

SECURITY CLASSIFICATION

AD-A253 922

15 JUL 1992

REPORT SECURITY CLASSIFICATION

SECURITY CLASSIFICATION AUTHORITY

DECLASSIFICATION / DOWNGRADING SCHEDULE

PERFORMING ORGANIZATION REPORT NUMBER(S)

NAME OF PERFORMING ORGANIZATION

University of Missouri-
St. Louis

ADDRESS (City, State, and ZIP Code)

Physics Dept.
8001 Natural Bridge Rd.
St. Louis, MO 63121

NAME OF FUNDING / SPONSORING ORGANIZATION

AFOSR

ADDRESS (City, State, and ZIP Code)

TITLE (Include Security Classification)

Quantum 1/f Noise in High Technology Applications Including Ultrasmall Structures and Devices

PERSONAL AUTHOR(S)

Dr. Peter H. Handel (Tel.: 314/553-5021)

1a. TYPE OF REPORT

Third Annual Report

13b. TIME COVERED

FROM 6/15/91 TO 6/14/92

14. DATE OF REPORT (Year, Month, Day)

7/14/92

15. PAGE COUNT

SUPPLEMENTARY NOTATION

COSATI CODES

FIELD GROUP SUB-GROUP

18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)

Quantum 1/f Noise Theory & Applications, 1/f Noise, Electronic Noise in Semiconductor Devices, Quantum 1/f Effect, Quartz Resonators, Noise in Ultrasmall Devices, Chaos, Nonlin. Dynamics

19. ABSTRACT (Continue on reverse if necessary and identify by block number)

The practical application of the quantum 1/f effect to quartz resonators and to infrared detectors present in this report allows us for the first time to understand and to extend the stability limits of quartz resonators. It also explains 1/f noise in most semiconductor devices and in infrared detectors considered in this report in the presence of radiation, although 1/f noise has been already successfully pushed below background in PtSi on p-type Si Schottky diodes at RADC-Hanscomb. A fundamental breakthrough was performed through the first direct derivation of the coherent quantum 1/f effect from a special quantum-electrodynamic propagator, and from the author's general sufficient 1/f chaos criterion presented in the previous yearly report. Finally, the quantum 1/f cross-correlations derived by the author have been used to recalculate and to graph the quantum 1/f mobility fluctuations in Si and GaAs samples as a function of temperature and doping, in good agreement with the measurements of Tacano in Japan and Hooze in the Netherlands.

20. DISTRIBUTION / AVAILABILITY OF ABSTRACT

☒ UNCLASSIFIED/UNLIMITED ☐ SAME AS RPT. ☐ DTIC USERS

21. ABSTRACT SECURITY CLASSIFICATION

Unclass

22a. NAME OF RESPONSIBLE INDIVIDUAL

Dr. Gerald Witt

22b. TELEPHONE (Include Area Code)

202/767-4932

22c. OFFICE SYMBOL

NE

ID FORM 1473, 84 MAR

83 APR edition may be used until exhausted.

All other editions are obsolete.

SECURITY CLASSIFICATION OF THIS PAGE

INTENTION PAGE

1b. RESTRICTIVE MARKINGS have been approved for public release and sale; its distribution is unlimited.

3. DISTRIBUTION / AVAILABILITY OF ABSTRACT

unlimited

(2)

5. MONITORING ORGANIZATION REPORT NUMBER(S)

AFOSR-TR. 92 0746

7a. NAME OF MONITORING ORGANIZATION

Air Force Office of Scientific Research

7b. ADDRESS (City, State, and ZIP Code)

Bldg. 410, Bolling AFB
Washington, DC 20332

9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER

Grant AFOSR-89-0416

10. SOURCE OF FUNDING NUMBERS

PROGRAM ELEMENT NO.

61102F

PROJECT NO.

2305

TASK NO.

C1

WORK UNIT ACCESSION NO.

QUANTUM 1/f NOISE IN HIGH TECHNOLOGY APPLICATIONS INCLUDING ULTRASMALL STRUCTURES AND DEVICES

THIRD ANNUAL REPORT

June 15, 1991 - June 14, 1992

AFOSR Grant #89-0416

July 14, 1992

Abstract

The practical application of the quantum 1/f effect to quartz resonators and to infrared detectors present in this report allows us for the first time to understand and to extend the stability limits of quartz resonators. It also explains 1/f noise in most semiconductor devices and in infrared detectors considered in this report in the presence of radiation, although 1/f noise has been already successfully pushed below background in PtSi on p-type Si Schottky diodes at RADC/Hanscomb. A fundamental breakthrough was performed through the first direct derivation of the coherent quantum 1/f effect from a special quantum-electrodynamic propagator, and from the author's general sufficient 1/f chaos criterion presented in the previous yearly report. Finally, the quantum 1/f cross-correlations derived by the author have been used to recalculate and to graph the quantum 1/f mobility fluctuations in Si and GaAs samples as a function of temperature and doping, in good agreement with the measurements of Tacano in Japan and Hooze in the Netherlands.

92-22445
■■■■■■■■■■

DTIC QUALITY INSPECTED 8

Accession For	
NTIS CRA&I	☒
DTIC TAB	☐
Unannounced	☐
Justification	
By	
Distribution /	
Availability Codes	
Dist	Avail and/or Special
A-1	

92 8 7 079

CONTENTS

Abstract	2
I. Introduction	4
II. Coherent Quantum 1/f Chaos	4
III. Application of the Sufficient 1/f Criterion to Quantum 1/f Chaos	10
IV. Quantum 1/f Flicker of Frequency in Quartz Resonators: Theory and Experiment	11
IV.1. Introduction	11
IV.2. Theory of 1/f Frequency Noise in Quartz Resonators	12
IV.3. Experimental Measurements and Analysis of 1/f Noise in Quartz Resonators	13
IV.4. Discussion	19
V. Practical Application to Quantum 1/f noise in Infrared Detectors	20
VI. Quantum 1/f Mobility Fluctuations in Homogeneous Semiconductor Samples	21
VII. References	27
VIII. Papers Published During this Grant Period	31
IX. General Quantum 1/f Bibliography	32

I. INTRODUCTION

The progress achieved this year includes the derivation of the Coherent Quantum $1/f$ Effect from a special quantum-electrodynamical propagator known since 1975, and from the sufficient $1/f$ criterion introduced in the previous Annual Report (Sec. II and III, respectively). It also includes the successful practical application of the quantum $1/f$ theory to quartz resonators and the verification of the results at NIST-Boulder with the cooperation of Fred Walls (Sec. IV). It further includes in Sec. V the practical application of the quantum $1/f$ theory to infrared detectors in the presence of radiation, in particular, a proof of the absence of quantum $1/f$ noise in the process of carrier photogeneration in semiconductors. It finally includes in Sec. VI the recalculation and first time graphical representation of quantum $1/f$ mobility fluctuations in Si and GaAs samples, based on the author's new cross-correlation formula, as a function of temperature and doping level.

We also mention the NSF-sponsored organization by the author of the "V. International van der Ziel Symposium on Quantum $1/f$ Noise and Other Low-Frequency Fluctuations" at the University of Missouri-St. Louis on May 22-23, 1992 with a 100% larger participation than ever before, and the ongoing organization by the author of the "XII Int. Conf. on Noise in Physical Systems and $1/f$ Noise" in St. Louis, for Aug. 16-20, 1993. Finally, we mention the creation of an Institute of Molecular Electronics at the University of Missouri St. Louis with the author's participation in September 1991. My collaborators have been F. Walls, E. Bernardi, T. Chung, A. Först-Chung, Y. Zhang, Xuewei Hu, Jian Xu, and I. Proleiko. These results will be briefly presented below.

II. COHERENT QUANTUM $1/f$ CHAOS

Conventional quantum $1/f$ fluctuations of physical cross sections and process rates have been introduced by us as a fundamental infrared divergence phenomenon in 1975 [1]. Some of the subsequent publications [2]-[14] have shown this new effect to be unaffected by the presence of the thermal radiation background [4], [5], some have derived it with wave packets [11], including a finite mean free path [9], in second quantization [13], with the Keldysh-Schwinger method [12], or in the Van Hove weak interaction limit [14], some derived its characteristic functional [7], or applied it to the calculation [10] of mobility and recombination speed fluctuations in semiconductors and semiconductor devices. Others [15] - [27] verified the new effect experimentally and successfully applied it to electronic devices.

The present paper derives a related fundamental effect which we call the coherent $1/f$ effect, with elementary methods of quantum electrodynamics and non-relativistic many-body theory. Our derivation uses the new picture introduced by Dollard [28] and generalized by

Kulish and Fadde'ev [29] and later by Zwanziger [30], in agreement with earlier work by Chung [31] and by Kibble [32]. In this new picture, the asymptotic Coulomb interaction is included in the unperturbed Hamiltonian rather than in the perturbation part. This leads to a more complex physical free particle notion which includes a coherent photon cloud, and replaces the pole in the propagator with a branch point. It also leads to a smeared-out mass shell. Using this picture, we can neglect the remaining part of the interaction if we limit ourselves to the asymptotic region of large distances and times, which are important in the case of $1/f$ noise.

For N electrons in a Fermi sphere shifted in momentum space by a vector p_0 and occupying $N/2$ orbitals e^{ipr} , the propagator derived by these authors [30] can be reduced for large time components of $x'-x$ to the non-relativistic form

$$\begin{aligned} -i\langle\Phi_0|T\psi_s(x')\psi_s^\dagger(x)|\Phi_0\rangle &= \delta_{ss'} G_s(x'-x) \\ &= (i/V)\sum_p \{ \exp i[p(r-r') - p^2(t-t')/2m]/\hbar \} n_{p,s} \\ &\quad \times \{-ip(r-r')/\hbar + i(m^2c^2 + p^2)^{1/2}(t-t')(c/\hbar)\}^{\alpha/\pi}. \end{aligned} \quad (1)$$

Here $\alpha=e^2/\hbar c=1/137$ is Sommerfeld's fine structure constant, $n_{p,s}$ the number of electrons in the state of momentum p and spin s , m the rest mass of the fermions, $\delta_{ss'}$ the Kronecker symbol, c the speed of light, $x=(r,t)$ any space-time point and V the volume of a normalization box. T is the time-ordering operator which orders the operators in the order of decreasing times from left to right and multiplies the result by $(-1)^P$, where P is the parity of the permutation required to achieve this order. For equal times, T normal-orders the operators, i.e., for $t=t'$ the left-hand side of Eq. (1) is $i\langle\Phi_0|\psi_s^\dagger(x)\psi_s(x')|\Phi_0\rangle$. The state Φ_0 of the N electrons is described by a Slater determinant of single-particle orbitals.

Consider first the case $t=t'$ for simplicity, although only the case of large $t-t'$ can be expected to be experimentally applicable. The pair correlation function can then be decomposed as follows

$$\begin{aligned} \langle\Phi_0|\psi_s^\dagger(x)\psi_s^\dagger(x')\psi_s(x')\psi_s(x)|\Phi_0\rangle &= \langle\Phi_0|\psi_s^\dagger(x)\psi_s(x)|\Phi_0\rangle\langle\Phi_0|\psi_s^\dagger(x')\psi_s(x')|\Phi_0\rangle \\ &\quad - \langle\Phi_0|\psi_s^\dagger(x)\psi_s(x')|\Phi_0\rangle\langle\Phi_0|\psi_s^\dagger(x')\psi_s(x)|\Phi_0\rangle. \end{aligned} \quad (2)$$

The first term can be expressed in terms of the particle density of spin s , $n/2 = N/2V = \langle\Phi_0|\psi_s^\dagger(x)\psi_s(x)|\Phi_0\rangle$, while the second term can be expressed in terms of the Green function (1) in the form

$$A_{ss'}(x-x') = \langle\Phi_0|\psi_s^\dagger(x)\psi_s^\dagger(x')\psi_s(x')\psi_s(x)|\Phi_0\rangle = (n/2)^2 + \delta_{ss'} G_s(x'-x)G_s(x-x'). \quad (3)$$

The "relative" autocorrelation function $A(x-x')$ describing the normalized pair correlation independent of spin is obtained by dividing by n^2 and summing over s and s'

$$\begin{aligned}
 A(x-x') &= 1 + (1/n^2) \sum_s G_s(x-x') G_s(x'-x) \\
 &= 1 - (1/N^2) \sum_s \sum_{pp'} \{ \exp[i(p-p')(r-r')/\hbar] \} n_{p,s} n_{p',s} \\
 &\quad \times |p(r-r')/\hbar|^{\alpha/\pi} |p'(r-r')/\hbar|^{\alpha/\pi}.
 \end{aligned} \tag{4}$$

Here we have used Eq. (1). The low-wavenumber part A_l of this relative density autocorrelation function is given by the terms with $p=p'$.

$$A_l(x-x') = 1 - (1/N^2) \sum_s \sum_p n_{p,s} |p(r-r')/\hbar|^{2\alpha/\pi} \tag{5}$$

$$\begin{aligned}
 &= 1 - (2/N^2) [V/(2\pi\hbar)^3] \int_{p < p_F} d^3p |p + p_0(r-r')/\hbar|^{2\alpha/\pi} \\
 &= 1 - (2/N^2) [V/(2\pi\hbar)^3] \int_{-a}^a dp_1 \int_{-a}^a dp_2 \int_{-a}^a dp_3 |(p_3 + p_{03})(r-r')/\hbar|^{2\alpha/\pi} \\
 &= 1 - (2/N^2) [V/(2\pi\hbar)^3] 8a^3 |a(r-r')/\hbar|^{2\alpha/\pi} \\
 &= 1 - (1/N) [(\pi/6)^{1/3} p_F |r-r'|/\hbar]^{2\alpha/\pi}, \quad \text{for } p_F \gg p_{03};
 \end{aligned} \tag{6}$$

and

$$= 1 - (1/N) |p_0(r-r')/\hbar|^{2\alpha/\pi} \quad \text{for } p_F \ll p_{03}; \tag{6'}$$

In view of the smallness of $2\alpha/\pi$, for $p_F \gg p_{03}$ we have integrated in cartesian coordinates, approximating the Fermi sphere by a cube of side $2a$ with $a = (\pi/6)^{1/3} p_F$. The result is practically independent of p_0 and of $p_{03} = p_0(r-r')/|r-r'|$. The factor $(\pi/6)^{2\alpha/3\pi}$ can be neglected. For $p_F \ll p_{03}$ we used the mean value theorem for estimating the integral over d^3p in spherical coordinates. In all cases the autocorrelation decreases very slowly from 1 when $|r-r'|$ is increased to very large values. Writing the rectangular bracket in Eq. (6) as an exponential function of its logarithm, expanding the resulting exponential, and keeping only the first term, we obtain with $p_F/\hbar = k_F$

$$A_l(x-x') = (1-2/N) + (6/\pi)^{2\alpha/3\pi} \{ [1/k_F |r-r'|]^{2\alpha/\pi} \} / N$$

$$= (1-2/N) + (6/\pi)^{2\alpha/3} [1/k_F]^{2\alpha/\pi} (2\alpha/N\pi) \int_0^\infty \cos[k|r-r'|] dk/k^{1-2\alpha/\pi}.$$

$$\sim \{N-2 + (2\alpha/\pi) \int_0^\infty [k/k_F]^{2\alpha/\pi} \cos[k|r-r'|] dk/k\} / N \quad \text{for } p_F \gg p_{03}; \quad (7)$$

$$\sim \{N-2 + (2\alpha/\pi) \int_0^\infty [k/k_0]^{2\alpha/\pi} \cos[kp_0(r-r')/p_0] dk/k\} / N \quad \text{for } p_F \ll p_{03}; \quad (7')$$

Here we have used a well-known Fourier integral [35] and we have introduced $k_0 = p_{03}/\hbar$. According to the Wiener-Khinchine theorem, the coefficient of the cos gives the spectral density. To get it for the fractional fluctuations $\delta n/n$, we divide by the constant term $N-2$

$$S_{\delta n/n}(k) = [2\alpha/\pi k(N-2)] [k/K]^{2\alpha/\pi}, \quad (8)$$

where $K=k_F$ for $p_F \gg p_{03}$ as in the case of metals with spherical wave symmetry, and $K=k_0$ for $p_F \ll p_{03}$. Although inapplicable, this pure $1/k$ spectrum is the wave-number equivalent of the coherent quantum $1/f$ noise derived earlier [33], [34] in excellent agreement with the experiments on large electronic devices [15], [25]. Due to $2\alpha A \ll 1$ the second factor is practically unity and of no importance, except for eliminating the logarithmic divergence from the spectral integral. This wave number spectrum also entails a $1/f$ frequency spectrum obtained by writing $dk/k = df/f$, as was shown in detail in a previous paper [13]. For equal times our result can not be expected to be valid, due to the asymptotic character of Eq. (1). We shall now derive the $1/f$ spectrum directly below.

If $t \neq t'$, Eq. (2) is replaced by

$$\begin{aligned} \langle \Phi_0 | T \psi_s^\dagger(x) \psi_s(x) \psi_s^\dagger(x') \psi_s(x') | \Phi_0 \rangle &= \langle \Phi_0 | \psi_s^\dagger(x) \psi_s(x) | \Phi_0 \rangle \langle \Phi_0 | \psi_s^\dagger(x') \psi_s(x') | \Phi_0 \rangle \\ &- \langle \Phi_0 | T \psi_s(x') \psi_s^\dagger(x) | \Phi_0 \rangle \langle \Phi_0 | T \psi_s(x) \psi_s^\dagger(x') | \Phi_0 \rangle. \end{aligned} \quad (9)$$

Eq. (3) remains the same, except for the middle part which is replaced by the left hand side of Eq. (9). Eq. (4) becomes now

$$\begin{aligned} A(x-x') &= 1 - (1/n^2) \sum_s G_s(x-x') G_s(x'-x) \\ &= 1 - (1/N^2) \sum_s \sum_{pp'} \{ \exp[i((p-p')(r-r') - (p^2-p'^2)(t-t')/2m)/\hbar] n_{p,s} n_{p',s} \} \end{aligned}$$

$$\begin{aligned} & \times \{p(r-r')/\hbar - (m^2 c^2 + p^2)^{1/2} (t-t') (c/\hbar)\}^{\alpha/\pi} \\ & \times \{p'(r-r')/\hbar - (m^2 c^2 + p'^2)^{1/2} (t-t') (c/\hbar)\}^{\alpha/\pi}. \end{aligned} \quad (10)$$

Here we have used again Eq. (1). The low-frequency and low-wavenumber part A_1 of this relative density autocorrelation function is also given by the terms with $p=p'$.

$$\begin{aligned} A_1(x-x') &= 1 - (1/N^2) \sum_s \sum_p n_{p,s} \\ & \times \{p(r-r')/\hbar - (m^2 c^2 + p^2)^{1/2} (t-t') (c/\hbar)\}^{2\alpha/\pi} \end{aligned} \quad (11)$$

$$\begin{aligned} &= 1 - (2/N^2) [V/(2\pi\hbar)^3] \int_{p < p_F} d^3p \{ (p+p_0)(r-r')/\hbar - [m^2 c^2 + (p+p_0)^2]^{1/2} (t-t') (c/\hbar) \}^{2\alpha/\pi} \\ &= 1 - (1/N) |p_0(r-r')/\hbar - mc^2\tau/\hbar|^{2\alpha/\pi} \quad \text{for } p_F \ll |p_0 - mc^2\tau/z|. \end{aligned} \quad (12)$$

Here we have used the mean value theorem, considering the $2\alpha/\pi$ power as a slowly varying function of p and neglecting p_0 in the coefficient of $\tau = t-t'$, with $z = |r-r'|$. Writing the power again as an exponential function of its logarithm, expanding the resulting exponential, and keeping only the first term, we obtain with $(\hbar/mc^2) |p_0(r-r')/\hbar - mc^2\tau/\hbar| = \theta = |\tau - p_0(r-r')/mc^2|$

$$\begin{aligned} A_1(x-x') &= 1 - [(mc^2/\hbar)\theta]^{2\alpha/\pi} / N \\ &= (1-2/N) + [\hbar/mc^2]^{2\alpha/\pi} (2\alpha/N\pi) \int_0^\infty \cos[\omega\theta] d\omega / \omega^{1-2\alpha/\pi} \\ &= \{N-2 + (2\alpha/\pi) \int_0^\infty [\hbar\omega/mc^2]^{2\alpha/\pi} \cos[\omega\theta] d\omega / \omega\} / N. \end{aligned} \quad (13)$$

According to the Wiener-Khintchine theorem, the coefficient of the cos gives the spectral density. To get it for the fractional fluctuations $\delta n/n$, we divide by the constant term $N-2$

$$S_{\delta n/n}(k) = [2\alpha/\pi\omega(N-2)] [\hbar\omega/mc^2]^{2\alpha/\pi}. \quad (14)$$

This result is also applicable for the particle current fluctuation spectrum. Indeed, for current density fluctuations δj we include a $(\hbar/mi)\nabla$ in front of each of the two ψ operators in Eq. (9), a factor pp'/p_0^2 in Eq. (10) after the summation signs, a factor $(p/p_0)^2$ in the first form of Eq.

(11), a factor $(p+p_0)^2/p_0^2$ in the second form, and no changes in Eqs. (12)-(13). Eq. (14) becomes

$$S_{j/j}(k) = [2\alpha/\pi\omega(N-2)][\hbar\omega/mc^2]^{2\alpha/\pi}. \quad (15)$$

This result coincides with our earlier theoretical result for coherent quantum 1/f noise if we replace N with $N-2$. The validity of this equation is restricted to low frequencies and wave-numbers. This equation is in excellent agreement with mobility and diffusion 1/f noise in large devices.

Finally, we consider the errors caused by the neglect of higher order terms in the expansion of the exponential functions resulting from Eqs. (6)-(6') and (12). For a thermal electron and $r=1\text{cm}$ in Eq. (6') we get $kr=10^{12}$ and $(2\alpha/\pi)\ln(kr)=0.12$, yielding an error of 12%. For $t=10^{17}\text{s}$ in Eq. (12), which is the age of the universe, we get an error of 40% as the upper limit. Therefore a more exact treatment is of some interest. Using the identity [35]

$$\begin{aligned} \theta^{2\alpha/\pi} &= \left[-(2\alpha/\pi) \int_{\omega_0}^{\infty} \omega^{-2\alpha/\pi} \cos(\theta\omega) d\omega/\omega \right] \\ &\times \left\{ \cos\alpha + (2\alpha/\pi) \sum_{n=0}^{\infty} (\theta\omega_0)^{2n-2\alpha/\pi} [(2n)!(2n-2\alpha/\pi)]^{-1} \right\}^{-1}, \end{aligned} \quad (16)$$

with arbitrarily small cutoff ω_0 , we obtain from Eq. (12) the exact form

$$\begin{aligned} A_j(x-x') &= 1 + [(2\alpha/\pi N) \int_{\omega_0}^{\infty} (mc^2/\hbar\omega)^{2\alpha/\pi} \cos(\theta\omega) d\omega/\omega] \\ &\times \left\{ \cos\alpha + (2\alpha/\pi) \sum_{n=0}^{\infty} (\theta\omega_0)^{2n-2\alpha/\pi} [(2n)!(2n-2\alpha/\pi)]^{-1} \right\}^{-1}. \end{aligned} \quad (17)$$

This would indicate a $\omega^{-1-2\alpha/\pi}$ spectrum and a $1/N$ dependence of the spectrum of fractional n and j fluctuations, if we neglect the curly bracket in the denominator which is close to unity for very small ω_0 . We thus realize that the unusual $N-2$ dependence in Eqs. (14)-(15) is caused by the forced introduction of the integrable $\omega^{2\alpha/\pi-1}$ spectrum in place of the $\omega^{-1-2\alpha/\pi}$ spectrum. Due to the smallness of α both forms coincide in practical applications. Eq. (15) for the coherent QED chaos process in electric currents can thus be written also in the form

$$S_{j/j}(k) = [2\alpha/\pi\omega N][mc^2/\hbar\omega]^{2\alpha/\pi} = 2\alpha/\pi\omega N = 0.00465/\omega N. \quad (18)$$

This result derived directly earlier [33], [34], is in excellent agreement with the measurements [15], [25], in large {see [34] for a definition of "large" or "extended", and for an interpolation with the conventional quantum 1/f chaos effect} devices such as large n+p Hg_{1-x}Cd_xTe infrared detector diodes. It is also close to the empirical value of 0.002/ωN observed earlier by Hooge [36] in semiconductors and metals. Being observed in the presence of a constant applied field, these fundamental quantum current fluctuations are usually interpreted as mobility fluctuations.

III. APPLICATION OF THE SUFFICIENT 1/f CRITERION TO QUANTUM 1/f CHAOS

The nonlinearity causing the 1/f spectrum of turbulence in both semiconductors and metals is caused by the reaction of the field generated by charged particles and their currents back on themselves. The same nonlinearity is present in quantum electrodynamics (QED), where it causes the infrared divergence, the infrared radiative corrections for cross sections and process rates, and the quantum 1/f effect. We shall prove this on the basis of the sufficient criterion for 1/f spectral density in chaotic systems, derived in the previous annual report.

Consider a beam of charged particles propagating in a well-defined direction which we shall call the x direction, so that the one-dimensional Schrödinger equation describes the longitudinal fluctuations in the concentration of particles. Considering the non-relativistic case which is encountered in most quantum 1/f noise applications, we write in second quantization the equation of motion for the Heisenberg field operators ψ of the in the form

$$i\hbar\partial\psi/\partial t = (1/2m)[-i\hbar\nabla - (e/c) A]^2\psi, \quad (19)$$

With the non-relativistic form $J = -i\hbar\psi^*\nabla\psi/m + \text{hermitic conjugate}$, and with

$$A(x,y,z,t) = (e/2cmi)\int \frac{[\psi^*\nabla\psi - \psi\nabla\psi^*]}{|x-x'|}dx', \quad (20)$$

we obtain

$$i\hbar\partial\psi/\partial t = (1/2m)\left[-i\hbar\nabla - (e\hbar/2c^2mi)\int \frac{[\psi^*\nabla\psi - \psi\nabla\psi^*]}{|x-x'|}dx'\right]^2\psi. \quad (21)$$

At very low frequencies or wavenumbers the last term in rectangular brackets is dominant on the r.h.s., leading to

$$i\hbar\partial\psi/\partial t = (-1/2m)\left[(e\hbar/2c^2m)\int\frac{[\psi^*\nabla\psi-\psi\nabla\psi^*]}{|x-x'|}dx'\right]^2\psi. \quad (22)$$

For x replaced by λx , and x' replaced by $\lambda x'$, we obtain

$$i\hbar\partial\psi/\partial t = (-1/2m)\left[(e\hbar/2c^2m)\int\frac{[\psi^*\nabla/\lambda\psi-\psi\nabla/\lambda\psi^*]}{\lambda|x-x'|}\lambda^3dx'\right]^2\psi = \lambda^2H\psi = \lambda^{-p}H\psi. \quad (23)$$

This satisfies our homogeneity criterion with $p=-2$. Our sufficient criterion only requires homogeneity, with any value of the weight p , for the existence of a $1/f$ spectrum in chaos. Therefore, we expect a $1/f$ spectrum of quantum current-fluctuations, i.e., of cross sections and process rates in physics, as derived in detail in Sec. II above. This is in agreement with the well-known, and experimentally verified, results of the Quantum $1/f$ Theory.

In conclusion, we realize that, both in classical and quantum mechanical nonlinear systems, the limiting behavior at low wave numbers is usually expressed by homogeneous functional dependences, leading to fundamental $1/f$ spectra on the basis of our criterion.

IV. QUANTUM $1/f$ FLICKER OF FREQUENCY IN QUARTZ RESONATORS: THEORY AND EXPERIMENT

IV. 1. Introduction

Flicker of frequency noise is an important characteristic of quartz resonators which limits their stability and determines their utility for most applications. The $1/f$ contribution to frequency stability is best obtained by observing the stability over a range of measurement times in the time domain, or over a range of frequencies in the frequency domain. From the extended data one can fit a flicker of frequency model to the data that excludes the contributions from random walk frequency modulation and from the drift present in many resonators and oscillators (Fig. 1).

One of the first who systematically studied the $1/f$ noise as a function of geometry, temperature and Q-factors was Gagnepain [37]. He noticed empirically that the $1/f$ part of the spectral density of fractional frequency fluctuations, $S_y(f)$, varied as Q^{-4} for resonators between 1 and 25 MHz. As the temperature changes, the Q-factor of a resonator changes. This allows us to exclude the effect of many other factors. Additional work by Parker, however, showed that the data from both bulk acoustic wave (BAW) and surface acoustic wave (SAW) devices could be roughly fit to the the same model [38], if one assumes a Q^{-4} dependence for the phase noise S_ϕ insted of S_y . The fit is shown on Fig. 2.

From a theoretical point of view, fundamental work by this author [39], inspired by the quantum $1/f$ theory [40], has derived the Q^{-4} dependence of S_y for all resonators from first principles

already 1979. Work on many systems other than quartz has yielded very good quantitative agreement between theory and experimental data for quantum 1/f noise [41]. This paper refines the previous theoretical work on 1/f frequency noise in quartz to suggest a better framework for predicting the level of 1/f frequency noise in quartz resonators over a wide range of frequencies and Q-factors.

IV. 2. Theory of 1/f Frequency Noise in Quartz Resonators

According to the general quantum 1/f formula [40], $\Gamma^{-2}S_{\Gamma}(f)=2\alpha A/f$ with $\alpha=e^2/\hbar c = 1/137$ and $A=2(\Delta J/ec)^2/3\pi$ is the quantum 1/f effect in any physical process rate Γ . Setting $J=dP/dt=\dot{P}$ where P is the vector of the dipole moment of the quartz crystal, we obtain for the fluctuations in the rate Γ of phonon removal from the main resonator oscillation mode (by scattering of a phonon from any other mode of average frequency $\langle\omega\rangle$ of the crystal, (or via a two-phonon-process at a crystal defect or impurity, involving a phonon of average frequency $\langle\omega'\rangle$) the spectral density

$$S_{\Gamma}(f) = \Gamma^2 4\alpha(\Delta\dot{P})^2/3\pi e^2 c^2, \quad (24)$$

where $(\Delta\dot{P})^2$ is the square of the dipole moment rate change associated with the process causing the removal of a phonon from the main oscillator mode. To calculate it, we write the energy W of the interacting resonator mode $\langle\omega\rangle$ in the form

$$W = n\hbar\langle\omega\rangle = 2(Nm/2)(dx/dt)^2 = (Nm/e^2)(e dx/dt)^2 = (m/Ne^2)\epsilon^2(\dot{P})^2; \quad (25)$$

The factor two includes the potential energy contribution. Here m is the reduced mass of the elementary oscillating dipoles, e their charge, ϵ a polarization constant, and N their number in the quartz crystal. Applying a variation $\Delta n=1$ we get

$$\Delta n/n = 2|\Delta\dot{P}|/|\dot{P}|, \text{ or } \Delta\dot{P}=\dot{P}/2n. \quad (26)$$

Solving Eq. (25) for $\dot{P}$ and substituting, we obtain

$$|\Delta\dot{P}| = (N\hbar\langle\omega\rangle/n)^{1/2}(e/2\epsilon) \quad (27)$$

Substituting $\Delta\dot{P}$ into Eq. (24), we get

$$\Gamma^{-2}S_{\Gamma}(f) = N\alpha\hbar\langle\omega\rangle/3n\pi mc^2\epsilon^2 = \Lambda/f. \quad (28)$$

This result is applicable to the fluctuations in the loss rate Γ of the quartz.

The corresponding resonance frequency fluctuations of the quartz resonator are given by²

$$\omega^{-2}S_{\omega}(f) = (1/4Q)(\Delta/f) = N\alpha\hbar\langle\omega\rangle/12n\pi mc^2\epsilon^2Q^4, \quad (29)$$

where Q is the quality factor of the single-mode quartz resonator considered, and $\langle\omega\rangle$ is not the circular frequency of the main resonator mode, ω_0 , but rather the practically constant frequency of the average interacting phonon, considering both three-phonon and two-phonon processes. The corresponding $\Delta\dot{P}$ in the main resonator mode has to be also included in principle, but is negligible because of the very large number of phonons present in the main resonator mode.

Eq. (29) can be written in the form

$$S(f) = \beta V/fQ^4, \quad (30)$$

where, with an intermediary value $\langle\omega\rangle=10^8/s$, with $n=kT/\hbar\langle\omega\rangle$, $T=300K$ and $kT=4 \cdot 10^{-21} J$,

$$\beta = (N/V)\alpha\hbar\langle\omega\rangle/12n\pi\epsilon^2mc^2 = 10^{22}(1/137)(10^{-27}10^8)^2/12kT\pi10^{-27}9 \cdot 10^{20} = 1. \quad (31)$$

The form of Eq. (30) shows that the level of $1/f$ frequency noise depends not only as Q^{-4} as previously proposed, but also on the oscillation frequency or the volume of the active region. This model qualitatively fits the data of Gagnepain [37,48] where he varied the Q -factor with temperature in the same resonator (but not frequency or volume).

The model also provides the basis for predicting how to improve the $1/f$ level of resonators, beyond just improving the Q -factor, which has been known for many years. Since the level depends on active volume, one should use the lowest overtone and smallest diameter consistent with other circuit parameters.

IV. 3. Experimental Measurements and Analysis of $1/f$ Noise in Quartz Resonators

The level of $1/f$ frequency noise in quartz resonators has been measured using phase bridges and complete oscillators [37,38,42-47]. Unfortunately much of the data in the literature is unusable for modeling because the unloaded Q -factor is unknown. (Our case is even more restrictive because we also need to know the electrode size). The phase bridge approach has the advantage that the unloaded Q -factor can be easily measured and the noise in the measurement electronics can be evaluated independent of the resonator. If resonator pairs are used, the noise of the driving source can generally

Phase Noise Model for 5 MHz Oscillator

$$\mathcal{L}(f) = 10^{-12.86} f^3 + 10^{-15.0} f + 10^{-178.7}$$

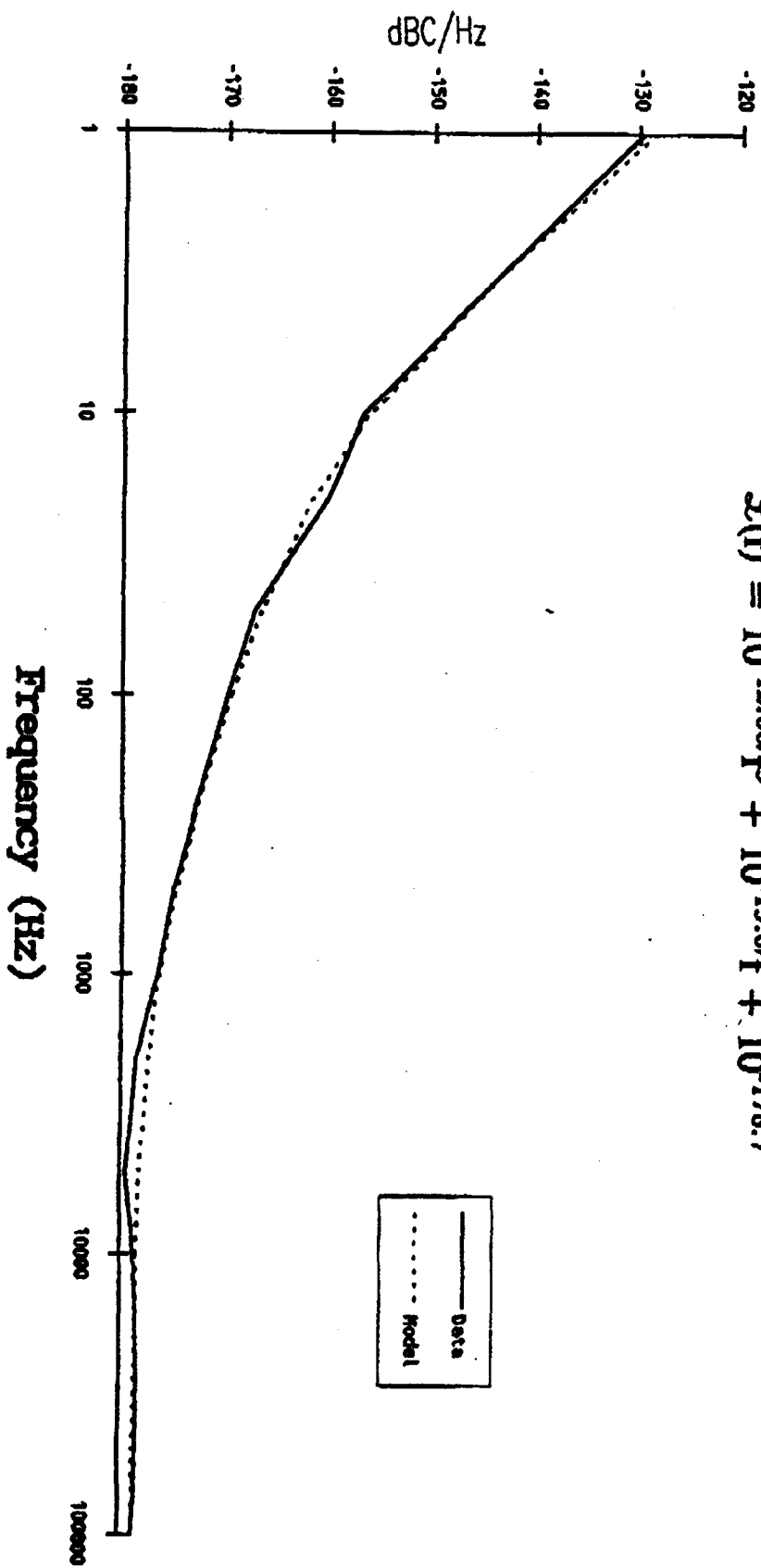


Fig 1

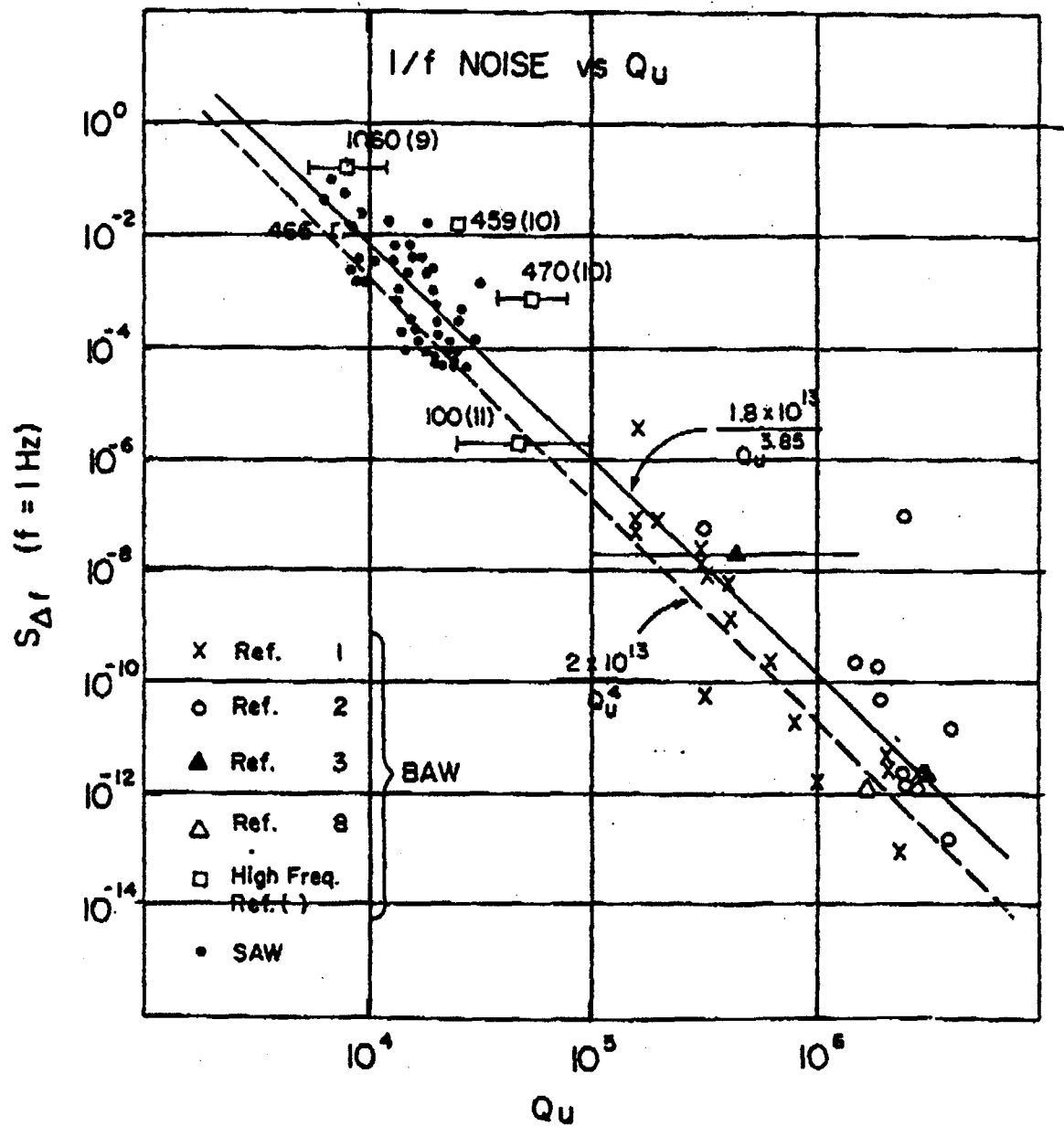


Figure 2 1/f Noise Level at 1 Hz of Quartz Acoustic Resonators as a Function of Unloaded Q .

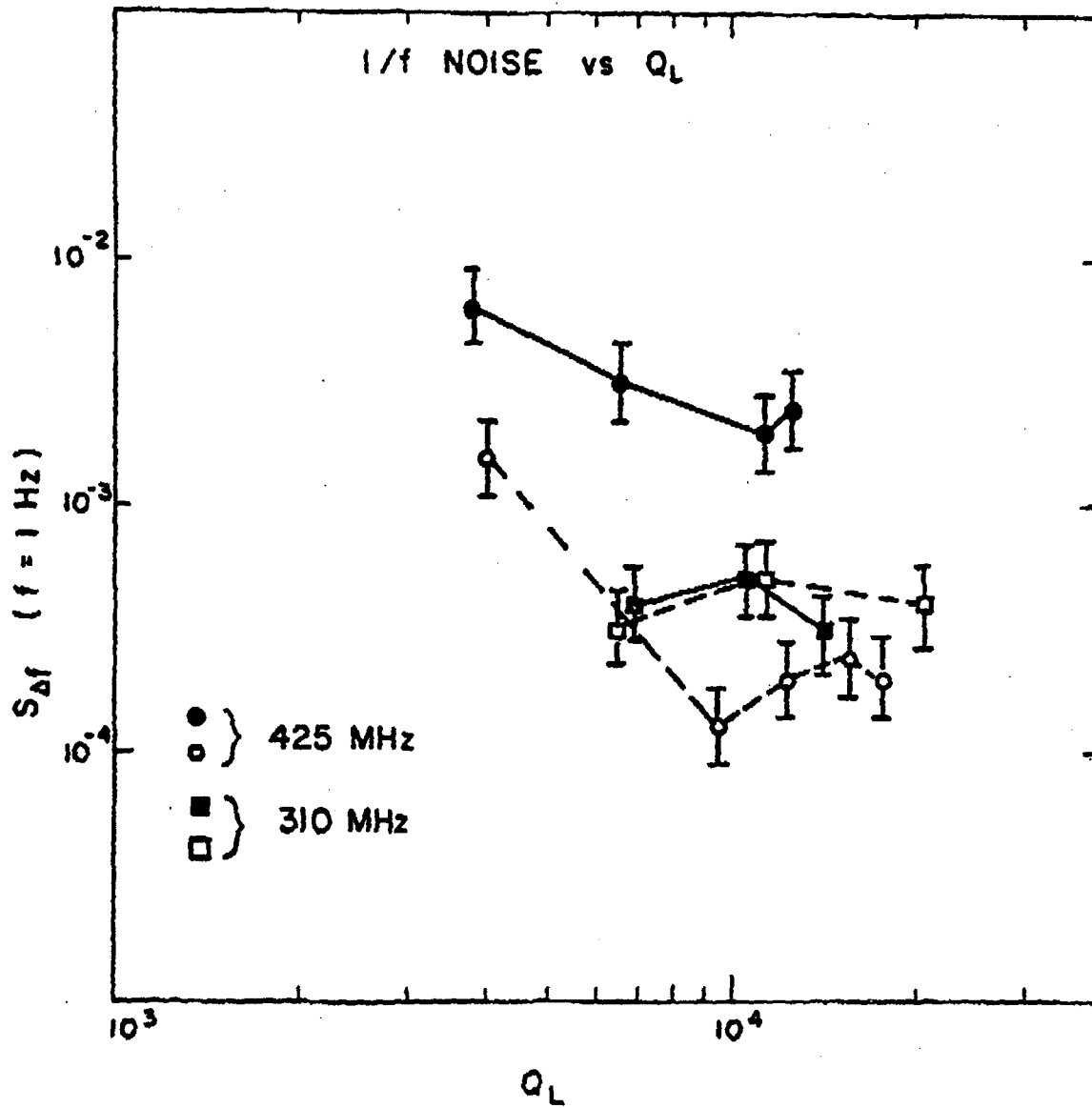


Figure 3 1/f Noise Level at 1 Hz of Four SAW Resonators as a Function of Loaded Q .

FITTING PARAMETERS (K_y , K_ϕ , β_e , β_b)

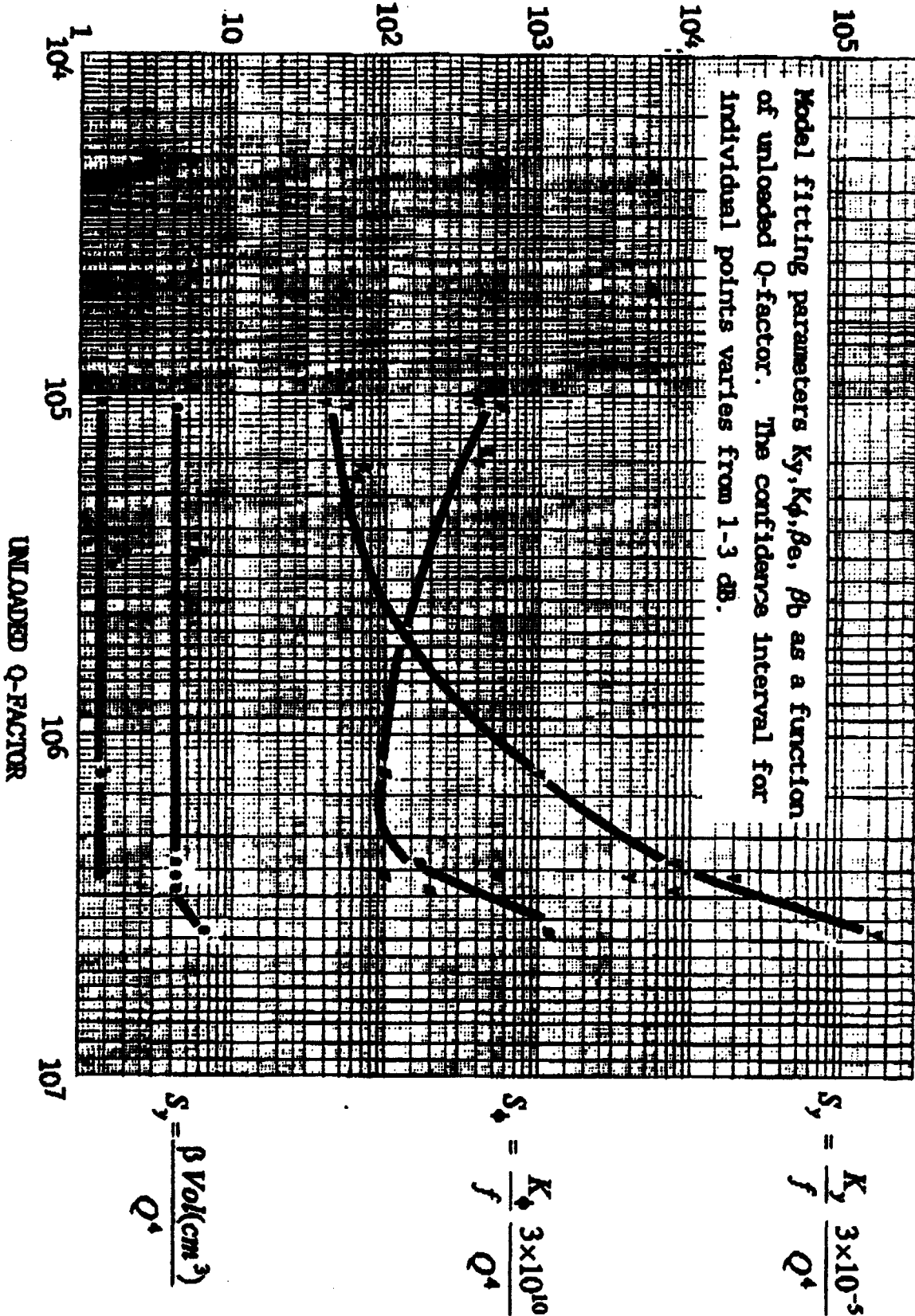


Fig 4

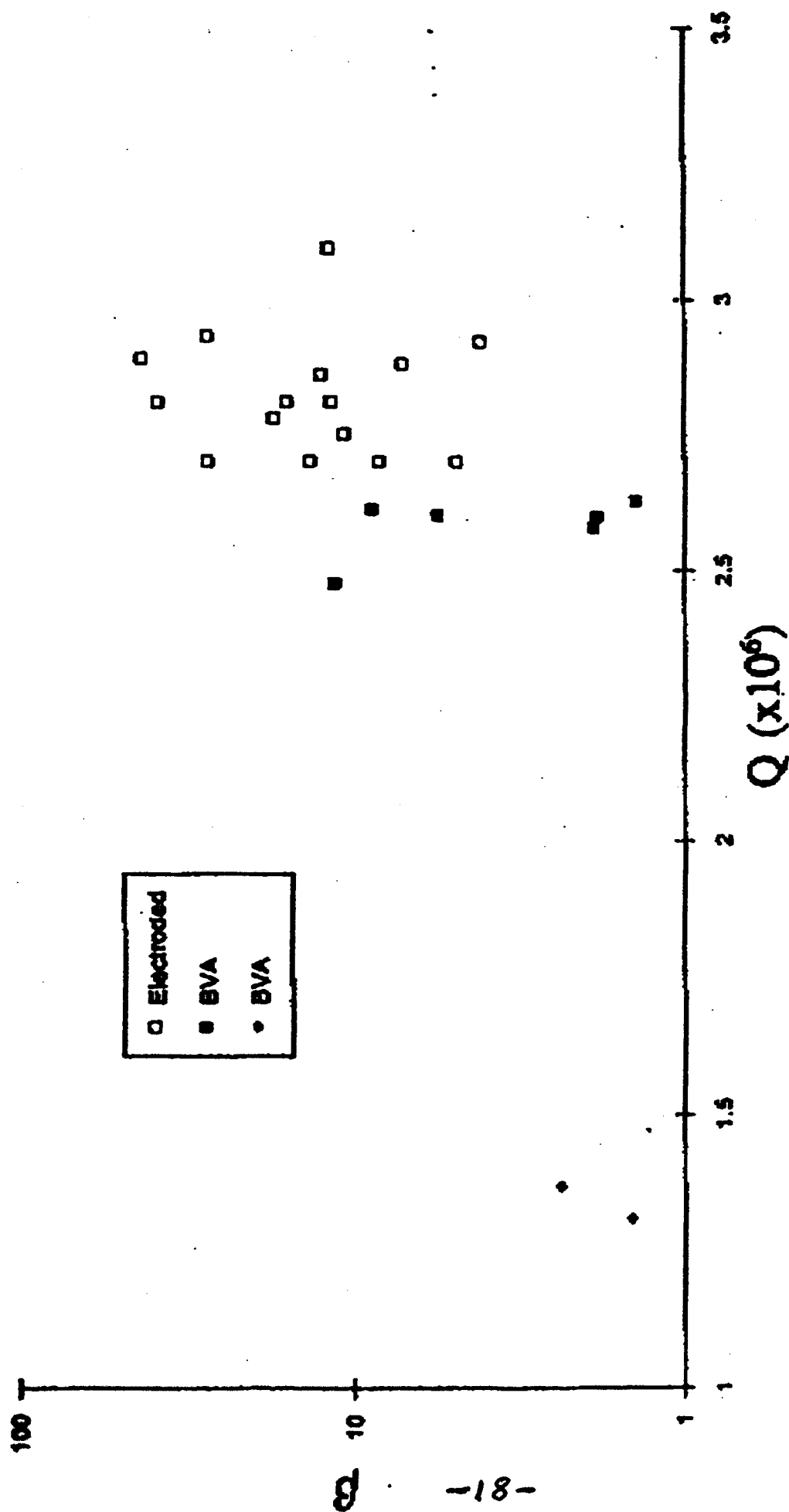


Figure 5. Fitting parameter β as a function of unloaded Q-factor for three types of resonators. The resonators in each group were matched in all known electrical parameters except Q-factor and 1/f noise [5].

be neglected and the pair can operate at virtually any frequency [45]. The oscillator approach makes it possible to compare many different resonators one at a time. The noise of individual oscillators can be derived by measuring the phase noise between 3 oscillators [48].

Figure 3 taken from [38] is one of several studies showing that the $1/f$ level is virtually independent of the loaded Q-factor. This is in complete agreement with the theoretical model. In practical oscillators there is a dependence on loaded Q-factor only when the phase noise of the sustaining electronics contributes to the overall noise level.

We have analyzed $1/f$ frequency noise as a function of unloaded Q, volume under the electrodes, and frequency. For a given resonator geometry and manufacturer, we have taken the best values of $S_y(f)$ reported in an attempt to remove the effects of poor crystals or electronics. In Fig. 4 we have taken all of the precision data available with unloaded Q-factor, electrode volume, and frequency stability and plotted them according to the three models. Except for the 2.5 MHz resonator where $Qv_0 = 0.95 \times 10^{13}$, the Qv_0 product for all resonators is near 1.2×10^{13} (this is close to the material limit for AT and SC cut resonators). The curve labeled K_y shows the fit of the data to the model [37] $S_y(f) = K_y / f(3 \times 10^{-5} / Q^4)$. K_y varies about a factor of 500 for Q-factors between 10^5 and 3.8×10^6 (resonator frequencies between 2.5 and 100 MHz).

The curve labeled K_β shows the fit of the same resonator data to the model [38] $S(f) = K_\beta / f^3 (3 \times 10^{10} / Q^4)$. K_β varies about a factor of 10 for the same range in Q-factor. Curves β_e and β_b show the fit of the same resonator data to the model $S_y(f) = \beta / f (Vol / Q^4)$, where β_e is for SC and AT resonators with electrodes plated on the resonator and β_b is for BVA-style AT and SC resonators [43]. Volume between the electrodes (in cm^3) is used to approximate the volume of quartz contributing to the output power. The β factors are remarkably constant for Q-factors from 10^5 to 3.8×10^6 .

Figure 5 shows the dependence of β_e on Q-factor for a number of electroded resonators of the same type from a single manufacturer for 3 resonator types as measured by Norton [44,51]. The wide variation in β_e for the same style resonator and Q-factor indicates that acoustic loss is not the only mechanism contributing to the noise level. The data for this graph was taken from measurements of (100 s) and may have been biased high by random walk FM noise in some resonators.

IV. 4. Discussion

The analysis of the most stable quartz resonators indicates that the $1/f$ frequency noise level depends on volume between the electrodes and unloaded Q-factor in relatively good agreement with eq. (30) considering the fact that the estimation of $\langle \omega \rangle$ and N is only approximative. The nonadjustable parameters β_e and β_b are virtually constant versus unloaded Q-factor, which is in stark contrast to fitting parameters K_β and K_y . It is not surprising to us that β_e and β_b are different for the two types of

resonators, since energy trapping and electrode stress are considerably different. Fig. 5 shows that there are other noise processes besides acoustic losses that affect the $1/f$ noise level in some resonators.

Although we have analyzed only the data for a few resonators, the consistency of β_e and β_b over a factor of 40 in Q-factor and resonator frequency and the general agreement for the magnitude of β between theory and experiment give us some confidence that this new model can be used to predict the best performance of different resonator geometries.

This new volume model predicts that a resonator having smaller electrodes would have a lower level of $1/f$ frequency noise than another one with the same frequency and Q-factor but larger diameter electrodes. The decrease in electrode area would increase the impedance levels and degrade the wideband noise somewhat. For most resonators the wideband noise is dominated by the electronics and not the resonator. The increase in series resistance, obtained by decreasing the electrode area by a factor of 4, would probably be tolerable from the standpoint of wideband noise but might require a change in loop gain.

BT resonators are potentially interesting in that they offer a Qv_0 product approximately three times higher than that of AT and SC resonators. BT cuts are roughly as sensitive to temperature transients as AT cuts. Therefore to achieve parts in 10^{-14} frequency stability with BT cuts would require temperature stabilities of order 10^{-9} K/s or 100 times better than is required for SC cut resonators [51].

Based on these early observations it appears that the level of $1/f$ frequency noise in quartz may yet be improved to the low 10^{-14} level by applying one or more of the following techniques: reducing the electrode area, using BVA type resonators, going to lower frequencies, using BT cut resonators. It must be remembered that acceleration induced effects become more dominant as the stability improves.

V. PRACTICAL APPLICATION TO QUANTUM $1/f$ NOISE IN INFRARED DETECTORS

Quantum $1/f$ Noise is a fundamental aspect of quantum mechanics, representing universal fluctuations of physical process rates R and cross sections σ given by the fractional (or relative) spectral density $S(f) = 2\alpha A/fN$. Therefore it is present in the process rates generating the dark current observed in junction photodetectors, such as *diffusion* (scattering cross sections fluctuate) in diffusion-limited junctions, and *recombination* in the recombination-limited regime. One is therefore tempted to expect similar fluctuations in the

photogeneration of electron-hole pairs. However, as we show below, the corresponding quantum 1/f coefficient is zero, precluding the existence of quantum 1/f fluctuations in the photogeneration rate. Here N is the number of carriers used to define or measure the process rate or cross section considered.

For an arbitrary process involving a total of n incoming and outgoing charged particles, the nonrelativistic quantum 1/f coefficient is given [52] by

$$2\alpha A = (4\alpha/3\pi c^2) \sum_{i,j=1}^n \eta_i \eta_j q_i q_j (v_i - v_j)^2, \quad (32)$$

where the summation runs over the charges q_i and velocities v_i of all incoming ($\eta_i = -1$) and outgoing ($\eta_i = 1$) particles (altogether n of them) in the process whose quantum 1/f noise we want to find, and α is Sommerfeld's fine-structure constant, $e^2/\hbar c = 1/137$. In a photoelectric process a photon ($q=0$) is absorbed, and a pair of oppositely charged particles is generated ($\eta=1$) with velocities v_1 and v_2 which are either zero, or quickly decay to zero in a time negligible with respect to the reciprocal frequency at which we calculate the quantum 1/f noise. Thus in our case there are no incoming charged particles, and $n=0+2=2$. The αA coefficient of a photogeneration process is therefore zero,

$$\alpha A_{ph} = (1,1)+(2,2)+(1,2)+(2,1) = 0+0+ (4\alpha/3\pi c^2)(v_1 - v_2)^2 = 0. \quad (33)$$

All photogenerated carriers of the right sign are collected in the well of the charge-coupled device, although they may generate quantum 1/f voltage fluctuations on their way. Since usually only the number of carriers collected at read-out matters, no quantum 1/f noise will be observed in a photoelectric CCD as long as the dark current is negligible with respect to the photocurrent. This is in agreement with the experiments performed by Mooney [53] of RADC-

Hanscom AFB. The same considerations apply to Metal-Insulator-Semiconductor (MIS) photodetectors [54].

VI. QUANTUM 1/f MOBILITY FLUCTUATIONS IN HOMOGENEOUS SEMICONDUCTOR SAMPLES

VI. 1. Introduction

A first principles calculation of quantum 1/f cross correlations performed [55] for the first time in 1987 by one of us [56] has yielded a slightly different result compared to earlier expectations. This same new form of the quantum 1/f cross correlations was derived also with a different method by Van Vliet in 1989. It differs from the old form used in the 1985 calculation of Kousik et. al. by a correction which is zero when the momentum changes of the two current carriers involved in the cross correlation are identical, but increases to finite values when the momentum differences caused by the scattering process are different. The correction is proportional to the squared difference of the two momentum changes. We have repeated all calculations in the original paper by Kousik et.al. [56], obtaining both for impurity scattering and for the various types of phonon scattering new analytical expressions which show a considerable increase of the final quantum 1/f noise. The results obtained are applicable both to direct and indirect bandgap semiconductors.

We have performed an analytical calculation of mobility fluctuations in silicon and gallium arsenide, using the new quantum 1/f cross-correlations formula. This calculation is of major importance for the 1/f noise-related optimization of the two types of materials, and of the many devices constructed with them for military and civilian applications in the electronic and opto-electronic industry.

The new cross-correlation formula gives the cross-spectral density which describes the way in which simultaneous quantum 1/f scattering rate fluctuations ΔW observed in the direction of the outgoing scattered wave-vector K' are correlated with those in the K'' direction, when the two corresponding incoming current carriers have the wave vectors K_1 and K_2 :

$$S_{\Delta W}(K_1, K'; K_2, K''; f) = (2\alpha/3\pi f)(N/m^3 c)^2 W_{K_1, K'} W_{K_2, K''} [(K' - K_1)^2 + (K'' - K_2)^2] \delta_{K_1, K_2}. \quad (34)$$

The form conjectured by us earlier had $2(K' - K_1)(K'' - K_2)$ in place of the rectangular bracket. The difference between the rectangular bracket and $2(K' - K_1)(K'' - K_2)$ is the perfect square $[(K' - K_1) - (K'' - K_2)]^2$. Therefore we expect the new results to be always larger than the results obtained on the basis of the previously conjectured form.

VI. 2. Impurity Scattering

For impurity scattering of electrons in solids, fluctuations $\Delta\tau$ of the collision times τ will cause mobility fluctuations

$$\Delta\mu_{\text{band}}(t) = [e/m^* \langle v^2 \rangle] \sum_K v_K^2 \Delta\tau(t) n_K, \quad (35)$$

where $\langle v^2 \rangle$ is both the average over all states of wave-vectors K , with occupation numbers n_K , in the conduction band, and the thermal equilibrium average of the quadratic carrier velocities. With the help of the relation

$$1/\tau(K) = (V/8\pi^3) \int (1 - \cos\theta'/\cos\theta) W_{K,K'} d^3K', \quad (36)$$

the mobility fluctuations are reduced to fluctuations of the elementary scattering rates $W_{K,K'}$, governed by Eq. (34). Here V is the volume of the normalization box which disappears in the final result, θ and θ' respectively the angles K and K' form with the direction of the applied field. One finally obtains after tedious multiple integrations

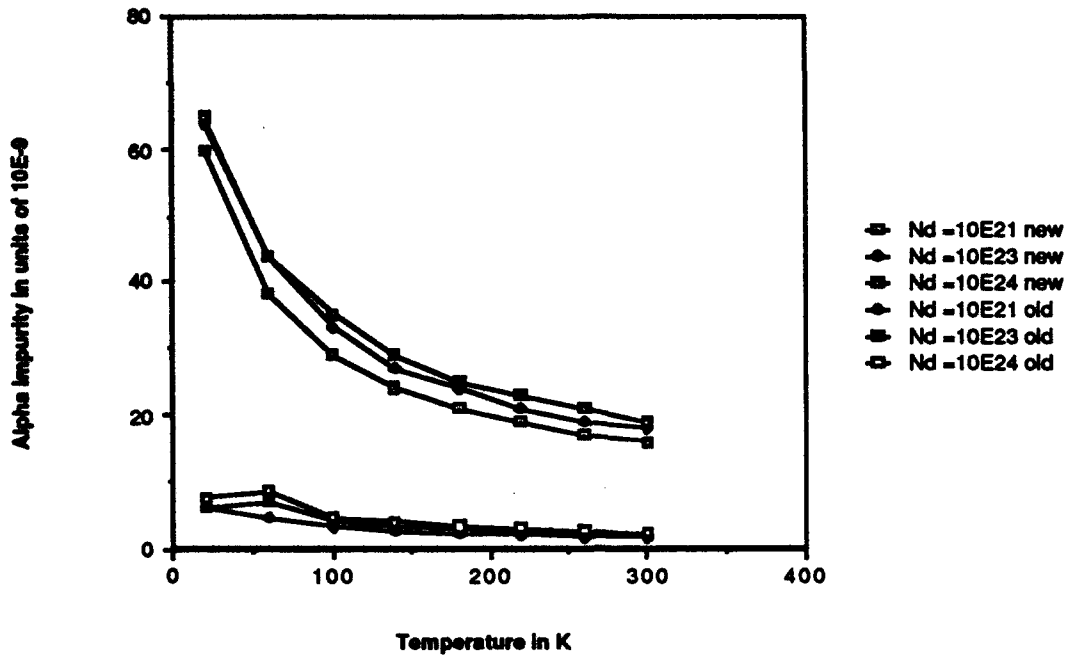
$$\mu^{-2} S_{\Delta\mu}(f) = [256\pi\alpha\kappa^2 e^4 \hbar^{1/2} / 3m^{*8} Z^4 e^8 N_i^2] (1/f) \sum_K K^{10} [\ln(1+a^2) - a^2/(1+a^2)]^{-3} [(2a^2+a^4)/(1+a^2) - 2\ln(1+a^2)] F(E_K) [\sum_K v_K^2 \tau(K) F(E_K)]^{-2}, \quad (37)$$

where $a=2K/\kappa$, $\kappa^2=e^2 n(T)/\epsilon k_B T$, $n(T)$ is the electron concentration, $F(E_K)=\exp(E_F-E_K)$ for non-degenerate semiconductors, N_i the concentration of impurities of charge Ze and ϵ the dielectric constant. The corresponding partial Hooze parameter for impurity scattering is thus

$$\alpha_i = [4\sqrt{2}\pi\alpha\kappa\hbar^5 N_c / 3m^{*7/2} (k_B T)^{3/2} c^2] \int_0^\infty dx x^{11/2} e^{-x} [\ln(bx+1) - bx/(bx+1)]^{-3} [(2bx+b^2x^2)/(bx+1) - 2\ln(bx+1)] \int_0^\infty dx x^3 e^{-x} [\ln(bx+1) - bx/(bx+1)]^{-1} \}^{-2}. \quad (38)$$

This result is graphed below for three different values of the donor concentration N_d and is compared with old results obtained by simply recalculating the old analytical expression [56]

Alpha Impurity New and Old



As expected, the new cross correlation formula leads to higher α_i values than the previously conjectured expression. This was mentioned in connection with Eq. (34) above.

VI. 3. Acoustic Electron-Phonon Scattering

In this case the calculation is similar, and leads to the result

$$\begin{aligned}
 \alpha_{ac} = & [32\pi\alpha N_c m^* C_1^7 \hbar^3 / 3c^2 k_B T)^4] \{ (1/R^2) \int_1^\infty dx x^{-4} \\
 & [(x-1)^7/7 + (R+1)(x-1)^6/6 + R(x-1)^5/5] \\
 & [(x-1)^5/5 + (R+1)(x-1)^4/4 + R(x-1)^3/3] \exp(-x^2/4R) \\
 & + \int_0^1 dx x^{-4} [(x+1)^5/5 - (x+1)^6/6 + (x-1)^5/5 + (x-1)^6/6] \\
 & [(x+1)^3/3 + (x-1)^4/4 + (x-1)^3/3 - (x+1)^4/4] \exp(-x^2/4R) \\
 & + \int_1^\infty dx x^{-4} [(x+1)^5/5 - (x+1)^6/6] [(x+1)^3/3 - (x+1)^4/4] \exp(-x^2/4R) \}, \quad (39)
 \end{aligned}$$

where $R = k_B T / 2m^* C_1^2$, C_1 is the deformation potential, and N_c is the effective density of states for the conduction band.

VI. 4. Non-Polar Optical Phonon Scattering

This time one obtains

$$\alpha_{n.o.ph} = [8\pi\sqrt{2\hbar\omega_o}\alpha N_c\hbar^2/3m^{*5/2}c^2\omega_o]\left\{\int_0^\infty dx x^{5/2} \frac{[(F+1)(x-1)^{1/2}\theta(x-1)+F(x+1)^{1/2}]^{-4}}{[(F+1)^2(x-1)(2x-1)\theta(x-1)+F^2(x+1)(2x+1)]\exp(-\hbar\omega_o x/k_B T)}\right\} \\ \left\{\int_0^\infty dx x^{3/2}[(F+1)(x-1)^{1/2}\theta(x-1)+F(x+1)^{1/2}]^{-1}\exp(-\hbar\omega_o x/k_B T)\right\}^{-2}, \quad (40)$$

where $F=[\exp(\hbar\omega_o/k_B T)-1]^{-1}$, and ω_o is the optical phonon frequency.

VI. 5. Polar Optical Phonon Scattering

Proceeding as in Secs. 2 and 4, we obtain

$$\alpha_{p.o.ph} = [8\pi\sqrt{2\hbar\omega_l}\alpha N_c\hbar^2/3m^{*5/2}c^2\omega_l]\left\{\int_0^\infty dx x^4 \frac{[F^2(x+1)^{1/2}\ln(2x^{1/2}+2(x+1)^{1/2}) + (F+1)^2(x-1)^{1/2}\ln(2x^{1/2}+(x-1)^{1/2})\theta(x-1)]\exp(-\hbar\omega_l x/k_B T)}{[(F+1)\operatorname{arcsinh}(x-1)^{1/2}\theta(x-1)+F\operatorname{arcsinh}(x^{1/2})]^{-4}}\right\}. \quad (41)$$

Here ω_l is the longitudinal phonon frequency.

VI. 6. Intervalley Scattering

This type of scattering, present in indirect bandgap semiconductors, transfers electrons from one of the six minima (or valleys) of the conduction band energy in k-space to one of the other five minima. Transitions between a valley and the nearest valley, which is along the same k-space direction in the next copy of the first Brillouin zone in the periodic zone scheme, are of the Umklapp type, and are called g-processes. Transitions to the four valleys present in the same zone along the other two k-space directions are called f-processes. Repeating a previous calculation [56] on the basis of the new cross-correlation formula (34), we obtain for g-processes

$$\alpha_g = [8\pi\sqrt{2\hbar\omega_{ij}}\alpha N_c\hbar^2/3m^{*5/2}c^2\omega_{ij}]\left\{\int_0^\infty dx x^{5/2} \frac{[(F+1)(x-1)^{1/2}\theta(x-1)+F(x+1)^{1/2}]^{-4}}{[(F+1)^2(x-1)(2x-1)\theta(x-1)+F^2(x+1)(2x+1)]\exp(-\hbar\omega_{ij} x/k_B T)}\right\}$$

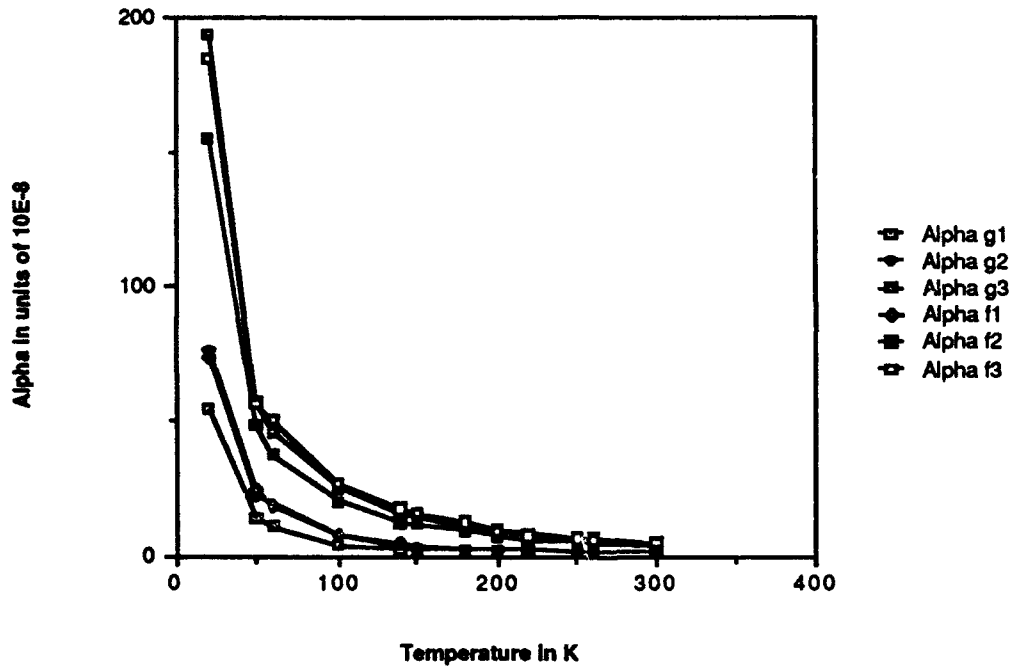
$$\left\{ \int_0^{\infty} dx x^{3/2} [(F+1)(x-1)^{1/2} \theta(x-1) + F(x+1)^{1/2}]^{-1} \exp(-\hbar\omega_{ij}x/k_B T) \right\}^{-2}, \quad (42)$$

where $\hbar\omega_{ij}$ is the phonon energy corresponding to the momentum difference required by the intervalley transition. For the corresponding f-process we obtain [57]

$$\alpha_f = (k_0/q_0)^2 \alpha_g, \quad (43)$$

where k_0/q_0 is the ratio between the position vector of a conduction band energy minimum in k space, and twice the distance of the minimum from the Brillouin zone boundary, 0.85/0.3 for silicon. There are three g-type alphas α_{g1} , α_{g2} and α_{g3} (from LA, TA and LO phonons respectively) and three f-type contributions α_{f1} , α_{f2} and α_{f3} (from TA, LA and TO phonons). Their values are given in the graph below and are a few times larger than the old values.

Alpha for Intervalley Scattering Processes



The various quantum 1/f contributions derived here can be approximately superposed to yield the resultant quantum 1/f coefficient according to the rule

$$\alpha_H = \sum_i (\mu/\mu_i)^2 \alpha_i \quad (44)$$

VII. REFERENCES

- [1] P.H. Handel: "1/f Noise - an 'Infrared' Phenomenon", Phys. Rev. Letters 34, p.1492-1494 (1975).
- [2] P.H. Handel: "Quantum Approach to 1/f Noise", Phys. Rev. A22, p. 745 (1980).
- [3] P.H. Handel: "Low Frequency Fluctuations in Electronic Transport Phenomena", Proceedings of the NATO Advanced Study Institute on "Linear and Nonlinear Electronic Transport in Solids", p. 515-47, Plenum Press (1976). Editors: J.T. Devreese and V. van Doren.
- [4] P.H. Handel: "Infrared Divergences, Radiative Corrections and Bremsstrahlung in the Presence of a Thermal Equilibrium Radiation Background", Phys. Rev. A38, 3082-3085 (1988).
- [5] P.H. Handel: "Quantum 1/f Noise in the Presence of a Thermal Radiation Background", Proc. II Internat. Symposium on 1/f Noise, C.M. Van Vliet and E.R. Chenette Editors, p.42-54, Orlando 1980.(University of Florida, Gainesville Press), p.96-110.
- [6] T.S. Sherif and P.H. Handel: "Unified Treatment of Diffraction and 1/f Noise", Phys. Rev. A26, p.596-602, (1982).
- [7] P.H. Handel and D. Wolf: "Characteristic Functional of Quantum 1/f Noise", Phys. Rev. A26, 3727-30 (1982).
- [8] P.H. Handel and T. Sherif: "Direct Calculation of the Schroedinger Field which Generates Quantum 1/f Noise", Proc. VII Int. Conf. on Noise in Physical Systems and III Int. Conf. on 1/f Noise, Montpellier, May 17-20, 1983, V.M. Savelli, G. Lecoy and J.P. Nougier Editors, North-Holland Publ. Co. (1983) p. 109-112.
- [9] P.H. Handel: "Effect of a Finite Mean Free Path on Quantum 1/f Noise", Proc. of the IX International Conference on Noise in Physical Systems, Montreal (Canada), 1987, C.M. Van Vliet Editor, World Scientific Publ. Co., 687 Hartwell Str., Teaneck, NJ 07666, pp. 365-372, pp. 419-422..
- [10] G.S. Kousik, C.M. van Vliet, G. Bosman and P.H. Handel: "Quantum 1/f Noise Associated with Ionized Impurity Scattering and Electron-phonon Scattering in Condensed Matter", Advances in Physics 34, p. 663-702, (1986); see also the continuation by G.S. Kousik et al., "Quantum 1/f Noise Associated with Intervalley Scattering in Nondegenerate Semiconductors" Phys. Stat. Sol. (b) 154, 713 (1989).
- [11] P.H. Handel and C. Eftimiou, "Survival of the Long Time Correlations in 1/f Noise", Proc. of the Symposium on 1/f Fluctuations, Tokyo (Japan) July 11-13, 1977, Tokyo Institute of Technology Press, pp.183-186(1977).
- [12] P.H. Handel, "Keldysh-Schwinger Method Calculation of 1/f Low Frequency Current and Cross Section Calculation." Unpublished manuscript, 1979.

- [13] P.H. Handel, "Quantum 1/f Fluctuations of Physical Cross Sections", Subm. for publ. in Phys. Rev.
- [14] C.M. Van Vliet: "Quantum Electrodynamical Theory of Infrared Effects in Condensed Matter. I & II" *Physica A* **165**, 101-125, 126-155(1990).
- [15] A. Van der Ziel, "Unified Presentation of 1/f Noise in Electronic Devices; Fundamental 1/f Noise Sources", *Proc. IEEE* **76**, 233-258 (1988); (review paper) and "Noise in Solid State Devices and Circuits", J. Wiley & Sons, New York 1986, Ch. 11, pp. 254 - 277.
- [16] A. van der Ziel, "The Experimental Verification of Handel's Expressions for the Hooge Parameter", *Solid State Electronics*, **31**, 1205-1209 (1988).
- [17] A. van der Ziel, "Generalized Semiclassical Quantum 1/f Noise Theory, I: Acceleration 1/f Noise in Semiconductors", *J. Appl. Phys.* **64**, 903-906 (1988).
- [18] A. van der Ziel, A.D. van Rheeën, and A.N. Birbas, "Extensions of Handel's 1/f Noise Equations and their Semiclassical Theory", *Phys. Rev. B* **40**, 1806-1809 (1989); A.N. Birbas, Q. Peng, A. van der Ziel, A.D. van Rheeën and K. Amberiadis, "Channel-Length Dependence of the 1/f Noise in Silicon Metal-Oxide-Semiconductor Field Effect Transistors, Verification of the Acceleration 1/f Noise Process", *J. Appl. Phys.* **64**, 907-912 (1988).
- [19] A.H. Pawlikiewicz, A. van der Ziel, G.S. Kousik and C.M. Van Vliet, "Fundamental 1/f Noise in Silicon Bipolar Transistors", *Solid-State Electronics* **31**, 831-834 (1988).
- [20] A. van der Ziel and P.H. Handel, "1/f Noise in $n^+ - p$ Diodes", *IEEE Transactions on Electron Devices* **ED-32**, 1802-1805 (1985).
- [21] A. van der Ziel, P.H. Handel, X.L. Wu and J.B. Anderson, "Review of the Status of Quantum 1/f Noise in $n^+ - p$ HgCdTe Photodetectors and Other Devices", *J. Vac. Sci. Technol.*, vol. **A4**, 2205, (1986).
- [22] A. van der Ziel, P. Fang, L. He, X.L. Wu, A.D. van Rheeën and P.H. Handel, "1/f Noise Characterization of $n^+ - p$ and $n - i - p$ $Hg_{1-x}Cd_xTe$ Detectors", *J. Vac. Sci. Technol.* **A7**, 550-554 (1989).
- [23] A. van der Ziel, C.J. Hsieh, P.H. Handel, C.M. Van Vliet and G. Bosman, "Partition 1/f Noise in Pentodes and its Quantum Interpretation", *Physica* **145 B**, 195-204 (1987).
- [24] A. van der Ziel, "Interpretation of Schwates's Experimental Data on Secondary Emission 1/f Noise", *Physica* **144B**, 205(1986).
- [25] P. Fang, L. He, A.D. Van Rheeën, A. van der Ziel and Q. Peng: "Noise and Lifetime Measurements in Si $p^+ - i - n$ Power Diodes" *Solid-State Electronics* **32**, 345-348 (1989). Obtains $\alpha_H = 4 \cdot 10^{-3}$, in agreement with the coherent state quantum 1/f theory.
- [26] P.H. Handel and A. van der Ziel: "Relativistic Correction of the Hooge Parameter for Umklapp 1/f Noise", *Physica* **141B**, 145-147 (1986).

- [27] P.H. Handel: "Quantum 1/f Noise in Squids", Proc. VIII Int. Conference on Noise in Physical Systems and IV. Int. Conference on 1/f Noise, Rome, Italy, September 1985, A. D'Amico and P. Mazetti Eds., North Holland Publishing Co., pp.489-490.
35. D.R. Yennie, S.C. Frautschi and H. Suura, Ann. Phys. 13, 379 (1961).
- [28] J.D. Dollard, J. Math. Phys. 5, 729 (1965).
- [29] P.P.Kulish and L.D. Faddeev, Theor. Mat. Phys. (USSR) 4, 745 (1971).
- [30] D. Zwanziger, Phys. Rev. D 7, 1082 (1973); Phys. Rev. Lett. 30, 934 (1973); Phys. Rev. D 11, 3481 and 3504 (1975).
- [31] Chung, V., "Infrared Divergence in Quantum Electrodynamics", Phys. Rev. 140B, 1110-1122 (1965).
- [32] T.W.B. Kibble, Phys. Rev. 173, 1527; 174, 1882; 175, 1624 (1968); J. Math. Phys. 9, 315 (1968).
- [33] P.H. Handel, "Any Particle Represented by a Coherent State Exhibits 1/f Noise" in "Noise in Physical Systems and 1/f Noise", edited by M. Savelli, G. Lecoy and J.P. Nougier (North - Holland, Amsterdam, 1983), p. 97.
- [34] P.H. Handel, "Coherent States Quantum 1/f Noise and the Quantum 1/f Effect" in "Noise in Physical Systems and 1/f Noise" (Proceedings of the VIIIth International Conference on Noise in Physical Systems and 1/f Noise) Elsevier, New York, 1986, p.469.
- [35] See, e.g., J.S. Gradshteyn and I.M. Ryzhik, Table of Integrals, Series and Products" Sec. 3.761, No. 9 and No. 7, Academic Press, New York 1965.
- [36] F.N. Hooge, Phys. Lett. A29, 139 (1969); Physica 83B, 19 (1976).
- [37] J.J. Gagnepain, "Fundamental Noise Studies of Quartz Crystal Resonators", Proc. 30th Ann. Frequency Control Symp., 1976, p. 84-91; J.J. Gagnepain, J. Ubersfeld, G. Goujon, and P. Handel, "Relationship Between 1/f Noise and Q Factor in Quartz Resonators at Room and Low Temperatures, First Theoretical Interpretations", Proc. 35th Ann. Frequency Control Symp. , 1981, pp. 476-483.
- [38] T.E. Parker, "Characteristics and Sources of Phase Noise in Stable Oscillators", Proc. 41st Ann. Frequency Control Symp., 1987, pp. 99-110.
- [39] P.H. Handel, "Nature of 1/f Frequency Fluctuations in Quartz Crystal Resonators", Solid State Electron. 22, 875-876 (1979).
- [40] P.H. Handel, "Quantum Approach to 1/f Noise" Phys. Rev. 22A, 745-757 (1980).
- [41] A. van der Ziel: "Unified Presentation of 1/f Noise in Electronic Devices; Fundamental 1/f Noise Sources", Proc. IEEE 76, 233-258 (1988); also 'Noise in Solid State Devices and Circuits', J. Wiley & Sons, New York 1986, Ch. 11, pp. 254 - 277.
- [42] U.R. Peier and G. Jenni, "Space Qualified 100Mhz BVA-VHF Resonator", Proc.2nd European Frequency and Time Forum, 1988.

- [43] R. Besson, "a New Piezoelectric Resonator Design", Proc. 30th Ann. Frequency Control Symp., 1976, pp. 78-83.
- [44] J. Norton, "BVA-Type Quartz Oscillator for Spacecraft", Proc. 45th Ann. Frequency Control Symp., 1991, pp. 426-430.
- [45] F.L. Walls and A.E. Wainright, "Measurement of the Short-Term Stability of Quartz Crystal Resonators and the Implications for Crystal Oscillator Design and Applications", IEEE Trans. on Inst. and Meas. , EM-24, 15-20 (1975).
- [46] J.J. Gagnepain, "A Comparison of Frequency Noise of Quartz Resonators", Proc. 39th Ann. Frequency Control Symp., 1985, pp. 135-137.
- [47] A. El Habti and B. Bastien, "Low Temperature Limitation on the Quality Factor of Quartz Resonators", Proc. 46th Ann. Frequency Control Symp., 1992, to be published.
- [48] J.J. Gagnepain, M. Olivier and F.L. Walls, "Excess Noise in Quartz Crystal Resonators", 37th Ann. Frequency Control Symp., 1983, pp. 218-225.
- [49] M.B. Bloch, J.C. Ho, C.S. Stone, A. Syed and F.L. Walls, "Stability of High Quality Quartz Crystal Oscillators: an Update", Proc. 43rd Ann. Frequency Control Symp., 1989, pp. 80-84.
- [50] *"Characterization of Clocks and Oscillators"*, Eds. D.B. Sullivan, D.W. Allen D.A. Howe and F.L. Walls, NIST Tech Note 1337, 1990.
- [51] F.L. Walls and J.J. Gagnepain, "Environmental Sensitivities of Quartz-Crystal Controlled Oscillators", IEEE UFFC, 1992, to be Published.
- [52] P. H. Handel, "Infrared Divergences, Radiative Corrections, and Bremsstrahlung in the Presence of a Thermal-Equilibrium Radiation Background", Phys. Rev. A38, 3082-3085 (1988), Eq. (7); see also e.g., S. Weinberg, Phys. Rev. 140B, 516-524 (1965), Eqs. (2.26) and (2.17). Weinberg's notation for our quantum $1/f$ coefficient αA is just A , the infrared exponent.
- [53] J. Mooney, "Excess Low-Frequency Noise in PtSi on p-Type Si Schottky Diodes", IEEE Transactions on Electron Devices 38, 160-166 (1991).
- [54] P.H. Handel: "Application of the Quantum Theory of $1/f$ Noise to MIS Infrared Detector Structures" Unpublished Report, 1987, pp. 1-43.
- [55] P.H. Handel: "Starting Points of the Quantum $1/f$ Noise Approach", p.1-26, Subm. to Phys. Rev. B..
- [56] G.S. Kousik, C.M. Van Vleet, G. Bosman and P.H. Handel, Advances in Physics 34, 663-702 (1985).

[57] G.S. Kousik, C.M. Van Vliet, G. Bosman, and Horng-Jye Luo, Phys. stat. sol. **154**, 713-726 (1989).

VIII. PAPERS PUBLISHED DURING THIS GRANT PERIOD

A. Published in refereed journals and conference proceedings which were subject to peer review.

P.H. Handel: "1/f Noise Criterion for Chaos in Nonlinear Systems", Proc. XI. International Conference on Noise in Physical Systems and 1/f Fluctuations, Nov. 24-27, 1991, Kyoto, Japan (Invited Paper), T. Musha, S. Sato and M. Yamamoto Editors, Ohmsha Ltd. Publishing Co., 3-1 Kanda Nishi-cho, Chiyoda-ku, Tokyo 101, Japan, pp.151-157.

P.H. Handel and E. Bernardi: "Ab Initio Derivation of Coherent Quantum 1/f Chaos", Ibid. pp.159-162

T.H. Chung, P.H. Handel and J. Xu: "Quantum 1/f Mobility Fluctuations in Semiconductors", Ibid. pp. 163-166.

A'. Published in conference proceedings which were not subject to peer review: five talks at the APS March 1992 Meeting in Indianapolis.

P. Handel, "Fundamental 1/f Noise and Quantum 1/f Noise in Quartz", APS Bull. **37**, 267 (1992)

T. Chung, P. Handel and J. Xu, "Quantum 1/f Mobility and Diffusion Fluctuations", p. 267 Ibid.

J. Xu and P.H. Handel, "Second Quantized Derivation of Quantum 1/f Noise in the presence of a Thermal Background", Ibid., p. 268.

Xuwei Hu and P. H. Handel, "Fractal Dimension of Quantum 1/f Noise", Ibid. p. 268.

E. Bernardi and P.H. Handel, "Derivation of Coherent Quantum 1/f Chaos", Ibid., p. 268

P. H. Handel, "Sufficient Criterion for a 1/f Spectrum of Chaos in Nonlinear Systems", Ibid., p. 269.

B. In Press (accepted for publication)

F.L. Walls, P.H. Handel, R. Besson and J.J. Gagnepain: "A New Model of 1/f Noise in BAW Quartz Resonators", Proc. of the 46th Ann. Frequency Control Symp., 1992.

C. Papers submitted for publication, but not yet accepted

P.H. Handel: "Quantum 1/f Fluctuation of Physical Cross Sections and Process Rates", p.1-9, Submitted to J of Physics C.

P.H. Handel: "Sufficient Criterion for a 1/f Spectrum of Chaos in Nonlinear Systems" submitted to the Physical Review.

P.H. Handel: "Coherent Quantum 1/f Chaos Effect", submitted to Phys.Rev.Lett.

D. Invited Talks

"1/f Noise Criterion for Chaos in Nonlinear Systems" in the "Quantum 1/f Noise" session at the "XI Int. Conf. on Noise in Physical Systems and 1/f Noise" in Kyoto (Japan) Nov. 1991.

"Quantum 1/f Noise in Quartz Resonators" at NIST (Natl. Inst. of Standards), Boulder, Colorado, January 1992.

E. Service

Chaired the session on "1/f Noise and Quantum Chaos" at the APS (1992) "March Meeting" in Indianapolis.

Chaired a session at the "XI Int. Conf. on Noise in Physical Systems and 1/f Noise" in Kyoto (Japan) Nov. 1991.

Organizer and Chair of the 5th van der Ziel Symposium on Quantum 1/f Noise and Other Low-Frequency Fluctuations", and of a "Quantum 1/f Retreat" at UMSL on May 22-25, 1992.

Chair and Organizer of the "XII Int. Conf. on Noise in Physical Systems and 1/f Noise" in St. Louis, MO, Aug 16-20, 1993.

IX. GENERAL QUANTUM 1/F BIBLIOGRAPHY

-P.H. Handel, January 1992-

1. P.H. Handel: "1/f Noise - an 'Infrared' Phenomenon", Phys. Rev. Letters 34, p.1492 - 1494 (1975).

2. P.H. Handel: "Nature of 1/f Phase Noise", Phys. Rev. Letters 34, p.1495 - 1497 (1975).

3. P.H. Handel: "Quantum Theory of 1/f Noise", Physics Letters 53A, p.438 (1975).

4. P.H. Handel: "1/f Macroscopic Quantum Fluctuations of Electric Currents Due to Bremsstrahlung with Infrared Radiative Corrections", Zeitschrift fuer Naturforschung 30a, p.1201 (1975).

5. P.H. Handel: "Low Frequency Fluctuations in Electronic Transport Phenomena", in *Linear and Nonlinear Electron Transport in Solids*, Proceedings of the NATO Advanced Study Institute on 'Linear and Nonlinear Electron Transport in Solids', p. 515-47, Plenum Press (1976). Editors: J.T. Devreese and V. van Doren.

6. P.H. Handel: "Progress in the Quantum Theory of 1/f Noise", Proc. of the Symposium on 1/f Fluctuations, (I. Int. Conf. on 1/f Noise); T. Musha Editor, Tokyo (Japan), 1977, Tokyo Institute of Technology Press (1977), p.12.

7. P.H. Handel: "Carrier Energy Loss Spectrum and 1/f Noise at Finite Temperature", *ibid.* Tokyo 1977, p.216.
8. P.H. Handel: "Generalized 1/f Noise and the Principles of Infra-Quantum Physics", *ibid.*, Tokyo 1977, p.26.
9. P.H. Handel and C. Eftimiu: "Survival of the Long Time Correlations in 1/f Noise", Tokyo 1977, *ibid.*, p.183.
10. T. Dodo: "The 1/f fluctuation and Particle - Wave Interaction", *ibid.*, Tokyo 1977, p.29-35.
11. P.H. Handel and D. Wolf: "Amplitude Distribution of 1/f Noise", Proc. of the 5th Int. Conf. on 'Noise in Physical Systems', p.125. Also in Springer Series in Electro - Physics Vol. II, p.169 (Springer Verlag 1978).
12. A.M. Tremblay: Thesis, MIT 1978.
13. P.H. Handel: "Keldysh - Schwinger Method Calculations of 1/f Low Frequency Current Fluctuations", unpublished manuscript, available contra cost \$3 from the author, Physics Department, Univ. of MO, St. Louis, MO 63121, USA (1979).
14. P.H. Handel: "Nature of 1/f Frequency Fluctuation in Quartz Crystal Resonators", Solid State Electronics 22, p. 875 (1979).
15. P.H. Handel: "Quantum Approach to 1/f Noise", Phys. Rev. 22A, p. 745 (1980).
16. P.H. Handel: "Review of Progress in the Theory of 1/f Noise", Proc. of the 2nd Int. Symposium on 1/f Noise, Orlando 1980, C.M. Van Vliet Editor, University of Florida - Gainesville Press, p.42.
17. P.H. Handel and D. Wolf: "Characteristic Functional of Quantum 1/f Noise, *ibid.* Orlando, 1980.
18. P.H. Handel: "Quantum 1/f Noise in the Presence of a Thermal Radiation Background", *ibid.*, Orlando, 1980, p.96.
19. T. Sherif and P.H. Handel: "Diffraction and 1/f Noise", *ibid.*, Orlando, 1980. p.525.
20. J.J. Gagnepain, P.H. Handel and J. Uebersfeld: "How Do Fluctuations in the Dissipation Cause 1/f Frequency Noise in Quartz?", *ibid.*, Orlando, 1980, p.550.
21. K.L. Ngai: "Unified Theory of 1/f Noise and Dielectric Response in Condensed Matter", Phys. Rev. B22, p. 2066-2077 (1980).
22. C.M.van Vliet: "Classification of Noise Phenomena", Proc. of the 6th Int. Conf. on Noise in Physical Systems, P.H.E. Meijer, R.D. Mountain and R.J. Soulen Jr. Editors, NBS Special Publication 614, U.S. Government Printing Office, Washington D.C. 1981, p.3-10.
23. C.M. Van Vliet, P.H. Handel and A. van der Ziel: "Superstatistical Emission Noise", Physica 108A, p.511-530 (1981).

24. J. Uebersfeld, P.H. Handel and J.J. Gagnepain: "Fluctuations of the Relaxation Time as a Source of $1/f$ Noise in Macroscopic Physical Systems", Proc. of the 6th International Conference on Noise in Physical Systems, P.H.E. Meijer, R.D. Mountain and R.J. Soulen Editors, National Bureau of Standards Special publication 614 (1981), p.189.
25. P.H. Handel, D. Wolf and C.M. van Vliet: "Non-Gaussian Amplitude Distribution of Thermal Noise in Resistors with $1/f$ Noise", Ibid., p.196.
26. J. Kilmer, C.M. van Vliet, E.R. Chenette and P.H. Handel: "Temperature Response and Correlation of $1/f$ Noise in Transistors", Ibid., p.151.
27. P.H. Handel and A. van der Ziel: "Comment on Noise in Transferred Electron Amplifiers", Solid State Electronics, 25, 541-542 (1981).
28. J.J. Gagnepain, J. Uebersfeld, G. Goujon and P.H. Handel: "Relation between $1/f$ Noise and Q-factor in Quartz Resonators at Room and Low Temperatures", Proc. 35 Annual Symposium on Frequency Control, Philadelphia 1981, p.476-483.
29. P.H. Handel and D. Wolf: "Characteristic Functional of Quantum $1/f$ Noise", Phys. Rev. A26, 3727-30 (1982).
30. J. Kilmer, C.M. van Vliet, E.R. Chenette and P.H. Handel: "Absence of Temperature Fluctuations in $1/f$ Noise Correlation Experiments in Silicon", Phys. Stat. Sol. a70, 287-294 (1982).
31. C.M. Van Vliet and P.H. Handel: "A New Transform Theorem for Stochastic Processes with Special Application to Counting Statistics", Physica 113A, 261-276, (1982).
32. T.S. Sherif and P.H. Handel: "Unified Treatment of Diffraction and $1/f$ Noise", Phys. Rev. A26, p.596-602, (1982).
33. T.S. Sherif: "Contributions to the Theory of Quantum $1/f$ Noise", Thesis, Department of Physics, St. Louis University, St. Louis, (1982).
34. A. Widom, G. Pancheri, Y. Srivastava, G. Megaloudis, T.D. Clark, H. Prance and R. Prance: "Soft Photon Emission from Electron-Pair Tunneling in Small Josephson Junctions", Phys. Rev. B26, p.1475-1476 (1982).
35. Y. Srivastava: "Radiation and Noise", Lectures delivered at Escuela Latino Americana de Fisica, Cali, Colombia; Laboratori Nazionali di Frascati, INFN Press, Rome 1982, p.1-28.
36. P.H. Handel: "Any Particle Represented by a Coherent State Exhibits $1/f$ Noise", *Noise in Physical Systems and $1/f$ Noise*. Proc. of the 7th Int. Conf. on Noise in Phys. Syst. and 3rd Int. Conf. on $1/f$ Noise, Montpellier, M. Savalli, G. Lecoy and P. Nougier Editors, Elsevier Science Publ. BV, p 79-100, 1983.
37. P.H. Handel and T. Musha: "Quantum $1/f$ Noise from Piezoelectric Coupling, Ibid., p.101-104.
38. P.H. Handel and T. Sherif: "Direct Calculation of the Schroedinger Field which Generates Quantum $1/f$ Noise", Ibid., p.109-112.

39. J. Gong, C.M. van Vliet, W.H. Ellis, G. Bosman and P.H. Handel: "1/f Noise Fluctuations in Alpha -Particle Radioactive Decay of 95Americium241", *Ibid.*, p.381-384.
40. P.H. Handel, C.M. Van Vliet and A. van der Ziel: "Derivation of the Nyquist-1/f Noise Theorem", *Ibid.*, p.93-96.
41. A. Widom, G. Megaloudis, G. Pancheri, Y. Srivastava, T.D. Clark, R.J. Prance, H. Prance and J.E. Mutton: "Soft Photon Noise in Electrical Circuits", *Ibid*, p 105-107.
42. J. Kilmer, C.M. van Vliet, G. Bosman and A. van der Ziel: "1/f Noise in Metal Films of Submicron Dimensions", *Ibid*, 205-208.
43. J. Gong, W.H. Ellis, C.M. van Vliet, G. Bosman and P.H. Handel: "Observation of 1/f Noise Fluctuations in Radioactive Decay Rates", *Trans. Amer. Nucl. Soc.* 45, p.221-222, (1983).
44. A. Widom, G. Pancheri, Y. Srivastava, G. Megaloudis, T.D. Clark, H. Prance and R.J. Prance: "Quantum Electrodynamic Circuit Soft-Photon Renormalization of the Conductance in Electronic Shot-Noise Devices", *Phys. Rev.* B27, p.3412-3417, (1983).
45. A. van der Ziel, C.J. Hsieh, P.H. Handel, C.M. van Vliet, and G. Bosman: "Partition 1/f Noise in Vacuum Pentodes", *Physica* 124B, p.299-304, (1984).
46. P.H. Handel: "Infrared Divergences, Radiative Corrections, and Bremsstrahlung in the Presence of a Thermal Equilibrium Radiation Background", *Phys. Rev.* A38, 3082 (1988).
47. P.H. Handel, T. Sherif, A. van der Ziel, C.M. van Vliet and E.R. Chenette: "Towards a More General Understanding of 1/f Noise", *Phys. Letters* (submitted).
48. J. Kilmer, C.M. van Vliet, G. Bosman, A. van der Ziel and P.H. Handel: "Evidence of Electromagnetic Quantum 1/f Noise Found in Gold Films", *Phys. Status Solidi* 121B, p.429-432, (1984).
49. A. Widom, G. Megaloudis, J.E. Mutton, T.D. Clark, and R.J. Prance: "Quantum Electrodynamic Noise in a Circuit Model Field Effect Transistor", unpublished manuscript, (1984).
50. W.A. Radford and C.E. Jones: "1/f Noise in Ion-Implanted and Double-Layer Epitaxial HgCdTe Photodiodes", *J. Vac. Sci. Technol.* A3, p.183-188, (1984).
51. M. Mihaila: "Phonon Observations from 1/f Noise Measurements", *Physics Letters* 104A, p.157-158, (1984).
52. H.R. Bilger: "Low Frequency Noise in Ring Laser Gyros", *Proc. of the Society of Photo-Optical Engineers (SPIE)* 487, p.42-48, (1984).
53. R.L. Baer, D.M. Hoover, D. Molinari and E.C. Herleikson: "Phase Noise in SAW Filters", *Proc. of the 1984 Ultrasonics Symposium*.
54. A. van der Ziel and P.H. Handel: "Quantum Partition 1/f Noise in Pentodes", *Physica* 125B, p.286-292, (1985).
55. A. van der Ziel, X.C. Zhu K.H. Duh and P.H. Handel: "A Theory of the Hooge Parameters of Solid State Devices", *IEEE Transactions on Electron Devices*, Ed.32, p.667-671, (1985).

56. A. van der Ziel and P.H. Handel: "1/f Noise in N+ -P Diodes", IEEE Transactions on Electron Devices, Ed.32, p.1802-1805, (1985).
57. A. van der Ziel and P.H. Handel: "Quantum 1/f Phenomena in Semiconductor Noise", Physica 129B, p.578-579, (1985).
58. A. van der Ziel and P.H. Handel: "Discussion of a Generalized Quantum 1/f Noise Process with Applications", Physica 132B, p.367-369, (1985).
59. T.E. Parker: "1/f Frequency Fluctuations in Quartz Acoustic Resonators", Appl. Phys. Letters, (1985).
60. X.C. Zhu and A. van der Ziel: "The Hooge Parameters of n+ -p-n and p+ -n-p Silicon Bipolar Transistors", IEEE Transactions, Ed.32, p.658-661, (1985).
61. K.H. Duh and A. van der Ziel: "Hooge Parameters for Various FET Structures", IEEE Transactions, Ed.32, p.662-666, (1985).
62. X.C. Zhu, X. Wu, A. van der Ziel, and E.G. Kelso: "The Hooge Parameters for n- and p-type Hg_{1-x}Cd_xTe", IEEE Transactions, Ed.32, p.1353-1354, (1985).
63. A. Pawlikiewicz and A. van der Ziel: "Temperature Dependence of the Hooge Parameter in n-Channel Silicon JFETs", IEEE Electron Device Letters, EDL-6, p. 500-501 (1985).
64. A.D. van Rheenen and G. Bosman: "Calculation of Hot Electron Quantum 1/f Noise in GaAs", Physica 1985.
65. G.S. Kousik: "Quantum 1/f Noise in Non-Degenerate Semiconductors and Emission Statistics of Alpha Particles", Thesis, Department of Electrical Engineering, University of Florida, Gainesville, (1985).
66. M.R. Sayeh and H.R. Bilger: "Flicker Noise in Frequency Fluctuations of Lasers", Phys. Rev. Letters 55, p. 700-702, (1985).
67. A. van der Ziel and P.H. Handel: "1/f Noise in n+ -p Junctions Calculated with Quantum 1/f Theory", *Noise in Physical Systems and 1/f Noise*, Proc. of the 8th Int. Conf. on Noise in Physical Systems and 4th Int. Conf. on 1/f Noise, (Rome 1985), A. D'Amico and P. Mazzetti Editors, North-Holland Publ. Co., 1986, p.481-484.
68. P.H. Handel and C.M. van Vliet: "Quantum 1/f Noise in Solid State Scattering, Recombination, Trapping and Injection Processes", *Ibid.*, p.473-475.
69. P.H. Handel: "Quantum 1/f Noise in Squids", *Ibid.*, p.489-490.
70. P.H. Handel: "Coherent States Quantum 1/f Noise and the Quantum 1/f Effect", *Ibid.*, p.465-468.
71. P.H. Handel: "Gravidynamic Quantum 1/f Noise", *Ibid.*, p.477-480.
72. A. van der Ziel: "Theory of and Experiments on Quantum 1/f Noise", *Ibid.*, p.11-17, (1986).

73. X.L. Wu and A. van der Ziel: "Experiments on High-Frequency and 1/f Noise in Long n+p Hg_{1-x}Cd_xTe Diodes", *Ibid.*, p.491-494.
74. X.N. Zhang and A. van der Ziel: "Test for the Presence of Injection-Extraction and of Umklapp Quantum 1/f Noise in the Collector of Silicon Transistors", *Ibid.*, p.485-487.
75. C.M. van Vliet and G. Kousik: "Quantum 1/f Noise in Semiconductors Involving Ionized Impurity Scattering and Various Types of Electron-Phonon Scattering", *Ibid.*, p.3-10.
76. H.R. Bilger and M.R. Sayeh: "White Noise and 1/f Noise in Optical Oscillators: State-of-the-Art in Ring Lasers", *Ibid.*, p.293-296.
77. G.S. Kousik, C.M. van Vliet, G. Bosman, W.H. Ellis and E.E. Carroll: "1/f Noise in Alpha-Particle Radioactive Decay of ²³⁹Pu, ²⁴¹Am and ²⁴⁴Cm", *Ibid.*, p.469-472.
78. M. Planat and J.J. Gagnepain: "Temperature Dependence of 1/f Noise in Quartz Resonators in Relation with Acoustic Attenuation", *Ibid.*, p.323-326.
79. P.H. Handel and A. van der Ziel: "Relativistic Correction of the Hooge Parameter for Umklapp 1/f Noise", *Physica* **141B**, p. 145 -147 (1986).
80. G.S. Kousik, C.M. van Vliet, G. Bosman and P.H. Handel: "Quantum 1/f Noise associated with Ionized Impurity Scattering and Electron-phonon Scattering in Condensed Matter", *Advances in Physics* **34**, p.663-702, (1985).
81. A. van der Ziel, P.H. Handel, X.L. Wu, and J.B. Anderson: "Review of the Status of Quantum 1/f Noise in n+p HgCdTe Photodetectors and Other Devices", (Proc. of the 1985 Workshop on the Physics and Chemistry of Mercury Cadmium Telluride, San Diego, Oct.8-10, 1985), *J. Vac. Sci. Technol.* **A4**, 2205-2216 (1986).
82. A. van der Ziel: "Interpretation of Schwates's Experimental Data on Secondary Emission 1/f Noise", *Physica* **144B**, 205(1986).
83. A. van der Ziel and X. Wu: "Hooge's Formula for the Relative Noise in Hg_{1-x}Cd_xTe Resistors When Both Electrons and Holes Contribute to the Noise", (1986).
84. A. van der Ziel: "*Noise in Solid State Devices and Circuits*", Wiley-Interscience, New York, 1986, Ch. 11.
85. A. van der Ziel: "1/f Noise in Secondary Emission Tubes and in Space-Charge-Limited Vacuum Diodes", (1986).
86. C.M. van Vliet and A. van der Ziel: "Summary of the Second Quantum 1/f Noise Conference held at Minneapolis, October 24, 1986", *Solid State Electronics*, **30**, 777 (1987).
87. L.B. Kiss and P. Heszler: "An Exact Proof of the Invalidity of 'Handel's Quantum 1/f Noise Model', Based on Quantum Electrodynamics", *J. Phys.* **C 19**, L 631 (1986); See also the rebuttal in #102 below.
88. P.H. Handel: "On the Invalidity of the Kiss-Heszler 'exact proof' and correctness of the quantum 1/f noise theory", *Journal of Physics C: Solid State Physics* **21**, 2435-2438 (1988)

89. X.L. Wu, J.B. Anderson, and A. van der Ziel: "Diffusion and Recombination 1/f Noise in Long n+ -p Hg_{1-x}Cd_xTe Diodes", IEEE Transactions on Electron Devices ED-34, 1971-1977 (1987).
90. G.S. Kousik, J. Gong, C.M. van Vliet, G. Bosman, W.H. Ellis, E.E. Carrol and P.H. Handel: "Flicker Noise Fluctuations in Alpha-Radioactive Decay", Canadian J. of Physics 65, 365-375 (1987).
91. A. van der Ziel, C.J. Hsieh, P.H. Handel, C.M. van Vliet and G. Bosman: "Partition 1/f Noise in Pentodes and its Quantum Interpretation", Physica 145B, 195-204 (1987).
92. P. Fang and A. van der Ziel: "Collector 1/f Noise in a Silicon n-p-n Transistor on a (100) Substrate", Solid State Electronics, (1987).
93. H. Hora and P.H. Handel: "New Experiments and Theoretical Development of the Quantum Modulation of Electrons (Schwarz-Hora Effect)", Advances in Electronics and Electron Physics 69, p.55-113 (1987).
94. C.M. Van Vliet: "Further Comments on Handel's Theories of Quantum 1/f Noise", Physica A150, 244-260 (1988).
95. P.H. Handel: "Answer to Objections against the Quantum 1/f Theory", p.1-39, Submitted to Physical Review.
96. P.H. Handel: "Fundamental Quantum 1/f Fluctuation of Physical Cross Sections and Process Rates", p.1-9, Submitted to Physical Review Letters.
97. P. Fang, H. Kang, L. He, Q. Peng and A. van der Ziel: "1/f Noise Study in Vacuum Photodiodes". 1987.
98. P.H. Handel: "Quantum Approach to 1/f Noise II: Fluctuations of Physical Cross Sections - An Infrared Divergence Phenomenon", p.1-9, Submitted to Physical Review.
99. P.H. Handel: "Quantum 1/f Effect with a Finite Mean Free Path of the Current Carriers", Submitted to Physical Review.
100. P.H. Handel and T. Musha: "Coherent Quantum 1/f Noise from Electron-Phonon Interactions", Zeitschrift für Physik B 70, 515-516 (1988).
101. P.H. Handel: "Derivation of Quantum 1/f Fluctuations in Physical Cross Sections", p.1-14, Submitted to Physical Review Letters.
102. P.H. Handel: "Quantum 1/f Cross Section Fluctuations in Condensed Matter Conditions", p.1-6, Submitted to Physical Review Letters.
103. A. van der Ziel: "Unified Presentation of 1/f Noise in Electronic Devices; Fundamental 1/f Noise Sources", Proc. of the IEEE, 76, 233-258 (1988).
104. P.H. Handel: "Starting Points of the Quantum 1/f Noise Approach", p.1-26, Submitted to Physical Review B.

105. P.H. Handel: "Characteristic Functionals of Quantum $1/f$ Noise and Thermal Noise", Proc. of the Conf. on Information Theory, Jap. Inst. of Electronics Information and Communication Engineers, (IEICE), Osaka, Japan (1987).
106. R.D. Black: "Comments on 'A Theory of the Hooge Parameters of Solid-State Devices'", IEEE Transactions on Electron Devices, ED-33, 532 (1986).
107. A. van der Ziel: "Reply to 'Comments on A Theory of the Hooge Parameters of Solid State Devices'", IEEE Transactions on Electron Devices ED-33, 533 (1986).
108. P.H. Handel: "Rebuttal to 'Comments on a Theory of the Hooge Parameters of Solid-State Devices'", IEEE Transactions on Electron Devices ED-33, 534-536 (1986).
109. J. Gong: "Noise Associated with Electron Statistics in Avalanche Photodiodes and Emission Statistics of Alpha-Particles",. PhD Thesis, Electr. Eng. Dept., University of Florida - Gainesville, 1983.
110. P.H. Handel: "Second-Quantized Formulation of the Quantum $1/f$ Effect", *Noise in Physical Systems*, Proc. IX Int. Conf. on Noise in Physical Systems, Montreal (Canada), May 1987; C.M. Van Vliet Editor, World Scientific Publ. Co. (Singapore 1987), p. 365-372.
111. P.H. Handel, E. Kelso and M. Belasco: "Theory of Quantum $1/f$ Noise in n+p Junctions and MIS Diodes", Ibid. 423-426.
112. P.H. Handel: "Effect of a Finite Mean Free Path on Quantum $1/f$ Noise", Ibid. p.419-422.
113. P.H. Handel and Musha: "Coherent State Piezoelectric Quantum $1/f$ Noise", Ibid. p. 413-414.
114. P.H. Handel and Q. Peng, "Quantum $1/f$ Fluctuations of Alpha Particle Scattering Cross Sections", Ibid. p. 415-418.
115. G.S. Kousik, C.M. Van Vliet, G. Bosman, W.H. Ellis, E.E. Carrol and P.H. Handel: "A More Complete Interpretation of Flicker Noise in Alpha Radioactive Decay". Ibid., pp. 121-128.
116. Q. Peng, A. Birbas and A. van der Ziel: "A Note on Experimental Results for the Hooge's Parameter in p-Mosfet's", Ibid. p. 400-404.
117. A. van der Ziel: "Experimental Results Possibly Involving Quantum $1/f$ Noise", ibid., p 383-92.
118. A.N. Birbas, A. Pawlikiewicz and A. van der Ziel: "Noise in Silicon JFETs", Ibid., p. 405-409.
119. P. Fang and A. van der Ziel: "Coherent State Quantum $1/f$ Noise in Long Silicon PIN Diodes at elevated Temperatures", Ibid., p. 410-412.
120. C.E. Jones and W.A. Radford: "Comparison of $1/f$ Noise in HgCdTe Diodes with $1/f$ Noise Theory", Idem, p. 393-396.
121. T.M. Nieuwenhuizen, D. Frenkel and N.G. van Kampen: "Objections to Handel's Quantum Theory of $1/f$ Noise", Phys. Rev. A35, 2750 (1987).

122. A. van der Ziel: "Semiclassical Derivation of Handel's Expression for the Hooge Parameter", J. Appl. Phys. 63, 2456-2457 (1988).
123. A. Pawlikiewicz: (PhD Thesis) "*Flicker Noise in Solid State Devices*". University of Minnesota, August 1986.
124. W.V. Prestwich, T.J. Kenneth and G.T. Pepper: "Search for 1/f Fluctuations in alpha Decay". Phys. Review A 34, 5132-5134 (1986).
125. A. van der Ziel: "The Experimental Verification of Handel's Expressions for the Hooge Parameter", Solid State Electronics, 31, 1205-1209 (1988).
126. A. van der Ziel: "Generalized Semiclassical quantum 1/f Noise Theory, I: Acceleration 1/f Noise in Semiconductors", J. Appl. Phys. 64, 903-906 (1988).
127. H. Hora: "Laser and Particle Beams", in *Encycl. of Phys. Science and Technology* Vol.7, pp. 99-129 (Academic Press, 1987); see p. 126.
128. A.N. Birbas, Q. Peng, A. van der Ziel, A.D. van Rheezen and K. Amheriadis: "Channel-Length Dependence of the 1/f Noise in Silicon Metal-Oxide-Semiconductor Field Effect Transistors, Verification of the Acceleration 1/f Noise Process", J. Appl. Phys. 64, 907-912 (1988).
129. A.H. Pawlikiewicz, A. van der Ziel, G.S. Kousik and C.M. Van Vliet: "Fundamental 1/f Noise in Silicon Bipolar Transistors". Solid-State Electronics 31, 831-834 (1988).
130. A. van der Ziel, P. Fang, L. He and X.L. Wu: "1/f Noise Characterization in n+-p Hg_{1-x}Cd_xTe Detector Diodes". Proc. of the Mercury-Cadmium-Telluride Workshop, Orlando, Oct. 1988.
131. K. Gopala and M. Athibar Azhar: "Search for 1/f Fluctuations in γ decay of ¹³⁷CS", Phys. Rev. A 37, 2173-75 (1988).
132. M. Athiba Azhar and K. Gopala: "1/f Noise in the Radioactive β Decay of Tl²⁰⁴", Phys. Rev. A 39, 4137-4139 (1989).
133. M. Athiba Azar and K. Gopala: "Search for 1/f Fluctuations in α decay of ²¹⁰Po", Phys. Rev. A 39, 5311-5313 (1989).
134. P. Fang, L He, A.D. Van Rheezen, A. van der Ziel and Q. Peng: "Noise and Lifetime Measurements in Si p⁺-i-n Power Diodes" Solid-State Electronics 32, 345-348 (1989). Obtains $\alpha_H = (4.0 \pm 0.8)10^{-3}$, in agreement with the coherent state quantum 1/f theory.
135. A. van der Ziel, P. Fang, L. He, X.L. Wu, A.D. van Rheezen and P.H. Handel: "1/f Noise Characterization of n⁺-p and n-i-p Hg_{1-x}Cd_xTe Detectors" J. Vac. Sci. Technol. A7, 550-554 (1989).
136. A. van der Ziel: "1/f Noise Performance of Hg_{1-x}Cd_xTe Photoresistors and n⁺-p Photodiodes", 1985.

- 137.. C.M. Van Vliet: "Quantum Electrodynamical treatment (QED) of the Interaction of Electrical Current with the Electromagnetic field", III. Quantum 1/f Noise Conference, Minneapolis, Minn., April 28, 1988.
138. A. van der Ziel, C.M. Van Vliet, R.J.J. Zijlstra, R.R. Jindal: "1/f Noise in Mobility Fluctuations and the Boltzman Equation", Physica 121B, 420-422, (1983).
139. P.H. Handel: "The Quantum 1/f Effect and the General Nature of 1/f Noise", Archiv für Elektronik und Übertragungstechnik (AEÜ) 43, 261-270 (1989).
140. A. van der Ziel, A.D. van Rheenen and A.N. Birbas: "Extensions of Handel's 1/f Noise Equations and their Semiclassical Theory", Phys. Rev. B 40, 1806-1809 (1989).
141. Xiaolan Wu: "Noise in Semiconductor Devices, Particularly in $\text{Cd}_x\text{Hg}_{1-x}\text{Te}$ Photo Diodes", (PhD Thesis) ,University of Minnesota, Jan.1987.
142. A.H. Pawlikiewicz, A. van der Ziel, G.S. Kousik and C.M. Van Vliet: "On the Temperature Dependence of the Hooge Parameter α_H in n and p-channel Silicon JFETs", Solid State Electr. 31 (2) 233-236 (1988).
143. R.Z. Fang, A.C Young, A. van der Ziel and A.D. van Rheenen: "A new 1/f Noise Parameter in Bipolar Transistors", 1989.
144. A. van der Ziel and A.D. van Rheenen: " Extension of the Hooge Equation and of the Hooge Parameter Concept", 1989.
145. A. van der Ziel: " Formulation of Surface 1/f Noise Processes in Bipolar Junction Transistors and in p-n Diodes in Hooge-Type Form", Solid State Electr. 32 (1), pp. 91-93, (1989).
146. P. Fang and A. van der Ziel: " Study of Secondary Emission Noise", Physica 147B, 311-315, (1987).
147. P.H. Handel: "Quantum and Classical Nonlinear Dynamics, Quantum Chaos, and 1/f Fluctuations of Physical Cross Sections", submitted to Phys. Rev.
148. P.H. Handel: "Spectrum of Musha's Turbulence Model of Highway Traffic Fluctuations", submitted to Phys. Rev. Lett., July 1989.
149. P.H. Handel: " General Derivation of the Quantum 1/f Noise Effect in Physical Cross Sections and the 1/N Factor", Proc. of the X. Int. Conf. on Noise in Physical Systems, Budapest, Aug. 20-25, 1989, A. Ambrozy, Ed., Akademiai Kiado, Budapest 1990, pp. 155-158.
150. P.H. Handel: "Quantum 1/f Cross-Correlations and Spectra", Ibid. pp. 167-170.
151. D. Wolf, P.H. Handel and M. Sekine: "Characteristic Functional of Physical Thermal Noise which Includes Equilibrium 1/f Noise", Ibid., pp. 365-368.
152. P.H. Handel: " 1/f Noise and Quantum 1/f Approach in Ferroelectrics and Ferromagnetics", Ibid., pp. 433-435.
153. B. Weber, D. Wolf and P.H. Handel: "Analytical and Numerical Study of Fluctuations in Active Nonlinear Systems", Ibid., pp. 351-355.

154. P.H. Handel: "The Nonlinearity causing Quantum 1/f Noise; Sliding Scale Invariance and Quantum Chaos Definition", *Ibid.*, pp. 179-186.
155. B.K. Jones, M.G. Berry and G. Hughes: "A Test of Quantum 1/f Noise using α -Decay", to be published, *Can. J. Phys.*, 1989.
156. A. van der Ziel: "Experiments on 1/f Noise Agreements with Handel's Predictions", Invited Paper, X. Int. Conf. on Noise in Physical Systems, Budapest, Aug. 20-25, 1989, A. Ambrozy, Ed., *Akademiai Kiado*, Budapest 1990, pp. 143-153.
157. Horng-Jye Luo: "Low Frequency Noise Studies in Silicon and Silicon Devices with Emphasis on Quantum 1/f Noise." MS Thesis, University of Florida, 1989.
158. G.S. Kousik, C.M. Van Vliet, G. Bosman, and Horng-Jye Luo: "Quantum 1/f Noise Associated with Intervalley Scattering in Nondegenerate Semiconductors", *Phys. stat. sol.* **154**, 713-726, (1989).
159. C.M. Van Vliet: "A Quantum Electrodynamical Theory of Quantum 1/f Noise in Condensed Matter." Conf. Proc., Iguazu, Argentina, Aug. 1989.
160. C.M. Van Vliet: "An Alternative Theory for Quantum 1/f Noise Based on Quantum Electrodynamics", Abstract, X. Int. Conf. on Noise in Physical Systems, Budapest, Aug. 21-25, 1989.
161. C.M. Van Vliet: "An Alternative Theory for Quantum 1/f Noise Based on Quantum Electrodynamics", Proc. X. Int. Conf. on Noise in Physical Systems, Budapest, Aug. 21-25, 1989, A. Ambrozy, Ed., *Akademiai Kiado*, Budapest 1990, pp. 357-363.
162. C.M. Van Vliet: "Quantum Electrodynamical Theory of Infrared Effects in Condensed Matter. I.: Radiation Damping of Cross Sections and Mobility." *Physica A*, **165**, 101-125 (1990).
163. C.M. Van Vliet: "Quantum Electrodynamical Theory of Infrared Effects in Condensed Matter. II.: Radiation Corrections of Cross Sections and Scattering Rates and Quantum 1/f Noise." *Physica A*, **165**, 126-155 (1990).
164. C.M. Van Vliet: "A Survey of Results and Future Prospects on Quantum 1/f Noise and 1/f Noise in General." *Solid St. Electronics*, 1990.
165. P.H. Handel: "A Sufficient Criterion For 1/f Noise in Nonlinear Systems", to be published.
166. A. Young: "Quantum 1/f Noise in Bipolar Junction Transistors" PhD Thesis, Electrical Eng. Dept., Univ. of Minnesota, Minneapolis, MN 55455, June 1990.
167. P.H. Handel: "Calculation of Collector 1/f Noise in BJTs", to be published.
168. M. Athiba Azhar and K. Gopala: "1/f Fluctuations in β -decay of ^{90}Y ", *Phys. Rev. A* **43**, pp. , (1990).
169. P.H. Handel: "Quantum 1/f Effect - The Most Important Radiative Correction and Quantum Chaos", Proc. IV. *Symposium on Quantum 1/f Noise and Other Low Frequency*

Fluctuations in Electronic Devices, p 55-63, Minneapolis, Minnesota, May 10-11, 1990; University of Missouri Publication Office, St. Louis, Missouri 63121, Dec.1990.

170. T.H. Chung and P.H. Handel: "Mobility Fluctuations in Semiconductors Based on the New Quantum $1/f$ Cross Correlation Formula", *Ibid*, p 81-86.

171. T.H. Chung and P.H. Handel: "Initial Monte Carlo Calculation for Quantum $1/f$ Noise in HgCdTe", *Ibid*, p 105-106.

172. P.H. Handel: "General Quantum $1/f$ Principle", *Ibid.*, p 107-108.

173 L. He and A. van der Ziel: " Experiments of Quantum $1/f$ Noise", *Ibid*, p 3-25.

174. A. Young and A. van der Ziel: " $1/f$ Noise in Silicon Bipolar Junction Transistors", *Ibid*, p 26-54.

175. C.M. Van Vliet: "Quantum Electrodynamical Theory of Infrared Corrections of Cross Sections and of Mobility-Fluctuation Quantum $1/f$ Noise", *Ibid*, p 64-74.

176. R.Z. Fang, A.D. van Rheenen, A. van der Ziel, A.C. Young and J.P. van der Ziel: " $1/f$ Noise in Double Heterojunction AlGaAs Laserdiodes on GaAs and Si Substrates", *Ibid*, p 75-76.

177. L.He, A.D. van Rheenen, A. van der Ziel, A. Young and J.P. van der Ziel: "Low Frequency Noise in Small InGaAs/InP p-i-n Diodes under different Bias and Illumination Conditions", *Ibid*, p 77-80.

178. C.M. Van Vliet: "A critical Discussion of Generalizations of Quantum $1/f$ -Noise", *Ibid*, p 87-95.

179. C.M. Van Vliet: "A Status Report on $1/f$ -Noise in Semiconductors and Metal Films", *Ibid*, p 96-102.

180. Y. Lin, L. He, A. Young, F. Feng, A.D. van Rheenen and A. van der Ziel: "Report on $1/f$ Noise in P-i-N Diodes", *Ibid*, p 103-104.

181. A. van der Ziel: "The Present Status of the Quantum $1/f$ Noise Problem", *Ibid*, p 109-117.

182. P.H. Handel: " $1/f$ Noise Criterion for Chaos in Nonlinear Systems", (Invited Paper), Proc. XI. International Conference on Noise in Physical Systems and $1/f$ Fluctuations, Nov. 24-27, 1991, Kyoto, Japan, T. Musha, S. Sato and M. Yamamoto Editors, Ohmsha Ltd. Publishing Co., 3-1 Kanda Nishi-cho, Chiyoda-ku, Tokyo 101, Japan, pp.151-157.

183. P.H. Handel and E. Bernardi: "Ab Initio Derivation of Coherent Quantum $1/f$ Chaos", *Ibid.*, pp. 159-162.

184. T.H. Chung, P.H. Handel and J. Xu: "Quantum $1/f$ Mobility Fluctuations in Semiconductors", *Ibid.*, pp. 163-166.

185. M. Tacano, K. Tamisawa, H. Tanoue and Y. Sugiyama: "Dependence of Hooge Parameter of Compound Semiconductors on Temperature", *Ibid.*, pp 167-170.

186. C.M. Van Vliet and A. Huisso: "Quantum $1/f$ Noise of Electromagnetic Origin, caused by Electron-Phonon Scattering: A QED Framework", *Ibid.*, pp 359-362.

187. P.H. Handel: "Coherent Quantum $1/f$ Chaos Effect", submitted to Phys.Rev.Lett., Sept. 1991.

Note: Papers with no page and volume numbers listed are submitted for publication, and are still in the reviewing process or in process of publication, but may not have been published yet by the respective authors.