

## REPORT DOCUMENTATION PAGE

AD-A263 205



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1. AGENCY USE ONLY (Leave blank)

2. REPORT DATE

April 2, 1993

3. REPORT TYPE AND DATES COVERED

Reprint

4. TITLE AND SUBTITLE

Quasi-Static Model of Outer Zone Electrons

5. FUNDING NUMBERS

PE 62101F

PR 7601

TA 22

WU 03

6. AUTHOR(S)

D.H. Brautigam, M.S. Gussenhoven, E.G. Mullen

7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)

Phillips Lab/GPSP  
29 Randolph Road  
Hanscom AFB, MA 01731-30108. PERFORMING ORGANIZATION  
REPORT NUMBER

PL-TR-93-2076

9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)

10. SPONSORING / MONITORING  
AGENCY REPORT NUMBER

11. SUPPLEMENTARY NOTES

Reprinted from IEEE Transactions on Nuclear Science, Vol. 39, No. 6, Dec 1992

12a. DISTRIBUTION / AVAILABILITY STATEMENT

Approved for public release; Distribution unlimited

12b. DISTRIBUTION CODE

13. ABSTRACT (Maximum 200 words)

With the Combined Release and Radiation Effects Satellite (CRRES) measurements, we have observed an extremely variable outer zone relativistic electron population from 25 July 1990 to 12 October 1991. Up to now, this population has been modeled by the static NASA solar minimum and maximum models. To address the inadequacies of using a static model to describe this highly dynamic environment, we develop a quasi-static model of the outer zone electrons based on the readily available geomagnetic activity index,  $A_p$ . It is shown that certain quantities used to parameterize the electron belt morphology are moderately correlated with the logarithm of the 15-day running average of  $A_p$  ( $A_{p15}$ ). We therefore separate and average, as a function of  $A_{p15}$ , the 438 daily average radiation belt profiles (electron flux versus  $L$ ) for each of 9 energy channels (1 - 8 MeV). The result is a set of average flux profiles which are keyed to geomagnetic activity. This quasi-static model provides a more accurate representation of the dynamic outer zone electron environment than could be expected from any static model.

14. SUBJECT TERMS

Quasi-Static, Electrons, Flux, Magnetosphere

15. NUMBER OF PAGES

7

16. PRICE CODE

17. SECURITY CLASSIFICATION  
OF REPORT

UNCLASSIFIED

18. SECURITY CLASSIFICATION  
OF THIS PAGE

UNCLASSIFIED

19. SECURITY CLASSIFICATION  
OF ABSTRACT

UNCLASSIFIED

20. LIMITATION OF ABSTRACT

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## QUASI-STATIC MODEL OF OUTER ZONE ELECTRONS

D.H. Brautigam, M.S. Gussenhoven, E.G. Mullen

Phillips Laboratory / GPSP, Hanscom AFB, MA 01731

*Abstract*

With the Combined Release and Radiation Effects Satellite (CRRES) measurements, we have observed an extremely variable outer zone relativistic electron population from 25 July 1990 to 12 October 1991. Up to now, this population has been modeled by the static NASA solar minimum and maximum models. To address the inadequacies of using a static model to describe this highly dynamic environment, we develop a quasi-static model of the outer zone electrons based on the readily available geomagnetic activity index,  $A_p$ . It is shown that certain quantities used to parameterize the electron belt morphology are moderately correlated with the logarithm of the 15-day running average of  $A_p$  ( $A_{p15}$ ). We therefore separate and average, as a function of  $A_{p15}$ , the 438 daily average radiation belt profiles (electron flux versus  $L$ ) for each of 9 energy channels (1 - 8 MeV). The result is a set of average flux profiles which are keyed to geomagnetic activity. This quasi-static model provides a more accurate representation of the dynamic outer zone electron environment than could be expected from any static model.

## I. INTRODUCTION

The outer radiation zone of the magnetosphere is populated by trapped relativistic electrons lying between 2.4 and 8 Earth radii ( $R_E$ ) that are only weakly confined to the magnetic equatorial plane [1]. It presents a serious radiation hazard to sensitive components in satellites and may limit the use of emerging technologies in space. Compared to energetic proton and electron populations in the inner radiation belt (within a radius of 1.8  $R_E$ ), the outer zone electrons exhibit large intensity fluctuations (several orders of magnitude in flux change) over short time periods (days) [2, 3]. The dynamical processes that drive these variations are still not identified, but many indicators of magnetospheric storm activity show some correlation, albeit weak, with outer zone intensifications [4, 5, 6]. Most of these studies have been conducted with data gathered on geosynchronous satellites flying at 6.6  $R_E$ , a fixed altitude at the outer edges of the outer zone.

The high variability of the outer zone electrons presents a major modeling problem, both for the modeler and for the user. The NASA outer zone models (AE8MIN and AE8MAX for solar minimum and maximum, respectively) were prepared in the same way as the inner belt models, namely long-term averages applicable for missions 6 months or longer [7]. Thus, by their very nature they are inadequate for short missions. Moreover, recent comparisons of dose measured on orbit with predictions from the NASA models indicate that discrepancies of up to an order of magnitude exist even for

long space flights, and can be in either direction depending on shielding thickness [8, 9].

A new opportunity to measure and model outer zone electrons is presented by the Combined Release and Radiation Effects Satellite (CRRES) which measured near-Earth particle populations over a wide energy range from 25 July 1990 to 12 October 1991. The CRRES orbit was a geosynchronous-transfer orbit with an 18° inclination. Its perigee was 350 km, and apogee, 33,500 km. With a period of about 10 hours, the satellite made at least four transits though the outer zone a day.

In this paper we report an effort to model the outer zone electron population in a fashion that gives a first order estimate of its dynamics. We model the outer belt over the electron energy range from 0.8 to 8 MeV using measurements from the High Energy Electron Fluxmeter (HEEF) onboard CRRES. We first establish that there is a moderate correlation between the electron fluxes and the 15-day running average of the global geomagnetic activity index,  $A_p$ , delayed by one day (referred to as  $A_{p15}$ ). The  $A_p$  index is made readily available by the NOAA-USAF Space Environment Services Center [10]. We then use  $A_{p15}$  to construct eight average models of the electron flux variation with distance. The time history of the outer zone can then be roughly reconstructed for any time for which  $A_p$  exists using the eight models. The model fluxes and those of the NASA AE8MAX model are compared to the measured profiles to determine their relative accuracies and limitations in predicting the outer zone high energy electron population.

## II. INSTRUMENTATION AND DATA HANDLING

The High Energy Electron Fluxmeter (HEEF) measures 0.8 to 8 MeV electrons in ten differential energy channels every 0.5 seconds. The instrument is a telescope, in design, having an acceptance half-angle of 10.5°. It is mounted perpendicular to the spin axis of the satellite, which in turn, points always in the solar direction. The satellite spin rate is 2 rpm. Both passive and active (triple coincidence) shielding are used to insure that high energy protons and low energy electrons do not contaminate the measurement. The instrument and its extensive pre-flight calibration are described in detail elsewhere [11]. The results presented here are based on a preliminary set of HEEF's calibration constants. A set of correction factors resulting from in-flight calibration analysis and further laboratory tests with HEEF's backup unit is forthcoming.

The time period represented in this study extends from 27 July 1990 to 11 October 1991, about 14 months. The database is generated from 30 second (1 spin) average HEEF fluxes



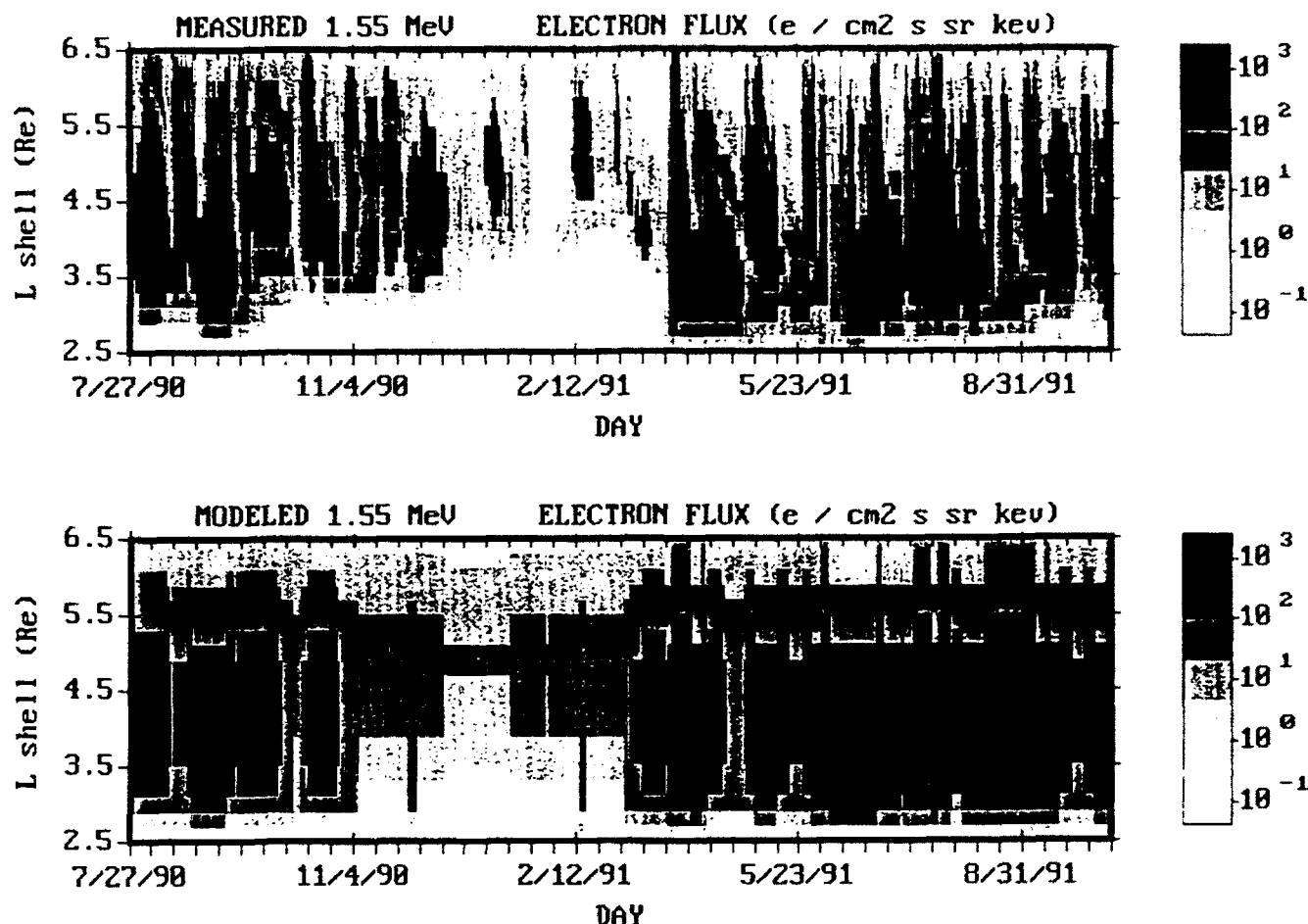


Figure 1. Measured (top panel) and modeled (bottom panel) daily averages of 1.55 MeV electron flux as a function of  $L$  (in  $R_E$ ) and time (in days).

The average fluxes are accumulated in  $L$ -shell ( $L$ ) bins of  $0.2 R_E$  over the outer belt region from  $2.4$  to  $6.6 R_E$ , independent of magnetic local time and magnetic field. The McIlwain  $L$ -parameter [12] is used instead of altitude because it identifies individual drift shells confining the electrons in their rotation around the Earth, eliminating the need to sort the data by local time or longitude. The Olson-Pfitzer tilt dependent magnetic field model [13] is used to determine  $L$ , and although the uncertainty in  $L$  increases for  $L > 4.5$  during magnetically active periods, the model provides a reasonable basis for ordering the data. The data in each  $L$  bin is averaged to obtain a daily flux value for each altitude ( $L$ ) and for each energy channel. These daily spin-averaged flux values are used to generate the models. We treat the spin averaged fluxes as unidirectional fluxes with units of  $e (cm^2 s sr keV)^{-1}$ . For this to be strictly valid, the electron population must be isotropic. This was determined to be approximately true after examining a database which is a superset (includes pitch angle information, and has a higher spatial resolution) of the one used in this study. We quantified the shape of each pitch angle distribution by defining a pitch angle index as follows. For a given pitch angle distribution, the electron flux was

averaged over both  $65-90^\circ$  ( $j_{90}$ ) and  $40-65^\circ$  ( $j_{65}$ ) pitch angle intervals. A pitch angle index was then formed from the logarithm of the ratio  $j_{90} / j_{65}$ . Thus, a perfectly isotropic distribution would have an index of 0. An automated survey over the entire 1.5 MeV electron data set (restricted to  $L$  between 2.5 and 6.5) revealed that 81% of pitch angle distributions had an index between  $-0.3$  and  $+0.3$ . In no cases did the index exceed an absolute value of 1.

### III. PARAMETERS FOR DESCRIBING AND ORDERING THE OUTER ZONE ELECTRON FLUX VARIATIONS

The measured 1.55 MeV daily average electron fluxes over the CRRES mission lifetime are shown in the top panel of Figure 1 (the bottom panel will be discussed later). The flux values (encoded by gray scale) range from  $<10^{-1}$  to  $>10^3 e (cm^2 s sr keV)^{-1}$ , and are plotted as a function of  $L$  (in  $R_E$ ) on the vertical axis and time (in days) on the horizontal axis. The time interval between tick marks is 10 days. The wide variation in the radial position of the inner edge of high flux

regions and the overall flux levels themselves is quite evident. For periods of more intense fluxes, the inner edge is located between  $2.5\text{--}3 R_E$  and the fluxes peak above  $10^3 \text{ e (cm}^2 \text{ s sr keV)}^{-1}$ . By contrast, days of less intense fluxes have an inner edge of maximum intensity around  $4.5 R_E$  and a flux intensity peak below  $10^2 \text{ e (cm}^2 \text{ s sr keV)}^{-1}$ . To illustrate these differences in more detail we show, in Figure 2, flux profiles as a function of  $L$  for 8 August 1990 and 8 January 1991, times of intense and weak outer zone electrons, respectively.

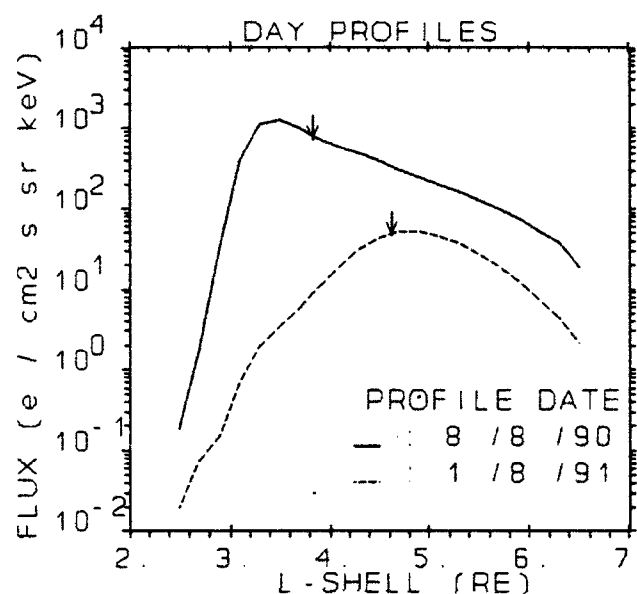


Figure 2. Electron flux intensity as a function of  $L$ -value, in Earth radii, for an intense outer zone (8 August 1990, solid line) and a weak outer zone (8 January 1991, dashed line). Arrows mark the centroid  $L$  of each profile (see text).

Not only do the flux peaks differ in intensity and position, but the form of the profile is extremely different in the two cases. The August profile rises steeply with increasing  $L$  and then falls off relatively slowly. The January profile both rises and falls off slowly, with similar slope magnitudes. To model the outer zone electrons we need to find an ordering parameter for these different profiles of electron flux intensity as a function of  $L$ . To do this we first characterize the profiles with two parameters likened, for a mass distribution, to the total mass and the center of mass.

For an individual flux profile, such as either of those shown in Figure 2, for a given energy channel, we define two parameters  $P_1$ , indicating flux intensity in the outer zone, and  $P_2$ , indicating the center of the flux distribution. We call  $P_1$ , the "profile flux" parameter. It is the integral of the flux over  $L$ :

$$P_1(E) = \sum_{i=1}^{21} j_i(E) * \Delta L_i$$

We call  $P_2$ , the "centroid  $L$ " parameter, defined in analogy to the center of mass as:

$$P_2(E) = \frac{\sum_{i=1}^{21} L_i * j_i(E) * \Delta L_i}{\sum_{i=1}^{21} j_i(E) * \Delta L_i}$$

In these equations:

$L_i$  =  $L$ -shell bin midpoint in  $R_E$  ( $2.5$  to  $6.5 R_E$ );

$\Delta L$  =  $L$  bin width ( $0.2 R_E$ );

$i$  =  $L$  bin number ( $1$  to  $21$ ); and

$j_i(E)$  = flux for a given energy channel ( $E$ ) and  $L$  bin ( $i$ ).

In Figure 2, arrows mark the centroid  $L$  for the two profiles at  $3.8$  and  $4.6 R_E$  for the intense and weak profiles, respectively. The corresponding profile fluxes,  $P_1$ , are  $1.4 \times 10^3$  and  $7.3 \times 10^1$  having units of flux times  $R_E$ .

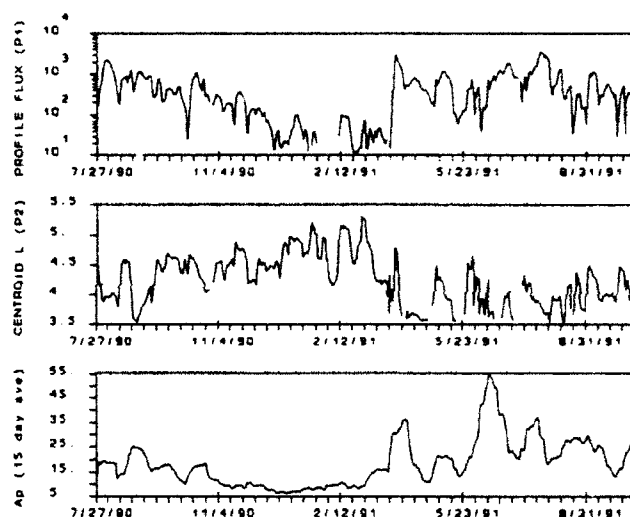


Figure 3. Profile flux ( $P_1$ ; top panel) and centroid  $L$  ( $P_2$ ; middle panel), as defined in the text, plotted versus time (in days). Both parameters were derived from daily  $1.55 \text{ MeV}$  fluxes. Fifteen day running average of  $A_p$  ( $A_{p15}$ ) versus time (in days) is plotted in bottom panel.

The two parameters defined above are calculated for electron flux profiles for each day of the CRRES mission and for each HEEF energy channel. Figure 3 shows these two parameters for  $1.55 \text{ MeV}$  electrons ( $P_1$ , top panel;  $P_2$ , middle panel) plotted versus day. The bottom panel is a 15 day running average of the  $A_p$  magnetic activity index (referred to as  $A_{p15}$  for the remainder of this paper).  $A_p$  is a readily available index of magnetic activity, constructed from ground-based magnetic stations having a broad distribution in latitude and longitude [10].  $A_p$  varies linearly with the magnitude of the magnetic disturbance. Figure 3 shows that magnetic activity was most quiet ( $A_{p15} \leq \sim 10 \text{ nT}$ ) from November 1990 through February 1991. In this quiet period  $P_1$  (profile flux) has relatively low values and  $P_2$  (centroid  $L$ ) has relatively high values. In general, as magnetic activity diminishes,  $P_1$

(profile flux) decreases and  $P_2$  (centroid L) increases. During periods of high magnetic activity the reverse is true, as can be seen in the periods before December 1990 and after March 1991. These trends are quantified in Figure 4 where  $P_1$  (top panel) and  $P_2$  (bottom panel) are plotted versus  $\log(Ap_{15})$  with an imposed 1 day lag time. A lag time of 1 day was chosen because it was found to minimize the error between the measured and modeled fluxes to be discussed in the next section of this paper. A linear regression of the 437 data point yields a correlation coefficient of  $\sim .65$  for both the direct relation between  $P_1$  and  $\log(Ap_{15})$  and the inverse relation between  $P_2$  and  $\log(Ap_{15})$ . The eight large dots on each plot refer to modeled parameters and will be addressed later. Given the moderate correlations between the flux profile parameters and  $\log(Ap_{15})$ , we use  $Ap_{15}$  to separate and bin the electron data to produce our flux models.

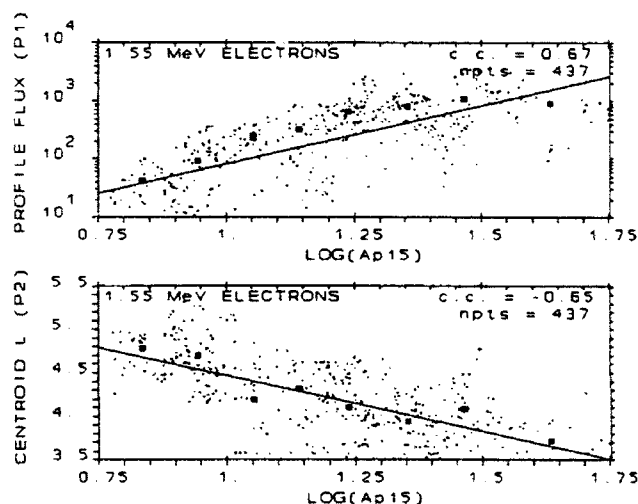


Figure 4. Profile flux ( $P_1$ ; top panel) and centroid L ( $P_2$ ; middle panel) plotted versus  $\log(Ap_{15})$ . A linear regression was performed on the 437 data points, with the best-fit line plotted and the correlation coefficient given in upper right corner of panel. Eight large dots are the profile parameters derived from the eight model profiles.

#### IV. OUTER ZONE ELECTRON MODELS

Because of the highly dynamic nature of the outer belt electrons, a single model to reproduce all conditions was deemed impractical. After much trial and error, we concluded that 8 models divided over the CRRES  $Ap_{15}$  range between 5 and 55 nT would give a good representation of most conditions measured on CRRES. All daily flux profiles corresponding to the days assigned to one of the 8  $Ap_{15}$  intervals (assuming a 1 day lag time) were averaged together to determine the model profile for that activity level. For example, model profile #1 is the average of the individual flux profiles for the 31 days in which the value of  $Ap_{15}$  on the day preceding the daily flux profile was between 5 and 7.5 nT. A summary of the model inputs is given in Table I. Included in the table is the range and the corresponding average of  $Ap_{15}$ , the number of daily profiles used to compute the average, and the percent of the total days (438) used for the profile.

Table I -  $Ap_{15}$  Model Statistics

| Model # | $Ap_{15}$ (nT) Range | Ave $Ap_{15}$ (nT) | Days per Model | % of Total |
|---------|----------------------|--------------------|----------------|------------|
| 1       | 5.0-7.5              | 6.8                | 31             | 7.1        |
| 2       | 7.5-10.0             | 8.7                | 83             | 18.9       |
| 3       | 10.0-12.5            | 11.2               | 35             | 8.0        |
| 4       | 12.5-15.0            | 13.7               | 35             | 8.0        |
| 5       | 15.0-20.0            | 17.1               | 98             | 22.4       |
| 6       | 20.0-25.0            | 22.4               | 71             | 16.2       |
| 7       | 25.0-35.0            | 28.9               | 56             | 12.8       |
| 8       | 35.0-55.0            | 42.5               | 29             | 6.6        |

The resulting model profiles for four of the energy channels are shown in Figure 5 with electron flux plotted against L shell. For the sake of clarity, only every other model profile is displayed. Model profiles #1 and #2 (#1 is the bottom curve displayed in each plot) which were averaged from the extended quiet interval from November 1990 - February 1991, are significantly different in shape and magnitude from the remaining higher activity profiles. The higher activity profiles are themselves very similar in both shape and magnitude. To show how well the models can reproduce the original data, the  $P_1$  and  $P_2$  model parameters from the 1.55 MeV channel were calculated and plotted as solid circles on their respective plots of Figure 4. As expected, the model parameters  $P_1$  and  $P_2$  vary with  $\log(Ap_{15})$  in the same way as do the daily profile parameters. This relationship holds true for all energy channels.

The model flux profiles ordered by geomagnetic activity shown in Figure 5 are also compared with the single flux profile for each of two static models briefly described next. To compare the new  $Ap$  dependent models with existing static models and data sets, the NASA solar maximum electron radiation belt model (AE8MAX) was used to construct a flux versus L profile for each energy channel of Figure 5. AE8MAX is a matrix of omnidirectional integral fluxes stored as a function of threshold energy,  $B/B_0$ , and L parameter [7].  $B/B_0$  is the ratio of the magnitude of the magnetic field at the point in question to that on the magnetic equator following the same field line, and is a measure of magnetic latitude. It, therefore, requires some preprocessing for a direct comparison with CRRES flux data. To use AE8MAX to predict the electron fluxes CRRES should see, CRRES orbits were traced through this matrix, and the omnidirectional integral fluxes converted to unidirectional differential fluxes for each of the CRRES electron energy channels. The comparison is shown in Figure 5 as the solid curve. In all energy channels the NASA model gives values higher than the CRRES models above an L of 5  $R_E$ . For energies above about 2 MeV the NASA model gives higher values at all L values above  $\sim 3.4 R_E$ .

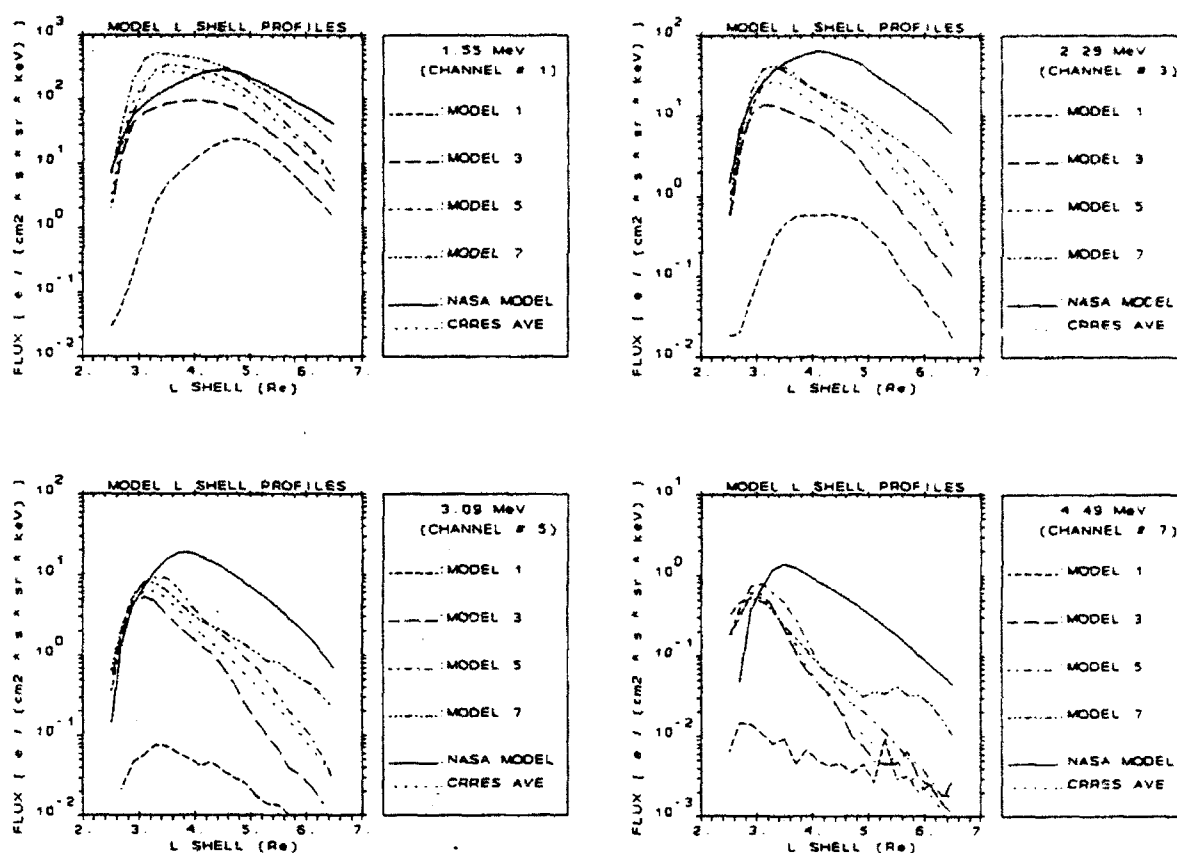


Figure 5. Eight  $Ap_{15}$  model profiles for 4 different energy channels (as specified in key). Also included are the NASA AE8MAX model (heavy solid line) and the CRRES-static model (dotted lines) profiles.

To show that the NASA model electron fluxes are indeed higher than all the CRRES data, and to illustrate the modeling benefit of quasi-static models relative to fully static models, we also created a single static model from the CRRES data. The CRRES-static model is simply an ordering of the full set of HEEF data by L shell ( $0.2 R_E$  bins) to yield one average flux profile for each energy channel. The electron flux profiles for the CRRES-static model are also included in Figure 5 and are drawn with dotted lines. The CRRES static model fluxes are, not surprisingly, similar to the quasi-static model fluxes for active magnetic conditions, since in linear averages of quantities varying by orders of magnitude, the high values will dominate. The profile intensity and shape of the average CRRES model fluxes are, however, still very different from and well below the NASA model levels.

## V. DISCUSSION AND CONCLUSIONS

Since daily  $Ap$  magnetic indices are disseminated weekly [10], the quasi-static model presented here provides a method for calculating the short term average fluxes of the outer zone electron belts. To see how well this would have worked for CRRES while in flight, we used the daily values of  $Ap_{15}$  from Figure 3 to identify which model electron profile to use for

each day of the CRRES mission. The survey plot derived from the models is plotted in the bottom panel of Figure 1 for direct visual comparison with the measured values. The agreement, although not perfect, is far better than any static model could give. The models maintain the overall gross features of the measured data such as the quiet interval during the middle of the mission and the various intensifications seen after March 1991.

Figure 6 quantifies the differences seen between the measured and modeled flux profiles. A "difference ratio" is computed for each L bin for each day of the mission. The ratio is defined as the absolute value of (measured flux - modeled flux) / measured flux. The three curves in Figure 6 are the fraction of days (y-axis) that the "difference ratio" calculated from the quasi-static  $Ap_{15}$  model, the NASA AE8MAX model and the CRRES-static model, is less than unity for each L (x-axis). In general terms, the plots represent the fraction of time the models give numbers within a factor of 2 of the measured values. Overall, the  $Ap_{15}$  quasi-static model gives the best agreement and the NASA AE8MAX the worst. The  $Ap_{15}$  models are within a factor of 2 of the measured values fairly consistently (50% of the time) over all L's from 2.5 to 6.5  $R_E$ . The NASA model, on the other hand, shows serious problems for all but the lowest L-values.

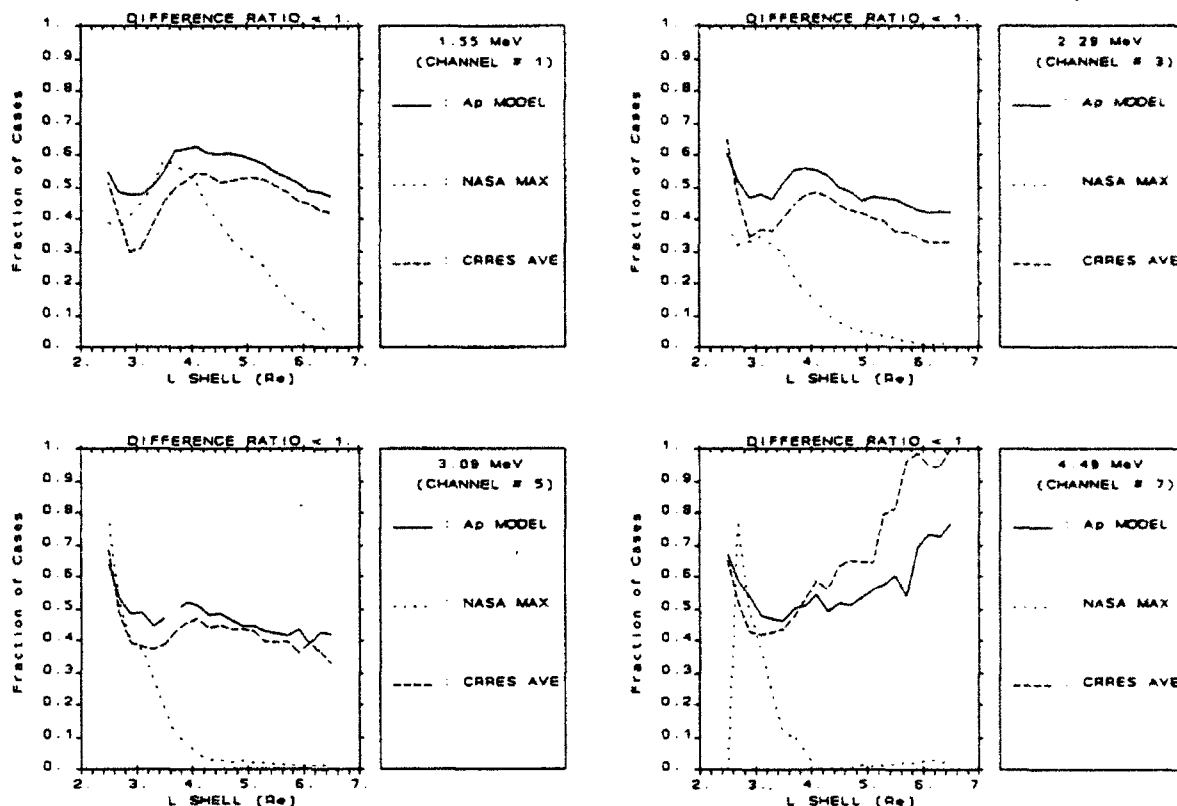


Figure 6. Line histogram for the case where the 'difference ratio' between the fluxes of the various models and the measured data is less than 1 for each L bin. The 'difference ratio' is defined as the absolute value of (measured flux-modeled flux)/measured flux.

Upon examination of individual spectral comparisons (not shown), it is seen that the NASA spectra are much harder than the CRRES spectra, with the result that NASA predicts much higher fluxes at higher energies up to 5 MeV which is consistent with the higher NASA predicted electron doses [8, 9].

In conclusion, the NASA models are the most widely used models for predicting electron radiation to near-Earth space systems. We have shown that the AE8MAX model has severe deficiencies when compared to recent CRRES measurements, particularly at energies  $> 2$  MeV and for distances beyond  $3.5 R_E$ . Trying to model a highly dynamic particle population with a single static model will never produce reasonable results under all conditions. As a minimum, two models, representing quiet and active magnetospheric conditions, are needed. The approach presented here, driven by the magnetic activity index  $A_p$ , provides a promising methodology for developing a fully functional quasi-static outer zone electron model. By supplementing the current CRRES database with future data sets to improve model statistics, this quasi-static model could become the standard for predicting electron fluxes (dose) for future space missions.

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