



Iraq: Politics, Elections, and Benchmarks

Kenneth Katzman

Specialist in Middle Eastern Affairs

June 2, 2009

Congressional Research Service

7-5700

www.crs.gov

RS21968

Report Documentation Page

Form Approved
OMB No. 0704-0188

Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

1. REPORT DATE 02 JUN 2009		2. REPORT TYPE		3. DATES COVERED 00-00-2009 to 00-00-2009	
4. TITLE AND SUBTITLE Iraq: Politics, Elections, and Benchmarks				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Congressional Research Service, Library of Congress, 101 Independence Ave., SE, Washington, DC, 20540-7500				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

Summary

Iraq's political system, the result of a U.S.-supported election process, is increasingly characterized by peaceful competition rather than violence, but sectarianism and ethnic and factional infighting continue to simmer. As 2009 began, there was renewed maneuvering by opponents of Prime Minister Nuri al-Maliki who view him as authoritarian and were perceived as conspiring to try to replace him, had his party fared poorly in the January 31, 2009, provincial elections. However, the elections strengthened Maliki and other Iraqis who believe that power should remain centralized in Baghdad, and Maliki is considered well positioned to compete in the parliamentary elections (to be held on January 30, 2010) that will select the next four-year government. The provincial elections, held in all provinces except Kirkuk and the Kurdish-controlled provinces, were relatively peaceful and there was a more diverse array of party slates than those that characterized the January 2005 provincial elections.

Internal dissension within Iraq aside, the Bush Administration was optimistic that the passage of key laws in 2008, coupled with the provincial elections, would sustain recent reductions in violence. President Obama praised the orderliness and relative absence of violence of the provincial elections—an outcome that reaffirmed the Obama Administration's belief that it can proceed with a planned reduction of the U.S. troop presence (to about 35,000 – 50,000 U.S. forces by August 2010) without inordinate risk to Iraqi stability. Yet, violence has since increased in some areas of Iraq, particularly those where different ethnicities and sects live in close proximity. The elections also reduced U.S. concerns about Iran's influence in Iraq, in part because pro-Iranian parties—particularly those that maintain militias armed by Iran—fared poorly. See CRS Report RL31339, *Iraq: Post-Saddam Governance and Security*, by Kenneth Katzman.

Contents

Overview of the Political Transition	1
January 2005 National Assembly and Provincial Elections	1
Permanent Constitution	1
December 15, 2005, Elections	2
Benchmarks, Reconciliation, and Provincial and Future Elections	3
The Strengthening of Maliki and the Iraqi Government: 2008-2009.....	3
January 31, 2009, Provincial Elections	4
Outcomes and Implications	5
Elections Going Forward.....	7

Tables

Table 1. January 31, 2009, Provincial Election Results (Major Slates)	9
Table 2. Election Results (January and December 2005).....	10
Table 3. Assessments of the Benchmarks.....	11

Contacts

Author Contact Information	13
----------------------------------	----

Overview of the Political Transition

Iraq has largely completed a formal political transition from the dictatorship of Saddam Hussein to a plural democracy that encompasses varying sects and ideological and political factions. After the fall of Saddam Hussein's regime in April 2003, the United States set up an occupation structure, reportedly based on concerns that immediate sovereignty would favor major factions and not produce democracy. In May 2003, President Bush, reportedly seeking strong leadership in Iraq, named Ambassador L. Paul Bremer to head a "Coalition Provisional Authority" (CPA), which was recognized by the United Nations as an occupation authority. Bremer discontinued a tentative political transition process and instead appointed (July 13, 2003) a non-sovereign Iraqi advisory body: the 25-member "Iraq Governing Council" (IGC). After about one year of occupation, the United States handed sovereignty to an appointed Iraqi interim government on June 28, 2004. It was headed by a Prime Minister, Iyad al-Allawi, leader of the Iraq National Accord, a secular, non-sectarian faction. Allawi is a Shiite but many INA leaders were Sunnis, and some of them were formerly members of the Baath Party. The president of this interim government was Ghazi al-Yawar, a Sunni tribal figure who spent many years in Saudi Arabia.

January 2005 National Assembly and Provincial Elections

A series of elections in 2005 produced the full-term government that is in power today. In line with a March 8, 2004, "Transitional Administrative Law" (TAL, interim constitution), the first post-Saddam election was held on January 30, 2005, for a 275-seat transitional National Assembly (which formed an executive), four-year term provincial councils in all 18 provinces and a Kurdistan regional assembly (111 seats). According to the "proportional representation/closed list" election system, voters chose among "political entities" (a party, a coalition of parties, or persons); 111 entities were on the national ballot, of which nine were multi-party coalitions. Sunni Arabs (20% of the overall population) boycotted, winning only 17 Assembly seats, and only one seat on the 51 seat Baghdad provincial council. That council was dominated (28 seats) by representatives of the Islamic Supreme Council of Iraq (ISCI), led by Abd al-Aziz al-Hakim. Radical Shiite cleric Moqtada Al Sadr, then at odds with U.S. forces, also boycotted, leaving his faction relatively under-represented on provincial councils in the Shiite south and in Baghdad. The resulting transitional government placed Shiites and Kurds in the highest positions—Patriotic Union of Kurdistan (PUK) leader Jalal Talabani was President and Da'wa (Shiite party) leader Ibrahim al-Jafari was Prime Minister. Sunnis were Assembly speaker, deputy president, a deputy prime minister, and six ministers, including defense.

Permanent Constitution

The elected Assembly was to draft a constitution by August 15, 2005, to be put to a referendum by October 15, 2005, subject to veto by a two-thirds majority of voters in any three provinces. On May 10, 2005, a 55-member drafting committee was appointed, but with only two Sunni Arabs (15 Sunnis were later added as full members and 10 as advisors). In August 2005, the talks produced a draft, providing for: a December 31, 2007, deadline to hold a referendum on whether Kirkuk (Tamim province) will join the Kurdish region (Article 140); designation of Islam "a main source" of legislation;¹ a 25% electoral goal for women (Article 47); families choosing which

¹ <http://www.washingtonpost.com/wp-dyn/content/article/2005/10/12/AR2005101201450.html>.

courts to use for family issues (Article 41); making only primary education mandatory (Article 34); and having Islamic law experts and civil law judges on the federal supreme court (Article 89). Many women opposed the two latter provisions as giving too much discretion to male family members. It made all orders of the U.S.-led occupation authority (Coalition Provisional Authority, CPA) applicable until amended (Article 126), and established a “Federation Council” (Article 62), a second chamber with size and powers to be determined in future law (not adopted to date).

The major disputes—still unresolved—centered on regional versus centralized power. The draft permitted two or more provinces together to form new autonomous “regions”—reaffirmed in passage of an October 2006 law on formation of regions. Article 117 allows “regions” to organize internal security forces, legitimizing the fielding the Kurds’ *peshmerga* militia (allowed by the TAL). Article 109 requires the central government to distribute oil and gas revenues from “current fields” in proportion to population, and gave regions a role in allocating revenues from new energy discoveries. Disputes over these concepts continue to hold up passage of national hydrocarbons legislation. Sunnis dominate areas of Iraq have few proven oil or gas deposits, and favor centralized control of oil revenues, whereas the Kurds want to maintain maximum control of their own burgeoning oil sector.

With contentious provisions unresolved, Sunnis registered in large numbers (70%-85%) to try to defeat the constitution, prompting a U.S.-mediated agreement (October 11, 2005) providing for a panel to propose amendments within four months after a post-December 15 election government took office (Article 137), to be voted on within another two months (under the same rules as the October 15 referendum.) The Sunni provinces of Anbar and Salahuddin had a 97% and 82% “no” vote, respectively, but the constitution was adopted because Nineveh province only voted 55% “no,” missing the threshold for a “no” vote by a two-thirds majority in three provinces.

December 15, 2005, Elections

In the December 15, 2005, elections for a four-year national government (in line with the schedule laid out in the TAL), each province contributed a predetermined number of seats to a “Council of Representatives” (COR)—a formula adopted to attract Sunni participation. Of the 275-seat body, 230 seats were allocated this way, with 45 “compensatory” seats for entities that would have won additional seats had the constituency been the whole nation. There were 361 political “entities,” including 19 multi-party coalitions, competing. As shown in the table, voters chose lists representing their sects and regions, and the Shiites and Kurds again emerged dominant. The COR was inaugurated on March 16, 2006, but political infighting caused the Shiite bloc “United Iraqi Alliance” to replace Jafari with another Da’wa figure, Nuri Kamal al-Maliki, as Prime Minister.

On April 22, the COR approved Talabani to continue as president. His two deputies are Adel Abd al-Mahdi (incumbent) of the Islamic Supreme Council of Iraq (ISCI) and Tariq al-Hashimi, leader of the broad Sunni-based coalition called the Accord Front (“Tawafuq”—within which Hashimi leads the Iraqi Islamic Party). Another Accord figure, the hardline Mahmoud Mashhadani (National Dialogue Council party), became COR speaker. Maliki won COR approval of a 37-member cabinet (including two deputy prime ministers) on May 20, 2006. Three key slots (Defense, Interior, and National Security) were not filled permanently until June 2006, due to infighting. Of the 37 posts, there were 19 Shiites; 9 Sunnis; 8 Kurds; and 1 Christian. Four were women.

Benchmarks, Reconciliation, and Provincial and Future Elections

The 2005 elections were considered successful by the Bush Administration but—possibly because they took place in the context of ongoing insurgency and sectarian conflict—the elections did not resolve the Sunni Arab grievances over their diminished positions in the post-Saddam power structure. In August 2006, the Administration and Iraq agreed on a series of “benchmarks” that, if adopted and implemented, might achieve political reconciliation. Under Section 1314 of a FY2007 supplemental appropriation (P.L. 110-28), “progress” on eighteen political and security benchmarks—as assessed in Administration reports due by July 15, 2007 and then September 15, 2007—were required for the United States to provide \$1.5 billion in Economic Support Funds (ESF) to Iraq. The President used the waiver provision. The law also mandated an assessment by the GAO, by September 1, 2007, of the degree to which the benchmarks have been met, as well as an outside assessment of the Iraqi security forces (ISF).

As 2008 progressed, citing the achievement of almost all of the major legislative benchmarks—and the dramatic drop in sectarian-motivate violence attributed to the U.S. “troop surge”—the Bush Administration asserted that political reconciliation was well under way. However, U.S. officials maintained that the extent and durability of reconciliation would largely depend on the degree of implementation of the adopted laws, on further compromises on inter-communal disputes, and on continued attenuated levels of violence. Iraq’s performance on the “benchmarks” is in the table below.

The Strengthening of Maliki and the Iraqi Government: 2008-2009

The passage of key legislation and the continued calming of the security situation enhanced Maliki’s political position throughout 2008. A March 2008 offensive ordered by Maliki against the Sadr faction and other militants in Basra and environs ultimately pacified the city, weakened Sadr politically, and caused some Sunnis and Kurds to see Maliki as more even-handed and non-sectarian than previously thought. This contributed to a decision by the Accord Front to return to the cabinet in July 2008. Other cabinet vacancies were filled subsequently, mostly by independents. This represented a reversal from 2007, when Maliki appeared weakened substantially by the pullout of the Accord Front, the Sadr faction, and the bloc of former Prime Minister Iyad al-Allawi from the cabinet, leaving it with 13 vacant seats out of a 37 seat cabinet.

Maliki’s growing strength caused concern even among Maliki’s erstwhile political allies. The Kurds, who had been a key source of support for him, began to criticize his leadership because of his formation of government-run “tribal support councils” in northern Iraq, which the Kurds see as an effort to prevent them from gaining control of disputed territories that they want to integrate into their Kurdistan Regional Government (KRG). ISCI, the longstanding main ally of Maliki’s Da’wa Party, competed with the Da’wa for provincial council seats, as discussed below, and accused him of surrounding himself with Da’wa veterans to the exclusion of other decision-makers. The competition prompted reports in late 2008 that several major factions were considering attempting to bring about a “no-confidence” vote against Maliki.

The December 2008 resignation, under pressure, of Sunni COR Speaker Mahmoud al-Mashhadani, who was perceived as blocking a no confidence motion against Maliki and several of his allies in the cabinet, was one outward indicator of the dissension. Several attempts to

replace Mashhadani, including on February 19, 2009, failed to achieve the 138 vote majority needed to confirm a successor.

Maliki's growing control of a progressively stronger security force also has created restiveness among the Sunni "Sons of Iraq" fighters who Maliki has refused to fully integrate wholesale into the Iraqi Security Forces. The 100,000 fighters nationwide cooperate with U.S. forces against Al Qaeda in Iraq and other militants. Those fears proved relatively unfounded because the Iraqi government's assumption of the payments to the Sons of Iraq—a process completed by April 2009—went relatively without incident. However, some of the Sons are increasingly resentful that only 5,000 have been integrated into the ISF, and that the remainder have not yet been given the civilian government jobs they were promised. Others complain that their payments have been delayed, which the government claims is due to cash shortfalls resulting from the sharp fall in oil prices in late 2008.

Maliki's strength also permitted him to insist on substantial U.S. concessions in the U.S.-Iraq "status of forces agreement" (SOFA) that passed the COR on November 27, 2008, over Sadrist opposition, and notwithstanding Sunni efforts to obtain assurances of their future security. The pact took effect January 1, 2009, limiting the prerogatives of U.S. troops to operate in Iraq and setting a timetable of December 31, 2011, for a U.S. withdrawal. President Obama, on February 27, 2009, outlined a U.S. troop draw-down plan that comports with the major provisions of the SOFA.

January 31, 2009, Provincial Elections

The Obama Administration, as did the Bush Administration, looked to the January 31, 2009, provincial elections to consolidate the reconciliation process. Under a 2008 law, provincial councils in Iraq choose the governor and provincial governing administrations in each province, making them powerful bodies that provide ample opportunity to distribute patronage and guide provincial politics.

The elections had been planned for October 1, 2008, but were delayed when Kurdish restiveness over integrating Kirkuk and other disputed territories into the KRG caused a presidential veto of the July 22, 2008, election law needed to hold these elections. The draft law provided for equal division of power in Kirkuk (between Kurds, Arabs, and Turkomans) until its status is finally resolved, prompting Kurdish opposition to any weakening of their dominance in Kirkuk. Following the summer COR recess, the major political blocs agreed to put aside the Kirkuk dispute and passed a revised provincial election law on September 24, 2008, providing for the elections by January 31, 2009. The revised law put off provincial elections in Kirkuk and the three KRG provinces, and stripped out provisions in the vetoed version to allot 13 total reserved seats (spanning six provinces) to minorities. However, in October 2008, the COR adopted a new law restoring six reserved seats for minorities: Christian seats in Baghdad, Nineveh, and Basra; one seat for Yazidis in Nineveh; one seat for Shabaks in Nineveh; and one seat for the Sabean sect in Baghdad.

In the elections, in which there was virtually no violence on election day, about 14,500 candidates vied for the 440 provincial council seats in the 14 Arab-dominated provinces of Iraq. About 4,000 of the candidates were women. The average number of council seats per province is about 30,²

² Each provincial council has 25 seats plus one seat per each 200,000 residents over 500,000.

down from a set number of 41 seats per province (except Baghdad) in the 2005-2009 councils. The new Baghdad provincial council has 57 seats. This yielded an average of more than 30 candidates per council seat, which some see as enthusiasm for democracy in Iraq. However, the reduction in number of seats also meant that many incumbents were not re-elected.

Voters were able to vote only for a party slate, or for an individual candidate (although they also had to vote for that candidate's slate as well). This procedure encourages voting for slates, and strengthened the ability of political parties to choose who on their slate will occupy seats allotted for that party. This election system was widely assessed to favor larger, well organized parties, because smaller parties might not meet the vote threshold to obtain any seats on the council in their province.³ This was seen as likely to set back the hopes of some Iraqis that the elections would weaken the Islamist parties, both Sunni and Shiite, that have dominated post-Saddam politics.

About 17 million Iraqis (any Iraqi 18 years of age or older) were eligible to vote, which was run by the Iraqi Higher Election Commission (IHEC). Pre-election-related violence was minimal, although five candidates and several election/political workers were killed. There were virtually no major violent incidents on election day. The Iraqi Security Forces (ISF) took the lead in defending polling places, with U.S. forces as back-up. Turnout was about 51%, somewhat lower than some expected, and some voters complained of being turned away at polling places because their names were not on file. Other voters had been displaced by sectarian violence in prior years and were unable to vote in their new areas of habitation.

The vote totals were finalized on February 19, 2009, but were not certified until March 29, 2009. Within fifteen days of that (by April 13, 2009) the provincial councils were to convene under the auspices of the incumbent provincial governor, and to elect a provincial council chairperson and deputy chairperson. Within another 30 days after that (by May 12, 2009) the provincial councils are to elect (by absolute majority) a provincial governor and deputy governors. The term of the provincial councils is four years from the date of first convention.

Outcomes and Implications

Some of the primary outcomes of the elections appear to be evident based on the results for the the two main Shiite parties, whose fates differed dramatically. In the mostly Shiite southern provinces, ISCI (Shahid Mihrab list) and Maliki's Da'wa "State of Law Coalition" offered competing lists. Maliki's post-election political position apparently has been enhanced by the strong showing of his list. Any discussions of a possible vote of no confidence against Maliki are likely derailed, based on the election results, although some Sunni deputies did introduce such a motion in the COR in late February 2009. With 28 out of the 57 total seats, the Maliki slate is in effective control, by itself, of the Baghdad provincial council (displacing ISCI). Da'wa also emerged very strong in most of the Shiite provinces of the south, including Basra, where it won an outright majority (20 out of 35 seats). Fadhlila previously dominated the Basra provincial council and administration, a platform from which it launched a move by file a petition, under the 2006 regions law, to form a new region consisting only of Basra province. This effort did not attract the needed 10% of provincial residents' signatures to trigger a referendum by the time of

³ The threshold for winning a seat is: the total number of valid votes divided by the number of seats up for election.

the provincial elections. It is likely that Fadhila's relatively poor showing and the broader trend of support for strong central government will derail the Basra region movement for the near future.

The apparent big loser in the elections was ISCI, which had been favored because it is well organized and well funded. ISCI favors more power for the provinces and less for the central government; centralization is Maliki's preferred power structure. ISCI did not win in Najaf province, which it previously dominated and which, because of Najaf's revered status in Shiism, is considered a center of political gravity in southern Iraq. It won seven seats there, the same number that was won by the Maliki slate. ISCI won only 3 seats on the Baghdad province council, down from the 28 it held previously, and only five in Basra. Some observers believe that the poor showing for ISCI was a product not only of its call for devolving power out of Baghdad, but also because of its perceived close ties to Iran, which some Iraqis believe is exercising undue influence on Iraqi politics.

The unexpected strength of secular parties such as that of former Prime Minister Iyad al-Allawi, appeared to show that voters favored slates committed to strong central government and "rule of law," as well as to the concept of Iraqi nationalism. This trend was also reflected in the strong showing of a single candidate in Karbala province. The figure, Yusuf al-Habbubi, is well thought of in the province for even-handedness. His boasts of close ties to Saddam's elder son Uday (killed in Operation Iraqi Freedom in 2003) did not hurt him politically, even though most Karbala residents are Shiites repressed by Saddam's government. Still, because al-Habbubi is a single candidate, he only won his own seat on the Karbala provincial council and did not become governor of the province.

Although Maliki's coalition was the clear winner in the elections, the subsequent efforts to form provincial administrations demonstrated that he still needed to strike bargains with rival factions, including Sadr, ISCI, and even the Sunni list of Saleh al-Mutlaq (National Dialogue Front) that contains many ex-Baathists. The provincial administrations that took shape, mostly in line with set deadlines above, are in the table below.

Maliki remains well positioned in the run-up to the next parliamentary elections, to be held by late January 2010. On the other hand, the COR was able to achieve a majority to approve Ayad al-Samarrai, a Sunni Arab critic of Maliki, on April 20, 2009. He had been the leading candidate in the several previous attempts to select the new Speaker. Since taking office, Samarrai has strengthened the COR's oversight role and launched a COR investigation of corruption in the Trade Ministry that forced the minister, Abdul Falah al-Sudani, to resign on May 14, 2009. (He was subsequently arrested.) The new COR assertiveness is said to focus on the performance of Oil Minister Hussein Shahrastani, viewed as a Maliki ally and an opponent of the Kurds and their drive to control their own oil resources. Earlier, the COR successfully eliminated from the 2009 budget the funding for the pro-Maliki tribal support councils (see above) and for funds to reconcile with ex-Baathists. Some Sunni Arabs are likely to try to become Iraq's President in the next government, sensing that the Kurds are now a weakening part of the central government. President Talabani has said in March 2009 that he will not be available to continue as President at that time, in part because of widely publicized health problems that have required occasional treatment outside Iraq.

Sunni Participation and Integration

The provincial elections did, to a large extent, further U.S. goals to bring Sunni Muslims ever further into the political structure. Sunnis boycotted the January 2005 provincial elections and had

been poorly represented in some mixed provinces, such as Diyala and Nineveh. It was also hoped that the elections would help incorporate into the political structure the tribal leaders (“Awakening Councils”) who recruited the Sons of Iraq fighters. These Sunni tribalists offered election slates and showed strength at the expense of the established Sunni parties, particularly the Iraqi Islamic Party (IIP). The main “Iraq Awakening” tribal slate came in first in Anbar Province, according to the final results. The established, mostly urban Sunni parties, led by the IIP, had been struggling in 2008 as the broader Accord Front (Tawafuq) fragmented. In the provincial elections, one of its component parties—the National Dialogue Council—ran on slates that competed with the IIP in several provinces.

Another outcome of the election was that Sunni Arabs have wrested control of the Nineveh provincial council from the Kurds, who won control of that council in the 2005 election because of the broad Sunni Arab boycott of that election. A Sunni list (al-Hadba’a) won a clear plurality of the Nineveh vote and subsequently took control of the provincial administration there. However, this development has created new difficulties, because al-Hadba’a is composed of hardline Sunni Arabs who openly oppose Kurdish encroachment in the province and who are committed to the “Arab and Islamic identity” of the province. Nineveh contains numerous territories inhabited by Kurds and which have been a source of growing tension between the Kurdistan Regional Government (KRG) and the central government in Baghdad.

Another mixed province, Diyala, was hotly contested between Shiite and Sunni Arab and Kurdish slates, reflecting the character of the province as another front line between the Kurds and the central government. The provincial version of the Accord Front narrowly beat out the Kurds for first place in the province, but has subsequently allied with the Kurds and with ISCI to set up the provincial administration. There continues to be substantial friction between Sunni and Shiite Arabs in that province, in part because Sunni militants drove out many Shiites from the province at the height of the civil conflict during 2005-2007.

Other U.S. officials saw the elections as key opportunity to move Moqtada al-Sadr’s faction firmly away from armed conflict against the mainstream Shiite parties. That conflict surged in the March 2008 Basra offensive discussed above. Sadr announced in October 2008 that he would not field a separate list in the provincial elections but support Sadrists on other lists. Sadr’s faction, represented mainly in the “Independent Liberals Trend” list, filed candidate slates in several provinces mostly in the south. The slate fared well enough in several southern provinces to be a potential coalition partner, and, through deal making, has gained senior positions in a few southern provinces. The failure of Sadrists to win control of any councils could reflect voter disillusionment with parties that continue to field militias—which many Iraqis blame for much of the violence that has plagued Iraq since the fall of Saddam Hussein.

Elections Going Forward

Some observers maintain that the success of the provincial elections could be determined by subsequent contests. There was to be a referendum by June 30, 2009, on the U.S.-Iraq status of forces agreement, although there appears to be no actual movement to hold these elections. That could be a result of U.S. insistence that it is strictly adhering to the pact’s terms, including redeploying from Iraqi cities by June 30, 2009, although some troops might remain in Mosul and Baghdad cities due to specific security challenges there. The elections for the Kurdistan National Assembly, which selects a president of the KRG, are to be held on July 25, 2009. By July 31, 2009, district and sub-district elections are to take place. Moreover, as noted, Iraq has set a date to hold the next national parliamentary elections on January 30, 2010.

Several other possible elections in Iraq are as yet unscheduled. Because the three Kurdish controlled provinces and the disputed province of Kirkuk did not hold provincial elections with the rest of Iraq on January 31, 2009, elections are required in those provinces at some point, presumably subsequent to a settlement of the Kirkuk dispute. Under the election law that set the provincial elections, a parliamentary committee was to make recommendations on resolving this dispute, to be issued by March 31, 2009. That deadline was not met. The U.N. Assistance Mission—Iraq (UNAMI) is continuing its efforts to forge a grand settlement of Kirkuk and other disputed territories, and a UNAMI report circulated in April 2009 reportedly recommended a form of joint Baghdad-Kurdish control of Kirkuk. It is not yet clear whether this report will be the basis of an agreed settlement. If so, UNAMI’s recommendation is that the constitutionally mandated referendum on Kirkuk’s status would be a vote on whether to adopt that agreed settlement. There could also be a vote on amendments to Iraq’s 2005 constitution if and when the major factions agree to finalize the recommendations of the constitutional review commission (CRC).

Table I. January 31, 2009, Provincial Election Results (Major Slates)

Baghdad - 55 regular seats, plus one Sabean and one Christian set-aside seat	State of Law (Maliki) – 38% (28 seats); Independent Liberals Trend (pro-Sadr) – 9% (5 seats); Accord Front (Sunni mainstream) – 9% (9 seats); Iraq National (Allawi) – 8.6%; Shahid Mihrab and Independent Forces (ISCI) – 5.4% (3 seats) ; National Reform list (of former P.M. Ibrahim al-Jafari) – 4.3% (3 seats)
Basra – 34 regular seats, plus one Christian seat	State of Law – 37% (20); ISCI – 11.6% (5); Sadr – 5% (2); Fadhila (previously dominant in Basra) – 3.2% (0); Allawi – 3.2% (0); Jafari list – 2.5% (0). New Governor : Shiltagh Abbud (Maliki list); Council chair: Jabbar Amin (Maliki list)
Nineveh – 34 regular seats, plus one set aside for Shabaks, Yazidis, and Christians	Hadbaa – 48.4%; Fraternal Nineveh – 25.5%; IIP – 6.7%; Hadbaa has taken control of provincial council and administration, excluding the Kurds. Governor is Atheel al-Nujaifi of Hadbaa.
Najaf – 28 seats	State of Law – 16.2% (7); ISCI – 14.8% (7); Sadr – 12.2% (6); Jafari – 7% (2); Allawi – 1.8% (0); Fadhila – 1.6% (0). Council chairman: Maliki list
Babil – 30 seats	State of Law – 12.5% (8); ISCI- 8.2% (5); Sadr – 6.2% (3); Jafari – 4.4% (3); Allawi – 3.4%; Accord Front – 2.3% (3); Fadhila – 1.3%. New Council chair: Kadim Majid Tuman (Sadrist)
Diyala – 29 seats	Accord Front list – 21.1%; Kurdistan Alliance – 17.2%; Allawi – 9.5%; State of Law – 6 %. New council leans heavily Accord, but allied with Kurds and ISCI.
Muthanna – 26 seats	State of Law – 10.9% (5); ISCI – 9.3% (5); Jafari – 6.3% (3); Sadr – 5.5% (2); Fadhila – 3.7%.
Anbar – 29 seats	Iraq Awakening (Sahawa – Sunni tribals) – 18%; National Iraqi Project Gathering (established Sunni parties, excluding IIP) – 17.6%; Allawi – 6.6%; Tribes of Iraq – 4.5%.
Maysan – 27 seats	State of Law – 17.7% (8); ISCI – 14.6% (8); Sadr – 7; Jafari – 8.7% (4); Fadhila – 3.2%; Allawi – 2.3%. New Governor: Mohammad al-Sudani (Maliki); Council chair: Hezbollah Iraq
Dhi Qar – 31 seats	State of Law – 23.1% (13); pro-Sadr – 14.1% (7); ISCI – 11.1% (5); Jafari – 7.6% (4); Fadhila – 6.1%; Allawi – 2.8%. New governor – Maliki list; Council chair: Sadrist
Karbala – 27 seats	List of Maj. Gen. Yusuf al-Habbubi (Saddam era local official) – 13.3% (1 seat); State of Law – 8.5% (9); Sadr – 6.8% (4); ISCI – 6.4% (4); Jafari – 2.5% ; Fadhila – 2.5%.
Salah Ad Din – 28 seats	IIP-led list – 14.5%; Allawi - 13.9%; Sunni list without IIP – 8.7%; State of Law – 3.5%; ISCI – 2.9%. New council leans Accord/IIP
Qadissiyah – 28 seats	State of Law – 23.1% (11); ISCI – 11.7% (5); Jafari – 8.2% (3); Allawi – 8%; Sadr – 6.7% (2); Fadhila – 4.1%. New governor: Salim Husayn (Maliki list)
Wasit – 28 seats	State of Law – 15.3% (13); ISCI – 10% (6); Sadr – 6% (3); Allawi – 4.6%; Fadhila – 2.7%. New governor: Shiite independent; Council chair: ISCI

Source: UNAMI translation of results issued February 2, 2009, by the Independent Higher Election Commission of Iraq; Vissar, Reidar. The Provincial Elections: The Seat Allocation Is Official and the Coalition-Forming Process Begins. February 19, 2009.

Table 2. Election Results (January and December 2005)

Bloc/Party	Seats (Jan. 05)	Seats (Dec. 05)
United Iraqi Alliance (UIA, Shiite Islamist). Now 85 seats after departure of Fadilah (15 seats) and Sadr faction (28 seats) in 2007. Islamic Supreme Council of Iraq of Abd al-Aziz al-Hakim has 30; Da'wa Party (25 total: Maliki faction, 12, and Anizi faction, 13); independents (30).	140	128
Kurdistan Alliance - KDP (24); PUK (22); independents (7)	75	53
Iraqis List (secular, Allawi); added Communist and other mostly Sunni parties for Dec. vote.	40	25
Iraq Accord Front. Main Sunni bloc; not in Jan. vote. Consists of Iraqi Islamic Party (IIP, Tariq al-Hashimi, 26 seats); National Dialogue Council of Khalaf Ulayyan (7); General People's Congress of Adnan al-Dulaymi (7); independents (4).	—	44
National Iraqi Dialogue Front (Sunni, led by former Baathist Saleh al-Mutlak) Not in Jan. 2005 vote.	—	11
Kurdistan Islamic Group (Islamist Kurd) (votes with Kurdistan Alliance)	2	5
Iraqi National Congress (Chalabi). Was part of UIA list in Jan. 05 vote	—	0
Iraqis Party (Yawar, Sunni); Part of Allawi list in Dec. vote	5	—
Iraqi Turkomen Front (Turkomen, Kirkuk-based, pro-Turkey)	3	1
National Independent and Elites (Jan)/Risalyun (Message, Dec) pro-Sadr	3	2
People's Union (Communist, non-sectarian); on Allawi list in Dec. vote	2	—
Islamic Action (Shiite Islamist, Karbala)	2	0
National Democratic Alliance (non-sectarian, secular)	1	—
Rafidain National List (Assyrian Christian)	1	1
Liberation and Reconciliation Gathering (Umar al-Jabburi, Sunni, secular)	1	3
Ummah (Nation) Party. (Secular, Mithal al-Alusi, former INC activist)	0	1
Yazidi list (small Kurdish, heterodox religious minority in northern Iraq)	—	1

Notes: Number of polling places: January: 5,200; December: 6,200; Eligible voters: 14 million in January election; 15 million in October referendum and December; Turnout: January: 58% (8.5 million votes)/ October: 66% (10 million)/ December: 75% (12 million).

Table 3. Assessments of the Benchmarks

Benchmark	July 12, 2007 Admin. Report	GAO (Sept. 07)	Sept. 14, 2007 Admin. Report	Subsequent Actions and Assessments - May 2008 Administration report, June 2008 GAO report, International Compact with Iraq Review in June 2008, and U.S. Embassy Weekly Status Reports (and various press sources)
1. Forming Constitutional Review Committee (CRC) and completing review	(S) satisfactory	unmet	S	CRC filed final report in August 2008 but major issues remain unresolved and require achievement of consensus among major faction leaders.
2. Enacting and implementing laws on De-Baathification	(U) unsatisfact.	unmet	S	“Justice and Accountability Law” passed Jan. 12, 2008. Allows about 30,000 fourth ranking Baathists to regain their jobs, and 3,500 Baathists in top three party ranks would receive pensions. Could allow for judicial prosecution of all ex-Baathists and to firing of about 7,000 ex-Baathists in post-Saddam security services, and bars ex-Saddam security personnel from regaining jobs. Some reports suggest some De-Baathification officials using the new law to purge political enemies or settle scores.
3. Enacting and implementing oil laws that ensure equitable distribution of resources	U	unmet	U	Framework and three implementing laws stalled over KRG-central government disputes; only framework law has reached COR to date. Revenue being distributed equitably, and 2008 budget adopted February 13, 2008 maintains 17% revenue for KRG. Some U.S. assessments say factions unlikely to reach agreement on these laws in the near term.
4. Enacting and implementing laws to form semi-autonomous regions	S	partly met	S	Regions law passed October 2006, with relatively low threshold (petition by 33% of provincial council members) to start process to form new regions, but main blocs agreed that law would take effect April 2008. November 2008: petition by 2% of Basra residents submitted to IHEC (another way to start forming a region) to convert Basra province into a single province “region. Signatures of 8% more were required by mid-January 2009; not achieved.
5. Enacting and implementing: (a) a law to establish a higher electoral commission, (b) provincial elections law; (c) a law to specify authorities of provincial bodies, and (d) set a date for provincial elections	S on (a) and U on the others	overall unmet; (a) met	S on (a) and (c)	Draft law stipulating powers of provincial governments adopted February 13, 2008, took effect April 2008. Implementing election law adopted September 24, 2008, provided for provincial elections by January 31, 2009. The law provided open list/proportional representation voting, which allows voting for individual candidates; 25% quota for women (although vaguely worded); and banned religious symbols on ballots.
6. Enacting and implementing legislation addressing amnesty for former insurgents	no rating	unmet	Same as July	Law to amnesty “non-terrorists” among 25,000 Iraq-held detainees passed February 13, 2008. Of 23,000 granted amnesty, about 6,300 released to date. 19,000 detainees held by U.S. being transferred to Iraqi control under SOFA.
7. Enacting and implementing laws on militia disarmament	no rating	unmet	Same as July	Basra operation, discussed above, viewed by Bush Administration as move against militias. On April 9, 2008, Maliki demanded all militias disband as condition for their parties to participate in provincial elections. Law on militia demobilization stalled.

Benchmark	July 12, 2007 Admin. Report	GAO (Sept. 07)	Sept. 14, 2007 Admin. Report	Subsequent Actions and Assessments - May 2008 Administration report, June 2008 GAO report, International Compact with Iraq Review in June 2008, and U.S. Embassy Weekly Status Reports (and various press sources)
8. Establishing political, media, economic, and services committee to support U.S. "surge"	S	met	met	No change. "Executive Steering Committee" works with U.S.-led forces.
9. Providing three trained and ready brigades to support U.S. surge	S	partly met	S	No change. Eight brigades assigned to assist the surge. Surge now ended.
10. Providing Iraqi commanders with authorities to make decisions, without political intervention, to pursue all extremists, including Sunni insurgents and Shiite militias	U	unmet	S to pursue extremists U on political interference	No significant change. Still some U.S. concern over the Office of the Commander in Chief (part of Maliki's office) control over appointments to the ISF - favoring Shiites. Still, some politically motivated leaders remain in ISF. But, National Police said to include more Sunnis in command jobs and rank and file than one year ago.
11. Ensuring Iraqi Security Forces (ISF) providing even-handed enforcement of law	U	unmet	S on military, U on police	Bush Administration interpreted Basra operation as effort by Maliki to enforce law even-handedly.
12. Ensuring that the surge plan in Baghdad will not provide a safe haven for any outlaw, no matter the sect	S	partly met	S	No change. Ethno-sectarian violence has fallen sharply in Baghdad.
13. (a) Reducing sectarian violence and (b) eliminating militia control of local security	Mixed. S on (a); U on (b)	unmet	same as July 12	Sectarian violence continues to drop; Shiite militias weaker.
14. Establishing Baghdad joint security stations	S	met	S	Over 50 joint security stations operated in Baghdad at the height of U.S. troop surge. Now in the process of closing in advance of June 30, 2009, deadline for U.S. troops to cease patrolling Iraqi cities.
15. Increasing ISF units capable of operating independently	U	unmet	U	Continuing but slow progress training ISF, which is expected to secure Iraq by the end of 2011 under the SOFA, which requires U.S. troops to be out by then. Obama Administration draw down plan envisions meeting that timetable.
16. Ensuring protection of minority parties in COR	S	met	S	No change. Rights of minority parties protected by Article 37 of constitution.
17. Allocating and spending \$10 billion in 2007 capital budget for reconstruction.	S	partly met	S	About 63% of the \$10 billion 2007 allocation for capital projects was spent.
18. Ensuring that Iraqi authorities not making false accusations against ISF members	U	unmet	U	Some governmental recriminations against some ISF officers still observed.

Source: Compiled by CRS

Author Contact Information

Kenneth Katzman
Specialist in Middle Eastern Affairs
kkatzman@crs.loc.gov, 7-7612