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**ABSORPTION OF NARROW GAP HgCdTe NEAR THE  
BAND EDGE INCLUDING NONPARABOLICITY AND THE  
URBACH TAIL (PREPRINT)**

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Survivability and Sensor Materials Division**

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# Absorption of Narrow Gap HgCdTe Near the Band Edge Including Nonparabolicity and the Urbach Tail

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## Abstract

An analytical model describing the absorption behavior of  $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$  is developed. It simultaneously considers the contributions from non-parabolic conduction/light hole bands and parabolic heavy hole bands obtained from 14-band  $k \cdot p$  electronic structure calculations as well as the Urbach tail. This model smoothly fits experimental absorption coefficients over energies ranging from the Urbach tail region to the intrinsic absorption region up to at least 300 meV above the band gap.

Key words: HgCdTe, Absorption, Absorption coefficient, Band structure, Optical constant, Infrared

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## Introduction

The narrow gap semiconductor alloy  $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$  is the dominant material for the fabrication of high performance infrared photon detectors and detector arrays. The absorption coefficient is one of the most important factors that determines the quantum efficiency of an infrared detector element. The absorption coefficient as a function of absorbed photon energy in the vicinity of the optical energy gap  $E_0$  has two major regions: 1) below  $E_0$  follows Urbach's rule describing transitions between localized band-tail states, and 2) above  $E_0$  to approximately 300 meV over  $E_0$  (hence far below the next critical point in the absorption structure), called the intrinsic or Kane region, describing transitions between extended valance and conduction band states. From the theoretical perspective, that precise measurements and analytical models of absorption coefficients as functions of absorbed photon energies, as described in this paper, shed light on the actual band structure of  $\text{HgCdTe}$ , which is extremely important for the study of the material properties of  $\text{HgCdTe}$ , e.g. effective masses, and radiative and Auger carrier recombination lifetimes. From the applications perspective, modern  $\text{HgCdTe}$  detectors with advanced architectures usually employ devices with thin absorber layers, so the cut-off wavelength is determined by photons with high absorption coefficients (up to  $10^4 \text{ cm}^{-1}$ ) because the 50% cut-off of a idea detector is determined by both the absorption coefficient and absorber layer thickness. Figure 1 shows the calculated 50% cut-off as a function of absorber layer thickness. As the thickness decreases, the cut-off is established with higher absorption coefficients and hence shorter wavelengths. For examples, the 50% cut-off wavelength of a detector with a 1  $\mu\text{m}$  thick absorber layer at 77 K is about 5  $\mu\text{m}$ , which corresponds to  $\text{Hg}_{0.69}\text{Cd}_{0.31}\text{Te}$  according to Hansen-Schmit-Casselmann's formula.<sup>1</sup> Therefore, knowledge of the dependence of the absorption coefficient on photon energy in the intrinsic region is critical to precisely determine the cut-off of detectors employing thin  $\text{HgCdTe}$  absorber layers. Our model exhibits good agreement

with experimental data in this region, whereas previous models<sup>2,3</sup> using parabolic band approximations show significant deviations.

### Experimental

HgCdTe samples were grown on CdZnTe (211)B substrates in a Riber 32P molecule beam epitaxy (MBE) system equipped with *in situ* spectroscopic ellipsometry (SE) that is sensitive from the near infrared to the ultraviolet spectral bands. SE improves material uniformity and MBE growth controllability, and hence yields better material quality and yield.<sup>4</sup> The high material quality, high composition and thickness uniformity both along<sup>5</sup> and perpendicular<sup>6</sup> to the growth direction, and the good optical flatness of HgCdTe epilayers enable reliable measurement of the absorption coefficient over the  $10^2$  to  $10^4$   $\text{cm}^{-1}$  range, which covers both the Urbach tail and intrinsic absorption (up to 300 meV) regions. The details of the growth procedure, quality control and growth conditions optimization are discussed elsewhere.<sup>7,8,9</sup> The samples are n-type (intentionally doped with In with a doping level in the low  $10^{15}\text{cm}^{-3}$  range), with visible surface defects such as craters<sup>10,11</sup>, voids and microtwins less than  $\text{mid-}10^3$   $\text{cm}^{-2}$  in density and threading dislocation densities in the low  $10^5$   $\text{cm}^{-2}$  as revealed by etch pit density measurements using Schaake's etchant<sup>12</sup>. They are selected to be representative of standard n-type absorber regions of typical photodiodes.

The infrared transmission measurements were performed on a Thermo Nicolet 870 research class Fourier transform infrared spectrometer with an Oxford CF1204 static exchange continuous flow liquid helium cryostat. The spectral range of infrared transmission measurements with this experimental set-up is from the visible to 200  $\mu\text{m}$ . The samples were mounted on the cold finger of a cryostat in a helium atmosphere. Thermal exchanges occur primarily through the surrounding helium atmosphere to ensure a homogeneous temperature distribution in the HgCdTe samples over a temperature range from about 4 K to room temperature. In order to obtain a maximum precision for

the determination of cut-offs, the transmissivity and photoconductivity were simultaneously measured at the same points on the samples. We used the interference matrix method<sup>13</sup> (also called the thin film transmission matrix method by some other researchers<sup>14</sup>) to calculate the sample thickness<sup>6</sup> and then calculated the absorption coefficient from the measured transmissivity data.<sup>15</sup>

Note that long wavelength infrared (8-12  $\mu\text{m}$  cutoff wavelength) HgCdTe with Cd compositions from 0.21 to 0.23 and operating temperatures of about 77 K are the focus of this work. Long wavelength infrared is an overwhelming application field of HgCdTe and 77 K is of primary importance because most of HgCdTe detectors (as well as other high performance infrared detectors) require liquid nitrogen operating temperatures. We do not discuss wider bandgap HgCdTe in this paper because the parabolic model has been shown to be excellent for wide bandgap semiconductors. Burstein-Moss shifts due to band filling effects is not taken into account in this work; they are anticipated to influence the shape of the absorption coefficient curve at high temperatures or at low temperatures in heavily doped samples.

### Results and Discussions

Previous works<sup>3, 15, 16, 17</sup> have demonstrated that the absorption coefficient of HgCdTe below energy gap  $E_g$  can be fitted by the formula suggested by Urbach<sup>18</sup> as follows:<sup>19</sup>

$$\alpha = \exp[(\hbar\omega - E_0)/W] = \alpha_0 \exp(\hbar\omega/W) \quad (\hbar\omega < E_0) \quad (1)$$

where  $\hbar\omega$  is the absorbed photon energy,  $E_0$  is a reference energy, specifically, we assign it the value of optical band gap,  $\alpha_0$  is the absorption coefficient at the energy of  $E_0$ , and  $W$  is the Urbach slope in the same units as  $\hbar\omega$  describing the steepness of the Urbach absorption tail. The Urbach absorption tail energy as a function of temperature has been discussed elsewhere in detail.<sup>15</sup> Its magnitude measures the combined contribution from static and dynamic disorders. The contribution of static

disorder, including structural and alloy disorder, was found to dominate the Urbach energy of HgCdTe at low temperatures. Alloy disorder is mainly a function of alloy composition in  $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$ , whereas structural disorder is determined by substrate and buffer layer species and quality, growth conditions, thermal processing history, crystalline perfection and doping. The structural disorder may vary from sample to sample and also from area to area within the same sample, as revealed by infrared microscope mapping.<sup>4,20</sup>

The Kane model,<sup>21</sup> based on the  $8 \times 8$  matrix  $k \cdot p$  approach, is the most commonly employed model to describe the band structure near the  $\Gamma$  point of narrow gap semiconductors with non-parabolic bands. The dispersion relationships of the conduction band [ $E_c(k)$ ], the heavy hole band [ $E_{hh}(k)$ ] and the light hole band [ $E_{lh}(k)$ ] are given by the analytical formulas:

$$\begin{cases} E_c = \frac{\hbar^2 k^2}{2m_0} + \frac{1}{2} \left( E_g + \sqrt{E_g^2 + \frac{8}{3} k^2 P^2} \right) \\ E_{hh} = -\frac{\hbar^2 k^2}{2m_{hh}} \\ E_{lh} = \frac{\hbar^2 k^2}{2m_0} + \frac{1}{2} \left( E_g - \sqrt{E_g^2 + \frac{8}{3} k^2 P^2} \right) \end{cases} \quad (2)$$

where  $E_c$ ,  $E_{hh}$  and  $E_{lh}$  are the conduction band, heavy hole band and light band energies measured from the top of the valance band, respectively,  $k$  is the electron crystal momentum,  $m_0$  is the free electron mass,  $E_g$  is the energy band gap,  $P$  is the momentum matrix element with a value of 8.0 to  $8.5 \times 10^{-8}$  eV·cm<sup>22,23</sup>, and  $m_{hh}^*$  is the heavy hole effective mass with a value of about  $0.5m_0$  for HgCdTe. The spin orbit splitting energy of HgCdTe varies from 0.96 to 1 eV, which is out of the energy range considered in this paper and is hence neglected. Since the contributions to both  $E_c$  and  $E_{lh}$  from the first term  $\frac{\hbar^2 k^2}{2m_0}$  are less than 1% up to 200 meV beyond the band edge and therefore negligible,  $E_c$  can be written in the hyperbolic form:

$$E_c = \sqrt{s^2 k^2 + b^2} - b + E_g \quad (3)$$

where  $b=E_g/2$  and  $s = \pm\sqrt{2P^2/3}$  are the slopes of the two asymptotes. This formula describes a hyperbola with origin at  $(0, E_g/2)$  in the  $E$ - $k$  plane, semimajor axis  $b$  parallel to the  $y$ -axis and semiminor axis parallel to the  $x$ -axis. The eccentricity of the curve is  $\sqrt{1+s^2}$ . A similar result can also be obtained for the light hole band, which is a symmetric hyperbola of  $E_c$ - $k$  in the energy range of 400 meV below the top of the valance band.

More precise  $k \cdot p$  calculations using a  $14 \times 14$  matrix give similar hyperbolae describing the  $E_c$  or  $E_{lh}$  dispersions. Figures 2(a) to (d) show  $14 \times 14$   $k \cdot p$  calculated band structure results for  $Hg_{0.77}Cd_{0.23}Te$  at the temperatures of 300 K, 200 K, 100 K and 77 K, respectively; Figs 2(e) and (f) show fittings to Eq.(3) for  $Hg_{0.77}Cd_{0.23}Te$  at a temperature of 77 K. As shown in Fig. 2, the band structure maintains the same  $E$ - $k$  dispersion relationship at all temperatures, which includes the hyperbolic conduction bands and light hole bands (down to 400 meV below the top of valance bands), as well as the parabolic heavy hole bands. As already mentioned, the spin-splitting bands are out of the energy range considered in this paper due to the spin orbit splitting energy of HgCdTe being 0.96 to 1 eV, and are hence neglected. In addition, as shown in Fig. 2, the fits require that both  $s$  and  $b$  no longer take the values implied by Eq. 2., i.e.  $b=E_g/2$  and  $s = \pm\sqrt{2P^2/3}$ , hence  $s$  and  $b$  can only be determined by fitting the calculated energy band structures, namely they act as two parameters describing the shape of both conduction and light hole bands (because these two bands are symmetric with one another). Specifically,  $E_c$  fits give  $s = 8850.01 \pm 10.20$  ( $\times 10^{-11} \text{eV}\cdot\text{cm}$ ) and  $b=103.354 \pm 2.035$  (meV). Similar results for HgCdTe with a similar composition at 0 K were also obtained by Krishnamurthy *et al.*<sup>24</sup> using a hybrid pseudopotential tight-binding method for calculating the electronic band structure. The asymptotes can be used to approximate the  $E_c$  dispersion

relationship when  $E$  or  $k$  is large. According to Fig. 2, near the energy of 150 meV above the bottom of the conduction band, the dispersion relationship becomes linear. On the other hand, when  $k$  is small, the lowest order term of a Taylor series of Eq. 3 will give a reasonable approximation:

$$E_C = E_g + \frac{s^2}{2b} k^2 \quad (4)$$

which describes a parabolic  $E_C$  dispersion relationship near the  $\Gamma$  point, as shown in Fig. 2(f). The electron effective mass is obtained from  $m_e^*/m_0 = \frac{\hbar^2 b}{s^2 m_0}$

Noting that the optical matrix elements vary slowly with  $k$ , and restricting our attention to energies near the band gap ( $-20 \text{ meV} \leq \hbar\omega - E_g \leq 400 \text{ meV}$ ), we discuss the absorption behavior assuming that the optical matrix elements can be treated as constant. The absorption coefficient of HgCdTe is then given by:

$$\alpha = \frac{A}{\hbar\omega} \cdot \rho_{CV}(k) \quad (5a)$$

$$\text{where } \rho_{CV}(k) = \int \frac{2}{(2\pi)^3} d^3k \cdot \delta[E_C(k) - E_V(k) - \hbar\omega] \quad (5b)$$

$A$  is a constant,  $\hbar\omega$  is the energy of absorbed photons, and  $\rho_{CV}$  is the joint density of states. Considering spherical iso-energy surfaces and employing the properties of Dirac's  $\delta$  function,  $\rho_{CV}$  can be rewritten as:

$$\rho_{CV}(k) = \int_{E_C(k)-E_V(k)=\hbar\omega} \frac{ds}{\nabla_k(E_C(k) - E_V(k))} \cdot \frac{1}{4\pi^3} = \frac{K^2}{\pi^2} \left( \frac{\partial E_C}{\partial K} - \frac{\partial E_j}{\partial K} \right)^{-1} \Big|_{E_C(K)-E_j(K)=\hbar\omega} \quad (6)$$

where  $K_j$  are the values of one dimension electron crystal momentum that fit the conditions  $E_C(K_j) - E_j(K_j) = \hbar\omega$ ,  $j$  represents the light or heavy hole bands. Therefore, the total absorption coefficient  $\alpha$ ,

which is the sum of absorption coefficient involving light hole ( $\alpha_{lh}$ ) and heavy hole ( $\alpha_{hh}$ ) bands, can be given as a function of  $\hbar\omega$  or  $\varepsilon = \hbar\omega - E_g$ :

$$\alpha_{hh} = \frac{A}{\pi^2(\varepsilon + E_g)} \cdot K_{c-hh} \cdot \left[ \frac{s^2}{\sqrt{s^2 K_{c-hh}^2 + b^2}} + \frac{\hbar^2}{m_{hh}^*} \right]^{-1} \quad (7a)$$

$K_{c-hh}$  as a function of  $\varepsilon$  can be obtained by solving the following equation:

$$\varepsilon = \sqrt{s^2 K_{c-hh}^2 + b^2} - b + \frac{\hbar^2}{2m_{hh}^*} K_{c-hh}^2 \quad (7b)$$

Let  $\varepsilon_c = \sqrt{s^2 K_{c-hh}^2 + b^2} - b$  and  $\varepsilon_{hh} = (\hbar^2 / 2m_{hh}^*) K_{c-hh}^2$ , then  $\varepsilon_c + \varepsilon_{hh} = \varepsilon$  and  $\varepsilon_c$ ,  $\varepsilon_{hh}$  correspond to the same electron crystal momentum  $K_{c-hh}^2$ . Because of the significant difference between the electron and hole effective masses, it's a good approximation to set  $\varepsilon = \varepsilon_c$ . Then Eq. 7(a) can be rewritten as:

$$\alpha_{hh} = \frac{A}{\pi^2 s^3 (\varepsilon + E_g)} (\varepsilon + b) \sqrt{(\varepsilon + b)^2 - b^2} \quad (8)$$

Because the conduction and light hole bands are symmetrical in the energy range of 400 meV beyond the band gap, we get:

$$\alpha_{lh} = \frac{A}{\pi^2 (\varepsilon + E_g)} \left[ \frac{1}{2} \cdot \frac{1}{s^3} (\frac{\varepsilon}{2} + b) \sqrt{(\frac{\varepsilon}{2} + b)^2 - b^2} \right] = \frac{A}{\pi^2 s^3 (\varepsilon + E_g)} \left[ \frac{1}{8} (\varepsilon + 2b) \sqrt{(\varepsilon + 2b)^2 - (2b)^2} \right] \quad (9)$$

Therefore, the total absorption coefficient above the energy gap  $E_g$  as a function of absorbed photon energy  $\hbar\omega$  is:

$$\begin{aligned} \alpha &= \alpha_{hh} + \alpha_{lh} = \frac{A}{\pi^2 s^3 (\varepsilon + E_g)} \left[ (\varepsilon + b) \sqrt{(\varepsilon + b)^2 - b^2} + \frac{1}{8} (\varepsilon + 2b) \sqrt{(\varepsilon + 2b)^2 - (2b)^2} \right] \\ &= \frac{B}{\hbar\omega} \left[ (\hbar\omega - E_g + b) \sqrt{(\hbar\omega - E_g + b)^2 - b^2} + \frac{1}{8} (\hbar\omega - E_g + 2b) \sqrt{(\hbar\omega - E_g + 2b)^2 - (2b)^2} \right] \end{aligned} \quad (10)$$

Note that  $B = A\pi^{-2}s^{-3}$  is a constant.

Eq. 10 was used to fit the experimentally measured absorption coefficient of a  $\text{Hg}_{0.79}\text{Cd}_{0.21}\text{Te}$  sample at 80 K; the results are shown in Fig.3. When the photon energy is much larger than the absorption edge, i.e.  $\varepsilon = \hbar\omega - E_g$  is very large, the conditions  $\varepsilon + 2b \gg 2b$  and  $\varepsilon + b \gg b$  will be met, and Eq. 10 can be rewritten as:

$$\alpha = \frac{A}{\pi^2(\varepsilon + E_g)} \cdot \frac{1}{s^3} \cdot \frac{1}{8} [8(\varepsilon + b)^2 + (\varepsilon + 2b)^2] = \frac{C(\hbar\omega - E_g + D)^2 + F}{\hbar\omega} \quad (11)$$

where  $C = \frac{9A}{8s^3\pi^2}$ ,  $D = \frac{10}{9}b$  and  $F = \frac{A}{9s^3\pi^2}b^2$ . Note that the product of the absorption coefficient and the energy ( $\alpha\hbar\omega$ ) varies as the square of the energy when the energy is greater than  $E_g$  by hundreds of meV. Equation 11 can also be obtained if one substitutes the  $E_C(k)$  and  $E_{lh}(k)$  relations by the asymptote of Eq. 3.

Within about 20 meV of the energy gap,  $\varepsilon = \hbar\omega - E_g \ll b$ . Equation 10 can then be rewritten as:

$$\begin{aligned} \alpha &= \frac{A}{\pi^2 s^3 (\varepsilon + E_g)} \left[ (\varepsilon + b)\sqrt{\varepsilon} \cdot \sqrt{\varepsilon + 2b} + \frac{1}{8}(\varepsilon + 2b)\sqrt{\varepsilon} \sqrt{\varepsilon + 4b} \right] \\ &= \frac{A}{\pi^2 s^3 (\varepsilon + E_g)} \left[ b\sqrt{\varepsilon} \cdot \sqrt{2b} + \frac{1}{8}(2b)\sqrt{\varepsilon} \sqrt{4b} \right] = \frac{Ab^{\frac{3}{2}}}{\pi^2 s^3 (\hbar\omega)} \left[ \sqrt{2} + \frac{1}{2} \right] \cdot \sqrt{\hbar\omega - E_g} \end{aligned} \quad (12)$$

In fact, when  $\varepsilon$  or  $k$  is small, Eq. 3 can be used as an approximation of  $E_C(k)$  and  $E_{lh}(k)$ , and Eq. 12 can be derived as well. An example is shown in Fig. 3. An empirical formula with a similar form has been suggested by Schacham et al.<sup>25</sup> from absorption very near the band gap in samples with thicknesses of 25  $\mu\text{m}$  to 300  $\mu\text{m}$ .<sup>3</sup>

Eqs. 10, 11 and 12 give a clear picture of the changing tendency of  $\alpha \cdot \hbar\omega$  with  $\hbar\omega$ , which can be combined into the formula  $\alpha \cdot \hbar\omega \propto \varepsilon^{f(\varepsilon)}$ , where  $f(\varepsilon)$  is a function of  $\varepsilon$  taking the values of 0.5 to 2.  $f(\varepsilon) = 0.5$  when  $\varepsilon$  is very small. With increasing  $\varepsilon$ ,  $f(\varepsilon)$  gradually increases. When  $\varepsilon$  is very large,  $f(\varepsilon)$  takes the value of 2. Therefore, depending on the range of energy above the band gap, an

empirical formula of  $\alpha \cdot \hbar\omega \propto \varepsilon^\gamma$  ( $0.5 \leq \gamma \leq 2$ ) can be used to describe the energy dependence of the absorption coefficient near the band gap, where  $\gamma = \frac{1}{n} \sum_n f(\varepsilon_i)$ , i.e. an average over  $n$  energy points taken into account during the fitting calculations above  $E_g$ . Such a result can also be derived from Eq. 6 through assuming the dispersion relationships  $E_c(k) = k^{\frac{3}{1+\gamma}} + E_g$  and  $E_v(k) = -k^{\frac{3}{1+\gamma}}$ . As shown in Fig. 2(c), such assumptions are reasonably correct as long as  $1 \leq \frac{3}{1+\gamma} \leq 2$ , corresponding to  $0.5 \leq \gamma \leq 2$ , being exactly the same range as in our previous discussions. Moazzami et al.<sup>26</sup> have empirically determined a  $\gamma$  value of about 0.7 for a  $\text{Hg}_{0.69}\text{Cd}_{0.31}\text{Te}$  sample in the energy range of about 100 meV above the energy gap. Chu and co-workers<sup>17,27</sup> found that a logarithmic approximation works best in the energy range of 100 meV above the energy gap.

The absorption coefficient below the energy gap is expected to follow the Urbach rule. To guarantee a smooth connection between Urbach region  $\alpha_U$  (as given by Eq. 1) and the intrinsic region  $\alpha_I$  (as given by Eq.10), we require:

$$\begin{cases} \alpha_U|_{\hbar\omega=E_0} = \alpha_I|_{\hbar\omega=E_0} \\ \left. \frac{d(\alpha_U)}{d(\hbar\omega)} \right|_{\hbar\omega=E_0} = \left. \frac{d(\alpha_I)}{d(\hbar\omega)} \right|_{\hbar\omega=E_0} \end{cases} \quad (13)$$

Because the Urbach tail energy spans only a few meV, the corresponding  $k$  is very small. Using Eq. 4 to approximate  $E_c(k)$  and  $E_v(k)$ , we obtain from Eq. 13 the condition:

$$E_0 \cong E_g + \frac{W}{2} \quad (14)$$

Recall that  $W$  is the Urbach tail energy.  $E_0$  is the point where the absorption coefficient transitions from Urbach's rule to the intrinsic band-to-band behavior.  $E_0$  was defined as the optical energy band

gap of HgCdTe by Chu *et al.*<sup>28</sup> The first derivative of the absorption coefficient peaks at  $E_0$  since the rapid rise of the absorption coefficient following the exponential Urbach rule stops at this point<sup>22,29</sup>. Similar suggestions were made by Ariel *et al.*<sup>30,31</sup>

The combination of Eqs. 1, 10 and 14 gives the absorption coefficients near the band gap  $E_g$  as:<sup>32</sup>

$$\alpha(\hbar\omega) = \begin{cases} \frac{B}{E_g + \frac{W}{2}} \left[ \left( \frac{W}{2} + b \right) \sqrt{\left( \frac{W}{2} + b \right)^2 - b^2} + \frac{1}{8} \left( \frac{W}{2} + 2b \right) \sqrt{\left( \frac{W}{2} + 2b \right)^2 - (2b)^2} \right] \cdot \exp\left(\frac{\hbar\omega}{W}\right) & (\hbar\omega \leq E_g + W/2) \\ \frac{B}{\hbar\omega} \left[ (\hbar\omega - E_g + b) \sqrt{(\hbar\omega - E_g + b)^2 - b^2} + \frac{1}{8} (\hbar\omega - E_g + 2b) \sqrt{(\hbar\omega - E_g + 2b)^2 - (2b)^2} \right] & (\hbar\omega \geq E_g + W/2) \end{cases} \quad (15)$$

here  $E_g$  is the band gap of HgCdTe,  $W$  is the Urbach tail energy in the same unit of  $E_g$ , parameters of  $b$  and  $B$  as functions of temperature and Cd composition in HgCdTe, can be determined by fitting experimental data. This formula is plotted in Fig. 3 for an  $x=0.21$   $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$  sample at 77 K and gives good agreement with experimental data both below and above  $E_0$ . In contrast, the parabolic model shows obvious deviations from experimental data at an energy of about 50 meV above  $E_g$ . Therefore, using parabolic model tends to predict a much smaller cut-off blue-shift with decreasing absorber layer thickness. To obtain the best fits, we fit not only the absorption coefficient but also the first order derivative of the absorption coefficient curve, as shown in Fig. 4.

If under certain circumstances  $W$  is so large as to be beyond the energy region in which the absorption coefficient is proportional to  $\varepsilon^\gamma$  ( $0.5 < \gamma \leq 2$ ), then the following relationship can be obtained from Eq.13:  $E_0 \cong E_g + \gamma \cdot W$ .

### Conclusions

An analytical model describing the absorption behavior of long wavelength infrared HgCdTe as a function of absorbed photon energy was established based on  $k.p$  electronic band structure

calculations. The model is capable of describing both the Urbach tail region and the intrinsic region up to at least 300 meV above the band gap. The intrinsic band-to-band absorption coefficient is proportional to  $(\hbar\omega - E_g)^\gamma$  with  $\gamma$  increasing from 0.5 and 2 with increasing photon energy, a consequence of the nonparabolic conduction and light hole band dispersion relationships.

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*(I will move them from the endnotes to here before submission)*

**References:**

### Figure Captions:

Fig.1. Calculated 77 K 50% cut-off wavelengths and equivalent Cd compositions for  $\text{Hg}_{1-x}\text{Cd}_x\text{Te}$  detectors with 10  $\mu\text{m}$  thick absorber layers as a function of absorber layer thickness.

Fig.2. (a) Energy band structure of  $\text{Hg}_{0.77}\text{Cd}_{0.23}\text{Te}$  near the  $\Gamma$  point at 300 K calculated using a  $14 \times 14$  matrix k.p method, (b) 200 K, (c) 100 K and (d) 77 K. Solid line: conduction band; dashed line: heavy hole band; dash-dotted line: light hole; and dash-dot-dotted line: spin split-off band. (e) Open circles: calculated results from (d) for the conduction band; solid line: fitted Eq. 3 in text; dash-dot-dotted line (visible only near  $k=0$ ): high energy asymptotes of hyperbola; and dashed line: fitted parabola, which deviates substantially from the other curves at higher energies. (f) Close-up of (e) near the bottom of the conduction band. Solid line: fitted using a hyperbola, which show reasonably good agreement even at the bottom of the conduction band; and dashed line: fitted using a parabola, which shows good agreement only very near the band gap. The dash-dot-dotted lines are asymptotes of the hyperbola.

Fig.3. Open squares: experimentally measured absorption coefficient of a  $\text{Hg}_{0.79}\text{Cd}_{0.21}\text{Te}$  sample at 80 K; thin solid line: fitted Eq. 10 by assuming hyperbolic bands; dotted line: fitted Urbach tail (Eq.1) with  $E_0 = E_g + W/2$ , here  $E_g$  is the theoretical band gap of  $\text{HgCdTe}$ ,  $W$  is Urbach tail energy and  $E_0$  is the optical band gap of  $\text{HgCdTe}$  as defined by the authors of Refs.11 and 24, which is the energy position of the peak in the first order deviative curve of the absorption coefficient as a function of absorbed photon energy; dashed line: fitted assuming parabolic bands, which shows reasonably good agreement only very near the band gap; and thick solid line: fitted using Eq.15. The insert is a close-up of the main figure and shows that Eq. 15 remains smooth at  $E_0$ .

Fig.4. Fitting of the first derivative of the experimentally measured absorption coefficient shown in Fig.3 using the first derivative of Eq. 15 in the text.

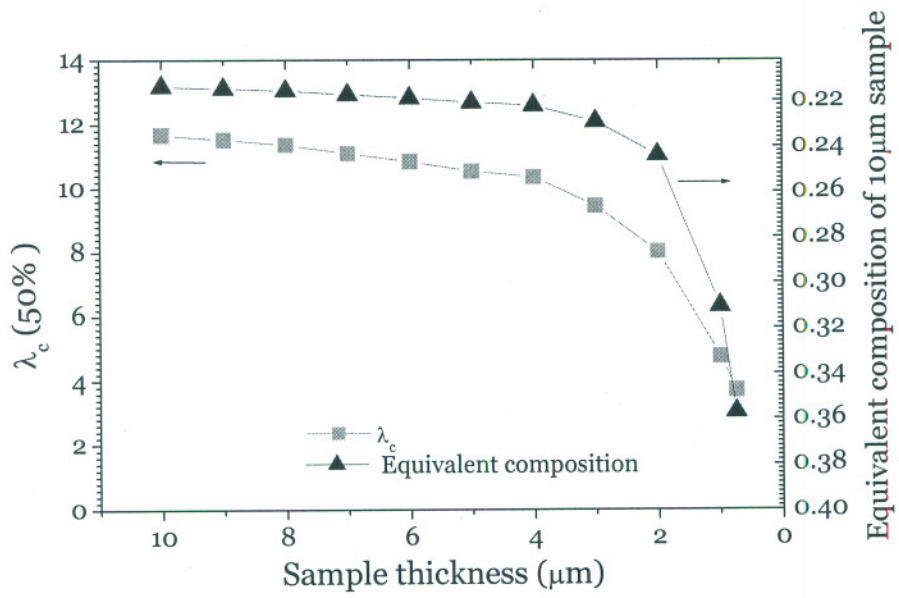


Figure 1

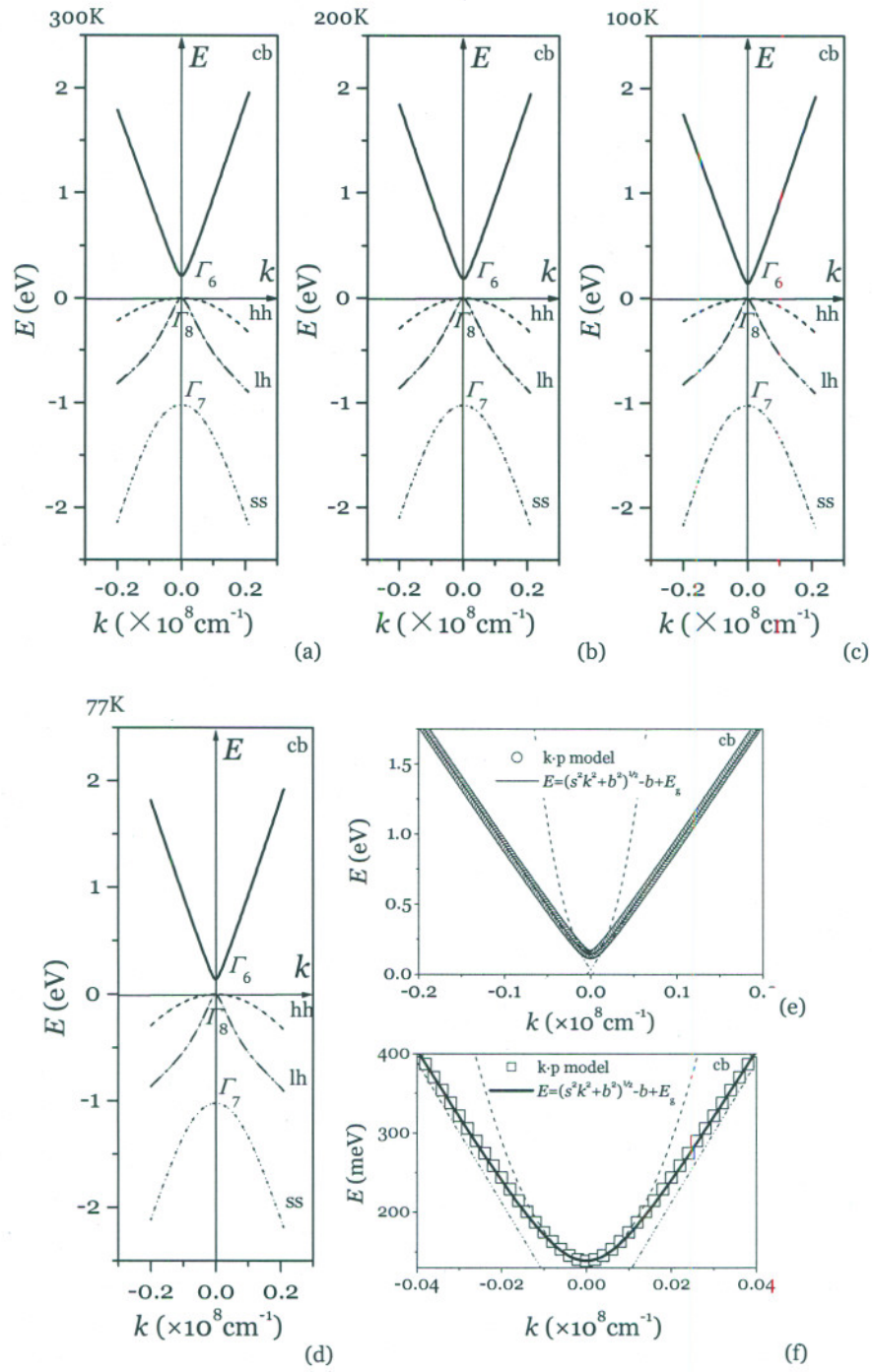


Figure 2

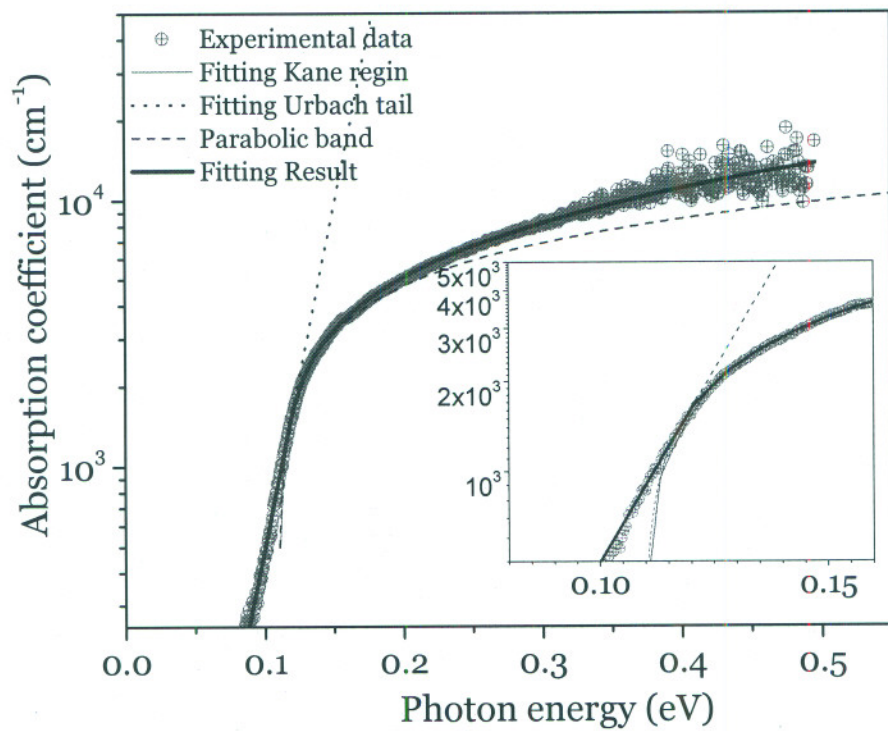


Figure 3

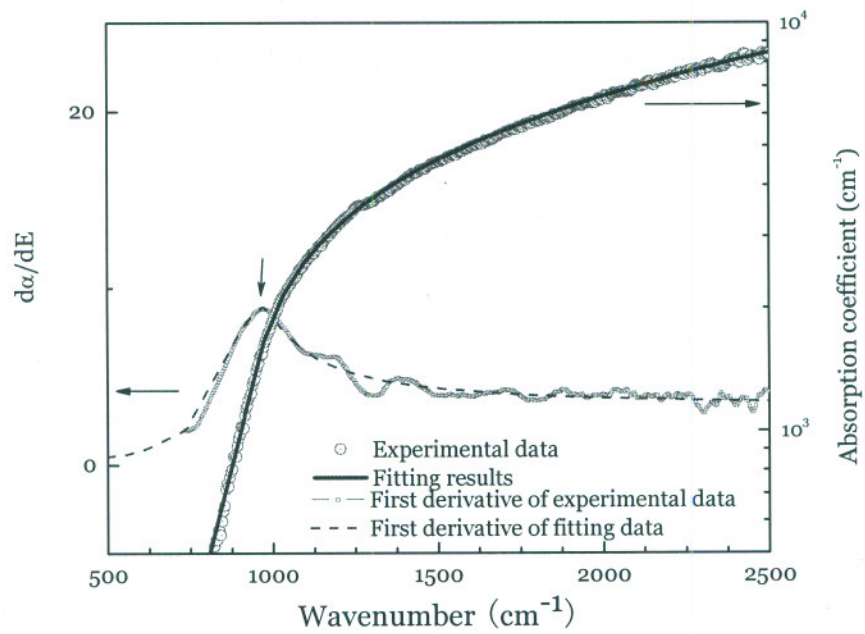


Figure 4

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