



Department of Defense
DIRECTIVE

AD-A272 706



June 22, 1989
NUMBER 5030.19

USD(A)

SUBJECT: DoD Responsibilities on Federal Aviation and National Airspace
System Matters

- References:
- (a) DoD Directive 5030.19, "Department of Defense Responsibilities on Federal Aviation Matters," August 6, 1971 (hereby canceled)
 - (b) DoD Directive 5030.17, "Development and Use of Military Facilities Affecting the Use of Airspace," July 8, 1986 (hereby canceled)
 - (c) Public Law 85-726 "Federal Aviation Act of 1958," as amended, August 23, 1958
 - (d) President's Memorandum of August 11, 1960, "Establishment of the Interagency Group on International Aviation," December 9, 1960
 - (e) through (h), see enclosure 1

A. REISSUANCE AND PURPOSE

This Directive:

1. Reissues reference (a) and supersedes reference (b).
2. Updates DoD policy and procedures to address peacetime, wartime, and emergency relationships between the Department of Defense, the Department of Transportation (DoT), the Federal Aviation Administration (FAA), and other Government Agencies (including the Department of State (DoS) for Interagency Group on International Aviation (IGIA) matters) for the areas specified in subsection A.3., below.
3. Describes the DoD organizational structure for interface with the DoT, FAA, and other Agencies on air traffic control and airspace matters, National Airspace System (NAS) matters, joint system acquisitions, and oversight of airlift service provided to the Department of Defense by civil air carriers.
4. Provides DoD policy and planning guidance for comprehensive DoD airspace planning and the relationships between the Department of Defense, the DoT, the FAA, other Government Agencies, State governments, and civil communities.
5. Designates the Assistant Secretary of Defense (Command, Control, Communications, and Intelligence) (ASD(C³I)), acting for the Secretary of Defense, for oversight of DoD interface with the FAA, including all NAS matters.
6. Designates the Assistant Secretary of Defense (Production and Logistics) (ASD(P&L)) as the DoD coordinator for commercial airlift passenger safety, management, and quality control in FAA matters.

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7. Designates the Secretary of the Air Force, or designee, as the Chair of the DoD Policy Board on Federal Aviation (PBFA).

8. Establishes and assigns responsibilities for a DoD NAS Plan Requirements Office (NAS PRO), a NAS Defense Acquisition Panel (NASDAP), and a NAS Joint Program Coordinating Office (JPCO).

9. Assigns responsibility to the ASD(C³I) as the Chair of the C³I Systems Committee under the Defense Acquisition Board (DAB) for providing acquisition guidance related to all NAS acquisition activities.

10. Designates DoD liaison representatives to the DoT, the FAA, and the IGIA, and assigns their responsibilities.

11. Provides guidelines and procedures for the use of military facilities affecting the use of airspace.

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Joint Staff, the Inspector General of the Department of Defense (IG, DoD), and the Defense Agencies (hereafter referred to collectively as "DoD Components").

C. POLICY

It is DoD Policy that:

1. The ASD(C³I), consistent with P.L. 85-726, the President's Memorandum, E.O. 11161, and P.L. 99-661 (references (c), (d), (e), and (f)), acting for the Secretary of Defense and the Under Secretary of Defense for Acquisition (USD(A)), shall have oversight responsibilities for DoD aviation, NAS matters, air traffic control, airspace planning, military airports, and related development and acquisition activities. In accordance with DoD Directive 4500.53 (reference (g)), the ASD(P&L), acting for the USD(A), shall have oversight responsibilities for safety of commercial airlift provided to the Department of Defense. The Secretary of the Air Force, or designee, is designated the executive agent for DoD interface with DoT, FAA, and other agencies concerning air traffic control, airspace management, and NAS matters.

2. The PBFA shall develop and maintain a consolidated and coordinated DoD airspace plan that defines, validates, and supports future airspace requirements. That plan shall assist in aircraft basing and mission decisions. DoD policy includes development and implementation of a Military Airspace Management System (MAMS). Additionally, the plan shall be provided to the FAA, affected States, and any other government concerned to the maximum extent consistent with national defense security requirements. The PBFA shall also establish a mechanism for addressing medium-to-long-range user and interoperability requirements.

3. The following organizational actions are directed:

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a. The Secretary of the Air Force, or designee, shall organize and chair the PBFA. The Chair shall appoint an Executive Director, who shall serve as the primary liaison with the FAA on all Federal aviation matters involving more than one Military Service. The PBFA membership shall consist of representatives from ASD(C³I), ASD(P&L), the Joint Staff (as required), and the Military Services.

b. A Policy Board Working Group (PBWG), chaired by the Executive Director, shall be established to support the PBFA and to initiate, staff, and coordinate Federal aviation and NAS matters.

c. The DoD NAS PRO is hereby established and shall be located at Headquarters, FAA. The NAS PRO shall provide day-to-day interface and coordination with the FAA on NAS plan matters, including requirements formulation, and shall function as the DoD NAS plan focal point for system interoperability and modernization. The NAS PRO shall report to the PBWG for guidance and direction. The NAS PRO shall consist of a Chief from the Air Force and representatives from the Army, Navy, and/or Marine Corps. The Air Force shall provide administrative support.

d. The ASD(C³I) shall establish the NASDAP to ensure proper joint DoD research, acquisition planning, program execution, and budget development for the fixed-based Air Traffic Control and Landing Systems (ATCALS). The NASDAP shall be composed of ATCALS Program Managers and the Director of the JPCO. The NASDAP shall be responsible for coordinating the development, integration, acquisition, and life-cycle support of the DoD fixed-based ATCALS required for DoD interoperability with the FAA's NAS plan. The NASDAP Chair shall be rotated among the Services on a periodic basis.

e. The JPCO is hereby established in the Washington, D.C., area to support the acquisition and fielding of the DoD fixed-based ATCALS. The JPCO shall provide the on-going, daily support for the NASDAP. The JPCO shall consist of a Director, a representative, and a financial manager from the Air Force, and representatives from the Army, Navy, and/or Marine Corps. Administrative support shall be provided by the Air Force.

4. Membership shall be maintained in the IGIA to ensure consistent and logical representation of DoD international aviation needs in this interagency forum.

5. The Department of Defense, in procuring commercial passenger air transportation services for the directed movement of its personnel, is responsible for, and obligated to ensure, the safe travel of those personnel on commercial passenger airlift carriers selected for such movement. Consistent with P.L. 85-726, P.L. 99-661, and DoD Directive 4500.53 (references (c), (f), and (g)), the Department of Defense shall exchange with the FAA, on a regular basis, data applicable to air carriers providing airlift services to the Department of Defense covering certification, decertification, overall performance, financial status, accident rates, quality of service provided, inspection reports, significant enforcement actions, corrective actions, and any action affecting a carrier's certification. The Department of Defense shall share with the FAA pertinent findings and determinations affecting air passenger

safety, to include results of the Military Airlift Command (MAC) air carrier capability surveys. Additionally, the exchange of liaison personnel between FAA and MAC will enhance the ability of each organization to exchange safety-related data and facilitate cooperative efforts.

6. In compliance with Section 308(b) of the "Federal Aviation Act of 1958" (P.L. 85-726, reference (c)), no military airport, landing area, or missile or rocket site shall be acquired, established, or constructed, or any runway layout substantially changed, unless reasonable prior notice is given to the Secretary of Transportation. Similarly, no substantial changes shall be made to the way a DoD Component uses military airports (e.g., relocation of aircraft from one operating area to another), landing areas, or missile or rocket sites, unless reasonable prior notice is given to the Secretary of Transportation.

D. RESPONSIBILITIES

1. The Assistant Secretary of Defense (Command, Control, Communications, and Intelligence) (ASD(C³I)) has overall responsibility for implementing this Directive and shall:

a. Provide oversight in DoD Federal aviation policy with the DoT, and FAA, DoD Federal aviation, airspace planning, NAS matters, air traffic control, military airports, and related development and acquisition activities.

b. Issue Instructions and other guidance necessary to implement this Directive.

c. Designate the alternate Chair of the PBFA and the alternate DoD representative to the IGIA.

d. Provide a representative to the PBFA and its working groups.

e. Establish and maintain oversight of an OSD-controlled program element (PE) for the planning, development, and acquisition of the NAS fixed-based ATCALS.

2. The Assistant Secretary of Defense (Production and Logistics) (ASD (P&L)) shall:

a. Provide oversight for the policy, planning, coordination, and cooperative actions regarding commercial airlift passenger safety.

b. Arrange for exchange of liaison officers with the FAA and provide representatives to international groups, as required, to ensure that DoD passenger airlift safety interests are considered.

c. Appoint a member to the PBFA and its working group.

3. The Secretary of the Air Force shall:

a. Be the executive agent for the DoD interface with DoT, FAA, and other agencies on air traffic control, airspace management, and NAS matters.

- b. Serve as or appoint a Chair for the PBFA who shall:
 - (1) Establish the PBFA Working Group and subgroups, as necessary.
 - (2) Provide an Executive Director and staff.
 - (3) Function as the senior DoD advisor on civil aviation matters.
- c. Serve as or appoint the DoD representative to the IGIA.
- 4. The Military Services shall:
 - a. Coordinate Service-unique air traffic control and/or airspace management issues directly with FAA offices of primary concern.
 - b. Identify and provide membership in the PBFA, the PBWG, the NASDAP, and subgroups, as required.
 - c. Identify and provide manpower for the DoD NAS PRO and the JPCO.
 - d. Develop the DoD airspace plan discussed in subsection C.2., above.
 - e. Develop, deploy, and sustain the MAMS to ensure availability of airspace when needed and timely release of airspace when not needed.
- 5. The Joint Staff shall appoint members to the PBFA, the PBWG, and subgroups, as required.
- 6. The DoD Components, regarding the development and use of military facilities affecting the use of airspace, shall:
 - a. Keep the FAA (or the applicable regional headquarters involved) informed about the nature and progress of facilities projects planned, programmed, or budgeted that fall within the scope of P.L. 85-726 (reference (c)). The information shall be provided in the planning stage to the FAA headquarters, and shall be in sufficient detail to indicate the possible impact of each project on the use of airspace.
 - b. The Military Services are encouraged to freely consult at the field level with appropriate offices of the FAA during the development of projects falling within the scope of reference (c).
 - c. The FAA shall be provided pertinent information whenever substantial changes are planned in the use of military airports, landing areas, missile or rocket sites, or other facilities that may affect airspace controlled or are regulated by the FAA.
- 7. The General Counsel of the Department of Defense (GC, DoD) shall designate legal advisors to provide staff support for the PBFA, the PBWG, the C³I Systems Committee, the NASDAP, and the subgroup on IGIA matters.

8. The Deputy Under Secretary of Defense (Test and Evaluation) (DUSD (T&E)) shall provide a representative to the PBFA Working Group.

E. INFORMATION REQUIREMENTS

In accordance with section D., subparagraph 5.b.(2) of DoD 7750.5-M (reference (h)), operating documents used to exchange information between Federal Agencies are exempt from interagency control.

F. EFFECTIVE DATE AND IMPLEMENTATION

This Directive is effective immediately. Forward one copy of implementing documents to the Assistant Secretary of Defense (Command, Control, Communications, and Intelligence) and the Assistant Secretary of Defense (Production and Logistics) within 120 days.



Donald J. Atwood
Deputy Secretary of Defense

Enclosure

1. References

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REFERENCES, continued

- (e) Executive Order 11161, "Relating to Certain Relationships between the Department of Defense and the Federal Aviation Administration," July 7, 1964, as amended by Executive Order 11382, subject as above, March 28, 1967
- (f) Public Law 99-661, "National Defense Authorization Act of 1987," November 14, 1986
- (g) DoD Directive 4500.53, "Commercial Passenger Airlift Management and Quality Control," May 15, 1987
- (h) DoD 7750.5-M, "DoD Procedures for Management of Information Requirements," November 1986, authorized by DoD Directive 7750.5, August 7, 1986

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