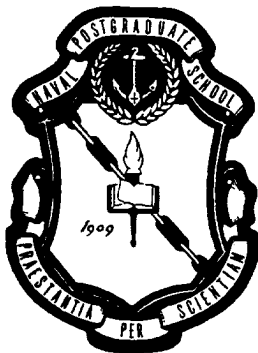


Naval Postgraduate School
Monterey, California 93943-5138



SUMMARY OF RESEARCH 1997

Department of Meteorology

**Carlyle H. Wash
Chair**

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Associate Chair for Research**

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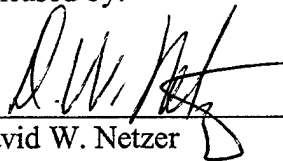
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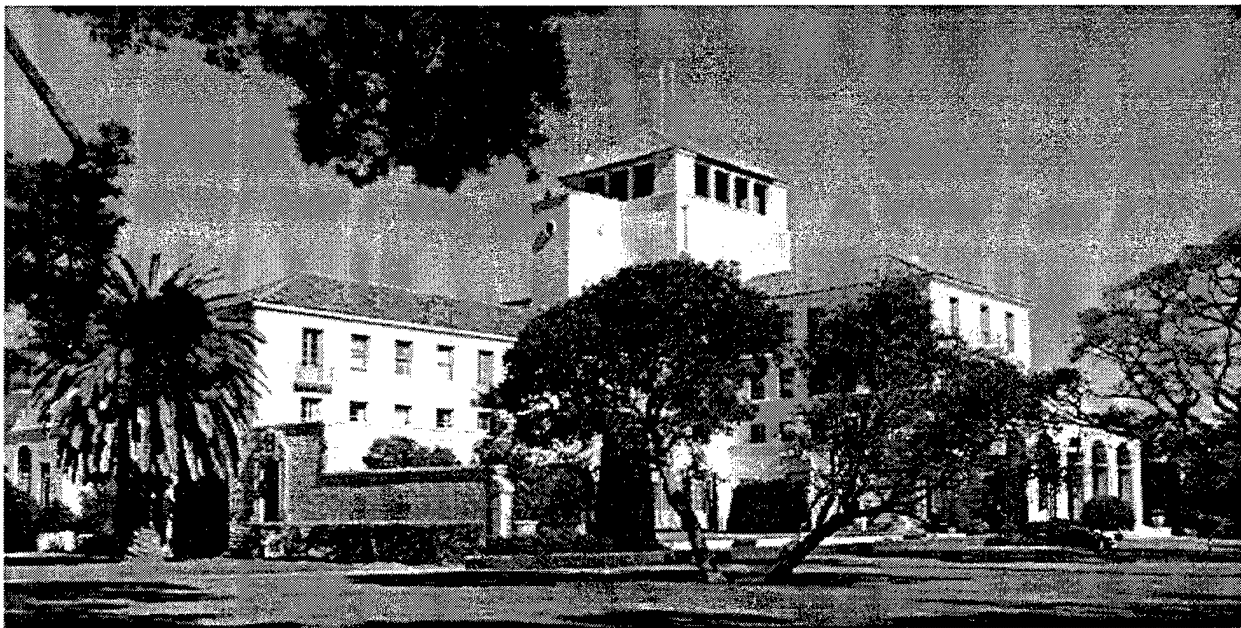
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THE NAVAL POSTGRADUATE SCHOOL MISSION

The mission of the Naval Postgraduate School is to increase the combat effectiveness of U.S. and Allied armed forces and enhance the security of the USA through advanced education and research programs focused on the technical, analytical, and managerial tools needed to confront defense-related challenges



CONTENTS

Preface	7
Introduction	9
Faculty Lisiting	11
Department Summary	13
Project Summaries	15
Analyses of Aircraft Measurements of the Stable Boundary Layers in the Arctic	44
Applications of the Systematic Approach to Tropical Cyclone Track Forecasting in the Western North Pacific and Extensions to Other Tropical Cyclone Regions	16
Atmospheric Forcing During the Anzone Winter Flux Experiment (ANZFLUX)	30
Atmospheric Forcing of Ocean Convection in the Labrador Sea	28
Boundary Layer Effects on Mesoscale Phenomenon	48
Case Studies of the Ability of the Navy's Mesoscale Data Assimilation System to Depict Mesoscale Phenomena	42
Characteristics of the Marine Boundary Layer During Aerosol Characterization Experiment (ACE-1)	45
Coastal Aerosol and Marine Atmospheric Boundry Layer (MABL) Property Investigations With Remote and In Situ Data Collection	19
Coastal Aerosol Characterization	25
Collaborative Research Projects in Direct Support of Fleet Numerical Meteorology and Oceanography Center (FNMOC) Operational Mission	47
Data Assimilation and Model Simulations in the California Current	30
Decadal Teleconnections in the North Pacific	34
Demonstration/Validation of Surface Combatant In Situ Metoc Sensors (SCIMS)	21
Demonstration of TDrop for Use in Joint Tri-Service Exercise	21
Development of an Expert System Based on the Systematic Approach to Tropical Cyclone Track Forecasting	15
Development of Cloud Forecast Products From Coupled Ocean-Atmosphere Mesoscale Prediction System (COMAPS)	48
EM/EO Assessment/Model Evaluation	23
Evaluation of Satellite-Derived Information as an Analysis Tool and to Improve Predictability Over Conventional Data-Sparse Regions	42
Impact of NSCAT Data on Tropical Regional Prediction	18
Impacts of the Extratropical Transition of Tropical Cyclones on Predictions of Midlatitude Circulation Systems by the Navy Operational Global Atmospheric Prediction System	32
Marine Advanced Technology Education Project	38
Maury Project Development and Implementation	37
Measuring, Parameterizing, and Modeling Atmospheric Surface Fluxes During Surface Heat Budget of the Arctic Ocean (SHEBA)	29
Mesoscale Coastally-Trapped Response to Synoptic-Scale Variability	40
Monsoon Disturbances Over the China Seas	17
Multi-Scale Air-Sea Interactions	33
Numerical Modeling of Turbulence-Aerosol-Cloud Interaction	47
Observations and Data Analysis of the Marine Boundary Layer and Cloud During the Aerosol Characterization Experiments (ACE)	46

Physical Aspects of Biological Variability in the Northeast Pacific Ocean	35
Precision Air Delivery (PAD) IPT Participation	22
Real-Time Environmental Information Network and Analysis System (REINAS)	39
Scientific Data Impact Study of NASA Scatterometer (NSCAT) Observations Near Tropical Cyclones	26
Synoptic-Based Analogue Tropical Cyclone Track Prediction Technique for Western North Pacific	26
Studies of the Effects of Ship Activity on Cloud Properties	24
Tropical Cyclone Motion Studies	27, 31, 43
Tropical and Global Processes	36
Tropical Monsoon-Wave Interactions	49
Publications and Presentations	51
Thesis Abstracts	59
Initial Distribution List	69

PREFACE

Research at the Naval Postgraduate School is carried out by faculty in the School's eleven academic departments, four interdisciplinary groups, and the School of Aviation Safety. This volume contains research summaries for the projects undertaken by faculty in the Department of Meteorology during 1997. Also included is an overview of the department, faculty listing, a compilation of publications/presentations, and abstracts from theses directed by the department faculty.

Questions about particular projects may be directed to the faculty Principal Investigator listed, the Department Chair, or the Department Associate Chair for Research. Questions may also be directed to the Office of the Associate Provost and Dean of Research. General questions about the NPS Research Program should be directed to the Office of the Associate Provost and Dean of Research at (831) 656-2098 (voice) or research@nps.navy.mil (e-mail). Additional information is also available at the RESEARCH AT NPS website, <http://web.nps.navy.mil~code09/>.

INTRODUCTION

The research program at the Naval Postgraduate School exists to support the graduate education of our students. It does so by providing militarily relevant thesis topics that address issues from the current needs of the Fleet and Joint Forces to the science and technology that is required to sustain the long-term superiority of the Navy/DoD. It keeps our faculty current on Navy/DoD issues, permitting them to maintain the content of the upper division courses at the cutting edge of their disciplines. At the same time, the students and faculty together provide a very unique capability within the DoD for addressing warfighting problems. This capability is especially important at the present time when technology in general, and information operations in particular, are changing rapidly. Our officers must be able to think innovatively and have the knowledge and skills that will let them apply technologies that are being rapidly developed in both the commercial and military sectors. Their unique knowledge of the operational Navy, when combined with a challenging thesis project that requires them to apply their focussed graduate education, is one of the most effective methods for both solving Fleet problems and instilling the life-long capability for applying basic principles to the creative solution of complex problems.

The research program at NPS consists of both reimbursable (sponsored) and institutionally funded research. The research varies from very fundamental to very applied, from unclassified to all levels of classification.

- **Reimbursable (Sponsored) Program:** This program includes those projects externally funded on the basis of proposals submitted to outside sponsors by the School's faculty. These funds allow the faculty to interact closely with RDT&E program managers and high-level policymakers throughout the Navy, DoD, and other government agencies as well as with the private sector in defense-related technologies. The sponsored program utilizes Cooperative Research and Development Agreements (CRADAs) with private industry, participates in consortia with other government laboratories and universities, provides off-campus courses either on-site at the recipient command or by VTC, and provides short courses for technology updates.
- **NPS Institutionally Funded Research Program (NIFR):** The institutionally funded research program has several purposes: (1) to provide the initial support required for new faculty to establish a Navy/DoD relevant research area, (2) to provide support for major new initiatives that address near-term Fleet and OPNAV needs, (3) to enhance productive research that is reimbursable sponsored, (4) to contribute to the recapitalization of major scientific equipment, and (5) to cost-share the support of a strong post-doctoral program.
- **Institute for Joint Warfare Analysis (IJWA) Program:** The IJWA Program provides funding to stimulate innovative research ideas with a strong emphasis on joint, interdisciplinary areas. This funding ensures that joint relevance is a consideration of research faculty.

In 1997, the overall level of research effort at NPS was 151 faculty workyears and exceeded \$32 million. The Department of Meteorology's effort was 14.65 faculty workyears and exceeded \$3 million. The sponsored research program has grown steadily to provide the faculty and staff support that is required to sustain a strong and viable graduate school in times of reduced budgets. In FY97, over 87% percent of the NPS research program was externally supported. In the Department of Meteorology 96% was externally supported.

The department's research sponsorship in FY97 is provided in Figure 1.

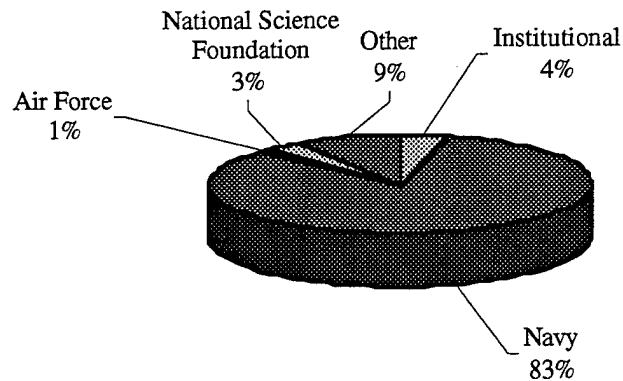


Figure 1. FY97 Sponsor Profile of the Department of Meteorology

These are both challenging and exciting times at NPS and the research program exists to help ensure that we remain unique in our ability to provide graduate education for the warfighter.

DAVID W. NETZER
Associate Provost and Dean of Research

January 1999

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DEPARTMENT SUMMARY

The Department of Meteorology has a broad but focused research program to address scientific questions important to tactical support of both operational commanders and individual forces at sea with regard to high impact weather. For high impact weather, the Navy is turning increasingly to Rapid Environmental Assessment (REA) for tactical support, recently described by our curriculum sponsor, Oceanographer of the Navy (OP-096). Of essence in REA is the detailed and timely Meteorology and Oceanography (METOC) characterization of a limited objective area. REA will call for sequential application of old and new techniques as operation start time (H-hour) nears. This will require enlarging the emphasis from large-scale, predictive, numerical models to include "nowcasting" quick-reaction survey, direct exploitation of remote and in situ observations, innovative processing techniques for satellite data and through-the-sensor environmental measurements.

The Department's currently supported and planned research is designed to meet evolving Navy requirements and also to meet two basic motivations for METOC support: to ensure the safety of the Fleet and the shore establishments in the face of adverse weather and ocean conditions and to provide warfighters a decisive tactical edge by providing environmental parameters for weapons system performance predictions. The following are areas of present and future research support:

- a) Development of a unified view of the mesoscale, synoptic-scale, and large-scale environment components of atmospheric circulations that impact Naval operations, including tropical and extratropical cyclones, coastal circulations, and upper-tropospheric circulations;
- b) Development and motion of extra-tropical and tropical cyclones;
- c) Analysis and modeling diurnal, synoptic, intraseasonal, and interannual variations of tropical and monsoon weather systems;
- d) Formulation of methods focusing remote sensing to estimate overwater coastal region radar/radio refraction conditions and aerosol/visibility conditions;
- e) Formulation/evaluation of physical models for near-surface turbulence and gradients affecting near-horizon optical propagation;
- f) Selection evaluation of commercial equipment to use in integrated shipboard systems that would describe electromagnetic/electro-optical (EM/EO) conditions;
- g) Innovative observation and data analysis techniques and modeling method for boundary layer studies that lead to improved representation of boundary layer turbulence and clouds in global and regional models;
- h) Development of frontal models for application over the oceans and the land, including topography;
- i) Development of real-time mesoscale observing, data management, and mesoscale data assimilation techniques applicable to the coastal environment;
- h) Development of local modeling and mesoscale forecasting techniques to improve coastal atmospheric prediction; and
- i) Description of air-sea-ice interactions in polar regions through in situ measurements.

RESEARCH FACILITIES

The Department of Meteorology has facilities for both measurement (in situ and remote sensing) of atmospheric phenomena and for numerical modeling/analyses development. In situ measurement devices are in the Marine Atmospheric Measurements Laboratory (MAML) and within a suite designed to be installed on ships of opportunity. MAML has meteorological ground stations, 405 and 915 MHz Doppler-radar wind profilers, a radiosonde system, and a SODAR, at either the NPS or at a Presidio of Monterey (POM) Annex location. Ground stations with airflow sensors and real-time transmission capabilities are located at the Point Sur Lighthouse. A shipboard system consisting of rawinsonde and sensors for airflow properties has been developed with necessary acquisition and calculation support systems. Buoy systems have been developed for measuring air-sea fluxes and surface thermal and wave properties. The modeling/analysis facilities are contained within the Interactive Digital Environmental Analysis (IDEA) Laboratory with 16 workstations and the Remote Sensing Laboratory with four workstations. Both laboratories provide modern computer technology, including computations and display software, and real-time databases. Recently, the Department of Meteorology obtained a SGI multi-processor Computer Server. This allows both research and real-time mesoscale modeling of atmospheric dynamic processes, particularly for coastal regions.

PROJECT SUMMARIES

DEVELOPMENT OF AN EXPERT SYSTEM BASED ON THE SYSTEMATIC APPROACH TO TROPICAL CYCLONE TRACK FORECASTING

Lester E. Carr III, Assistant Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: The long-term goals of this project, which is being pursued in collaboration with R.L. Elsberry, are the same as those for the related project entitled, "Systematic Approach to Tropical Cyclone (TC) Track Forecasting," summarized elsewhere. The specific objectives of this project are to conduct exploratory research to: (1) develop a prototype expert system that is based on the systematic approach concept, and which methodically leads the TC forecaster through a sound forecast formulation process, exposes the forecaster to key information, prompts and assists the forecaster to make pivotal decisions, and accomplishes basic tasks for the forecaster wherever feasible and (2) demonstrate the feasibility of such an expert system for improving the accuracy and meteorological utility of official tropical cyclone track forecasts.

SUMMARY: This project is a continuation of work started in mid-1996. The emphasis of this project in 1997 was to develop that part of the expert system that assists the forecaster in understanding and characterizing the meteorological environment that is affecting the current motion of the TC. Because TC interaction (TCI) occurs frequently and in various ways in the western North Pacific, considerable effort was devoted to developing a objective algorithm that alerts the forecaster to the possible occurrence of TCI based on analysis of recent motion of TC pairs. A technique for evaluating the accuracy of the numerical model analysis, on which the characterization of the TC environment must be heavily based, has also been developed and transformed into a module of the expert system. Code has been written to assist, but not limit, the forecaster in characterizing the environment of the TC in terms of a pattern, region, and any mechanisms acting to change the environment. Finally, a condensed and updated version of the meteorological knowledge base on the systematic approach has been prepared in the form of a technical report, that will eventually be converted into the help/training module of the expert system.

PUBLICATIONS:

Carr, L.E., III and Elsberry, R.L., "Objective Diagnosis of Binary Tropical Cyclone Interactions for the Western North Pacific Basin," *Monthly Weather Review*, 1998, in press.

Carr, L. E., III, Elsberry, R.L., and Boothe, M.A., "Condensed and Updated Version of the Systematic Approach Meteorological Knowledge Base—Western North Pacific," Naval Postgraduate School Technical Report, NPS-MR-98-002, December 1997.

Peak, J.E., Carr, L.E., III, and Elsberry, R.L., "Systematic Approach to Tropical Cyclone Forecasting: Development of a Prototype Expert System," *Preprints, 22nd Conference on Hurricanes and Tropical Meteorology*, pp. 465-466, Fort Collins, CO, American Meteorological Society, Boston, MA, 1997.

CONFERENCE PRESENTATIONS:

Carr, L.E., III, Peak, J.E., and Elsberry, R.L., "A Prototype Expert System for the Systematic Approach, USPACOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

Carr, L.E., III, Elsberry, R.L., and Boothe, M.A., "Development of a Systematic and Integrated Approach to Tropical Cyclone Track Forecasting," Interdepartmental Hurricane Conference, Miami, FL, 25-28 March 1997.

Peak, J.E., Carr, L.E., III, and Elsberry, R.L., "Systematic Approach to Tropical Cyclone Forecasting: Development of a Prototype Expert System," 22nd Conference on Hurricanes and Tropical Meteorology, Fort Collins, CO, 19-23 May 1997.

PROJECT SUMMARIES

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Tropical Cyclone Prediction, Expert Systems

APPLICATIONS OF THE SYSTEMATIC APPROACH TO TROPICAL CYCLONE TRACK FORECASTING IN THE WESTERN NORTH PACIFIC AND EXTENSIONS TO OTHER TROPICAL CYCLONE REGIONS

Lester E. Carr III, Assistant Professor

Russell L. Elsberry, Distinguished Professor

Department of Meteorology

Sponsor: Space and Naval Warfare Systems Command

OBJECTIVE: Whereas the project entitled, Systematic Approach to the Tropical Cyclone Track Forecasting, has to do with the formulation of the overall systematic approach to tropical cyclone forecasting "concept," particularly the development of a comprehensive meteorological knowledge base to explain tropical cyclone motion in the western North Pacific. The emphasis of this project is the development of specific forecasting tools, as well as the extension the systematic approach concept to other regions of the world where tropical cyclones form.

SUMMARY: The first extension of the systematic approach has been to the eastern and central North Pacific. A database of 135 tropical cyclones during 1990-1996 has been classified by using a small set of only four synoptic patterns, three of which are much like their counterparts in the western North Pacific. The fourth pattern accounts for the formation of deep upper level lows in the eastern and central North Pacific, which are not observed in the western North Pacific. In addition, a statistical post-processor is being developed that objectively modifies tropical cyclone (TC) track forecasts made by the Navy Operational Global Atmospheric Prediction System (NOGAPS) to account for the error bias expected when the current environment of the TC conforms to a particular pattern/region combination. In a collaborative effort with the Australian Bureau of Meteorology, the meteorological knowledge base of the systematic approach, which was initially developed to explain TC motion in the western North Pacific, has been adapted to provide dynamically based explanations for TC motion in both the South Pacific and South Indian Ocean regions.

PUBLICATIONS:

Bannister, A.J., Boothe, M.A., Carr, L.E., III, and Elsberry, R.L., "Southern Hemisphere Application of the Systematic Approach to Tropical Cyclone Track Forecasting. Part I: Environmental Structure Characteristics," Naval Postgraduate School Technical Report, NPS-MR-97-001, December 1997.

Boothe, M.A., Carr, L.E., III, and Elsberry, R.L., "Extension of the Systematic Approach to Tropical Cyclone Track Forecasting in the Eastern and Central Pacific," *Preprints, 22nd Conference on Hurricanes and Tropical Meteorology*, pp. 467-468, Fort Collins, CO, American Meteorology Society, Boston, MA, 1997.

CONFERENCE PRESENTATIONS:

Boothe, M.A., Carr, L.E., III, and Elsberry, R.L., "Extension of the Systematic Approach to Tropical Cyclone Track Forecasting in the Eastern and Central Pacific," 22nd Conference on Hurricanes and Tropical Meteorology, Fort Collins, CO, 19-23 May 1997.

Elsberry, R.L., "Application of the Systematic Approach in the Eastern Pacific," Interdepartmental Hurricane Conference, Miami, FL, 25-28 March 1997.

PROJECT SUMMARIES

THESIS DIRECTED:

Boothe, M.A., "Extension of the Systematic Approach to Tropical Cyclone Track Forecasting in the Eastern and Central Pacific," Master's Thesis, Naval Postgraduate School, December 1997.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Tropical Cyclone Motion, Tropical Cyclone Prediction

MONSOON DISTURBANCES OVER THE CHINA SEAS

C.-P. Chang, Professor

Department of Meteorology

Sponsors: Office of Naval Research and Naval Postgraduate School

OBJECTIVE: This research is to use operational Naval regional models to study the development of the southwest monsoon over the South China Sea and East China Sea, and its effects on weather disturbances over Southeast and East Asia.

SUMMARY: In 1996 the input interface of NORAPS was changed to allow both NOGAPS and ECMWF analyses and an objective analysis package for conducting data impact studies was installed. The first study using the model is to evaluate the prediction, relative to different initial data analyses, of a July 1991 Mei-Yu disturbance, which is one of the heaviest-rainfall events in East Asia and East China Sea. The result demonstrates the sensitivity of the model forecast with two different sets of initial data: the 1.125° ECMWF analysis with and without enhancement from a special Chinese radiosonde data set that was collected post-real time. In summary, the sensitivity and data impact experiments show that the difference between a high resolution global analysis such as the ECMWF and an analysis enhanced by a post-real time radiosonde data set can be significant in forecasting the intensity of the severe monsoon disturbance. A small initial error in pressure can cause a large 24-hour forecast error in rainfall, but the initial wind enhancement is much less consequential as it will adjust to the pressure field. While the main impact is at the lower level, the upper tropospheric data is also important. Apparently the tropopause process plays a significant role in the development and maintenance of the severe monsoon disturbance. These results will be presented to the First WMO International Workshop on Monsoons (Chang and Yi, 1997).

Another purpose of this project is to evaluate the Navy operational models relative to their application in the tropical monsoon area. When NORAPS was applied to the East Asia - South China Sea - West Pacific region a lateral boundary problem was found in the 24-48 hour geopotential height and mean sea level, where grid points near the lateral boundaries show isoplethes that are parallel to the boundary. The problem normally appears in the southern and western boundaries, and sometimes in the eastern boundary as well. The problem is summarized in a interim report (Yi and Chang, 1996) and tests are being carried out with the help of NRL-Monterey scientists. Systematic errors of NOGAPS and NORAPS from summer 1995 to winter 1996 were analyzed when persistent weakening of the tropical upper tropospheric trough in the NOGAPS forecasts was observed. The error can be traced to a secondary circulation that is set up by a dry bias covering a broad northern tropical region extending from the western North Pacific to the South China Sea, Bay of Bengal, and the eastern Arabian Sea. In the meantime, wet bias appears in the southern equatorial region, particularly the Indian Ocean where strong 850 hPa cyclonic bias prevails. The results suggest that the tropical Western Pacific bias is probably not directly affected by the local sea surface temperature (SST) errors or the Indian Ocean systematic errors. On the other hand, NOGAPS shows a bias that tends to shift the precipitation maximum from the ITCZ and SPCZ to their poleward sides. The NORAPS errors in July 1995 can mostly be explained by boundary condition contamination from the NOGAPS errors. In January 1996 NOGAPS shows a similar pattern of systematic errors in the tropical Pacific and Indian Oceans although the magnitudes are smaller than July 1995. Significant remote influences of the Indian region errors can be detected in a Rossby-type teleconnection that propagates to the eastern North Pacific. It also exerts interesting influences on the NORAPS bias patterns over East Asia and western Pacific at 48-h forecast time. These results are reported in Chang et al., 1996.

PROJECT SUMMARIES

PUBLICATIONS:

Chang, C.-P. and Yi, Lan, "Forecast of A Severe East Asian Monsoon Disturbance Using Navy's Operational Regional Model: A Sensitivity Study on Initial Data Analysis," *Proceedings of the First WMO International Workshop on Monsoon Studies*, World Meteorological Organization, Geneva, Switzerland, pp. 14-18, 1997.

Chu, P.C. and Chang, C.-P., "South China Sea Warm Pool in Boreal Spring," *Advances in Atmospheric Sciences*, 14, pp. 195-206, 1997.

Chu, P.C., Tseng, H.C., Chang, C.-P., and Chen, J.M., "South China Sea Warm Pool Detected in Spring from the Navy's Master Oceanographic Observational Data Set (MOODS)," *Journal of Geophysical Research*, 102 C7, pp. 15761-15771, 1997.

CONFERENCE PRESENTATION:

Chang, C.-P. and Yi, Lan, "Forecast of A Severe East Asian Monsoon Disturbance Using Navy's Operational Regional Model: A Sensitivity Study on Initial Data Analysis," First WMO International Workshop on Monsoon Studies, Denpasar, Indonesia, 24-28 February 1997.

THESIS DIRECTED:

Jimenez, G., "Diurnal Variation over the Tropical Monsoon Region During Summer 1991," Master's Thesis, Naval Post-graduate School, March 1997.

DoD KEY TECHNOLOGY AREAS: Other (Environmental Effects, Modeling and Simulation)

KEYWORDS: Tropical Meteorology, Monsoon, China Seas

IMPACT OF NSCAT DATA ON TROPICAL REGIONAL PREDICTION

C.-P. Chang, Professor

Department of Meteorology

Sponsor: National Aeronautics and Space Administration-Jet Propulsion Laboratory

OBJECTIVE: To use the NSCAT surface wind data in the numerical prediction model over tropical oceans, including tropical cyclones track models, to study the possible impact of the NSCAT data in weather analysis and forecasting.

SUMMARY: Experiments on the track forecast of two tropical cyclones in the equatorial South China Sea, 14 December 1996-20 December 1996 and 21 December 1996-24 December 1996 were completed. Both cases include rather weak tropical cyclones. The 1000 hPa wind was re-analyzed by introducing the NSCAT wind data in the Navy's Global Operational Atmospheric Prediction System Model (NOGAPS) data as the initial condition. The 24-hour prediction results are practically the same with or without the NSCAT data. The reason for this is because the NOGAPS forecast contained some tropical cyclones structure through operational bogus. Thus, even though the initial surface condition is considerably modified, by 12 h forecast time the upper-level bogus structure has overridden the surface modification resulting in practically no change for latter time forecasts. In future experiments tropical cyclone structure in the NOGAPS data will be filtered. Other initial global data sets without tropical cyclone bogus will also be used.

DoD KEY TECHNOLOGY AREA: Other (Environmental Effects)

KEYWORDS: Tropical Meteorology, Satellite Data, NSCAT Data, Tropical Cyclones

PROJECT SUMMARIES

COASTAL AEROSOL AND MARINE ATMOSPHERIC BOUNDARY LAYER (MABL) PROPERTY INVESTIGATIONS WITH REMOTE AND IN SITU DATA COLLECTION

K. L. Davidson, Professor

C. H. Wash, Professor

Department of Meteorology

Sponsor: Space and Naval Warfare Systems Center-San Diego

OBJECTIVE: To provide capability to model of near-surface optical propagation and to obtain remote sensing derived estimates of coastal marine atmospheric boundary layer properties including coastal aerosol.

SUMMARY: In situ shipboard atmospheric boundary layer measurements were performed for coastal aerosol characterization studies from 10 to 21 March 1997 off the Central and Southern California coast. Buoys were deployed at midpoints of two transmission paths (7 and 15 km) from 25 August-5 September 1997. EOPACE 1996-97 transmission data and mid-point atmospheric refraction and turbulence data were collaboratively investigated for appropriateness of existing models relating bulk prediction to propagation phenomena. The combination of point (ship and/or near-shoreline) and satellite descriptions from EOPACE '96/97 campaigns were performed to evaluate remote sensing of horizontally transported coastal aerosol; primarily with the National Oceanic and Atmospheric Administration's polar orbiting satellite.

PUBLICATIONS:

De Jong, A.N., de Leeuw, G., and Davidson, K.L., "Low Elevation Transmission Measurements at EOPACE Part III: Scintillation Effects," *Proceedings of the SPIE Annual Meeting, Propagation and Imaging through the Atmosphere Conference*, Vol. 3125, San Diego, CA, 29-31 July 1997.

Jordan, M., Wash, C.H., and Cook, J., "Boundary Layer Variations Affecting Refraction During SHAREM 110," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 401-408, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Kiser, R.E., Davidson, K.L., and Philbrick, C.R., "The Generation and Characterization of Surf Zone Aerosol and Their Impact on Naval Electro-Optical Systems," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 355-376, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

Veefkind, P., de Leeuw, G., Davidson, K.L., Jordan, M., Wash, C.H., Durkee, P.A., and Smith, M., "EOPACE Air Mass Characterization," *Proceedings of the SPIE Annual Meeting, Propagation and Imaging Through the Atmosphere Conference*, Vol. 3125, San Diego, CA, 29-31 July 1997.

Wash, C.H., Davidson, K.L., and Jordan, M.S., "Remote Sensing of Atmospheric Mixed-Layer, Depths in the Coastal Region," *Proceedings of the Progress in Electromagnetic Research Symposium (PIERS)*, Hong Kong, 6-8 January 1997.

Zeisse, C.R., Gathman, S.G., de Jong, A.N., de Leeuw, G., Forand, J.L., Dion D., and Davidson, K.L., "Low Elevation Transmission Measurements at EOPACE Part I: Molecular and Aerosol Effects," *Proceedings of the SPIE Annual Meeting, Propagation and Imaging through the Atmosphere Conference*, Vol. 3125, San Diego, CA, 29-31 July 1997.

CONFERENCE PRESENTATIONS:

De Jong, A.N., de Leeuw, G., and Davidson, K.L., "Low Elevation Transmission Measurements at EOPACE Part III: Scintillation Effects," SPIE Annual Meeting, Propagation and Imaging through the Atmosphere, Conference, San Diego, CA, 29-31 July 1997.

De Jong, A.N., de Leeuw, G., and Davidson, K.L., "Low Elevation Transmission Measurements at EOPACE Part I: Molecular and Aerosol Effects," SPIE Annual Meeting, Propagation and Imaging through the Atmosphere Conference, San Diego, CA, 29-31 July 1997.

PROJECT SUMMARIES

Wash, C.H., Davidson, K.L., and Jordan, M.S., "Multispectral Remote Sensing of the Coastal Atmospheric Boundary Layer," Progress in Electromagnetic Research Symposium (PIERS), Hong Kong, 6-9 January 1997.

Fourand, J.L., Dion, D., Duffy, M., de Jong, A., de Leeuw, G., Gathman, S., Littfin, K., Davidson, K.L., "Low-Level IR Transmission Measurements over a 15 km Littoral Path," 1997 Battlespace Atmospheric Conference, San Diego, CA, 2-4 December 1997.

Frederickson, P.A., Davidson, K.L., and Wash, C.H., "Buoy Measurements of Near-Horizon Optical Properties During EOPACE," 1997 Battlespace Atmospheric Conference, San Diego, CA, 2-4 December 1997.

Frederickson, P., Davidson, K.L., and de Jong, A., "Near-surface Scintillation (C_n^2) Estimated from a Buoy During EOPACE," NATO R&T Symposium: E-O Propagation, Signature and System Performance Under Adverse Meteorological Conditions Considering Out-of-Area Operations, Naples, Italy, 16-19 March 1998.

Veefkind, P., de Leeuw, G., Davidson, K.L., Jordan, M., Wash, C.H., Durkee, P.A., Smith, M.H., "EOPACE Air Mass Characterization," SPIE Annual Meeting, Propagation and Imaging through the Atmosphere Conference, San Diego, CA, 29-31 July 1997.

Wash, C.H., Jordan, M.S., Aie, P., Veefkind, P., and de Leeuw, G., "EOPACE Satellite and In Situ Optical Depth Studies," 1997 Battlespace Atmospheric Conference, San Diego, CA, 2-4 December 1997.

Wash, C.H., Jordan, M., and de Leeuw, G., "Satellite and Ship-Based LIDAR Measurements of Optical Depth during EOPACE," NATO R&T Symposium: E-O Propagation, Signature and System Performance Under Adverse Meteorological Conditions Considering Out-of-Area Operations, Naples, Italy, 16-19 March 1998.

Zeisse, C.R., Gathman, S.G., de Jong, A.N., de Leeuw, G., Forand, J.L., Dion, D., and Davidson, K.L., "Low Elevation Transmission Measurements at EOPACE Part I: Molecular and Aerosol Effects," SPIE Annual Meeting, Propagation and Imaging through the Atmosphere Conference, San Diego, CA, 29-31 July 1997.

Zeisse, C., Gathman, S., Barrios, A., Morrison, B., Davidson, K.L., and Frederickson, P., "Low Altitude Infrared Transmission," 1997 Battlespace Atmospheric Conference, San Diego, CA, 2-4 December 1997.

THESES DIRECTED:

Brown, B., "Remote Measurement of Aerosol Optical Properties Using the NOAA POES AVHRR and GOES IMAGER During TARFOX," Master's Thesis, Naval Postgraduate School, June 1997.

Kiser, R., "Coastal Internal Boundary Layer (IBL) Influence on Surface Zone Aerosol Properties," Master's Thesis, Naval Postgraduate School, March 1997.

Koch, C., "Operational Evaluation of the Electro-Optical Tactical Decision Aid, Version 3.1," Master's Thesis, Naval Postgraduate School, March 1997.

Yeoh, Lean-Weng, "Low Altitude Optical Signal Propagation over the Ocean," Doctor of Philosophy Dissertation, Naval Postgraduate School, March 1997.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments, Sensors

KEYWORDS: Meteorological Measurement, Marine Atmosphere Boundary Layer, Optical Transmission, Optical Depth

PROJECT SUMMARIES

DEMONSTRATION/VALIDATION OF SURFACE COMBATANT IN SITU METOC SENSORS (SCIMS)

K. L. Davidson, Professor

C. H. Wash, Professor

Department of Meteorology

Sponsor: Space and Naval Warfare Systems Command

OBJECTIVE: To provide capability to obtain descriptions of EM/EO conditions from surface combatants in the Littoral Zone on the basis of in situ measurements through the use of state-of-the-art commercial off-the-shelf METOC instrumentation.

SUMMARY: This is a continuing program in which METOC systems are being evaluated for use on operational ships. These were evaluated during buoy and shipboard deployments. Deployments and analyses were designed for evaluation of measurement accuracy, acquisition adaptability and field performances. A candidate system was selected for focusing further analyses and evaluations against other components. Evaluation of operational estimation of the evaporation duct regions through modeling and measurement were performed. Analyses and interpretation of the operational use of the Low-Altitude Rocket Dispensed Sonde (LARDS), i.e., Rocketsonde and a LIDAR for shipboard profiles were performed.

PUBLICATIONS:

Baldauf, B., Davidson, K.L., and Wash, C.H., "Evaluation of Low Altitude Rocket Dropsondes for EM Propagation Assessment," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 421-430, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Davidson, K.L., Wash, C.H., Goroch, A., and Pastore, M.J., "Development of the Surface Combatant In Situ Sensors (SMOOS(R)) Suite," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 97-102, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Frederickson, P.A., Davidson, K.L., and Edson, J.B., "A Study of Wind Stress Determination Methods from a Ship and an Offshore Tower," *Journal of Atmospheric and Oceanic Technology*, 14, pp. 822-834, 1977.

Philbrick, C.R., Lysak, D.B., Jr., O'Brien, M., and Harrison, D.E., "LIDAR Measurements of Atmospheric Properties," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 385-400, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments, Sensors

KEYWORDS: Meteorological Measurement, Marine Atmosphere Boundary Layer, Radar Refraction

DEMONSTRATION OF TDROP FOR USE IN JOINT TRI-SERVICE EXERCISE

K. L. Davidson, Professor

Department of Meteorology

Sponsors: Naval Research Laboratory

OBJECTIVE: To verify/validate TDrop as a Joint Tri-Service capability using coordinated TDrop airdrops, under parachute, and shipboard rawinsonde launches. Comparisons are of vector wind, temperature, and humidity at pressure levels during field tests over the water.

SUMMARY: Current combat sophisticated sensors and "smart" weapons are critically dependent on the environmental status in the battlespace. A new device has been developed to provide capability to sample the EM/EO environment in the battlespace—TDrop. TDrop is a miniaturized rawinsonde that has been designed to be used in aircraft chaff launchers.

PROJECT SUMMARIES

Verification/validation tests were performed off the California coast from 16-19 March 1997. The at-sea tests included rawinsonde launches from a research vessel and parachute descending TDrops and Dropsondes, dropped from a CESSNA-121. Eleven TDrops were successfully deployed of which nine were interpreted for the resulting temperature, humidity, and pressure profiles. TDrop temperature and humidity profiles lacked the required accuracy and vertical resolution, five meters in comparison with ship-launched rawinsondes profile. GPS winds were received from seven of the eleven, but could not be evaluated because of uncertainties in the TDrop values.

PUBLICATION:

Frederickson, P., Davidson, K.L., Pastore, M.J., Daniels, J., Frederick, G., Smith, M., and Ayers, G., "Evaluation of The Tactical Dropsonde (TDrop) in the Coastal Environment," *Proceedings of CNMOC/DDR&E EMEO Tactical Symposium*, pp. 409-420, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

DoD KEY TECHNOLOGY AREA: Sensors

KEYWORDS: Meteorological Measurement, Marine Atmosphere Boundary Layer, Radar Refraction

PRECISION AIR DELIVERY (PAD) IPT PARTICIPATION

K.L. Davidson, Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVES: To represent the Naval Research Laboratory Tactical Ocean Weapons System (NRL-TOWS) at Precision Air Delivery (PAD) Integrated Process Team (IPT) meetings. Interact with Joint Group involved with selecting/ evaluating possible tasks within Precision Air Delivery basic research program.

SUMMARY: A basic research program has been initiated to investigate the key enabling technologies necessary to support precision delivery of a wide range of cargo directly to the warfighter. Specific technology challenges include the prediction/measurement of winds in the drop zone. Requirements have become critical relative to accurate descriptions of vector winds in complex terrain regions where existing analyses/forecasting is not valid. Known limitations of existing rawinsonde/dropsonde measurements could affect mission accomplishment. The NRL-TOWS Office has determined that systems they are directing development of may provide needed measurement capability for the PAD. NRL-TOWS requested NPS to attend meetings of the PAD IPT and to provide briefings on NRL-TOWS status with regard to TDrop and other relevant measurement programs. Such meeting attendance occurred at Wright Patterson Air Force Base on 1 November 1996. A meeting follow-up and report was provided to NRL Code 7406.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments, Sensors

KEYWORDS: Atmospheric Measurement, Radiosonde, Precision Air Drop

PROJECT SUMMARIES

EM/EO ASSESSMENT/MODEL EVALUATION

K. L. Davidson, Professor

C. H. Wash, Professor

Department of Meteorology

A.W. Cooper, Professor

D. L. Walters, Associate Professor

Department of Physics

Rama Janaswamy, Associate Professor

Department of Electrical and Computer Engineering

Sponsor: Naval Postgraduate School-Institute for Joint Warfare Analysis

OBJECTIVE: To perform assessments of atmospheric models and measurement requirements for EM/EO factors on system performance in Joint Operations.

SUMMARY: This is a collaborative effort by faculty in three departments whose link is expertise and acknowledged applied and basic research experience on issues of characterizing/modeling atmospheric effects on Electromagnetic and Electro-optical (EM/EO) propagation. The Navy and Air Force together have total DoD "weather" forecasting/analysis responsibility. This also applies to operational assessment on the influence of the atmosphere on weapons systems. A program called NAVAFA was established to coordinate forecasting for strategic and tactical forces and to cooperate in product development. NPS had an opportunity to be even more involved with Joint EM/EO assessment activity because faculty initiated (in FY97) interaction with Commander Third Fleet (C3F). C3F is a joint fleet commander with Navy, Air Force, Army and Marine Corps on his staff and conducts Joint Operations. Accomplishments in CY97 include: (1) participating in organization of and being the host for the Joint EM/EO Prediction Requirements and Products Symposium held at NPS, 2-5 June 1997 and (2) performing of special analyses and surveys related to EM/EO models and their data requirements.

PUBLICATIONS:

Baldauf, B., Davidson, K.L., and Wash, C.H., "Evaluation of Low Altitude Rocket Dropsondes for EM Propagation Assessment," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 187-198, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Cooper, A.W., "Comparison of Issues in Infrared Imaging of Ships Against Background," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 187-198, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Davidson, K.L., Wash, C.H., Goroch, A., and Pastore, M.J., "Development of the Surface Combatant In Situ Sensors (SMOOS(R)) Suite," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 97-103, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

Frederickson, P., Davidson, K.L., Pastore, M.J., Daniels, J., Frederick, G., Smith, M., and Ayers, G., "Evaluation of The Tactical Dropsonde (TDrop) in the Coastal Environment," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 409-420, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

Goroch, A.K. and Koch, C., "Evaluation of the Electro-Optical Met Aid (EOMDA)," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 25-32, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

Janaswamy, R., "A Curvilinear PE Approach to EM Propagation Predictions Over Terrain," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

Jordan, M., Wash, C.H., and Cook, J., "Boundary Layer Variations Affecting Refraction during SHAREM 110," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 401-408, Naval Postgraduate School, Monterey, CA, 2-6 June 1997.

PROJECT SUMMARIES

Philbrick, R.C., Lysak, D.B., Jr., O'Brien, M., and Harrison, D.E., "LIDAR Measurements of Atmospheric Properties," pp. 385-400, *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

Walters, D.L., "Optical Turbulence Modeling and Measurements for DoD Programs," *Proceedings of the CNMOC/DDR&E EMEO Tactical Symposium*, pp. 199-204, Naval Postgraduate School, Monterey, CA, 2-5 June 1997.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments, Sensors

KEYWORDS: Atmospheric Measurement, EM/EO Modeling

STUDIES OF THE EFFECTS OF SHIP ACTIVITY ON CLOUD PROPERTIES

Philip A. Durkee, Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: This project is part of a multi-year effort to characterize the effects of ships on marine clouds. In particular, the goal is to understand the fundamental processes responsible for the formation and persistence of ship tracks - curvilinear, bright cloud features observed in near-infrared satellite imagery. The objectives during FY96 were threefold. First, analysis of the Monterey Area Ship Track (MAST) experiment was to be completed and the results of hypothesis tests were to be prepared for a special issue of the *Journal of Atmospheric Science (JAS)*. Second, analysis of worldwide ship track characteristics was continued along with investigation of the diversity of meteorological conditions under which ship tracks can form. Finally, analysis of the characteristics of ships that control formation of shiptracks was scheduled.

SUMMARY: The MAST Experiment was designed to test ten hypotheses on ship track formation, persistence, and required background conditions. The MAST Science Team was constructed in 1992-93 to provide the expertise to design and carry out the set of measurements required to test the hypotheses. The MAST was unique in that we were able to conduct a controlled experiment investigating a complex atmospheric phenomenon. The analysis of data and presentation of results was conducted as a team, incorporating multi-platform analysis of in situ measurements and model simulations. The formal publication of the *JAS* Special Issue represents a tightly focused presentation of results on a subject that has broad application of basic understanding of cloud microphysical and radiation processes to climatic impact of anthropogenic aerosol.

One year of data for three Pacific Ocean regional areas was analyzed and analyses of two other regions identified as potentially susceptible to ship track formation. In coordination with the analysis, the UK Ministry of Defense is processing data from three additional regions. This data set to date includes nearly 5000 ship tracks identified in NOAA AVHRR (Advanced Very High Resolution Radiometer) imagery at 3.7 μ m wavelength (AVHRR Channel 3).

The testing of MAST hypotheses has identified aerosol directly injected from the stack of the ship as the primary source of cloud modification and formation of ship tracks. In addition, the more subtle roles of aerosol-cloud interaction on drizzle suppression and CCN enhancement by gas-to-particle conversion and cloud processes have been examined.

During MAST 5 U.S. Navy ships and 31 ships-of-opportunity were observed. In addition, satellite imagery was analyzed daily to study the large scale formation characteristics of ship tracks. Correlation of known ships to ship tracks yielded over 300 cases for analysis of the physical and radiative characteristics of ship tracks. In order to ensure high quality winds and ship information, 131 cases were analyzed to produce a composite picture of ship track properties. Analysis of 99 cases showed that, on average, ships are 16 km away from the head of the track as observed in AVHRR imagery. This distance corresponds to a mixing time of 25 minutes to transport the ship effluent to cloud top and disperse wide enough to brighten an AVHRR field-of-view.

Ship tracks occur in cloud-topped marine boundary layers. It is reasonable to expect that the depth of the boundary layer would affect the mixing processes and the concentration of aerosol produced by a ship. Analysis of soundings taken during MAST and SEAHUNT (Porch et al., 1995) showed a consistent reduction in ship track formation for boundary layers greater than 700m depth. This may be due to dilution of the aerosol concentration and may also be the result of internal stable layers that form more readily in deeper boundary layers and inhibit transport of the surface-based source of aerosol to the cloud.

PROJECT SUMMARIES

The analysis of ship to ship track correlations also showed interesting effects of ship propulsion type and power on ship track formation. In general it was found that diesel ships that produce more aerosol than steam powered ships, produce brighter tracks that are wider and consistently more persistent. This is also true for ships of higher power output—consistent with production of more aerosols.

A worldwide set of AVHRR data has been collected for one year and is being processed. The regions of study include:

- Western North Pacific Ocean - 100% complete
- Gulf of Alaska - 100% complete
- Eastern South Pacific Ocean - 100 % complete
- Eastern North Pacific Ocean - 100% complete
- Eastern South Atlantic Ocean - 100% complete
- Eastern North Atlantic Ocean - 100% complete (UK MOD)
- Barents Sea - in process (UK MOD)
- Indian Ocean - in process (UK MOD)

Preliminary results show ship track formation in a wide variety of locations and under diverse meteorological conditions. Although layer clouds with a transport mechanism to get ship effluent to the cloud is required, ship tracks have been observed at nearly all latitudes and seasons. Not all regions have been inspected equally but it is apparent that ship tracks are observed in many oceanic regions. Most stratus regions over eastern oceans exhibit tracks and ship track occurrence increases generally with increasing latitude coincident with increased stratus cloud cover.

A project has been started to investigate both automated detection of ship tracks and the provision of ship track information to ship surveillance agencies. Mission Research Corporation (MRC) has developed an automated scheme for track detection in AVHRR imagery based on an earlier technique developed at the Naval Postgraduate School. In addition to test and evaluation of these automated detection results, MRC is coordinating with the USCG to evaluate the utility of ship track information in the Joint Maritime Information Element (JMIE).

DoD KEY TECHNOLOGY AREAS: Sensors, Environmental Quality, Surface Vehicles – Ships and Watercraft

KEYWORDS: Marine Boundary Layer, Ship Effects, Cloud Physics, Aerosol Process, Aerosol/Cloud Interaction

COASTAL AEROSOL CHARACTERIZATION

Philip A. Durkee, Professor

Department of Meteorology

Sponsor: Office of Naval Research and Naval Postgraduate School

OBJECTIVE: This project will combine model and observational studies to: (1) test the feasibility of integrating a full featured, process-oriented model with a meteorological model and (2) investigate initialization schemes for numerical models using data from remote sensing and point measurements. This is a joint project between NRL, Space and Naval Warfare Systems Center-San Diego, and NPS.

SUMMARY: To date the emphasis of this study has been on validation of aerosol optical depth retrievals from satellites with in situ measurements of the aerosol physical properties and integration of optical depth into model initialization schemes. In order for information about the horizontal aerosol distribution to be incorporated into a model, the information must be distributed in the vertical dimension. This requires assumptions since the satellite-retrieved value is a column integral of the aerosol properties. To first order the aerosol extinction is determined by concentration and relative humidity. A scheme has been developed as part of this project to distribute the aerosol extinction according to the vertical distribution of relative humidity. The scheme has been compared to aircraft and radiosonde measurements of relative humidity from several experiments including the Atlantic Stratocumulus Experiment (ASTEX), the Aerosol Characterization Experiment (ACE-1), Tropospheric Aerosol Radiative Forcing Observational Experiment (TARFOX), EOPACE and most recently ACE-2 (included two Desert Dust cases).

Information about the aerosol size distribution is also available from multispectral satellite measurements. The NPS optical depth retrieval uses this information to estimate the scattering phase function required for optical depth retrieval. The NPS approach has been compared to in situ data from field programs described above. The results have also been

PROJECT SUMMARIES

compared with the NOVAM, a model of aerosol optical properties from parameterized size distributions. Early analysis indicates that the size information retrieved from satellite measurements can improve the NOVAM size distribution parameterization.

DoD KEY TECHNOLOGY AREAS: Sensors, Environmental Quality

KEYWORDS: Marine Boundary Layer, Aerosol Process, Aerosol/Cloud Interaction

SYNOPTIC-BASED ANALOGUE TROPICAL CYCLONE TRACK PREDICTION TECHNIQUE FOR WESTERN NORTH PACIFIC

Russell L. Elsberry, Professor

Jeng-Ming Chen, Research Assistant Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVE: To demonstrate the feasibility of a tropical cyclone track prediction using an analogue technique based on the synoptic pattern/regions of the Systematic Approach.

SUMMARY: This is a new project begun in May 1997. A database of eight years (1989-1996) of western North Pacific tropical cyclones and associated synoptic pattern/region assigned has been prepared. An interactive version of the analogue technique has been coded.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Tropical Cyclones, Tropical Cyclone Track Prediction, Analogue Prediction Method

SCIENTIFIC DATA IMPACT STUDY OF NASA SCATTEROMETER (NSCAT) OBSERVATIONS NEAR TROPICAL CYCLONES

Russell L. Elsberry, Professor

Department of Meteorology

Sponsor: National Aeronautics and Space Administration-Jet Propulsion Laboratory

OBJECTIVE: A data impact study of the NASA scatterometer (NSCAT) surface wind observations near tropical cyclones will be performed in conjunction with J. Goerss and J. Hawkins of the Naval Research Laboratory-Monterey.

SUMMARY: This is a new research project following the launch of the NSCAT instrument aboard the NASA-Japan ADEOS satellite on 16 August 1996. Unfortunately, the satellite failed in June 1997. All western North Pacific tropical cyclones while the satellite provided surface wind observations have been extracted. A Master's Thesis is in progress analyzing the surface winds. A separate effort at NRL-Monterey will assess the impact on tropical cyclone track predictions by the Navy Operational Global Atmospheric Prediction System (NOGAPS) of these NSCAT observations.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Tropical Cyclone Surface Winds, NASA Scatterometer Evaluation, Tropical Cyclone Track Prediction

PROJECT SUMMARIES

TROPICAL CYCLONE MOTION STUDIES

Russell L. Elsberry, Professor

Lester E. Carr, III, Assistant Professor

Jeng-Ming Chen, Research Assistant Professor

Department of Meteorology

Sponsors: Office of Naval Research and Naval Postgraduate School

OBJECTIVE: The long-term goal of this continuing project is to improve prediction of tropical cyclone track and structure so that warnings of Fleet units afloat and ashore are optimized. Short-term objectives are to develop objective aids tailored to specific synoptic pattern/region combinations of the Systematic Approach to provide better guidance and to improve the specification of the initial outer wind structure for numerical models, for forecaster understanding, and for use in surface wind warnings.

SUMMARY: Development of the Systematic Approach to Tropical Cyclone Track Forecasting has continued with a number of journal publications, conference presentations, and preprints. Statistical-synoptic equation sets for the Standard/Dominant Ridge and Poleward/Poleward-Oriented pattern/region combinations have been demonstrated to have skill relative to climatology-persistence. Given a perfect knowledge of the type and timing of two transitions, the appending of a composited post-transition track led to about 50% reductions in 72-h track errors if the transition occurs early in the forecast interval. Based on the Joint Typhoon Warning Center specified wind radii during the intensification phase of western North Pacific tropical cyclones, 60% had continued growth, 24% contracted, and 16% maintained relatively constant in cyclone wind extent. During the decaying phase, 65% decreased, 29% had continued growth, and 6% had a relatively constant cyclonic wind extent. Reports of the ONR Tropical Cyclone Workshop in Melbourne, Australia (Abbey and Elsberry 1997) and a review of the Tropical Prediction Center/National Hurricane Center (Elsberry et al., 1997) were prepared, and a Hurricane Landfall Workshop was organized in November 1997 for the U.S. Weather Research Program.

PUBLICATIONS:

Abbey, R.F. and Elsberry, R.L., "Office of Naval Research Tropical Cyclone Workshop," 1997, in press.

Carr, L.E., III, Boothe, M.A., and Elsberry, R.L., "Observational Evidence for Alternate Modes of Track-Altering Binary Tropical Cyclone Scenarios," *Monthly Weather Review*, 125, pp. 2094-2111, September 1997.

Carr, L.E., III and Elsberry, R.L., "Models of Tropical Cyclone Wind Distribution and Beta-Effect Propagation for Application to Tropical Cyclone Track Forecasting," *Monthly Weather Review*, 125, pp. 3190-3209, December 1997.

Carr, L.E., III, Elsberry, R.L., and Boothe, M.A., "Systematic Approach to Tropical Cyclone Track Forecasting: Scenario-Specific Model and Objective Guidance Traits," *Preprints of the 22nd Conference on Hurricanes and Tropical Meteorology*, pp. 461-462, Fort Collins, CO, 19-23 May 1997.

Chen, J.-M., Elsberry, R.L., Boothe, M.A., and Carr, L.E., III, "A Simple Statistical-Synoptic Track Prediction Technique for Western North Pacific Tropical Cyclones," *Monthly Weather Review*, 1997, accepted.

Chen, J.-M., Boothe, M.A., Elsberry, R.L., and Carr, L.E., III, "Potential Systematic Approach Application: Synoptic-Based CLIPER for Western North Pacific," *Preprints of the 22nd Conference Hurricanes Tropical Meteorology*, pp. 463-464, Fort Collins, CO, May 1997.

Elsberry, R.L., Fisher, M., Carr, L.E., III, and Boothe, M.A., "Three Methods for Estimating the Outer Wind Structure of Western North Pacific Tropical Cyclones," *Preprints of the 22nd Conference Hurricanes Tropical Meteorology*, pp. 647-648, Fort Collins, CO, May 1997.

Elsberry, R.L., Baker, J., Holland, G., Knable, C., and Wash, C.H., "UCAR Review Panel Report on the Tropical Prediction Center/National Hurricane Center," University Corporation Atmospheric Research, Boulder, CO, June 1997.

PROJECT SUMMARIES

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CONFERENCE PRESENTATIONS:

Carr, L.E., III, Elsberry, R.L., and Boothe, M.A., "Development of a Systematic and Integrated Approach to Tropical Cyclone Track Forecasting," Interdepartmental Hurricane Conference, Miami, FL, 25-28 March 1997.

Carr, L.E., III, Elsberry, R.L., and Boothe, M.A., "Progress Report on the Systematic Approach to Tropical Cyclone Track Forecasting," USPACOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

Elsberry, R.L., Chen, J.-M., Boothe, M.A., and Carr, L.E., III, "A Synoptic-Based CLIPER for Western North Pacific Tropical Cyclones," USPACOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

Elsberry, R.L., Fisher, M.R., Carr, L.E., III, and Boothe, M.A., "Outer Wind Structures of Western North Pacific Tropical Cyclones," USPACOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Tropical Cyclones, Tropical Cyclone Track Prediction, Tropical Cyclone Structure

ATMOSPHERIC FORCING OF OCEAN CONVECTION IN THE LABRADOR SEA

P. S. Guest, Meteorologist
Department of Meteorology
Sponsor: Office of Naval Research

OBJECTIVE: The long-term goal of this research is to understand the relation between atmospheric forcing and ocean deep convection.

SUMMARY: During a 1997 winter cruise of the R/V *Knorr*, 217 rawinsonde (weather balloon) soundings of the atmosphere were successfully performed. These represent the only rawinsonde measurements ever performed in the central part of Labrador Sea. This information is crucial to understanding how the atmosphere forces the ocean. A successful radiation measurement program was also completed. Measurements of standard meteorological parameters (winds, temperature, humidity, pressure, and precipitation) as well as turbulent wind and temperature parameters were taken. Because the direct turbulence measurements are not yet processed to determine surface fluxes, the turbulent fluxes using a bulk method were estimated. Net radiation was determined from the direct measurements of downwelling radiation and estimates of upwelling radiation based on surface conditions (i.e., surface temperature and albedo). These measured and derived quantities represented all the terms in the surface heat and momentum fluxes. These measurement results were used to investigate the response of the ocean to atmospheric fluxes using an ocean mixed layer model.

PUBLICATIONS:

Pickart, R.S., Guest, P., Dobson, F., Anderson, R., Bumke, K., Uhlig, K., Karger, U., and Berndt, H., "*Knorr* 147 Leg V Cruise Summary: Labrador Sea Convection Experiment," 99 pp., Woods Hole Oceanographic Institution, Woods Hole, MA, 1997.

Rasmussen, E.A., Guest, P.S., and Davidson, K.L., "Synoptic and Mesoscale Features over the Ice-Covered Portion of the Fram Strait in Spring, *Journal of Geophysical Research*, 102, pp. 13,975-13,986, 1997.

PROJECT SUMMARIES

CONFERENCE PRESENTATIONS:

Bramson, L., Guest, P., and Garwood, R., "The Effect of Atmospheric Forcing on the Labrador Sea on the Mixed Layer in the Winter of 1997," American Geophysical Union 1998 Ocean Sciences Meeting, San Diego, CA, 13 February 1998.

Guest, P.S., "Marginal Ice Zone Meteorology," IAMAS/IAPSO Joint Assembly, Melbourne, Australia, 2 July 1997.

Guest, P., "Atmospheric Forcing Conditions in the Labrador Sea: Results from the 1997 R/V *Knorr* Cruise," American Geophysical Union 1998 Ocean Sciences Meeting, San Diego CA, 13 February 1998.

THESIS DIRECTED:

Bramson, L., "Air-Sea Interactions and Deep Convection in the Labrador Sea," Master's Thesis, Naval Postgraduate School, December 1997.

DoD KEY TECHNOLOGY AREAS: Other (Meteorology, Physical Oceanography)

KEYWORDS: Convection, Polar Meteorology, Air-Sea Interactions

MEASURING, PARAMETERIZING, AND MODELING ATMOSPHERIC SURFACE FLUXES DURING SURFACE HEAT BUDGET OF THE ARCTIC OCEAN (SHEBA)

P. S. Guest, Meteorologist
Department of Meteorology
Sponsor: National Science Foundation

OBJECTIVES: (1) to provide direct estimates of the surface energy, momentum, and mass budgets over different surface types (i.e., new ice, first-year ice, multiyear ice, deformed ice, open water, melt ponds, etc.) and area averages of these for the SHEBA region for the duration of the experiment; (2) to investigate parameterizations of the turbulent transfer coefficients and modifications to Monin-Obukhov similarity theory necessary in a stably stratified atmospheric surface layer; and (3) to obtain high quality radiative flux and albedo data at multiple locations for investigating ice-albedo and cloud-albedo feedback.

SUMMARY: The program to study the Surface Heat Budget of the Arctic Ocean (SHEBA) is a multidisciplinary undertaking centered around a 13-month experiment at a camp placed on drifting ice in the Beaufort Sea. This is the first year of a three-year program. The focal point of the research is a 20-m tower at the main SHEBA camp. This has multiple levels of instruments for measuring mean wind speed, direction, temperature, and humidity and three levels with fast-responding instruments for measuring turbulent fluxes directly by eddy correlation. Near this tower are a variety of instruments for measuring radiative fluxes and surface temperature. Similar instruments, but at one level only, are deployed at four sites 3-5 km from the main camp. The measurements phase is still in progress and no publications or presentations have been produced yet.

DoD KEY TECHNOLOGY AREAS: Other (Meteorology, Physical Oceanography)

KEYWORDS: Polar Meteorology, Air-Sea-Ice Interactions, Surface Fluxes

PROJECT SUMMARIES

ATMOSPHERIC FORCING DURING THE ANZONE WINTER FLUX EXPERIMENT (ANZFLUX)

P.S. Guest, Meteorologist

K. L. Davidson, Professor

Department of Meteorology

Sponsor: National Science Foundation

OBJECTIVE: The research was a closely integrated part of a multiple investigator program called the Antarctic Zone Flux Experiment (ANZFLUX) which addressed the problem of explaining the anomalously high heat fluxes which occur across the oceanic mixed layer in the Eastern Weddell Sea. Atmospheric forcing of the upper ocean was measured and analyzed using instruments from ship, ice floe, and balloon platforms.

SUMMARY: April 1997 was the final month of funding for this three-year program to characterize air-sea-ice interactions in the Weddell Sea. It was found that the variations and the mean value of the total surface heat flux were primarily determined by the longwave radiation balance during the ANZFLUX project. The longwave radiation balance, in turn, was mostly controlled by the amount of clouds. Despite intense storm activity, cloud conditions, not surface wind speed nor air temperature, dominated the direct thermodynamic forcing of the atmosphere on the ocean.

PUBLICATION:

Guest, P., "Surface Radiation Conditions in the Eastern Weddell Sea During Winter," *Journal of Geophysical Research*, 1997, submitted.

CONFERENCE PRESENTATION:

Guest, P., "The Surface Heat Budget of the Eastern Weddell Sea in Winter with Emphasis on Longwave Radiational Processes," International Antarctic Zone 5th Coordination Meeting, Biosphere-2, Oracle, AZ, 1-5 December 1997.

THESIS DIRECTED:

Tramm, E., "A Study of the Surface Heat Budget of the Weddell Sea Using a Radiative Transfer Model During the Austral Winter 1994," Master's Thesis, Naval Postgraduate School, March 1997.

DoD KEY TECHNOLOGY AREAS: Other (Meteorology, Physical Oceanography)

KEYWORDS: Polar Meteorology, Air-Sea-Ice Interactions, Surface Radiation

DATA ASSIMILATION AND MODEL SIMULATIONS IN THE CALIFORNIA CURRENT

Robert L. Haney, Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: The broad objective of this research is to aid in the development of a reliable modeling capability for eastern boundary current regions. The specific objective is to carry out and extensively verify several DieCAST model simulations of the annual cycle in the California Current. The wish is to also develop and apply digital filter initialization (DFI) as a diagnostic tool in numerical ocean prediction.

SUMMARY: The DieCAST A-grid, low-dispersion (nearly 4th order) regional model (Dietrich 1997) was adapted to the California coastal domain with lateral boundary conditions prescribed using data from both an observed monthly climatology and a global numerical model. Two six-year long numerical simulations were completed. One of the simulations uses a climatological annual cycle of wind stress forcing, while the other simulation includes an additional wind stress enhance-

PROJECT SUMMARIES

ment near each coastal headland that is representative of that observed (e.g., Enriquez and Friehe 1995). Using climatological wind forcing, the DieCAST model reproduces many of the main features of the observed annual cycle of the California Current including the separation of the coastal jet from the coast in late summer and its offshore migration in autumn and winter. Coastal eddies in the simulation form primarily off the major headlands, especially Cape Mendocino and Point Arena. The surface eddy kinetic energy is in the range of that observed and it undergoes a seasonal cycle with a phase that varies with distance from shore similar to that observed. The additional forcing by the headland wind jets is found to produce both local and remote changes to the simulated annual cycle.

PUBLICATIONS:

Dietrich, D., Haney, R.L., Mehra, A., and Piacsek, S., "Comments on 'A Method for Improved Representation of Dense Water Spreading over Topography in Geopotential Coordinate Models,'" *Journal of Physical Oceanography*, 1998, submitted.

Chumbinho, R., Haney, R.L., and Ramp, S., "Kinematics and Dynamics of a Cyclonic Eddy off of Point Arena, California," *Journal of Physical Oceanography*, 1998, in revision.

Viudez, A. and Haney, R.L., "On the Relative Vorticity of the Atlantic Jet in the Alboran Sea," *Journal of Physical Oceanography*, 27, pp. 175-185, 1997.

Viudez, A. and Haney, R.L., "The Deflection and Division of an Oceanic Baroclinic Jet by a Coastal Boundary: A Case Study in the Alboran Sea," *Journal of Physical Oceanography*, 28, 1998, in press.

Viudez, A., Pinot, M., and Haney, R.L., "On the Upper Layer Circulation in the Alboran Sea," *Journal of Geophysical Research*, 1998, submitted.

CONFERENCE PRESENTATION:

Haney, R.L., Dietrich, D.E., and Hale, R.A., "The Role of Headland Wind Jets in the Annual Cycle of the California Current System," 44th Eastern Pacific Ocean Conference (EPOC), Fallen Leaf Lake, CA, 16-19 September 1997.

DoD KEY TECHNOLOGY AREA: Other (Environmental Effects)

KEYWORDS: Coastal Physical Oceanography, Numerical Ocean Modeling

TROPICAL CYCLONE MOTION STUDIES

Patrick A. Harr, Research Assistant Professor

Russell L. Elsberry, Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: Observations suggest that the outer wind structure of the mature tropical cyclone, which is important to understanding its motion over short time scales, is dependent on growth, evolution, and decay characteristics of mesoscale convective systems (MCSs) and associated midlevel vortices. The objective of this portion of the continuing project is to improve understanding of factors that control MCS evolution in the tropical cyclone environment and the relationships between the MCS development and the large-scale environment.

SUMMARY: High-resolution satellite imagery was used in conjunction with numerical analyses from the Navy Operational Global Atmospheric Prediction System (NOGAPS) to examine the interaction between MCS evolution, tropical cyclone characteristics, and the large-scale environment. The relative importance of MCS activity to tropical cyclone development and eventual mature structure was described by a conceptual model. The model was examined through objec-

PROJECT SUMMARIES

tive determination of MCS activity for a variety of tropical cyclone evolutions. Results suggested that MCS size and duration could be related to each type of tropical cyclone development as defined in the conceptual model. Furthermore, the study defined the means by which MCS evolution associated with tropical cyclone activity could be examined with respect to general MCS activity throughout the tropical western North Pacific environment.

PUBLICATION:

Harr, P.A., Finta, C.A., and Elsberry, R.L., "Role of Mesoscale Convective Vortices in Determining the Circulation Structure During Tropical Cyclone Formation," *Preprints, Twenty-Second Conference on Hurricanes and Tropical Meteorology*, pp. 194-195, Fort Collins, CO, 19-23 May 1997.

CONFERENCE PRESENTATIONS:

Harr, P.A., "Tropical Cyclone Structure in Relation to Mesoscale Convective Systems," USPACCOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

Harr, P.A., "Use of Unmanned Aerial Vehicles (UAVs) for Landfalling Tropical Cyclone Prediction and Tropical Cyclone Formation Studies," NASA Environmental Research Aircraft and Sensor Technology (ERAST) Meeting of the Hurricane Task Force, Vienna, VA, 4 May 1997.

THESIS DIRECTED:

Finta, C.A., "Observations of Mesoscale Convective Systems During Tropical Cyclone Genesis," Master's Thesis, Naval Postgraduate School, March 1997.

DoD KEY TECHNOLOGY AREAS: Environmental Quality, Modeling and Simulation, Other (Environmental Effects)

KEYWORDS: Tropical Cyclones, Mesoscale Convective Systems

IMPACTS OF THE EXTRATROPICAL TRANSITION OF TROPICAL CYCLONES ON PREDICTIONS OF MIDLATITUDE CIRCULATION SYSTEMS BY THE NAVY OPERATIONAL GLOBAL ATMOSPHERIC PREDICTION SYSTEM

Patrick A. Harr, Research Assistant Professor

Russell L. Elsberry, Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVE: The primary objective is to identify physical processes that are associated with the extratropical transition of tropical cyclones that have direct impact on the redevelopment as a midlatitude cyclone and on predictions of that redevelopment.

SUMMARY: A review of cases of extratropical transition of tropical cyclones over the western North Pacific from July-October 1990-1996 was conducted. A definition of the variability of the midlatitude circulation that the tropical cyclone was moving into during transition was used to identify several synoptic models that could be applied at the time of transition to assess the potential impact on the downstream midlatitude circulation systems. Furthermore, specific physical attributes associated with the transition were examined to assess their relative roles in determining the type of midlatitude redevelopment that occurred and was forecast to occur. Results indicate that during transition the atmosphere is particularly sensitive to processes that release a concentrated amount of energy in an environment that is dynamically favorable for extratropical cyclogenesis. The phasing of the tropical cyclone with the midlatitude circulation into which it is moving determines the degree of sensitivity and the amount of extratropical redevelopment that occurred.

PROJECT SUMMARIES

PUBLICATION:

Klein, P.M., Harr, P.A., and Elsberry, R.L., "Extratropical Transition of Western North Pacific Tropical Cyclones," *Preprints, Twenty-Second Conference on Hurricanes and Tropical Meteorology*, pp. 364-365, Fort Collins, CO, 19-23 May 1997.

CONFERENCE PRESENTATIONS:

Harr, P.A., "Impacts of Extratropical Transition of Tropical Cyclones," USPACCOM Tropical Cyclone Conference, Tokyo, Japan, 25-28 February 1997.

Harr, P.A., "Extratropical Transition," Workshop on Tropical Cyclone and Trough Interactions, Bad Tolz, Germany, 4-8 August 1997.

THESIS DIRECTED:

Klein, P.M., "Extratropical Transition of Western North Pacific Tropical Cyclones," Master's Thesis, Naval Postgraduate School, September 1997.

DoD TECHNOLOGY AREAS: Environmental Quality, Modeling and Simulation, Other (Environmental Effects)

KEYWORDS: Tropical Cyclones, Extratropical Transition, Midlatitude Cyclones, Numerical Weather Prediction.

MULTI-SCALE AIR-SEA INTERACTIONS

Tianming Li, Research Assistant Professor

C.-P. Chang, Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVE: To investigate multi-scale air-sea interactions in a coupled system and understand the physical mechanisms that determine the El Niño and the Southern Oscillation.

SUMMARY: The physical mechanisms that determine the north-south climatic asymmetry of the Intertropical Convergence Zone and determine the El Niño-Southern Oscillation phenomenon were investigated by means of simple conceptual coupled air-sea models and complex coupled general circulation models. A new ENSO theory was proposed based on observational analyses. The role of the time averaged of tropical climate on several observational analyses. The role of the time averaged state of tropical climate on seasonal and interannual variability was examined. The relative roles of clouds, surface evaporation, and ocean heat transport in the regulation of maximum sea surface temperatures in the tropics were also studied.

PUBLICATIONS:

Li, T., "Air-Sea Interactions of Relevance to the ITCZ: Analysis of Coupled Instabilities and Experiments in a Hybrid Coupled GCM," *Journal of the Atmospheric Sciences*, Vol. 54, No. 1, pp. 134-147, 1997.

Li, T., "Phase Transition of the El Niño-Southern Oscillation: A Stationary SST Mode," *Journal of the Atmospheric Sciences*, Vol. 54, No. 24, pp. 2872-2887, 1997.

PROJECT SUMMARIES

CONFERENCE PRESENTATIONS:

Li, T. and Hogan, T.F., "Seasonal and Interannual Climate Variability in a Coupled GCM," IAMAP & IAPSO Conference, Melbourne, Australia, July 1997.

Li, T. and Hogan, T.F., "The Role of the Time Mean State on Seasonal and Interannual Variability in a Coupled GCM," 22nd Annual Climate Diagnostic and Prediction Workshop, Berkeley, CA, October 1997.

Li, T., Hogan, T.F., and Chang, C.-P., "Dynamic and Thermodynamic Regulation of Ocean Warming," American Geophysical Union Fall Meeting, San Francisco, CA, December 1997.

DoD KEY TECHNOLOGY AREA: Other (Environmental Effects)

KEYWORDS: Tropical Meteorology, Satellite Data, NSCAT Data, Tropical Cyclones

DECADAL TELECONNECTIONS IN THE NORTH PACIFIC

Tom Murphree, Research Assistant Professor

Department of Meteorology

Sponsor: National Oceanic and Atmospheric Administration

OBJECTIVES: This is a new project designed to analyze the interactions of the ocean and atmosphere in the Northeast Pacific with remote parts of the global climate system occurring on decadal scales.

SUMMARY: This project is being conducted in collaboration with researchers at the Pacific Fisheries Environmental Laboratory (PFEL) of the National Oceanic and Atmospheric Administration. The goal is to develop a better understanding of the decadal variations of the Northeast Pacific Ocean, their interactions with the overlying atmosphere, and their teleconnections with remote parts of the ocean-atmosphere-land system. The project is part of a larger project titled, "Patterns, Sources, and Mechanisms of Decadal Environmental Variability in the Northeast Pacific: A Retrospective and Modeling Analysis," also being conducted by Professor Murphree and his collaborators at PFEL. This larger project examines decadal changes in the northeast Pacific and their role in ecosystem changes. Both projects are part of the northeast Pacific component of the Global Ocean Ecosystems Dynamics (GLOBEC) program. The primary research methods for both projects are: (1) analyses of observed oceanic and atmospheric data and (2) ocean model experiments.

The initial work on this project has focused on an analysis of the anomalies in several oceanic and atmospheric fields (e.g., sea surface temperature (SST), subsurface temperatures, sea level, surface and upper tropospheric winds) in the tropical and extratropical North Pacific during 1995-1997. The emphasis was on the evolution of seasonal to interannual anomalies during 1997. In the tropics, the major anomalies were part of the 1997-1998 El Niño event. In the extratropics, the initial anomalies were part of a longer term, and possibly decadal, event with links to the 1995-1996 La Niña event. During the early stages of the El Niño event (February-October 1997), there was little evidence of a direct link between the developing positive SSTAs in the equatorial central and eastern Pacific and the strengthening positive SSTAs in the extratropical northeast Pacific.

Instead, these northeast Pacific anomalies appear to have been driven by: (1) anomalous regional scale ocean-atmosphere feedbacks involving a weak development of the northeast Pacific (sea level pressure) High and (2) an anomalous atmospheric wave train emanating from East Asia during the summer and fall. The wave train appears to have been created by anomalous atmospheric convection and heating in the southeast Asian and Philippine Sea region. Both the regional feedbacks and the wave train contributed to an unusually weak northeast Pacific High during spring-fall 1997. This weak High led to weak southwestward trade winds, and contributed to the development of the El Niño event. Since the weak atmospheric heating and weak trades are part of the El Niño event, it appears that there may have been a feedback process operating during much of 1997 in which the tropical and extratropical Pacific anomalies reinforced each other. The evidence of a teleconnection involving oceanic Kelvin waves propagating from the equatorial Pacific into the extratropical northeast Pacific is very limited. However, there is evidence that poleward winds along the California coast may have generated coastal Kelvin waves during late winter and spring.

PROJECT SUMMARIES

PUBLICATIONS:

Murphree, T., van den Dool, H., and Schwing, F., "The Early Impacts of the 1997-1998 El Niño Event on North America," to be submitted to *Science*, March 1998.

Murphree, T. and Schwing, F., "The Role of Extratropical North Pacific Processes in the Development of the 1997-1998 El Niño Event," to be submitted to *Science*, March 1998.

Schwing, F., Hayward, T., Sakuma, K., Murphree, T., Mascarenas, A., Castillo, S., Mantyla, A., Cummings, S., Chavez, F., Baltz, K., and Ainley, D., "The State of the California Current, 1996-1997: Mixed Signals From the Tropics," *California Cooperative Oceanic Fisheries Investigations Reports*, Vol. 38, 28-54, 1997.

CONFERENCE PRESENTATIONS:

Murphree, T. and Schwing, F., "Tropical-Extratropical Interactions in the North Pacific During the 1997-1998 El Niño Event," to be presented at the Ocean Sciences Meeting, American Geophysical Union, San Diego, CA, February 1998.

Murphree, T. and Schwing, F., "Climate Variations and the Monterey Bay Region," Annual Lecture Series of the Monterey Dunes Natural History Association, Monterey, CA, November 1997.

Murphree, T., van den Dool, H., and Schwing, F., "The Impacts of the 1997-1998 El Niño Event on the North Pacific and North America," to be presented at the Pacific Climate Workshop, Santa Catalina, CA, April 1998.

DoD KEY TECHNOLOGY AREAS: Other (Environmental Effects, Environmental Processes, Environmental Monitoring, Environmental Modeling)

KEYWORDS: Climate System, Decadal Variations, El Niño, GLOBEC, Kelvin Waves, La Niña, Northeast Pacific, Teleconnections, Wave Train

PHYSICAL ASPECTS OF BIOLOGICAL VARIABILITY IN THE NORTHEAST PACIFIC OCEAN

Tom Murphree, Research Assistant Professor
Department of Meteorology

Sponsor: National Oceanic and Atmospheric Administration

OBJECTIVES: This is a new project designed to identify and develop indices based on oceanic and atmospheric factors that can be used to explain, and possibly predict, variations in salmon populations in the Northeast Pacific.

SUMMARY: This project is a collaborative effort with scientists at the Pacific Fisheries Environmental Laboratory of the National Oceanic and Atmospheric Administration. The project goal is to produce biologically relevant indices that represent important physical factors and processes, and their relationships with existing records of physical and biological change in the coastal areas of western North America. The focus is on local and remote oceanic and atmospheric processes affecting salmon in the Oregon-Washington region, and related changes in the Gulf of Alaska. Initial work has begun on identifying the interannual to decadal variations in the transport of energy, mass, and momentum into the northeast Pacific by the North Pacific Current (NPC), and the subsequent redistribution of NPC waters by the Alaska Current (AK) and California Current (CC). Early results support one of the project's hypotheses, that the long-term changes in the AC and the CC are out of phase with each other. This suggests that indices based on NPC variations may be especially useful for explaining and anticipating variations in the coastal northeast Pacific, a critical region for the success of many salmon populations.

PROJECT SUMMARIES

CONFERENCE PRESENTATIONS:

Bollens, S., Collins, C., Dugdale, B., Wilkerson, F., Cordell, J., Cole, B., Cloern, J., Murphree, T., Rago, T., Hayden, T., Avent, S., and Franklin, H., "Effects of Massive Flooding on the Biology and Physics of the San Francisco Bay and the Gulf of the Farallones," 14th International Estuarine Research Federation Conference, Estuarine Research Federation, Boston, MA, September 1997.

Murphree, T. and Schwing, F., "Climate Variations," to be presented at the Gentrain Lecture Series, Monterey Peninsula College, Monterey, CA, January 1998.

DoD KEY TECHNOLOGY AREAS: Other (Environmental Effects, Environmental Processes, Environmental Monitoring, Environmental Modeling)

KEYWORDS: Biological Variability, Decadal and Interannual Variations, Oceanic and Atmospheric Indices, Salmon Populations

TROPICAL AND GLOBAL PROCESSES

Tom Murphree, Research Assistant Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVES: This is a continuing project designed to determine the extent to which, and the mechanisms by which, tropical cyclones influence the circulation of distant parts of the extratropical atmosphere.

SUMMARY: This is a collaborative project with scientists at the Naval Research Laboratory in Monterey, CA. The focus is on how spatial and temporal variations in the ambient circulation affect the wave trains and teleconnections initiated by tropical cyclones (TCs). The results from modeling studies using the Navy's Operational Global Forecast Model (NOGAPS) and a nonlinear global shallow water model with realistic ambient fields were compared. Additional runs of the second model were used to clarify the low frequency wave dynamics associated with a variety of TC tracks in the Indian, Pacific, and Atlantic basins. The results from both models indicate that: (1) a recurving track is most effective at producing an extratropical wave train response to a TC; (2) wave train generation is most pronounced in regions of high ambient vorticity flux; (3) wave propagation is strongly guided by the subtropical jets; and (4) summer wave trains tend to be more zonal and less stationary than winter ones.

PUBLICATIONS:

Murphree, T. and Gelaro, R., "Equatorial Ocean Kelvin Waves and Tropical Cyclones during El Niño Events," *Journal of Geophysical Research*, May 1998 to be submitted.

Murphree, T. and Gelaro, R., "The Global Impacts of Tropical Cyclones. Part I: Idealized Model Results," *Journal of Climate*, April 1998 to be submitted.

Murphree, T., Gelaro, R., and Goerss, J., "The Global Impacts of Tropical Cyclones. Part II: Forecast Model Results," *Journal of Climate*, April 1998 to be submitted.

PRESENTATIONS:

Murphree, T., "El Niño Events and Their Global Impacts," Superintendent's Guest Lecture, Naval Postgraduate School, Monterey, CA, November 1997.

PROJECT SUMMARIES

Murphree, T., "El Niño Events and Their Impacts on California," Meeting of California Governor's Staff Members with Monterey Bay Regional Consortium, Monterey, CA, November 1997.

DoD KEY TECHNOLOGY AREAS: Other (Environmental Effects, Environmental Processes, Environmental Monitoring, Environmental Modeling)

KEYWORDS: Climate Dynamics, Extended Range Forecasts, Teleconnections, Tropical Cyclones

MAURY PROJECT DEVELOPMENT AND IMPLEMENTATION

Tom Murphree, Research Assistant Professor

Department of Meteorology

Sponsor: U.S. Naval Academy

OBJECTIVES: The is a new project designed to develop and present educational materials on physical oceanography to pre-college teachers.

SUMMARY: This project is conducted in collaboration with colleagues in the Oceanography Department of the U.S. Naval Academy and the American Meteorological Society (AMS). The project is part of a larger national Maury Project administered by the AMS, and funded by the National Science Foundation, the Naval Meteorology and Oceanography Command, and National Oceanic and Atmospheric Administration. The purpose of the national project is to develop and present educational materials on physical oceanography to pre-college teachers from around the country and other nations.

Several educational publications were produced and a two-week intensive summer course was presented. The oceanographic subjects covered by Professor Murphree in his work on the overall Maury Project included: currents, waves, tides, Ekman processes, air-sea interaction, ocean acoustics, El Niño and La Niña events, satellite sensing of the ocean, and ocean modeling. The summer presentations included at-sea, laboratory, and classroom presentations, including computer-aided analyses of oceanographic data. As part of the Naval Postgraduate School project, additional workshops were made to pre-college educators in California.

PUBLICATIONS:

Maury Project Team, "Air-Sea Interaction: A Maury Project Teacher's Guide," American Meteorological Society, 14 pp., Washington, D.C., 1997.

Maury Project Team, "Ocean Sound: A Maury Project Teacher's Guide," American Meteorological Society, 13 pp., Washington, D.C., 1997.

Maury Project Team, "Ocean Tides on the Web: A Maury Project Activity," American Meteorological Society, 8 pp., Washington, D.C., 1997.

Maury Project Team, "Shallow Water Ocean Waves: A Maury Project Teacher's Guide," American Meteorological Society, 15 pp., Washington, D. C., 1997.

Maury Project Team, "El Niño: A Maury Project Teacher's Guide," American Meteorological Society, Washington, D. C., 1998, in press.

Maury Project Team, "Ocean Eddies and Rings: A Maury Project Teacher's Guide," American Meteorological Society, Washington, D.C., 1998, in press.

Smith, D., Guth, P., Viera, M., Whitford, D., Jones, D., Eisman, G., Strong, A., Kren, R., Dillner, D., Round, R., Geer, I., McManus, D., and Murphree, T., "The Maury Project: A Three-Year Update," *Proceedings of the Sixth Symposium on Education at the Annual Meeting of American Meteorological Society*, Long Beach, CA, January 1997.

PROJECT SUMMARIES

CONFERENCE PRESENTATIONS:

Murphree, T., "Predicting the Impacts of El Niño Events," Fall Meeting of the American Geophysical Union, San Francisco, CA, December 1997.

Smith, D., Guth, P., Viera, M., Whitford, D., Jones, D., Eisman, G., Strong, A., Kren, R., Dillner, D., Round, R., Geer, I., McManus, D., and Murphree, T., "The Maury Project: A Three-Year Update," Sixth Symposium on Education at the Annual Meeting of American Meteorological Society, Long Beach, CA, January 1997.

Smith, D., Saltzman, J., Viera, M., Whitford, D., Wright, W., Robichaud, R., Geer, I., McManus, D., and Murphree, T., "The Maury Project: A Look to the Future," Second Conference on Coastal Processes and Prediction and the Seventh Symposium on Education at the Annual Meeting of American Meteorological Society, Phoenix, AZ, January 1998, to be presented.

DoD KEY TECHNOLOGY AREAS: Other (Environmental Effects, Environmental Processes, Environmental Monitoring, Environmental Modeling)

KEYWORDS: Physical Oceanography, Pre-College Science Education

MARINE ADVANCED TECHNOLOGY EDUCATION PROJECT

Tom Murphree, Research Assistant Professor

Department of Meteorology

Sponsor: National Science Foundation

OBJECTIVES: There is a new project to develop and implement a national program in marine advanced technology education.

SUMMARY: This project is part of a larger national project, the Marine Advanced Technology Education (MATE) Center, based at Monterey Peninsula College in Monterey, CA with the Naval Postgraduate School (NPS) being one of several partner institutions from around the country. The MATE Center began operating in September 1997 with funding from the National Science Foundation. The Center is designed to develop and coordinate a national program for marine science, engineering, and technology education involving high schools, technical schools, community and four-year colleges, graduate schools, military schools, and industry schools. The Center emphasizes intensive interactions between educational institutions and industry, military, government, and labor organizations.

In the NPS portion of the project, initial work has been completed to: (1) set up the national center's master plan, infrastructure, and staffing; (2) develop and coordinate collaborations between four-year colleges, graduate schools, and research organizations; (3) develop and coordinate collaborations with the U.S. Navy and Coast Guard; and (4) develop curricula, especially in the physical aspects of oceanography. One of the collaborations being pursued with the U.S. Navy is the development of a new curriculum for the Navy's oceanographic and atmospheric technicians who are educated at the Navy's meteorology and oceanography (METOC) A School.

PUBLICATION:

Crane, N., Matray, K., Murphree, T., Sullivan, D., Robertson, V., and Stewart, B., "Critical Issues in Marine Advanced Technology Education: A Report From a National Forum," National Science Foundation, Heritage Press, 51 pp., 1997.

CONFERENCE PRESENTATION:

Crane, N., Sullivan, D., Cook, S., Matray, K., Murphree, T., Robertson, V., and Kelly, V., "Developing an Infrastructure for Marine Technology Education," Annual Meeting of the American Association of Underwater Sciences, Alexandria, VA, September 1997.

PROJECT SUMMARIES

DoD KEY TECHNOLOGY AREAS: Other (Environmental Processes, Environmental Monitoring, Environmental Modeling)

KEYWORDS: Marine Technology, Science and Engineering Education

REAL-TIME ENVIRONMENTAL INFORMATION NETWORK AND ANALYSIS SYSTEM (REINAS)

Wendell A. Nuss, Associate Professor

Department of Meteorology

Sponsor: Office of Naval Research and University of California, Santa Cruz

OBJECTIVE: The objective of this research is to develop a mesoscale observing network and real-time data assimilation system for the Monterey Bay region and assist University of California, Santa Cruz develop computer database and visualization tools.

SUMMARY: This is an ongoing project and during 1997 the Naval Postgraduate School contribution to this joint research effort has focused on developing REINAS into a quasi-operational system and utilizing it for scientific studies. The database breadth was extended by adding the California Irrigation Management Service (CIMIS) stations to REINAS. The addition of these stations puts the number of surface observing sites cataloged in REINAS near 200. Work continues through the collaborations in the Bay Area Mesonet Initiative (BAMI) to expand the sites going into REINAS. Both the Monterey Bay and San Francisco Bay area air pollution agencies are now feeding data into the REINAS system. Three-dimensional multiquadric interpolation-based data assimilation system was implemented to routinely perform local mesonet analyses and is used to start the NPS MM5 real-time model runs for California. The three dimensional multiquadric interpolation approach to data assimilation has demonstrated the need to analyze the surface observations in complex topography in three dimensions. Previous analysis using two dimensional analysis approaches produced unrealistic thermal and wind analyses in the complex mesoscale topography. The three dimensional approach correctly analyzes the horizontal and vertical thermal structure in the boundary layer with observations distributed over the topography in the domain. These results show promise to allow surface observations to have a more substantial impact on model forecasts, at least in the short ranges (12-24 hours).

The local mesoscale analyses have been used in studies of the Monterey Bay sea breeze and its relationship to the synoptic-scale flow, which are nearly complete and a paper is in progress describing these results. A thorough analysis of the June 1996 time period has shown that identifiable differences in the horizontal and vertical structure of the circulation over the Monterey Bay occur under different synoptic regimes. Last year it was reported that differences for onshore and offshore directed synoptic-scale flows were seen in the sea breeze intensity and tendency for horizontal circulations within the Monterey Bay region. These differences were strongly related to the depth of the marine layer and its impact on the surface heating. Horizontal differences have also been found which relate to the interaction of the complex topography and the surface heating. These results are being written up in a paper. Although the thermally forced circulations are easily understood from a theoretical standpoint, the results of this study suggest that the interaction of many factors is critical in understanding the detailed flows in a complex coastal environment.

PUBLICATIONS:

Baskett, R.L., Lee, R.L., Nuss, W.A., Bornstein, R.D., Reynolds, D.W., Umeda, T., and Ludwig, F.L. "The Bay Area Mesonet Initiative (BAMI): A Cooperative Effort to Develop and Operate a Real-Time Mesonet in the Greater San Francisco and Monterey Bay Areas," *Preprints of the Symposium on Integrated Observing Systems*, Phoenix, AZ, January 1998.

Nuss, W.A., "The Real-Time Information and Analysis System (REINAS): A Scalable, Mesoscale Observing and Data Management System," *Preprints of the 14th Conference on Interactive and Image Processing Systems for Meteorology, Oceanography, and Hydrology*, Phoenix, AZ, January 1998.

PROJECT SUMMARIES

Nuss, W.A., "The Real-Time Information and Analysis System (REINAS): A System to Support Mesoscale Forecasting," *Preprint of the Pacific Coast Forecast System Workshop*, Monterey, CA, August 1997.

Vesecky, J.F., Ludwign, F.L., Teague, C.C., Nuss, W.A., Onstott, R.G., Hansen, P., Fernandez, D., Daida, J., and Fischer, K., "Estimating the Surface Wind Field over Coastal Oceans Using Multi-Frequency, High Frequency Radar and In-Situ Observations," *Preprints in the Second Conference on Coastal Atmospheric and Oceanic Prediction and Processes*, Phoenix, AZ, January 1998.

CONFERENCE PRESENTATIONS:

Baskett, R.L., Lee, R.L., Nuss, W.A., Bornstein, R.D., Reynolds, D.W., Umeda, T., and Ludwig, F.L., "The Bay Area Mesonet Initiative (BAMI): A Cooperative Effort to Develop and Operate a Real-Time Mesonet in the Greater San Francisco and Monterey Bay Areas," *Symposium on Integrated Observing Systems*, Phoenix, AZ, January 1998, to be presented.

Nuss, W.A., "The Real-Time Information and Analysis System (REINAS): A Scalable, Mesoscale Observing and Data Management System," 14th Conference on Interactive and Image Processing Systems for Meteorology, Oceanography, and Hydrology, Phoenix, AZ, January 1998, to be presented.

Nuss, W.A., "The Real-Time Information and Analysis System (REINAS): A System to Support Mesoscale Forecasting," *Pacific Coast Forecast System Workshop*, Monterey, CA, August 1997.

Vesecky, J.F., Ludwig, F.L., Teague, C.C., Nuss, W.A., Onstott, R.G., Hansen, P., Fernandez, D., Daida, J., and Fischer, K., "Estimating the Surface Wind Field over Coastal Oceans Using Multi-Frequency, High Frequency Radar and In-Situ Observations," *Second Conference on Coastal Atmospheric and Oceanic Prediction and Processes*, Phoenix, January 1998, to be presented.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Coastal Meteorology, Data Management, Coastal Observing Network

MESOSCALE COASTALLY-TRAPPED RESPONSE TO SYNOPTIC-SCALE VARIABILITY

Wendell A. Nuss, Associate Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: The primary long-term goal of this ongoing project is to understand the role that synoptic-scale circulations play in the generation, propagation, and decay of coastally-trapped disturbances. Additional goals are to understand the interaction of large-scale flows with coastal topography and the nature of the mesoscale response on the lee and windward sides of the topography, as well as to improve the forecasting of the mesoscale structure based upon the large-scale circulation.

SUMMARY: This is an ongoing project and the primary tasks completed in 1997 have been related to the analysis of observations collected during the summer 1996 field program. These observations and other routine observations have been used to re-analyze the summer 1996 stratus surge events plus selected coastal jet periods. Mesoscale surface analyses and synoptic-scale analyses of the upper-levels is being carried out for all wind reversal cases from 1996 and is nearly complete. These analyses include all available experimental data and will be used for diagnostic studies. In addition to the processing of the 1996 field program cases, the classification of wind reversal cases from 1994-1996 has been completed and enhanced during 1997.

During 1997, the boundary layer characteristics associated with these events have been added to the classifications using the NPS 915 MHZ profiler. As reported last year, the events clearly stratify into propagating and non-propagating

PROJECT SUMMARIES

events. The propagating events are all characterized by an offshore directed cross-coast wind component while the non-propagating events have offshore flow only to the north of the disturbance. The significant addition to this finding during the past year has been to show that the boundary layer structure at Monterey is generally very consistent with this basic synoptic-scale forcing. The marine layer depths are characteristically much lower for propagating than non-propagating events. Furthermore, the propagating events were found to have an 850 mb height gradient opposite to the surface pressure gradient indicating the importance of the marine layer in reversing the coastal pressure gradient in propagating disturbances. Non-propagating events tended to have 850 mb height gradients in the same sense as the surface pressure gradient suggesting that the structure above the marine layer is sufficient to force southerly surface flows.

A study of the synoptic-scale relationships to the occurrence of a coastal jet near Pt. Sur was also done in 1997. This study utilized the NCEP Eta model and observations from buoy 46028 to relate wind speed at buoy 28 to synoptic-scale pressure gradient orientation. The basic hypothesis was that the coastal jet is related more to the along-coast pressure gradient than the geostrophic cross-coast pressure gradient. A key result from the coastal jet study (Stevens) near Pt. Sur was that the synoptic-scale pressure gradient orientation was relatively important in explaining the occurrence of high winds. The buoy winds were higher than the model winds by 7-8 m/s, which was the observed mean wind speed. This indicated the inability of the synoptic scale data assimilation to account for these coastal winds. Interestingly, the coastal jet was most pronounced when the geostrophic flow was primarily offshore (along-shore pressure gradient), which suggests that topographic trapping is important in the coastal jet formation. These results are being examined more closely in an ongoing analysis of the observations.

PUBLICATIONS:

Dorman, C.E., Rogers, D.P., Nuss, W.A., and Thompson, W., "Adjustment of the Summer Marine Boundary Layer Around Pt Sur, California," *Monthly Weather Review*, 1998, submitted.

Nuss, W.A., "Synoptic-Scale Structure and the Character of Coastally Trapped Disturbances," *Preprints of the Second Conference on Coastal Atmospheric and Ocean Prediction and Processes*, Phoenix, AZ, January 1998.

Miller, D. and Nuss, W.A., "Classification and Numerical Simulation of California Coastal Surges," *Preprints of the Second Conference on Coastal Atmospheric and Ocean Prediction and Processes*, Phoenix, AZ, January 1998.

Ralph, F.M., Armi, L., Bane, J.M., Dorman, C., Neff, W.D., Neiman, P.J., Nuss, W.A. and Persson, P.O.G., "Observations and Analysis of 10-11 June 1994 Coastally Trapped Disturbance," *Monthly Weather Review*, 1998, accepted.

Rogers, D.P., Dorman, C.E., Edwards, K., Brooks, I., Melville, K., Burk, S., Thompson, W., Holt, T., Strom, L., Tjernstrom, M., Grisogono, B., Bane, J., Nuss, W.A., Morley, B., and Schanot, A., "Highlights of Coastal Waves 1996," *Bulletin of the American Meteorology Society*, 1998, accepted.

CONFERENCE PRESENTATIONS:

Nuss, W.A., "Synoptic-Scale Structure and the Character of Coastally Trapped Disturbances," Second Conference on Coastal Atmospheric and Ocean Prediction and Processes, Phoenix, AZ, January 1998, to be presented.

Miller, D. and Nuss, W.A., "Classification and Numerical Simulation of California Coastal Surges," Second Conference on Coastal Atmospheric and Ocean Prediction and Processes, Phoenix, AZ, January 1998, to be presented.

THESIS DIRECTED:

Stevens, R.S., "Sensitivity of the California Coastal Jet to Synoptic Scale Flow," Master's Thesis, Naval Postgraduate School, September 1997.

PROJECT SUMMARIES

DoD KEY TECHNOLOGY AREAS: Battlespace Environments

KEYWORDS: Coastal Meteorology, Coastal Forecasting, Coastal Observations

EVALUATION OF SATELLITE-DERIVED INFORMATION AS AN ANALYSIS TOOL AND TO IMPROVE PREDICTABILITY OVER CONVENTIONAL DATA-SPARSE REGIONS

Wendell A. Nuss, Associate Professor

Douglas K. Miller, Research Assistant Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: The long-term goal of this project is to develop a method to assimilate satellite observations into a mesoscale model to improve prediction in data sparse regions. The objectives of this research are to assimilate satellite cloud drift winds, satellite soundings, and irradiances into a mesoscale model using multiquadric interpolation. The system will be tested using data obtained during FASTEX and validation tests done to demonstrate its capability.

SUMMARY: This is the early stages of the project and so only limited tasks have been completed. To date these include getting the MM5 model running routinely with the multiquadric data assimilation system. Cases from FASTEX have been selected in collaboration with Chris Velden, University of Wisconsin. Satellite data sets have been obtained from Chris Velden and software to read these data sets has been written.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments

KEYWORDS: Marine Meteorology, Numerical Forecasting, Data Assimilation

CASE STUDIES OF THE ABILITY OF THE NAVY'S MESOSCALE DATA ASSIMILATION SYSTEM TO DEPICT MESOSCALE PHENOMENA

Patricia M. Pauley, Research Associate Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVE: The goal of this research is to examine the performance of the Navy's mesoscale data assimilation system for cases with strong mesoscale forcing. Features such as jet streaks and upper fronts stretch the limits of the capability of the data assimilation system, but are important weather producers and therefore should be both analyzed and predicted. This was the third year of a three-year project.

SUMMARY: The work performed during calendar year 1997 involved preparing publications and writing computer code. In association with a thesis student, a comparison of methods used to compute sea-level pressure from either station or model data was completed and has been accepted for publication in *Weather and Forecasting*. This work was motivated by difficulties in preparing manual analyses to be used in verifying surface features associated with upper fronts. Two preprint articles were also prepared detailing thesis work undertaken by two other students. One presented a case study from April 1997 using COAMPS analyses. The other summarized results from a two-month comparison of aircraft observations and NORAPS analyses for upper front cases. Quality control software that was developed as part of the latter effort is being transitioned to operational use at NRL, as is the COAMPS diagnostic code that was used in the thesis research.

PUBLICATIONS:

Pauley, P.M., "An Example of Uncertainty in Sea Level Pressure Reduction," *Weather and Forecasting*, 1998, accepted.

PROJECT SUMMARIES

Pauley, P.M. and Stephens, E.L., "A Comparison of Upper Front Strength as Analyzed by NORAPS and as Observed by ACARS-Equipped Aircraft," *Preprints of the 16th Conference on Weather Analysis and Forecasting*, pp. 95-97, Phoenix, AZ, 11-16 January 1998.

Schwingshaki, K.J. and Pauley, P.M., "A Case Study of Strong Surface Winds Associated with an Upper Front Using the COAMPS Data Assimilation System," *Preprints of the 16th Conference on Weather Analysis and Forecasting*, pp. 92-94, Phoenix, AZ, 11-16 January 1998.

CONFERENCE PRESENTATIONS:

Pauley, P.M. and Stephens, E.L., "A Comparison of Upper Front Strength as Analyzed by NORAPS and as Observed by ACARS-Equipped Aircraft," 16th Conference on Weather Analysis and Forecasting, Phoenix, AZ, 11-16 January 1998.

Schwingshaki, K.J. and Pauley, P.M., "A Case Study of Strong Surface Winds Associated with an Upper Front Using the COAMPS Data Assimilation System," 16th Conference on Weather Analysis and Forecasting, Phoenix, AZ, 11-16 January 1998.

THESES DIRECTED:

Burke, S.T., "A Case Study of High Winds Induced by Upper-Level Frontogenesis and Tropopause Folding," Master's Thesis, Naval Postgraduate School, March 1997.

Schwingshaki, K.J., "Investigation of Strong Surface Winds Associated with an Upper Front Using COAMPS," Master's Thesis, Naval Postgraduate School, September 1997.

Stephens, E.L., "A Comparison of Upper Front Strength as Analyzed by NORAPS and as Observed by ACARS-Equipped Aircraft," Master's Thesis, Naval Postgraduate School, September 1997.

OTHER:

Computer code that reads in COAMPS fields and performs a variety of diagnostic quantities was modified by the PI from a previous version that read in NORAPS fields for use in the thesis research by LCDR Ken Schwingshaki and has been implemented at NRL as part of the COAMPS suite. In addition, the aircraft quality control code that was developed by the PI for use in the thesis research by LT Ed Stephens is currently being implemented as part of the 3-D variational objective analysis system at NRL.

DoD KEY TECHNOLOGY AREA: Other (Environmental Effects)

KEYWORDS: Data Assimilation, Jet Streak, Upper Front, Aircraft Observations

TROPICAL CYCLONE MOTION STUDIES

Elizabeth A. Ritchie, Research Assistant Professor

Russell L. Elsberry, Professor

Patrick A. Harr, Research Assistant Professor

Department of Meteorology

Sponsor: Office of Naval Research

OBJECTIVE: As a tropical cyclone interacts with the environment, asymmetries develop that directly affect the motion and intensity of the storm. The objective of this portion of the continuing project is to study interactions with convective asymmetries that have been hypothesized to impact the development, structure, and motion of tropical cyclones, with

PROJECT SUMMARIES

baroclinic environments within the tropics and in the midlatitudes during extratropical transition, and with topography as a tropical storm makes landfall.

SUMMARY: The motion and structural development of tropical cyclones in a baroclinic vertical shear environment has been numerically simulated using a high resolution, mesoscale model (MM5). Important results include the identification of persistent patterns of asymmetric convection and rainfall in the front-left quadrant of the storm rather than the front-right predicted by corresponding dry simulations. The first-order structural changes in the core of a tropical cyclone due to persistent asymmetric convection have also been studied. It was found that the rate of intensification of a tropical cyclone with asymmetric convection was slower than that for a symmetrically forced tropical cyclone. However with time, the same maximum intensity was attained.

A case study of a transitioning typhoon over Japan has been run using the Coupled Ocean-Atmosphere Mesoscale Prediction System (COAMPS) from the Naval Research Laboratory-Monterey. Although the predicted storm motion was slow for the COAMPS simulation, the path was very close to that observed, and rainfall patterns closely matched the SSM/I data. In general, the COAMPS system appeared to have captured the main aspects of the extratropical transition as well as complex topographical interactions as the typhoon interacted with the high terrain.

PUBLICATIONS:

Frank, W.M. and Ritchie, E.A., "Environmental Effects on the Structure of Tropical Storms," *Proceedings of the 22nd Conference on Hurricanes and Tropical Meteorology*, pp. 352-353, American Meteorology Society, Boston, MA, 1997.

Ritchie, E.A. and Frank, W.M., "Effects of Convective Asymmetries on the Structure of Tropical Storms," *Proceedings of the 22nd Conference on Hurricanes and Tropical Meteorology*, pp. 199-200, American Meteorology Society, Boston, MA, 1997.

CONFERENCE PRESENTATIONS:

Ritchie, E.A., "Tropical Cyclone-PV Anomaly Interactions," Workshop on Tropical Cyclone - Trough Interactions," Bad Tolz, Germany, 4-8 August 1997.

Ritchie, E.A., Harr, A., and Elsberry, R.L., "A Study of the Development of Mesoscale Asymmetries in Tropical Cyclone Structure during Landfall," The 12th Conference on Numerical Weather Prediction, Phoenix, AZ, 11-16 January 1998.

DoD KEY TECHNOLOGY AREAS: Environmental Quality, Modeling and Simulation

KEYWORDS: Tropical Cyclones, Mesoscale Modeling

ANALYSES OF AIRCRAFT MEASUREMENTS OF THE STABLE BOUNDARY LAYERS IN THE ARCTIC

Qing Wang, Assistant Professor
Department of Meteorology

Sponsor: National Aeronautics and Space Administration-Langley

OBJECTIVE: The objective of this project is to understand the length scales controlling the turbulence transport over the Arctic Oceans. The goal is to construct optimal strategies for improved measurements in FIRE-III/SHEBA.

SUMMARY: Aircraft measurements on boundary layer turbulence structure were made by the NCAR C-130 during the Beaufort Arctic Storms Experiment (BASE) in 1994. Data from three flights during the BASE experiment have been analyzed to study the characteristics of the stable Arctic boundary layer that is usually characterized by weak turbulent mixing and significant wave and mesoscale perturbations. Spectral analyses have been performed for all leveled legs in the selected flights. Turbulence variance and fluxes were then obtained from the spectra and co-spectra. In general, very weak

PROJECT SUMMARIES

turbulence field in the fall season Arctic boundary layer were found, which is reflected in the variances of momentum, temperature, and water vapor (when available). Buoyancy flux was in general negative, consistent with the stable stratification. Also examined was the turbulence length scale for the first and second moment variables in the stable boundary layer. Our results indicate that the integral scale for the second-moment variables, such as fluxes and variances, are smaller than those for the mean variables. However, the second-moment variables have much larger spatial variation, suggesting that a much longer measurement length is needed in order to obtain statistically significant results. Further comparison of the results with those from the convective boundary layer is currently underway.

PUBLICATION:

Paluch, I.R., Lenschow, D.H., and Wang, Q., "The Arctic Boundary Layer in the Fall Season over Open and Frozen Sea," *Journal of Geophysical Research*, 120, pp. 25,955-25,971, 1997.

DoD KEY TECHNOLOGY AREA: Environmental Quality

KEYWORDS: Boundary Layer Meteorology, Turbulence Structure

CHARACTERISTICS OF THE MARINE BOUNDARY LAYER DURING AEROSOL CHARACTERIZATION EXPERIMENT (ACE-1)

Qing Wang, Assistant Professor

Department of Meteorology

Sponsors: National Science Foundation and Naval Postgraduate School

OBJECTIVE: The objective of this project is to study mechanisms for turbulent mixing in the marine boundary layer. The goal is to examine the effects of boundary layer dynamics in determining the chemical and physical properties of atmospheric aerosols.

SUMMARY: This project focuses on the boundary layer dynamics that have profound effects on aerosol mixing and evolution in marine boundary layers. The measurements were made during the Southern Hemisphere Aerosol Characterization Experiment (ACE-1). The particular data sets used here were from the Lagrangian measurements where an instrumented aircraft followed the same air column for three days. The evolution of the boundary layer turbulence characteristics and entrainment mixing across the interface between the marine boundary layer and the troposphere or the buffer layer has been studied. From the previous year's research, it was found that the boundary layer was separated into two layers. The lower layer was characterized by shear generated turbulence, while the upper layer had weak stable stratification with weak and intermittent turbulence fields. Layering of aerosols was also evident in the upper boundary layer, called the buffer layer. In 1997, the characteristics of turbulent mixing were further examined through detailed data analysis. The focus was on the horizontal variability of turbulence mixing due to variations in sea surface temperature. Boundary layer structure was examined separately for regions where surface shear and buoyancy forcing played significantly different roles. The role of cloud in entrainment mixing was also analyzed.

PUBLICATIONS:

Suhre, K., Mari, C., Bates, T.S., Johnson, J.E., Rosset, R., Wang, Q., Bandy, A.R., Blake, D.R., Eisele, F.L., Kok, G.L., Mauldin, R.L., III, Prévot, A., Schillawski, R.D., and Thornton, D.C "Physico-Chemical Modeling of ACE-1 Lagrangian #B. 1. A Moving Column Approach," *Journal of Geophysical Research*, 1997, accepted.

Wang, Q. and Pan, L., "Boundary Layer Evolution in Response to Variations of Sea Surface Temperature and Wind Shear," *Preprints of the 12th Symposium on Boundary Layers and Turbulence*, pp. 34-35, American Meteorological Society, Vancouver, Canada, 28 July-1 August 1997.

PROJECT SUMMARIES

CONFERENCE PRESENTATION:

Wang, Q. and Pan, L., "Boundary Layer Evolution in Response to Variations of Sea Surface Temperature and Wind Shear," 12th Symposium on Boundary Layers and Turbulence, American Meteorological Society, Vancouver, Canada, 28 July-1 August 1997.

DoD KEY TECHNOLOGY AREA: Environmental Quality

KEYWORDS: Boundary Layer Measurements, Entrainment, Aerosol Study

OBSERVATIONS AND DATA ANALYSIS OF THE MARINE BOUNDARY LAYER AND CLOUD DURING THE AEROSOL CHARACTERIZATION EXPERIMENTS (ACE)

Qing Wang, Assistant Professor

Philip A. Durkee, Professor

Department of Meteorology

Sponsor: National Science Foundation

OBJECTIVE: The objectives of this study were to understand the role of boundary layer turbulence in aerosol and cloud evolution from observational data. A secondary objective of this first year effort is to implement and evaluate a measurement system designed to measure turbulence perturbations of air velocity and temperature. The goal is to understand the indirect effects of marine and anthropogenic aerosols in regulating global radiation and to establish a turbulence measurement and data reduction system for the Center for Interdisciplinary Remotely-Piloted Aircraft Studies (CIRPAS) at NPS.

SUMMARY: 1997 was the first year of this NSF sponsored project. This study involved observations from both ACE-1 and ACE-2 experiments. The work on ACE-1 has been summarized in a previous summary (listed as sponsored by NSF and NPS). Major efforts for the ACE-2 experiment in this first year include: (1) Instrument evaluation for preparation of the field measurement. The set of instrument investigated include a differential pressure sensing system built by NOAA ATDD for true air velocity, flow angle, and high-frequency attitude angle measurements, a differential GPS system for aircraft velocity measurements, and a separate GPS system for attitude angle measurements. Potential effects of the flow distortion on turbulence and aerosol measurements were also evaluated. (2) Participation in the field measurement in ACE-2. Involvement was in both flight planning and on-site preliminary data analysis. On-site data analysis proved to be helpful since it was the first step in data quality checking. (3) Development of techniques for turbulence data reduction from the unique measurement system on the CIRPAS Pelican. Considerable work has been done in algorithm development for the data reduction. Preliminary results will be presented at the ACE-2 Pelican data workshop in early 1998.

PUBLICATIONS:

Wang, Q., Suhre, K., Krummel, P., Siems, S., Pan, L., Bates, T.S., Johnson, J.E., Lenschow, D.H. Heubert, B., Kok, G.L., Schillawski, R.D., Prévot, A., and Businger, S., "Characteristics of the Marine Boundary Layers during Two Lagrangian Measurement Periods. Part I: General Conditions and Mean Characteristics," *Journal of Geophysical Research*, 1997, submitted.

Wang, Q., Lenschow, D.H., Pan, L., Schillawski, R.D., Kok, G.L., Prévot, A.S.H. Laursen, K., Russell, L.M., Bandy, A., Thornton, D., and Suhre, K., "Characteristics of the Marine Boundary Layers during Two Lagrangian Measurement Periods Part II: Turbulence Structure," *Journal of Geophysical Research*, 1997, submitted.

DoD KEY TECHNOLOGY AREA: Environmental Quality

KEYWORDS: Boundary Layer Measurements, Aerosol-Cloud Interaction

PROJECT SUMMARIES

NUMERICAL MODELING OF TURBULENCE-AEROSOL-CLOUD INTERACTION

Qing Wang, Assistant Professor
Department of Meteorology
Sponsor: Naval Research Laboratory

OBJECTIVE: The objective for this project is to understand the interaction between turbulence, atmospheric aerosols, and marine stratocumulus clouds. The feasibility of incorporating size-resolved aerosol and cloud microphysics into a mesoscale model, such as COAMPS, will also be evaluated based on sensitivity of results from the current 1-D model on choice of the number and width of bins for aerosol and clouds.

SUMMARY: This study is a modeling effort to address the indirect radiative effect of aerosol, i.e., its interaction with low-level clouds. Results from past 1-D models with explicit aerosol and cloud microphysics have shown significant discrepancies from results from large eddy simulations and/or observations. This was caused by unrealistic representation of aerosol activation and droplet growth processes. The first year's research on this project focused on model development and testing. The coupling of a 1-dimensional third-order turbulence closure model with a size resolved aerosol and cloud microphysics model has been finished. Initial testing of the new coupled model has been performed using initial condition from GCSS model comparison workshop. Although aerosol activation and droplet growth in the current model are still forced by the mean supersaturation, a full coupling has been incorporated between aerosol-cloud microphysics and the boundary layer dynamics, which is a significant improvement from existing 1-D models of similar nature. Preliminary results will be presented at the ONR/NRL annual review (1997) at Monterey, CA, in early February 1998. More in-depth study is underway to incorporate special schemes to account for activation and droplet growth in turbulent updrafts and downdrafts.

DoD KEY TECHNOLOGY AREA: Environmental Quality

KEYWORDS: Boundary Layer Modeling, Aerosol-Cloud Interaction

COLLABORATIVE RESEARCH PROJECTS IN DIRECT SUPPORT OF FLEET NUMERICAL METEOROLOGY AND OCEANOGRAPHY CENTER (FNMOC) OPERATIONAL MISSION

Carlyle H. Wash, Professor
Department of Meteorology
Sponsor: Office of Naval Research

OBJECTIVE: The broad objective of this research is to execute collaborative research projects with the Fleet Numerical Meteorology and Oceanography Center (FNMOC). The collaboration includes NPS Meteorology faculty, NPS students conducting thesis research, and FNMOC personnel. These joint projects address FNMOC operational needs and advance the understanding of marine meteorology.

SUMMARY: Two collaborative thesis projects were supported in FY97 funding. The first project is: "Evaluation of FNMOC Scatterometer Derived Winds," by LCDR John Whalen, USN. NPS thesis advisor is Professor Kenneth Davidson and FNMOC collaborator is Chuck Skupniewicz. In this study, the scatterometer wind product from FNMOC is evaluated by in-situ winds obtained continuously from specially instrumented AEGIS cruisers.

The second project is: "An NWP-Perfect Prog Model for Selected Weather Elements," by LCDR Brian Bommarito, USN. NPS thesis advisor is Professor C.-P. Chang and FNMOC collaborator is Dr. Mary Alice Rennick. In this study, a prototype statistical forecast model is developed that uses NWP output to forecast weather elements such as ceiling height and probability of precipitation at particular locations.

THESIS DIRECTED:

Bommarito, B., "An NWP-Perfect Prog Model for Selected Weather Elements," Master's Thesis, Naval Postgraduate School, December 1997.

PROJECT SUMMARIES

Whalen, J., "Evaluation of FNMOC Scatterometer Derived Winds," Master's Thesis, Naval Postgraduate School, December 1997.

DoD KEY TECHNOLOGY AREAS: Battlespace Environments

KEYWORDS: Operational Mission, Marine Meteorology, FNMOC Support

DEVELOPMENT OF CLOUD FORECAST PRODUCTS FROM COUPLED OCEAN-ATMOSPHERE MESOSCALE PREDICTION SYSTEM (COMAPS)

Carlyle H. Wash, Professor

Department of Meteorology

Sponsor: Naval Research Laboratory-Monterey

OBJECTIVE: The objective of this effort is to develop a plan to produce cloud forecast products from the new NRL mesoscale model COAMPS, the Coupled Ocean-Atmosphere Mesoscale Prediction System. The plan includes a variety of cloud forecast products including cloud type and coverage, point cloud forecasts, and forecast of important aviation parameters.

SUMMARY: A research plan to develop cloud forecast products was developed during this project and was included in a major 6.2 new start proposal entitled, "Deriving Environmental Parameters for Flight Rehearsal and Planning." Support will be sought from the Defense Modeling and Simulation Office (DMSO).

CONFERENCE PRESENTATION:

Wash, C.H., "Validation of COAMPS Cloud Parameters," NRL/PL Model Applications Workshop, Monterey, CA, 11-12 March 1997.

DoD KEY TECHNOLOGY AREA: Modeling and Simulation

KEYWORDS: Cloud Forecasting, COAMPS, Mesoscale Modeling and Forecasting

BOUNDARY LAYER EFFECTS ON MESOSCALE PHENOMENON

Roger T. Williams, Professor

Department of Meteorology

Sponsor: Naval Research Laboratory

OBJECTIVE: To improve the simulation of mesoscale phenomena over topography with boundary layer effects.

SUMMARY: The effect of surface friction on the mountain gravity wave was investigated using a two-dimensional version of the U.S. Navy's nonhydrostatic Coupled Ocean/Atmosphere Mesoscale Prediction System (COAMPS). The effect of the surface friction was to reduce the amplitude and strength of the gravity wave activity on the lee side. It is hypothesized that the boundary layer acts as a quasi-material surface such that the flow encounters a mountain with a smoother slope on the upwind side and shallower height on the lee side. This hypothesis was verified using a simulation without surface friction in which the mountain profile was constructed by adding the PBL height from the control simulation to the terrain height. The flow generated in this simulation without surface friction was very similar to the flow in the control simulation, thus confirming the hypothesis. The results show that, as the terrain height increases, the boundary layer on the lee side is increasingly suppressed, indicating that the quasi-material surface becomes permeable to gravity wave energy for very steep mountains. This research was carried out in conjunction with Drs. M.S. Peng and W.T. Thompson of NRL-Monterey.

PROJECT SUMMARIES

PUBLICATION:

Williams, R.T., Neves, A.P.C., Peng, M.S., and Blumen, W., "Unbalanced Frontogenesis with Constant Potential Vorticity," *Proceedings of the 11th American Meteorological Conference on Atmospheric and Oceanic Fluid Dynamics*, pp. 95-96, Tacoma, WA, 23-27 June 1997.

CONFERENCE PRESENTATION:

Williams, R.T., Neves, A.P.C., Peng, M.S., and Blumen, W., "Unbalanced Frontogenesis with Constant Potential Vorticity," 11th American Meteorological Conference on Atmospheric and Oceanic Fluid Dynamics, Tacoma, WA, 23-27 June 1997.

DoD KEY TECHNOLOGY AREA: Battlespace Environments

KEYWORDS: Numerical Models, Topographic Effects, Boundary Layer

TROPICAL MONSOON-WAVE INTERACTIONS

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OBJECTIVE: This is a continuing project to study nonlinear interactions between tropical monsoon circulation and equatorial wave disturbances in the western Pacific.

SUMMARY: A numerical study of tropical waves propagating from the central to the western Pacific was completed. The periodic forcing produced westward moving (short) Rossby waves, which were trapped at the longitude where the mean zonal wind changed from easterly to westerly. When the forcing was increased the waves became nonlinear and they no longer had a single frequency. These waves were also totally trapped at the latitude of zero zonal wind. In both cases the east-west wave scale decreased as the waves approached the critical longitude. These numerical solutions did not show much energy accumulation in the zone where the zonal flow reverses. Rather, there was an indication of energy dispersion in the meridional direction and also some evidence of energy damping. Thus it appears that the wave energy may feed into the mean flow. This is an important result which will be analyzed further in order to shed light on the behavior of the waves in the confluence zone.

A study on tropical cyclones in different uniform mean flows was started. Both the planetary vorticity gradient and a mean flow generated asymmetry in the cyclone and limited the development of the cyclone. The intensity of a storm was inversely proportional to the strength of the wavenumber-one asymmetry, which imposed the ventilation flow across the center. An intensifying cyclone was associated with a small wavenumber-one asymmetry that allowed a more symmetric distribution of the surface momentum and heat fluxes and low-level moisture convergence around the center. A large ventilation flow generated a large asymmetry in the surface fluxes and moisture convergence. Due to the orientation of the wavenumber-one circulation induced by the beta effect in a quiescent environment, ventilation in the asymmetric circulation induced by a westerly basic flow canceled part of the beta effect while an easterly flow added to the beta effect. Therefore, a westerly flow was more favorable for tropical cyclone development when the planetary vorticity gradient was present.

A further study of tropical cyclone dynamics concerned the observed rotation of the eye of Typhoon Herb (1996). An elliptical eye was documented which rotated cyclonically with a period of approximately 144 minutes in Typhoon Herb. Two complete periods of approximately 144 minutes were observed in the Doppler radar data. Both linear and nonlinear theories were presented to explain the observed eye rotation. The intensification of the strongest monsoon disturbance over the East China Sea in a seven-year period was studied using potential vorticity diagnostics. The diagnostic used linear and nonlinear balance equations and identified the roles of diabatic heating, tropopause folding, and boundary layer processes in the low-level vorticity intensification. Previous studies have all indicated the dominant role of diabatic heating in the disturbance development. The result shows that in this case it was a very strong tropopause folding, and a resulting deep

PROJECT SUMMARIES

vertical coupling between three disturbances, at the lower, middle and upper troposphere respectively, that led to the strong intensification.

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1997 THESIS ABSTRACTS

EVALUATION OF LOW ALTITUDE ROCKET DROPSONDES FOR SHIPBOARD ATMOSPHERIC PROFILING AND ELECTROMAGNETIC PROPAGATION ASSESSMENT

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Master of Science in Meteorology and Physical Oceanography-December 1996

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Carlyle H. Wash, Department of Meteorology

A study was performed on two measurement systems used to obtain profiles of refraction from a ship—the radiosonde and the rocketsonde. Refractive conditions measured by the Marwin Rawinsonde Set (MRS) utilizing radiosondes launched from U.S. Navy ships can yield misleading modified refractivity (M) versus height profiles. MRS obtained M unit profiles, when incorporated in propagation loss models such as Radio Physical Optics (RPO), also may produce unrepresentative propagation loss assessments. Rocketsonde obtained environmental parameters (temperature, relative humidity, pressure) are measured away from the ship's influence. The ship can modify the environmental parameters and affect temperatures by as much as 3°C. Rocketsonde obtained data yield improved fine-scale vertical resolution. Resolution approaching 5m obtained via rocketsondes is found to most closely resemble the actual environment. Rocketsonde data is available down to the near-surface whereas there is a distinct lack of data from the surface to the launch point when utilizing balloons launched radiosondes. Inaccuracies in initial surface data drastically impact refractive profiles. Rocketsondes can be used regardless of sea state or wind conditions onboard ship and require no specific ship maneuvering to safely launch. It is found that the rocketsonde can obtain the requisite environmental parameters for refractive assessment on demand in less than half the time required to prepare and launch a balloon guided radiosonde.

REMOTE MEASUREMENT OF AEROSOL OPTICAL PROPERTIES USING THE NOAA POES AVHRR AND GOES IMAGER DURING TARFOX

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Master of Science in Meteorology and Physical Oceanography-June 1997

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A radiative transfer algorithm in the solar wavelengths for the NOAA POES AVHRR and GOES Imager is proposed for the cloud-free, marine atmosphere. The algorithm combines linear single-scattering theory with an estimate of bi-directional surface reflectance. Phase functions are parameterized using an aerosol distribution model and the ratio of radiance values measured in channels 1 and 2 of the AVHRR. Retrieved satellite aerosol optical depth is compared to airborne sunphotometer data and values derived from aerosol particle size distributions collected during the Tropospheric Aerosol Radiative Forcing Observational Experiment (TARFOX) in July 1996. Error in the satellite derived values from the AVHRR originates in error in modeling aerosol size distributions, corresponding phase function parameterization, and treatment of specular surface reflectance. Extension of the algorithm to the GOES Imager provided results consistent with the AVHRR.

A CASE STUDY OF HIGH WINDS INDUCED BY UPPER-LEVEL ERONTGENESIS AND TROPOPAUSE FOLDING

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Master of Science in Meteorology and Physical Oceanography-March 1997

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High surface winds over California and the bordering Pacific Ocean resulted in the death of one man and the loss of power to approximately 50,000 residences across the state. These damaging winds are hypothesized to result from an upper-level

1997 THESIS ABSTRACTS

front and associated tropopause folding that rapidly intensify as they move south across the region, causing high-momentum air to be transported to the lower troposphere. Once the high-momentum air reaches the top of the planetary boundary layer, the combined effects of destabilization of the planetary boundary layer by cold air advection aloft and shear-induced turbulence at the top of the layer provide the initial mechanism by which the high-momentum air is entrained into the layer and mixed to the surface. After sunrise, convectively-driven turbulence provides an additional source of mixing in the planetary boundary layer.

The winds have a strong cross-isobaric component in the direction of the upper-level winds, and the upper-level frontal movement to the south over central California is synchronous with the increase of surface winds over the same region. The winds decrease as the upper-level front moves into the base of the upper-level trough and the high-momentum source in the lower-troposphere disappears.

NAVAL INFRARED IMAGERY EXPLOITATION (U)

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Master of Science in Space Systems Operations-September 1997

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Infrared Remote sensors often detect thermal excess energy emanating from naval ships through the discharge of seawater used to cool the equipment in the engineering spaces. Once the thermal energy has been detected, the properties associated with the production of that thermal energy could be simulated using a three-dimensional hydrodynamic model. The parameters of the engineering plant are estimated when the simulated thermal plume provides a good representation to the observed energy discharged into the harbor. The synergy of data obtained remotely combined with hydrodynamic modeling can provide insight to the intentions of the vessels.

OBSERVATIONS OF MESOSCALE CONVECTIVE SYSTEMS DURING TROPICAL CYCONE GENESIS

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Master of Science in Meteorology-March 1997

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A better understanding of the role mesoscale convective systems (MCS) play in the formation stages of tropical cyclones will increase the ability to predict their occurrence and motion. This thesis employs high-resolution geostationary satellite imagery to observe the interaction between MCSs and their environment. Specifically, thirteen cases of tropical disturbances that eventually developed into tropical cyclones are analyzed to determine the role of MCSs in increasing the system organization. Following two conceptual models developed during the Tropical Cyclone Motion (TCM-93) mini-field experiment, each tropical cyclone is classified according to the relative importance of MCS activity to its development. Both conceptual models are verified through analysis and a third model is created to account for tropical cyclone developments that share features of the previous two models. An alternate approach is proposed for determining tropical system organization through severe tropical cyclone strength using only visible and infrared satellite imagery.

1997 THESIS ABSTRACTS

AN EXAMINATION OF TWO SYNTHETIC APERTURE RADAR WIND RETRIEVAL MODELS DURING NORCSEX '95

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Synthetic Aperture Radar wind retrieval models have great potential to accurately depict the mesoscale wind field on the order of hundreds of meters. However, there are still significant hurdles to overcome in applying the theory in a fully automated algorithm. This thesis is a result of collaboration between the Nansen Environmental and Remote Sensing Center, Bergen, Norway and the Naval Postgraduate School. It examines two wind retrieval models on basis of in-situ and remote data from NORCSEX '95 in the interest of improving our understanding and application of these models. The individual models are based on Bragg backscatter from the ocean surface however, the CMOD4 model is directly related to the backscatter while the SWA model is related to the spectral resolution of the backscatter field. Each model has specific advantages and disadvantages related to processing and automation. For this data set they show very good agreement with each other and with in-situ measurements. Plots of optimum wind vectors derived from a combination of CMOD4 and SWA wind vectors are shown to illustrate the high resolution wind fields available with this technology.

DIURNAL VARIATION OVER THE TROPICAL MONSOON REGIONS DURING NORTHERN SUMMER 1991

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Master of Science in Physical Oceanography-March 1997

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This study examines diurnal variation of convection over western India, the Bay of Bengal, Indochina and the northern South China Sea during the 1991 northern summer monsoon using combined Japanese (GMS) and Indian (INSAT) geostationary satellite data, ECMWF 850 hPa wind data, and NCEP sea surface temperature analyses.

The diurnal cycle is examined in terms of spatial and temporal structure prior to onset and during the monsoon. The northern South China Sea is examined to determine how different periods of synoptic influences resulted in an anomalously strong diurnal signal during June. The wind and Sea Surface Temperature (SST) data are used to examine the relationship between the diurnal variation of convection and both low-level convergence and vertical latent heat fluxes.

Convection over west India is most common during May and June and starts as a diurnal system over land that becomes organized and propagates westward over the east Arabian Sea. The Bay of Bengal follows the classic land-sea breeze model and convection is modulated by convergence between the land breeze and large-scale monsoon flow. The diurnal cycle is generally enhanced over the ocean during active phases of convective activity. The maximum latent heat fluxes generally occurs prior to maximum convection due to strong monsoon flow enhancing evaporation.

1997 THESIS ABSTRACTS

THE GENERATION AND CHARACTERIZATION OF SURF ZONE AEROSOLS AND THEIR IMPACT ON NAVAL ELECTRO-OPTICAL SYSTEMS

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Aerosols are generated within the surf zone by the breaking of waves along the beachfront. The concentration of aerosols, size, and structure of these plumes are impacted by the air/sea temperature differences, breaker type, and local winds. During the EOPACE I surf experiment at LaJolla, CA, it was observed that under light wind conditions, standing aerosol plumes would develop to heights of 31 meters. Concurrently, transmittance at FLIR wavelengths would be degraded up to 35%. Similar aerosol plume structures were observed during EOPACE II at Moss Landing, CA. These results are used to characterize and forecast standing plume conditions that may impact electro-optical transmission.

EXTRATROPICAL TRANSITION OF WESTERN NORTH PACIFIC TROPICAL CYCLONES

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Extratropical transition (ET) of a tropical cyclone (TC) often results in a mid-latitude storm that threatens maritime and coastal interests. Cases of ET between 1 July through 31 October during 1994-1996 are reviewed using Navy Operational Global Atmospheric Prediction System (NOGAPS) analyses and hourly geostationary satellite imagery. Current conceptual models are found to be inadequate to explain the physical processes in ET. ET is redefined to have two stages: transformation, where the TC is transformed from a warm-core vortex into a baroclinic, cold-core extratropical cyclone, and re-intensification, where the transformed TC either deepens or dissipates, depending on the existence of upper-tropospheric support for extratropical cyclogenesis. ET is further defined in terms of two characteristic mid-latitude synoptic patterns: meridional, in which the cyclones have meridional tracks and tend to re-intensify less vigorously than zonal, which have zonal tracks and may deepen explosively. Review of NOGAPS 500-mb anomaly correlation scores in 1996 demonstrated that ET may be associated with significant NOGAPS errors. Sea-level pressure forecasts during ET events involving a merger tend to be too deep. In ET cases of rapidly deepening storms, NOGAPS tends to overforecast their intensity during transformation, and then underforecast during reintensification. Rules of thumb are provided to assist forecasters in improving predictions of the track and intensity of storms undergoing ET.

OPERATIONAL EVALUATION OF THE ELECTRO-OPTIC TACTICAL DECISION AID, VERSION 3.1

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The Electro-Optical Tactical Decision Aid (EOTDA) is a tool weather forecasters use to predict target detection ranges and the performance of various electro-optic precision-guided weapon systems. The user inputs environmental and tactical information, such as the expected atmospheric conditions and target and background descriptions. The primary EOTDA

1997 THESIS ABSTRACTS

output are target detection ranges and thermal contrast information. The EOTDA supports three types of weapon systems: infrared (8-12 μm), visible (0.4-0.9 μm), and laser (1.06 μm). This study is an evaluation of the EOTDA performance of an infrared (Ho weapons system used during a training exercise at Naval Air Station (NAS) Fallon, Nevada in January 1996. In addition, a sensitivity study of the EOTDA parameters was completed. The results showed that the EOTDA predicted ranges within 20% of the observed detection ranges when correct environmental information was available. The most critical parameters required for the EOTDA were moisture, aerosol selection, the target area forecast, and composition of the target and background. Recognizing the strengths and weakness of the EOTDA will help operational users improve electro-optic forecasts and help guide future research and development efforts.

UNBALANCED FRONTOGENESIS WITH CONSTANT POTENTIAL VORTICITY

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The numerical model of Williams et al. (1992) is used to study frontogenesis from unbalanced initial conditions. The dependent variables are assumed to be independent of y . The hydrostatic Boussinesq primitive equations are used with no diffusion of heat or momentum. The model is bounded at the top and bottom by rigid planes. Periodic boundary conditions are used in the horizontal. The lateral boundaries are placed far enough from the imbalance region to avoid wave reflection. The atmosphere is assumed to have constant vertical temperature stratification.

The initial imbalance is obtained by allowing a horizontal temperature gradient to exist while the initial wind is zero. In a stably stratified atmosphere, gravity waves are excited and propagate away from the imbalance region, provided no reflection occurs in the lateral boundaries. Therefore, the atmosphere tends toward a geostrophic balance away from vertical boundaries. Near these boundaries, the temperature gradient oscillates or it collapses into a front, depending on the initial Rossby (Ro) and Froude (F) numbers. A relationship between Ro and F is established which separates situations where a front may or may not form. Numerical solutions show the formation of a front within a finite period of time that tilts toward the cold air.

A STUDY OF SOUTH ASIAN MONSOON CONVECTION AND TROPICAL UPPER EASTERLY JET DURING NORTHERN SUMMER 1991

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Master of Science in Meteorology and Physical Oceanography-December 1996

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This work studies the 1991 northern summer monsoon over India and surrounding areas using Japanese (GMS) and Indian (INSAT) geostationary satellite data, the ECMWF objective re-analysis, and the NMC sea surface temperature analysis. Monthly and weekly mean fields are first used to examine the development of the monsoon over the entire domain and to identify the timing of the onset over India. Latent heat fluxes are shown to be important in the monsoon development process. The relationship between the synoptic variations of a convective index derived from satellite data and the upper tropospheric easterly jet show two possible effects of cumulus convection on the easterly jet. The first is a forcing of the jet maximum near southern India when convection flares up to the north in the monsoon trough. This is believed to be the result of the Coriolis acceleration of the southward outflow of the local Hadley cell. The second is a damping of the upper jet by cumulus momentum transport that occurs at the same location as the jet maximum. This second effect is most clearly shown in regions of strong vertical shear.

1997 THESIS ABSTRACTS

VIDEO CONFERENCING USING PACKET RADIO TECHNOLOGY

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Information and its effective delivering means are becoming more and more important in today's world. Video-conferencing is a highly effective means to deliver information since it is interactive. This thesis studies the packet-radio-networking technology that can be used to support video-conferencing applications. The popular networking protocols, i.e., the Amateur X.25 (AX.25), the Transport Control Protocol/Internet Protocol (TCP/IP), and other protocols, widely used in packet radio technology are described. By using the File Transfer Protocol (FTP) of the TCP/IP standard, the average speed and time of various file sizes across a half-duplex radio channel, a full-duplex emulated-radio channel, and a RS-232 link were collected and analyzed. Finally, comparisons were made among channels, including the effects of an additional routing node.

INVESTIGATION OF STRONG SURFACE WINDS

ASSOCIATED WITH AN UPPER FRONT USING COAMPS

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On 2 April 1997, strong winds blew through the central coast of California that were accompanied by an intense jet streak and upper front. The event was analyzed with standard synoptic-scale DIFAX charts and mesoscale charts for comparison. The mesoscale model used was the Coupled Ocean/Atmosphere Mesoscale Prediction System (COAMPS) developed by Naval Research Laboratory (NRL), Monterey, California.

COAMPS captured features that were not diagnosed on the synoptic charts. Height, isotach and temperature fields showed more detail, although observations were not sufficient to completely verify the model's level of detail. COAMPS was heavily influenced by the topographic field modeling lee troughs and mountain waves along the Sierra Nevada mountains. A strong mountain wave, initiated by the upper front, occurred in central California during this time period. The wave troughs correlated to wind maxima at the surface, including one near San Francisco Bay where winds as high as 66 mph were reported.

1997 THESIS ABSTRACTS

A COMPARISON OF UPPER FRONT STRENGTH AS ANALYZED BY NORAPS AND AS OBSERVED BY ACARS-EQUIPPED AIRCRAFT

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Master of Science in Meteorology and Physical Oceanography-September 1997

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Upper fronts are associated with strong horizontal gradients of both temperature and wind speed on a scale that is not well resolved by rawinsonde observations. Even so, mesoscale data assimilation systems are capable of ingesting observations from a variety of sources and depicting such features. This study examines upper fronts that occurred over the continental U.S. during March-April 1996 with the objective of verifying the performance of the NORAPS (Navy Operational Regional Atmospheric Prediction System) data assimilation system using ACARS (ARTNC Communications, Addressing, And Reporting System) aircraft observations. ACARS observations are taken every 5-8 minutes during level flight, which yields a horizontal resolution along the flight track of less than 100 km and so can resolve the approximately 200 km width scale for upper fronts. The ACARS temperature observations are not currently used in the data assimilation system and so present an independent set of observations.

Thirty distinct upper fronts (duration greater than 12 h and temperature gradient greater than $2^{\circ}\text{C}/100\text{km}$) were identified and tracked from the NORAPS analyses during the period of the study. In general, the analyzed temperature gradient was weaker than that observed in the ACARS data. The latter depicted a temperature gradient of $8^{\circ}\text{C}/100\text{ km}$ for two cases, whereas the analyzed gradient did not exceed $6^{\circ}\text{C}/100\text{ km}$. Most upper fronts (47%) attained maximum intensity when located in the base of the upper-level trough, although 33% (13%) did so just downstream (upstream) of the trough line. Most of the useable aircraft tracks were near 200-300 mb, therefore the portion of the upper front above the tropopause was examined in greater detail than the portion below the tropopause, although the latter would be expected to contain stronger temperature gradients

SENSITIVITY OF THE CALIFORNIA COASTAL JET TO SYNOPTIC SCALE FLOW

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Master of Science in Meteorology and Physical Oceanography-September 1997

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The California Coastal Jet can have a significant impact on many operations. This study examines the sensitivity of the California Coastal Jet to the synoptic-scale flow by examining the surface reflection of the jet at a particular buoy (buoy 46028) off the Central California coast. Statistical analysis and subjective examination of surface charts were performed to help determine the relationship between the synoptic flow regime and the observed surface winds. The main results of the study are: (1) The magnitude of the California Coastal Jet is sensitive to the geostrophic wind direction, (2) The surface reflection of the California Coastal Jet at buoy 46028 does not exhibit diurnal variation. The day to day variability in the observed winds is much larger than the diurnal variation at buoy 46028, and (3) Higher wind speed events at buoy 46028 correspond to periods when meso-scale effects such as flow blocking and supercritical flow are important and are missed in the analysis. Lower wind speed events correspond to periods when the synoptic-scale analyses successfully describe the coastal flow.

1997 THESIS ABSTRACTS

DEEP MIXED LAYER ENTRAINMENT

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A bulk turbulence-closure mixed layer model is generalized to allow prediction of very deep polar sea mixing. The model includes unsteady three-component turbulent kinetic energy budgets. In addition to terms for shear production, pressure redistribution, and dissipation, special attention is devoted to realistic treatment of thermobaric enhancement of buoyancy flux and to Coriolis effects on turbulence. The model is initialized and verified with CTD data taken by R/V *Valdivia* in the Greenland Sea during winter 1993-1994. Model simulations show: (i) mixed layer deepening is significantly enhanced when the thermal expansion coefficient's increase with pressure is included; (ii) entrainment rate is sensitive to the direction of wind stress because of Coriolis; and (iii) the predicted mixed layer depth evolution agrees qualitatively with the observations. Results demonstrate the importance of water column initial conditions, accurate representation of strong surface cooling events, and inclusion of the thermobaric effect on buoyancy, to determine the depth of mixing and ultimately the heat and salt flux into the deep ocean. Since coupling of the ocean to the atmosphere through deep mixed layers in polar regions is fundamental to our climate system, it is important that regional and global models be developed that incorporate realistic representation of this coupling.

THE ASSIMILATION OF SATELLITE ALTIMETER DATA INTO A GLOBAL EDDY RESOLVING OCEAN MODEL

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Doctor of Philosophy in Physical Oceanography-June 1997

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Committee Members: Ching-Sang Chiu, Department of Oceanography

Roger T. Williams, Department of Meteorology

Newell Garfield, Department of Oceanography

Michael J. Zyda, Department of Computer Science

Two assimilation experiments have been conducted using the Semtner/Chervin Parallel Ocean Climate Model at $1/4^\circ$ resolution to investigate the dynamical changes which occur with the application of the nudging method to incorporate sea surface height observations (with associated vertical corrections to temperature and salinity) into a global eddy resolving ocean model. The first experiment used a previous model run as the observational field to determine if the assimilation technique, nudging, produced significant changes in the simulated fields to adjust the model to the observed fields when starting at a statistically different initial condition. The twin experiment has shown that the model does respond to the inclusion of the observed fields. Both the surface fields and subsurface fields have been adjusted towards these synthetic observations. The second experiment involved the use of a combined altimetric sea surface height anomaly field from the ERS-1 and the T/P satellites. The surface height fields are extended vertically by using the Levitus 94 monthly climatological fields. This dissertation has shown that assimilation of surface height data and an associated vertical adjustment to temperature and salinity, modifies both the surface and subsurface fields. Changes can be seen in both prognostic and diagnostic quantities (such as heat content and meridional overturning) while remaining dynamically consistent with the numerics of the model itself. Comparison of the simulated fields with in situ observations of temperature and salinity show that the model has adjusted towards observation not included in the assimilation process.

1997 THESIS ABSTRACTS

A STUDY OF THE SURFACE HEAT BUDGET OF THE WEDDELL SEA USING A RADIATIVE TRANSFER MODEL DURING THE AUSTRAL WINTER 1994

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Master of Science in Meteorology and Physical Oceanography-March 1997

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This study uses rawinsonde soundings and irradiance measurements taken in the Weddell Sea during the 1994 ANZFLUX experiment. A radiative transfer model was used to determine the influence of aerosols, cloud droplet size, and water content on the radiative heat budget of the Weddell Sea. The modeled irradiances were compared with observations, and the model calculated the upward longwave irradiance from the Weddell Sea ice pack. Turbulent heat fluxes were calculated and combined with radiative terms to provide a net heat flux at the ice surface. While turbulent heat flux is the major factor affecting the Weddell Sea's heat budget in windy conditions, during calm conditions longwave radiative transfer becomes important. The modeled downward irradiances were compared to results obtained from empirical equations developed for the Weddell Sea during the winter. The atmosphere above the Weddell Sea appears to have an aerosol structure similar to marine environments. Stratus clouds over the Weddell Sea appear to be made up of cloud droplets with an effective radius of 2.5 microns and a water concentration close to 0.05 grams per cubic meter. The dominant terms in the surface heat budget are the longwave irradiances with the upward longwave term being the largest.

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