



NRL/MR/6755--98-8319

Space Chamber Simulation of Altitude Variation on Plasma Wave Signatures

WILLIAM E. AMATUCCI
DAVID WALKER
GURU GANGULI

*Charged Particle Physics Branch
Plasma Physics Division*

December 2, 1998

19990127 019

Approved for public release; distribution unlimited.

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (<i>Leave Blank</i>)	2. REPORT DATE December 2, 1998	3. REPORT TYPE AND DATES COVERED Interim	
4. TITLE AND SUBTITLE Space Chamber Simulation of Altitude Variation on Plasma Wave Signatures			5. FUNDING NUMBERS
6. AUTHOR(S) William E. Amatucci, David Walker, and Guru Ganguli			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Research Laboratory Washington, DC 20375-5320			8. PERFORMING ORGANIZATION REPORT NUMBER NRL/PL/6755-98-8319
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Office of Naval Research (ONR) 800 N. Quincy Street Arlington, VA 22217-5000			10. SPONSORING/MONITORING AGENCY REPORT NUMBER
11. SUPPLEMENTARY NOTES			
12a. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited.			12b. DISTRIBUTION CODE
13. ABSTRACT (<i>Maximum 200 words</i>) The effects of increasing ion-neutral collisions on velocity-shear-driven ion-cyclotron waves have been studied in simulated ionospheric conditions in the Naval Research Laboratory's Space Physics Simulation Chamber. The experiments show that shear-driven ion-cyclotron waves can exist for ion-neutral collision frequencies of the order of the ion gyrofrequency. For higher collision frequencies where the ions become unmagnetized, a transition to a higher frequency mode is observed.			
14. SUBJECT TERMS			15. NUMBER OF PAGES 15
			16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED	20. LIMITATION OF ABSTRACT UL

CONTENTS

1.	Introduction	1
2.	Experimental Observations	2
3.	Conclusions	6
	Acknowledgements	7
	References	8

SPACE CHAMBER SIMULATION OF ALTITUDE VARIATION ON PLASMA WAVE SIGNATURES

1. Introduction

In the ionosphere, there are indications that structured transverse (to \mathbf{B}) plasma flows can play an important role in the generation of broadband plasma turbulence [e.g., *Kelley and Carlson*, 1974; *Basu et al.*, 1988; *Earle et al.*, 1989]. Recent observations by the AMICIST sounding rocket reinforce this interpretation and also show a correlation with transverse ion energization [*Bonnell*, 1997]. Structured plasma flows can be found in the ionospheric E region as well [*Fejer and Providakes*, 1987]. At these low altitudes, where collisions between ions and neutral atoms become increasingly important, radar backscatter experiments have identified meter-scale irregularities resulting from plasma instabilities [*Fejer and Kelley*, 1980; *Schlegel and Niesen*, 1985]. Often, the waves responsible for these echoes occur in highly localized regions during periods of intense auroral activity [i.e., *Fejer et al.*, 1986] and some types of echoes have been associated with large shears in the transverse plasma flow velocity [*Fejer et al.*, 1984]. However, the presence of inhomogeneous transverse flows can complicate the analysis of the radar backscatter spectra [*Swartz et al.*, 1988; *Knudsen et al.*, 1993; *Forme et al.*, 1998], which typically assumes spatial homogeneity across the scattering volume. Consequently, changes in the spectral signatures of waves associated with structured plasma flows in a collisional is important for understanding and interpreting data from the low-altitude ionosphere.

The stability of plasmas which include structured transverse flows has been investigated theoretically by *Ganguli et al.* [1988a, 1994] and *Gavrishchaka et al.* [1996]. These studies were verified experimentally by *Amatucci et al.*, [1994,1996,1998] and *Koepke et al.*, [1994,1995,1998a], under conditions where collisions between neutral atoms and plasma particles were inconsequential. When ions and electrons are both magnetized within a localized transverse flow layer, waves in the ion-cyclotron frequency range can result from

the Inhomogeneous Energy Density Driven (IEDD) instability [Ganguli *et al.*, 1988a]. The IEDD plasma waves are driven by inhomogeneities in wave energy density created by the relative motion between layers of plasma. The laboratory experiments have demonstrated both the resonant [Amatucci *et al.*, 1994; Koepke *et al.*, 1994] and non-resonant [Amatucci *et al.*, 1996, 1998] manifestations of the IEDD instability. In the resonant regime, low levels of shear can modify the dispersive properties of a homogeneous plasma by modifying its resonance properties such as Landau damping or growth [Ganguli *et al.*, 1989; Gavrishchaka *et al.*, 1996]. In the non-resonant regime, a sufficiently strong shear can destroy the resonance conditions and can induce oscillations reactively by coupling neighboring regions with wave energy density of opposite sign [Ganguli *et al.*, 1988a]. This mechanism is likely to be important to the dynamics of ionospheric plasmas since recent in situ ionospheric measurements [e.g., Bonnell, 1997; Louarn *et al.*, 1994; Marklund *et al.*, 1994] have demonstrated that near-Earth space plasmas are often highly structured, with scale lengths as small as a few ion gyroradii. In particular, understanding the plasma response to sheared flows in collisional plasmas may be an important element in the identification of ion energization mechanisms at work in the low-altitude ionosphere.

In this paper, we present initial results from a laboratory study of the effects of ion-neutral collisions on non-resonant, shear-driven ion-cyclotron waves. The purpose of this investigation is to examine changes in the characteristic signatures of these waves with increasing neutral collisions and to determine the range of ionospheric altitudes in which they may be operative.

2. Experimental Observations

The experiments are conducted in the Naval Research Laboratory's Space Physics Simulation Chamber (SPSC), a 1.8-m-diameter by 5-m-long cylindrical vacuum vessel outfitted with a large-diameter microwave plasma source [Walker *et al.*, 1994; Bowles *et al.*, 1996]. The parameters of the steady-state argon plasma are: plasma density $n \approx 10^8 \text{ cm}^{-3}$, ion and electron temperatures $T_i \approx 0.05 \text{ eV}$ and $T_e \approx 0.5 \text{ eV}$, uniform axial magnetic

field $B = 40$ G, ion gyrofrequency $f_{ci} = 1.5$ kHz ($\Omega_i = 9425$ rad/s), ion thermal speed $v_{ti} = 3.5 \times 10^4$ cm/s, ion gyroradius $\rho_i \equiv v_{ti}/\Omega_i = 3.7$ cm, electron gyrofrequency $f_{ce} = 110$ MHz ($\Omega_e = 7 \times 10^8$ rad/s), Debye length $\lambda_D \approx 0.2$ cm, plasma column diameter and effective length are 50 cm and 2 m, respectively. Wave and bulk plasma parameters are measured with heatable Langmuir probes [Amatucci *et al.*, 1993] and emissive probes.

At the base operational pressure of 3×10^{-5} torr for the experiments, the neutral density (primarily argon atoms) $n_n \approx 10^{12}$ cm $^{-3}$. This yields an ion-neutral collision frequency $\nu_{in} \approx 400$ s $^{-1}$ and an electron-neutral collision frequency $\nu_{en} \approx 4 \times 10^4$ s $^{-1}$. Thus, the mean-free path for neutral collisions is comparable to the plasma column length, therefore collisional effects are minimal. The collision frequency between the argon ions and neutral argon atoms is calculated using a collision cross section $\sigma_{in} \approx 10^{-14}$ cm 2 [Phelps, 1991]. The ratios of the ion-neutral and electron-neutral collision frequencies to their respective gyrofrequencies are $\nu_{in}/\Omega_i \approx 0.04$ and $\nu_{en}/\Omega_e \approx 6 \times 10^{-5}$. Since these ratios are much less than unity, both plasma species remain well magnetized. The neutral gas pressure in the SPSC can be controlled continuously from the base pressure of $\sim 3 \times 10^{-5}$ torr to $\sim 3 \times 10^{-2}$ torr.

Figure 1 depicts the experimental setup. The IEDD instability was excited by inducing sheared azimuthal flow with a controllable, radially localized, dc electric field located within the cylindrical SPSC plasma column. This is accomplished with a grid structure made from concentric, coplanar, conducting ring electrodes [Amatucci *et al.*, 1996].

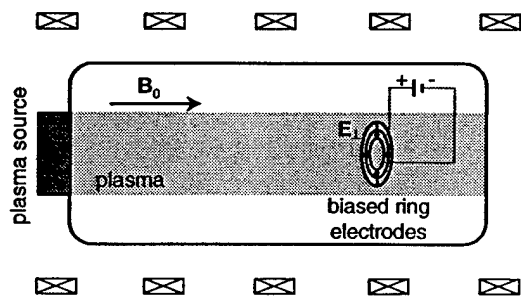


Figure 1. Schematic diagram depicting experimental setup and biasing circuit.

The ring electrodes are divided into inner and outer groups by electrically connecting each ring within a group. Application of different potentials to the inner and outer groups modifies the radial structure of the plasma potential, creating a localized, dc electric field. Particles entering the plasma column from the microwave source experience an adiabatic increase in the electric field to its peak value, leading to an azimuthal drift within a cylindrical shell (approximately two ion gyroradii wide).

Figure 2 shows the amplitude of the shear-driven ion-cyclotron waves as a function of the increasing ion-neutral collision frequency (normalized by the ion gyrofrequency). At the SPSC base operating pressure, the collisionless plasma conditions would correspond to ionospheric altitudes above ~ 200 km. Mode amplitude is determined from ion saturation current fluctuations detected with a Langmuir probe. For ion-neutral collision frequencies $\nu_{in}/\Omega_i \lesssim 1.5$ the amplitude of the shear-driven ion-cyclotron waves is not strongly affected.

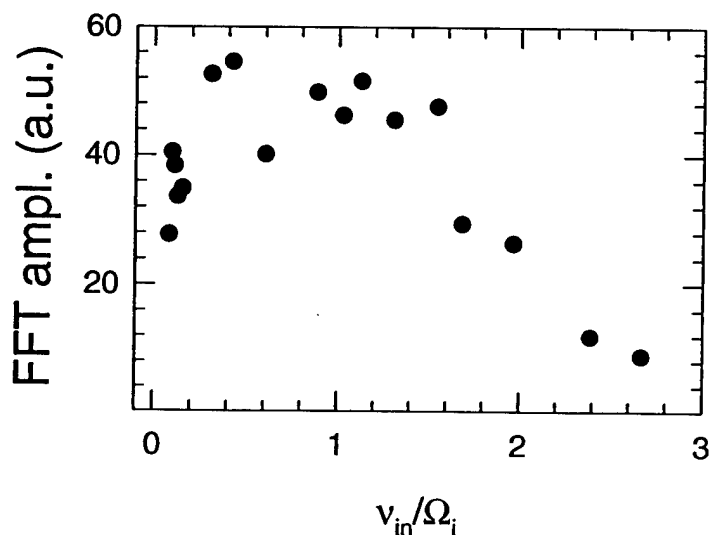


Figure 2. Collisional damping of the reactive IEDD instability.

However, when the neutral pressure is increased beyond this point, the wave amplitude is observed to steadily decrease. For collision frequencies exceeding $\nu_{in}/\Omega_i \approx 3$ (chamber

pressure $\sim 2 \times 10^{-3}$ torr), the shear-driven ion-cyclotron waves are completely quenched. Collision frequencies of $\nu_{in}/\Omega_i \approx 2.7$ would correspond to ionospheric E-region altitudes. The suppression of the waves occurs because of the increased collisional damping.

By continuing to increase the ion-neutral collision frequency beyond the point where the shear-driven ion-cyclotron waves suppression begins, the onset of higher frequency oscillations is observed. Figure 3 shows a compilation of spectra observed as ν_{in}/Ω_i was increased. The fluctuations are first observed for $\nu_{in}/\Omega_i \approx 1.5$ at a frequency of ~ 16.5 kHz ($\omega/\Omega_{ci} \sim 11$). As the ion-neutral collision frequency is increased, the mode is observed to upshift in frequency, to a peak of ~ 21 kHz ($\omega/\Omega_{ci} \sim 14$), before being suppressed.

In this collisionality regime, the ions effectively become unmagnetized since ν_{in} becomes significantly larger than Ω_i . However, because of their much higher gyrofrequency, the electrons remain well magnetized and continue to execute $\mathbf{E} \times \mathbf{B}$ motion. This leads to the development of a cross-field current, leaving the plasma susceptible to streaming instabilities. When the magnitude of the transverse flow exceeds the ion acoustic speed, plasma waves can arise due to the Farley-Buneman instability. The linear theory of this modified two-stream instability was worked out independently by *Farley* [1963] and *Buneman* [1963], under the assumption that a uniform cross-field flow was established by a large scale transverse electric field. The predicted mode frequency for the Farley-Buneman instability for the experimental conditions is $f_{FB} \sim 9\Omega_i$. While this is in rough agreement with the experimental observations, the predicted Farley-Buneman mode frequency remains approximately constant over the range of experimental collision frequencies. However, as seen in Figure 3, the observed mode upshifts in frequency as ν_{in} is increased.

An important element of the experimental setup is the nonuniformity in the cross-field electron flow due to the localization of the transverse electric field [*Amatucci et al.*, 1996,1998]. In the case of sheared transverse flow in which electrons are magnetized, but the ions are not, modes with frequency in the lower-hybrid frequency range such as the electron-ion hybrid instability are possible as well [*Ganguli et al.*, 1988b; *Romero et al.*, 1992]. For the

experimental conditions, the lower hybrid frequency $f_{\text{LH}} \approx 300$ kHz. The effects of ν_{in} on the EIH modes can potentially affect the frequency spectrum. This topic is now under investigation.

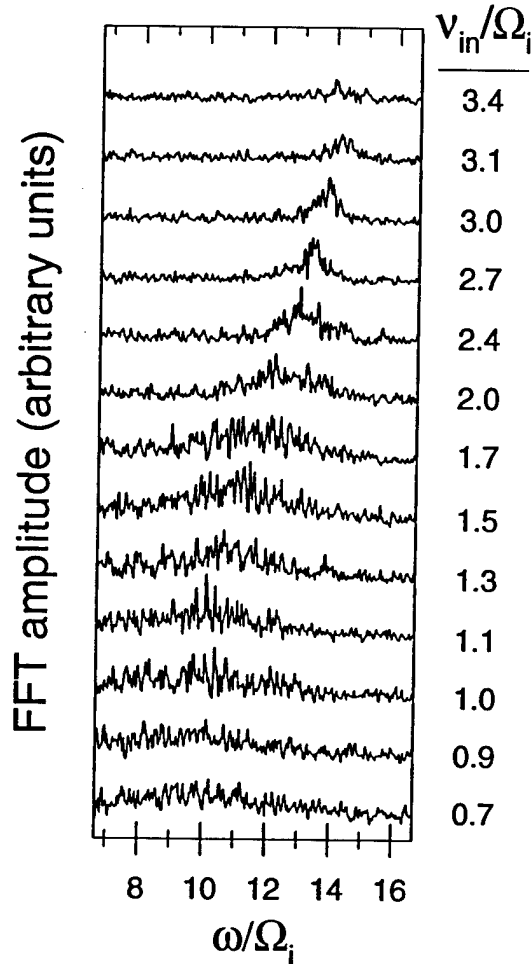


Figure 3. Composite plot of spectra as a function of increasing ν_{in} .

3. Conclusions

The results of these experiments demonstrate that velocity-shear-driven ion-cyclotron waves can be quite robust in the presence of ion-neutral collisions. In this work, waves were detected for a maximum ion-neutral collision frequency $\nu_{\text{in}} \approx 2.7\Omega_i$. This provides

some indication that shear-driven processes may play important roles in the ionosphere, even in collisional plasmas such as the upper *E* region. Additional experiments performed in a different device to investigate the effects of collisions on the threshold of the resonant response of the IEDD mechanism have found a maximum collision frequency $\nu_{in} \approx 2.2\Omega_i$ [Koepke *et al.*, 1998b].

At E-region altitudes, where neutral densities are on the order of $10^{12} - 10^{13}\text{cm}^{-3}$, ions become unmagnetized because their motion is dominated by collisions with neutral particles. Radar backscatter experiments and sounding rocket experiments have shown the existence of meter-scale irregularities existing within a narrow altitude range (95 - 105 km) in the E-region. Radar backscatter observations labeled Type 1 irregularities have been explained by the Farley-Buneman instability. However, strong inhomogeneities can exist within this region as well. For example, gradients in plasma density are often found in these regions. Depending upon their direction, density inhomogeneities can lead to meter-scale waves via the gradient drift instability [Sahr and Fejer, 1996]. These waves have been associated with Type 2 radar backscatter spectra [e.g., Rogister and D'Angelo, 1970]. Some types of observed irregularities (Type 3 and Type 4 radar echoes) have been associated with inhomogeneities in plasma flow. The waves responsible for these echoes often occur in highly localized regions during periods of intense auroral activity [Fejer *et al.*, 1986]. Type 3 waves have been clearly associated with large shears in the transverse plasma flow velocity, but in a collisional medium [Fejer *et al.*, 1984]. The correlation of sheared flow with Type 3 echoes was reaffirmed by interferometric radar observations of a discrete auroral arc, where bursts of Type 3 spectra were found within localized scattering regions along the poleward boundary of the arc [Providakes *et al.*, 1985]. The higher frequency mode observed for large values of ion-neutral collision frequency in this experiment may be relevant to these types of ionospheric irregularities.

Acknowledgments. This work was supported by the Office of Naval Research.

References

- Amatucci, W. E., M. E. Koepke, T. E. Sheridan, M. J. Alport, and J. J. Carroll III, Self-cleaning Langmuir probe, *Rev. Sci. Instrum.*, *64*, 1253-1256, 1993.
- Amatucci, W. E., M. E. Koepke, J. J. Carroll III, and T. E. Sheridan, Observation of ion-cyclotron turbulence at small values of magnetic-field-aligned current, *Geophys. Res. Lett.*, *21*, 1595-1598, 1994.
- Amatucci, W. E., D. N. Walker, G. Ganguli, J. A. Antoniadis, D. Duncan, J. H. Bowles, V. Gavrishchaka, and M. E. Koepke, Plasma response to strongly sheared flow, *Phys. Rev. Lett.*, *77*, 1978, 1996.
- Amatucci, W. E., D. N. Walker, G. Ganguli, D. Duncan, J. A. Antoniadis, J. H. Bowles, V. Gavrishchaka, and M. E. Koepke, Velocity-shear-driven ion-cyclotron waves and associated transverse ion heating, *J. Geophys. Res.*, *103*, 11,711-11,724, 1998.
- Basu, S., S. Basu, E. Mackenzie, P. F. Fougere, W. R. Coley, N. C. Maynard, J. D. Winningham, M. Sugiura, W. B. Hanson, and W. R. Hoegy, Simultaneous density and electric field fluctuation spectra associated with velocity shears in the auroral oval, *J. Geophys. Res.*, *93*, 115-135, 1988.
- Bonnell, J., Identification of broadband ELF waves observed during transverse ion acceleration in the auroral ionosphere, Ph.D. dissertation, Cornell Univ., Ithaca, N.Y., 1997.
- Bowles, J. H., D. Duncan, D. N. Walker, W. E. Amatucci, and J. A. Antoniadis, A large volume microwave plasma source, *Rev. Sci. Instrum.*, *67*, 455, 1996.
- Buneman, O., Excitation of field aligned sound waves by electron streams, *Phys. Rev. Lett.*, *10*, 285, 1963.
- Earle, G. D., M. C. Kelley, and G. Ganguli, Large velocity shears and associated electrostatic waves and turbulence in the auroral F region, *J. Geophys. Res.*, *94*, 15,321-15,333, 1989.
- Farley, D. T., A plasma instability resulting in field-aligned irregularities in the ionosphere, *J. Geophys. Res.*, *68*, 6038, 1963.
- Fejer, B. G., and J. F. Providakes, High latitude E-region irregularities: New results, *Physics Scripta*, *T18*, 167-178, 1987.
- Fejer, B. G., J. Providakes, D. T. Farley, and W. E. Swartz, Auroral E region plasma waves and elevated

- electron temperatures *J. Geophys. Res.*, *91*, 13,583-13,592, 1986.
- Fejer, B. G., R. W. Reed, D. T. Farley, W. E. Swartz and M. C. Kelley, Ion cyclotron waves as a possible source of resonant auroral radar echoes *J. Geophys. Res.*, *89*, 187-194, 1984.
- Fejer, B. G., and M. C. Kelley, Ionospheric irregularities, *Rev. Geophys. Space Phys.*, *18*, 401-454, 1980.
- Forme, F., D. Fontaine, and M. A. L. Persson, Large perpendicular velocity fluctuations in the topside ionosphere, *J. Geophys. Res.*, *103*, 4001-4009, 1998.
- Ganguli, G., M. J. Keskinen, H. Romero, R. Heelis, T. Moore, and C. Pollock, Coupling of microprocesses and macroprocesses due to velocity shear: An application to the low-altitude ionosphere, *J. Geophys. Res.*, *99*, 8873-8889, 1994.
- Ganguli, G., Y. C. Lee, and P. J. Palmadesso, Kinetic theory for electrostatic waves due to transverse velocity shears, *Phys. Fluids*, *31*, 823-838, 1988a.
- Ganguli, G., Y. C. Lee, and P. J. Palmadesso, Electron-ion hybrid modes due to transverse velocity shear, *Phys. Fluids*, *31*, 2753-2756, 1988b.
- Ganguli, G., Y. C. Lee, P. J. Palmadesso, and S. L. Ossakow, Oscillations in a plasma with parallel currents and transverse velocity shears, in *Physics of Space Plasmas (1988)*, *SPI Conf. Proc. Reprint Ser.*, vol. 8, edited by T. Chang, J. B. Crew, and R. Jasperse, pp. 231-242, Sci. Publ., Cambridge, Mass., 1989.
- Gavrishchaka, V., M. E. Koepke, and G. Ganguli, Dispersive properties of a magnetized plasma with a field-aligned drift and inhomogeneous transverse flow, *Phys. Plasmas*, *3*, 3091-3106, 1996.
- Kelley, M. C., and C. W. Carlson, Observations of intense velocity shear and associated electrostatic waves near an auroral arc, *J. Geophys. Res.*, *82*, 2343-2348, 1977.
- Knudsen, D. J., G. Haerendel, S. Buchert, M. C. Kelley, A. Steen, and U. Brandström, Incoherent scatter radar spectrum distortions from intense auroral turbulence, *J. Geophys. Res.*, *98*, 9459-9471, 1993.
- Koepke, M. E., W. E. Amatucci, J. J. Carroll III, and T. E. Sheridan, Experimental verification of the inhomogeneous energy-density driven instability, *Phys. Rev. Lett.*, *72*, 3355-3358, 1994.
- Koepke, M. E., J. J. Carroll III, M. W. Zintl, C. A. Selcher, and V. Gavrishchaka, Simultaneous observation of multiple nonlocal eigenmodes of an inhomogeneity-driven plasma instability,

- Phys. Rev. Lett.*, *80*, 1441-1444, 1998a.
- Koepke, M. E., M. W. Zintl, and T. N. Good, An effect of neutral collisions on the excitation threshold of electrostatic ion-cyclotron waves, *Geophys. Res. Lett.*, *25*, 3095-3098, 1998b.
- Koepke, M. E., W. E. Amatucci, J. J. Carroll III, V. Gavrishchaka, and G. Ganguli, Velocity-shear-induced ion-cyclotron turbulence: Laboratory identification and space applications, *Phys. Plasmas*, *2*, 2523-2531, 1995.
- Louarn, P., J. E. Wahlund, T. Chust, H. de Feraudy, A. Roux, B. Holback, P. O. Donver, A. I. Eriksson, and G. Holmgren, Observation of kinetic Alfvén waves by the Freja spacecraft, *Geophys. Res. Lett.*, *21*, 1847-1850, 1994.
- Marklund, G., L. Blomberg, C. G. Faelthammer, and P. A. Lindqvist, On intense diverging electric fields associated with black aurora, *Geophys. Res. Lett.*, *21*, 1859-1862, 1994.
- Phelps, A. V., Cross sections and swarm coefficients for nitrogen ions and neutrals in N₂ and argon ions and neutrals in Ar for energies from 0.1 eV to 10 keV, *J. Phys. Chem. Ref. Data*, *20*, 557-573, 1991.
- Providakes, J., D. T. Farley, W. E. Swartz, and D. Riggin, Plasma irregularities associated with a morning discrete auroral arc: radar interferometer observations and theory *J. Geophys. Res.*, *90*, 7513-7523, 1985.
- Rogister, A., and N. D'Angelo, Type II irregularities in the equatorial electrojet, *J. Geophys. Res.*, *75*, 3879-3887, 1970.
- Romero, H., G. Ganguli, Y. C. Lee, and P. J. Palmadesso, Electron-ion hybrid instabilities driven by velocity shear in a magnetized plasma, *Phys. Fluids B*, *4*, 1708-1723, 1992.
- Sahr, J. D., and B. G. Fejer, Auroral electrojet plasma irregularity theory and experiment: a critical review of present understanding and future directions *J. Geophys. Res.*, *101*, 26,893-26,909, 1996.
- Schlegel, K., and E. Nielsen, Foreword: Irregularities in the high-latitude ionosphere, *Radio Sci.*, *20*, 675, 1985.
- Swartz, W. E., J. F. Providakes, M. C. Kelley, and J. F. Vickrey, The effect of strong velocity shears on incoherent scatter spectra: A new interpretation of unusual high latitude spectra, *Geophys. Res. Lett.*, *15*, 1341-1344, 1988.

Walker, D.N., D. Duncan, J.A. Stracka, J.H. Bowles, C. L. Siefring, M.M. Baumbach, and P. Rodriguez,

A tunable microwave source for space plasma simulation experiments, *Rev. Sci. Instrum.*, 65, 661-668, 1994.

W. E. Amatucci, Naval Research Laboratory, Code 6755, 4555 Overlook Avenue SW,
Washington, D.C. 20375. (e-mail: amatucci@ccf.nrl.navy.mil)

Received _____