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Determination of Ion Power Density During Reactive-Ion Etching  
from Temperature Measurements Using Laser Interferometry

by

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Determination of Ion Power Density During Reactive-Ion Etching  
from Temperature Measurements Using Laser Interferometry

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A method to determine the ion power density during RIE using a laser interferometer is described. Thickness changes due to heating of thin ( $d < 0.3$  cm) plate-glass substrates during RIE processing are monitored via interferometry. The thickness changes are directly related to temperature changes within the isothermal substrate, which allows the plate-glass temperature to be monitored accurately and non-intrusively. Ion energy flux determinations follow directly from the heating and cooling dynamics of the substrate. The measured ion energy fluxes are constant for all time during a run to within experimental error ( $\pm 10\%$ ) and are in good agreement with predictions given by the space-charge limited flux expression. The rf power transfer efficiency, defined as the ion energy flux delivered to the cathode relative to the nominal rf input power, is less than 50%. The  $O_2$  RIE rate of poly(methyl methacrylate) and poly(styrene) were found to vary linearly with the measured ion energy flux to the surface over a broad range of processing conditions.

INTRODUCTION

Reactive-ion etching (RIE) is a plasma process commonly used to produce highly anisotropic circuit features in the fabrication of very large scale integrated (VLSI) circuits. However, the fundamental plasma-surface interactions, which govern the etching process, are not well understood. These shortcomings will eventually limit the usefulness of RIE technology in future generations of chip-making processes, unless further improvements in these areas can be made.

Recent studies of the oxygen reactive-ion etching of organic polymer resist materials have revealed that the ion energy flux to the powered-electrode (cathode) surface appears to be the principal controlling factor

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of etch rate [1,2]. In addition, the ion energy flux, or ion power density, to the cathode surface is intimately related to substrate temperature dynamics. Large variations in substrate temperature can drastically affect etch rate leading to an overall decrease in process controllability. Thus, it is essential to quantify the relationship between ion energy flux and etch rate over a wide range of processing conditions. This necessitates the further development and implementation of accurate and reliable measurement techniques.

#### Determination of Ion Power Density

The ion power density to the electrodes during radio-frequency (rf) plasma processes, as pointed out by Visser [3], is not easily obtained. One way to determine ion power density is by measuring the ion current density (ion flux) and the dc self-bias potential independently, and then multiplying the two together, which yields the ion power density. A Faraday-cup in conjunction with a picoammeter can be used to measure the ion current density at the cathode. However, rf applications of conventional current-voltage probe techniques are unreliable, since the finite parasitic capacitance and inductance properties of the connecting wires can alter the current-voltage characteristics of the probe significantly [4].

Visser has shown that substrate heating during RIE processing is due, almost exclusively, to high-energy ion bombardment. By performing an elementary energy balance on the substrate, he showed that the ion power density,  $q_+$ , can be determined directly from in situ substrate temperature measurements [4].

#### Substrate Temperature Measurement Devices

For his study, Visser used a fluoroptic probe to measure the temperature dynamics of a silicon wafer immersed in the RIE reactor. Fluoroptic

probes are very accurate and well-suited for rf applications, but they are also expensive and require a highly undesirable external connection between the wafer and the outside of the apparatus.

It was of interest in this work to see if a less expensive, possibly simpler, technique could be developed to measure substrate temperature (and therefore  $q_+$ ) during RIE processing. Several alternative substrate temperature sensing devices were available, which included: conventional thermocouple junctions that have been shielded or removed from the plasma [5,6], infrared pyrometry, and laser interferometry.

Junction thermocouples, like any other electrical measurement scheme at rf frequencies, are vulnerable to signal interference effects due to stray inductance effects. Infrared thermometers, designed specifically for silicon wafer applications, are sensitive only to temperatures above about 200°C [7]. However, laser interferometry can be used to monitor accurately the rate of thermal expansion of optically smooth plate-glass substrates immersed in a plasma reactor [8]. By relating the rate of thermal expansion to the rate of substrate temperature rise, substrate temperature is measured accurately and non-intrusively (i.e. no external electrical connections are required).

#### **Laser Interferometer Thermometer**

Since the RIE system in our laboratory was already equipped with a laser interferometer, this method was chosen to make in situ substrate temperature measurements. At the very least, this technique could be used as a comparative tool to measure differences in rates of heating and cooling and steady-state temperatures as the RIE processing conditions were changed.

It turned out to be a much more powerful tool, enabling ion energy heat fluxes to be determined absolutely.

In order to incorporate a laser interferometer plate-glass thermometer into a system, certain conditions must be satisfied. They include: constant plate-glass physical properties; thermal expansion rate  $\gg$  material removal rate by sputtering and/or chemical etching; and negligible thermal gradients within the sample.

These conditions are not constraining, at least for the case of argon and oxygen plasma environments, used initially in this study. The physical properties that are most critical to the operation of the plate-glass laser interferometer, namely, specific heat, mass density, and coefficient of linear thermal expansion, are generally constant in the 0 to 400°C range for a multitude of  $\text{Na}_2\text{O}\cdot\text{SiO}_2$  glasses [9].

Thermal expansion rates are on the order of 500 nm/min, which is at least an order of magnitude greater than sputtering rates of  $\text{SiO}_2$  by hundred keV ions [10]. Finally, heat fluxes during RIE processes, estimated from the space-charge-limited ion current, are approximately an order of magnitude less than thermal conductive heat fluxes within a plate-glass substrate. This implies that for the case of substrate heating during RIE processing, thermal gradients within the plate-glass specimen are negligible and can safely be ignored (see appendix for more details).

### Theory of Operation

The change in thickness of the plate-glass specimen,  $\Delta d$ , is related to the change in specimen temperature,  $\Delta T_s$ , by

$$\Delta d = \alpha \cdot d_o \cdot \Delta T_s \quad (1)$$

where  $\alpha$  is the coefficient of thermal expansion and  $d_0$  is the initial specimen thickness. When a laser beam of vacuum wavelength  $\lambda$  is aimed obliquely at the plate-glass surface (figure 1), a change in thickness changes the optical path difference between two successive rays measured on a common wavefront. The phase angle,  $\phi_2$ , between the two rays is given by,

$$\phi_2 = 4\pi n_2 d \cos \theta_2 / \lambda \quad (2)$$

where  $n_2$  is the refractive index of the plate-glass and  $\theta_2$  is the incident beam angle within the substrate. The ratio of reflected light intensity to incident intensity,  $R$ , is a periodic function of glass thickness, all other parameters remaining fixed. The thickness period,  $d_p$ , corresponding to the thickness change required for one complete reflectance oscillation to occur, is given by,

$$d_p = \left( \frac{\lambda}{2n_2 [1 - (n_1/n_2)^2 \sin^2 \theta_1]^{1/2}} \right) \quad (3)$$

where  $n_1$  is the plasma refractive index ( $\approx 1.0$ ). For the case of thermal expansion,  $d_p$  is related to a characteristic change in temperature,  $\Delta T_p$ , given by,

$$\Delta T_p = d_p / (\alpha d_0) \quad (4)$$

which forms the basis for a temperature measurement device. Substituting equation 4 into equation 3,

$$\Delta T_p = \left( \frac{\lambda}{2n_2 \alpha d_0 [1 - (n_1/n_2)^2 \sin^2 \theta_1]^{1/2}} \right) \quad (5)$$

The total change in temperature,  $\Delta T_{tot}$ , follows directly,

$$\Delta T_{tot} = N \cdot \Delta T_p \quad (6)$$

where  $N$  is the total number of interference fringes recorded. Measurement resolution is seen to vary inversely with  $d_o$ . However, increasing  $d_o$  also attenuates the amplitude ratio of the laser waveform, which ultimately limits the ability to resolve local minima/maxima. Experimentally, this turned out to be about  $d_o=0.3$  cm.

One notes that the constant  $\Delta T_p$  can be determined a priori from a knowledge of a few physical properties. The refractive index of plate-glass can be estimated from the literature to within a few percent. A larger degree of uncertainty lies in the estimate of  $\alpha$ , associated with variations in glass composition. Values of  $\alpha$  for soda-lime plate-glass reported in the literature range from  $9 \times 10^{-6}$  [11] to  $16 \times 10^{-6}$  ppm/ $^{\circ}$ C [12,13]. Assuming  $n_2=1.52$ ,  $\theta_1=10^{\circ}$ , and  $d_o=0.25$  cm, a  $\Delta T_p$  range between 11.0 and 19.7 $^{\circ}$ C/fringe is obtained.

Therefore, unless reliable data are available for the specific grade of plate-glass being used,  $\alpha$  should be determined experimentally, or the plate-glass thermometer should be calibrated independently. The plate-glass thermometer calibration methodology and results are given in the appendix.

#### Ion Energy Flux Determination

Ion energy flux determinations followed directly from the in situ temperature measurements of plate-glass specimens. Since temperature gradients within the specimen were negligible (this will be shown later), a macroscopic energy balance for the plate-glass specimen yielded,

$$(\rho_s C_p d) dT_s/dt = q_n(T_s) - q_+ - q_L(T_s) + q_{rxn}(T_s) \quad (7)$$

where:  $q_n(T_s)$  = net energy (heat) flux

$q_+$  = ion energy flux

$q_L(T_s)$  = energy flux from the substrate to surroundings

$q_{rxn}(T_s)$  = heat flux due to surface chemical reactions

$\rho_s$  = density

$C_p$  = heat capacity

Photon energy fluxes were neglected since they are typically about three orders of magnitude less than ion energy fluxes during RIE processing [2]. The contribution to energy impingement flux by fast neutral species, for example, those on the high-energy tail of the Maxwellian (assumed) distribution, was also neglected since the concentration of these species is generally very small. The ion energy flux is independent of substrate temperature and originates from the field assisted positive ions, which have diffused to the sheath region and are accelerated across the dark space. For a plasma-to-cathode potential drop of  $V$  volts, an average energy of  $V$  eV/ion is delivered to the cathode surface.

The laser interferometer thermometer will be most effective as a RIE diagnostic tool when the magnitude of  $q_{rxn}(T_s)$  in equation 7 is small relative to  $q_+$ . In this case,  $q_+$  is readily determined from equation 7 since  $q_n(T_s)$  and  $q_L(T_s)$  are directly measurable quantities,

$$q_+ = q_n(T_s) + q_L(T_s) \quad (8)$$

The heat loss function  $q_L(T_s)$  is measured from the substrate cooling curve after the plasma has been extinguished ( $q_+=0$ ).

In cases where the gas and substrate interact (i.e. etching of glass with fluorinated gases), substrate temperatures could be significantly higher [14] since  $q_+$  and  $q_{rxn}(T_s)$  both contribute to substrate heating. In this case, very little information is gained beyond the temperature-time profile of the plate-glass substrate.

However, preliminary experiments, in which temperature-versus-time profiles for plate-glass specimens were measured using a 92/8 vol.% gas

mixture of  $\text{CF}_4/\text{O}_2$ , were, more or less, the same as those measured in argon. This suggests that the  $q_{\text{rxn}}$  term in equation 7, even in the case of an etch gas that is reactive towards the plate glass, is negligible (relative to the  $q_+$  term) and can be ignored.

In an oxygen plasma, additional heat generated by recombination between two oxygen atoms ( $\Delta H = -33$  kcal/mol) on the plate-glass substrate is a distinct possibility. An upper bound estimate of the heat generation rate due to oxygen atom recombination using kinetic gas theory is on the order of  $10^{-1}$  watt/cm<sup>2</sup>, or the same order of magnitude as argon ion energy fluxes during typical RIE conditions.

Experimentally, there was no evidence of additional heat flux by chemical reaction. Measured oxygen ion fluxes tracked closely with (inert) argon fluxes to within experimental error, suggesting a negligible heat generation term in the heat balance. Therefore, all substrate heating was attributed to the ion energy flux.

#### EXPERIMENTAL

All of the experiments were carried out using a custom-built, asymmetric, parallel-plate reactive-ion etching apparatus described previously [15,16]. A square plate-glass specimen (width = 5.0 cm) was placed in casual contact with the powered electrode. The substrate temperature was allowed to equilibrate with the temperature-controlled electrode ( $25.0 \pm 0.5^\circ\text{C}$ ). The He-Ne laser beam was aimed obliquely at the glass substrate ( $\theta_1 = 6^\circ$ ) and reflected off the polished aluminum electrode surface. The reflected intensity was measured with a photodiode and digitally recorded.

Gas flow was introduced and the pressure was throttled to the desired conditions prior to plasma ignition. After igniting the plasma, the substrate

was allowed to heat until a steady-state temperature (constant reflectance) had been reached. Substrate cooling dynamics were then measured by extinguishing the plasma while continuing to monitor the reflected laser intensity.

### Heat Flux Measurement

In general, the net heat flux to the substrate,  $q_n(T)$ , at some average glass substrate temperature,  $\bar{T}_s$ , is given by  $q_n(\bar{T}_s) = \rho_s C_p d(dT_s/dt)$ , where  $\rho_s$ ,  $C_p$ , and  $d$  are the substrate mass density, specific heat capacity, and thickness ( $d \approx d_0$  since  $\Delta d/d_0 = \alpha \Delta T_{tot} \ll 1$ ), respectively. The average glass temperature,  $\bar{T}_s$ , was calculated in the following manner. Letting,

$$T_s(t_n) = \text{Substrate temperature at time } t_n \quad (9a)$$

$$T_s(t_{n+1}) = \text{Substrate temperature at time } t_{n+1} \quad (9b)$$

$\bar{T}_s$  was approximated as,

$$\bar{T}_s \approx [ T_s(t_n) + T_s(t_{n+1}) ] / 2 \quad (10)$$

The net heat flux  $q_n(\bar{T}_s)$  was then approximated as,

$$q_n(\bar{T}_s) \approx \rho_s C_p d \left( \frac{T_s(t_{n+1}) - T_s(t_n)}{t_{n+1} - t_n} \right) \quad (11)$$

## RESULTS AND DISCUSSION

### Ion Energy Flux Determination

Typical interferograms obtained during heating and cooling of a 0.237 cm x 5.0 cm x 5.0 cm plate-glass specimen ( $\Delta T_p = 7.1^\circ\text{C}/\text{fringe}$ ) during argon and oxygen RIE are shown in figure 2. The RIE conditions were fixed at 0.50 watt/cm<sup>2</sup> nominal rf input, 35 mTorr pressure, and 20 SCCM (std. cm<sup>3</sup>/min) gas flow rate. Temperature versus time plots were constructed from the waveforms

(figures 3a and 3b) so that ion energy fluxes ( $-q_+$ ) could be determined from equation 8.

Ion energy fluxes (figure 4) are seen to be constant to within experimental error ( $\pm 1\sigma \approx 10\%$ ) throughout the run. Run to run variations were approximately  $\pm 10\%$  (Table I).

Table I. Run to run determination of ion energy flux<sup>†</sup> at a nominal rf power density of  $0.50 \text{ W/cm}^2$ .

Run	Oxygen	Argon
1	0.112	0.137
2	0.095	0.119
3	0.087	0.120
4	0.102	0.115
5	0.089	0.115
Average	$0.096 \pm 0.012$	$0.121 \pm 0.009$

<sup>†</sup> RIE Conditions: 20 SCCM Oxygen, 35 mTorr,  $-600 \text{ Vdc}$ , Al cathode

#### Effect of Nominal Incident Power Density

Temperature-time profiles of plate-glass specimens were measured as a function of nominal incident rf power density in argon and oxygen plasmas (figures 5a and 5b). The pressure and gas flow rate were fixed at 35 mTorr and 20 SCCM gas flow rate, respectively.

Steady-state temperature (figure 6) and ion energy flux (figure 7) increased with increasing rf power density. Energy fluxes were 25 to 40% greater in argon than in oxygen.

The steady-state temperature in argon was correspondingly higher at constant rf power. Note also that the rf power transfer efficiency, defined as the ratio of ion power density ( $q_+$ ) to nominal input rf power density, is only 20 to 30% (figure 8). This inefficiency is due to a combination of

power loss mechanisms which include: (a) power dissipation occurring on the sides of the cathode assembly which is contacted by the plasma (since the plasma is not confined exclusively between the top cathode surface and the top lid of the chamber); (b) power dissipation through low energy ion bombardment at the anode (grounded surfaces); and (c) resistive heating generated by stray rf currents flowing through the system [1].

These results may explain the difficulty encountered when comparing etch rate data from two different sources at nominally equal processing conditions. The rf power transfer efficiency is not universally predictable and it is likely to vary from system-to-system. Thus, the actual ion energy flux ( $q_+$ ) to the surface (and RIE rate, since RIE rate tracks closely with  $q_+$ ) will vary accordingly.

#### Comparison of Experimental Ion Energy Fluxes With Theory

The ion energy fluxes determined experimentally with the laser interferometer were compared to the collision-free, space-charge-limited energy flux,  $q_{CF}$ . The energy flux  $q_{CF}$  is equal to the product  $J_{CF} \cdot V$ , where  $V$  is the potential drop across the cathode dark space<sup>1</sup> and  $J_{CF}$  is the space-charge-limited ion flux, associated with a collision-free (CF) sheath. An expression for  $J_{CF}$  was derived by Child [17] and Langmuir [18],

$$J_{CF} = \left[ \frac{4V^{3/2} \epsilon_0}{9S^2} \right] \left[ \frac{2}{em_i} \right]^{1/2} \quad (12)$$

In this expression,  $S$  is the optical dark space thickness (measured with a cathetometer in this work),  $\epsilon_0$  is the vacuum permittivity,  $e$  is the charge of an electron, and  $m_i$  is the ion mass.

<sup>1</sup> For an asymmetrically configured RIE system,  $V$  exceeds the measured self-bias potential by only 10 to 20 volts.

Child and Langmuir neglected two main effects in their derivation of equation 12: (a) the contribution of electrons to the space-charge, and (b) inter-sheath ion collisional processes. Neglecting ion collisions within the sheath further implies that the ion energy distribution is monodisperse, and that the mean ion energy is equal to  $e\Delta$  electron-volts.

#### Ion Energy Flux Versus Pressure in Oxygen

The ion energy flux ( $q_+$ ) was determined as a function of pressure under  $O_2$  RIE conditions (figure 9). The rf power density was varied at three levels (0.25, 0.50, and 0.75 W/cm<sup>2</sup>) while the gas flow rate was held constant (20 SCCM  $O_2$ ). The measured ion energy fluxes (figure 9) were in remarkably good agreement with CF theory predictions. Other workers have reported similar results (different measurement techniques) [2,19], which suggests that the use of  $q_{CF}$  yields a good first estimate of the actual ion power density at the cathode.

The largest deviation occurred at 5 mTorr, where the experimental values exceeded CF predictions by about a factor of two. This was surprising since the best agreement between experiment and CF theory was expected at the lowest pressure (5 mTorr), where the probability for ion collisions is the smallest.

It is likely that the discrepancy in the results at 5 mTorr was due to experimental error in the measurement of  $S$ . At pressures greater than 15 to 20 mTorr, the plasma-sheath boundary was sufficiently well-defined such that a good estimate of  $S$  could be obtained. However, as pressure was reduced below 10 mTorr or so, the plasma-sheath boundary became extremely diffuse in character, making it difficult to measure  $S$  accurately. The measured value of  $S$  at 5 mTorr was 2.30 cm. If the deviation between experiment and CF

theory was attributed solely to error in the measurement of  $S$ , then the true value of  $S$  should have been about  $2.3/\sqrt{2} = 1.63$ , since the ion energy flux is inversely proportional to the square of  $S$ .

#### Relation of $q_+$ to Polymer Etch Rate

Since ion energy flux (ion power density) appears to be the main determining factor of etch rate during RIE processing, it was important to relate the measured ion energy fluxes to polymer etch rates that were obtained on the same RIE system. To pursue this idea further, oxygen RIE rates of poly(methyl methacrylate) (PMMA) and poly(styrene) (PS) were measured at the same nominal conditions used in the determination of  $q_+$ . Three-inch diameter silicon wafers were used as substrates, which were thermally bonded to the quartz cathode surface with a thin film of high-vacuum grease. Etch rates were monitored in the center of the silicon wafers using a laser interferometer. The results are shown in figures 10.

The etch rates of PMMA and PS were a complicated function of pressure and rf power. Oxygen reactive-ion etch rate (ER) was a strong function of pressure in the 5 to 35 mTorr range, where ER approximately doubled for both polymers. As pressure was increased above 35 mTorr, the slope of the curves decreased markedly. For pressures greater than 65 mTorr, the curves for PMMA saturated with pressure (slope  $\approx 0$ ), whereas the curves for PS did not saturate, but continued to increase at a slow but measurable rate (0.4 to 1.7 nm/min per mTorr).

Although ER for PMMA and PS was a complex function of pressure and rf power, there was a definite correlation between ER and  $q_+$  (figure 11). The linear dependence of ER on  $q_+$  suggests that the  $O_2$  RIE rate of polymers is

rate-limited by the energy bombardment flux over a relatively broad range of processing conditions. Also plotted in figure 12 are the data obtained by Visser and de Vries [1], who measured  $q_+$  and the  $O_2$  RIE rate of novolac resist, which, like PS, is an aromatic-rich resin. The PS and novolac data overlay and follow the same trend, even though the data were obtained on radically different systems (Visser and de Vries used a nearly symmetric electrode arrangement as opposed to the highly asymmetric system used in this work).

#### SUMMARY

When plate-glass substrates are subjected to RIE processing conditions, thickness changes are a direct measure of temperature changes. The interferometer monitors these changes continuously and non-intrusively. Cooling curves in the absence of plasma supplement the heating curves. An energy balance determines the ion energy flux.

The predictions for ion energy flux using the classical space-charge-limited flux expression of Child and Langmuir agree well with the experimental data under most conditions. Only at the lowest pressure, where measurement of the sheath thickness is difficult, is there a real difference between predicted and experimental values.

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## NOMENCLATURE

$C_p$	specific heat capacity of substrate
$d$	thickness
$d_0$	initial specimen thickness
$d_p$	thickness period
ER	Oxygen reactive-ion etch rate
$e$	charge of an electron
$\Delta H$	recombination enthalpy
$J_{CF}$	theoretical ion flux (collision-free sheath)
$m_i$	ion mass
$n_1, n_2$	indices of refraction for plasma and substrate, respectively
$N$	number of interference fringes
$q_{CF}$	theoretical energy flux (collision-free sheath)
$q_+$	ion power density, ion energy flux
$q_L(T_s)$	energy flux from substrate to surroundings
$q_n(T_s)$	net energy flux
$q_{rxn}(T_s)$	energy flux due to surface chemical reactions
$S$	optical dark space thickness
$\Delta T_p$	characteristic change specimen temperature
$T_s$	substrate temperature
$T_s(t)$	average glass substrate temperature at time $t$
$\Delta T_s$	change in specimen temperature
$\Delta T_{tot}$	total change in temperature
$t$	time
$V$	potential drop across cathode dark space
$\alpha$	linear coefficient of thermal expansion

$\lambda$	vacuum wavelength of laser beam
$\epsilon_0$	vacuum permittivity
$\theta_1$	incident beam angle through plasma
$\theta_2$	incident beam angle within the substrate
$\rho_s$	density of substrate
$\phi_2$	phase angle

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## Appendix

### Laser Interferometer Thermometer Calibration

A soda-lime (plate) glass specimen with dimensions 1.0 cm x 1.0 cm x 0.102 cm thick (Evaporated Metal Films Inc., Ithaca, NY) was calibrated for use as a substrate thermometer. The plate glass specimen was bonded to the reflective side of a 1.5 cm x 1.5 cm piece of silicon wafer by applying a thin coat of colloidal silver paint in between. The backside of the silicon wafer was in turn bonded to a programmable hot stage (Mettler, Model FP-5) in the same way. The hot stage temperature was ramped from an initial temperature of 30.0°C to a final temperature of 200°C at a constant rate of 10.0°C per minute. The resulting interferogram is shown in figure A.1.

Temperature gradients within the plate glass-silicon specimen were neglected based on the following physical arguments. Assuming a mass density, heat capacity, and initial thickness of 2.50 g/cm<sup>3</sup>, 0.753 J/g-°C, and 0.10 cm, respectively, an external heat flux on the order of 3.2x10<sup>-2</sup> Watts/cm<sup>2</sup> is required to heat a plate glass specimen at a rate of 10.0°C/min (heating rates during RIE range from 5 to 40°C/min). On the other hand, thermal conductive heat fluxes within the glass specimen, estimated from  $k\Delta T/d_0$  for  $k= 7.53 \times 10^{-3}$  Watts/cm-°C and  $\Delta T=10^\circ\text{C}$ , are about  $7.5 \times 10^{-1}$  Watts/cm<sup>2</sup>, or an order of magnitude greater than the heat flux from the hot stage. Therefore, thermal gradients within the specimen were negligible during both the calibration and RIE processing. The negligible thermal gradient condition was confirmed experimentally by observing the quick response (no time lag) of the reflected intensity signal as the heating rate was cycled randomly between 10°C/min and 0°C/min (i.e. constant temperature).

Referring to figure A.1, a total of ten interference fringes is obtained. This corresponds to a  $\Delta T_p = 170^\circ\text{C}/10 = 17^\circ\text{C}$  per fringe. A more exact value of  $\Delta T_p$  was determined from the average temporal period of reflectance oscillation ( $=99.3 \pm 3.2\text{sec}$ ). This corresponded to a  $\Delta T_p$  of  $(1/99.3\text{sec}) \times (10.0^\circ\text{C}/\text{min}) \times (\text{min}/60\text{sec}) = 16.6^\circ\text{C}$  per fringe  $\pm 3\%$ . The constant period of oscillation throughout the run implies a nearly constant mass density and heat capacity for the temperature range studied.

Similar, but thicker, plate glass specimens ( $d_0 > 0.102$  cm) could not be calibrated due to limitations of the hot stage. Calibration constants for glass specimens with  $d_0 > 0.102$  cm were estimated by assuming the same coefficient of thermal expansion and mass density for all specimens, and scaling  $\Delta T_p$  with thickness (Table A.1). This was expected to be alright since all specimens were from the same stock.

Table A.1. Calibration constant<sup>†</sup>  $\Delta T_p$  for plate glass specimens.

Plate Glass Thickness (cm)	$\Delta T_p$ ( $^\circ\text{C}$ per fringe)
0.102	$16.6 \pm 0.5$ ( $\pm 3\%$ )
0.157	$10.7 \pm 0.3$
0.236	$7.1 \pm 0.2$

<sup>†</sup> Obtained by scaling 0.102cm results with glass thickness

## FIGURE CAPTIONS

- Figure 1: Laser interferometry of plate-glass substrate during RIE.
- Figure 2: Interferograms of plate-glass substrates generated by heating and cooling in argon and oxygen RIE. Specimen Dimensions: 0.106 cm x 5.0 cm x 5.0 cm. RIE conditions: 0.50 W/cm<sup>2</sup>, 35 mTorr, 20 SCCM, 550-575 Vdc.
- Figure 3: Plate-glass temperature versus time plots generated from the interferograms in figure 2.
- Figure 4: Argon and oxygen ion energy fluxes as a function of time. Plots were generated from data in figures 2 and 3.
- Figure 5: Plate-glass temperature versus time at various rf power densities. Argon (top) and oxygen (bottom). RIE conditions: 35 mTorr, 20 SCCM; Specimen dimensions: 0.237 cm x 5.0 cm x 5.0 cm.
- Figure 6: Steady-state temperature of 0.237 cm thick plate-glass versus incident rf power density in argon and oxygen. Conditions were the same as in figure 5.
- Figure 7: Ion energy flux versus incident rf power density. RIE conditions were the same as in figure 7.
- Figure 8: Percent of rf power delivered to cathode versus nominal incident rf power density.
- Figure 9: Oxygen ion energy flux versus pressure at various rf power densities using a quartz cathode. Plate-glass specimen dimensions: 0.237 cm x 5.0 cm x 5.0 cm.
- Figure 10: Oxygen RIE rate of PMMA (top) and PS (bottom) versus pressure at various rf power density. Gas flow rate: 20 SCCM.
- Figure 11: Oxygen RIE rate for PMMA and PS versus measured ion energy flux. The data are the same as in figures 5.10 and 5.11. The open squares are data obtained by Visser and de Vries during oxygen RIE rate of novolac resist [20].
- Figure A.1: Calibration interferogram of plate glass (heating rate = 10.0°C/min).

Figure 1: Laser interferometry of plate-glass substrate during RIE.

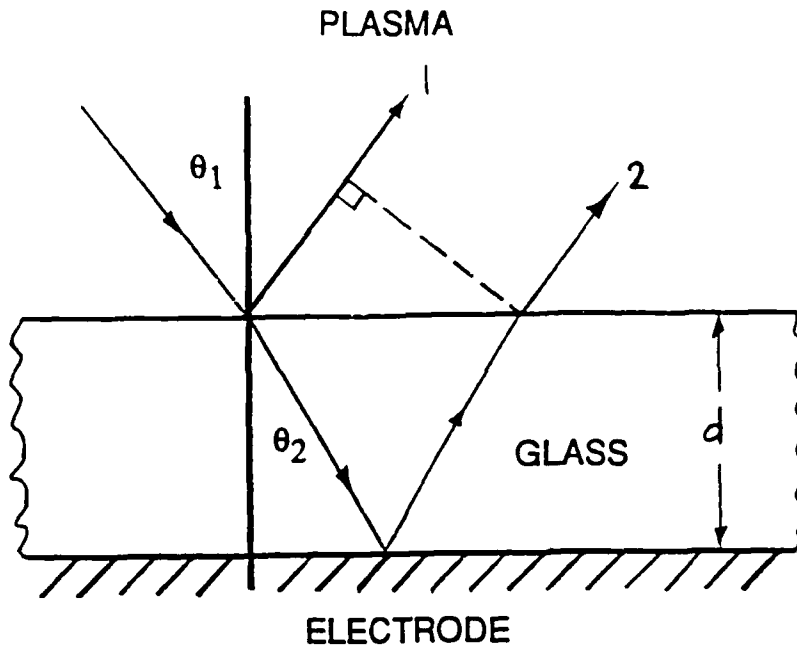


Figure 2: Interferograms of plate-glass substrates generated by heating and cooling in argon and oxygen RIE. Specimen Dimensions: 0.106 cm x 5.0 cm x 5.0 cm. RIE conditions: 0.50 W/cm<sup>2</sup>, 35 mTorr, 20 SCCM, 550-575 Vdc.

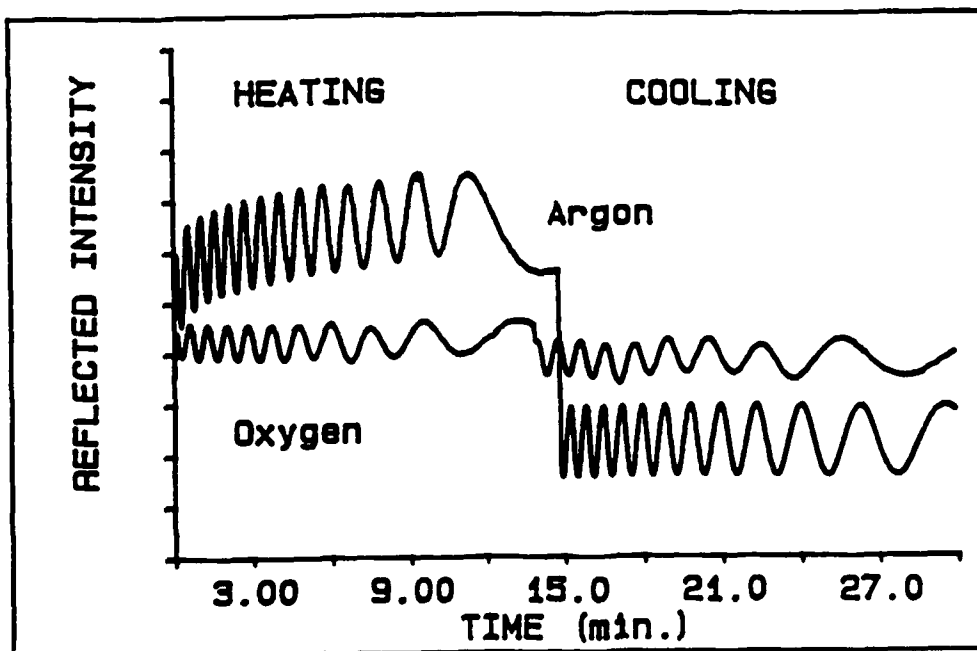


Figure 3: Plate-glass temperature versus time plots generated from the interferograms in figure 2.

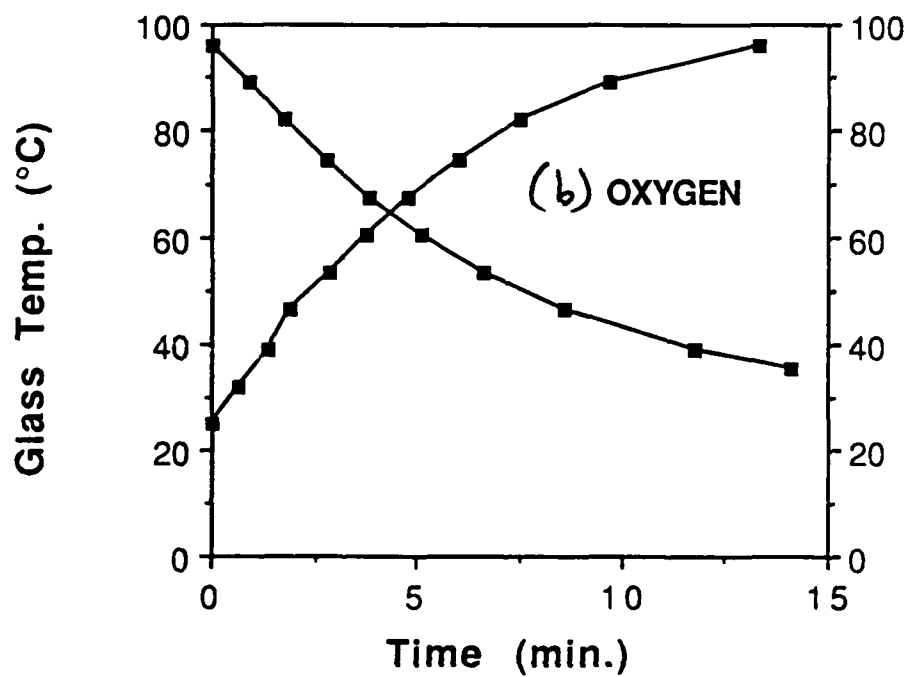
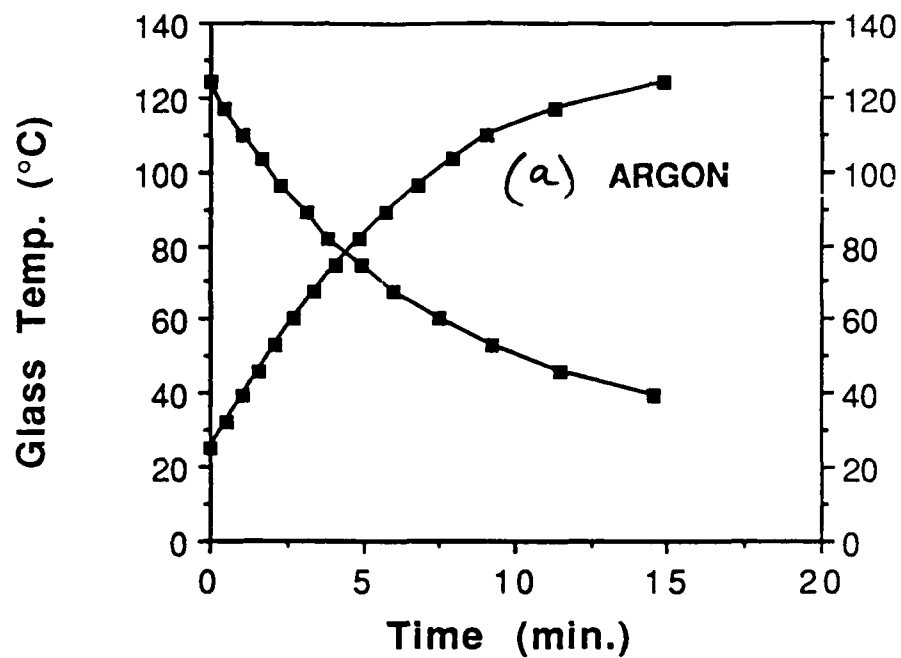


Figure 4: Argon and oxygen ion energy fluxes as a function of time. Plots were generated from data in figures 2 and 3.

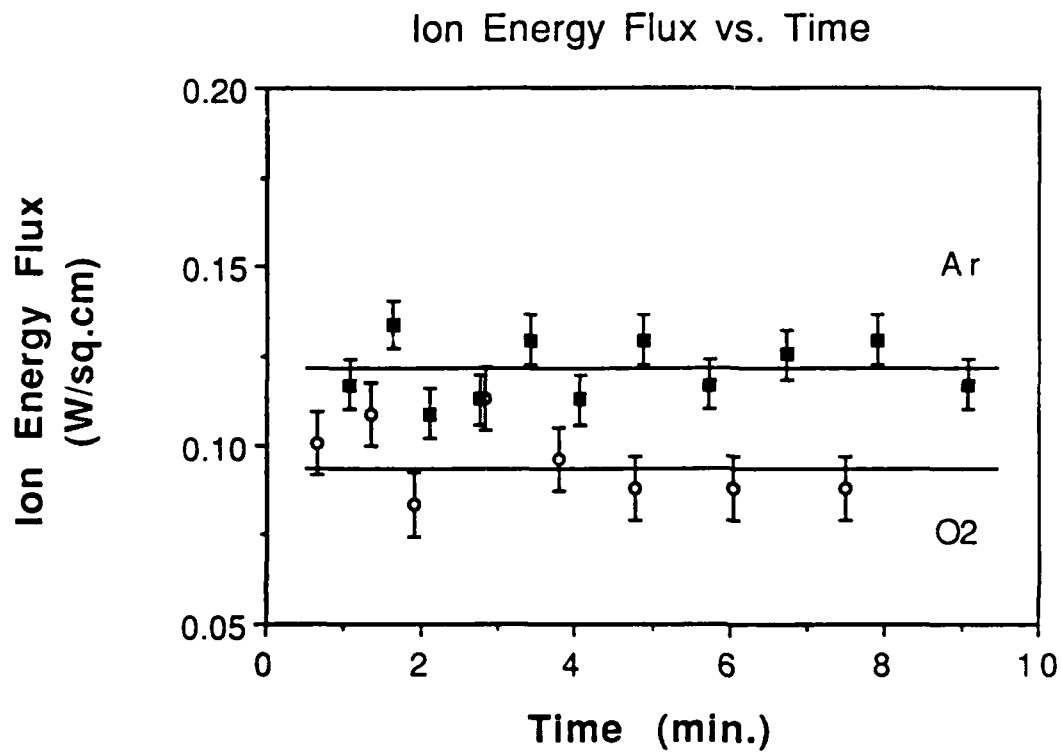


Figure 5: Plate-glass temperature versus time at various rf power densities. Argon (top) and oxygen (bottom). RIE conditions: 35 mTorr, 20 SCCM; Specimen dimensions: 0.237 cm x 5.0 cm x 5.0 cm.

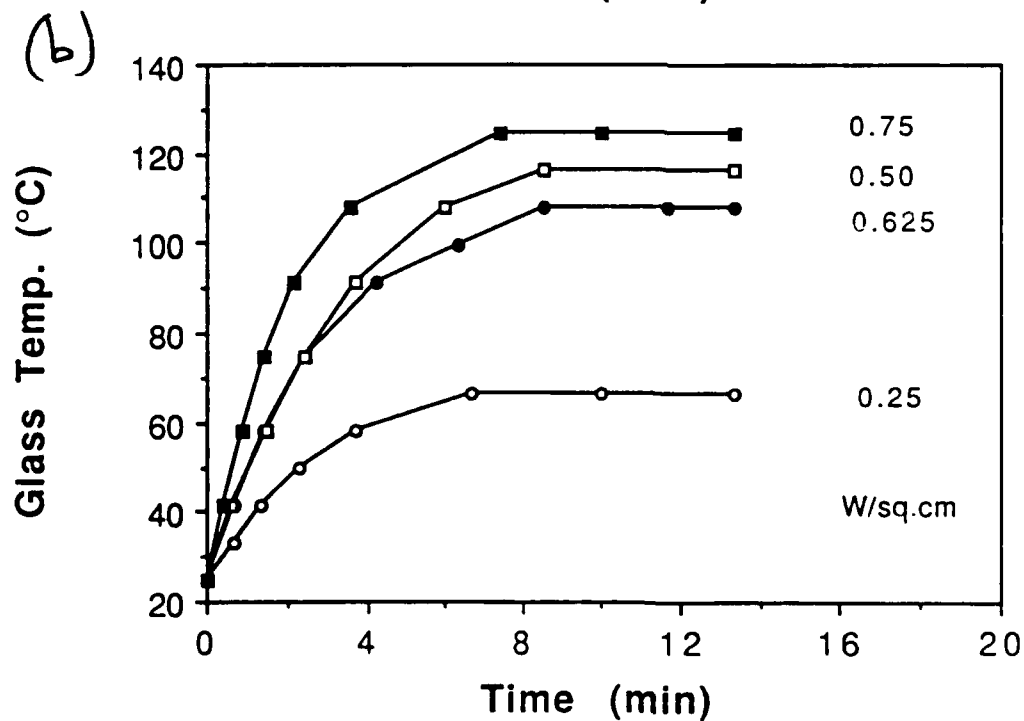
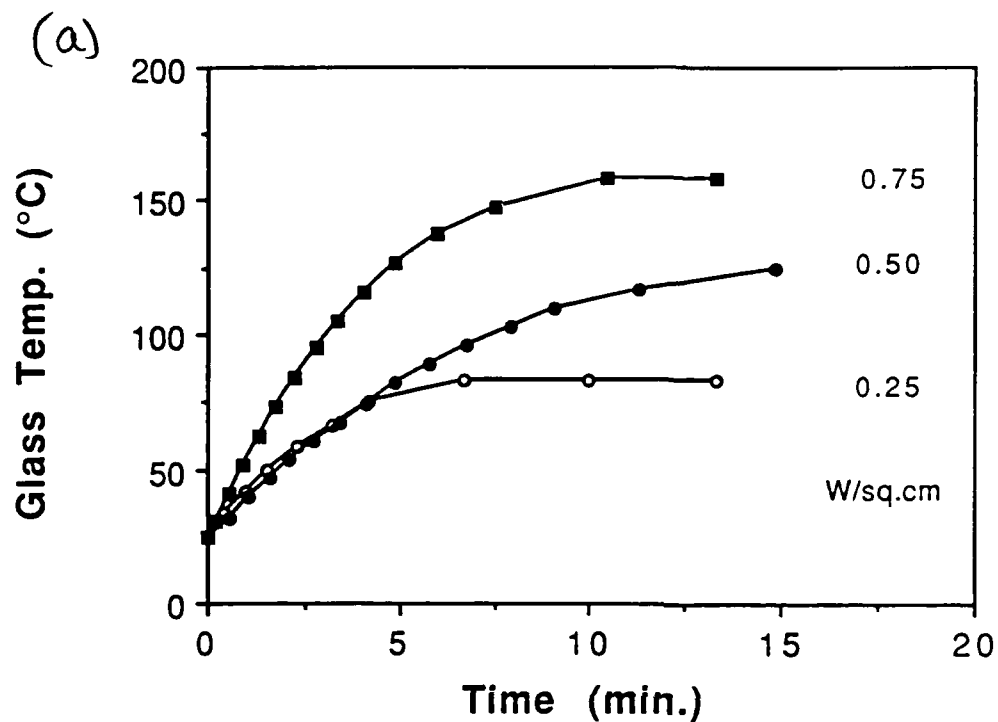


Figure 6: Steady-state temperature of 0.237 cm thick plate-glass versus incident rf power density in argon and oxygen. Conditions were the same as in figure 5.

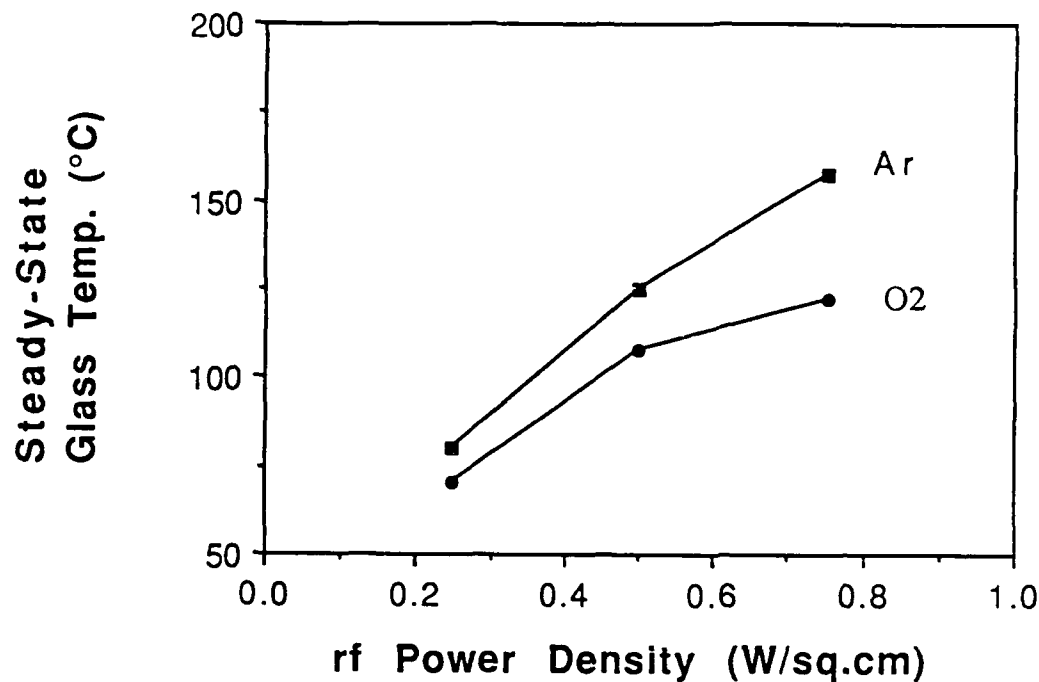


Figure 7: Ion energy flux versus incident rf power density. RIE conditions were the same as in figure 7.

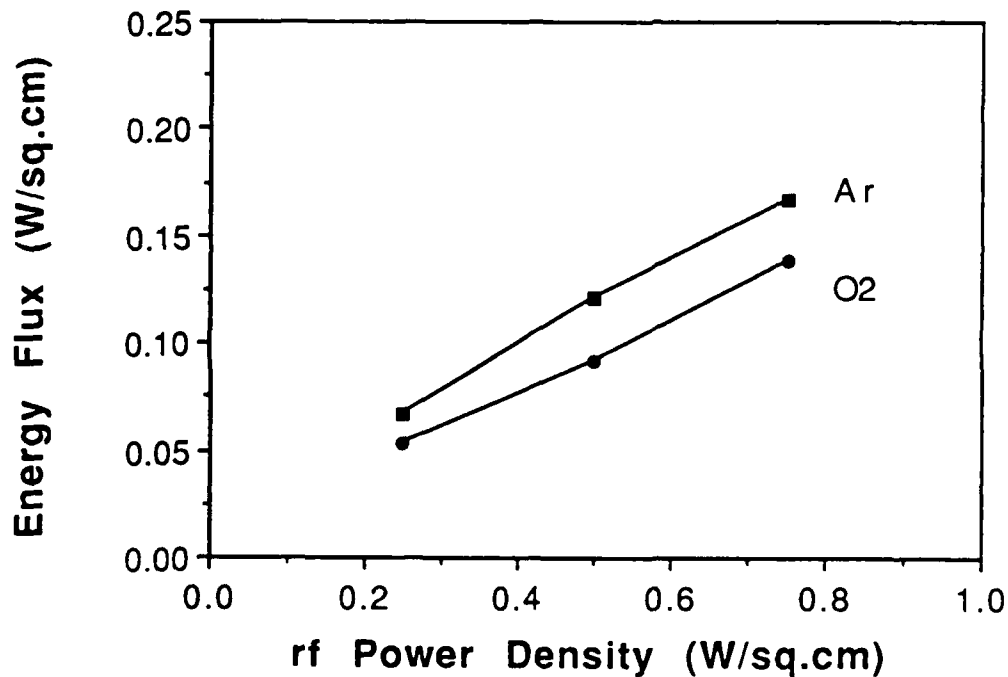


Figure 8: Percent of rf power delivered to cathode versus nominal incident rf power density.

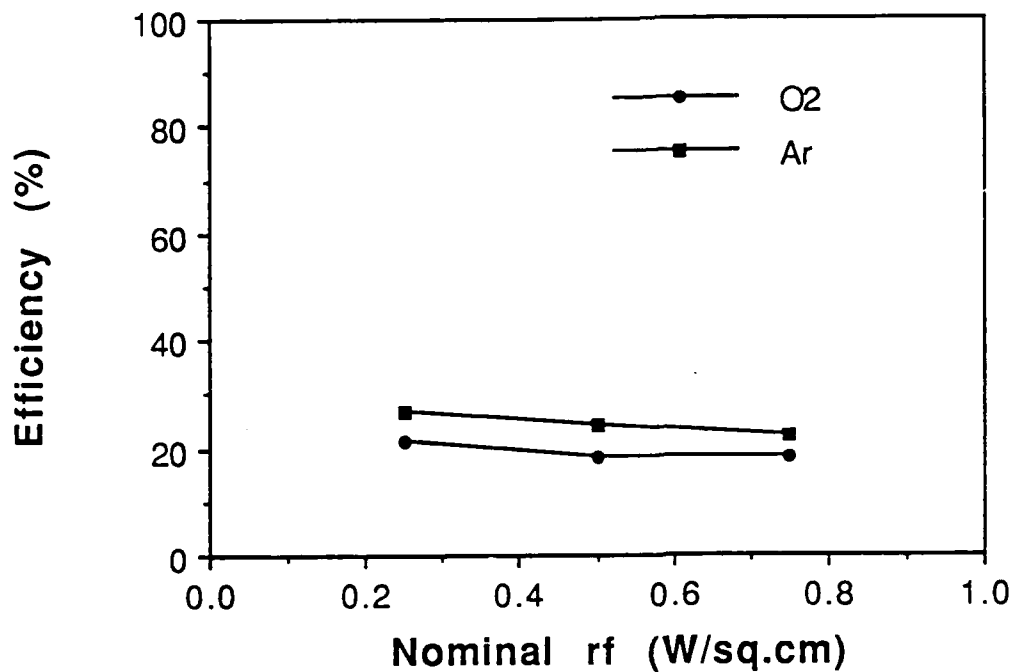


Figure 9: Oxygen ion energy flux versus pressure at various rf power densities using a quartz cathode. Plate-glass specimen dimensions: 0.237 cm x 5.0 cm x 5.0 cm.

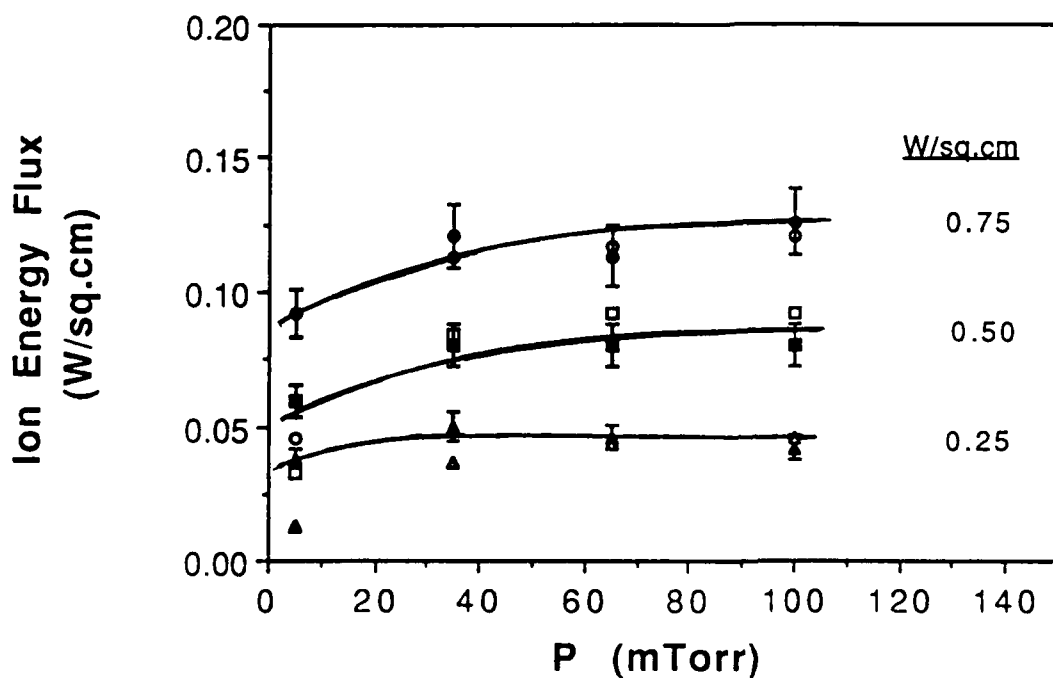


Figure 10: Oxygen RIE rate of PMMA (top) and PS (bottom) versus pressure at various rf power density Gas flow rate: 20 SCCM.

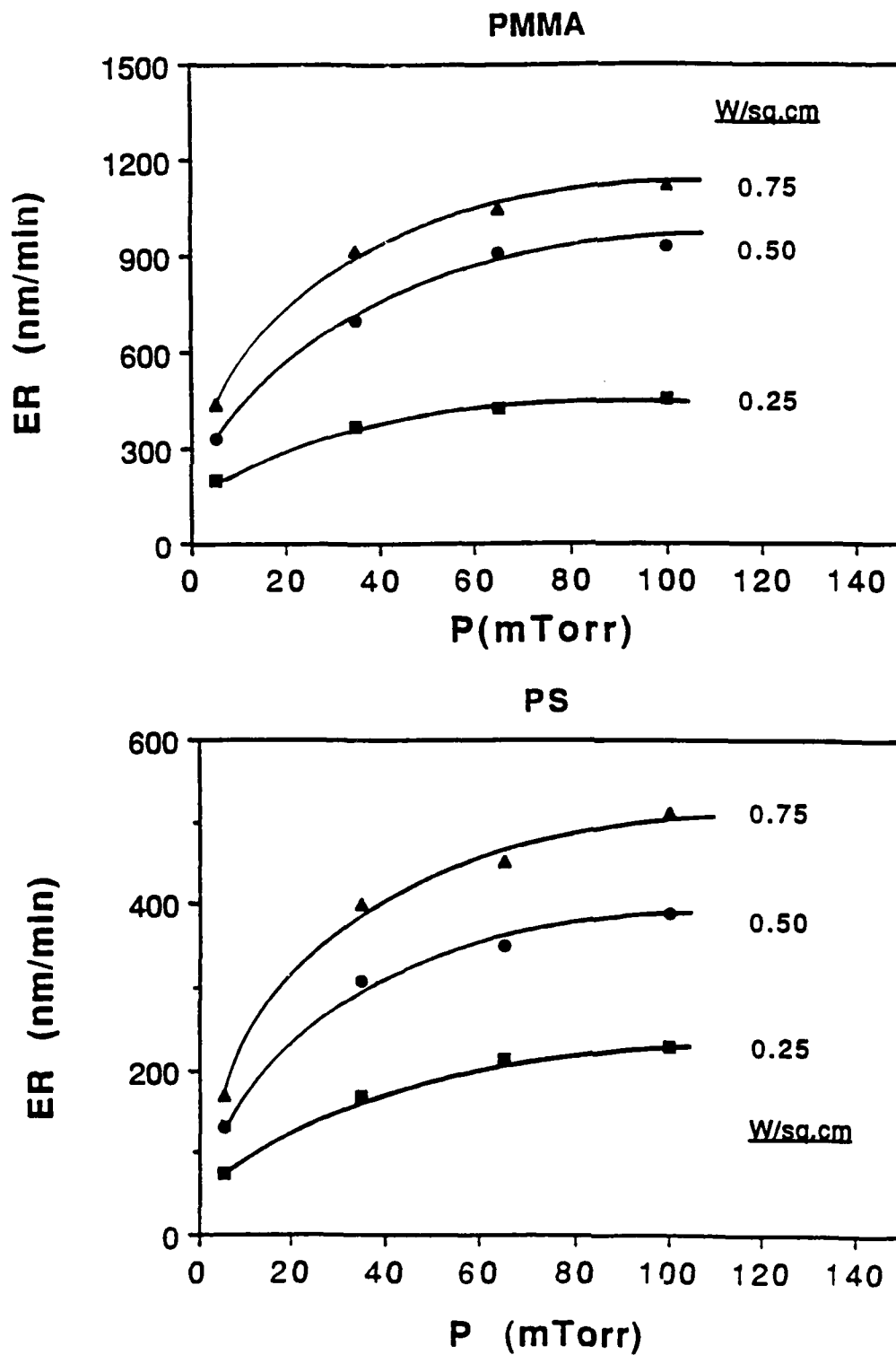


Figure 11: Oxygen RIE rate for PMMA and PS versus measured ion energy flux. The data are the same as in figures 5.10 and 5.11. The open squares are data obtained by Visser and de Vries during oxygen RIE rate of novolac resist [20].

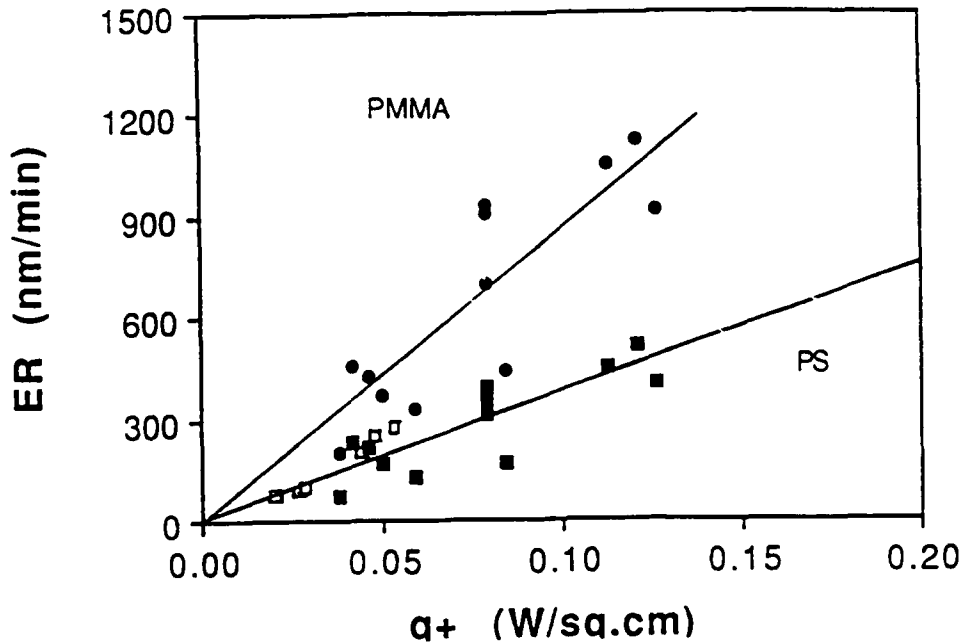
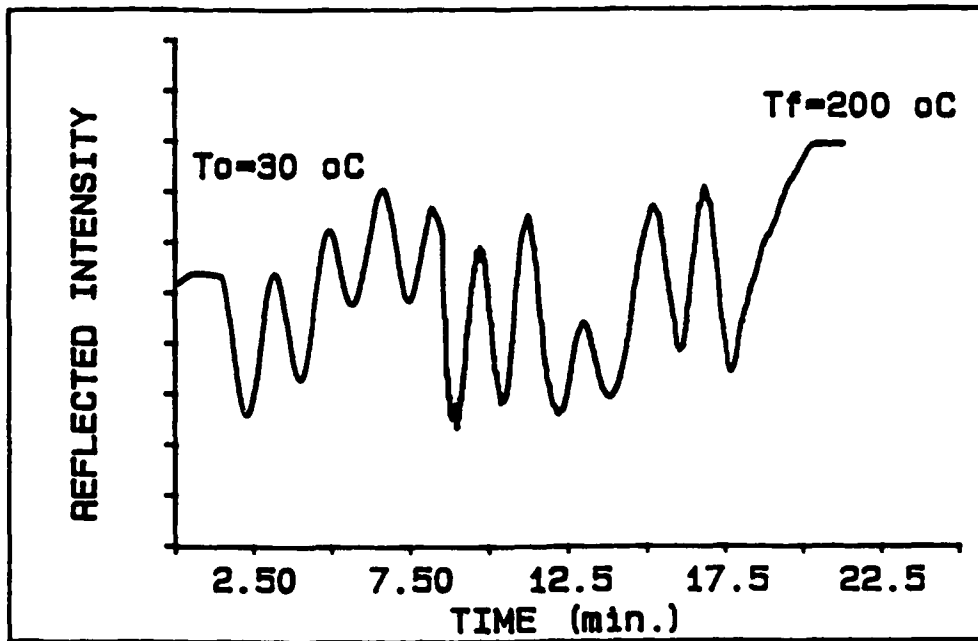


Figure A.1: Calibration interferogram of plate glass (heating rate = 10.0°C/min).



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