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13. ABSTRACT (*Maximum 200 words*)
 This report describes the synthesis and thermal stability of nanocrystalline oxide composites for thermal barrier coating applications. Nanocomposite powders were coated onto nickel-based substrates using alumina gel both as an interlayer and as an adhesive additive in subsequent Al₂O₃-Y₂O₃-ZrO₂ coatings. Prior to coating of the nanocomposite powders, bond coats were applied to the nickel substrates by plasma spraying. The effects of alumina content and pretreatment conditions on the thermal stability of the coatings were investigated. Thermal gravimetric analysis and optical microscopy experiments were performed to better understand the mechanism of failure in the thermal barrier coatings and determine the optimal coating composition and pretreatment conditions.

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Processing and Deposition of Nanocrystalline Oxide Composites for Thermal Barrier Coatings

Technical Report on ONR Grant No. N00014-95-1-0626
for the Period of January 1, 2000-June 30, 2001

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1. Introduction

Previous studies have demonstrated bond coat oxidation is the dominant degradation mechanism responsible for thermal barrier coating (TBC) failure.¹⁻⁷ Recent efforts to improve bond coat oxidation resistance have focused on modifying bond coat composition and bond coat pretreatment conditions.⁸⁻¹⁵ The goal was to form a protective alumina scale on the bond coat surface prior to depositing the Y₂O₃-ZrO₂-based TBC. The alumina scale acts as an oxidation barrier, as well as to potentially improve the bond coat-TBC adhesion necessary for increased cyclic oxidation life.¹⁶⁻¹⁷ Sun *et al.* utilized chemical vapor deposition to introduce an alumina interlayer between the bond coat and Y₂O₃-ZrO₂. The alumina interlayer greatly improved the performance of the TBC, increasing the cyclic life from 9 to 30 hr, when cycled between 25 °C and 1150 °C.¹

In our approach, an Al₂O₃ interlayer was incorporated using wet-chemical processing, a less expensive and more flexible deposition route. An Al₂O₃ gel was paintbrush-coated onto a plasma-sprayed bond coat, followed by deposition of a nanocomposite Al₂O₃-Y₂O₃-ZrO₂ top coat. The effects of the Al₂O₃ interlayer and the Al₂O₃ content in the top coat on the thermal stability of the coatings were examined.

2. Synthesis of Al₂O₃ Gel and Al₂O₃-Y₂O₃-ZrO₂ Nanocomposites

Al₂O₃ gel was synthesized using chemical precipitation. Al(NO₃)₃·9H₂O was first dissolved in distilled H₂O at a concentration of 0.2 M. Ammonium hydroxide (NH₄OH) was added dropwise to the solution until the pH = 10, inducing aluminum hydroxide precipitation. The slurry was aged for 3 hr, vacuum filtered, and washed 3 times with distilled water to remove salts or other impurities. The density of the alumina gel was measured to be 0.05 g Al₂O₃/ml gel.

The Al₂O₃ gel was used as both an interlayer coating and an adhesive additive to the Al₂O₃-Y₂O₃-ZrO₂ materials used in the top layer. Compositions and synthesis of the Al₂O₃-Y₂O₃-ZrO₂ powders were similar to those used in our previous reports.¹⁸ The

nanocomposite powders were calcined at 650 °C and 1300 °C for 6 hr prior to coating. When applied as an interlayer, the gel was coated directly onto the substrate using a paintbrush. As an adhesive, the Al₂O₃ gel was mixed with the nanocomposite Al₂O₃-Y₂O₃-ZrO₂ powders, forming a viscous slurry. The Al₂O₃ gel acted as a cement, increasing the mechanical stability of the nanocomposite powders when coated onto the Al₂O₃ interlayer. A slurry consisting of 10 g of nanocomposite powder with 10 ml of Al₂O₃ gel was used as the coating mixture. Prior to coating, the slurry was ball milled for 30 min.

3. Coating of Ni Substrates with Al₂O₃ Interlayer and Al₂O₃-Y₂O₃-ZrO₂ TBC

Metal substrates with and without plasma-sprayed bond coats were used to examine the thermal stability of the TBC systems containing the Al₂O₃ gel interlayer. Initial studies without a bond coat determined the optimum treatment temperature for the Al₂O₃ interlayer. Al₂O₃ gel was paintbrush-coated onto the Ni substrates and dried at 110 °C for 2 hr followed by heat treatment at 700-1000 °C for 1 hr in air. Following the heat treatment, a coating solution consisting of 5 wt% Al₂O₃-1.7 wt% Y₂O₃-93.3 wt% ZrO₂ was deposited and dried at 110 °C for 2 hr. One Ni substrate was coated with only the Al₂O₃-Y₂O₃-ZrO₂ nanocomposite without the Al₂O₃ interlayer. The system with Al₂O₃ interlayer and Al₂O₃-Y₂O₃-ZrO₂ TBC was heat treated at 1150 °C in argon for 1 hr, followed by heat treatment at 1150 °C in air for 1 hr.

The optimum pretreatment temperature for the Al₂O₃ interlayer was evaluated by optical microscopy (see Figure 1). When an Al₂O₃ interlayer was not used, the Al₂O₃-Y₂O₃-ZrO₂ top coat suffered from cracking, as the Ni substrate was visibly exposed in Figure 1(a). Uniform Ni substrate coverage was maintained when the Al₂O₃ interlayer was utilized and pretreated at 700-800 °C (Figures 1(b) and 1(c)). No cracking or flaking of the coatings was observed, indicating good thermal stability. When the Al₂O₃ interlayer was pretreated \geq 900 °C, the coating suffered from slight loss in thermal stability, as cracking of the coatings was present, exposing the underlying Ni substrate (Figures 1(d)-(e)). In further studies, 800 °C was used as the optimal pretreatment temperature for the Al₂O₃ interlayer.

4. Thermal Stability of the Ni-based Substrates with Plasma-Sprayed Bond Coat, Al₂O₃ Interlayer and Al₂O₃-Y₂O₃-ZrO₂ TBC

Following optimization of the pretreatment conditions for the Al₂O₃ interlayer, coatings were applied to Ni-based substrates with plasma-sprayed NiCrAlY-based bond coats. Prior studies indicated that plasma-sprayed NiCrAlY bond coats were the most thermally stable, so they were used as the platform for studying the Al₂O₃ interlayers.¹⁸ Prior to deposition of the top coat, Al₂O₃ interlayers were coated and pretreated at 800 °C for 1 hr. Effects of Al₂O₃ content (5-15 wt%) and calcination temperature (500-1300 °C) on the nanocomposite top coat were examined.

Top coats of nanocomposite powders calcined at 650 °C suffered from cracking and agglomeration due to sintering when heat treated at 1150 °C in argon for 1 hr (Figure 2(a)). In contrast, top coats of nanocomposite powders calcined at 1300 °C were uniform in

coating coverage and crackfree (Figure 2(b)). Calcining top coat powders at temperatures equal to or greater than the coating heat treatment temperatures was necessary to ensure good thermal stability of the nanostructured coatings.

Top coats containing 5 wt% and 10 wt% of Al_2O_3 possessed the highest thermal stability, as uniform coatings were maintained following heat treatment at 1150 °C in argon for 1 hr (Figures 2(b)-(c)). When the top coat Al_2O_3 composition was increased to 15 wt%, the mechanical stability of the coating decreased, as evidenced by cracking present in Figure 2(d). The difference in thermal expansion coefficient between Al_2O_3 and ZrO_2 could have resulted in cracking of coating. Intermediate levels of Al_2O_3 (5-10 wt%) potentially improved the oxidation resistance of the top coat without loss in mechanical stability.

All of the tested coatings displayed some loss in coating coverage following extended heat treatments in air at 1150 °C for 10 hr (Figure 3). Top coat containing 5 wt% Al_2O_3 displayed only a slight loss in coating coverage after 10 hr of heat treatment (Figure 3(b)). Compositions containing > 5 wt% Al_2O_3 suffered significant loss in coating coverage after the 10-hr treatment (Figures 3(c) and (d)). Following extended heat treatment (50 hr) in air at 1150 °C, all top coat compositions displayed significant coating spallation due to oxidation of the underlying bond coat and substrate (Figure 4).

5. Oxidation Behavior of Ni Substrates with Al_2O_3 Interlayer and Al_2O_3 - Y_2O_3 - ZrO_2 TBC

A Perkin Elmer TAC 7/DX thermal analyzer measured weight gain due to oxidation of the coated substrates. Ni substrates were coated with the nanocomposite Al_2O_3 - Y_2O_3 - ZrO_2 top coat with and without the Al_2O_3 interlayer. The TBC-coated samples were placed in a platinum sample pan and heated to an initial temperature of 600 °C. Samples were then heated from 600 °C to 1100 °C (ramp = 10 °C/min), soaking at 1000 °C for 4 hr, at 1050 °C for 4 hr, and at 1100 °C for 2 hr. Weight gain due to oxidation of the underlying Ni substrates was recorded (Figure 5).

The Al_2O_3 interlayer and nanocomposite top coat provided significant oxidation resistance relative to the uncoated Ni substrate (Table 1). Following the heat treatment at 1100 °C, uncoated Ni substrate had a weight gain of 1.3 wt%. Coated substrate containing the Al_2O_3 interlayer had smaller weight gains. Weight gains for systems with 5 wt% Al_2O_3 -1.7% Y_2O_3 -93.3% ZrO_2 were 0.77 wt% and 0.87 wt% after heat treatment at 1100 °C in the presence and absence of an Al_2O_3 interlayer.

Al_2O_3 content in the top coat had a negligible influence on the oxidation behavior of the TBC, as several top coat compositions possessed similar weight gains following heat treatment (Table 1). The optimum composition for the nanocomposite top coat was 5 wt% Al_2O_3 -1.7% Y_2O_3 -93.3% ZrO_2 , which displayed superior thermal and mechanical stability when applied onto a Ni-based substrate with a plasma-sprayed NiCrAlY bond coat and an Al_2O_3 interlayer.

6. Conclusions

The TGA results and heat treatment studies demonstrated that the Al₂O₃ interlayer suppressed oxidation of the underlying substrate and enhanced thermal stability of the nanostructured Al₂O₃-Y₂O₃-ZrO₂ TBC. Utilizing Al₂O₃ as an interlayer and an adhesive cement in the top coat provided for an inexpensive and flexible route for the processing of TBC. By utilizing a wet-chemical processing approach for depositing the interlayer and the top coat, the microstructure of the TBC was retained. Such nanocomposite coatings could prove advantageous in TBC applications.

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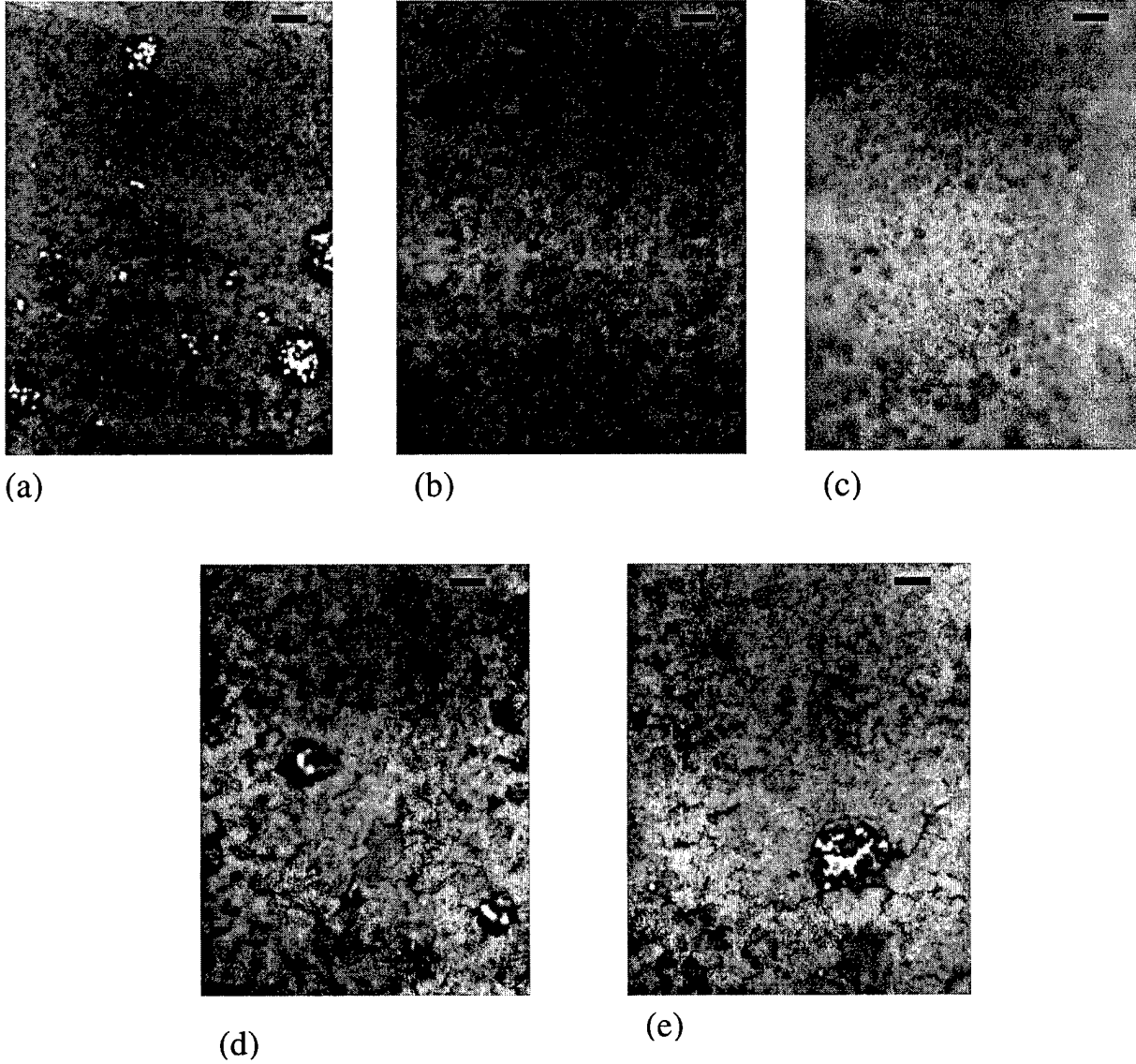
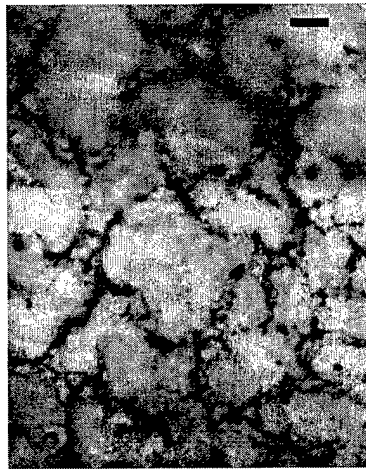
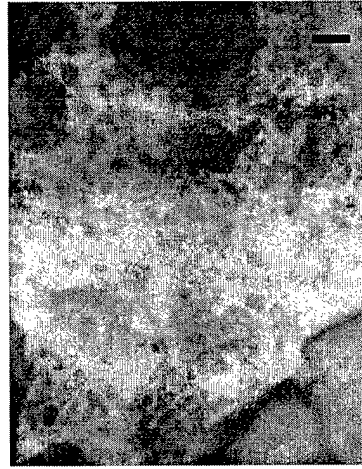


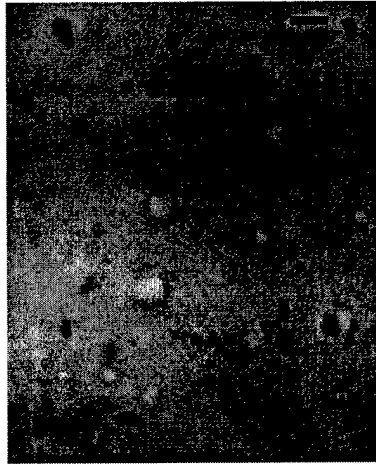
Figure 1. Optical micrographs of Ni substrates coated with (a) no interlayer and (b-e) Al₂O₃ interlayer, and 5% Al₂O₃-1.7% Y₂O₃-93.3% ZrO₂ TBC following heat treatment at 1150 °C in air for 1 hr. The Al₂O₃ interlayer was pretreated at (b) 700 °C, (c) 800 °C, (d) 900 °C and (e) 1000 °C. Scale bar shown is 100 μm.



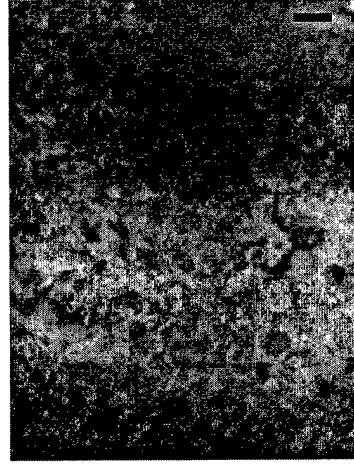
(a)



(b)

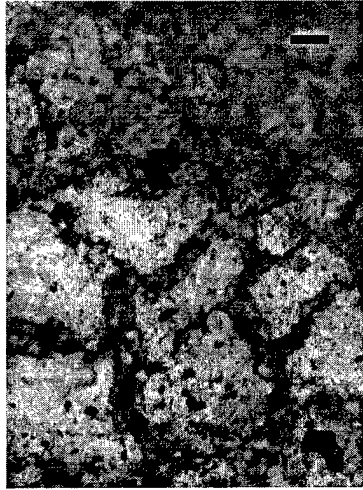


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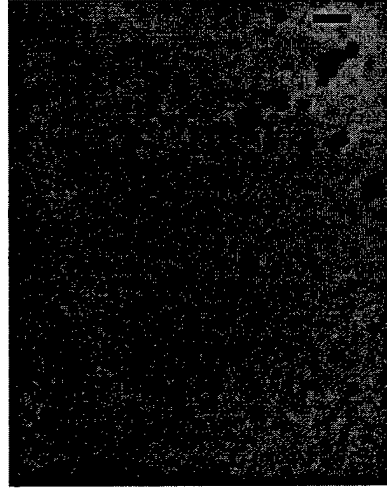


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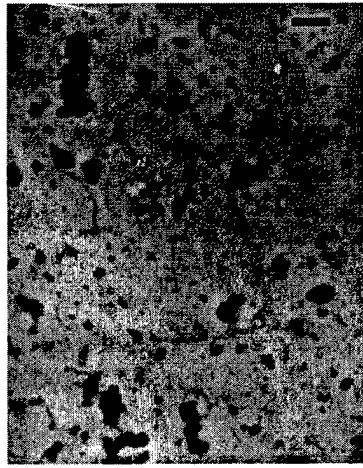
Figure 2. Optical micrographs of Ni-based substrates with a plasma-sprayed bond coat, an Al_2O_3 interlayer, and a top coat consisting of (a) 5.0% Al_2O_3 -1.6% Y_2O_3 -93.4% ZrO_2 , (b) 5.0% Al_2O_3 -1.7% Y_2O_3 -93.3% ZrO_2 , (c) 10% Al_2O_3 -1.5% Y_2O_3 -88.5% ZrO_2 , and (d) 15% Al_2O_3 -1.4% Y_2O_3 -83.6% ZrO_2 . Top coat powders were calcined at 650 °C in (a) and 1300 °C in (b), (c) and (d). The coated substrates were heated to 1150 °C in argon for 1 hr. Scale bar shown is 100 μm .



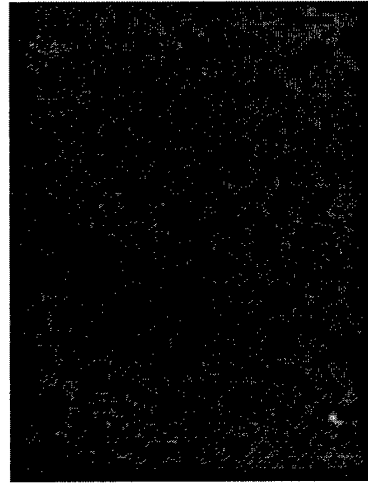
(a)



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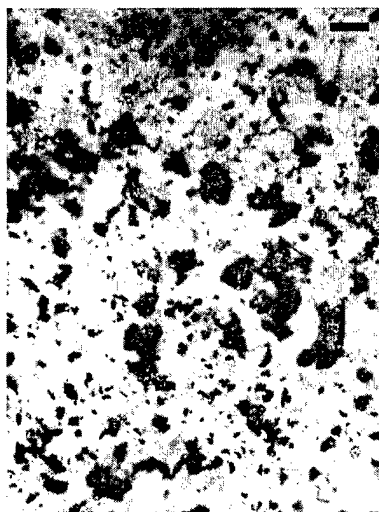


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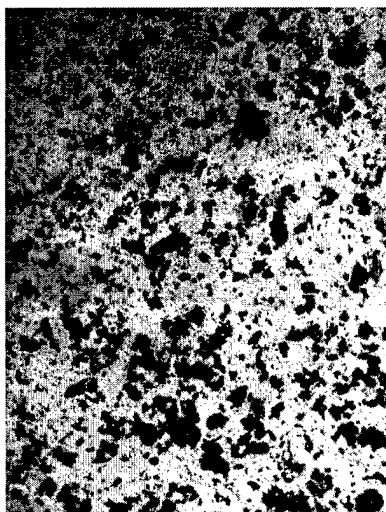
Figure 3. Optical micrographs of samples described in Figure 2. The coated substrates were heated to 1150 °C in air for 10 hr. Scale bar shown is 100 μm .



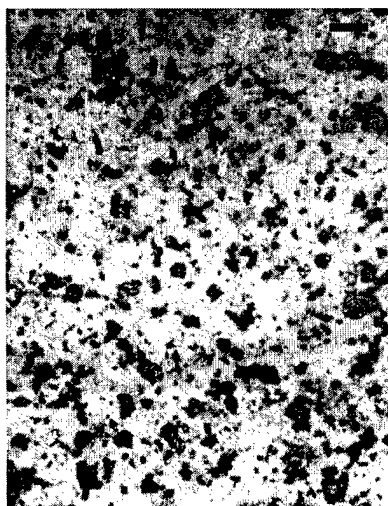
(a)



(b)



(c)



(d)

Figure 4. Optical micrographs of samples described in Figure 2. The coated substrates were heated to 1150 °C in air for 50 hr. Scale bar shown is 100 μm .

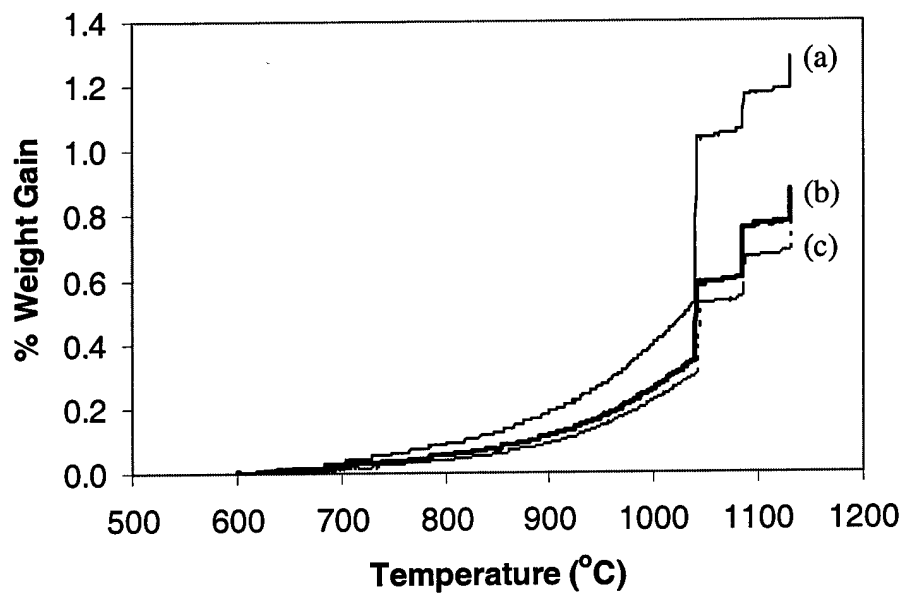


Figure 5. Weight gain as a function of temperature for (a) uncoated Ni substrate, (b) Ni substrate coated with 5% Al₂O₃-1.7% Y₂O₃-93.3% ZrO₂, and (c) Ni substrate coated with Al₂O₃ interlayer and 5% Al₂O₃-1.7% Y₂O₃-93.3% ZrO₂.