



**DEPARTMENT OF THE NAVY**

OFFICE OF COUNSEL  
NAVAL UNDERSEA WARFARE CENTER DIVISION  
1176 HOWELL STREET  
NEWPORT RI 02841-1708

IN REPLY REFER TO:

Attorney Docket No. 80168

Date: 24 Jun 2004

The below identified patent application is available for licensing. Requests for information should be addressed to:

PATENT COUNSEL  
NAVAL UNDERSEA WARFARE CENTER  
1176 HOWELL ST.  
CODE 00OC, BLDG. 112T  
NEWPORT, RI 02841

Serial Number      10/779,589  
Filing Date        17 February 2004  
Inventor            Donald H. Steinbrecher

If you have any questions please contact James M. Kasischke, Deputy Counsel, at 401-832-4736.

**DISTRIBUTION STATEMENT A**  
Approved for Public Release  
Distribution Unlimited

20040720 118

COMMUNICATION SYSTEM AND METHOD

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT DONALD. H. STEINBRECHER, employee of the United States Government, citizen of the United States of America and resident of Brookline, County of Norfolk, Commonwealth of Massachusetts, has invented certain new and useful improvements entitled as set forth above of which the following is a specification:

MICHAEL F. OGLO, ESQ.  
Reg. No. 20464  
Naval Undersea Warfare Center  
Division, Newport  
Newport, Rhode Island 02841-1708  
Tel: 401-832-4736  
Fax: 401-832-1231

20040720 118

2

3

COMMUNICATION SYSTEM AND METHOD

4

5

STATEMENT OF GOVERNMENT INTEREST

6

7

8

9

The invention described herein may be manufactured and used by or for the Government of the United States of America for governmental purposes without the payment of any royalties thereon or therefor.

10

11

CROSS REFERENCE TO OTHER RELATED APPLICATIONS

12

Not applicable.

13

14

BACKGROUND OF THE INVENTION

15

16

(1) Field Of The Invention

17

The present invention generally relates to a wireless communication system.

18

19

(2) Description of the Related Art

20

21

22

23

24

25

Future approaches to spectrum allocation will likely avoid dedicated spectrum assignments in favor of schemes that allow users to occupy certain frequency bands only when a communications link is established. Spectrum allocation will also favor spectrum-use schemes that use a bandwidth-on-demand architecture so that the bandwidth in use at any specific time is

1 not larger than necessary to support the data transfer  
2 requirement at that time. It is also anticipated that spectrum  
3 use schemes capable of searching for temporally free spectrum  
4 will be favored over static frequency assignment.

5 What is needed is a wireless communication scheme that is  
6 configured to dynamically utilize available spectrum in an  
7 efficient manner in accordance with the future trends and  
8 approaches to spectrum allocation discussed in the foregoing  
9 description.

10

11

#### SUMMARY OF THE INVENTION

12

13

14

15

16

17

18

19

20

21

22

23

24

25

The present invention is directed to a wireless communication system that utilizes the minimum bandwidth necessary to achieve accurate and effective communication. The communication system of the present invention provides a versatile addressing scheme that allows multiple users to communicate over narrow-band channels of a relatively wide-band communication link. The communication system of the present invention is configured to dynamically vary the signal bandwidth between a transmitter and a receiver in an environment where available bandwidth may only exist in non-contiguous small-bandwidth channels or increments. The communication system of the present invention is also configured to (i) combine a set of the small-bandwidth non-contiguous channels to form the required instantaneous signal bandwidth, (ii) use different channels

1 outside the original set of channels as other channels become  
2 available, (iii) use different channels outside the original set  
3 of channels as channels within the original set become  
4 unavailable and (iv) vary the number of channels utilized to  
5 accommodate a time-varying signal-bandwidth requirements needed  
6 to effect communication.

7         The communication system of the present invention utilizes a  
8 pair of complementary signal processing schemes for modulation  
9 and transmission of information, and for reception and  
10 demodulation of the transmitted information. In accordance with  
11 the present invention, the communication system utilizes a  
12 portion of a wide-band communication link. The first signal  
13 processing scheme is implemented in a transmitting system that  
14 receives an input sample stream that comprises at least one group  
15 of a plurality of sample positions. Each sample position  
16 contains a multi-bit Nyquist-rate sample that defines a  
17 particular narrow-band digital image. The number of bits in each  
18 sample is adjusted by the communication system to provide the  
19 accuracy required to achieve accurate and effective  
20 communication. Thus, a particular narrow-band digital image is  
21 formed by the samples occupying the same sample position within  
22 each group of the plurality of sample positions. Each narrow-band  
23 digital image is converted to a wide-band digital image and then  
24 translated to a narrow-band analog signal. The narrow-band  
25 analog signal has a bandwidth that is the same as the bandwidth

1 of any of the narrow-band channels that form the wide-bandwidth  
2 portion of the RF spectrum. The narrow-band analog signal is  
3 inputted into a RF (radio frequency) transmitter module that up-  
4 converts the narrow-band analog signal to a selected frequency  
5 band where the signal is amplified and coupled to a transmitting  
6 antenna. The antenna broadcasts the signal over a narrow-band  
7 channel of the wide-band communications link. The aforementioned  
8 narrow-band channel corresponds to the sample position used to  
9 generate the wide-band digital image.

10 The communications system further comprises circuitry for  
11 reception and demodulation of the transmitted signal. The  
12 receiving system, which cooperates with a receiving antenna,  
13 detects the narrow-band analog signal transmitted over the  
14 narrow-band channel. The receiving system includes processing  
15 circuitry that translates the received analog signal to a  
16 frequency that is suitable for an analog-to-digital conversion  
17 process. The analog-to-digital conversion process generates a  
18 wide-band composite digital image. The wide-band digital image  
19 is then further processed so as to decompose the wide-band  
20 digital image into a plurality of narrow-band digital image  
21 waveforms. Each narrow-band digital waveform is represented by a  
22 plurality of multi-bit Nyquist-rate samples that are assigned to  
23 a sample position that is the same as the sample position used to  
24 generate the transmitted narrow-band analog signal. Thus, if the  
25 original narrow-band digital waveform in the transmitting system

1 is defined by samples associated with a Kth sample position, then  
2 the transmission of the narrow-band analog signal takes place  
3 over the Kth narrow-band channel of the communications link, and  
4 the received signal is decomposed into a plurality of narrow-band  
5 digital images that are matched to the Kth sample position of an  
6 output sample stream. Thus, samples associated with the Kth  
7 sample position prior to transmission remain associated with the  
8 Kth sample position when the received signal is processed and  
9 decomposed.

10 Thus, in one aspect, the present invention is directed to an  
11 apparatus for effecting wireless communication, comprising an RF  
12 bandwidth partitioner to partition a wide bandwidth portion of  
13 the RF spectrum into a plurality of narrow-band channels wherein  
14 all of the narrow-band channels have a narrow-band channel  
15 bandwidth, a data sample source which provides at least one group  
16 of time-domain data samples that are associated with a particular  
17 sample position and which define a narrow-band digital image  
18 wherein the sample position defines a particular one of the  
19 narrow-band channels, a first processor resource to derive a  
20 wide-band digital image in the frequency domain from the narrow-  
21 band digital image, a digital-to-analog converter to convert the  
22 wide-band digital image into a narrow-band analog signal, and a  
23 transmitter module to transmit a narrow-band analog signal over a  
24 particular one of the narrow-band channels that corresponds to  
25 the particular sample position. The transmitter module comprises

1 an up-converter to transform the narrow-band analog signal to a  
2 predetermined RF frequency. The apparatus further includes a  
3 monitoring instrumentally to determine which of the narrow-band  
4 channels are available for use, and an input for receiving the  
5 transmitted narrow-band signal. The apparatus also includes a  
6 second processor resource to derive a wide-band digital image  
7 signal in the frequency domain from the received signal, a third  
8 processor resource to derive a plurality of narrow-band digital  
9 images from the wide band digital image wherein each of the  
10 plurality of narrow-band digital images are represented by time-  
11 domain data samples, and a data storage device to store the time-  
12 domain data samples that define the plurality of narrow-band  
13 digital images in the sample position that corresponds to the  
14 narrow-band channel occupied by the transmitted narrow-band  
15 analog signal.

16

17

#### BRIEF DESCRIPTION OF THE DRAWINGS

18

The figures are for illustration purposes only and are not  
19 drawn to scale. The invention itself, however, both as to  
20 organization and method of operation, may best be understood by  
21 reference to the detailed description which follows taken in  
22 conjunction with the accompanying drawings in which:

23

FIG. 1 is a diagram that illustrates a radio-frequency  
24 bandwidth partitioned into a plurality of  $N$  equal narrow-band  
25 segments.

1 FIG. 2 is a block diagram of the communications system of the  
2 present invention.

3 DESCRIPTION OF THE PREFERRED EMBODIMENT

4 In describing the preferred embodiments of the present  
5 invention, reference will be made herein to FIGS. 1 and 2 of the  
6 drawings in which like numerals refer to like features of the  
7 invention.

8 As used herein, the terms "narrow-band" and "narrow-  
9 bandwidth" are used interchangeably and have the same meaning.

10 Referring to FIG. 1, the communication system of the present  
11 invention utilizes a wide-bandwidth portion 10 of the RF (radio  
12 frequency) spectrum. The wide-bandwidth portion 10 has a  
13 bandwidth BW. In accordance with the present invention, an RF  
14 bandwidth partitioner device or other suitable RF bandwidth  
15 processing device is used to partition bandwidth BW into N equal  
16 contiguous narrow-band channels or segments 12. Each channel 12  
17 has a bandwidth  $B_N$  such that  $N \times B_N = BW$ . For example, a wide-  
18 bandwidth BW of 15.36 MHz may be partitioned into narrow 30.0 kHz  
19 bands. In such an example,  $N = 512$  (i.e. 512 narrow-bandwidth  
20 channels). In one embodiment, a software algorithm implemented  
21 by a microprocessor or computer is used to generate information  
22 defining the bandwidth of each channel 12. In such an  
23 embodiment, information defining the desired number of narrow-  
24 band channels and the total available bandwidth BW is inputted  
25 into the aforementioned microprocessor or computer.

1 Bandwidth BN is at least the minimum required bandwidth to  
2 effect efficient and accurate transmission of data. The lower  
3 frequency edge of bandwidth BW is designated as BWL and the  
4 higher frequency edge of bandwidth BW is designated by BWH such  
5 that  $BWH = BWL + BW$ . The narrow-band channels 12 are indexed  
6 from BN(1) to BN(N), starting at the lowest frequency narrow-band  
7 channel 12a, thereby forming the sequence BN(1), BN(2) . . .  
8 BN(N). An arbitrary narrow-band channel is indicated by numeral  
9 12b and designated by BN(k) wherein  $0 < K < (N+1)$ . The lower  
10 frequency edge of BN(k) equals  $BWL + (K-1)BN$  and the higher  
11 frequency edge of BN(k) equals  $BWH - (N-k)BN$ .

12 The Nyquist sample rate for BW is  $2BW$  and the Nyquist sample  
13 rate for BN is  $2BN$ . An analog signal occupying a narrow  
14 bandwidth BN may be described by a digital image signal of  $2BN$   
15 samples/second. Similarly, the composite analog signal occupying  
16 the wide bandwidth BW may be described by a digital image signal  
17 of  $2BW$  samples/second.

18 Referring to FIG. 2, there is shown a communication system  
19 14 of the present invention. A communication system 14 generally  
20 comprises transmitting system 16 and receiving system 18.

21 Transmitting system 16 generally comprises antenna 20,  
22 signal processor 26, digital-to-analog converter 28, and RF  
23 transmitter module 30. An input data sample stream 32 defines  
24 the digital data or information that is to be transmitted.  
25 Sample stream 32 comprises a plurality of groups 34 of N

1 contiguous individual samples 36. Each sample 36 has a  
2 corresponding sample position. For example, sample 36a in each  
3 group 34 has a sample position "K". The actual quantity N of  
4 samples 36 in each stream 34 is equal to the number N of narrow-  
5 band channels such that there is a one-to-one relationship  
6 between each sample position and a corresponding narrow-band  
7 channel. Thus, the sample having sample position K corresponds  
8 to the narrow-band channel BN(K).

9 Each sample 36 is a multi-bit word. The number of bits in  
10 the multi-bit word depends upon the required or desired accuracy.  
11 Each sample 36 represents a narrow-band digital image having a  
12 bandwidth BN. Each sample 36 has a Nyquist sampling rate of 2BN.  
13 In one embodiment, each sample 36 contains a preamble that  
14 defines the sample position of that particular sample and the  
15 narrow-band channel that is to be used when transmitting signals  
16 based on samples extracted from that particular sample position  
17 in each group 34.

18 Signal processor 26 is preferably a digital signal processor  
19 ("DSP") such as a digital spectrum encoder. Signal processor 26  
20 includes other processing circuitry such as multi-rate filters  
21 and/or commutator circuitry. Processor 26 further comprises  
22 electronic circuitry that can effect handling of data in parallel  
23 or serial form. Processor 26 also comprises data storage devices  
24 such as a random-access-memory (RAM). Input data sample stream  
25 32 is inputted into signal processor 26. Processor 26

1 synthesizes a wide-bandwidth digital image signal 38 having  
2 bandwidth BW. Each digital image signal 38 is formed from the  
3 time-domain samples extracted from a particular sample position.  
4 For example, processor 26 uses the narrow-band digital images  
5 formed by the samples extracted from the Kth sample position of  
6 each group 34 to form a wide-bandwidth digital image signal 38  
7 having a sample rate of  $2BW$  samples/second. Signal 38 is a  
8 frequency domain signal having a plurality of frequency domain  
9 samples wherein each frequency domain sample corresponds to a  
10 particular time domain sample. Thus, if there are 512 time-  
11 domain samples, there will be 512 frequency domain samples.  
12 Therefore, the Kth sample position of the time domain data sample  
13 stream, which was inputted into signal processor 26, corresponds  
14 to the Kth sample position in the frequency domain sample stream  
15 of signal 38.

16 The rate at which samples 36 are inputted into signal  
17 processor 26 can be varied from  $2BN$  samples/second to  $2BW$   
18 samples/second.

19 However, in a preferred embodiment, signal processor 26  
20 always outputs signal 38 having a sample rate of  $2BW$   
21 samples/second.

22 Wide-band digital image signal 38 is inputted into digital-  
23 to-analog (DAC) converter 28. DAC 28 converts digital image  
24 signal 38 into a narrow-band analog signal 40 and then translates  
25 the narrow-band analog signal 40 to a bandwidth that matches one

1 of the narrow-band channels 12. Such translation process  
2 utilizes the preamble information, described in the foregoing  
3 description, to determine the narrow-bandwidth to which the  
4 narrow-band analog signal is translated.

5 The translated narrow-band analog signal 40 is inputted into  
6 an RF (radio frequency) transmitter module 30 that up-converts  
7 the narrow-band analog signal to a selected frequency band. The  
8 up-converted signal is then amplified and outputted to antenna  
9 20. Antenna 20 broadcasts narrow-band signal 42 over the  
10 designated narrow-band channel of wide-bandwidth portion 10  
11 shown in FIG. 1.

12 Thus, the narrow-band analog signal occupying the narrow-  
13 bandwidth channel  $BN(K)$ , indicated by numeral 12a, is formed by  
14 the samples occupying the  $K$ th sample position in each successive  
15 group 34 of  $N$  samples 36. This relationship is true for all  
16 sample positions  $K$  in the set  $0 < K < (N+1)$ .

17 Referring to FIG. 2, signal 42 is received and processed by  
18 receiving system 18. Receiving system 18 implements a signal  
19 processing method that is the reverse of the signal processing  
20 method implemented by transmitting system 16. Receiving system  
21 18 includes antenna 44 which receives transmitted signal 42.  
22 Antenna 44 outputs received signal 42 for input into receiver  
23 module 50. Receiver module 50 amplifies the received signal and,  
24 if necessary, applies particular filtering functions to the  
25 received signal. Receiver module 50 also down-converts the

1 received signal to a frequency suitable for an analog-to-digital  
2 conversion process. The output of receiver module 50 is inputted  
3 into analog-to-digital converter 52 which outputs a composite  
4 wide-band digital image 54 having a sample rate of  $2BW$ . Wide-  
5 band digital image 54 is then inputted into signal processor 56.  
6 In one embodiment, signal processor module 56 is configured as a  
7 digital signal processor such as a digital spectrum decoder.  
8 Processor module 56 decodes wide-band digital image 54 into a  
9 plurality of narrow-band digital images 58. Each narrow-band  
10 digital image 58 is represented by a multi-bit sample having a  
11 sample rate of  $2BN$ . The multi-bit samples form an output sample  
12 stream 58 that comprises a plurality of groups 60 of  $N$  contiguous  
13 multi-bit samples 62. Each sample 62 has a corresponding sample  
14 position. For example, the sample at the  $K$ th position is  
15 indicated by numeral 62a. If the narrow-band analog signal was  
16 broadcast over the  $K$ th narrow-band channel  $BN(K)$ , indicated by  
17 numeral 12a, then the resulting narrow-band digital images 58 are  
18 decomposed into multi-bit samples in the  $K$ th sample position in  
19 each group 60 of samples 62. Thus, the samples occupying the  $K^{\text{th}}$   
20 sample position in each successive group 60 of samples 62  
21 comprises the digital image of the signal occupying the  $K$ th  
22 narrow bandwidth channel  $BN(k)$ , indicated by numeral 12a, in  
23 FIG. 1. This relationship is true for all sample positions  $K$  in  
24 the set  $0 < K < (N+1)$ .

25 Signal processor 56 comprises electronic data handling

1 circuitry, known in the art, for processing data samples in  
2 serial and/or parallel format, and data storage devices such as a  
3 random-access-memory (RAM).

4 Thus, the narrow-band digital image comprising the samples  
5 occupying the  $K$ th sample position in each successive group 60 of  
6  $N$  samples 62 is substantially identical to the narrow-band  
7 digital image comprising the samples occupying the  $K$ th sample  
8 position in each successive group 34 of  $N$  samples 36.

9 Thus, the communications system and method of the present  
10 invention uses only certain narrow-bandwidth channels of a wide-  
11 bandwidth portion of the RF spectrum.

12 The bandwidth between transmitting and receiving systems may  
13 be changed in increments of  $BN$  by adding digital images with  
14 different values of  $K$ . If the values of  $K$  chosen for different  
15 digital images are not consecutive, then the bands of  
16 transmission,  $BN(K)$ , are not contiguous. Thus, the bandwidth  
17 between transmitter and receiver can be increased or decreased in  
18 increments of  $BN$  as necessary for the transfer of information by  
19 using un-occupied narrow bands  $BN(k)$ .

20 An important feature of the communications system of the  
21 present invention is that more than one narrow-band channel 12  
22 can be used to effect communication. Another important feature  
23 is that the narrow-band channels utilized need not be contiguous.  
24 The communications system of the present invention can also be  
25 used to provide system redundancy if necessary. In such a

1 configuration, the same information is transmitted over a  
2 plurality of narrow-band channels 12.

3 The communications system and method of the present  
4 invention can be used with a monitoring or scanning system that  
5 monitors the narrow-band channels to determine which of the  
6 channels are available and which of the narrow-band channels are  
7 in use.

8 The communications system and method of the present  
9 invention can be used to form the basis for other types of  
10 communication schemes, e.g. frequency hopping systems, spread  
11 spectrum systems, frequency division multiple-access systems,  
12 etc. Furthermore, transmitting system 16 and receiving system 18  
13 can be combined to form a transceiver. In such a configuration,  
14 a single antenna can be used to transmit and receive signals.

15 While the present invention has been particularly described,  
16 in conjunction with a specific preferred embodiment, it is  
17 evident that many alternatives, modifications and variations will  
18 be apparent to those skilled in the art in light of the foregoing  
19 description. It is therefore contemplated that the appended  
20 claims will embrace any such alternatives, modifications and  
21 variations as falling within the true scope and spirit of the  
22 present invention.

COMMUNICATION SYSTEM AND METHOD

ABSTRACT OF THE DISCLOSURE

6 A wireless communication system and method. A wide-band  
7 analog signal is digitally sampled to form a digital replica.  
8 The digital replica is transmitted over a wireless channel using  
9 a subset of available narrow-band channels that may or may not be  
10 contiguous. Signal parameters may be dynamically adjusted in  
11 order to utilize particular portions of the spectrum when those  
12 portions of the spectrum are not being used. Channels are  
13 selected on a sample by sample basis and multiple channels may be  
14 selected simultaneously in order to dynamically increase  
15 bandwidth when vacant channels are available. Thus, the data  
16 rate is increased on a bandwidth-available basis.

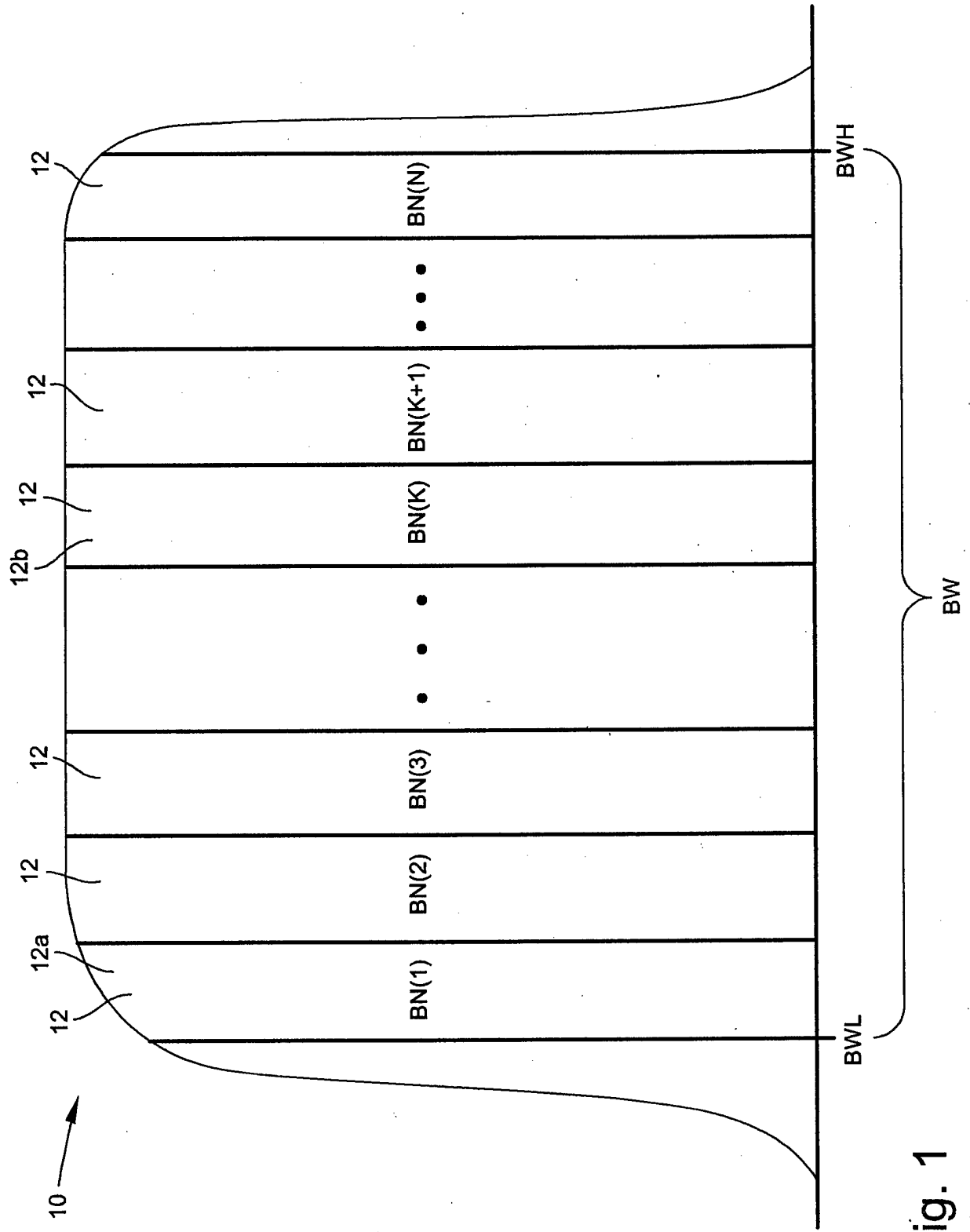


Fig. 1

Fig.2

