

# FINAL REPORT

**Title: Description of Curved 3-D Objects from Single Intensity Images**

**Contract Number: F49620-94-C-0001**

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13. ABSTRACT (Maximum 200 words) This contract developed the theoretical basis for recovering shapes of curved 3D objects in aerial images. This class of objects included building shapes, cooling towers, oil tanks, domes, pyramids smokestacks as well as conventional rectilinear buildings with flat roofs. Observation of shadows and human movement within images was exploited to infer existence of solid surfaces and their size.				
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## 2 OBJECTIVE

The overall objective of this work is to obtain descriptions of scenes from single still images or video sequences. From 1993 to 1995 the project focused on extracting descriptions of curved 3D objects from aerial intensity images. This work was motivated by the problem of reconstructing non-rectilinear structures such as oil tanks, cooling towers and domes in airborne reconnaissance imagery. Shadows of these structures provide constraints that make the problem tractable.

In 1996 the project focused on generating descriptions of the dynamic behavior of objects in ground-based video imagery, to address emerging needs for video surveillance in battlefield awareness. TI event recognition and video indexing capabilities were applied to outdoor infrared imagery, and extended to support construction of concise descriptions of events and automatic recovery of environment structure from observations of human motion.

## 3 STATUS OF EFFORT

From 1993 to 1995, TI developed theories for shadow analysis and inference of 3D shape of curved objects. The theories support recovery of object structure from the terminator and sweep rule of the shadow ribbon. The theory was implemented in the experimental SHADOW system and demonstrated on a collection of aerial images of curved 3D objects. At the 1996 IU Workshop TI demonstrated Automatic Video Indexing software using live infrared data, illustrating new capabilities for video surveillance. Subsequent progress in 1995-96 was delayed by a six-month funding gap while TI worked with AFOSR and DARPA to align this research with emerging battlefield awareness needs.

During 1996-1997, TI applied its existing Automatic Video Indexing algorithms to outdoor infrared images obtained under a variety of illumination conditions. This work demonstrated the applicability of the algorithms to video surveillance under these conditions, but also revealed the need for improved modeling of environmental change and target behavior in order to increase the robustness of the system. TI also applied its real-time event detection and tracking technology to the problem of building concise descriptions of the motion and appearance of people in indoor scenes. Finally, TI demonstrated the feasibility of recovering the structure of navigable space from long-term observations of human motion in the environment.

TI's work on video surveillance and event recognition is continuing under the joint sponsorship of the Office of Research and Development (ORD) and the DARPA Image Understanding Program.

## 4 ACCOMPLISHMENTS/NEW FINDINGS

**Developed theoretical basis for recovering shapes of curved 3D objects in aerial images:** In this work objects are modeled as straight homogeneous generalized cylinders (SHGCs). SHGCs are solids formed by sweeping a polygon along a straight orthogonal axis, allowing the polygon to change scale according to 'sweep rule' as it moves. This class of objects describes a wide variety of building shapes, including cooling towers, oil tanks, domes, pyramids, and smokestacks, as well as conventional rectilinear buildings with flat roofs. The theory developed in this work ex-

exploits both the outlines of the object itself and the shadow that the object casts under oblique solar illumination. The cross-section polygon of the SHGC model is derived from the shadow of the terminating surface of the object, and the axis and sweep rule of the SHGC are derived from the length, axis and two-dimensional sweep rule of the shadow.

**Derived quasi-invariant constant in the projective geometry of circles:** Under orthographic projection, a circular object such as the roof of an oil tank is imaged as an ellipse. The transition points of the ellipse are points along the boundary of the ellipse at which the boundary curvature switches from being less than that of the generating circle to being more than that of the generating circle. In the course of developing the theory of shadow formation, it was discovered that the angle between these transition points and the major axis approaches a limiting value of  $\arccos\left(\frac{1}{\sqrt{3}}\right) \cong 54.73^\circ$  as the tilt of the circle approaches zero. This constant is quasi-invariant in that the transition point moves only slightly over wide range of viewing angles.

**Completed SHADOW software for describing curved 3-D objects:** Using theoretical results from this research, TI developed an experimental software system that automatically processes an image of an oil tank from an aerial photograph. For cylindrical objects, the software segments the object-shadow-background, finds the shadow length and height, and produces a texture-mapped display of the inferred 3-D object.

**Demonstrated Automatic Video Indexing of outdoor infrared surveillance video:** TI collected a set of six infrared video sequences of human activity under a variety of imaging conditions. These sequences were analyzed using TI's Automatic Video Indexing (AVI) software [Courtney 1997] and results and error rates were recorded. These experiments revealed that the limiting factor in the system's performance is its ability to distinguish humans, vehicles, and other objects of interest from other sources of image change. This is particularly a problem at high ambient temperatures where the thermal contrast between humans and other objects is very low. When segmentation is successful, the tracking and event recognition algorithms have no difficulty detecting events such as entry or exit from the scene or deposit and removal of objects. Figure 1 of [Flinchbaugh 1997] (attachment A) shows an example in which the system successfully detected an intruder emerging from a tree-lined gully.

**Developed long-term monitoring system with algorithms for best view selection:** Using a previously developed real-time tracking and event recognition system, TI developed algorithms and data representations for long-term monitoring of human activity. The algorithms and representations are embodied in a system that takes one snapshot of each person who enters its field of view, and stores it in a database along with information about time of entry, participation in selected events, and path through the scene. The system selects a snapshot using criteria that favor 'good' views of the person, *i.e.* views in which the person's face is visible and they are close to the camera. The database is accessed over computer network using a conventional Web browser, making it easy to review activity in the monitored region. The method and experimental results are detailed in attachment B.

**Demonstrated environment structure learning from observations of human activity:** TI applied its real-time tracking system to the problem of recovering the structure of the monitored environment. The system observes human activity over a long period (24 hours in our experiments) and records the correlation between the projected size of humans and their positions in the image. Given that humans have a known height distribution and that they require a solid surface to stand on, these observations make it possible to infer both the existence of solid surfaces and their

distance from the camera. This allows a surveillance camera to discover the structure of the environment it is monitoring without calibration or an externally supplied map. The method and experimental results are detailed in attachment B.

## 5 PERSONNEL SUPPORTED

The primary contributors are:

Dr. Thomas J. Olson (part-time, 7/96-present)

Dr. Kashi Rao (part-time, 10/93-12/95)

Other contributors include:

Dr. Frank Z. Brill

Mr. Jonathan D. Courtney

Dr. Bruce E. Flinchbaugh

## 6 PUBLICATIONS

A) SUBMITTED BUT NOT YET ACCEPTED: None

B) ACCEPTED BUT NOT YET PUBLISHED: None

C) PUBLISHED:

Courtney, J. "Automatic Video Indexing via Object Motion Analysis", *Pattern Recognition* v. 30 no. 4, April 1997

Flinchbaugh, B., "Robust Video Motion Detection and Event Recognition". in *Proc. 1997 DARPA Image Understanding Workshop*, New Orleans, May 1997.

Flinchbaugh, B., and T. Olson, "Autonomous Video Surveillance", in *Emerging Applications of Computer Vision*, D. Schaefer and E. Williams, eds., *Proc. SPIE 2962*, Washington, DC, October 1996.

Flinchbaugh, B. and K. Rao, "Image Understanding Research at TI", in *Proc. 1994 DARPA Image Understanding Workshop*, Monterey, November 1994.

Olson, T., and F. Brill. "Moving Object Detection and Event Recognition Algorithms for Smart Cameras", in *Proc. 1997 DARPA Image Understanding Workshop*, New Orleans, May 1997.

Rao, K., and P. Sarwal. "A Computer Vision System to Detect 3-D Rectangular Solids", in *Proc. Third IEEE Workshop on Applications of Computer Vision*, Sarasota, Florida, pp. 27-32, December 1996.

Rao, K. "Shape Description of Curved 3-D Objects for Aerial Surveillance", in *Proc. 1996 DARPA Image Understanding Workshop*, Palm Springs, February 1996.

Rao, K. "Curved 3-D Object Description from Single Aerial Images Using Shadows", in *Proc. 1994 DARPA Image Understanding Workshop*, Monterey, November 1994.

Rao, K., and B. Flinchbaugh, "Vision Research at TI: 1994-95 Progress", in *Proc. 1996 DARPA Image Understanding Workshop*, Palm Springs, February 1996.

## 7 INTERACTIONS/TRANSITIONS

### A) PARTICIPATION/PRESENTATIONS AT MEETINGS, CONFERENCES, SEMINARS, ETC.

Brill, F., "Autonomous Video Monitoring", Demonstration at CVPR 97, San Juan, June 1997.

Olson, T., "Killer Apps for Action Perception", NSF/DARPA Workshop on Perception of Action, May 1997.

Flinchbaugh, B., "Industry Needs for Computer Vision and Pattern Recognition," Panel Chair for IEEE Computer Vision & Pattern Recognition Conference, June 1996.

Olson, T., "Education in Computer Vision," Panel for IEEE Computer Vision & Pattern Recognition Conference, June 1996.

Flinchbaugh, B., "Needs for Computer Vision Technology" Keynote Address for 1996 Signal Processing Workshop, IEEE Signal Processing Society, Dallas Chapter, April 1996.

Courtney, J., "Automatic Scene Monitoring Via Event Description," Live Demonstration at the 1996 DARPA Image Understanding Workshop, Palm Springs, February 1996.

Flinchbaugh, B., "Vision Research at Texas Instruments," Seminar at Computer Vision Laboratory, University of Maryland, November 1995.

### B) CONSULTATIVE AND ADVISORY FUNCTIONS

Dr. Thomas Olson served on:

NSF/DARPA Workshop on Action Perception, Brewster, MA, May 1997.

NSF SBIR Proposal Evaluation Committee, Chair: Maria Zemankova (NSF) Arlington, VA, February, 1996.

Dr. Bruce Flinchbaugh serves on:

Industrial Liaison Committee, IAPR, 1992-.

Computer Science Advisory Board, The Ohio State University, 1995-.

External Advisory Board, Beckman Image Laboratory, University of Illinois, 1996-.

Program Chair, Third IEEE Workshop on Applications of Computer Vision, 1994.

Program Committee, CVPR 95.

Program Committee, Fourth IEEE Workshop on Applications of Computer Vision, 1996.

### C) TRANSITIONS

**Demonstration Led to ORD Autonomous Video Surveillance Program:** At the 1996 DARPA Image Understanding Workshop, Dr. Yeongji Kim of ORD observed the TI demonstration of Automatic Video Indexing (AVI) technology using live indoor infrared video data and requested a technical briefing to discuss potential applications. As a result of subsequent TI interaction with ORD, ORD awarded the Autonomous Video Surveillance contract to TI to demonstrate proof-of-

concept for real-time security monitoring of office building environments, using enabling technology developed in TI IR&D. This led to the creation of the real-time tracking and event recognition system used in part of this research.

**Long-Term Monitoring and Best View Selection to be Demonstrated at ORD:** Dr. Yeongji Kim has expressed interest in the possibility of applying the long-term monitoring and best view selection capability developed under this contract to intelligence needs, and has requested that it be demonstrated to potential customers at an ORD site as part of an ORD contract demonstration in 1997.

## 8 NEW DISCOVERIES, INVENTIONS, OR PATENT DISCLOSURES

“Shape Description of Curved 3-D Objects for Aerial Surveillance,” Patent Application, TI-22044, 1996.

“Method of Describing Video Sequences by Automatic Selection of Representative Images”, Patent Disclosure, TI-25771, March 1997.

“Method of Recovering 3D Structure of the Environment by Analyzing Video Images of Humans”, Patent Disclosure, TI-25772, March 1997.

## 9 HONORS/AWARDS

None

## Robust Video Motion Detection and Event Recognition

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### Abstract

This report summarizes recent progress in video event recognition technology for automatically monitoring scenes, and outlines objectives of new research to improve reliability and extend the functionality. TI has demonstrated an event recognition capability that automatically processes video data at 10-20 frames per second and reports the events as they occur during long periods of observation. For example, as people, vehicles and objects move in the field of view, the system recognizes when entities enter and exit the scene, when a person deposits an object, when overall imaging conditions change, and when someone loiters in a specified area. The system has been demonstrated using an infrared video camera in darkness and CCD cameras in lighted areas. Ongoing research is enhancing the reliability of video motion analysis methods for robust performance in outdoor environments, and extending event recognition functionality for new kinds of events. This research will enable networked smart cameras for autonomous situational awareness of site perimeters, battlefields and other urban and rural areas where physical security and safety are primary concerns.

### 1 Research Objectives

The overall objective of this research is to develop and demonstrate new video processing methods for automatically monitoring scenes. Whereas cameras of today deliver images and video data, smart cam-

eras of the future will deliver information derived from video data. These smart cameras will communicate via local and wide area networks to enable many new capabilities. For defense needs, smart cameras will autonomously deliver information about live events to distributed information systems that support battlefield awareness in urban and rural environments. Smart cameras will effectively extend the sight of commanders to remote areas by accurately drawing attention to important events in progress.

Specific goals are to develop video surveillance and monitoring methods to recognize new kinds of events, to improve the reliability of the moving object analysis process, and to demonstrate effectiveness of the new methods in performing important tasks. New event recognition methods will classify motions and interactions of objects into custom categories that are important for mission-specific tasks. Robust moving object detection and tracking is needed to interpret significant changes in video sequences as entities move in the field of view, especially amidst video changes caused by variations in illumination, temperature, wind, and occlusions.

### 2 Demonstration and Evaluation

Proof-of-concept demonstrations will emphasize physical security monitoring tasks in and around urban area buildings. The outdoor experiments will be of particular importance for battlefield awareness. For example, the infrared image of Figure 1

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The research described in this report is sponsored in part by the DARPA Image Understanding Program.

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More information about this research is available at:  
<http://www.ti.com/research/docs/iuba/index.html>

shows a rural monitoring scenario in which a person has emerged from behind a tree and is walking across a grassy area. Exemplary tasks in this scenario are to reliably determine when a person is in the field of view, and to count the number of people who cross the field. To achieve practical demonstration goals, a variety of open-ended research issues must be resolved to some extent. What kinds of events can be recognized using a single video camera? What contextual information is needed for reliable video monitoring in a given situation? This research will contribute new insight while developing new functionality for smart cameras of the future.

Realistic video monitoring tasks will be used to test new techniques for robust moving object detection and event recognition, with two kinds of metrics for evaluating progress. Physical security monitoring experts will be consulted to select worthwhile new events to recognize, and to provide feedback about the quality of system performance compared to current practice. This evaluation will identify operational advantages of autonomous video event recognition systems. The primary quantitative metrics for characterizing performance are the error rates of event recognition reports. For example, if the task is to capture a single frontal view image of each person who loiters in a specified area, then non-frontal images, extra frontal images, and no frontal image of a loitering person would contribute to the error rate.

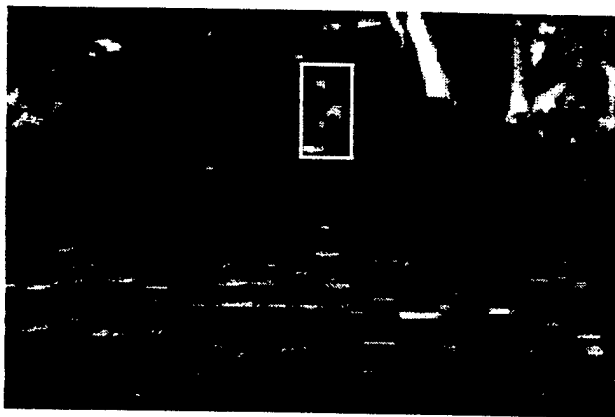


Figure 1. Autonomous video monitoring of remote areas draws attention to important events in progress.

### 3 Autonomous Video Surveillance Progress

In previous TI research [Flinchbaugh and Olson, 1996], several video monitoring techniques were devised to demonstrate feasibility of tracking people and marking their positions on a map display [Flinchbaugh and Bannon 1994], recognizing whether a person is holding a box [Rao and Sarwal, 1996], and recognizing some basic actions or events (enter, exit, deposit, remove, move, rest) of people and objects in the field of view [Courtney, 1997].

During the past year, an Autonomous Video Surveillance (AVS) system [Olson and Brill, 1997] has been developed that integrates the previous techniques for the first time, and provides several new integrated capabilities to monitor TV and infrared video cameras:

#### **Calibration-Free Image-to-World Mapping:**

After an operator specifies approximate correspondences between selected image regions and map regions, the system estimates 3D locations of objects in the field of view without solving for the camera projection matrix or internal calibration parameters.

**User Interface for Multiple Cameras:** The map-based user interface has been extended to operate as a server for multiple video processors, allowing the operator to visually monitor tracks and event reports from multiple cameras, as positions of people and objects are dynamically plotted on a map.

**Object Analysis:** The system classifies objects that have been deposited in a scene as one of several known object types (e.g., box, briefcase, and notebook) or as an unknown object.

**Contextual Alarms:** The alarm monitoring system allows alarms to be conditioned on type of event, location, time of day, and the type of object involved in the event.

**Best-View Selection:** This method assesses the relative quality of two views of a person in a video sequence. This allows a video monitoring system to select and save a single high-quality digital snapshot of each person that enters the field of view.

**Real-Time Operation Without Special Hardware:** All of the above capabilities except object analysis run at 10-20 frames per second on a conventional workstation. This capability enables long-term experiments that were previously not feasible, and improves tracking and event recognition reliability.

The AVS system has been used to demonstrate feasibility of generating real-time alarms for specified events in three security monitoring scenarios. These demonstrations illustrate how physical security can be partially automated to monitor hallway, office, and building perimeter areas. In each area, a camera provides live video data of scenes in the field of view, while the AVS system monitors the video to analyze events and signal alarms.

**Hallway Monitoring:** Consider the scenario illustrated in Figure 2. The AVS system detects and tracks people as they walk in office building hallways. Alarms are interactively defined for conditions such as when someone loiters in a specified area or enters a particular office. Autonomous visual assessment provides information to augment other information, such as biometric access control information at building entrance points.



Figure 2. In a hallway monitoring demonstration, the AVS system tracks people and signals an alarm when someone loiters in a specified area.

**Room Monitoring:** For the room monitoring scenario shown in Figure 3, the AVS system maintains a situational awareness record of events and signals alarms for a variety of specified conditions. For example, an alarm may be specified for events in which a person places a briefcase on a table, but

not if the person leaves a box on the floor. Using contextual information such as time of day and access control identification, the system can report other alarm conditions that are functions of who is in the room and when.

**Perimeter Monitoring:** For perimeter monitoring scenarios, an infrared camera is used in a dark area to provide video data to AVS, illustrating the ability to monitor areas outside buildings at night. For example, the AVS system could monitor a building entrance and signal an alarm if someone walks by and leaves an object outside the door, as illustrated in Figure 4), but not if someone loiters without placing an object on the ground.



Figure 3. Automatic room monitoring provides concise reports of activities in the field of view

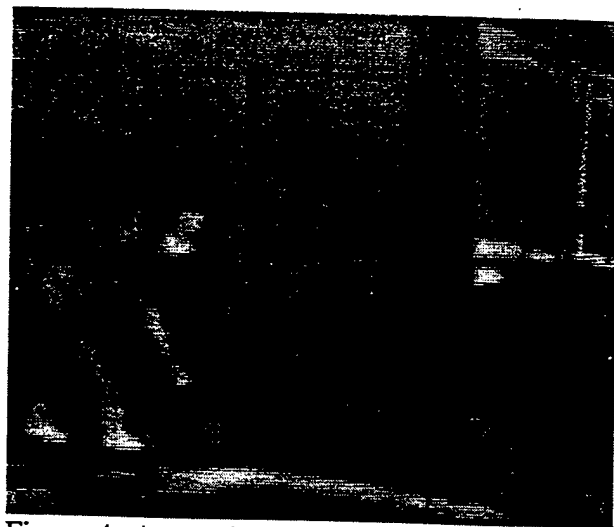


Figure 4. An outdoor site perimeter surveillance scenario involves an infrared video camera to recognize events in darkness

## Acknowledgments

Frank Brill and Tom Olson developed the new capabilities described in this report.

## References

[Courtney, 1997] J. D. Courtney. Automatic video indexing via object motion analysis. *Pattern Recognition*, 30(4), April 1997.

[Flinchbaugh and Bannon, 1994] B. Flinchbaugh, and T. Bannon. Autonomous scene monitoring system. In *Proc. 10th Annual Joint Government-Industry Security Tech. Symposium*, American Defense Preparedness Association, June 1994.

[Flinchbaugh and Olson, 1996] B. Flinchbaugh and T. Olson. Autonomous video surveillance. In *Proceedings of the SPIE: Emerging Applications of Computer Vision*, D. Schaefer and E. Williams, editors, Washington, D.C., vol. 2962, pp. 144-153, October 1996.

[Olson and Brill, 1997] T. J. Olson and F. Z. Brill. Moving object detection and event recognition for smart cameras. In *1997 Proceedings of the DARPA Image Understanding Workshop*, Morgan-Kaufman, May 1997.

[Rao and Sarwal, 1996] K. Rao, and P. Sarwal. A computer vision system to detect 3-D rectangular objects. In *Proceedings of the Third IEEE Workshop on Applications of Computer Vision*, pp. 27-32, 1996.

## Moving Object Detection and Event Recognition Algorithms for Smart Cameras

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### Abstract

Smart video cameras analyze the video stream and translate it into a description of the scene in terms of objects, object motions, and events. This paper describes a set of algorithms for the core computations needed to build smart cameras. Together these algorithms make up the Autonomous Video Surveillance (AVS) system, a general-purpose framework for moving object detection and event recognition. Moving objects are detected using change detection, and are tracked using first-order prediction and nearest neighbor matching. Events are recognized by applying predicates to the graph formed by linking corresponding objects in successive frames. The AVS algorithms have been used to create several novel video surveillance applications. These include a video surveillance shell that allows a human to monitor the outputs of multiple cameras, a system that takes a single high-quality snapshot of every person who enters its field of view, and a system that learns the structure of the monitored environment by watching humans move around in the scene.

### 1 Introduction

Video cameras today produce images, which must be examined by humans in order to be useful. Future 'smart' video cameras will produce information, including descriptions of the environment they are monitoring and the events taking place in it. The information they produce may include im-

ages and video clips, but these will be carefully selected to maximize their useful information content. The symbolic information and images from smart cameras will be filtered by programs that extract data relevant to particular tasks. This filtering process will enable a single human to monitor hundreds or thousands of video streams.

In pursuit of our research objectives [Flinchbaugh, 1997], we are developing the technology needed to make smart cameras a reality. Two fundamental capabilities are needed. The first is the ability to describe scenes in terms of object motions and interactions. The second is the ability to recognize important events that occur in the scene, and to pick out those that are relevant to the current task. These capabilities make it possible to develop a variety of novel and useful video surveillance applications.

#### 1.1 Video Surveillance and Monitoring Scenarios

Our work is motivated by a several types of video surveillance and monitoring scenarios.

**Indoor Surveillance:** Indoor surveillance provides information about areas such as building lobbies, hallways, and offices. Monitoring tasks in lobbies and hallways include detection of people depositing things (e.g., unattended luggage in an airport lounge), removing things (e.g., theft), or loitering. Office monitoring tasks typically require information about people's identities: in an office, for example, the office owner may do anything at any

time, but other people should not open desk drawers or operate the computer unless the owner is present. Cleaning staff may come in at night to vacuum and empty trash cans, but should not handle objects on the desk.

**Outdoor Surveillance:** Outdoor surveillance includes tasks such as monitoring a site perimeter for intrusion or threats from vehicles (e.g., car bombs). In military applications, video surveillance can function as a sentry or forward observer, e.g. by notifying commanders when enemy soldiers emerge from a wooded area or cross a road.

In order for smart cameras to be practical for real-world tasks, the algorithms they use must be robust. Current commercial video surveillance systems have a high false alarm rate [Ringler and Hoover, 1995], which renders them useless for most applications. For this reason, our research stresses robustness and quantification of detection and false alarm rates. Smart camera algorithms must also run effectively on low-cost platforms, so that they can be implemented in small, low-power packages and can be used in large numbers. Studying algorithms that can run in near real time makes it practical to conduct extensive evaluation and testing of systems, and may enable worthwhile near-term applications as well as contributing to long-term research goals.

## 1.2 Approach

The first step in processing a video stream for surveillance purposes is to identify the important objects in the scene. In this paper it is assumed that the important objects are those that move independently. Camera parameters are assumed to be fixed. This allows the use of simple change detection to identify moving objects. Where use of moving cameras is necessary, stabilization hardware and stabilized moving object detection algorithms can be used (e.g. [Burt et al, 1989, Nelson, 1991]). The use of criteria other than motion (e.g., saliency based on shape or color, or more general object recognition) is compatible with our approach, but these criteria are not used in our current applications.

Our event recognition algorithms are based on graph matching. Moving objects in the image are

tracked over time. Observations of an object in successive video frames are linked to form a directed graph (the *motion graph*). Events are defined in terms of predicates on the motion graph. For instance, the beginning of a chain of successive observations of an object is defined to be an ENTER event. Event detection is described in more detail below.

Our approach to video surveillance stresses 2D, image-based algorithms and simple, low-level object representations that can be extracted reliably from the video sequence. This emphasis yields a high level of robustness and low computational cost. Object recognition and other detailed analyses are used only after the system has determined that the objects in question are interesting and merit further investigation.

## 1.3 Research Strategy

The primary technical goal of this research is to develop general-purpose algorithms for moving object detection and event recognition. These algorithms comprise the Autonomous Video Surveillance (AVS) system, a modular framework for building video surveillance applications. AVS is designed to be updated to incorporate better core algorithms or to tune the processing to specific domains as our research progresses.

In order to evaluate the AVS core algorithms and event recognition and tracking framework, we use them to develop applications motivated by the surveillance scenarios described above. The applications are small-scale implementations of future smart camera systems. They are designed for long-term operation, and are evaluated by allowing them to run for long periods (hours or days) and analyzing their output.

The remainder of this paper is organized as follows. The next section discusses related work. Section 3 presents the core moving object detection and event recognition algorithms, and the mechanism used to establish the 3D positions of objects. Section 4 presents applications that have been built using the AVS framework. The final section discusses the current state of the system and our future plans.

## 2 Related Work

Our overall approach to video surveillance has been influenced by interest in selective attention and task-oriented processing [Swain and Stricker, 1991, Rimey and Brown, 1993, Camus et al., 1993]. The fundamental problem with current video surveillance technology is that the useful information density of the images delivered to a human is very low; the vast majority of surveillance video frames contain no useful information at all. The fundamental role of the smart camera described above is to reduce the volume of data produced by the camera, and increase the value of that data. It does this by discarding irrelevant frames, and by expressing the information in the relevant frames primarily in symbolic form.

### 2.1 Moving Object Detection

Most algorithms for moving object detection using fixed cameras work by comparing incoming video frames to a reference image, and attributing significant differences either to motion or to noise. The algorithms differ in the form of the comparison operator they use, and in the way in which the reference image is maintained. Simple intensity differencing followed by thresholding is widely used [Jain et al., 1979, Yalamanchili et al., 1982, Kelly et al., 1995, Bobick and Davis, 1996, Courtney, 1997] because it is computationally inexpensive and works quite well in many indoor environments. Some algorithms provide a means of adapting the reference image over time, in order to track slow changes in lighting conditions and/or changes in the environment [Karmann and von Brandt, 1990, Makarov, 1996a]. Some also filter the image to reduce or remove low spatial frequency content, which again makes the detector less sensitive to lighting changes [Makarov et al., 1996b, Koller et al., 1994].

Recent work [Pentland, 1996, Kahn et al., 1996] has extended the basic change detection paradigm by replacing the reference image with a statistical model of the background. The comparison operator becomes a statistical test that estimates the probability that the observed pixel value belongs to the background.

Our baseline change detection algorithm uses thresholded absolute differencing, since this works well for our indoor surveillance scenarios. For applications where lighting change is a problem, we use the adaptive reference frame algorithm of Karmann and von Brandt [1990]. We are also experimenting with a probabilistic change detector similar to Pfänder [Pentland, 1996].

Our work assumes fixed cameras. When the camera is not fixed, simple change detection cannot be used because of background motion. One approach to this problem is to treat the scene as a collection of independently moving objects, and to detect and ignore the visual motion due to camera motion [e.g. Burt et al., 1989]. Other researchers have proposed ways of detecting features of the optical flow that are inconsistent with a hypothesis of self motion [Nelson, 1991].

In many of our applications moving object detection is a prelude to person detection. There has been significant recent progress in the development of algorithms to locate and track humans. Pfänder (cited above) uses a coarse statistical model of human body geometry and motion to estimate the likelihood that a given pixel is part of a human. Several researchers have described methods of tracking human body and limb movements [Gavrila and Davis, 1996, Kakadiaris and Metaxas, 1996] and locating faces in images [Sung and Poggio, 1994, Rowley et al., 1996]. Intille and Bobick [1995] describe methods of tracking humans through episodes of mutual occlusion in a highly structured environment. We do not currently make use of these techniques in live experiments because of their computational cost. However, we expect that this type of analysis will eventually be an important part of smart camera processing.

### 2.2 Event Recognition

Most work on event recognition has focussed on events that consist of a well-defined sequence of primitive motions. This class of events can be converted into spatiotemporal patterns and recognized using statistical pattern matching techniques. A number of researchers have demonstrated algorithms for recognizing gestures and sign language [e.g., Starnier and Pentland, 1995]. Bobick and Davis [1996] describe a method of recognizing ste-

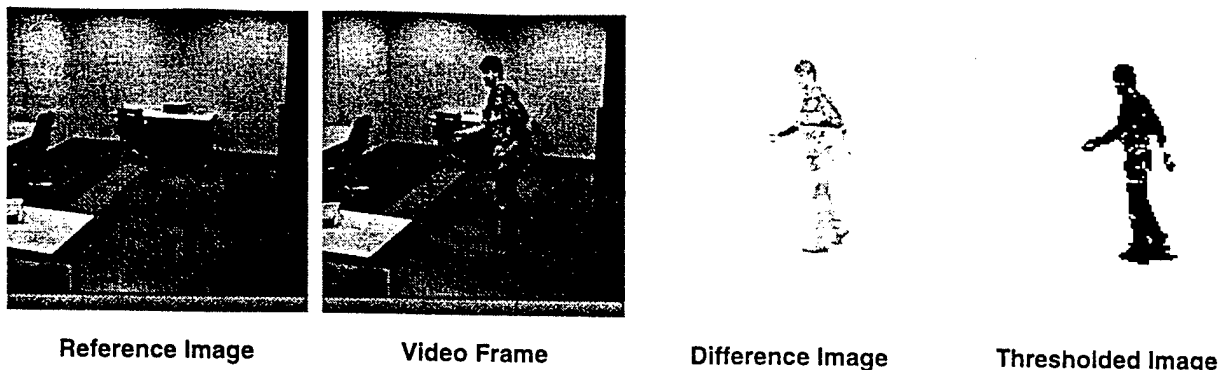


Figure 1: Image processing steps for moving object detection.

reotypical motion patterns corresponding to actions such as sitting down, walking, or waving.

Our approach to event recognition is based on the video database indexing work of Courtney [1997], which introduced the use of predicates on the motion graph to represent events. Motion graphs are well suited to representing abstract, generic events such as 'depositing an object' or 'coming to rest', which are difficult to capture using the pattern-based approaches referred to above. On the other hand, pattern-based approaches can represent complex motions such as 'throwing an object' or 'waving', which would be difficult to express using motion graphs. It is likely that both pattern-based and abstract event recognition techniques will be needed to handle the full range of events that are of interest in surveillance applications.

### 3 AVS Tracking and Event Recognition Algorithms

This section describes the core technologies that provide the video surveillance and monitoring capabilities of the AVS system. There are three key technologies: moving object detection, visual tracking, and event recognition. The moving object detection routines determine when one or more objects enter a monitored scene, decide which pixels in a given video frame correspond to the moving objects versus which pixels correspond to the background, and form a simple representation of the object's image in the video frame. This representation is referred to as a *motion region*, and it exists in a single video frame, as distinguished from the *world objects* which exist in the world and give rise to the motion regions.

Visual tracking consists of determining correspondences between the motion regions over a sequence of video frames, and maintaining a single representation, or *track*, for the world object which gave rise to the sequence of motion regions in the sequence of frames. Finally, event recognition is a means of analyzing the collection of tracks in order to identify events of interest involving the world objects represented by the tracks.

#### 3.1 Moving Object Detection

The moving object detection technology we employ is a 2D change detection technique similar to that described in Jain et al. [1979] and Yalamanchili et al [1982]. Prior to activation of the monitoring system, an image of the background, i.e., an image of the scene which contains no moving or otherwise interesting objects, is captured to serve as the *reference image*. When the system is in operation, the absolute difference of the current video frame from the reference image is computed to produce a *difference image*. The difference image is then thresholded at an appropriate value to obtain a binary image in which the "off" pixels represent background pixels, and the "on" pixels represent "moving object" pixels. The four-connected components of moving object pixels in the thresholded image are the motion regions (see Figure 1).

Simple application of the object detection procedure outlined above results in a number of errors, largely due to the limitations of thresholding. If the threshold used is too low, camera noise and shadows will produce spurious objects; whereas if the threshold is too high, some portions of the objects in the scene will fail to be separated from the back-

ground, resulting in *breakup*, in which a single world object gives rise to several motion regions within a single frame. Our general approach is to allow breakup, but use grouping heuristics to merge multiple connected components into a single motion region and maintain a one-to-one correspondence between motion regions and world objects within each frame.

One grouping technique we employ is 2D morphological dilation of the motion regions. This enables the system to merge connected components separated by a few pixels, but using this technique to span large gaps results in a severe performance degradation. Moreover, dilation in the image space may result in incorrectly merging distant objects which are nearby in the image (a few pixels), but are in fact separated by a large distance in the world (a few feet).

If 3D information is available, the connected component grouping algorithm makes use of an estimate of the size (in world coordinates) of the objects in the image. The bounding boxes of the connected components are expanded vertically and horizontally by a distance measured in feet (rather than pixels), and connected components with overlapping bounding boxes are merged into a single motion region. The technique for estimating the size of the objects in the image is described in section 3.4 below.

### 3.2 Tracking

The function of the AVS tracking routine is to establish correspondences between the motion regions in the current frame and those in the previous frame. We use the technique of Courtney [1997], which proceeds as follows. First assume that we have computed 2D velocity estimates for the motion regions in the previous frame. These velocity estimates, together with the locations of the centroids in the previous frame, are used to project the locations of the centroids of the motion regions into the current frame. Then, a *mutual nearest-neighbor* criterion is used to establish correspondences.

Let  $P$  be the set of motion region centroid locations in the previous frame, with  $p_i$  one such location. Let  $p'_i$  be the projected location of  $p_i$  in

the current frame, and let  $C$  be the set of all such projected locations in the current frame. Let  $C$  be the set of motion region centroid locations in the current frame. If the distance between  $p'_i$  and  $c_i \in C$  is the smallest for all elements of  $C$ , and this distance is also the smallest of the distances between  $c_i$  and all elements of  $P'$  (i.e.,  $p'_i$  and  $c_i$  are mutual nearest neighbors), then establish a correspondence between  $p_i$  and  $c_i$  by creating a bidirectional *strong link* between them. Use the difference in time and space between  $p_i$  and  $c_i$  to determine a velocity estimate for  $c_i$ , expressed in pixels per second. If there is an existing track containing  $p_i$ , add  $c_i$  to it. Otherwise, establish a new track, and add both  $p_i$  and  $c_i$  to it.

The strong links form the basis of the tracks with a high-confidence of their correctness. Video objects which do not have mutual nearest neighbors in the adjacent frame may fail to form correspondences because the underlying world object is involved in an event (e.g., enter, exit, deposit, remove). In order to assist in the identification of these events, objects without strong links are given unidirectional *weak links* to their (non-mutual) nearest neighbors. The weak links represent potential ambiguity in the tracking process. The motion regions in all of the frames, together with their strong and weak links, form a *motion graph*.

Figure 2 depicts a sample motion graph. In the figure, each frame is one-dimensional, and is represented by a vertical line (F0 - F18). Circles represent objects in the scene, the dark arrows represent strong links, and the gray arrows represent weak links. An object enters the scene in frame F1, and then moves through the scene until frame F4, where it deposits a second object. The first object continues to move through the scene, and exits at frame F6. The deposited object remains stationary. At frame F8 another object enters the scene, temporarily occludes the stationary object at frame F10 (or is occluded by it), and then proceeds to move past the stationary object. This second moving object reverses directions around frames F13 and F14, returns to remove the stationary object in frame F16, and finally exits in frame F17. An additional object enters in frame F5 and exits in frame F8 without interacting with any other object.

As indicated by the striped fill patterns in Figure 2, the correct correspondences for the tracks are am-

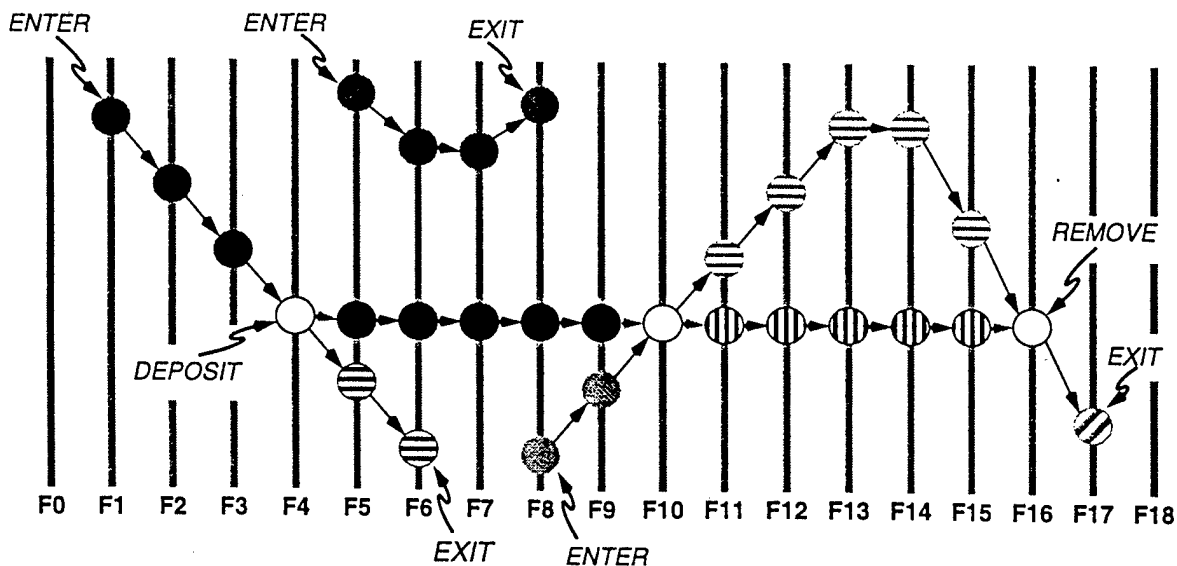


Figure 2: Event detection in the motion graph.

biguous after object interactions such as the occlusion in frame F10. The AVS system resolves this ambiguity where possible by preferring to match moving objects with moving objects, and stationary objects with stationary objects. The distinction between moving and stationary tracks is computed using thresholds on the velocity estimates, and hysteresis for stabilizing transitions between moving and stationary.

Following an occlusion (which may last for several frames) the frames immediately before and after the occlusion are compared (e.g., frames F9 and F11 in Figure 2). The AVS system examines each stationary object in the pre-occlusion frame, and searches for its correspondent in the post-occlusion frame (which should be exactly where it was before, since the object is stationary). This procedure resolves a large portion of the tracking ambiguities. General resolution of ambiguities resulting from multiple moving objects in the scene is a topic for further research. The AVS system may benefit from inclusion of a "closed world tracking" facility such as that described by Intille and Bobick [1995a, 1995b].

### 3.3 Event Recognition

Certain features of tracks and pairs of tracks correspond to events. For example, the beginning of a track corresponds to an ENTER event, and the end corresponds to an EXIT event. In an on-line event detection system, it is preferable to detect the event

as near in time as possible to the actual occurrence of the event. The previous system which used motion graphs for event detection [Courtney, 1997] operated in a batch mode, and required multiple passes over the motion graph, precluding on-line operation. The AVS system detects events in a single pass over the motion graph, as the graph is created. However, in order to reduce errors due to noise, the AVS system introduces a slight delay of  $n$  frame times ( $n=3$  in the current implementation) before reporting certain events. For example, in Figure 2, an enter event occurs on frame F1. The AVS system requires the track to be maintained for  $n$  frames before reporting the enter event. If the track not maintained for the required number of frames, it is ignored, and the enter event is not reported, e.g., if  $n > 4$ , the object in Figure 2 which enters in frame F5 and exits in frame F8 will not generate any events.

A track that splits into two tracks, one of which is moving, and the other of which is stationary, corresponds to a DEPOSIT event. If a moving track intersects a stationary track, and then continues to move, but the stationary track ends at the intersection, this corresponds to a REMOVE event. The remove event can be generated as soon as the remover disoccludes the location of the stationary object which was removed, and the system can determine that the stationary object is no longer at that location.

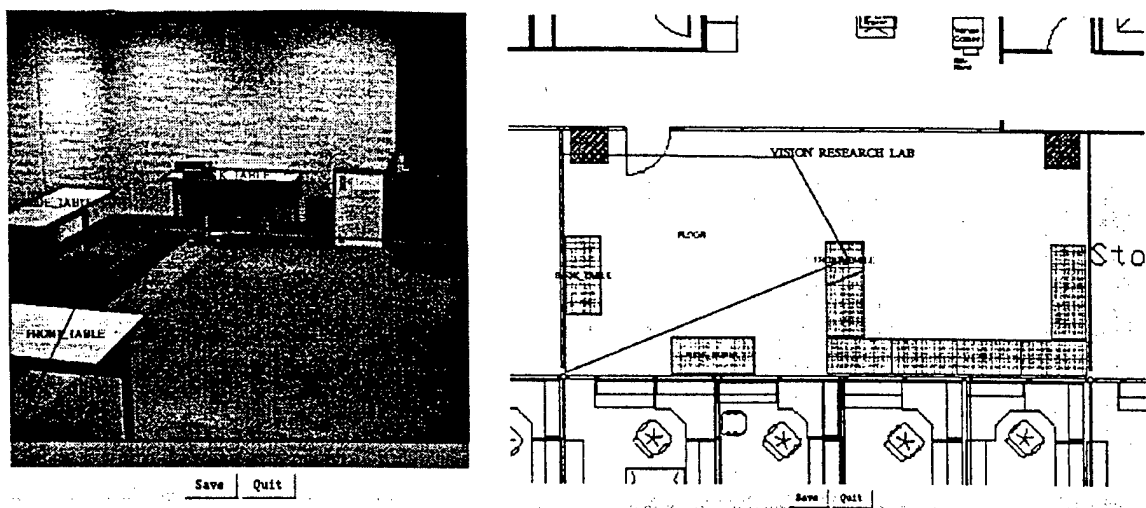


Figure 3: Establishing the image to map coordinate transformation

In a manner similar to the occlusion situation described above in section 3.2, the deposit event also gives rise to ambiguity as to which object is the depositor, and which is the depositee. For example, it may have been that the object which entered at frame F1 of Figure 2 *stopped* at frame F4 and deposited a *moving* object, and it is the deposited object which then proceeded to exit the scene at F6. Again, the AVS system relies on a moving vs. stationary distinction to resolve the ambiguity, and insists that the depositee remain stationary after a deposit event. The AVS system requires both the depositor and the depositee tracks to extend for  $n$  frames past the point at which the tracks separate (e.g., past frame F5 in Figure 2), and that the deposited object remain stationary; otherwise no deposit event is generated.

Also detected (but not illustrated in Figure 2), are REST events (when a moving object comes to a stop), and MOVE events (when a RESTing object begins to move again). Finally, one further event that is detected is the LIGHTSOUT event, which occurs whenever a large change occurs over the entire image. The motion graph need not be consulted to detect this event.

### 3.4 Image to World Mapping

In order to locate objects seen in the image with respect to a map, it is necessary to establish a mapping between image and map coordinates. This mapping is established in the AVS system by having a user draw quadrilaterals on the horizontal

surfaces visible in an image, and the corresponding quadrilaterals on a map, as shown in Figure 3. A warp transformation from image to map coordinates is constructed using the quadrilateral coordinates.

Once the transformations are established, the system can estimate the location of an object (as in Flinchbaugh and Bannon [1994]) by assuming that all objects rest on a horizontal surface. When an object is detected in the scene, the midpoint of the lowest side of the bounding box is used as the image point to project into the map window using the quadrilateral warp transformation [Wolberg, 1990].

## 4 Applications

The AVS core algorithms described in section 3 have been used as the basis for several video surveillance applications. Section 4 describes three applications that we have implemented: situational awareness, best-view selection for activity logging, and environment learning.

### 4.1 Situational Awareness

The goal of the situational awareness application is to produce a real-time map-based display of the locations of people, objects and events in a monitored region, and to allow a user to specify alarm conditions interactively. Alarm conditions may be based on the locations of people and objects in the scene, the types of objects in the scene, the events in which the people and objects are in-

```

Name      : 
Events:    enter  exit  loiter  deposit  remove  move  rest  lightsout  lightson
Objects:   person  box  briefcase  notebook  monitor  object  unknown
Days of week:  Monday  Tuesday  Wednesday  Thursday  Friday  Saturday  Sunday
Time of day: from 5:00 pm — until 7:00 am —
Regions:   Table_B  Table_C  Table_A
Duration: 
Actions:   beep  popup  log  plot  voice

```

cancel | OK

Figure 5: User interface for specifying a monitor in AVS

involved, and the times at which the events occur. Furthermore, the user can specify the action to take when an alarm is triggered, e.g., to generate an audio alarm or write a log file. For example, the user should be able to specify that an audio alarm should be triggered if a person deposits a briefcase on a given table between 5:00pm and 7:00 am on a weeknight.

The architecture of the AVS situational awareness system is depicted in Figure 4. The system consists of one or more smart cameras communicating with a Video Surveillance Shell (VSS). Each camera has associated with it an independent AVS core engine that performs the processing described in section 3. That is, the engine finds and tracks moving objects in the scene, maps their image locations to world coordinates, and recognizes events involving the objects. Each core engine emits a stream of location and event reports to the VSS, which filters the incoming event streams for user-specified alarm conditions and takes the appropriate actions.

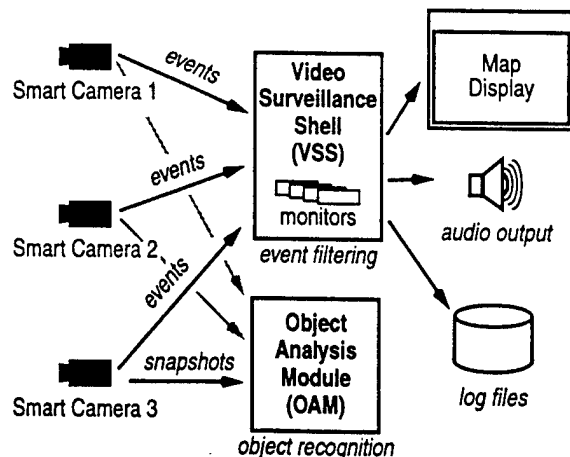


Figure 4: The situational awareness system

In order to determine the identities of objects (e.g., briefcase, notebook), the situational awareness system communicates with one or more object analysis modules (OAMs). The core engines capture snapshots of interesting objects in the scenes, and forward the snapshots to the OAM, along with the IDs of the tracks containing the objects. The OAM then processes the snapshot in order to determine the type of object. The OAM processing and the AVS core engine computations are asynchronous, so the core engine may have processed several more frames by time the OAM completes its analysis. Once the analysis is complete, the OAM sends the results (an object type label) and the track ID back to the core engine. The core engine uses the track ID to associate the label with the correct object in the current frame (assuming the object has remained in the scene and been successfully tracked).

The VSS provides a map display of the monitored area, with the locations of the objects in the scene reported as icons on the map. The VSS also allows the user to specify alarm regions and conditions. Alarm regions are specified by drawing them on the map using a mouse, and naming them as desired. The user can then specify the conditions and actions for alarms by creating one or more *monitors*. Figure 5 depicts the monitor creation dialog box. The user names the monitor and uses the mouse to select check boxes associated with the conditions that will trigger the monitor. The user selects the type of event, the type of object involved in the event, the day of week and time of day of the event, where the event occurs, and what to do when the alarm condition occurs. The monitor specified in Figure 5 specifies that a voice alarm

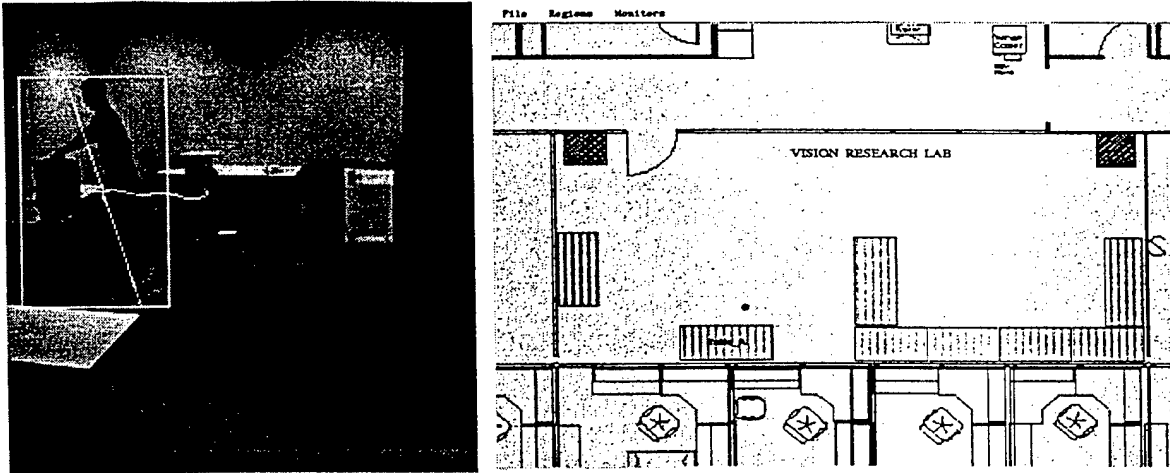


Figure 6: Tracking an object in the scene on the map

will be sounded when a briefcase is deposited on Table\_A between 5:00pm and 7:00am on a week-night. The voice alarms are customized to the event and object type, so that when this alarm is triggered, the system will announce "deposit box" via its audio output. Figure 6 shows a person about to trigger this alarm.

## 5 Best-View Selection for Activity Logging

In many video surveillance applications the goal of surveillance is not to detect events in real time and generate alarms, but rather to construct a log or audit trail of all of the activity that takes place in the camera's field of view. This log is examined by investigators after a security incident (e.g., a theft or terrorist attack), and is used to identify possible suspects or witnesses.

In order to gain experience with this type of application, we have used the tracking and event detection capabilities described in section 3 to construct a program that monitors and records the movements of humans in its field of view. For every person that it sees, it creates a log file that summarizes important information about the person, including a snapshot taken when the person was close to the camera and (if possible) facing it. The log files are made available to authorized users via the World-Wide Web.

## 5.1 Architecture

The application makes use of the AVS core algorithms to detect and track people. Upon detection of a track corresponding to a person in the input, the tracker associates a data record with the track. The data record contains a summary of information about the person, including a snapshot extracted from the current video image. As the person is tracked through the scene, the tracker examines each image of that person that it receives. If the new image is a better view of the person than the previously saved snapshot, the snapshot is replaced with the new view. When the person leaves the scene, the data record is saved to a file.

Each log entry file records the time when the person entered the scene and a list of coordinate pairs showing their position in each video frame. Each log entry file also contains the snapshot that was stored in the track record for the person when they exited the scene. Because of the way snapshots are maintained, the final snapshot is the best view of the person that the system had during tracking. Finally, the log entry file contains a pointer to the reference image that was in effect when the snapshot was taken. This information forms an extremely concise description of the person's movements and appearance while they were in the scene.

**Selecting the best view:** The system uses simple heuristics to decide when the current view of a per-

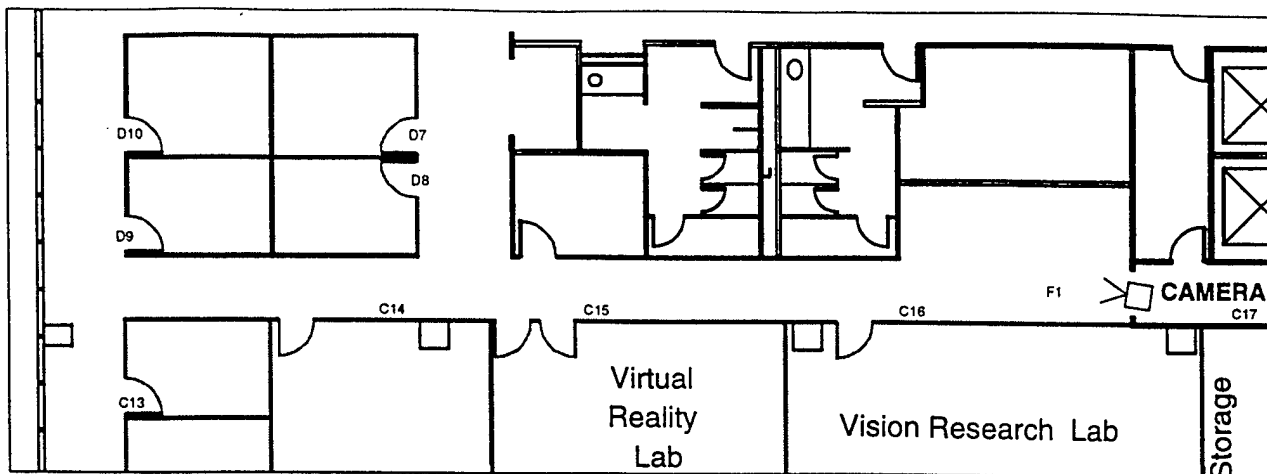


Figure 7: Floor plan of area used for hallway monitoring experiments. Camera is located at right and monitors the hallway and printer alcove.

son is better than the previously saved view. First, the new view is considered better if the subject is moving toward the camera in the current frame, and was moving away in the previously saved view. This causes the system to favor views in which the subject's face is visible. If this rule does not apply, the new view is considered better if the subject appears to be larger (subtends a larger visual angle). This causes the system to prefer views in which the subject is close to the camera. Other possible view selection heuristics are discussed in Kelly et al. [1995].

**Handling background change:** The test environment experiences significant lighting variation during the day due to window lighting, opening and closing doors etcetera. In addition, during the day people frequently deposit, remove, or reposition objects in the scene. This creates permanent regions of difference between the scene and the reference image. Without some mechanism for updating the reference image, the system would continue to track these difference regions as objects. Therefore, the tracker was instructed to discard the current tracks and grab a new reference image whenever it determined that all objects in the scene were stationary, and that no object had moved for several seconds.

### User Interface

Log files are saved in a directory tree associated with the camera that produced the data. Along with the log files, the monitoring application creates HTML documents that allow a web browser to navigate the directory tree and access the en-

tries. Log entries are displayed by a Java applet that displays the best snapshot of the person in the context of the reference image, and overlays the person's path through the scene on the image. The applet runs as an independent thread that checks periodically to see if any new log entries have been created. Thus if the user is browsing the entries for the current day, new entries become available to the browser as soon as they occur.

### 5.2 Experiments

The system described above was tested in a hallway of our laboratory. Figure 7 shows the hallway floor plan. The camera is mounted in the hallway ceiling and looks west toward a window-lit corridor that runs around the perimeter of the building. The hallway experiences heavy traffic, because it contains a laser printer, a copier, and the office water cooler. The hallway passes under the camera and continues to the east out of the field of view.

The system was allowed to run for a total of 118 hours over a period of a week. Most laboratory personnel were unaware that a test was in progress, so the system was exposed to normal daily activity. During the test the system recorded a total of 965 log entries. Figure 8 shows the browser display for a typical log entry. In this sequence the subject entered the scene from the cross corridor at rear and came down the hallway on his way to the copier, out of view at lower right. His path is shown as a line on the floor, which appears red when viewed with a color browser.

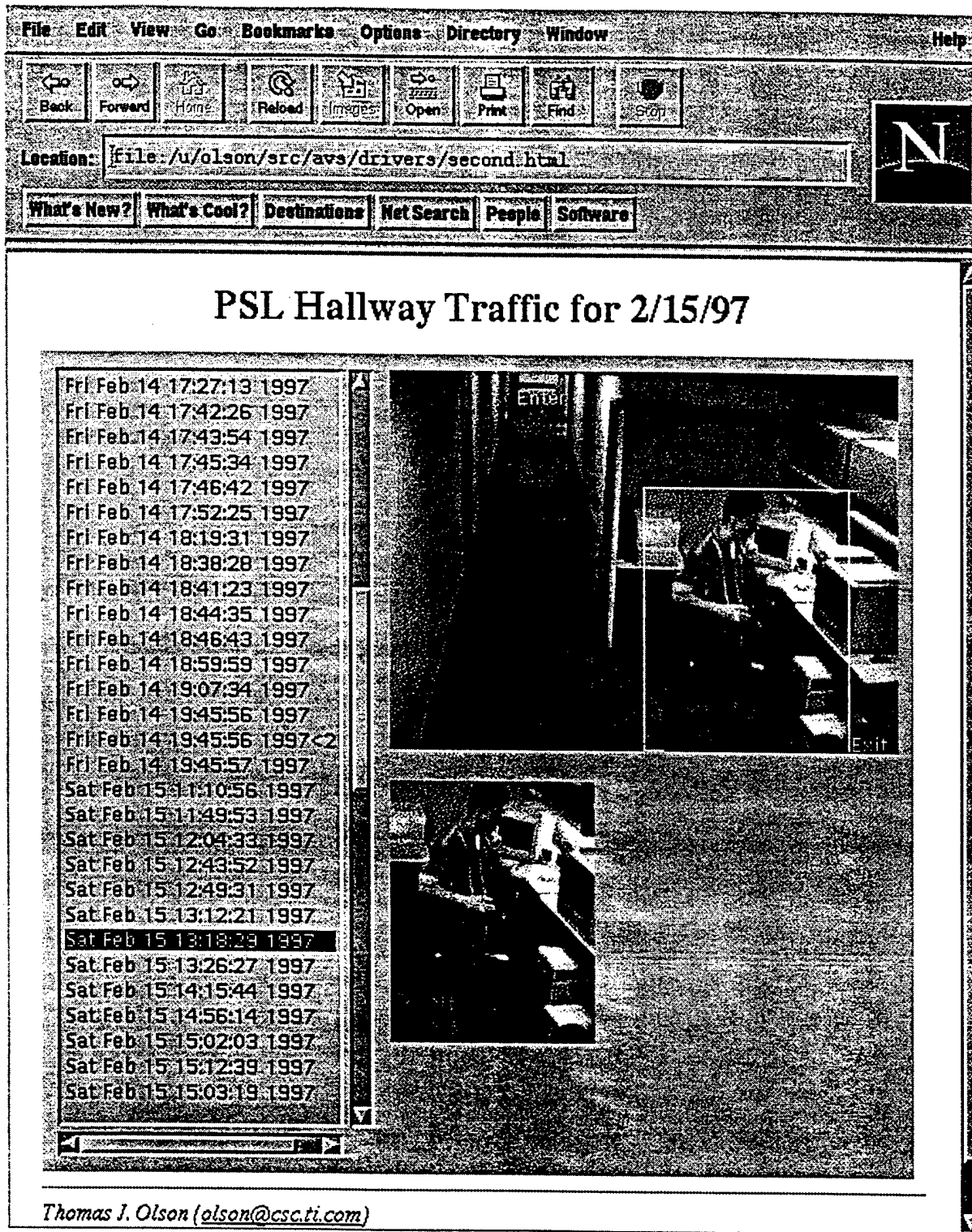


Figure 8: Log entry browser interface. The line drawn on the floor in the upper image shows the subject's path from entry to exit. The list entry selected at left is the time at which the image was taken.

Figure 9 demonstrates the effect of the system's preference for frontal views. In this sequence the subject entered at the bottom of the scene and walked away from the camera. He turned around

and took a few steps back toward the camera, then turned away again and continued down the hallway, eventually exiting via the first door on the left. Although the subject's back was toward the camera

most of the time, the view preference heuristics selected a view taken while he was facing the camera.

### Performance Evaluation

In order to assess the performance of the monitoring application, all of the log entries for the experiment period were examined and scored by one of the authors. Entries were classified as follows:

**Face/Non-face:** Entries containing a view of a subject's head were classified as FACES if the subject's face (specifically, subject's nose) was visible, otherwise they were classified as NONFACES.

**False Alarm:** Images which contained no human and appeared to be caused by noise were classified as FALSE ALARMS.

**Bad Path:** Entries in which the floor trace is clearly corrupt in some way were classified as BAD PATHS.

**Bad Choice:** In some cases it is clear from the floor trace that the system made a poor choice of which image of a person to save in the log entry. These entries were classified as BAD CHOICE.

**False Negative:** In some cases it is clear that the system failed to take a usable picture of a person who was in the scene. These were classified as FALSE NEGATIVES. About half of the false negatives occurred when the system selected a view in which the subject's head is not visible, typically because they were in the act of passing through a doorway. The others occurred when the system became confused by occlusion, and incorrectly grouped two people into a single log entry. Note that we do not have ground truth for the observation period, so there may have been other detection failures that were not detected. However, monitoring by the authors during the daytime revealed no failures of this type. We believe that the FALSE NEGATIVE count is a good estimate of the number of detection failures.

Table 1 shows the classification counts for the test period. Assuming that the false negative count is

## PSL Hallway Traffic for 2/20/97

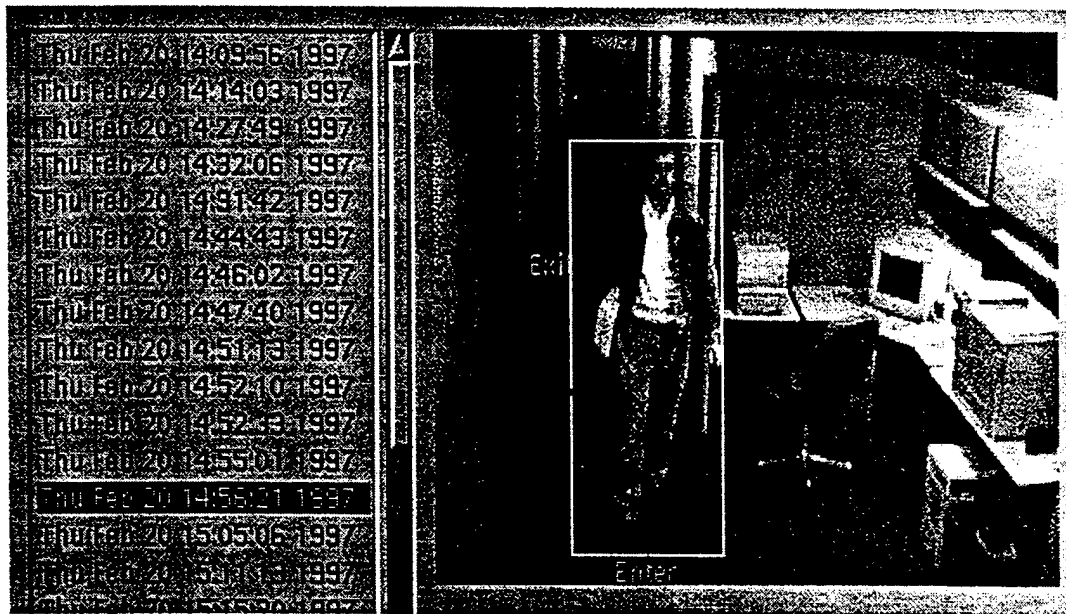


Figure 9: Log entry showing the effect of the view selection heuristic preference for frontal views. The subject was walking away from the camera for most of this sequence, but the system was able to capture a view while he was facing the camera.

**Table 1: Long-term monitoring system performance**

log entry type	Number of entries
FACE	493
NONFACE	380
FALSE ALARM	62
FALSE NEGATIVE	44
BAD PATH	112
BAD CHOICE	29
TOTAL ENTRIES	965

valid, the system achieved a detection rate of 95.2% with a false alarm rate of 6.4%. The recorded path of the subject was correct (or at least plausible) in 88.4% of entries, and the system made conspicuously bad choices of what image to save in only 3% of entries.

Of the valid images of humans, 56.6% showed the subject's face, vs. 43.4% that did not. Note that in most cases where the image does not show the face, the subject entered the scene from below the camera and walked away from it, so there was never an opportunity for a frontal view. Earlier experiments without the frontal view heuristic captured FACE and NONFACE images with roughly equal frequency, so the it is clear that the heuristic helps.

At the end of the experiment, the camera directory occupied 34.5 megabytes, or about seven megabytes per day of monitoring. Almost all of the storage consists of image files, so presumably compression with an image-specific algorithm would produce substantial savings. Use of an MPEG-like algorithm on the reference images would be extremely effective, since the reference images are all very nearly identical, and lossless compression would not be necessary.

## 6 Learning Environment Structure

The AVS tracking and event recognition software uses corresponding rectangles in image and world coordinates to compute an approximate image-to-world mapping. These rectangles are created by a human when the camera system is set up. In many situations it would be preferable to eliminate even this minimal calibration step, in order to reduce setup cost to a minimum.

We have developed a system that learns the image-to-world mapping by watching humans move around in the scene. Changes in the apparent size and position of humans in the image provide information about the existence and depth of world surfaces. Appearance and disappearance of humans provides information about occlusion boundaries and locations where humans can enter or exit the scene.

### 6.1 Method

The computation assumes weak perspective projection, i.e. that objects in the scene are first projected orthographically to a plane passing through a reference point on the object and parallel to the image plane, and then projected to the image plane using true perspective. It is also assumed that humans are usually in contact with a world surface that supports them, that the camera is in an upright position (has roll angle zero), and that the internal calibration parameters of the camera are known.

More precisely, assume front projection with the camera focal point at the origin and looking down the Z axis of a left-handed coordinate system. Suppose the camera observes a person in the world with head at world point  $H = (X_H, Y_H, Z_H)$  and feet at world point  $F$ . Let  $F$  be the reference point for weak perspective projection. Then the apparent height of the person in the image is given by

where  $\theta$  is the camera tilt angle relative to the local vertical direction. Solving for depth gives

The person's height  $|H - F|$  has a known probability distribution, and the tilt angle term  $\cos\theta$  can be

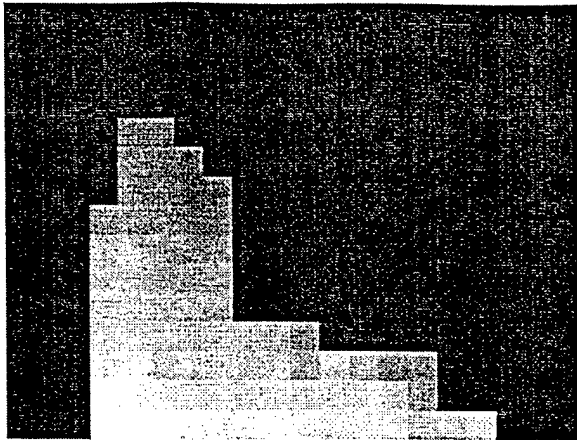


Figure 10: Apparent height data collected in the experiment. Cell intensity is the median of the image heights of observed humans when their feet were imaged in the cell. Dark grey regions contain no data.

estimated from the appearance of the person, or simply ignored for the shallow tilt angles typical of security camera installations. Given enough observations, the equation can be used to estimate the distance from the camera to points in the world where people commonly walk.

The idea of recovering structure from observed sizes of humans is conceptually related to shape-from-texture work in which the texture is made up of discrete elements that are uniform in size and shape [Aloimonos and Swain, 1988, Blostein and Ahuja, 1989]. In this case the texels (people) do not lie in the imaged surface, and their size in the world is known. This makes depth recovery substantially easier than it is in general shape-from-texture work.

## 6.2 Mapping the Environment

The equation derived above has been used in a program that learns the structure of its environment by watching humans move around in it. The program makes use of the AVS core algorithms to detect and track people. Over time, it builds up an image in which pixel value represents depth to the nearest world surface in the corresponding direction.

The camera image is partitioned into a grid of 16x16-pixel squares, each of which is associated with a histogram. Whenever the program detects a person in the scene, it locates the histogram associ-

ated with the place where they are standing, i.e., the one associated with the square containing the bottom center of the motion region for the person. The apparent height of the person is recorded in that histogram. Over time, the histogram for each location in the image builds up a sample distribution for the apparent (image) height of humans at that location. This can be used with the equation derived previously to estimate the depth at that point.

The program was allowed to operate for twenty-four hours during a typical working day. Input was provided by the hallway camera used in section 5. Figure 10 shows the raw output of the program. In the figure pixel intensity corresponds to the median observed height for the corresponding location. Dark grey pixels are those for which no observations were recorded. The program was instructed to discard observations in which the motion region for the person touched the upper or lower image border, since the apparent height is invalid in that condition. For this reason, there are no counts for the end of the hallway.

The height data of Figure 10 were converted to depths using the equation derived above. Vertical pixel pitch was taken from the camera technical manual, and the nominal lens focal length was used to approximate the true focal length. Histogram cells for which fewer than ten total observations were recorded were discarded.

Figure 11 shows the final depth map superimposed on the image. The range estimates cover image regions corresponding to the floor, and vary smoothly over most of the image. Anomalously large values occur in several locations at right center below the small printer and workstation. These errors occur because the office chair is frequently moved around in this region, and the system sometimes mistakes it for a person. Since it is significantly smaller than a real person, the system interprets it as evidence that the floor supporting it is further away than it actually is. A similar problem produces the anomalously high value of 8.9 meters at left center, at the base of the doorway. It frequently happens that as a person exits the hall via the doorway, their head goes out of sight while their body and feet are still visible. The system records the height of the visible portion of the person in the cell at the base of the doorway. Since this



**Table 2: Estimated vs. Actual Range (meters) to ground truth points**

point	estimate (meters)	actual (meters)	error (meters)
A	4.70	4.80	-0.10
B	5.00	5.40	-0.40
C	5.90	5.89	0.01
D	6.10	6.45	-0.35
E	6.80	7.26	-0.46
F	7.70	8.18	-0.48
G	9.80	9.85	-0.05

## 7 Conclusion

The goal of our research is to develop algorithms and systems that can be used to describe a video sequence in terms of moving objects and events. These algorithms will enable a generation of smart cameras that deliver information about scenes rather than raw images. We have created a set of core algorithms comprising the Autonomous Video Surveillance (AVS) system, including routines for moving object detection, tracking, and abstract event recognition. The AVS system has been used to create several surveillance applications, including a video surveillance shell, a program that creates concise logs of activity in the field of view, and a program that learns scene structure by watching humans moving around in the environment.

Our future work on AVS will address weaknesses in the current system, and will add new capabilities that support more complex applications. Work is planned in three main areas:

**Robust Change Detection and Tracking:** Experiments have shown that errors in the moving object detection computation are the most common cause of errors in our applications. This is particularly a problem in outdoor environments. We plan to develop new change detection algorithms based on dynamic background models that capture the way the background changes over time. We will also exploit contextual information to predict the ex-

pected size and appearance of moving objects in the scene.

**Improved Event Recognition:** We will extend our motion-graph-based event recognition algorithms to a broader range of events, and will develop methods of specifying and recognizing compound events and event sequences.

**Applications:** We will extend the existing video surveillance shell to make use of authentication sensors, and to distinguish between authorized and unauthorized individuals. We will continue to use AVS technology to develop applications that address military and other government video surveillance needs.

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