



Department of Defense
DIRECTIVE

AD-A269 981



February 28, 1986
NUMBER 1432.2

ASD (FM&P)

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SUBJECT: Honorary Awards to Private Citizens and Organizations

- References:
- (a) DoD Directive 1432.2, subject as above, June 22, 1973 (hereby canceled)
 - (b) DoD Instruction 5120.16, "Department of Defense Incentive Awards Program: Policies and Standards," July 15, 1974
 - (c) Federal Personnel Manual (FPM), Chapter 451, Sections 3-2.c. and 4-2(a)(16)
 - (d) Public Law 80-253, "National Security Act of 1947," July 26, 1947
 - (e) through (h), see enclosure 1

A. REISSUANCE AND PURPOSE

This Directive reissues reference (a) to update the statement of policies, eligibility criteria, and procedures to be observed by the DoD Components in recognizing or recommending to the Secretary of Defense the recognition of private citizens or organizations for significant achievements that have benefited one or more DoD Components or the Department of Defense as a whole.

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Organization of the Joint Chiefs of Staff (OJCS), and the Defense Agencies (hereafter referred to collectively as "DoD Components").

C. POLICY

1. General. Private citizens, groups, and organizations that significantly assist or support DoD functions, services, or operations shall be recognized appropriately to (a) demonstrate the interest of DoD management in improving efficiency and effectiveness, and (b) encourage citizens and organizations in their efforts to assist in the accomplishment of DoD missions.

2. Eligibility

a. Any person, group, or organization, except for those described in paragraph C.2.b., below, may be considered for recognition under this Directive, based on a significant contribution to the Department of Defense, performed as a public service. A contribution may consist of the following:

(1) Exemplary service in an advisory or technical capacity (as consultants, experts, or otherwise) to a DoD committee, program, or project.

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(2) Direct assistance to a DoD Component through actions or useful ideas that are beneficial in eliminating or minimizing problems or that otherwise contribute to mission accomplishment.

(3) Assistance through the cooperative use of facilities, equipment, or manpower.

(4) A courageous or heroic action in support of a DoD activity or mission.

(5) Any other action that significantly benefits the Department of Defense.

b. The following are ineligible for recognition under this Directive:

(1) DoD military and civilian personnel who are eligible for recognition in accordance with DoD Instruction 5120.16 (reference (b)) and applicable DoD and Military Department regulations on awards and decorations.

(2) Persons or organizations having a commercial or profitmaking relationship with the Department of Defense or with a DoD Component, unless it is shown that (a) the contribution is substantially beyond that specified or implied within the terms of the contract establishing the relationship, and (b) the recognition is clearly in the public interest.

c. Honorary awards under this Directive may not be granted routinely to successive individuals or organizations performing the same service. Awards shall be recommended and approved only after the significance and merits of the contribution in each separate case are considered.

d. Before submitting nominations, the nominating officials shall ascertain that presentation of the award will not embarrass the Department of Defense.

3. Letters of Appreciation. The more modest contributions of private citizens or groups to DoD functions or operations should be recognized also. Letters of appreciation may and should be issued by officials at appropriate levels in recognition of service or assistance considered worthy of such an expression even though an award is not warranted under this Directive.

D. RESPONSIBILITIES

1. The Assistant Secretary of Defense (Force Management and Personnel) (ASD(FM&P)) shall:

a. Establish policies and procedures and issue additional instructions as necessary to ensure uniformity among the Components in honoring private citizens and organizations.

b. Review and, if meritorious, forward to the Secretary of Defense nominations for the Presidential Medal of Freedom, the Presidential Citizens Medal, the Department of Defense Distinguished Public Service Award and the Secretary of Defense Outstanding Public Service Award.

2. The Heads of DoD Components shall:

a. Establish at least two levels of honorary awards for citizens' achievements, consisting of the following:

1. An award to be granted by the head of the DoD Component for contributions of major significance to the Component as a whole.

2. An award authorized for issuance at a designated lower level for contributions of less scope or impact.

b. Develop eligibility criteria, based on this Directive and Chapter 451 of the Federal Personnel Manual (FPM) (reference (c)), for each award level, including guidelines for identifying citizen/organizational assistance and contributions of extremely significant or exceptional benefit that may warrant consideration for the DoD Medal for Distinguished Public Service, the Secretary of Defense Award for Outstanding Public Service, or the DoD Meritorious Award (see section E., below). Individuals recommended for the DoD Medal for Distinguished Public Service or the Secretary of Defense Award for Outstanding Public Service may not be given a DoD Component-level award for the same achievement, unless the higher level award is disapproved.

c. If necessary, establish other appropriate awards for private citizens or organizations, subject to the policies set forth in section C., above.

d. Establish a committee to review recommendations for (1) awards to be granted by the head of the DoD Component, and (2) nominations for DoD-level awards.

E. DOD AWARDS

1. Department of Defense Medal for Distinguished Public Service

a. This is the highest honorary award. It consists of a gold medal, a rosette, and a citation signed by the Secretary of Defense.

b. To be eligible, the nominee shall be an individual who:

(1) Does not derive his or her principal livelihood from U.S. Government employment. (NOTE: This criterion may be waived in exceptional cases for persons employed by Federal agencies other than the Department of Defense, provided the criteria in subparagraphs E.1.b.(2) and (3), below, are fully met).

(2) Has performed, at any time since enactment of the National Security Act of 1947 (reference (d)), exceptionally meritorious service of significance to the Department of Defense as a whole, or meritorious service of such exceptional significance to a DoD Component or function that recognition at the DoD Component level is considered insufficient.

(3) Has rendered service or assistance at considerable personal sacrifice and inconvenience, motivated by patriotism, good citizenship, and a sense of public responsibility.

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c. Heads of DoD Components or OSD officials at the Assistant Secretary level or above shall submit their nominations to the ASD(FM&P). Documentation of factual evidence that a highly significant service has been provided to the Department of Defense shall be forwarded with each nomination.

d. Only the Secretary or Deputy Secretary of Defense may approve the award of this medal, either to a nominee recommended by the ASD(FM&P) or to any other deserving individual the Secretary or Deputy Secretary may select.

e. The DoD Medal for Distinguished Public Service may be awarded posthumously and presented to the next of kin in the following order:

- (1) Surviving spouse.
- (2) Eldest child.
- (3) Parent(s).

f. This medal normally will be presented by the Secretary or Deputy Secretary of Defense or by the head of the DoD Component or OSD official who submitted the nomination.

g. The ASD(FM&P) shall maintain records of all nominations and their disposition. The Deputy Assistant Secretary of Defense (Administration) shall provide any administrative support required.

2. Secretary of Defense Award for Outstanding Public Service

a. This is the second highest honorary award. It is presented to private citizens whose contributions, assistance, or support to DoD functions are extensive enough to warrant recognition beyond the DoD Component level but less in scope or impact than is required for the award of the DoD Medal for Distinguished Public Service (see section E.1., above).

b. The Secretary of Defense Award for Outstanding Public Service consists of a silver medal (similar in design to the DoD Medal for Distinguished Public Service), a rosette, and a citation signed by the Secretary of Defense.

c. Nominations shall be made, approvals obtained, awards presented, and records maintained as prescribed in section E.1., above, for the DoD Medal for Distinguished Public Service.

3. Department of Defense Meritorious Award

a. This award consists of a certificate signed by the Secretary of Defense.

b. It may be granted to organizations (including corporations, associations, and other groups) for outstanding contributions to the national defense effort, involving the material furtherance of an established DoD program and requiring considerable effort in the planning and execution of the service rendered. This award may not be used to recognize the efforts of industrial organizations that meet or exceed DoD production quotas.

c. Heads of DoD Components, OSD officials at the level of Assistant Secretary or above, or the chair of any OSD board, committee, or council shall submit their award recommendations, with factual justification, to the Assistant Secretary of Defense (Public Affairs)(ASD(PA)), who shall:

(1) Coordinate them with appropriate officials and agencies within and outside the Department of Defense.

(2) Transmit them, with comments, to the Secretary of Defense.

d. Under no circumstances shall organizations be advised that they are under consideration for this award.

e. Upon approval, the certificates shall be transmitted to the recommending official for presentation.

f. The ASD(PA) shall maintain records on all recommendations and their disposition and ensure that presentations are given appropriate public affairs support.

4. Awards for Employer Support of the Guard and Reserve

The National Committee for Employer Support of the Guard and Reserve, established as a DoD operational committee by DoD Directive 1250.1 (reference (e)), maintains an awards program for the recognition of individuals, firms, and agencies that provide exemplary support. Information concerning these awards is available from the National Committee.

5. Awards to Entertainers and Sponsors of Entertainment Units

Procedures and criteria for these awards are set forth in DoD Instruction 1330.13 (reference (f)).

F. PRESIDENTIAL AWARDS

1. Presidential Medal of Freedom

a. The Presidential Medal of Freedom may be awarded to any person who has made an especially meritorious contribution to (1) the security or national interests of the United States, (2) world peace, or (3) cultural or other significant public or private endeavors.

b. The criteria for this award are set forth in Executive Order 9586, as amended (reference (g)).

2. Presidential Citizens Medal

a. This medal may be bestowed upon any citizen of the United States who has performed exemplary deeds of service for his or her country or fellow citizens.

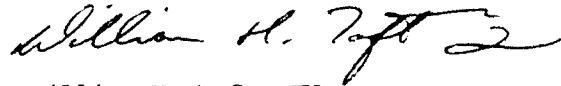
b. The criteria for this award are set forth in Executive Order 11494 (reference (h)).

3. Procedure. Heads of DoD Components or OSD officials at the level of Assistant Secretary or above shall submit nominations for either Presidential award, with full justification, to the ASD(FM&P). The ASD(FM&P) shall recommend to the Secretary whether the nomination should be referred to the President.

4. Records. The ASD(FM&P) shall maintain records on all recommendations and their disposition.

G. EFFECTIVE DATE AND IMPLEMENTATION

This Directive is effective immediately. Forward one copy of each implementing document to the Assistant Secretary of Defense (Force Management and Personnel) within 120 days.



William H. Taft, IV
Deputy Secretary of Defense

Enclosure - 1
References

REFERENCES, continued

- (e) DoD Directive 1250.1, "National Committee for Employer Support of the Guard and Reserve," March 29, 1984
- (f) DoD Instruction 1330.13, "Armed Forces Professional Entertainment Program Overseas," June 5, 1978
- (g) Executive Order 9586, "The Medal of Freedom," July 6, 1945, as amended by Executive Order 10336, April 3, 1952; Executive Order 11085, February 22, 1963; and Executive Order 11515, March 13, 1970
- (h) Executive Order 11494, "Establishing the Presidential Citizens Medal," November 13, 1969