, 77.
- (13) Halgren, T. A.; Lipscomb, W. N. *J. Chem. Phys.* **1973**, *58*, 1569.
- (14) Marynick, D. S.; Lipscomb, W. N. *Proc. Nat. Acad. Sci. U.S.A.* **1982**, *79*, 1341.
- (15) Pople, J. A.; Beveridge, D. L. *Approximate Molecular Orbital Theory*, McGraw Hill, New York, **1970**.
- (16) Halgren, T. A.; Kleier, D. A.; Hall, J. H.; Brown, L. D.; Lipscomb, W. N. *J. Am. Chem. Soc.* **1978**, *100*, 6595.
- (17) Throckmorton L.; Marynick, D. S. *J. Comput. Chem.* **1985**, *6*, 652.

- (18) Hehre, W. J.; Stewart, R. F.; Pople, J. A. *J. Chem. Phys.* **1969**, *51*, 2657.
- (19) Ditchfield, R.; Hehre, W. J.; Pople, J. A. *J. Chem. Phys.* **1971**, *54*, 724.
- (20) Dupuis, M.; Spangler, D.; Wendoloski, J. J. General Atomic and Molecular Electronic Structure System, National Resources for Computations in Chemistry, as modified by: Schmidt, M. W., North Dakota State University; Elbert, S. T., Iowa State University.
- (21) Hoffman, R. *J. Chem. Phys.* **39** **1963**, *39*, 1397.
- (22) Whangbo, M. H.; Hoffmann, R. *J. Amer. Chem. Soc.* **1978**, *100*, 6093.
- (23) Whangbo, M. H.; Hoffmann, R.; Woodward, R. B. *Proc. R. Soc.* **1979**, *A23*, A366.
- (24) Imamura, A.; *J. Chem. Phys.* **1970**, *52*, 3168.
- (25) Bhaumik, D.; Mark, J. E. *J. Polym. Sci., Polym. Phys. Ed.* **1983**, *21*, 1111.
- (26) Nayak, K.; Mark, J. E. *Makromol. Chem.* **1985**, *186*, 2153.
- (27) Nayak, K. *J. Mat. Sci.* **1986**, *21*, 2322.
- (28) McGlynn, S. P.; Vanquickenborne, L. F.; Kinoshita, M.; Carrol, D. G. "Introduction to Applied Quantum Chemistry" Holt, Rinehard and Winston, New York, 1972, 1 p. 67.
- (29) Chung, T.C.; Kaufman, J.H.; Heeger, A.J.; Wudl, F. *Phys. Rev. B.* **1984**, *30*, 702.
- (30) Black, D.A.; Marynick, D.S.; Poropatic, P.A.; Reynolds, J.R., *unpublished results*.
- (31) Martinez, M.; Reynolds, J.R.; Basak, S.; Black, D.A.; Marynick, D.S.; Pomerantz, M., *J. Polym Sci., Polym. Phys. Ed.*, **1988**, *26*, 911.
- (32) Hartough, H.D., Ed. *The Chemistry of Heterocyclic Compounds: Thiophene and its Derivatives*; Inter Science: New York, 1952.
- (33) Brédas, J.L.; Street, G.B. *Acc. Chem. Res.* **1985**, *18*, 309; Brédas, J.L.; Tehemans, B.; Inipiat, J.G.; Andre, J.M.; Chance, R.R. *Phys. Rev. B.* **1984**, *29*, 6761; Brédas, J.L.; Scott, J.C.; Yakushi, K.; Street, G.B. *Phys. Rev. B.* **1984**, *30*, 1023.
- (34) Hotta, S; Rughooputh, S.D.D.V.; Heeger, A.J., *Synth. Met.* **1987**, *22*, 79.

- (35) Riga, J.; Snauwaert, P.H.; DePryck, A.; Lazzaroni, R.; Boutique, J. P.; Verbist, J. J.; Bredas, J. L.; Andre, J. M.; Taliani, C. *Synth. Met.* **1987**, *21*, 223.; Themans, B.; Andre, J. M.; Bredas, J. L. *Synth. Met.* **1987**, *21*, 149.
- (36) Tsai, E.W.; Basak, S.; Ruiz, J.P.; Reynolds, J.R.; Rajeshwar, K. *J. Electroanal. Chem.*, submitted.
- (37) Thakur, M. *Macromolecules* **1988**, *21*, 661.
- (38) Roncali, J.; Yassar, A.; Garnier, F. *J. Chem. Soc., Chem. Commun.* **1988**, 581.
- (39) Reynolds, J. R.; Hsu, S. G.; Arnott, H. J. *J. Polym. Sci., Polym. Phys. Ed.*, submitted.
- (40) Ofer, D.; Wrighton, M. S. *J. Am. Chem. Soc.* **1988**, *110*, 4467.
- (41) Krische, B.; Zagorska, M. *Synth. Met.*, in press.

TABLE 1
INFRARED ABSORPTION POSITIONS AND ASSIGNMENTS
FOR MONOMERIC AND POLYMERIC MATERIALS (cm⁻¹)

	AROM C-H α	β	ALIPH C-H	RING STRETCH	METHYL DEFORMATION OUT OF PLANE	AROM C-H
EMT	3101	3066	2974 2924 2870	1492 1446 1427	1373	1099, 852, 779
Dibromo-EMT	—	3066	2974 2931 2916	1500 1446 1427	1373	825
PEMT	3090	3070	2974 2916 2867	1508 1481 1423	1373	825
BEMT	3101	—	2970 2924 2870	1473 1446 1427	1373	960, 856, 787
Diiodo-BEMT	—	—	2974 2962 2920	— 1442 1423	1373	—
PBEMT	3090	—	2968 2922 2865	1508 1489 1446	1373	—
Polythiophene ^a	—	3063	—	1491 1453 1441	—	788
Poly(3-hexyl thiophene) ^b	—	3055	2959 2930 2858	1512 1458 1439	1377	825

^aPolythiophene synthesized in our laboratory via Kobayashi method.

^bFrom Reference 5.

TABLE 2
PROTON NMR CHEMICAL SHIFTS AND ASSIGNMENTS
FOR MONOMERIC AND POLYMERIC MATERIALS (PPM)

COMPOUND	AROMATIC		METHYLENE	METHYL
	α H	β H		
EMT	6.95 (m)	7.05 (d)	2.77 (q)	1.20 (t)
Dibromo-EMT	—	6.83	2.80 (q)	1.23 (t)
PEMT	7.04 (w)	7.12	2.91, 2.50	1.57, 1.31
BEMT	7.07 (s) [6.99] ^a	—	2.90 (q) [2.78] ^a	1.30 (t) [1.23] ^a
Diiodo-BEMT	—	—	2.97 (q)	1.20 (t)
PBEMT	7.05 (w)	—	2.88	1.17

^a Values in brackets by Yabukov, *et al.*⁸ in CCl₄.

TABLE 3
CARBON-13 NMR CHEMICAL SHIFT AND ASSIGNMENTS
FOR MONOMERIC AND POLYMERIC MATERIALS (ppm)

	α C	β C	-CH ₂ -	-CH ₃
EMT	129.61 123.17	125.88 131.78	29.23	14.59
Dibromo-EMT	110.89 113.07	132.32 135.59	29.30	14.81
PEMT	130 to 135 (broad)		29.30	14.83
BEMT	123.14	133.48	28.29	14.12
Diiodo-BEMT	90.89	140.83	30.78	14.64
PBEMT	135.05	137.78	30.71	14.57

TABLE 4
ELECTRICAL CONDUCTIVITY FOR NEUTRAL AND
OXIDIZED POLYMERS ($\Omega^{-1} \text{ cm}^{-1}$)

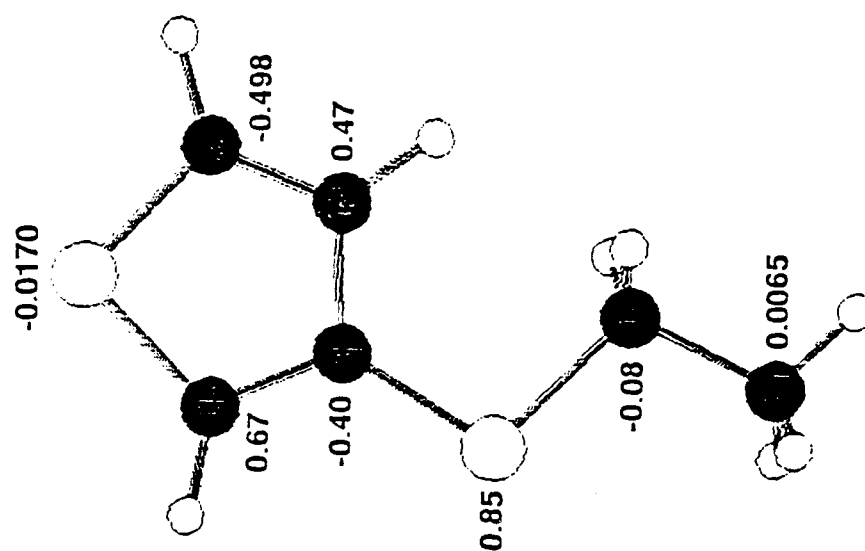
	NEUTRAL	OXIDIZED		
		NOPF ₆	Br ₂	I ₂
PEMT	2×10^{-12}	2×10^{-5}	1×10^{-5}	1×10^{-3}
PBEMT	2×10^{-13}	2×10^{-7}	—	—

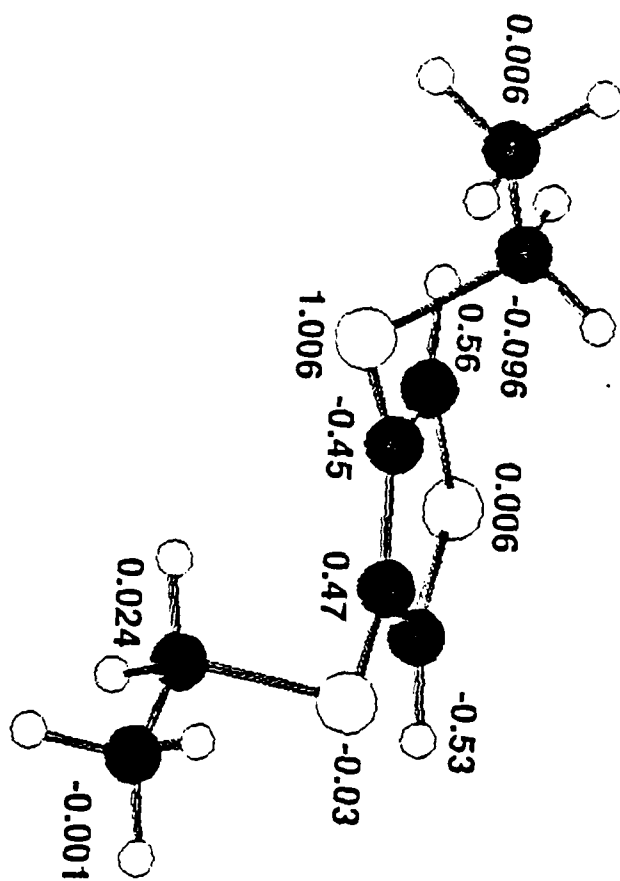
Figure Captions

- Figure 1.** Radical cation spin density for 3-ethylmercaptothiophene.
- Figure 2.** Radical cation spin density for 3,4-bis(ethylmercapto)thiophene.
- Figure 3.** Head to Tail (A) and Head to Head (B) linkages for poly(3-ethylmercaptothiophene).
- Figure 4.** 3-ethylmercapto substituted thiophene trimer used in conformational analysis. ϕ' and ϕ'' are the torsional angles between rings. ϕ_1 , ϕ_2 and ϕ_3 are the torsional angles of the C-S, S-C and C-C bonds of the ethylmercapto substituent respectively.
- Figure 5.** 3,4-bis(ethylmercapto) substituted thiophene trimer used in conformational analysis. Similar notations are used for the torsional angles as specified in Figure 4.
- Figure 6.** Optical absorption spectra of PEMT, PBEMT and PT in the reduced (or neutral) solid form.
- Figure 7.** Solution doping of poly(3-ethylmercaptothiophene) with NOPF_6 in CHCl_3 : a) $y = 0.00$, b) $y = 0.04$, c) $y = 0.08$, d) $y = 0.12$, e) $y = 0.16$, f) $y = 0.32$, g) $y = 1.00$.
- Figure 8.** Optoelectrochemistry of poly(3-ethylmercaptothiophene) with AgClO_4 in acetonitrile: a) 0.00 V, b) 0.09 V, c) 0.21 V d) 0.30 V, e) 0.42 V, f) 0.54 V.
- Figure 9.** Energy bands as a function of wave-vector, k , in the first Brillouin zone for polythiophene. Four top most filled valence bands (π) along with the lowest occupied conduction (π^*) band are displayed.
- Figure 10.** Energy bands as a function of wave-vector, k , in the first Brillouin zone for poly(3-ethylmercaptothiophene). Four topmost filled valence band (π) along with a conduction band (π^*) are shown.
- Figure 11.** Energy bands as a function of wave-vector, k , in the first Brillouin zone for poly[3,4-bis(ethylmercapto)thiophene]. Four topmost filled valence bands (π) and the lowest unoccupied conduction band (π^*) are displayed.

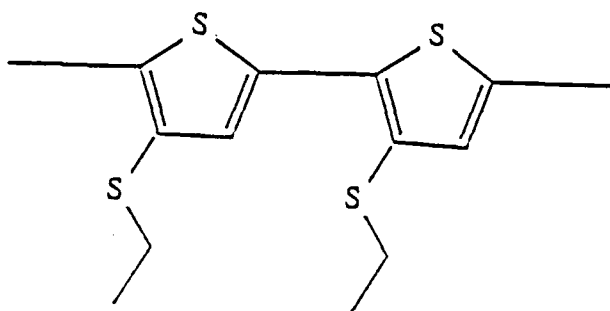
Figure 12. Possible resonance forms for a doubly charged bipolaronic carrier on poly(3-ethylmercaptothiophene).

F1

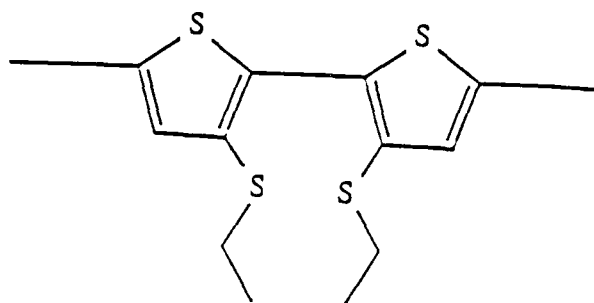




F3

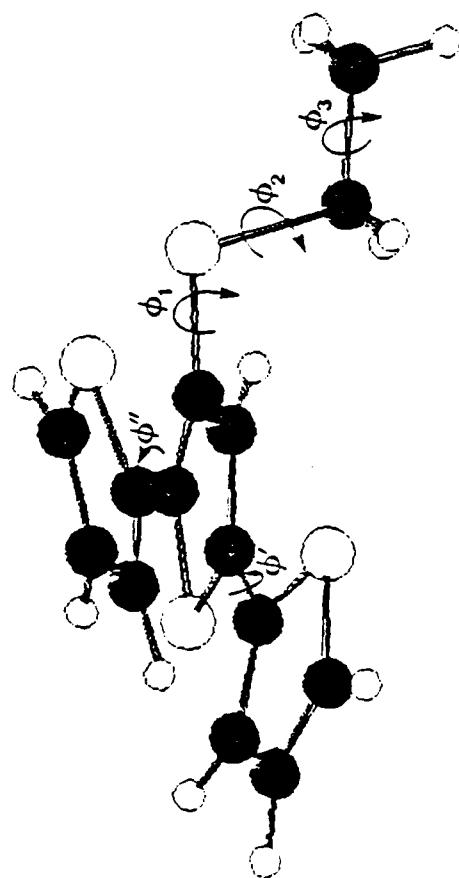


A

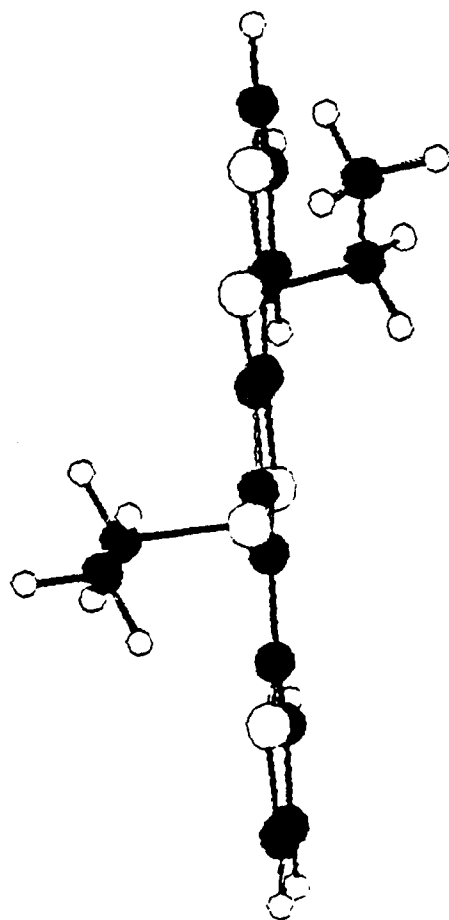


B

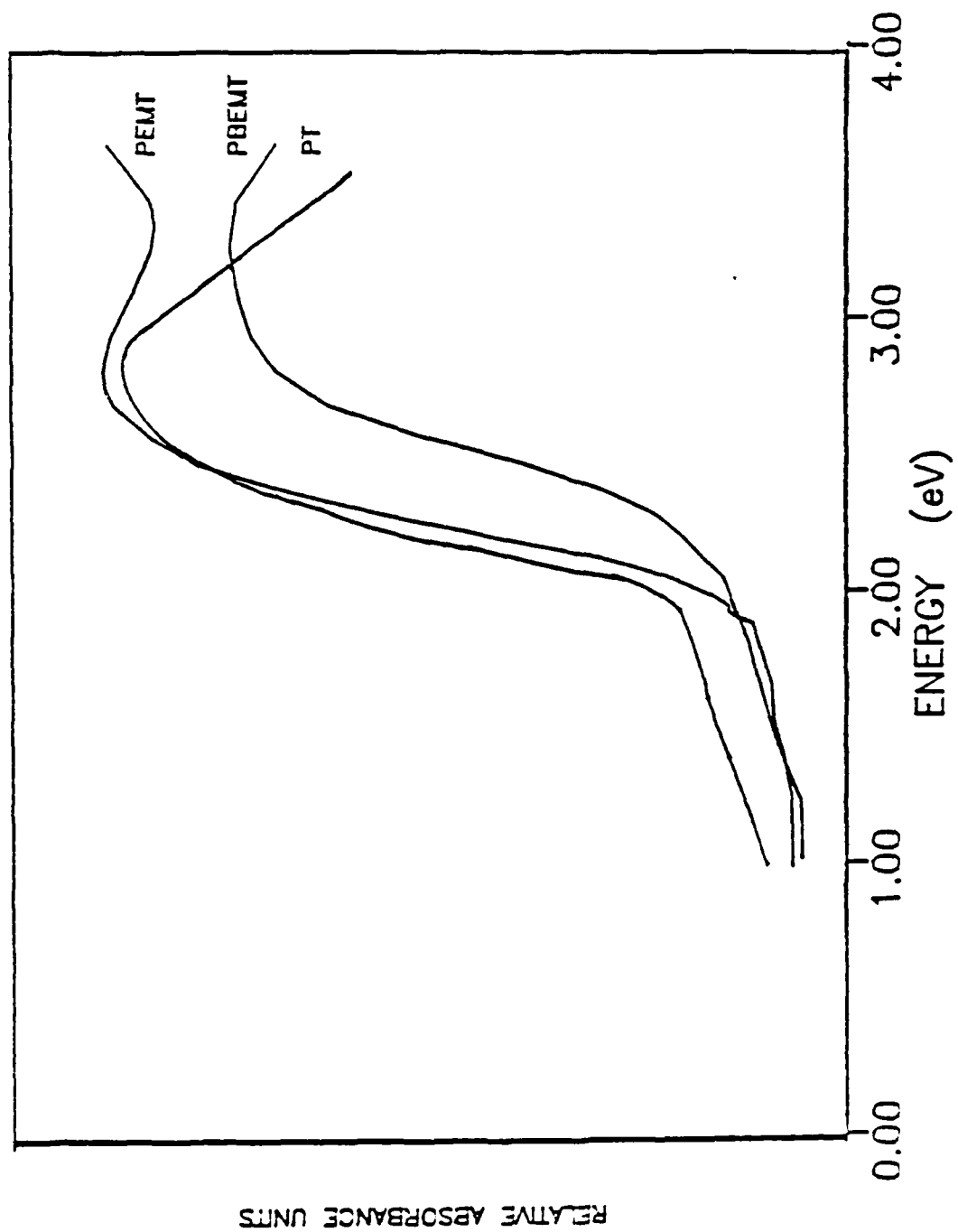
F4



F5



F6



RELATIVE ABSORBANCE UNITS

0.00

1.00

2.00

3.00

4.00

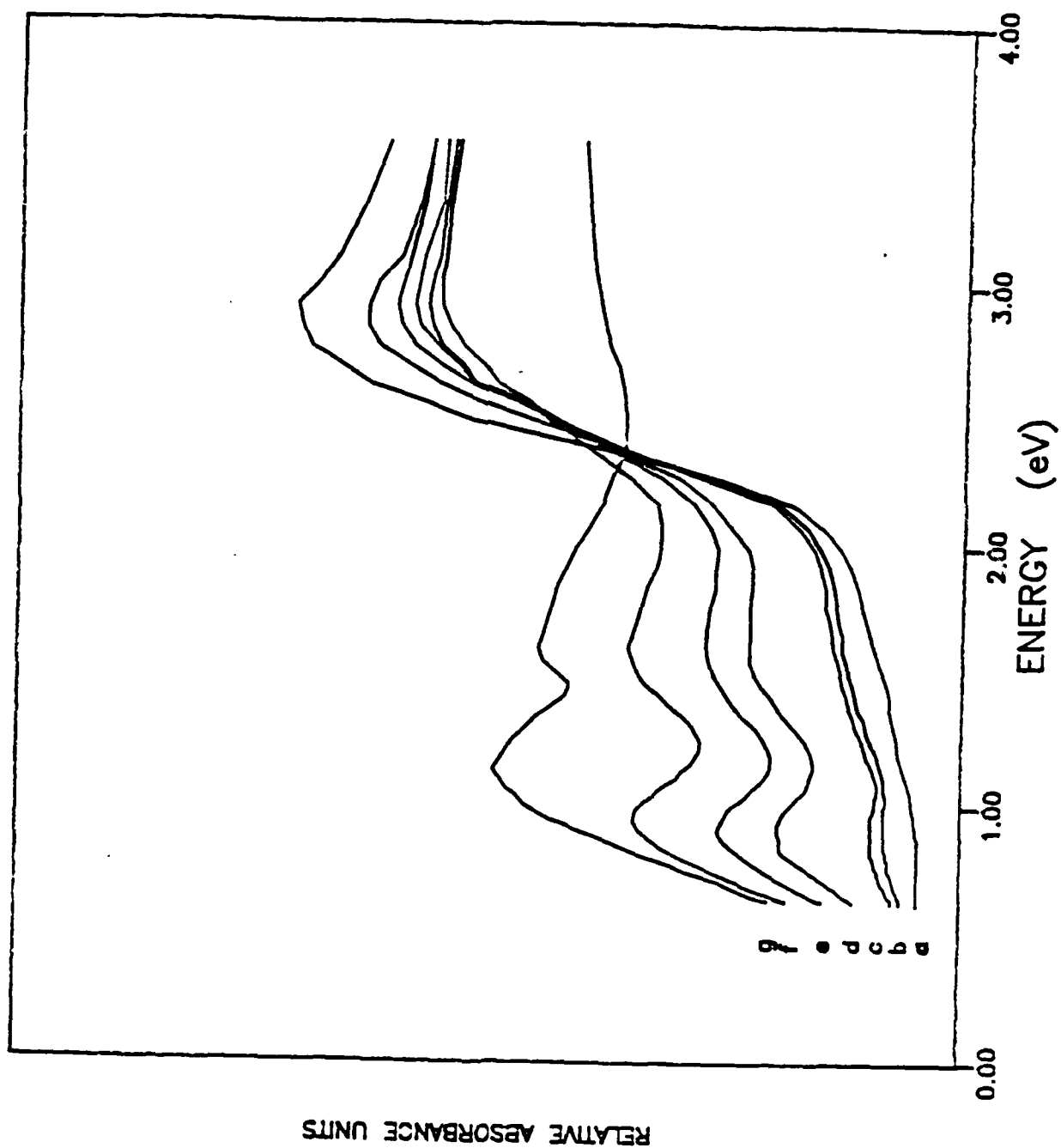
ENERGY (eV)

PT

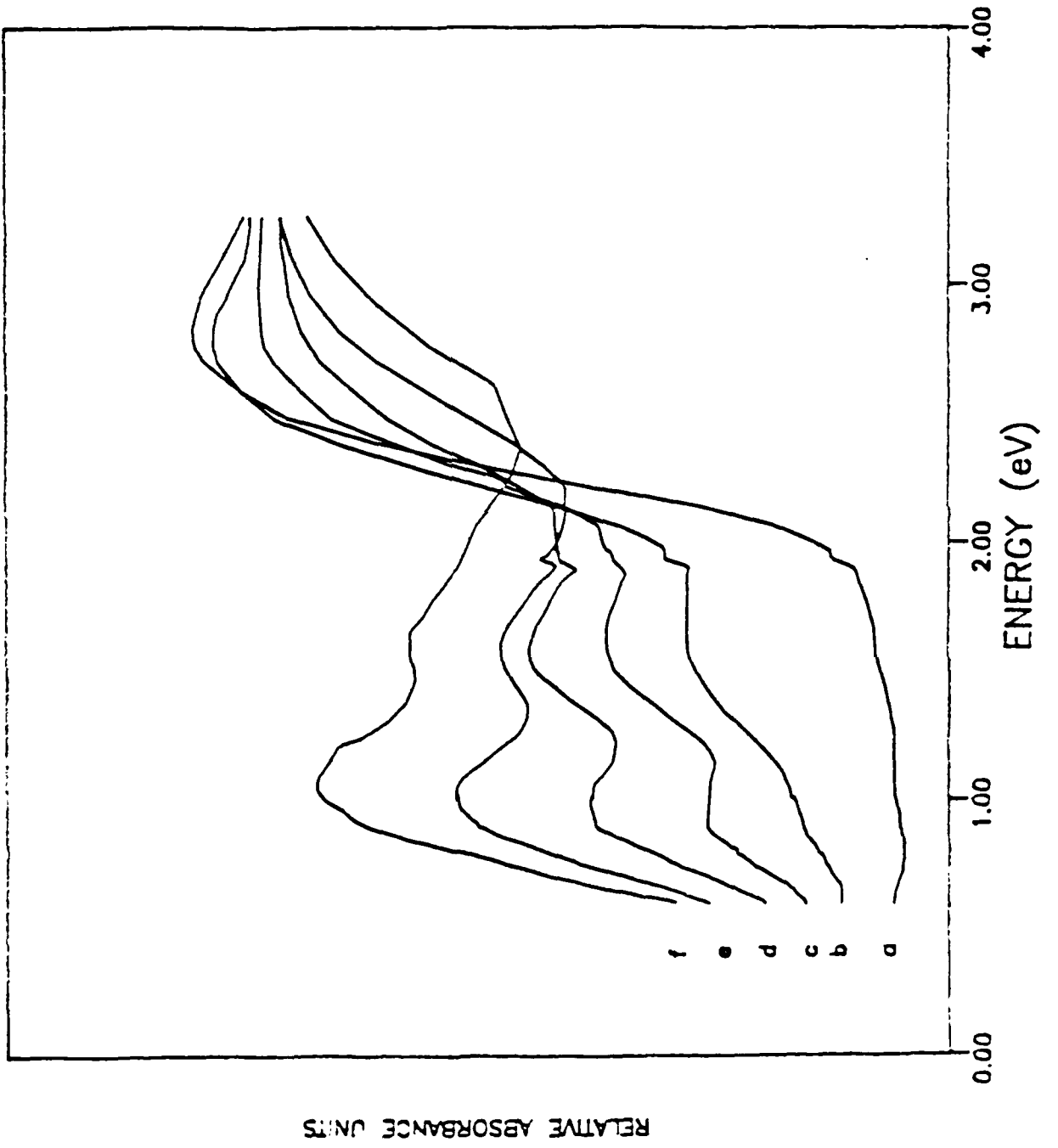
PBENT

PENT

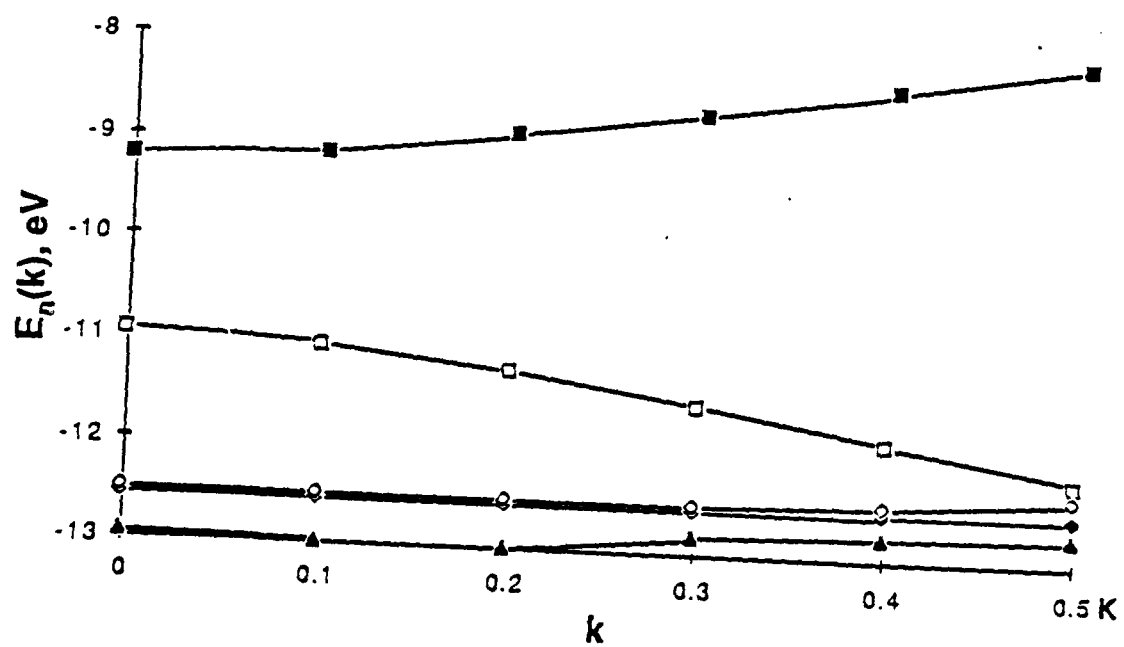
F7



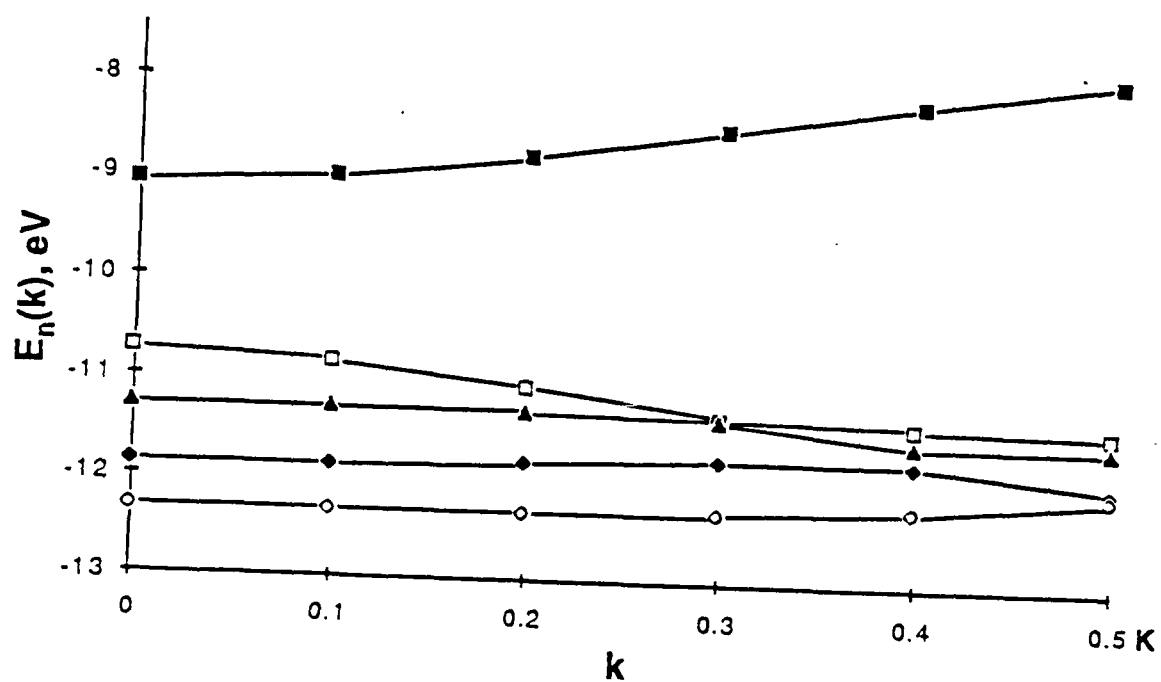
F8



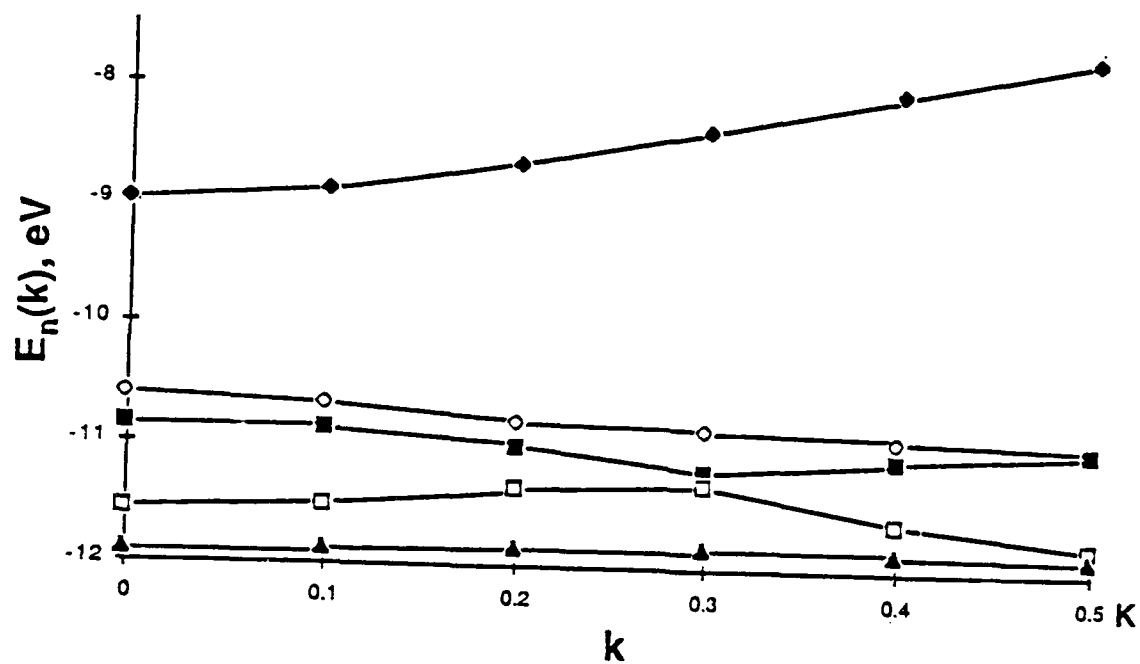
F9



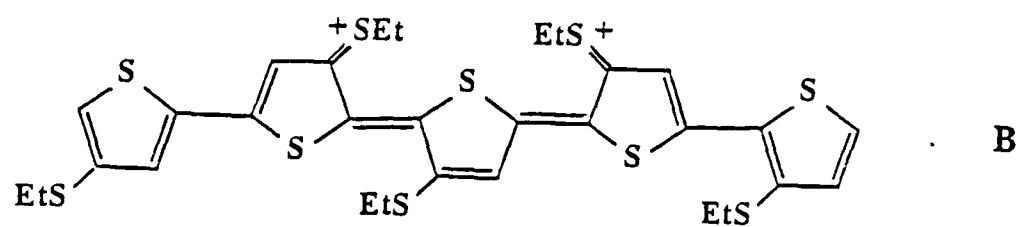
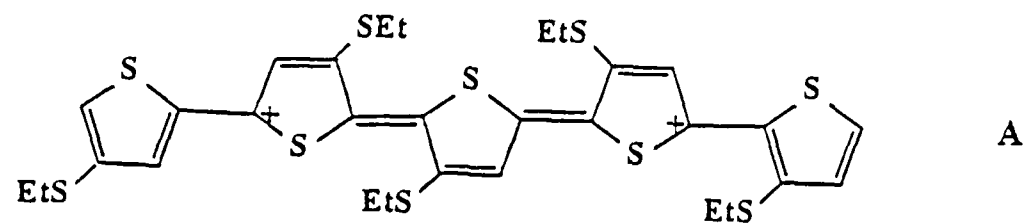
F10



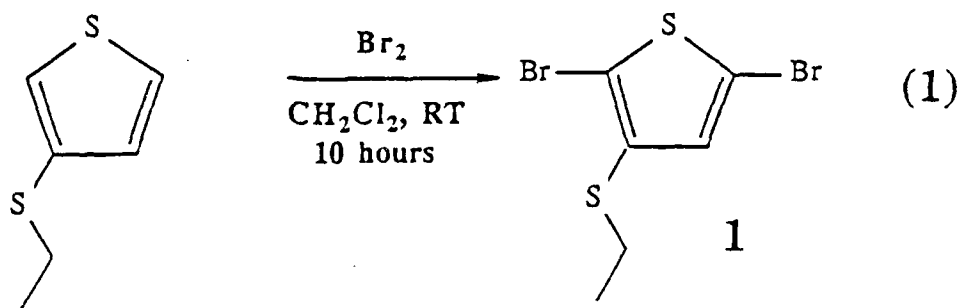
F11

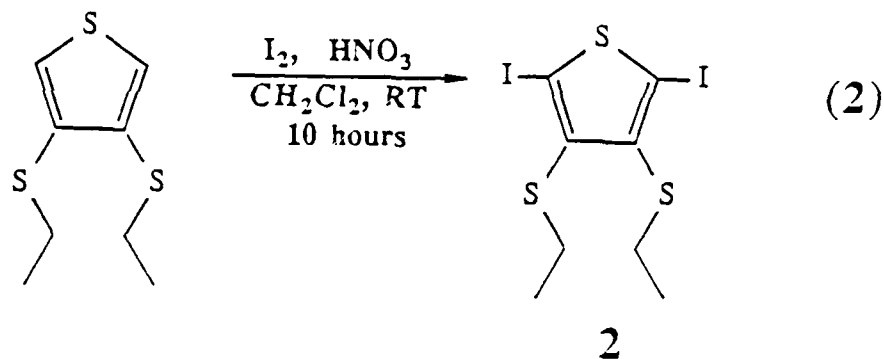


F12

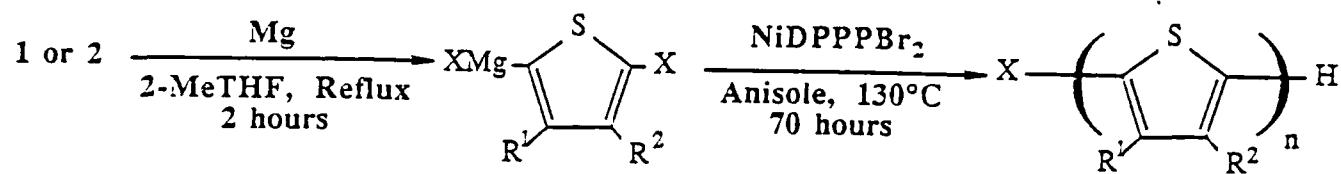


F12





2



3. $\text{R}^1 = \text{H}$, $\text{R}^2 = \text{EtS}$; $\text{X} = \text{Br}$

4. $\text{R}^1 = \text{R}^2 = \text{EtS}$; $\text{X} = \text{I}$

(3)