



Department of Defense
DIRECTIVE

DODD-7200.1

Suspended as AD-A 272 433 May 4, 1995
NUMBER 7200.1

USD(C)

SUBJECT: Administrative Control of Appropriations

- References:
- (a) DoD Directive 7200.1, subject as above, May 7, 1984 (hereby canceled)
 - (b) Section 1514 of title 31, United States Code
 - (c) Section 1342 and Subsections 1341(a) and 1517(a) of title 31, United States Code
 - (d) DoD 7000.14-R, "Department of Defense Financial Management Regulation," authorized by DoD Instruction 7000.14, November 25, 1992
 - (e) Public Law 95-452, Inspector General Act of 1978, as amended

A. REISSUANCE AND PURPOSE

This Directive reissues reference (a) to update policy and assign responsibilities

B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Inspector General of the Department of Defense, the Uniformed Services University of the Health Sciences (USUHS), the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").

C. DEFINITIONS

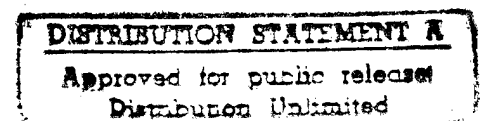
1. Financial Management Systems. The DoD Financial Management System consists of a triad architecture. The three segments of the triad are as follows: Planning, Programming, and Budgeting System; Army, Navy, Air Force, and Defense Agency accounting systems; and all other systems that provide financial information to management.

2. Major Command. A designated command in the Military Departments that serves as the headquarters for subordinate commands or installations that have the same or related missions.

3. Antideficiency Act Violation. An Antideficiency Act violation occurs when obligations in excess of amounts available are authorized or created; funds in excess of amounts available are disbursed; obligations or disbursements that exceed statutory or regulatory limitations on amounts of an appropriation that may be used for a particular purpose are made, obligations are authorized or created in advance of funds being available, or voluntary services are accepted, or personal services are employed, in excess of that authorized by law

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b. Establish minimum training requirements, and other qualifications, for personnel that may be appointed to conduct an investigation(s) of potential or actual violations under reference (c).

c. Ensure that training is available for individuals that may be appointed to investigate potential violations under reference (c).

d. Review, or make, the appointment of an individual, or designate an organization, to conduct an investigation(s) of a potential or actual violation under reference (c) or provide for an investigation under his or her supervision, under such circumstances as determined by the USD(C) to warrant such involvement.

e. Establish guidelines about the timeframes for the completion of an investigation of a potential or actual violation under reference (c), as well as for the submission of required reports.

f. Require periodic reports on the status of investigations in process for potential and actual violations under reference (c) and prescribe the frequency, content, format, and other requirements for the submission of such reports.

g. Prescribe the content, format, and other requirements for the submission of final reports on violations under reference (c).

h. Review and approve all reports of violations prepared by the DoD Components before the submission of the report to the Secretary of Defense, or designee, as well as to the President; the Director, Office of Management and Budget; and to the Congress.

i. Prescribe such additional policies and procedures for conducting investigations of, and administering, processing, and reporting on, potential and actual violations of reference (c).

j. Review and, when warranted, take follow-up action on annual evaluations performed by the DoD Components regarding the overall administration and processing of violations in their Component as well as internal controls over their appropriations and funds.

k. Implement the policies in section D., above, and the procedures in Volume 14, DoD 7000.14-R (reference (d)).

2. The Heads of the DoD Components shall:

a. Investigate all apparent violations under reference (c), as well as any other potential violation for which an investigation is requested by the USD(C), or designee to determine whether a violation exists. If so, (1) determine responsibility and culpability, (2) identify the cause(s), (3) take corrective action, (4) ensure appropriate disciplinary action is taken against those persons found to be responsible, and (5) report such violations.

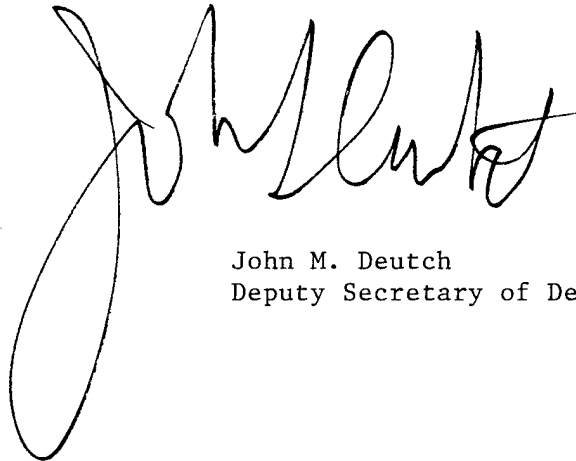
h. Ensure that an annual evaluation is made of the overall administration and processing of violations in their Component, as well as an evaluation of the Component's internal control of appropriations and funds. Provide a copy of the results of that evaluation to the USD (C).

i. Ensure compliance with this Directive and implementing policies and procedures in Volume 14 of the DoD Financial Management Regulation (reference (d)).

3. The Inspector General (IG), DoD, under the authority provided in the Inspector General Act of 1978 (reference (e)), may investigate and report on, any apparent or actual violations under reference (c).

F. EFFECTIVE DATE

This Directive is effective immediately.



John M. Deutch
Deputy Secretary of Defense

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