

AD-A247 778



2

OFFICE OF NAVAL RESEARCH

FINAL REPORT  
(3/88-2/91)

for

Contract N00014-88-K-0309

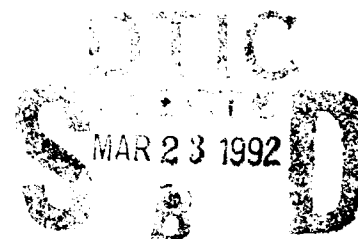
R&T Code 413p002

Precomplexation and Activation of Carboxylate and Phosphate Esters

Anthony W. Czarnik

Ohio State University

Department of Chemistry  
120 W. 18th Avenue  
Columbus, OH 43210-1173



2 Mar 92

Reproduction in whole or in part is permitted for  
any purpose of the United States Government

This document has been approved for public release and sale;  
its distribution is unlimited.

000 3 23 084

92-07301



# REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

|                                                                                                                                                                                                            |                                             |                                                                         |                                                                                                    |                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------------------------|
| 1a. REPORT SECURITY CLASSIFICATION<br><b>Unclassified</b>                                                                                                                                                  |                                             |                                                                         | 1b. RESTRICTIVE MARKINGS                                                                           |                           |
| 2a. SECURITY CLASSIFICATION AUTHORITY                                                                                                                                                                      |                                             |                                                                         | 3. DISTRIBUTION / AVAILABILITY OF REPORT<br>approved for public release;<br>distribution unlimited |                           |
| 2b. DECLASSIFICATION / DOWNGRADING SCHEDULE                                                                                                                                                                |                                             |                                                                         |                                                                                                    |                           |
| 4. PERFORMING ORGANIZATION REPORT NUMBER(S)<br><br>Report #10                                                                                                                                              |                                             |                                                                         | 5. MONITORING ORGANIZATION REPORT NUMBER(S)                                                        |                           |
| 6a. NAME OF PERFORMING ORGANIZATION<br><br>Ohio State University                                                                                                                                           | 6b. OFFICE SYMBOL<br>(If applicable)<br>OSU | 7a. NAME OF MONITORING ORGANIZATION<br><br>Office of Naval Research     |                                                                                                    |                           |
| 6c. ADDRESS (City, State, and ZIP Code)<br>Department of Chemistry<br>120 W. 18th Avenue<br>Columbus, Ohio 43210-1173                                                                                      |                                             | 7b. ADDRESS (City, State, and ZIP Code)<br><br>Arlington, VA 22217      |                                                                                                    |                           |
| 8a. NAME OF FUNDING / SPONSORING ORGANIZATION<br>Office of Naval Research                                                                                                                                  | 8b. OFFICE SYMBOL<br>(If applicable)<br>ONR | 9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER<br><br>N00014-88-K-0309 |                                                                                                    |                           |
| 8c. ADDRESS (City, State, and ZIP Code)<br>800 N. Quincy Street<br>Arlington, VA 22217-5000                                                                                                                |                                             | 10. SOURCE OF FUNDING NUMBERS                                           |                                                                                                    |                           |
|                                                                                                                                                                                                            |                                             | PROGRAM ELEMENT NO.<br>61153N                                           | PROJECT NO.<br>RR1309                                                                              | TASK NO.<br>413P          |
|                                                                                                                                                                                                            |                                             | WORK UNIT ACCESSION NO.<br>413P003                                      |                                                                                                    |                           |
| 11. TITLE (Include Security Classification)<br><del>Final Report for Contract N00014-88-K-0309</del> <i>See Cover</i>                                                                                      |                                             |                                                                         |                                                                                                    |                           |
| 12. PERSONAL AUTHOR(S)<br>Anthony W. Czarnik                                                                                                                                                               |                                             |                                                                         |                                                                                                    |                           |
| 13a. TYPE OF REPORT<br>final                                                                                                                                                                               | 13b. TIME COVERED<br>FROM 3/88 TO 2/91      | 14. DATE OF REPORT (Year, Month, Day)<br>1992 Mar 02                    | 15. PAGE COUNT                                                                                     |                           |
| 16. SUPPLEMENTARY NOTATION                                                                                                                                                                                 |                                             |                                                                         |                                                                                                    |                           |
| 17. COSATI CODES                                                                                                                                                                                           |                                             |                                                                         | 18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)                  |                           |
| FIELD                                                                                                                                                                                                      | GROUP                                       | SUB-GROUP                                                               |                                                                                                    |                           |
| 07                                                                                                                                                                                                         | 03                                          |                                                                         |                                                                                                    |                           |
|                                                                                                                                                                                                            |                                             |                                                                         |                                                                                                    |                           |
| 19. ABSTRACT (Continue on reverse if necessary and identify by block number)<br><br>This is the final report for contract N00014-88-K-0309. It summarizes our previously submitted Technical Reports #1-9. |                                             |                                                                         |                                                                                                    |                           |
| 20. DISTRIBUTION / AVAILABILITY OF ABSTRACT<br><input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT <input type="checkbox"/> DTIC USERS                         |                                             |                                                                         | 21. ABSTRACT SECURITY CLASSIFICATION<br><b>Unclassified</b>                                        |                           |
| 22a. NAME OF RESPONSIBLE INDIVIDUAL<br>Harold E. Guard                                                                                                                                                     |                                             |                                                                         | 22b. TELEPHONE (Include Area Code)<br>(202) 696-4409                                               | 22c. OFFICE SYMBOL<br>ONR |

OFFICE OF NAVAL RESEARCH  
FINAL REPORT

R&amp;T Number: 413p002

Contract/Grant Number: N00014-88-K-0309

Contract/Grant Title: Precomplexation and Activation of Carboxylate and Phosphate Esters

Principal Investigator: Anthony W. Czarnik

Mailing Address: Dept. of Chemistry, 120 W. 18th Avenue, Columbus, OH 43210

Phone Number: (614) 292-4531

FAX Number: (614) 292-1685

E-Mail Address: none

*(1) Statement of objectives*

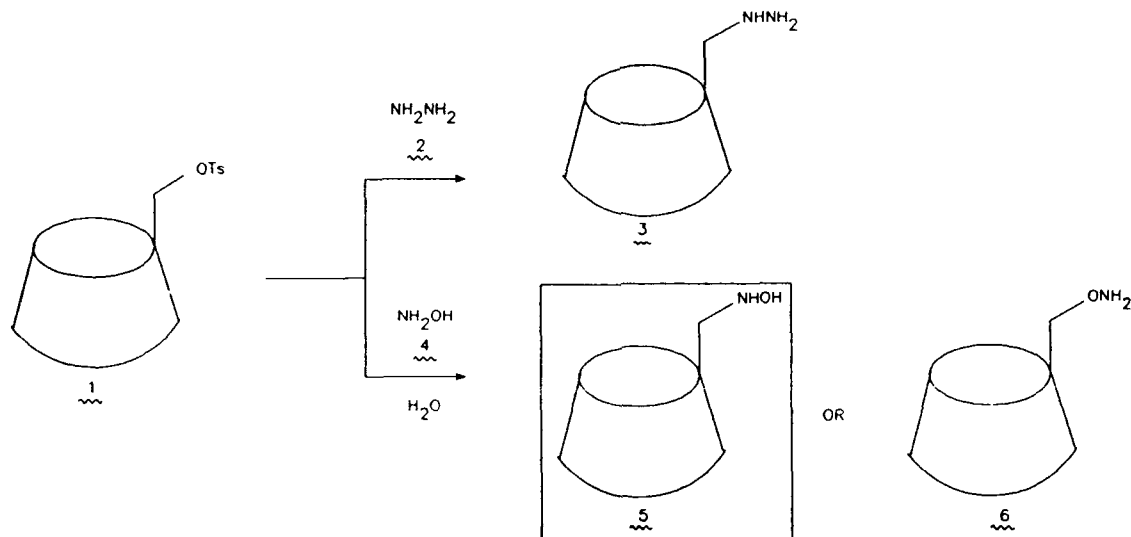
We are looking for reaction types that simultaneously: (1) provide for the reaction of acyl and phosphoryl groups under non-forcing conditions; (2) suggest ways for elaboration into catalytic cycles with turnover behavior; and, (3) survive translation onto binding moieties. To date, we have focussed on artificial metalloenzymes derived from Co(III) and Cu(II) coordination complexes with cyclodextrins, preassociating  $\alpha$ -nucleophiles, and binuclear metal ion complexes

*(2) Statement of accomplishments***PREASSOCIATING  $\alpha$ -NUCLEOPHILES**

Cyclodextrins have been prepared bearing imidazole as a group with reactivity at pH 7; pendant coordination complexes have likewise been employed. However, as potential pendant groups,  $\alpha$ -nucleophiles such as hydrazine or hydroxylamine offer unique properties. (1) In solution,  $\alpha$ -nucleophiles show enhanced reactivity towards acyl transfer as compared to isosteric alcohols or amines. (2) Despite their greater reactivity towards acyl compounds, hydroxylamine ( $pK_a$  5.97) and hydrazine ( $pK_a$  8.0) are less basic than isosteric amines ( $pK_a$  9-10), and thus exist in a reactive form near neutral pH. (3) Both hydroxylamine and hydrazine transacylate alkyl esters and amides. (4) Because they are physically small, pendant  $\alpha$ -nucleophiles would necessarily reside proximal to the CD binding cavity. We now report on the syntheses, characterizations, and reactivities of  $\beta$ CDNHNH<sub>2</sub> and  $\beta$ CDNHOH.

Reaction of  $\beta$ CD-1<sup>0</sup>-tosylate (1) in anhydrous hydrazine (2) at RT for 4 h, followed by precipitation from EtOH, gave the crude product (3). Physically entrained NH<sub>2</sub>NH<sub>2</sub> was removed by reprecipitation from EtOH (5x), which gave 3 in 60% yield. In an analogous manner, reaction of 1 with a 6% aqueous solution of hydroxylamine (4) at 90°C for 3 h, followed by multiple reprecipitation from EtOH, gave 5 in 86% yield. While either the N- or the O-alkylation product might have been formed, catalytic hydrogenation, which yielded  $\beta$ CDNH<sub>2</sub> and not  $\beta$ CD itself, confirmed the former. Notably for an unsymmetrically substituted CD derivative, 5 yields colorless plates (dec 207-210°C) from water.<sup>9</sup>

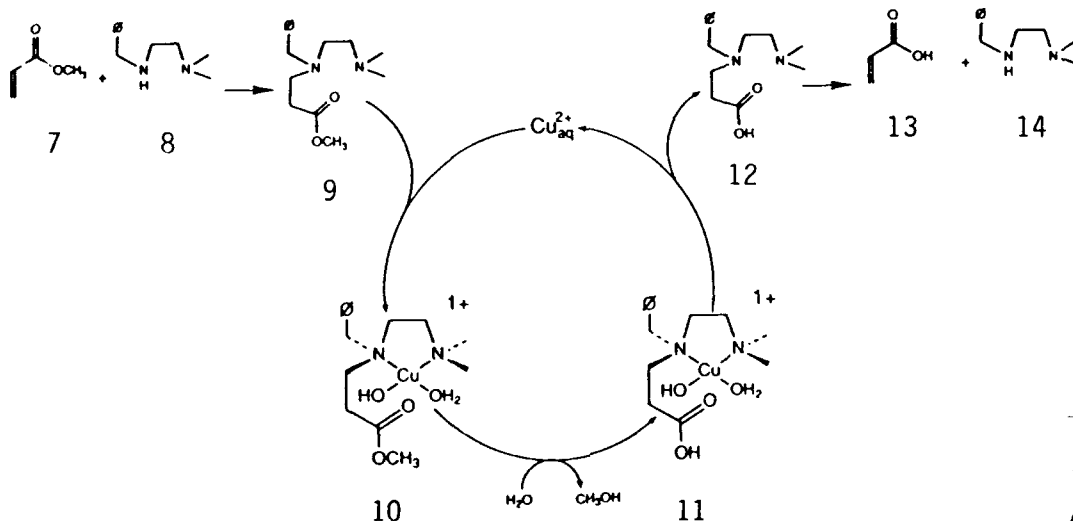
Both  $\beta$ CDNHNH<sub>2</sub> and  $\beta$ CDNHOH are acylated rapidly by p-nitrophenylacetate (pNPA) with saturation behavior. The reaction of pNPA (0.05 mM) fully complexed to 5 at pH 7.0 and 25°C is faster than that with equimolar CH<sub>3</sub>NHOH ( $k_2=1.0 \text{ M}^{-1} \text{ s}^{-1}$ ), demonstrating an effective



RNHOH concentration of 37 mM.  $\beta$ CDNHOH is acylated as efficiently at pH 7.0 as at pH 9.5; furthermore, the rate of acyl transfer is 1500-times faster than that afforded using equimolar  $\beta$ CD, which is not reactive under neutral conditions (pub. 7).

#### CATALYSIS VIA REVERSIBLE COVALENT BOND FORMATION

Concurrently, we have been investigating the potential of a different mode of reversible complexation: the Michael reaction. Without added metals, the hydrolysis of ester 9 (1.8 mM) to acid 12 proceeded at pH 7.50 and 23°C with  $k_{\text{obs}} = 1.0 \times 10^{-6} \text{ s}^{-1}$  ( $t_{1/2} = 670,000 \text{ s}$ ). Addition of divalent metal ions accelerated the rate of the hydrolysis by varying extents. The addition of 1 eq of  $\text{Co(II)}$  or  $\text{Ni(II)}$  accelerated the hydrolysis, yielding  $k_{\text{obs}} = 3.9 \times 10^{-6} \text{ s}^{-1}$  ( $t_{1/2} = 170,000 \text{ s}$ ) and  $1.2 \times$



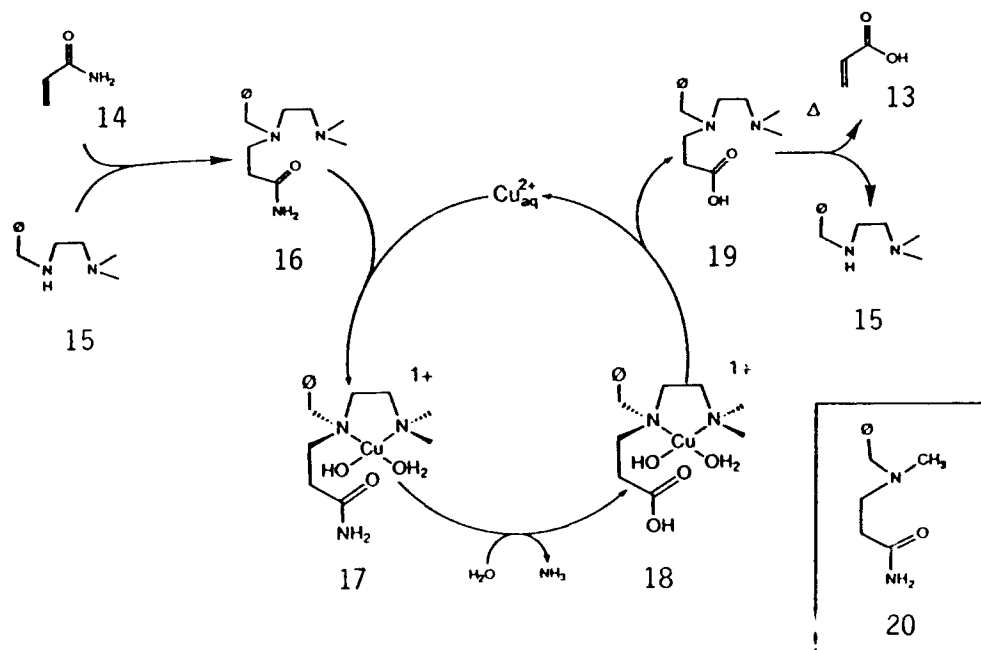
$10^{-5} \text{ s}^{-1}$  ( $t_{1/2} = 57,000 \text{ s}$ ), respectively. The use of copper ion led to the largest accelerations; addition of 1 eq of  $\text{Cu(II)}$  gave  $k_{\text{obs}} = 6.2 \times 10^{-3} \text{ s}^{-1}$  ( $t_{1/2} = 110 \text{ s}$ ), and addition of 5 eq of  $\text{Cu(II)}$  gave  $k_{\text{obs}} = 1.6 \times 10^{-2} \text{ s}^{-1}$  ( $t_{1/2} = 44 \text{ s}$ ). Therefore, the hydrolysis rate for the fully complexed ester is 16,000-times faster than the same reaction without added metal. Significantly, the reaction was catalytic with respect to copper ion; 0.01 eq of  $\text{Cu(II)}$  gave  $k_{\text{obs}} = 6.2 \times 10^{-6} \text{ s}^{-1}$  ( $t_{1/2} = 110,000 \text{ s}$ ), and the reaction proceeded to >90% completion while following first order

|                |                       |
|----------------|-----------------------|
| Author         | CZARNIK, Anthony W.   |
| Title          | Hydrolysis of ester 9 |
| Journal        |                       |
| Volume         |                       |
| Issue          |                       |
| Page           |                       |
| Year           |                       |
| Month          |                       |
| Day            |                       |
| Accession      |                       |
| Classification |                       |
| Indexing       |                       |
| Notes          |                       |
| Comments       |                       |
| Special        |                       |

A-1

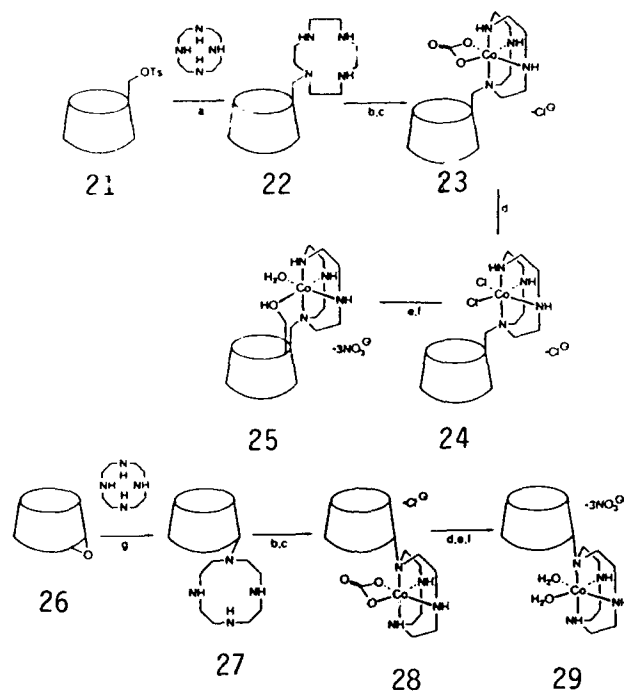
kinetics. As we did not observe product inhibition, it must be inferred that the complexation of Cu(II) to acid 12 is readily reversible and largely incomplete at the lower Cu(II) concentrations (pub. 2).

Even more encouragingly, the same scheme demonstrates metal-catalyzed hydrolysis of an unactivated amide. Without added metals the hydrolysis of amide 16 to acid 19 is too slow at pH 7.50 to measure readily, but no ( $\leq 2\%$ ) reaction had occurred after 650 h at  $50^\circ$ . As one basis for comparison, Still has measured the rate of peptide hydrolysis at  $25^\circ$  and pH 6-8 as  $k_{\text{obs}} = 3 \times 10^{-9} \text{ s}^{-1}$  ( $t_{1/2} = \text{ca. 7 years}$ ). With 1 equivalent of  $\text{Cu}(\text{ClO}_4)_2$  at pH 7.0 and  $23^\circ$ , the hydrolysis of 16 occurs to at least 97% completion with  $k_{\text{obs}} = 2.5 \times 10^{-6} \text{ s}^{-1}$ . The reaction with 0.5 eq of Cu(II) at pH 7.5 and  $50^\circ$  proceeded to  $>97\%$  completion and showed biphasic kinetics:  $k_{\text{obs}} = 8.7 \times 10^{-5} \text{ s}^{-1}$  (first half) and  $4.5 \times 10^{-6} \text{ s}^{-1}$  (second half). Reference 20 did not hydrolyze under metal catalyzed conditions. While such observations of metal ion promotion of amide hydrolysis have been made before, *the reaction we observe is catalytic with respect to copper ion*. The use of 8 mM 16 and 2 mM Cu(II) (0.25 equivalents) at pH 7.5 and  $50^\circ$  resulted in 80% conversion to acid 19 after 10 days. Likewise, the use of 1.2 mM Cu(II) (0.15 equivalents) at pH 7.0 and  $50^\circ$  resulted in 74% conversion to acid 19 after 15 days. These reactions, while not of enzyme-like speed, represent the first examples of metal catalyzed amide hydrolysis in a synthetic system (pub. 5).

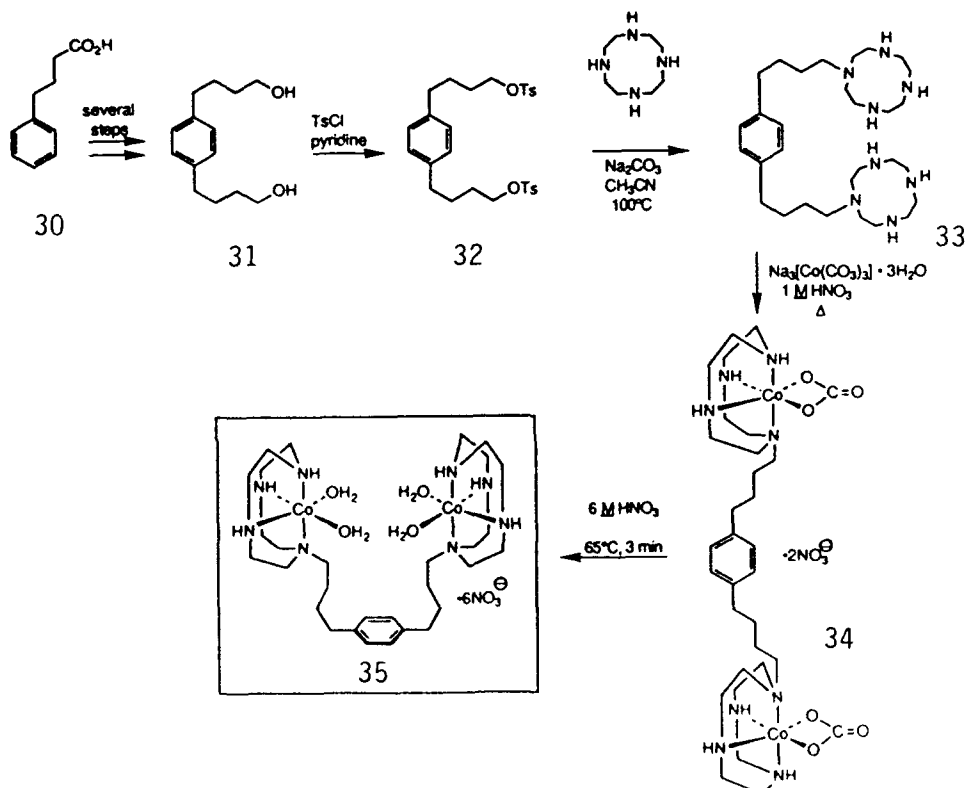


#### METALLOENZYME MIMICS

We have prepared isomeric cyclodextrin/Co(III)-azamacrocyclic conjugates **25** and **29**. The primary side derivative (**25**) increases the rate of p-nitrophenylacetate hydrolysis by a factor of 900-fold; equally significant is the fact that the acceleration is observed at pH 7, at which cyclodextrin itself shows no activity (pub. 1,8).



We have also prepared binuclear complex 35, which accelerates the hydrolysis of the bis(PNP)phosphodiester to a greater extent than two equivalents of the monomeric cyclen-Co(III) complex (pub. 4).



## (3) List of publications to date resulting from this work

- 1) E. Akkaya and A. W. Czarnik, "Synthesis and Reactivity of Cobalt(III) Complexes Bearing 1<sup>0</sup>- and 2<sup>0</sup>-Side Cyclodextrin Binding Sites. A Tale of Two CD's", *J. Am. Chem. Soc.* **1988**, *110*, 8553.
- 2) B. F. Duerr and A. W. Czarnik, "Cu(II) Catalyzed Hydrolysis of an Unactivated Ester Based on Reversible Conjugate Addition", *Tetrahedron Lett.* **1989**, *30*, 6951.
- 3) E. U. Akkaya, M. E. Huston, and A. W. Czarnik, "Chelation Enhanced Fluorescence of Anthrylazamacrocycles Conjugate Probes in Aqueous Solution", *J. Am. Chem. Soc.* **1990**, *112*, 3590.
- 4) Y. S. Chung, E. A. Akkaya, T. K. Venkatachalam, and A. W. Czarnik, "Synthesis and Characterization of a Reactive Binuclear Co(III) Complex. Cooperative Promotion of Phosphodiester Hydrolysis", *Tetrahedron Lett.* **1990**, *31*, 5413.
- 5) B. F. Duerr and A. W. Czarnik, "Cu(II)-Catalyzed Hydrolysis of an Unactivated Amide. Application of the Groves' Rule to the Hydrolysis of Acrylamide", *J. Chem. Soc., Chem. Comm.* **1990**, 1707.
- 6) M. I. Rosenthal and A. W. Czarnik, "Rapid Transacylations of Activated Ester Substrates Bound to the Primary Side  $\beta$ -Cyclodextrin-Cyclen Conjugate and its  $M^{2+}$  Complexes", *J. Incl. Phenomena.* **1991**, *10*, 119.
- 7) Lewis E. Fikes, David T. Winn, Robert W. Sweger, Morgan P. Johnson, and Anthony W. Czarnik, "Preassociating  $\alpha$ -Nucleophiles", *J. Am. Chem. Soc.* **1992**, *114*, 1493.
- 8) E. U. Akkaya and A. W. Czarnik, "Synthesis and Transacylating Activity of Isomeric Co(III)-Cyclodextrin Artificial Metalloenzymes", *J. Phys. Org. Chem.* **1992**, *5*, 0000.