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IDENTIFICATION OF A COLLECTION OF CRACKS  
FROM FINITELY MANY ELECTROSTATIC  
BOUNDARY MEASUREMENTS**

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**ABSTRACT**

We consider the problem of locating and identifying a collection of finitely many cracks inside a planar domain from measurements of the electrostatic boundary potentials induced by specified current fluxes. It is shown that a collection of  $n$  or fewer cracks can be uniquely identified by measuring the boundary potentials induced by  $n + 1$  specified current fluxes, consisting entirely of electrode pairs.

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# 1 Introduction

In a recent paper, [1], A. Friedman and M. Vogelius proved that the presence of a single crack, its shape and location inside a planar domain may be determined from measurements of the steady state boundary voltage potentials corresponding to two specific boundary current fluxes. In the present paper we extend this result to any finite number of cracks: we show that voltage measurements corresponding to  $n + 1$  specific fluxes suffice to determine the location and shape of a collection of  $n$  (or fewer) cracks. In contrast to [1] the fluxes we use here all consist of electrode pairs – exactly the type of fluxes which are used for the computational algorithm developed in [2].

Let  $\Omega$  be a simply connected domain in  $\mathbb{R}^2$  with a smooth boundary. In order to describe our result in detail we need to define the notion of a collection of cracks. By a  $C^2$ -curve,  $\sigma$ , we understand a twice continuously differentiable map:  $[0, 1] \rightarrow \Omega$  with non-vanishing derivative. *A collection of cracks consists of a finite number of mutually disjoint, non-self-intersecting  $C^2$ -curves  $\sigma_k$ ,  $k = 1, \dots, n$ .* We use capital Greek letters to denote collections of cracks, e.g.  $\Sigma = \{\sigma_k\}_{k=1}^n$ ; note that  $n$  may possibly be zero, so that  $\Sigma$  is empty. We shall also use the notation  $\sigma_k$  and  $\Sigma$  for the image of each of the individual curves and the union of all the images, respectively (i.e.,  $\Sigma = \cup_{k=1}^n \sigma_k$ ). Let  $\gamma : \bar{\Omega} \rightarrow \mathbb{R}$  be a positive function (the known reference conductivity). Throughout this paper we assume that

$$\gamma \text{ is real-analytic on } \bar{\Omega}.$$

In the following, when a function is referred to as being analytic, this shall

always mean real-analytic. Quite frequently in the literature the term crack is used synonymously with an electrically insulating crack: if  $\phi$  represents the boundary voltage, then the steady state voltage potential satisfies

$$\begin{aligned}\nabla \cdot (\gamma \nabla v) &= 0 \text{ in } \Omega \setminus \Sigma, \\ \gamma \frac{\partial v}{\partial \nu} &= 0 \text{ on } \Sigma, \\ v &= \phi \text{ on } \partial\Omega.\end{aligned}$$

In this framework the inverse problem is to determine  $\Sigma$  from knowledge of several pairs  $(\phi, \gamma \frac{\partial v}{\partial \nu}|_{\partial\Omega})$ . We shall, instead of working with the potential  $v$ , opt to work with its “ $\gamma$ -harmonic” conjugate,  $u$ . This function is related to  $v$  by

$$(\nabla u)^\perp = \gamma \nabla v, \quad (1.1)$$

where  $\perp$  indicates counter-clockwise rotation by  $\pi/2$ . Let  $T$  be a fixed point on  $\partial\Omega$ , in a neighborhood of which  $\phi$  is smooth. Let  $\tau_k$  be a smooth curve in  $\Omega \setminus \Sigma$  connecting  $T$  to an interior point of the crack  $\sigma_k$ , and let  $s$  denote the unit tangent direction along  $\tau_k$ , pointing from  $T$  towards  $\sigma_k$ . Define constants

$$c^{(k)} = \int_{\tau_k} \gamma \frac{\partial v}{\partial \nu} ds + u(T),$$

where  $\nu$  denotes the normal field  $\nu = s^\perp$ . The “ $\gamma$ -harmonic” conjugate,  $u$ , solves

$$\begin{aligned}\nabla \cdot (\gamma^{-1} \nabla u) &= 0 \text{ in } \Omega \setminus \Sigma, \\ u &= c^{(k)} \text{ on } \sigma_k \quad k = 1, \dots, n \\ \gamma^{-1} \frac{\partial u}{\partial \nu} &= \frac{\partial \phi}{\partial s} = \psi \text{ on } \partial\Omega,\end{aligned} \quad (1.2)$$

where  $s$  denotes the counter-clockwise tangent direction on  $\partial\Omega$ .

From (1.1) it follows immediately that knowledge of  $u|_{\partial\Omega}$  leads to knowledge of  $-\gamma \frac{\partial v}{\partial \nu}|_{\partial\Omega} = \frac{\partial}{\partial s}(u|_{\partial\Omega})$ , and vice versa. Therefore knowledge of pairs  $(u|_{\partial\Omega}, \psi)$  is equivalent to knowledge of corresponding pairs  $(\phi, \gamma \frac{\partial v}{\partial \nu}|_{\partial\Omega})$ , where  $\phi$  and  $\psi$  are related by  $\psi = \frac{\partial \phi}{\partial s}$ . Physically (1.2) corresponds to a collection of perfectly conducting cracks. One way to solve (1.2) is to minimize the energy

$$\frac{1}{2} \int_{\Omega} \gamma^{-1} |\nabla w|^2 dx - \int_{\partial\Omega} \psi w ds$$

in the space  $H^1(\Omega) \cap \{w = \text{const on each } \sigma_k \in \Sigma\}$  (such minimization gives, modulo a single undetermined constant, exactly the values on  $\sigma_k$ ,  $k = 1, \dots, n$  described above). This method works provided  $\psi \in H^{-1/2}(\partial\Omega)$ . The fluxes we shall apply here, however, correspond to single pairs of electrodes, *i.e.*, we shall take  $\psi$  of the form  $\psi = \delta_{P_0} - \delta_{P_1}$ , where  $P_0$  and  $P_1$  are two distinct points on  $\partial\Omega$ . Such  $\psi$  are not in  $H^{-1/2}(\partial\Omega)$  – the solution,  $u$ , is therefore not in  $H^1(\Omega)$  and it is not obtained as a minimizer of energy. Rather,  $u$  is a weak solution to (1.2); it is smooth everywhere except at  $P_0$  and  $P_1$  and at the endpoints of the cracks. At  $P_0$  and  $P_1$  the function  $u$  has singularities of the form  $-\gamma(P_0)/\pi \log r$ ,  $r = |x - P_0|$ , and  $\gamma(P_1)/\pi \log r$ ,  $r = |x - P_1|$ , respectively, at the endpoints of the cracks  $u$  has in general  $r^{1/2}$ -type singularities, cf. [1].

For our uniqueness result it is not necessary that we have solutions which attain exactly the constant values on the cracks described above – any set of constants will do. To construct the specific boundary currents, let  $P_0, \dots, P_M$  be  $M + 1$  different (fixed) points on  $\partial\Omega$ ; we assume that these points are

labeled in order of counter-clockwise appearance, starting from  $P_0$ . In our first uniqueness result we utilize solutions to the boundary value problems

$$\begin{aligned}\nabla \cdot (\gamma^{-1} \nabla u_j) &= 0 \text{ in } \Omega \setminus \Sigma, \\ u_j &= \text{constant on each } \sigma_k \in \Sigma \\ \gamma^{-1} \frac{\partial u_j}{\partial \nu} &= \delta_{P_0} - \delta_{P_j} \text{ on } \partial\Omega.\end{aligned}\tag{1.3}$$

**Theorem 1.1** *Let  $\Sigma = \{\sigma_k\}_{k=1}^n$  and  $\tilde{\Sigma} = \{\tilde{\sigma}_k\}_{k=1}^m$  denote two collections of cracks contained in the domain  $\Omega$ , with  $\max(m, n) + 1 \leq M$ . Let  $u_j$ ,  $j = 1, \dots, M$  denote solutions to (1.3) and let  $\tilde{u}_j$ ,  $j = 1, \dots, M$  denote solutions to (1.3) with  $\Sigma$  replaced by  $\tilde{\Sigma}$ . Then  $u_j = \tilde{u}_j$  on  $\partial\Omega \setminus \cup_{i=0}^M \{P_i\}$  for  $j = 1, \dots, M$  implies that  $\Sigma = \tilde{\Sigma}$ .*

Instead of prescribing fixed fluxes  $\gamma^{-1} \frac{\partial u_j}{\partial \nu}|_{\partial\Omega} = \psi_j$  and measuring  $u_j|_{\partial\Omega}$  we can equally well prescribe fixed boundary voltages  $w_j|_{\partial\Omega} = \phi_j$  and measure  $\gamma^{-1} \frac{\partial w_j}{\partial \nu}|_{\partial\Omega}$ . For that purpose we utilize solutions to the following boundary value problems

$$\begin{aligned}\nabla \cdot (\gamma^{-1} \nabla w_j) &= 0 \text{ in } \Omega \setminus \Sigma, \\ w_j &= \text{constant on each } \sigma_k \in \Sigma, \\ w_j &= 1_{P_{j-1}, P_j} \text{ on } \partial\Omega,\end{aligned}\tag{1.4}$$

where  $1_{P_{j-1}, P_j}$  denotes the characteristic function of the counter-clockwise curve from  $P_{j-1}$  to  $P_j$ . The function  $w_j$  is a weak solution to (1.4) – it is not in  $H^1(\Omega)$ , and therefore not obtained as a minimizer of energy.  $w_j$  has a singularity of the form  $-\theta/\pi$  at  $P_{j-1}$ ,  $\theta = \arg(x - P_{j-1})$  and has a singularity of the form  $\theta/\pi$  at  $P_j$ ,  $\theta = \arg(x - P_j)$ . At the endpoints of the cracks  $w_j$  has in general  $r^{1/2}$ -type singularities.

**Theorem 1.2** *Let  $\Sigma = \{\sigma_k\}_{k=1}^n$  and  $\tilde{\Sigma} = \{\tilde{\sigma}_k\}_{k=1}^m$  denote two collections of cracks contained in the domain  $\Omega$ , with  $\max(m, n) + 1 \leq M$ . Let  $w_j$ ,  $j = 1, \dots, M$  denote solutions to (1.4) and let  $\tilde{w}_j$ ,  $j = 1, \dots, M$  denote solutions to (1.4) with  $\Sigma$  replaced by  $\tilde{\Sigma}$ . Then  $\gamma^{-1} \frac{\partial w_j}{\partial \nu} = \gamma^{-1} \frac{\partial \tilde{w}_j}{\partial \nu}$  on  $\partial\Omega \setminus \cup_{i=0}^M \{P_i\}$  for  $j = 1, \dots, M$  implies that  $\Sigma = \tilde{\Sigma}$ .*

REMARK: As was the case with the first theorem, this second theorem also has an alternative formulation in terms of cracks that are insulating. In that case one would prescribe boundary fluxes  $-\partial(1_{P_{j-1}, P_j})/\partial s = \delta_{P_j} - \delta_{P_{j-1}}$  and measure the corresponding boundary voltages.

## 2 Preliminaries

The proof of our main results consists in a very detailed analysis of the structure of the level curves of solutions to the equation  $\nabla \cdot (\gamma^{-1} \nabla u) = 0$ . For that purpose we shall need two auxiliary lemmas.

**Lemma 2.1** *Let  $u$  satisfy  $\nabla \cdot (\gamma^{-1} \nabla u) = 0$  in  $\Omega \setminus \Sigma$  with  $\gamma^{-1} \partial u / \partial \nu = \sum_j \beta_j \delta_{P_j}$  on  $\partial\Omega$ , and  $u$  constant on each  $\sigma_k$ . Let  $\rho$  be a non-empty analytic curve in  $\Omega$  with  $\rho \cap \Sigma = \emptyset$  along which  $u$  is constant. Then there exists an analytic curve  $\rho'$  with  $\rho \subset \rho'$  such that*

(2.1a)  *$u$  is constant on  $\rho'$*

(2.1b)  *$\rho'$  has one endpoint on  $\partial\Omega$  or  $\sigma_k$  for some  $k$*

(2.1c)  *$\rho'$  has the other endpoint on  $\partial\Omega$  or  $\sigma_l$  for some  $l$  with  $l \neq k$ .*

The proof of this lemma is identical to the proof of lemma 2.3 in [1]. We shall not repeat the the proof here. The second lemma we need concerns the existence of intersecting level curves. Some of the details of the proof of this result are not unlike those found in the proof of lemma 2.3 in [1], but for the convenience of the reader we give a complete proof here.

**Lemma 2.2** *Let  $u$  satisfy  $\nabla \cdot (\gamma^{-1} \nabla u) = 0$  in  $\Omega \setminus \Sigma$  with  $\gamma^{-1} \partial u / \partial \nu = \sum_j \beta_j \delta_{P_j}$  on  $\partial \Omega$ , and  $u$  constant on each  $\sigma_k$ . Let  $\rho$  be a non-empty analytic curve in  $\Omega$  with  $\rho \cap \Sigma = \emptyset$  along which  $u$  is constant, and assume that  $x^*$  is an interior point of  $\rho$  where  $\nabla u(x^*) = 0$ . Then there exists an analytic curve  $\rho'$  which has  $x^*$  as an interior point such that*

$$(2.2a) \quad \rho' \cap \rho = \{x^*\}$$

$$(2.2b) \quad u \text{ is constant on } \rho'.$$

Proof: Let  $(r, \theta) \in [0, \epsilon] \times [0, 2\pi]$  denote polar coordinates at  $x^*$ . Since  $\nabla u(x^*) = 0$  we know that  $\frac{\partial}{\partial r} u(0, \theta) \equiv 0$ , and by expanding in a Taylor series in  $r$  we get

$$u(x) = u(x^*) + r^N (a \sin N\theta + b \cos N\theta + rA(r, \theta)),$$

for some  $a, b$  (not both zero) and some  $N \geq 2$ . Here we have used that  $u$  is non-constant and satisfies  $\nabla \cdot (\gamma^{-1} \nabla u) = 0$  near  $x^*$ , and we have used that  $\gamma^{-1}$  is analytic (the case of a constant  $u$  is trivial). We may without loss of generality assume that the tangent to  $\rho$  at  $x^*$  is  $\{(r, 0), r > 0\} \cup \{(r, \pi), r > 0\}$ . It follows that  $b = 0$ , i.e.,

$$u(x) = u(x^*) + ar^N (\sin N\theta + rA(r, \theta)).$$

Since  $u$  is analytic near  $x^*$ , it is well known that  $u$  as a function of  $(r, \theta)$  is analytic on  $[0, \epsilon] \times [0, 2\pi]$ , the main point being that it is analytic at  $r = 0$  and therefore also has an analytic extension to  $[-\epsilon, \epsilon]$  for a sufficiently small  $\epsilon$  (indeed the analytic extension for negative  $r$  is given by  $\tilde{u}(r, \theta) = u(-r, \theta + \pi)$ ,  $\theta + \pi$  taken modulo  $2\pi$ ). It follows that  $A(r, \theta)$  also has an analytic extension near  $r = 0$ ; we denote this extension by  $\tilde{A}(r, \theta)$ ,  $r \in [-\epsilon, \epsilon] \times [0, 2\pi]$ . The function  $F(r, \theta) = \sin N\theta + r\tilde{A}(r, \theta)$  satisfies

$$F(0, \pi/N) = 0 \quad \text{and} \quad \frac{\partial}{\partial \theta} F(0, \pi/N) = -N,$$

and therefore, by the implicit function theorem, it is possible to find a unique analytic function  $\theta(r)$  such that  $\theta(0) = \pi/N$  and  $\{(r, \theta) : F(r, \theta) = 0\}$  coincides with  $\{(r, \theta(r))\}$  in a neighborhood of  $(0, \pi/N)$ . The curve,  $\rho'$ , given by

$$(r \cos \theta(r), r \sin \theta(r)) + x^*$$

is an analytic curve through  $x^*$ , which satisfies  $\rho' \cap \rho = x^*$  and which by its very definition is a level curve for  $u$ .  $\square$

### 3 Proof of Theorem 1.1

Let  $O$  be the open set enclosed by  $\Sigma$  and  $\tilde{\Sigma}$ , i.e., the set of points in  $\Omega \setminus (\Sigma \cup \tilde{\Sigma})$  from which it is only possible to reach  $\partial\Omega$  by crossing  $\Sigma$  or  $\tilde{\Sigma}$ . Since  $\Omega \setminus (O \cup \Sigma \cup \tilde{\Sigma})$  has only one connected component, it follows from the assumptions about the boundary data (by unique continuation) that

$$u_j = \tilde{u}_j \quad \text{in } \Omega \setminus (O \cup \Sigma \cup \tilde{\Sigma}) \quad j = 1, \dots, M. \quad (3.1)$$

If  $O$  is nonempty then  $\partial O$  consists of pieces of curves from  $\Sigma$  and  $\tilde{\Sigma}$ ; on each of these pieces either  $u_j$  or  $\tilde{u}_j$ , is constant. Due to (3.1) it now follows that  $u_j$  is constant on each of the pieces that make up  $\partial O$ . Each function  $u_j$  therefore assumes finitely many values on  $\partial O$  (at most  $m + n$ ). Since  $u_j$  is continuous in  $\Omega$  (cf. [1]) we get that  $u_j$  is constant on each connected component of  $\partial O$ . Each connected component of  $O$  is simply connected, and it now follows, by the maximum principle, that  $u_j$  is constant in each connected component of  $O$ . This implies that  $u_j$  is constant in all of  $\Omega$  – a contradiction. We thus conclude that  $O$  is empty, so that  $u_j = \tilde{u}_j$  in  $\Omega \setminus (\Sigma \cup \tilde{\Sigma})$ ; by continuity it follows that

$$u_j = \tilde{u}_j \text{ in } \Omega \quad j = 1, \dots, M. \quad (3.2)$$

Let us assume that  $\Sigma$  and  $\tilde{\Sigma}$  are not identical. We may assume that there exists a curve  $\rho$  contained in  $\tilde{\sigma}_k$  for some  $k$  with  $\rho \cap \Sigma = \emptyset$ . Based on (3.2) we conclude that the functions  $u_j$  are all constant on  $\rho$ . There must exist a point on  $\rho$  where  $\nabla u_1 \neq 0$ , since otherwise  $u_1$  is constant in  $\Omega$  (by unique continuation); the implicit function theorem asserts that  $\rho$  must be analytic near this point. By shortening, if necessary, we may assume that the entire curve  $\rho$  is analytic. Let  $\nu$  be a unit normal vector field on the curve  $\rho$  and let  $x_1, \dots, x_{M-1}$  be distinct interior points on  $\rho$ . Let  $\alpha_1, \dots, \alpha_M$  denote numbers, *not all zero*, satisfying the underdetermined set of linear equations

$$\sum_{j=1}^M \frac{\partial u_j}{\partial \nu}(x_i) \alpha_j = 0, \quad i = 1, \dots, M - 1.$$

Define the function

$$u(x) = \sum_{j=1}^M \alpha_j u_j(x) \quad (3.3)$$

for  $x \in \Omega$ . The curve  $\rho$  is also a level curve for  $u$ . Applying Lemma 2.1 we obtain an analytic curve  $\rho_0$  containing  $\rho$  which satisfies (2.1a)–(2.1c), i.e.,

(3.4a)  $u$  is constant on  $\rho_0$

(3.4b)  $\rho_0$  has one endpoint on  $\partial\Omega$  or  $\sigma_k$  for some  $k$

(3.4c)  $\rho_0$  has the other endpoint on  $\partial\Omega$  or  $\sigma_l$  for some  $l$  and  $l \neq k$ .

For  $x \in \rho_0$  we have  $|\nabla u(x)| = |\partial u / \partial \nu(x)|$ . From equation (3.3) it follows that  $\partial u / \partial \nu(x_i) = 0$ , so that  $\nabla u(x_i) = 0$  for  $i = 1, \dots, M - 1$ . Using Lemma 2.2 we may now for each of the critical points  $x_i$  construct an analytic curve  $\rho_i$  such that

(3.5a)  $\rho_i \cap \rho_0 = \{x_i\}$ ,

(3.5b)  $u$  is constant on  $\rho_i$ .

Lemma 2.1 permits us to extend each of the curves  $\rho_i$  until it hits the boundary or one of the cracks in  $\Sigma$ , this way we obtain curves  $\rho_i$  which in addition to (3.5a) and (3.5b) satisfy

(3.5c)  $\rho_i$  has one endpoint on  $\partial\Omega$  or  $\sigma_k$  for some  $k$ ,

(3.5d)  $\rho_i$  has the other endpoint on  $\partial\Omega$  or  $\sigma_l$  for some  $l$  and  $l \neq k$ .

The fact that the extended curve  $\rho_i$  still only intersects  $\rho_0$  at  $x_i$  is proven as follows: if  $\rho_i$  intersected  $\rho_0$  at some other point  $x'_i$  then there would be some nonempty region  $O$  enclosed by  $\rho_0$  and  $\rho_i$  with  $u$  constant on  $\partial O$ . By the max-

imum principle  $u$  would be constant on  $O$  and hence it would be constant on all of  $\Omega$ . This clearly contradicts the fact that  $\gamma^{-1}\partial u/\partial\nu = \sum_{j=1}^M \alpha_j(\delta_{P_0} - \delta_{P_j})$  on  $\partial\Omega$  where the  $P_j$  are distinct and at least one  $\alpha_j$  is nonzero. Since all the curves  $\rho_i$  intersect  $\rho_0$ , the function  $u$  assumes the same constant value on  $\cup_{i=0}^{M-1} \rho_i$ . Note that no two of the  $M-1$  curves  $\rho_1, \dots, \rho_{M-1}$  can intersect, for then we would have some nonempty region  $O$  enclosed by the  $M$  curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$ , with  $u$  constant on  $\partial O$  - a contradiction. For a similar reason none of the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  can self-intersect. Between the  $M$  curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  we have a total of  $2M$  endpoints. Note that no two of these curves can terminate on the same crack  $\sigma_k$ , for then we would have some region  $O$  bounded by these curves and the crack  $\sigma_k$ , with  $u$  constant on  $\partial O$  - a contradiction. There are  $n$  cracks in  $\Sigma$ , so it follows that there must be at least  $2M - n$  points on  $\partial\Omega$  at which the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  terminate. Since the curves  $\rho_1, \dots, \rho_{M-1}$  do not intersect and each only intersect  $\rho_0$  at one point it is easy to see that any connected component of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$  has a part of its boundary in common with  $\partial\Omega$ , and that the number of connected components is exactly equal to the number of terminal points of the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  that lie on  $\partial\Omega$  (at least  $2M - n$ ). A situation corresponding to  $n = 2$  and  $M = 4$  is schematically shown in figure 1. The Neumann data for  $u$  has the form

$$\gamma^{-1} \frac{\partial u}{\partial \nu} = \sum_{j=0}^M \beta_j \delta_{P_j} \quad \text{on } \partial\Omega.$$

Let  $M' + 1 \leq M + 1$  be the number of nonzero  $\beta_j$ 's in the above sum, i.e.,  $M' + 1$  is the total number of sources and sinks (for  $u$ ) on  $\partial\Omega$ . We note that none of the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  can terminate at a source or a sink, since

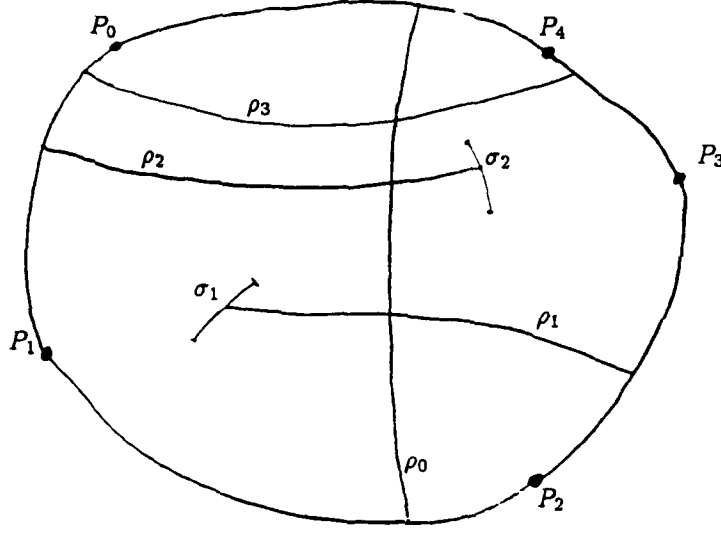


Figure 1:

$|u|$  approaches  $\infty$  there.

If  $M + (M - M') > n + 1$  then it follows that  $2M - n = M + (M - M') - n + M' > M' + 1$ , and therefore we conclude that at least one of the connected components of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$  is bounded by a level curve for  $u$  and a portion of  $\partial\Omega$  on which the normal derivative of  $u$  vanishes. This forces  $u$  to be a constant – a contradiction. Hence we see that if  $M + (M - M') > n + 1$ , then the assumption that  $\Sigma$  and  $\tilde{\Sigma}$  are different is incorrect.

Since  $M \geq n + 1$  and  $M \geq M'$  we always have that  $M + (M - M') \geq n + 1$ . The only case we have not analyzed yet is therefore  $M + (M - M') = n + 1$ , or equivalently,  $M' = M = n + 1$ . None of the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  can now terminate at any of the points  $P_j$ ,  $j = 0, \dots, M$  (since  $|u|$  approaches  $\infty$  there). Furthermore, each of the connected components of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$  has at least one of the points  $P_j$  on its boundary. If not, the argument from

the case  $M + (M - M') > n + 1$  leads to a contradiction. There cannot be one connected component, the boundary of which contains two or more of the points  $P_j$ , because then, due to the identity  $2M - n = M + 1$ , there would automatically have to be one connected component, the boundary of which contained none of the points  $P_j$  - a contradiction. In summary, each connected component of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$  has exactly one of the points  $P_j$  on its boundary. This means that there are exactly  $M + 1$  connected components and therefore exactly  $M + 1$  terminal points of the curves  $\rho_0, \rho_1, \dots, \rho_{M-1}$  on  $\partial\Omega$ . This leaves  $2M - (M + 1) = M - 1 = n$  terminal points that fall on cracks - one on each crack of  $\Sigma$ . From the inequality  $n + 1 = M \geq \max(m, n) + 1$  we conclude that  $n \geq m$ . If  $n = 0$  it would follow that  $m = 0$ , so that both  $\Sigma = \tilde{\Sigma} = \emptyset$  - a contradiction. There is therefore at least one crack  $\sigma_{k_0}$  in the collection  $\Sigma$ . The crack  $\sigma_{k_0}$  is contained in the closure of one of the connected components of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$ ; we denote this connected component by  $O$ . Furthermore we denote by  $\rho'$  that part of  $\cup_{i=1}^{M-1} \rho_i$  which connects  $\sigma_{k_0}$  to  $\rho_0$ .  $\rho'$  must necessarily, due to the construction of the curves  $\rho_i$ , be an interior boundary of  $O$ . A situation corresponding to  $n=2$  and  $M=3$  is illustrated in figure 2. Let  $P_{j_0}$  denote the point which lies on  $\partial O$ . We may without loss of generality assume that  $\beta_{j_0}$  is negative (so that  $P_{j_0}$  is a sink). Consider the maximum of  $u$  on  $\overline{O}$ . This maximum must be achieved at a boundary point, and it cannot be near  $P_{j_0}$ , since  $u$  behaves like  $-\beta_{j_0} \gamma(P_{j_0})/\pi \log r$  there. Since  $\frac{\partial u}{\partial \nu}$  is zero on  $\partial\Omega \setminus \cup_{j=0}^M \{P_j\}$  it now follows from the strong version of the maximum principle that the maximum of  $u$  on  $\overline{O}$  is attained on that part of  $\partial O$  which comes from  $\cup_{i=0}^{M-1} \rho_i$ ; in particular the maximum is attained all along  $\rho'$ . Let  $x_0$  be an interior point on  $\rho'$  and let  $B \subset O \cup \rho'$  be

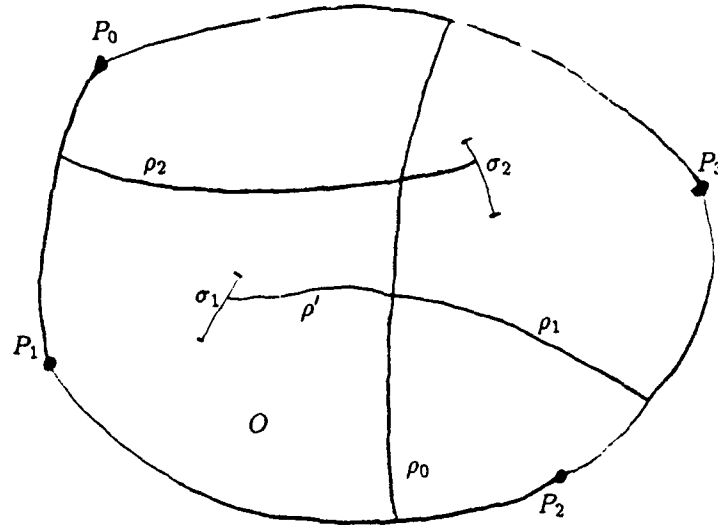


Figure 2:

a ball centered at  $x_0$  which does not intersect  $\Sigma$ . The function  $u$  satisfies the elliptic equation  $\nabla \cdot (\gamma^{-1} \nabla u) = 0$  in  $B$  (and is not constant) and therefore by the maximum principle

$$\inf_B u(x) < u(x_0) < \sup_B u(x). \quad (3.4)$$

On the other hand  $B \subset \overline{O}$  so

$$u(x_0) = \max_{\overline{O}} u(x) \geq \sup_B u(x),$$

and this immediately leads to a contradiction with (3.4). Hence we conclude that also in the case  $M + (M - M') = n + 1$  we cannot have that  $\Sigma$  and  $\hat{\Sigma}$  are different, and this completes the proof of Theorem 1.1.  $\square$

## 4 Proof of Theorem 1.2

The proof of Theorem 1.2 goes entirely along the lines of the previous proof up to and including the construction of the function  $u$  and the curves  $\rho_i$  (using the equivalent of Lemma 2.1 and Lemma 2.2 with Dirichlet boundary conditions of the form  $\sum \beta_j 1_{P_{j-1}, P_j}$ ). From there the proof proceeds as outlined below.

The points  $P_0, \dots, P_M$  divide the boundary  $\partial\Omega$  into  $M + 1$  half-open curves

$$[P_0, P_1), \dots, [P_{M-1}, P_M), \text{ and } [P_M, P_0).$$

Here we have used the notation  $[P, Q)$  for the counter-clockwise curve from  $P$  to  $Q$ , including  $P$ . The function  $u$  is constant on each of the curves  $[P_0, P_1), \dots, [P_{M-1}, P_M)$ , and  $[P_M, P_0)$  (on the last curve,  $u$  is actually zero). The curves  $\rho_0, \dots, \rho_{M-1}$  have at least  $2M - n$  terminal points on the boundary of  $\Omega$ , and we note that in this case the curves may very well terminate at one or more of the points  $P_0, \dots, P_M$ . If  $2M - n > M + 1$  (i.e.,  $M > n + 1$ ) it therefore follows that at least one of the curves  $[P_0, P_1), \dots, [P_{M-1}, P_M)$ , and  $[P_M, P_0)$  contains two terminal points of  $\cup_{i=0}^{M-1} \rho_i$ . Consequently there is a connected component of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$  which as its boundary has a level curve of  $u$  - a contradiction.

We now consider the remaining case:  $M = n + 1$ . In this case we conclude that any one of the curves  $[P_0, P_1), \dots, [P_{M-1}, P_M)$ , and  $[P_M, P_0)$  contains exactly one terminal point of  $\cup_{i=0}^{M-1} \rho_i$ . This leaves  $2M - (M + 1) = M - 1 = n$  terminal points of the curves  $\rho_0, \dots, \rho_{M-1}$  that fall on cracks - one on each crack of  $\Sigma$ . We also see that there are exactly  $M + 1$  connected components

of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$ . For each connected component, that part of the boundary which is shared with  $\partial\Omega$  consists of a single curve between two adjacent terminal points of  $\cup_{i=0}^{M-1} \rho_i$  (these points lie on adjacent curves  $[P_{j-1}, P_j)$  and  $[P_j, P_{j+1})$ , indices counted modulo  $M+1$ ). As in the proof of Theorem 1.1 we may argue that  $n \geq 1$ , so that  $\Sigma$  contains at least one crack  $\sigma_{k_0}$ . Let  $O$  denote the connected component of  $\Omega \setminus \cup_{i=0}^{M-1} \rho_i$ , whose closure contains  $\sigma_{k_0}$ , and let  $\rho'$  denote that part of  $\cup_{i=0}^{M-1} \rho_i$ , which connects  $\sigma_{k_0}$  to  $\rho_0$ .  $\rho'$  must necessarily, due to the construction of the curves  $\rho_i$ , be an interior boundary of  $O$ . The (interior) part of the boundary of  $O$  which is shared with  $\partial\Omega$  consists of a curve from  $P$  to  $Q$  with  $P \in [P_{j_0-1}, P_{j_0})$  and  $Q \in [P_{j_0}, P_{j_0+1})$  for some  $j_0 \pmod{M+1}$ . The rest of the boundary of  $O$  is a level curve for  $u$ . It is now very easy to see that  $u$  takes at most two values on  $\partial O$ . Consequently either the minimum or the maximum of  $u$  on  $\overline{O}$  is attained on  $\rho'$ . This leads to a contradiction, just as in the proof of Theorem 1.1.  $\square$

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## Report Documentation Page

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