



73rd MORSS CD Cover Page

UNCLASSIFIED DISCLOSURE FORM CD Presentation

712CD

For office use only 41205

~~21-23 June 2005, at US Military Academy, West Point, NY~~

Please complete this form 712CD as your cover page to your electronic briefing submission to the MORSS CD. Do not fax to the MORS office.

Author Request (To be completed by applicant) - The following author(s) request authority to disclose the following presentation in the MORSS Final Report, for inclusion on the MORSS CD and/or posting on the MORS web site.

Name of Principal Author and all other author(s): A. Martin Lidy

Principal Author's Organization and address:

Phone: 703-845-2411

Institute for Defense Analyses
4850 Mark Center Drive
Alexandria, VA 22311-1882

Fax: 703-845-6911

Email: mlidy@ida.org

Original title on 712 A/B: Integration of Defense and Non-Defense Resources in Post-Conflict Operations

Revised title: Evolving USG Expeditionary Civilian Reconstruction and Stabilization Capabilities

Presented in (input and Bold one): (W 16, CG___, Special Session ___, Poster, Demo, or Tutorial):

This presentation is believed to be:

UNCLASSIFIED AND APPROVED FOR PUBLIC RELEASE

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 22 JUN 2005		2. REPORT TYPE N/A		3. DATES COVERED -	
4. TITLE AND SUBTITLE Evolving USG Expeditionary Civilian Reconstruction and Stabilization Capabilities				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Institute for Defense Analyses 4850 Mark Center Drive Alexandria, VA 22311-1882				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES See also ADM201946, Military Operations Research Society Symposium (73rd) Held in West Point, NY on 21-23 June 2005 . , The original document contains color images.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 25	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

Institute for Defense Analyses

4850 Mark Center Drive • Alexandria, Virginia 22311-1882

Evolving USG Expeditionary Civilian Reconstruction and Stabilization Capabilities

22 June 2005

73rd Military Operations Research Society Symposium
West Point, NY

A. Martin Lidy





Many Interagency-Related Actions Underway

- **DoD Activities**

- Secretary of Defense: *Draft DoDD 3000.cc “Department of Defense Capabilities for Stability Operations”*
- USD(P): Training Transformation Interagency, Intergovernmental, and Multinational Mission Essential Tasks (TIM2)
- Joint Staff
 - » J3 participation in the Multinational Interoperability Council (MIC)
 - » J5 day-to-day management of OEF and OIF coalitions
- JFCOM experimentation with
 - » Joint Interagency Coordination Group-Full Spectrum (JIACG-FS)
 - » Multinational Experiment with a Multinational Interagency Group (MNIG)
- US Army Civil Affairs reorganization

- **DoS established**

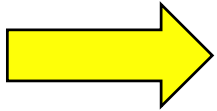
- The interagency Humanitarian Information Unit (HIU) in INR
- The interagency Coordinator for Reconstruction and Stabilization (S/CRS) in Secretary’s Office

- **CSIS project “Beyond Goldwater-Nichols” (B-GN)**

Actions implement the NSS goal: “Transform America’s National Security Institutions to meet the challenges and opportunities of the 21st century”



Agenda



- **Evolving USG Civilian Concepts**
- **Proposed Transformation to an Integrated Civil-Military Architecture**



S/CRS Mission

The ***Office of the Coordinator for Reconstruction and Stabilization*** (S/CRS) was established on 1 July 2004 to:

Lead, coordinate, and institutionalize U.S. Government ***civilian capacity to prevent or prepare for post-conflict situations***, and ***to help stabilize and reconstruct societies*** in transition from conflict or civil strife so they can reach a sustainable path toward peace, democracy and a market economy.

***Mission covers USG civilian resource employment
(1) to prevent collapse and
(2) to help stabilize and reconstruct nations***



Organizing to Lead, Coordinate, Design, and Manage

- **S/CRS currently has approximately 40 personnel**
- **Additional positions needed for S/CRS to**
 - Lead and coordinate USG civilian responses
 - Mobilize international responses
 - Coordinate with military or multilateral operations
 - Plan, exercise, train USG civilian agencies
 - Integrate civilian and military planning
- **Additional positions needed in other USG agencies to**
 - Address transitional security and governance
 - Design and manage programs and deliver a quality product
- **Access to other interagency resources: State/INL (Civilian Police), Justice (Rule of Law), and USAID (Humanitarian, Governance, and Economic) to**
 - Facilitate rapid design and delivery of civilian security programs to enhance their success
 - ***Accelerate military drawdown after Phase 3***



Organizing to Mobilize and Deploy

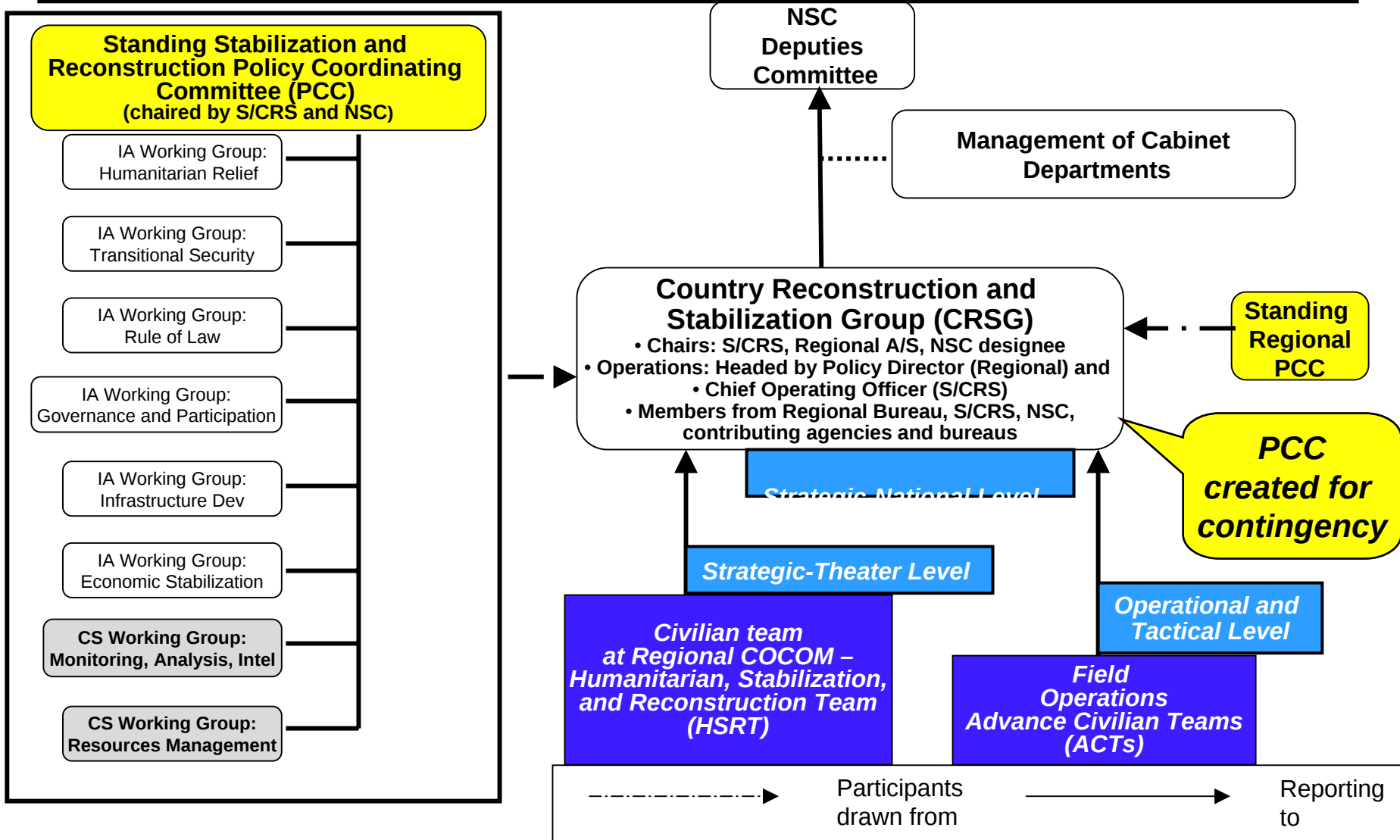
- **Diplomatic Operations: Active Response Corps (ARC)**
 - Additional DoS positions to create corps of specialists
- **ARC members will**
 - Deploy rapidly
 - Participate in peace negotiations
 - Develop relations with transitional governments
 - Liaise with international organizations
 - Establish diplomatic operations
- **Two additional response components**
 - Standby Corps of Active Response Corps graduates, 5 year target TBD
 - Technical Corps (TC) for specific design and management skills: TBD
- **Advance Civilian Teams (ACTs)**
 - Drawn from Active Response and Technical Corps
 - Deploy with military at brigade or division level and provide foundation for Provincial Reconstruction Teams (PRTs)

Coordination is made or broken by whether we have a diplomatic presence on the ground...

...the Active Response and Technical Corps give us the ability to deploy quickly when the momentum of stabilization and reconstruction hangs in the balance

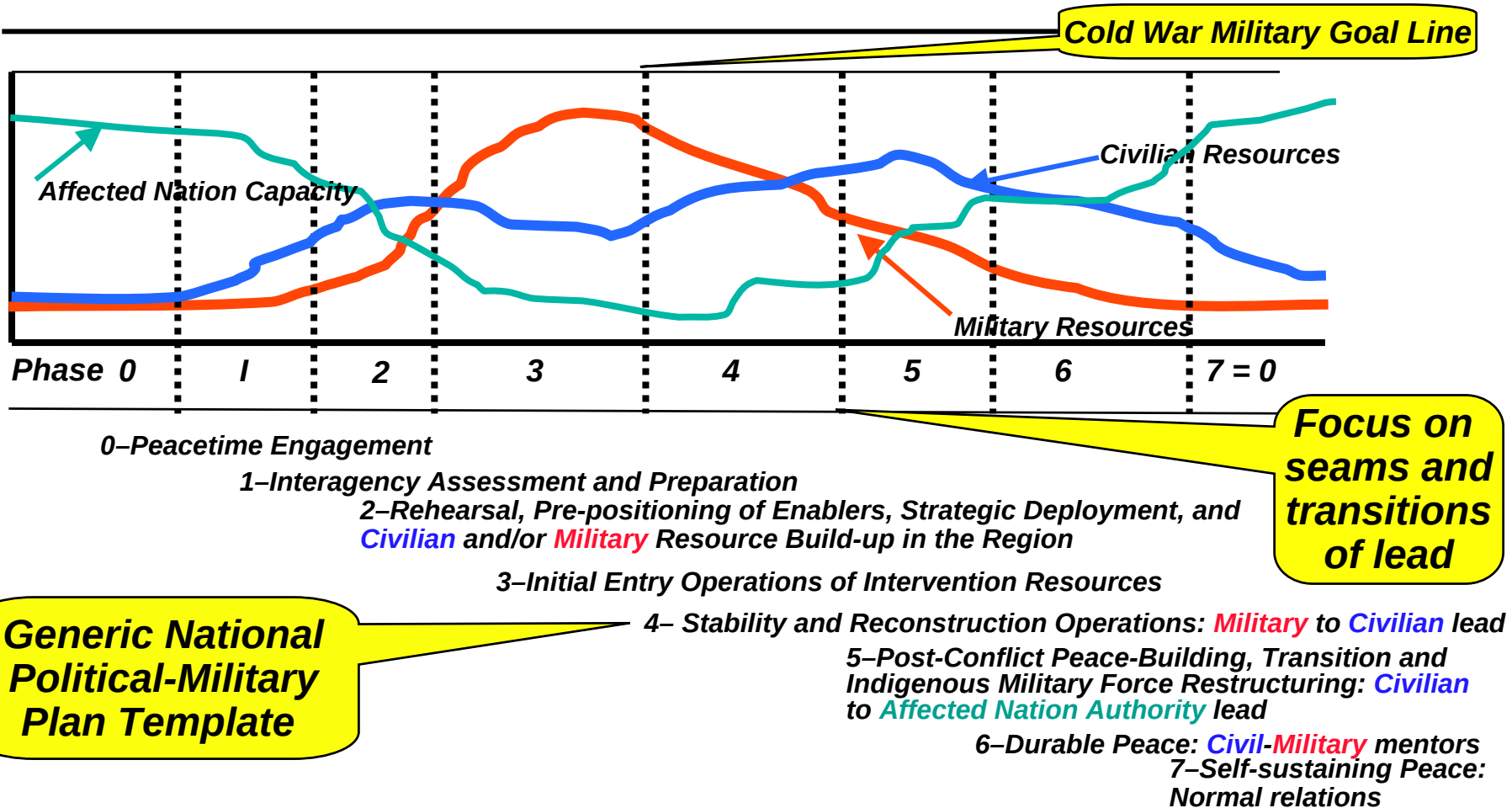


S/CRS Concept for Washington Response Management





Strategic Concept *

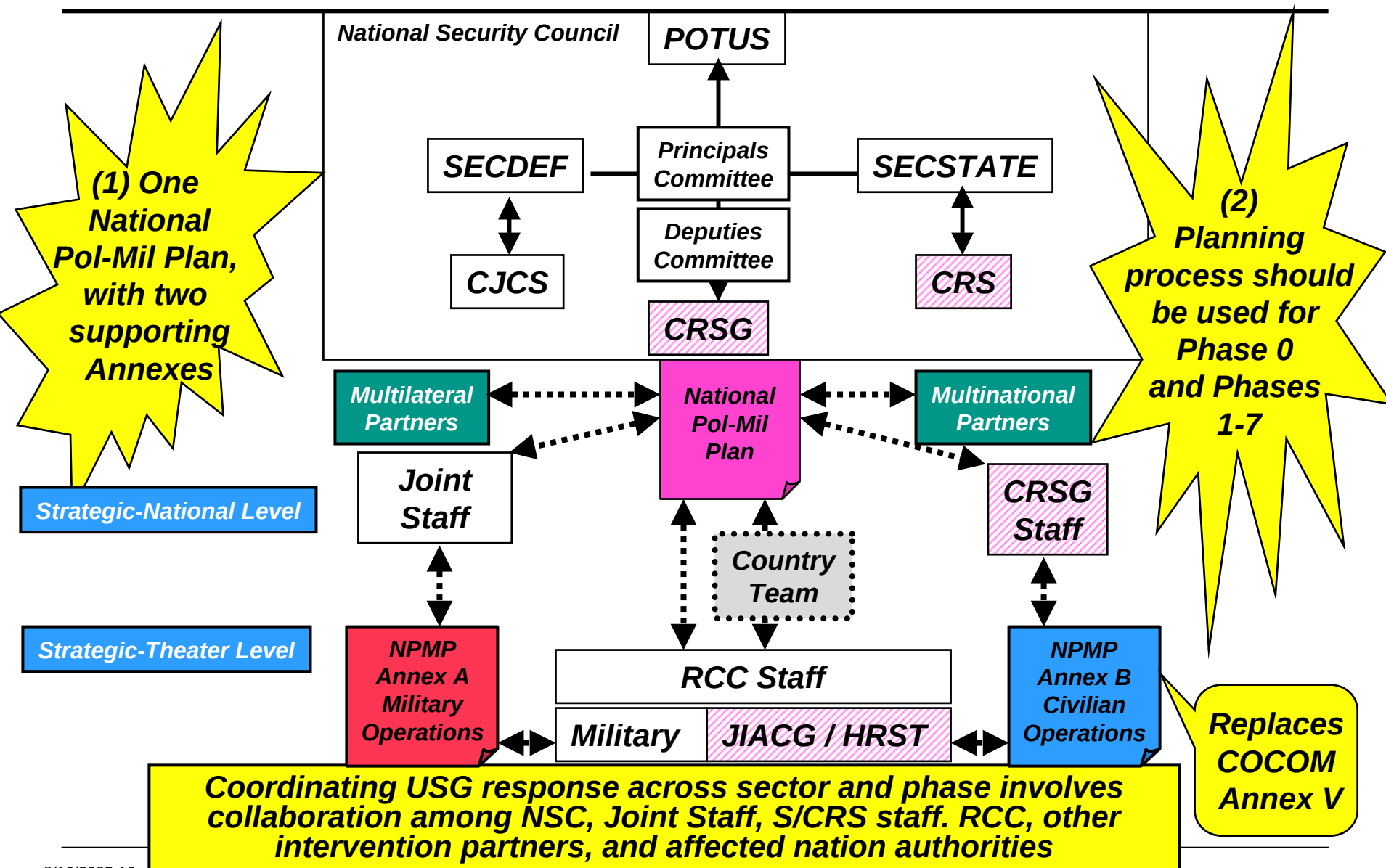


Interagency and Multinational planning is required for all interventions

- Both civilian and military resources are employed from Phases 0 thru 7
- Transitions between phases change lead and support roles

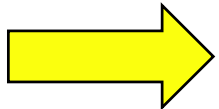


Adapt Current Planning System to Incorporate Emerging Capabilities



Agenda

- **Evolving USG Civilian Concepts**



- **Proposed Transformation to an Integrated Civil-Military Architecture**
 - Direct Support to Tactical Forces
 - General Support to Civil Authorities



Possible Cases When ACTs May Be Employed

- **Case 1 – During a take down of an existing government by US and other coalition forces in accordance with a National Pol-Mil Plan**
 - Phases 1 thru 3 combat phase
 - Post-combat Phases 4 thru 7
- **Case 2 – UN-led assistance to a failed state**
 - With US civilian support
 - Without US military force intervention

IDA Assumptions:

- (1) Interagency links are necessary at all four levels:
 - strategic national, strategic theater, operational, and tactical***
- (2) Data collection and information processing using agreed metrics are essential for successful R&S operations***
- (3) ARC and TC modules can form civilian-military composite interagency elements to carry out R&S tasks (Cases 1 and 2)***



Proposed Advance Civil Team Modules (1)

- **S/CRS proposes to develop Advance Civilian Teams (ACTs)**
 - ***Small 10 to 20 person teams (variable size based on tasks?)***
 - Deployed and remain in the field once the security situation has stabilized
 - Serve as
 - » “First responders” for stabilization and reconstruction planning
 - » The regional base for follow-on civilian S&R operations
 - Provide first-hand assessment of situation on the ground and recommendations from the field
- **Assumptions**
 - The ACTs will be operating in a hostile or uncertain environment
 - Immediate and direct application of civilian reconstruction and stabilization resources is critical to success
 - ***May collocate with the Civil-Military Operations Center (CMOC) established by the military commander in the area (inside the wire versus outside?)***
 - ***Military forces will provide security and logistics for the first month or until civilian resources become available (duplicate capabilities?)***

Tactical and Operational Level



Proposed Advance Civil Team Modules (2)

- **Other USG civilian teams may already be operating in the area**
 - ***USAID Disaster Assistance Response Team (DART) and Office of Transitional Issues (OTI) teams (how are they linked to military units?)***
 - Will help plan for ACTs and may be integrated into the the ACTs
 - **The ACT positions will be**

Tactical and Operational Level

 - Identified and vetted with position descriptions
 - May have planned and trained as an HRST in the RCC before deployment
 - **ACT modules must also be capable of working with**
 - ***Military elements in dynamic and uncertain combat situations in the tactical commander's Area of Responsibility (AOR)***
 - ***Military elements in more secure static regional and national headquarters in AORs aligned with the affected nation administrative boundaries***
 - ***The affected nation authorities***
 - ***The multinational and multilateral partners***
- **Size and composition of ACT modules should be determined from**
 - (1) Actual experiences during OEF, OIF, and JTF-HoA operations**
 - (2) DoD-led interagency experimentation**
 - **Module tasks based on agreed Interagency Essential Task List (IETL)**



Proposed ACT Modules from the ARC and TC

- **S/CRS proposes linkages at 4 levels**
 - Strategic National: Country Reconstruction and Stabilization Group (CRSG)
 - Strategic Theater: Humanitarian Stabilization and Reconstruction Team (HSRT)
 - Operational Level: Advanced Civilian Team-Headquarters (ACT-HQ) at country team
 - Tactical Level: Advanced Civilian Team (ACT) at headquarters of tactical forces
- **IDA proposes different Advance Civilian Team (ACT) modules at operational and tactical levels**
 - ***Tactical Interagency Support Party (TISP)*** (Case 1 only)
 - ***Regional Reconstruction Group (RRG)*** (Cases 1 and 2)
 - ***National Interagency Reconstruction and Stabilization Organization (NIRSO)*** (Cases 1 and 2)



Humanitarian, Stabilization, and Reconstruction Team (HSRT)

- **Team of 8 to 10 trained civilian experts led by CRS, formed from Washington agencies, and knowledgeable in**
 - Military and civilian agency planning and capabilities
 - UN, other IGOs, and multinational partners' capabilities and procedures**Cases 1 and 2**
- **Guided by CRSG on specific objectives for the relevant crisis**
 - Evolving policy decisions
 - National Political Military Plan (NPMP)
- **Deploys to Regional COCOM and is integrated into the staff**
 - Remains until end of major combat operations, or as mutually agreed
 - Post-combat civilian command and control is through US Chief of Mission or designated civilian authority in affected nation**Strategic-Theater and Operational Level**
- **Purpose is to**
 - Work with COCOM planning staff
 - Develop stabilization and reconstruction plans for the combat and transition phase of the operation in coordination with CRSG
 - Propose longer-term strategies to COCOM and CRSG when security permits, in coordination with S/CRS-led PCC which develops long-term R&S plan
 - Coordinate deployment of ACTs with the COCOM

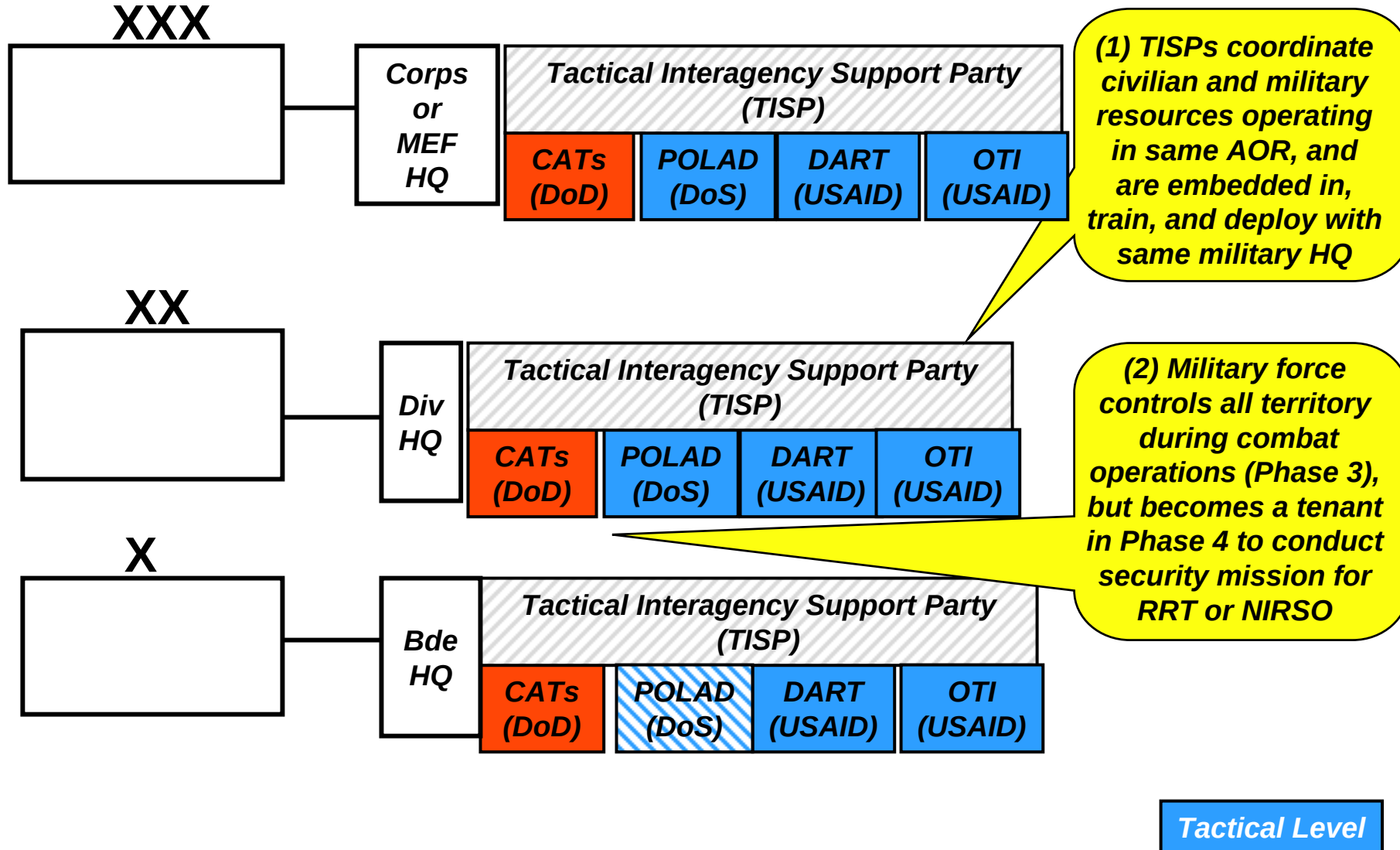


The ACT – *Tactical Interagency Support Party (TISP)*

- **The TISP is a *composite civilian-military organization***
 - Assigned as a permanent element of a military force (e.g., brigade, division, and corps level tactical commands) similar to the Tactical Air Control Party (TACP)
 - Formed from ***Washington agencies*** with staffing by
 - » A ***Political Advisor*** (POLAD) element from DoS at division and corps echelons (and when a brigade is operating as an independent force)
 - » A ***DART from USAID*** for emergency humanitarian assistance
 - » An ***OTI team from USAID*** for transition of governance and urgent reconstruction
 - » A military ***Civil Affairs Teams*** (CATs) for security and civil-military coordination
- **The TISP conducts *integrated civilian planning with the military staff* and *facilitates civilian support to military forces* during all phases of intervention**
 - Facilitates separation of affected nation noncombatant civilians and friendly military forces in the command's AOR
 - Arranges for civilian resources to meet ***humanitarian requirements*** of the affected population in the military command's AOR
 - Coordinates ***application of civilian resources*** within the AOR
 - Locates and ***organizes residual governance*** authorities of affected nation in AOR
 - ***Plans the transition to reconstruction and stabilization operations within the AOR***



The TISP in Direct Support to Military Forces





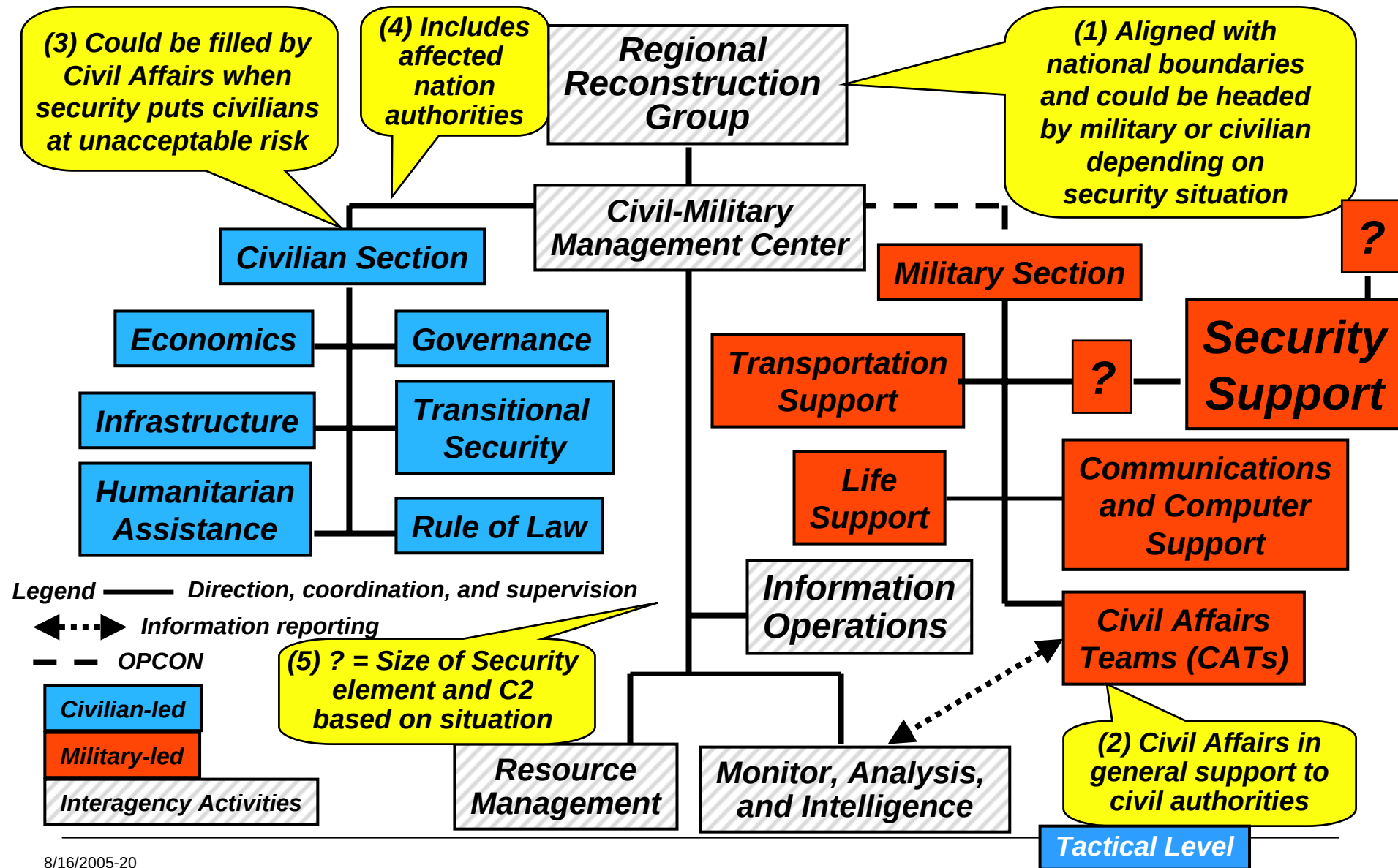
Proposed ACT Elements of the Regional Reconstruction Group (RRG)

- **The RRG is a unique special purpose *composite civilian-military organization formed from the TC* designed to provide general support to civilian authorities**
 - Plan and conduct reconstruction and stabilization operations in RRG's AOR
 - Exploit core competencies of the available civilian and military resources to achieve effectiveness and efficiency
 - **The *civilian core competencies* include *municipal, county, and state* experts in**
 - Economic development
 - Infrastructure repair and operation
 - Humanitarian assistance
 - Governance, transitional security, and rule of law
- Cases 1 and 2, but Case 2 could be only civilian or with military elements from country team***
- **The *civilian and military integrated capabilities* provide expertise for**
 - ***Information operations*** at municipal, county, and state levels of government
 - ***Monitoring, analysis, and intelligence*** operations at that level
 - ***Resource management*** in complex contingencies at that level
 - **The *core competencies of military elements* of the RRG will include**
 - Transportation support, life support, communications and computer support for the RRG
 - Security support for the RRG and to stabilize the AOR
 - Civil Affairs Teams (CATs) to conduct civil-military operations and collect data in the AOR
 - **The RRG will**
 - Operate under the direction and guidance of the National Interagency Reconstruction and Stabilization Organization (NIRSO)
 - Direct its operations through a ***Civil-Military Management Center***

Tactical Level



Proposed GS to Civil Authorities – **Regional Reconstruction Group (RRG)**





Proposed *National Interagency Reconstruction and Stabilization Organization (NIRSO)*

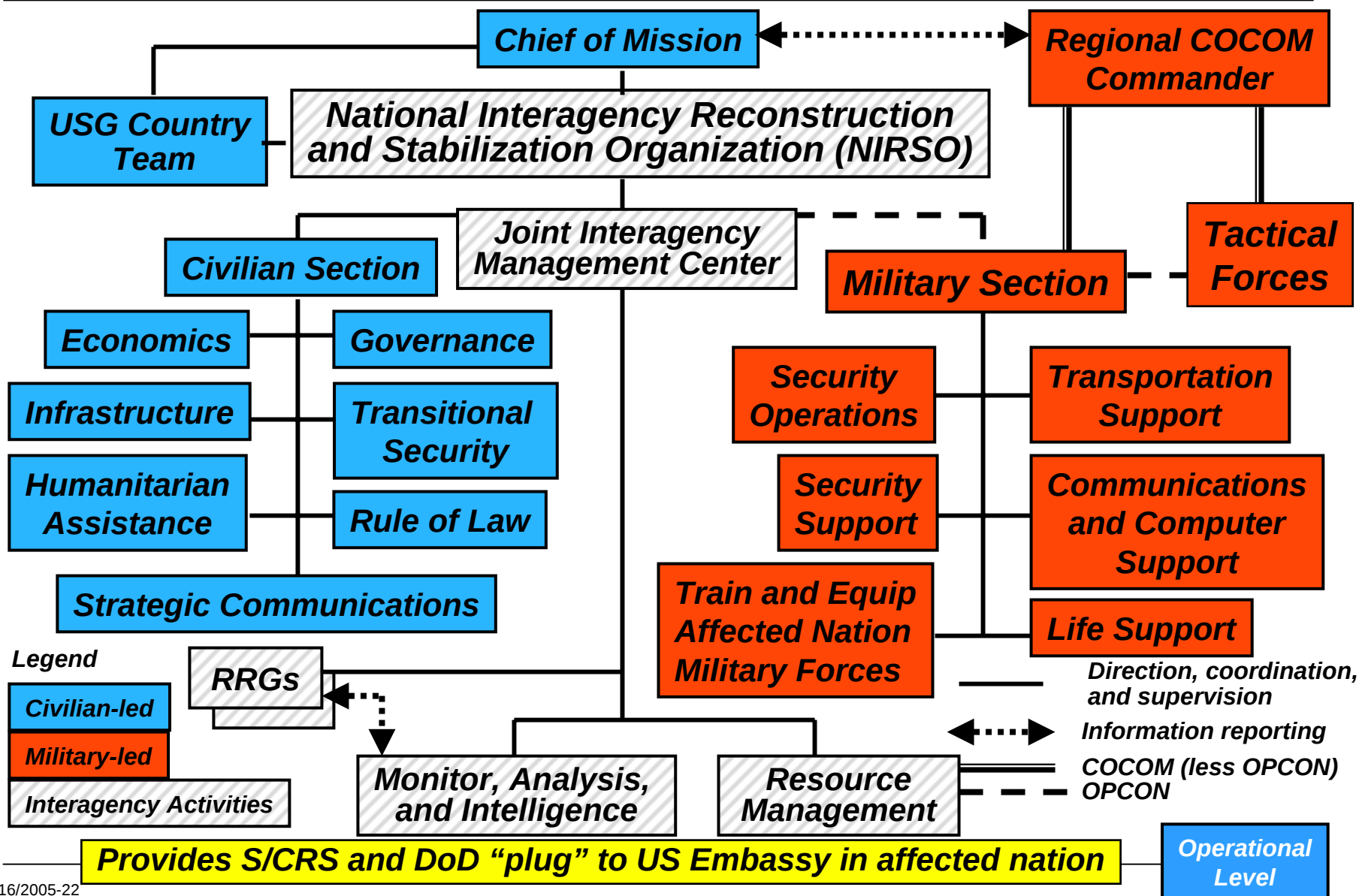
- **The NIRSO is a unique special purpose *composite civilian-military organization* designed to provide general support to civilian authorities**
 - Plan and conduct reconstruction and stabilization operations throughout the affected nation
 - Exploit core competencies of the available civilian and military resources to achieve effectiveness and efficiency
- **The *civilian core competencies* include *federal level* experts in**
 - Economic development
 - Infrastructure repair and operation
 - Humanitarian assistance
 - Governance, transitional security, and rule of law

Cases 1 and 2, but Case 2 could be civilian and US military elements on country team
- **The *civilian and military integrated capabilities* provide expertise for**
 - ***Strategic communications*** at the national level of government and within the region
 - ***Monitoring, analysis, and intelligence*** operations at the national level
 - ***Resource management*** in complex contingencies at the national level
- **The *core competencies of military elements* of the NIRSO will include**
 - Transportation support, life support, communications and computer support for the NIRSO
 - Security support for the NIRSO and rebuilding of national security and intelligence forces
 - Civil Affairs Teams (CATs) to conduct civil-military operations
- **The NIRSO will**
 - Operate under the direction and guidance of the Chief of Mission or designated USG representative
 - Direct its operations through a ***Joint Interagency Management Center***

Operational Level



Proposed US **NIRSO** Headquarters





S/CRS Lead for Coordinating with the International Community

- **International Partners: U.S. is working with international partners to increase global capacity for peacekeeping and peace support activities**

UN

Engaging DPKO, DPA, OCHA, UNDP, UNICEF to coordinate security, emergency, and developmental aid

EU

*Coordinating on constabulary, policy, judges, civil administration, early warning;
For 2007, €550m stability instrument;
New European constabulary initiative*

Bilateral Partners

*UK: new post conflict unit and fund
Germany: establishing skills reserve
France: named S&R coordinator
Nordics: building niche expertise*

IFIs

World Bank, IMF, Regional Banks creating vehicles to assess and respond to conflict

G-8

*Enhancing international peacekeeping and constabulary capacity through training & equipping;
Deepening support for regional organizations*

Regional Organizations

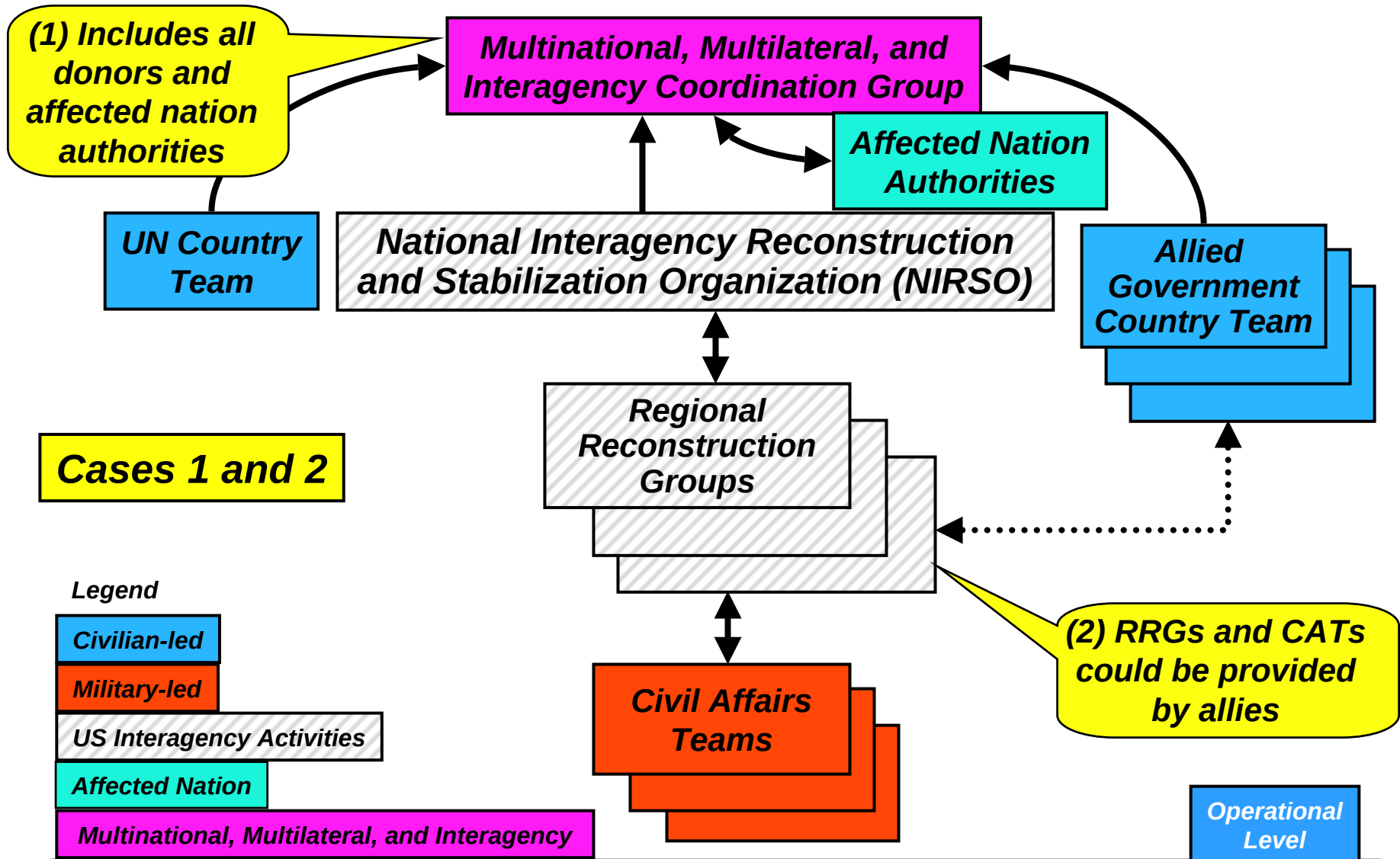
*Neighbors bear 50% of costs of conflict, but regional organizations weak;
Priority: Strengthen AU*

- **Managing Relations on the Ground**
 - Rapid deployment of civilian presence allows field-based coordination of civilian-military operations
 - Active Response and Technical Corps create a U.S. civilian base to coordinate with international partners, UN missions, and NGOs
 - **Work with affected nation authorities**

• How will the community be organized?
• What information must be exchanged?
• How will it be shared in both classified and unclassified domains?



Evolving Multinational and Multilateral Reconstruction and Stabilization Organization





Proposed *Multinational, Multilateral, and Interagency Coordination Group*

