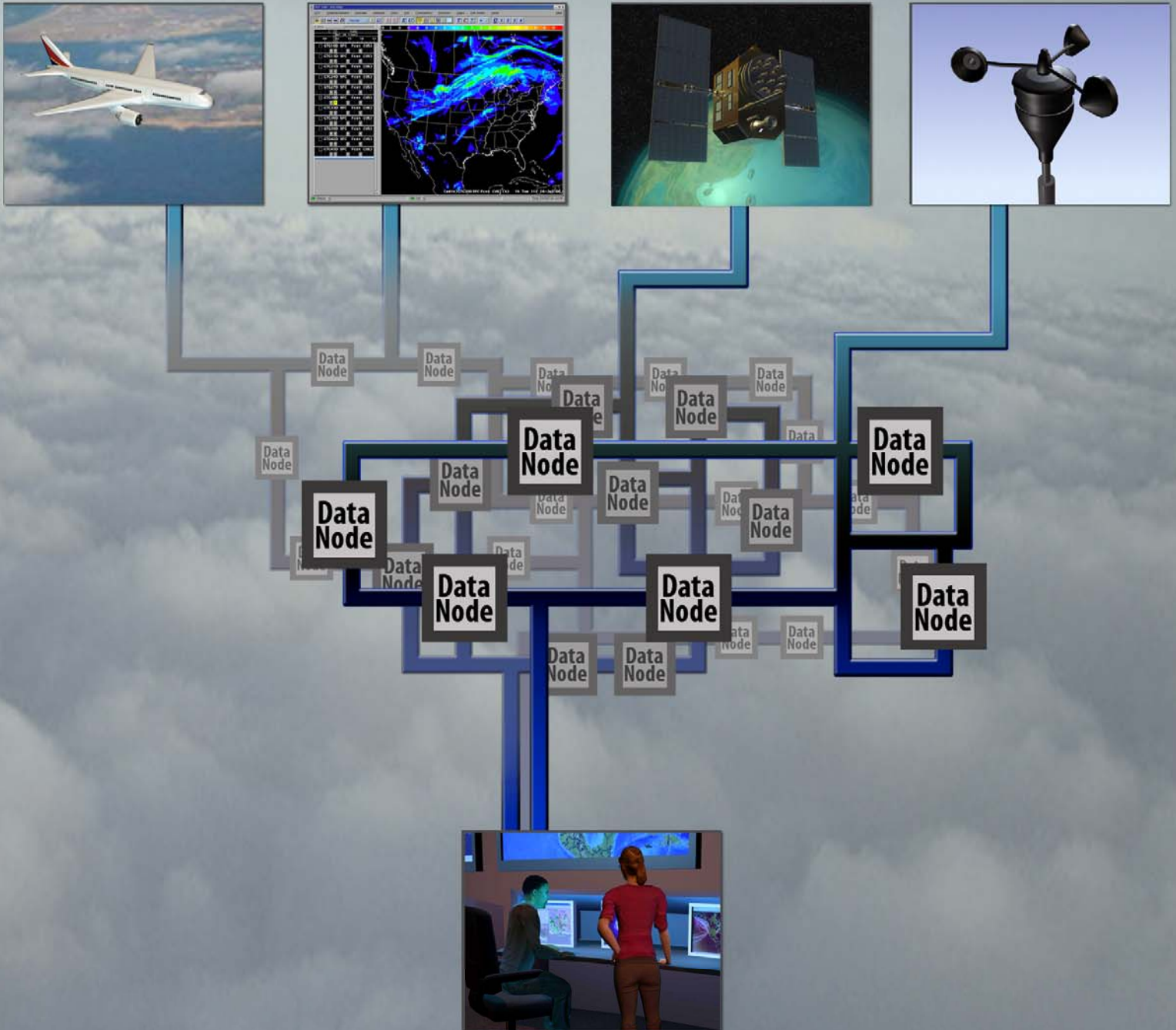


Joint Planning and Development Office

NextGen Weather Plan



Next Generation Air Transportation System
Joint Planning and Development Office

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EXECUTIVE SUMMARY

The NextGen Joint Planning and Development Office (JPDO) and the NextGen partner agencies are planning the evolution of the entire National Airspace System (NAS) from its current state to a completely new concept over the next two decades in order to meet the envisioned demands of its users. Based on the NextGen ConOps v. 2.0 and other NextGen documentation, the currently envisioned changes to Air Traffic Management (ATM) capabilities and the operational improvements that will facilitate them will require network-enabled, aviation-tailored weather information. The weather communities within the National Oceanic and Atmospheric Administration (NOAA), the Federal Aviation Administration (FAA), and the Department of Defense (DoD) have developed this Plan to ensure accessible, network-enabled weather information will be available to meet the user's needs. A complementary follow-on effort, to describe a Plan that describes a common weather reference and its translation and integration into evolving operational decision-support, will also be developed to support risk mitigation goals for NextGen. The Plans will be merged in 2010.

In the NextGen concept, weather information used by ATM decision-makers will come from a net-centric, virtual, data repository of aviation weather data, referred to as the "four-dimensional weather data cube." This concept allows each agency to leverage and merge their existing agency-specific efforts and aviation-weather requirements into a mutually supportable national and eventually global, construct. This Federal effort addresses a way to combine public and private sector aviation weather needs into the ATM process as well as allowing each agency to maintain various independent capabilities consistent with their own weather needs. A foundational element of this Plan builds upon and takes advantage of evolving information technology advances.

In order to achieve this Plan for weather access and use, the weather community must address certain unmet aviation weather user needs that exist today. If not addressed, many, if not all of these unmet needs will remain during any transitional ATM phase with increasing consequence. End-state ATM capabilities and performance-based goals will be greatly compromised without the envisioned weather infrastructure and support as described in this Plan. In short, weather access and use must change to support more performance-based operations as future NextGen capabilities are realized.

Ongoing, unmet aviation weather user needs include:

- **Weather information content:** Weather information content needs to be increasingly sufficient in terms of accuracy, timeliness, detail, and resolution consistent with the evolving NextGen functional and performance requirements.
- **Weather information availability:** There needs to be consistent and universal (ubiquitous) access to weather information by aviation decision makers and other users.
- **Weather information consistency:** Even when weather information is available it can be inconsistent in content or message. There is a need for weather information parity for more effective collaboration across multiple decision makers.

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- **Weather translation:** There is a need to translate and integrate weather information and its uncertainties within decision-support constructs to meet evolving ATM operational missions and NAS user business models.
- **Weather predictability:** NAS users find it difficult to interpret and/or understand and effectively plan using current descriptions of weather uncertainty. There is a need to support ATM resource predictability and availability within the context of weather uncertainty.
- **Weather information framework:** There needs to be an informational architecture for weather that facilitates growth, flexibility, and tailoring to support NextGen constructs.

It is proposed in this plan that a 4-D Weather Data Cube will address these user needs and serve as a foundational framework for continued weather access and use in NextGen timeframes.

1 INTRODUCTION

1.1 Purpose and Scope of Plan

1.1.1 Purpose

One of the key tenets of NextGen is the assimilation of weather information into Air Traffic Management (ATM) decision making. The NextGen Joint Planning and Development Office (JPDO) Weather Working Group developed a Weather Concept of Operations (ConOps) to define this capability. This NextGen Weather Plan documents the NextGen weather concept and identifies the roles and responsibilities of the Government agencies within the National Weather Enterprise for developing an operational NextGen Weather Enterprise. This includes implementing a 4-D Weather Data Cube (also called the Cube), planning for the development and integration of digital weather information into user decision-support tools, identification of the policy and governance issues that must be resolved to enable the use of the Cube, and providing a science roadmap to meet aviation weather needs. The initial version of the Plan includes a definition of the work, cost profiles, and timelines to achieve operational status for the Cube.

This Plan has been developed in coordination with industry representation from the NextGen Institute. This Plan provides industry a means to determine their business case to fit into the NextGen Weather Enterprise based on the Government's plan.

This Plan will be updated to include more extensive sections on integration, policy and a science roadmap.

1.1.2 Scope

This document describes the management structure, tasks, and metrics that will be used to field the initial operational capability (IOC) of the Cube. This document also describes the policy and procedural issues that must be clarified or changed before network-enabled weather can be fully utilized in the National Airspace System (NAS). This document lays out the foundation for future Cube capabilities in an aviation weather science roadmap. A complementary follow-on effort, to describe a Plan that describes a common weather reference and its translation and integration into evolving operational decision-support, will also be developed to support risk mitigation goals for NextGen. The Plans will be merged in 2010.

1.2 NextGen Weather Management Structure

The JPDO Board designated the NextGen Executive Weather Panel (NEWP) to act as the primary policy and decision-making body for issues related to NextGen Weather. The NEWP consists of the FAA ATO Senior VP for NextGen and Operations Planning Services; the NOAA Assistant Administrator for Weather Services; the Air Force Director of Weather; the

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Oceanographer of the Navy; the National Aeronautics and Space Administration (NASA) Director of Airspace Systems Program Office; and the Director of the JPDO. If, in the unlikely case this panel is unable to resolve any particular issue, the issue would be elevated to the JPDO Board and/or Senior Policy Committee.

The NEWP is facilitated by the JPDO Weather Working Group co-chairs and the Executive Committee (EC). The JPDO Weather Working Group has Government and Industry co-chairs and the EC is composed of agency leads and industry representatives, as well as the Government and industry co-chairs of the various Weather Working Group teams. (See Figure 1-1.) The Weather Working Group has five standing teams: the System Engineering Team, the Policy Team, the Weather Integration Team, the 4-D Weather Data Cube Team, and a Test/Demo team.

In the summer of 2008, the NEWP agreed on agency roles and responsibilities for Government NextGen activities. The two primary activities are the provision of net-enabled weather information and the application of the weather information in NextGen activities.

- NOAA is the lead for the development and implementation of the provision of net-enabled weather information, with the FAA, DoD, NASA, and Industry playing significant contributing roles.
- FAA is the lead for the integration of net-enabled weather information into air traffic management decision making, with the NOAA, DoD, NASA, and Industry playing significant contributing roles.

Consistent with the responsibility of the designation of “lead,” it is anticipated that NOAA and FAA will provide a significant amount of the funding required for the development and implementation of their respective lead tasks. Other agencies will identify relevant funding or internal programs which would complement the development and implementation activities and agree to allow the “integrated plan” to define tasks and preferred priorities for those particular funds.

The NEWP will approve on a recurring (at least annual) basis this NextGen Weather Plan, which will contain implementation timelines, identification and definition of critical milestones, and updates to the agreed upon roles and responsibilities contained in this document.

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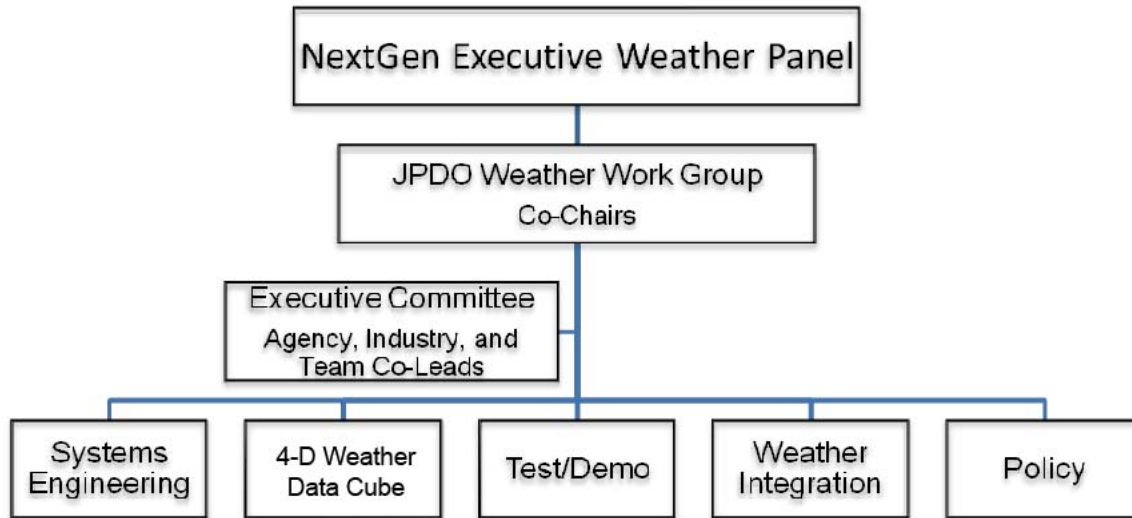


Figure 1-1 NextGen Weather Management and Governance Structure

1.3 Major Data and Information Sources Used

This plan used the following documents as references

- a. Final Report of the Commission on the Future of United States Aerospace Industry
- b. Vision 100 - Century of Aviation Reauthorization Act (P.L.108-176)
- c. Next Generation Air Transportation System (NextGen) Integrated Plan
- d. Next Generation Air Transportation System Weather Concept of Operations v1.0
- e. NextGen ConOps v2.0
- f. NextGen Integrated Work Plan v1.0
- g. NextGen Business Case v1.0
- h. 4-D Weather Functional Requirements for NextGen Air Traffic Management v0.1
- i. NextGen Enterprise Architecture – Draft Weather Segment

1.4 Problem Statement

Today's national air transportation system is susceptible to weather disruptions causing flight delays, the impacts of which can be widespread. Summer thunderstorms or winter storms impacting one hub airport or key transcontinental route can ground aircraft thousands of miles away, further propagating flight delays and cancellations. Weather delays are more than an inconvenience; they cost the nation's airlines, cargo carriers, and corporate and private users in excess of \$4 billion annually. While severe weather will likely continue to prevent airspace and airport access in the immediate vicinity of the event, many delays could be avoided with more proactive ways of dealing with weather throughout the national air transportation system. The current ATM system and supporting decision-making tools are primarily reactive to weather events and are ineffective in implementing the NextGen vision.

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In the current system, weather information is not integrated into the ATM process. Many weather tools are added to ATM systems after the fact and are not integrated well. This requires interpretation by the controller who must manually integrate this information into traffic decisions based on his or her understanding of the information presented. The weather data provided by these systems may not be provided in a manner that is useful in human-made traffic-flow decisions. Automated systems, many of which are designed around fair weather scenarios, must be shut down when significant weather impacts operations. This lack of automated tools during weather events necessitates a cognitive, reactive, inefficient weather-related decision-making process and a meteorological competency on the part of decision makers during the times of greatest need for automated assistance. What results is a manual interpretation of potential weather impacts and perception based largely on experience for the determination of “best data source.”

In some cases, current ATM processes ignore weather forecasts; claiming the weather forecast is not accurate or is inconsistent. Currently, the FAA receives weather information from a collection of diverse, uncoordinated observation, forecast and supporting systems. As a result many ATM systems disregard weather data, or different systems use information from differing sources, which may be inconsistent. Indeed, today a weather system infrastructure that adequately supports the timely and collaborative air transportation decisions does not exist.

There is a need to develop a multiagency, synchronized plan to achieve solutions to these problems. As articulated in the NextGen vision, the solution must enable decision makers to identify areas where and when aircraft can fly safely with weather assimilated into the decision-making process in order to optimize the entire national airspace system. The NextGen Weather Plan provides the initial scope and implementation roadmap to address requirements to achieve the NextGen weather vision. It also addresses agency roles and responsibilities and includes resource requirements.

The NextGen Weather Plan is a first step toward meeting requirement documentation developed by the JPDO and FAA. These documents include the Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management v0.1, released in January 2008, and the soon to be released FAA Performance Requirements. It is important to note that these JPDO and FAA requirements are focused on NextGen end state and not IOC.

1.5 Concept of NextGen Weather

The NextGen Weather Plan is a multiagency, synchronized plan that optimizes resources and creates a synergy that promotes more rapid and effective implementation. The execution of this NextGen Weather Plan will put into place a proactive system better able to address and limit the impact of weather.

In the NextGen Enterprise, weather information, including its future uncertainties, will be integrated into Flight Management Systems, as well as NAS automation and decision-support systems to support safe and more efficient flight and a more proactive reduction of air traffic

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delays by balancing demand with system capacity. This translates into user cost savings and air transportation system efficiencies.

The new paradigm promotes sharing weather information and data. It replaces the use of individual and potentially conflicting weather products with network-enabled common weather information that supports a common situational picture. Enhanced tailored, probabilistic weather information that has been transformed and integrated into NAS automation and decision-support systems enables users and service providers to more precisely identify specific weather impacts on operations (e.g., trajectory management and impacts on specific airframes, arrival/departure planning) to ensure continued safe and efficient flight. NAS automation tools use integrated weather information (including uncertainty), demand information, and other capacity constraints to analyze the integrated information picture. The results of this analysis allow users and service providers to select from among proposed mitigation strategies to balance demand to available capacity, both strategically and tactically. These strategies will be less disruptive (fewer flights rerouted) because the extent and timing of weather-impacted airspace will be more precise, which is a result of enhanced weather availability including enhanced weather observations and forecasts, including probabilities. Automation will exist on both the user side and the Air Navigation Service Provider (ANSP) side, and will be linked to enable automated negotiation of these proposed strategies. The availability of enhanced weather information integrated with automated decision-support tools will be increasingly extended to the cockpit to ensure safety and maintain flight efficiency.

There is also a need to include space weather information in the Cube to meet needs of near-space and space operations. Some aircraft are designed for specific mission operations at flight level (FL) 600 and above. The “near-space” and space operations will continue and expand as NextGen capabilities are developed. Near-space and space aircraft exhibit a wide variance in capability and vehicle performance (e.g., aerostats, medium- and high-speed research and reconnaissance aircraft, suborbital spacecraft, and launching and reentering orbital spacecraft).

1.6 Alternatives Considered for Providing Weather Information

The NextGen Weather ConOps team recognized the need to look at improving the weather information infrastructure before it could be incorporated into the ATM decision-support processes. This section briefly discusses the four weather data architectures considered during the development of the NextGen ConOps.

This background information on the alternative analysis is included to support the alternative selected as described in section 1.7, the decision to develop a new weather data architecture. The emphasis of this discussion is on the Cube itself. The network infrastructure that will distribute this information is considered separately.

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Table 1-1 Alternatives Considered for Providing Weather Information

Alternatives	Description	Feasibility	Ability to deliver NextGen vision
Status quo – do nothing	Maintain separate architectures and costly point-to-point communication solutions. The data are neither deconflicted nor net-centric.	Green	Yellow/Red
Update all systems to a single existing weather system design	Upgrade all of the various weather systems to match one of the existing weather data distribution systems.	Red	Yellow/Red
Develop a new weather data architecture from scratch	Start with a clean sheet of paper and convert the architectures of all agencies to this architecture. This option would meet NextGen requirements, but would be the costliest, involve the most implementation risk, and would unnecessarily replace existing architectures.	Red	Green
Develop a new weather data distribution architecture, leveraging net-centric standard and existing architectures	Leverage net-centric standards and incorporate legacy architectures. This option overcomes the deficiencies of the other alternatives, meets all NextGen goals and requirements, is the most cost effective, and involves acceptable implementation risk.	Green	Green

1.6.1 Status Quo - Do Nothing

The baseline, multiagency weather data processing, access, and dissemination architecture consists of multiple distributed processing systems collecting sensor data and producing value-added analysis and forecast products. Organizations access the data by arranging with managers of weather processing systems and agency telecommunication systems for point-to-point transport of the weather products. Some data such as the Aviation Digital Data Service (ADDS) are also available via access to special web pages. The status quo is an unacceptable option because it involves diverse architectures, technologies, and standards; it does not meet numerous NextGen requirements (e.g., publication/subscription registry, push/pull access, tailored information, and a single authoritative source (SAS) of weather information); and point-to-point

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dissemination is a costly option, resulting in critical information being unavailable to all stakeholders.

1.6.2 Update All Systems to a Single Existing Weather System Design

The second alternative considered is to upgrade all of the various weather systems to match one of the existing weather data distribution systems to meet NextGen needs. This alternative was determined to be problematic, costly, complex, and risky. Here are several examples. The exclusive use of the DoD's virtual Joint Meteorological and Oceanographic (METOC) Data Base (JMDB) to be the NextGen architecture, would involve major (and perhaps insurmountable) data standard issues for non-military users, as well as issues involving multi-mission focus and priorities. ADDS currently makes weather forecasts, analyses, and observations available to aviation stakeholders via the internet; however, ADDS may not meet the reliability, scalability and security for NextGen. NOAA's National Digital Forecast Database (NDFD) is altitude limited (i.e., surface to 5,000 feet) and does not meet the goal of the Commission on the Future of the US Aerospace Industry to provide "high-resolution weather forecasts creating 4-D (space and time) profiles, accurate for up to 6 hours for all atmospheric conditions affecting aviation, including wake vortices."

1.6.3 Develop a New Weather Data Architecture from Scratch

The third alternative considered a new architecture that would start from a clean sheet. It would include centralized weather data processing, publication, and access. This option would meet NextGen requirements, but would be the costliest, involve the most implementation risk, and would unnecessarily replace existing architectures.

1.6.4 Develop a New Weather Data Distribution Architecture, Leveraging Net-Centric Standards and Existing Architectures

The last alternative is to develop a new, weather-data distribution architecture by heavily leveraging net-centric standards and incorporating legacy architectures. By leveraging net-centric data sharing standards such as the Joint METOC Brokering Language (JMBL) and the Open Geospatial Consortium (OGC) standards across multiagency architectures, the views and needs of different communities can be better accommodated. The FAA, NOAA, and DoD were already moving toward this service oriented architecture (SOA). This option overcomes the deficiencies of the other alternatives, meets all NextGen goals and requirements, is the most cost effective, and involves acceptable implementation risk. It also allows for the provision of current regulatory weather products (e.g., convective Significant Meteorological Information [SIGMETs]), while policy is changed to transition the NAS from a "product" to an "information" environment. This option includes distributed data processing, with centralized publication/subscription, using much of the current baseline architecture; and develops a SAS of weather information for collaborative decision making.

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1.7 Recommended Solution

1.7.1 New Infrastructure for Providing Weather Information: 4-D Weather Data Cube

The JPDO Weather Working Group decided to follow the lead of several agencies and selected the fourth alternative - Develop a New Weather Data Architecture, Leveraging Net-Centric Standards and Existing Architectures for implementation. This solution became known as the 4-D Weather Data Cube within the NextGen community and is referred to as the Cube within this document. Section 2 of this document describes the Cube implementation plan with more program specifics found in the appendices. Version 1.0 of this document includes the program plan to meet the Initial Operational Capability (IOC) in 2013. Future versions will include development plans to meet NextGen requirements out through 2025.

The Cube contains all public domain, unclassified, domestic weather information relevant to aviation decision making including human and machine-derived observations (ground, air or space-based), analyses, and forecast products (text, graphic, gridded, machine readable), model information, and climatological data. Foreign, proprietary/private sourced products are also included.

The information in the Cube will be tagged to allow users to access the necessary information required to complete a task (flight planning, reroute, fuel load, etc.). This tagging will also facilitate redundancy and transition from legacy Agency platforms

Cube weather data will be archived as driven by user need and regulations

1.7.1.1 Weather Information Network Functionality

Technologies now exist to develop and provision (e.g., sustain an implementation) the described Cube via a weather information network (WIN). The WIN is an architecture of network-enabled enterprise services that consists of a series of weather collection servers or databases containing weather information from Government and Industry suppliers (e.g., National Weather Service (NWS), other Agency processing facilities, research or laboratories, etc.) that are distributed and networked across multiple locations. The locations are based, in part, on the ability to leverage or optimize current or planned capabilities at these facilities to collect, process, store, archive, and disseminate weather information. The individual (remote) weather information locations together with the WIN comprise the Cube.

In essence, some portion of a “weather network” already exists – facilitated by the Internet – where users “browse” and “request” weather information (e.g., from different NWS Weather Forecast Offices, private vendors. However, the WIN is a much more robust network and contains functionality that partitions access (e.g., for premium commercial services, etc.), allows data search/query and manipulation, facilitates an information “smart push or pull” based on weather thresholds driven by regulation, aircraft performance, user preferences, or other safety critical triggers, archives data as per user need and regulation, etc.

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Such a network facilitates information sharing in broader, more timely and consistent ways among differing groups of users such as the Air and Space Observations Center (AOC), the Traffic Flow Management (TFM), pilots and Air Traffic Control (ATC), applications, and user service or decision-support platforms during each transitional phase of NextGen. The networked information is secure, distributed, and easily expandable to accommodate increased performance requirements (e.g., larger volumes, greater complexity, higher fidelity weather data for automation ingest, low latency information for immediate user response, etc.) or enlarged user base. It enables situational awareness among local and between remote decision makers. It enables collaboration and shortened decision cycles.

Users will have access to information shared in standardized protocols and formats that are universally acceptable with controlled exchange structure and services. This facilitates communication and collaboration across time and space boundaries to achieve common mission objectives. This also supports evolving standards and protocols and is independent of the underlying communications infrastructures.

The Cube will serve and the WIN will enable data access for data domains in which a user or decision-support tool has requested data and the user is authorized to receive that data. This includes the ability to separate, restrict or protect access to certain kinds of information, such as proprietary or private vendor weather data/products (including observations from sensors), or based upon user (pilots, dispatch, controllers, marine, space, etc.) and Agency (DoD, Department of Commerce [DOC], Department of Transportation [DOT], etc.) business rules and service needs.

1.7.1.2 Single Authoritative Source (SAS)

The SAS will be a subset of the weather information provided in the Cube, and will support the civil ANSP's ATM decisions. By IOC there will be a common interface to weather information. The scientific advances such as capabilities for higher resolution of weather information in space and time will support planned improvements in the weather content of the SAS by FOC. The requirements necessary to support changes in the NAS operational capabilities such as trajectory based operations will also drive the evolution of the SAS capabilities to FOC.

The Integrated Weather Plan will identify key decision points in the SAS evolution from IOC to FOC to address weather information consistency, accessibility, and probability. At IOC the SAS capabilities will reduce internal inconsistencies between products; however at IOC it is anticipated that there will be some inconsistencies between products, especially for the legacy products. At FOC the SAS will provide a single, consistent answer in time, space, and among weather elements. At IOC the SAS information will be open and available to all; however some information used to support ANSP's ATM decision will not be in the SAS. At FOC it is envisioned that there will be complete accessibility through network-enabled operations. At IOC very few of the products supporting ANSP's ATM decision-making will be probabilistic; however at FOC it is envisioned that probabilistic information will be predominant.

By FOC the SAS will:

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1. Provide optimal representation of all ANSP-used weather information that is consistent in time, space and among weather elements
2. Be accessible to all
3. Be the source of weather information for the ANSP's ATM decisions
4. Be specified by the ANSP
5. Be supported by the network services typical of the Cube.

The two most important features of the WIN are that it 1) facilitates the development of a source of common weather information that will be provided in the SAS, and 2) facilitates the integration of common weather into aviation decision-support tools and platforms.

1.7.1.2.1 SAS Common Weather

The SAS will be comprised of 4-dimensional datasets of aviation-specific observations, analyses, and forecasts organized by 3-D spatial and time components (x, y, z, t) that extend from the surface to low earth orbit. To enable a common weather operating picture and enhance collaboration, the SAS provides a single value at each grid point that is the “best representation” of a weather element (e.g., wind speed and direction, runway visual range, and turbulence).

The SAS will be a subset of the weather information available from the NextGen network-enabled Cube. Much of this distinct subset is derived from other weather information residing in the Cube and is designed specifically to provide common weather situational awareness, foster improved collaboration among users and ANSPs, and support NextGen decision making. This aviation specific representation of current and future states of the weather is accomplished through methodologies to fuse (or merge) the various observations and forecasts contained in the Cube.

The SAS will contain weather elements that are focused towards all aviation communities; the SAS content is consistent with the time and performance attributes important to operational aviation users and their decision-support tools. The SAS content will include probability of occurrence forecasts for weather parameters determined to improve operational decision making. Although the SAS will be the source of weather information used to produce aviation alerts, advisories, and warnings associated with significant and potentially hazardous changes in the weather, not all regulatory products will be provided in the SAS.

The SAS information will be consistent because it will provide the common reference source of aviation focused weather. SAS information is available to all National Airspace System (NAS) participants via network enabled mechanisms, under open and unrestricted data rights, consistent with contractual agreements.

Because all the weather information is linked via the WIN, techniques can be developed to de-conflict the disparate observations, analyses, and forecasts contained across the Cube to achieve a common current and future state of the atmosphere for NextGen Air Traffic Management decision making.

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1.7.1.2.2 Facilitating Integration: SAS Weather into Operations

Of particular operational importance, the WIN network-enabled enterprise services will prepare and deliver the SAS weather information in a format ready for integration into aviation decision-support systems – a tenet for a successful NextGen implementation. In NextGen, SAS weather information will be integrated into the users’ decision-supports tools that will apply the user’s specific thresholds to alert the user to potentially adverse weather and determine the risk to the user’s operations.

To meet these integration needs, the NextGen Weather ConOps utilizes the SAS concept to create a new way of looking at the role of weather in aviation. The focus of this new paradigm is on common weather information that can be easily understood by users and eventually be integrated with automation tools. This is in contrast to the current use of “products” that are often inconsistent and must be manually interpreted by decision makers. To meet this new paradigm, the NextGen weather domain authority determines the SAS content and eliminates the need for decision makers to choose among potentially competing/conflicting weather “products”. SAS information is quality controlled by the appropriate and respective domain authority(s) and approved for use in ATM decisions and regulatory use; therefore it will be the primary source of weather information used by the Government, as well as by operational participants in joint, collaborative Government/user decision making discussions and processes in NAS operations.

Although the SAS is defined as the foundational source of aviation weather information, it should not be viewed as the sole source for the aviation industry. Industry and aircraft operators are not obligated to use the SAS and may choose to employ other weather information, if they feel it better fits their business model; however, this would be a departure from the collaborative decision making process. As an example, flight and flow management will become increasingly difficult if alternate weather predictions are used for decision making.

The SAS, and its integration into decision-support tools (DST), supports several required NextGen capabilities for capacity management, trajectory management, flow contingency management, separation management and improved surface operations. As an example, for strategic timeframes, common weather supports the assignment of traffic flows that best achieve capacity balance, safety and end user desires. It effectively allows traffic flow plans to be developed based on a consistent analysis of weather as well as the common understanding and uncertainty of the future state of the atmosphere.

As described, the SAS will be a valuable yet crucial tool for NextGen operations. The common weather picture will greatly improve collaboration between users and ANSPs to enhance the ability to apply risk management to reduce the impact of weather on their operations. The SAS will enhance the ability to make unified aviation decisions and manage the strategic and tactical plans required to meet NextGen NAS needs.

1.7.2 Weather Integration

Today, the collection of diverse, uncoordinated weather observations, forecasts and systems does not support the growing need for “information, rather than data” to support timely and

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collaborative air transportation decision making necessary to avoid bad weather. Additionally, the manner and methods of the actual decision-making process involving weather information is not well developed to support the NextGen concept. In the previous section, the initial solution to the Problem Statement described how the Cube will be designed and built to form the set of weather information that NextGen will use. This is the precursor to the second part of the solution to the Problem Statement that necessarily involves what is termed “weather integration.” The Weather Integration Phase, herein referred to as “Integration,” is an overlapping phase in the Weather Plan in which weather information developed in the Cube is used in decision-support tools, models, algorithms, and so forth to achieve one of eight key capabilities established in the NextGen ConOps, “Weather Assimilated into Decision-Making.”

Integration is the action of taking weather information from the Cube and using it in sophisticated decision-support tools that will, among other things, enable trajectory-based operations (TBO) and high-density operations. TBO is a major transformation in NextGen and is the main mechanism for managing air traffic in high-density or high-complexity airspace. A major element in calculating optimum trajectories is knowledge of where key weather phenomena are located, their intensity, their movement, and so on. It is essential to provide precise and accurate prediction of location and timing of potential weather impacts. A lack of weather information puts TBO at significant risk, particularly when convective weather is present. Integration incorporates weather information into the decision-support tools that formulate the most efficient air traffic routing solutions and continually account for inherently dynamic weather phenomena.

Integration also allows NextGen stakeholders to make informed decisions affecting a variety of other operations, such as ground refueling, snow removal, or maintenance activities. As operations models are refined and synchronized with air traffic flow management, they depend more and more on timely and accurate weather information. As the Cube develops, there is a natural progression toward incorporating this weather information into more sophisticated decision-support tools that continue to optimize resources for these operations.

While integration is considered a key phase in the execution of this Plan, there is much work that must be done to understand and develop the tools to actually use the Cube’s information efficiently and effectively. As articulated in the ConOps, NextGen will move toward automation in its pursuit of decision-support tools.

Currently, the FAA is the lead agency charged with developing integration tools. The FAA will look to leverage integration efforts of other agencies where feasible. This JPDO Weather Working Group will incorporate the Weather Integration Plan into the NextGen Weather Plan in 2010.

While the Cube is being developed, the Weather Integration Team will address the issue of assimilating weather into the ATM decision-making process. The team is comprised of experts from the weather community, pilots, air traffic management, airports and other NextGen work groups. They are developing a separate plan for integrating weather into automation and decision-supports systems. The team is reviewing the FAA NGIP, the JPDO NextGen Integrated

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Work Plan (IWP), and other research plans to identify insertion points for integrating weather into operations. The team will work with operators and automation developers to understand their needs and recommend specific, weather-diagnostic tools for integration into operational systems.

1.7.3 Updates to Operational Policies and Procedures

The Cube concept and integration of weather information into the ATM decision-support process introduces three additional areas requiring planning—governance of the Cube, updating existing policies and regulations pertaining to weather requirements for flight safety, and coordinating the research and development for future capabilities to meet NextGen end-state requirements.

A governing body is required to regulate and manage the operational Cube for weather providers and users. The governing body will have the capability to add, delete, or change system locations, content, and functionality as driven by future agency capabilities or as required by future user needs. The governing body will develop policies regarding standards and Cube access requirements.

Current aviation regulations, applications, and services that require weather information will need to be supported. The Cube at IOC will contain weather information from legacy (current) observational and system ground, air, and space-based sources. This will include information from supporting Agency weather radars, weather satellites, aircraft (onboard sensors and pilot reports), weather products (aviation-centric and otherwise) in traditional alpha-numeric forms, surface observations, model outputs, and forecast products. The Cube will also contain weather information from outside of the Continental United States (CONUS).

Section 3 of this document will describe the governance and policy plan. It will be incorporated into the Plan in a future version.

Research and development capabilities need to be defined and ready for transition to the Cube by IOC. Section 4 of this document will describe the coordinated science roadmap to meet these requirements.

1.7.4 Plan to Meet NextGen Requirements Beyond IOC

In order to support NextGen initiatives, the weather information collected and contained in the Cube will change throughout the development of NextGen. The IOC for the Cube will consist, primarily, of current weather data required for flight safety available via a net-centric infrastructure. In future developments, the breadth of weather information within the Cube will expand to include additional sensor, model, or forecast information, including improvements in accuracy, update rate, resolution. The Cube will contain associated analysis and forecast probabilities for user-defined decision-support and other automated tools. Finally, specific weather information for other Agency needs (e.g., fire weather, emergency management, highway transportation) will be incorporated into the Cube as the needs are developed.

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The agencies and industry will need to conduct extensive R&D to meet many of the future NextGen requirements. Section 4 of this document will describe the coordinated science roadmap to meet these requirements. By developing this Plan during the initial IOC development phases, the R&D can be directed to meet future, spiral developments of the Cube and meet integration requirements. Section 4 will be incorporated in a future version of this document.

1.8 Anticipated Benefits and Impact

Many of the Cube benefits directly support the FAA Flight Plan and the capability required to provide increased capacity and margin of safety in the face of large anticipated increases in demand. The FAA Flight Plan for 2009-2013, the strategic plan for the agency, describes near term goals for NextGen, for increased safety, greater capacity, international leadership and organizational excellence. Some of these NextGen capabilities will be dependent on the net-centric access of weather information, improved weather observation and forecasting capabilities, and the development of a common weather picture. These capabilities will also provide cost savings from common weather information tools, and collateral enhancements to non-NextGen programs internal to NOAA and the FAA

1.8.1 Net-Centric Benefits

The Cube enables the point-to-multipoint, networked access of distributed observational and forecast weather information by all NextGen users, service providers, military planners, security personnel, and the flying public. The Cube will enable net-centric access by system users to consistent tactical and strategic level weather information. The Cube will also employ Networked-Enabled Operations (NEO) data management techniques to access information across varied space and time scales. Changes to weather information will be rapidly disseminated and all categories of weather users will have improved access to timely and accurate flight information at their homes, businesses, airports, and in the air to support improved decision making for increased capacity and enhanced safety.

These benefits will drive ancillary improvements in the detection, lead time and provision of weather information. These include, but are not limited to improvements in weather sensing capability (e.g., a more complete, operationally relevant, consistent, and cost effective measurement of the atmosphere), which is required to provide better aviation-tailored analyses and forecasts in time and space, and the universal and common access of that data, information, and knowledge by all users. Aviation driven, high resolution modeling improvements will additionally enable NOAA to produce more accurate public forecasts.

1.8.2 Common Weather

Common weather data, information, and knowledge will enable pilots and aircrews to have shared situational awareness and shared responsibilities with controllers, dispatchers, flight service station specialists, and others, pertaining to safety and efficient preflight, en route, and post flight aviation decisions involving weather. Such common weather information, integrated

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into controller decision-support tools, will improve the efficiency of controller decisions and greatly reduce controller workload during unfavorable weather.

1.8.3 Reduced Costs

The Cube proposed solution eliminates the need for unique interfaces to support access to duplicate weather information. The cube also reduces costs by providing weather data access using common weather data formats and open standards. Reusable weather information access tools, software and documentation greatly streamline software update and change management strategies, and reduce the number of independent communication lines weather data subscribers need.

1.8.4 Multiagency Benefits

In the end-state, the Cube will benefit from complementary agency research and development focused on improved numerical weather prediction, aviation hazard prediction and detection, automated decision rules, and net-centric weather data standards.

NOAA will use the Cube technology to improve access to all NWS products and services via their portion of the Cube. The increased availability of networked weather information supports automated decision-support tools for other agencies and entities beyond FAA.

IT and Data Management enhancements to support the Cube will allow NOAA to establish a virtual repository and access for critical NWS products and services beyond aviation. It also extends the Advanced Weather Interactive Processing System (AWIPS) enterprise services into a “system of systems”. These two enhancements will support Global Earth Observation System of Systems (GEOSS) requirements and enhance continuity of operations for NOAA. Finally, the idea that one could “data mine” information that was tied spatially and temporally to a common datum or frame facilitates truly coordinated decision making between and within Agency users.

2 NEXTGEN 4-D WEATHER DATA CUBE IMPLEMENTATION PLAN, COST ESTIMATE, AND RISKS

2.1 Management Structure Required to Develop and Deliver the 4-D Weather Data Cube

The Weather Working Group Board of Directors established the NextGen 4-D Weather Data Cube Team to coordinate the efforts of the agencies and avoid the duplication of Cube programs. This team consists of NOAA, FAA, DoD (US Airforce and US Navy), and Industry representatives. Under the Cube Team are two sub-teams focusing on specific aspects of the Cube: the Environmental Information (EI) Team and the Information Technology (IT)/Enterprise Services (ITES) Team. The Cube management structure is illustrated in Figure 2.1. While there are agency and industry specific leads for each sub-team, all of the agencies are participating. Appendix E contains a list of agency and industry representatives for each of the teams.

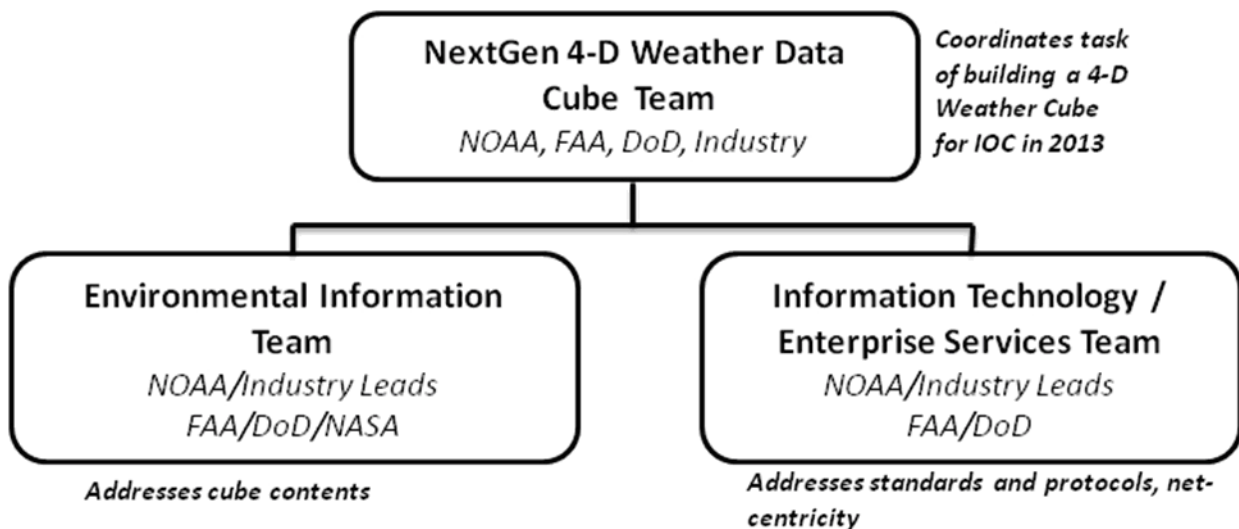


Figure 2.1: 4-D Weather Data Cube Team Management Structure.

For planning purposes there are three main periods for development and implementation of the Cube capability:

- Near Term (2009-2013) – Initial Operational Capability (IOC)
- Mid Term (2011-2018) – Mid-Term Operational Capability (MOC)
- Long Term (2016-2023) - Full Operational Capability (FOC)

The Cube Team developed a Work Breakdown Structure (WBS) for the development and implementation of an IOC Cube for this NextGen Weather Plan, v1.0. It is anticipated that the Cube Team will update the WBS to reflect any new tasks from the JPDO sponsored Weather Integration Plan, the JPDO Net-Centric Division work plan, and the maturity of the NextGen concepts.

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The following sections will cover the overarching assumptions, major WBS tasks and timelines, and the risks involved in developing and implementing the IOC Cube. The detailed tasks outlined in Section 2.5 are found in Appendix C. The estimated costs are found in Appendix D. The public version of the NextGen Weather Plan will not include Government budgetary information due to its pre-decisional status.

2.2 Scope

The initial version of this portion of the Plan will outline the high level tasks that must be completed to develop the operational Cube. These tasks will be allocated to the agencies. Agency budgets and program plans will be compared to see they are commensurate with the level of tasking. Future versions will extend focus onto the integration and governance portion of the Plan.

2.3 Assumptions

Assumptions made for the IOC Cube in this Plan are:

- a. Once the Cube Team develops and delivers requirements, the organizations responsible for obtaining the network infrastructure will ensure that the network infrastructure and throughput necessary to support the sharing of Cube data will be operationally available at IOC.
- b. Agency funding will match this Plan.
- c. Agency Science and Technology roadmaps will align with this Plan.
- d. The NextGen Integrated Work Plan (IWP) will be updated to match this Plan.
- e. The *4-D Weather Functional Requirements for NextGen ATM* document will be validated by the operators and decision makers.
- f. Implementation dates for IOC, MOC and FOC are 2013, 2016, and 2022, respectively.
- g. The Net-Centric Operations Division will define an architectural framework that will be compatible with the architecture being defined by the activities within this plan.
- h. The Net-Centric Operations Division will define an inter-agency security framework with sufficient lead time to be implemented by IOC.

2.4 Methodology

The Cube Team started the Cube planning by requiring all the team members to become familiar with the existing JPDO NextGen documentation as well as their respective agency's or employer's plans for NextGen. Based on this knowledge, the first task was to define IOC for the Cube.

IOC definition and plan

- The Cube Team divided the work between the teams to develop thresholds and objectives
- The Cube Team and sub-team leads developed tasks to meet IOC requirements
- The Cube Team organized the tasks into a WBS along with required management activities into this NextGen Weather Plan (Appendix C)

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- Annual demonstrations and reviews, as well as providing regular updates to the NEWP will provide opportunities to refine this plan
- The EI team focused on the data content for the Cube to include a subset identified as an initial SAS capability
 - o The EI team
 - Compiled a list of products that are either currently in the inventory for the IWP parameters or are well along in the R&D process.
 - Determined the IOC Cube data and product thresholds and objectives based on FAA stated requirements for IOC
 - Threshold - committed to providing that product or dataset in a net-enabled fashion at IOC
 - Objective – data or product will be considered but not promised for IOC or beyond. The reason for leaving a product or data set as Objective may be that it is not sufficiently mature in the R&D process to expect it will be operational at IOC.
 - Determined the data and products needed to meet current regulatory requirements
 - Determined which intermediate products are needed to meet a proposed IOC solution
 - Will review list annually to ensure the right data will be in the Cube to meet the requirements
- The ITES Team focused on the IOC infrastructure
 - o ITES team drafted an IT ConOps based on use cases to help define IOC
 - o Determined the IT thresholds and objectives
 - Threshold
 - Ability to exchange data between systems
 - Respond to requests
 - Support publish and subscribe
 - Objective
 - Support complex retrievals and calculations
 - o Annual reviews and demonstrations will refine these goals over time

2.5 Program Plan

The WBS activities to reach IOC are shown in Figure 2.2. This schedule does not show all activities; lower level activities are rolled up to the first major sub-level. This section will describe the major tasks of the WBS: (1) Program Management, (2) IT Services, (3) Cube Contents, (4) IOC SAS, and (5) IOC. The high level tasks are broken down and described in Appendix C. The schedule of the activities described in Appendix C is shown in Figure 2.3.

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WBS Element	Task Name
1	Program Management
1.1	4-D Weather Data Cube IT Conops
1.2	Operational Requirements
1.3	Governance
1.4	Program Management Plan
1.5	Risk Management Plan
1.6	Configuration Management Plan
1.7	Integrated Science Roadmap
1.8	Definition of IOC Content
1.9	Investment Analysis
2	IT Services
2.1	Architecture
2.2	Services and Format Standards
2.3	Software Development
2.4	Security
2.5	Latency and Performance Analysis
2.6	Efficient XML Technology
2.7	Demonstrations
2.8	Procure-Deploy HW-SW
2.9	OT&E
3	Cube Content
3.1	Element R&D
3.2	Contents Tool Production
3.3	Element Transition to Operations
3.4	Forecast Process
3.5	Verification
4	IOC SAS
4.1	SAS Concept
5	IOC
5.1	IOC

Figure 2.2 High-Level Work Breakdown Structure

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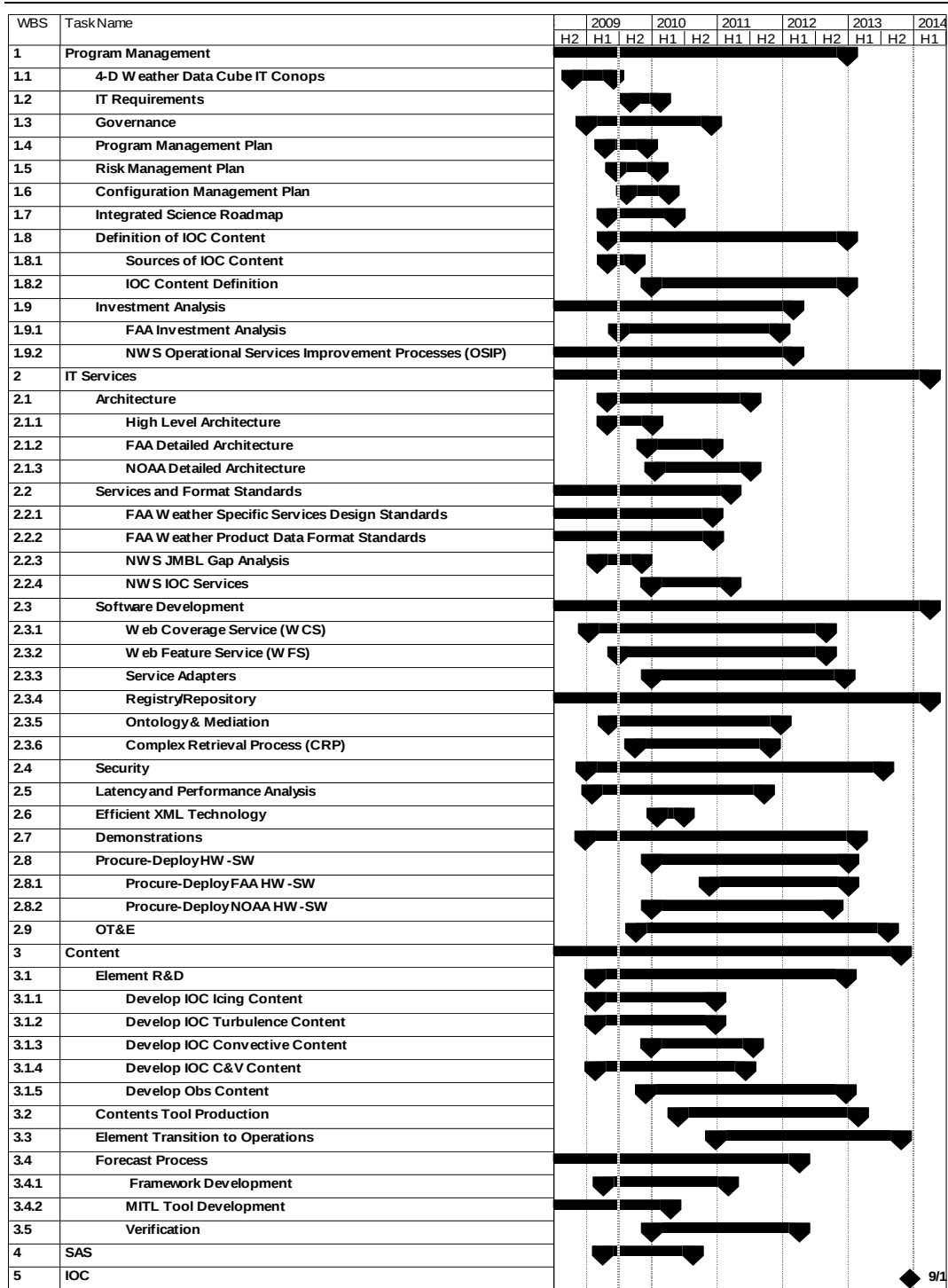


Figure 2.3 4-D Weather Data Cube High Level Schedule

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2.5.1 Program Management

Reference: WBS 1

Description: This task defines the execution of the necessary management activities that support and guide the work. This includes intra-agency coordination, interagency coordination, as well as coordination with other JPDO working groups. The team will ensure agency tasks are aligned with the Plan and there is no duplication between agencies. It includes coordination and oversight of contract staff and all procurement activities.

Year by year objectives are defined in the following:

- FY09
 - Stand-up rigorous program management structure
- FY10
 - Formalize execution processes
 - Develop acquisition strategies
 - Complete supporting management plans
- FY11
 - Interface control documents approved and baselined
- FY12
 - Test plan complete
- FY13
 - Manage deployment and development of follow on capabilities

Deliverables: Deliverables under this task include, but are not limited to, the following:

- i. Quarterly Status reports
- ii. Budget reports
- iii. Budget updates and inputs to the budget planning process
- iv. Tasking for contractors and staff for all sub-tasks
- v. Earned Values reports
- vi. Supporting Management Plans
- vii. OMB Exhibit 300 reports

Agency roles and responsibilities: Each agency will maintain a separate management structure responsible for planning and executing the tasks assigned by this plan. The overarching management structure is governed by the Cube Team, which is chaired by representatives from NOAA, FAA, DoD, and Industry.

2.5.2 IT Services

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Reference: WBS 2

Description: The ITES Team is responsible for the activities within this task. The task will define and deliver the infrastructure necessary for the Cube at IOC. The activities to meet this task include:

Year by year objectives are defined in the following:

- FY09
 - Demonstrate agency data sharing
 - Evaluate agency-specific architecture solutions
 - Develop an IT security plan
 - Define and enhance data and exchange standards
 - Prototype interagency information sharing services
- FY10
 - Demonstrate agency data sharing in a NEO environment
 - Baseline data standards for IOC
 - Develop IT security services
- FY11
 - Finalize IOC architecture and requirements
- FY12
 - Begin building data services infrastructure
- FY13
 - Test and deploy IOC Cube

2.5.3 Cube Content

Reference: WBS 3

Description: The EI Team is responsible for the activities within this task. The task will define and deliver the aviation weather impact variables present at IOC in the Cube. The activities to meet this task include:

Year by year objectives are defined in the following:

- FY09
 - Initiate evaluation of forecast process improvements
 - Provide initial set of NEO weather sources available in proposed Cube formats
- FY10
 - Develop IOC forecast processes
 - Evaluate aviation impact variable research
- FY11

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- Evaluate forecast processes
- FY12
 - Transition aviation weather impact variable research to operations
 - Implement IOC forecast process changes
- FY13
 - IOC Data Sources Available

2.5.4 IOC Single Authoritative Source (SAS)

Reference: WBS 4

Description: The EI Team is responsible for the activities within this task. The task will define and deliver the aviation weather impact variables present at IOC in the Cube and SAS. The activities to meet this task include:

Year by year objectives are defined in the following:

- FY09
 - Produce White Paper and ConOps defining IOC SAS concepts
- FY10
 - Define preliminary SAS business rules
 - Validate SAS requirements with Integration Team
- FY11
 - Evaluate potential SAS data sources
- FY12
 - Develop SAS business rules
- FY13
 - Implement, test and deploy business rules

2.5.5 IOC

Reference: WBS 5

Description: Initial Operational Capability of the 4-D Weather Data Cube will be delivered, having completed all required OT&E and C&A processes. The IOC capability may be regional in coverage, with a limited number of users. Appropriate IT infrastructure will be in place to provide weather information from the Cube to NAS users.

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2.6 Estimated Total Costs

A bottom up approach was used to develop the interagency cost profile outlined in Appendix D. It breaks out the projected costs by task and agency. There is an estimate to complete for each task by agency and a total projected cost break out by agency for each year. Sources for cost estimating included historical data from both FAA and NOAA programs.

The major cost drivers are the modification of current systems to meet the planned Cube IT standards/protocols, enhancing existing infrastructure to better utilize Network Enabled Operations, and meeting the reliability and availability requirements for the Cube.

FAA contributes a proportionately greater share of the costs for WBS Task 2, which defines the IT Services standards, while NOAA contributes a significantly greater share of WBS Task3, which details the generation of the meteorological information for the Cube.

The NOAA cost profiles assume support from base-funded full time equivalents (FTEs). The work done by NOAA base-funded personnel is not captured in the costs as these FTEs are considered a supplemental resource the project can draw on. However, there will be project-funded personnel in FY10 and beyond to take on the full range of management, scientific, and technical issues.

2.7 Major Implementation Risks and Issues

During development of the IOC Program WBS, the Cube Team determined the top four risks for implementation of the Cube by IOC are: sufficient agency funding; timeliness of net enabled capability; regulatory compliance; and accommodating cultural change. The Cube Team will develop a Risk Management Plan to mitigate these and other risks.

The first major implementation risk is whether the agencies will receive the necessary funding to develop the Cube in time to meet the desired IOC date of 2013. The NWS and FAA both have NextGen weather programs in their current budgets that seek to achieve NextGen objectives. To avoid duplication and optimize resources, the Cube Team synchronized the tasks, which are dependent on the other agency completing their tasks, in this Plan. However, budget guidance has not been released for FY10 and beyond. Any cuts to either agency's funding could impact both agencies' efforts and IOC will be delayed. The Cube Team will mitigate this risk by working closely with agency leadership to try to prioritize funding for critical-path weather programs that lead to Cube IOC.

The next major implementation risk is the delay of the net-enabled capability. A key requirement of the Cube is a net-enabled infrastructure with sufficient communication throughput to allow NextGen decision-support tools to query for and receive the weather data needed to make decisions. The NextGen weather concept is completely reliant on the existence of NEO infrastructure and communication with a stated IOC of 2012. The NextGen NEO standards, formats, security policies, and architecture must be in place in time for the development of the Cube to meet IOC in 2013. If the net-centric infrastructure is not available,

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then the Cube cannot feed the NextGen decision-making process. The Weather Working Group has established a working relationship with the JPDO Net-Centric Operations Division to develop a consolidated plan to meet these requirements.

The third risk is not related to the actual development or fielding of the Cube capability, but involves regulatory constraints that affect implementation. Current Federal Aviation Regulations (FAR), International Civil Aviation Organization policies, and DoD regulations must be updated to account for new weather support concepts considered within the NextGen ConOps such as probabilistic forecasts, aviation decision-support tools creating products from weather data, etc. Historically, changes to policy and regulations take considerable time due to the nature of the vetting process. Though many of these issues will not be applicable at IOC, they must be addressed as Cube and SAS information become more widely used in ATM processes. To mitigate the delay associated with this process, the Weather Working Group has reestablished a Policy Team to address these issues, as discussed in section 1.7.3 of this Plan.

A final risk is that needed cultural changes driven by NextGen within each agency will delay implementation and adoption of the Cube. Current agency cultures will need to evolve as NextGen is implemented to embrace the new paradigm. If these cultures do not evolve quickly enough, the agencies could have difficulty implementing aspects of the Cube, and fully integrating it into operations. As the full scope of these needed changes become clear, both in the IOC timeframe and in later phases, the Cube Team will advise the agencies of expected impacts. Each agency must develop suitable implementation plans to and strategies to minimize this risk.

Additional areas for focused risk management will be required for implementation and use of the Cube and the SAS by IOC. Strategic planning along with the development of an agency wide Science Roadmap will ensure digital probabilistic weather information gaps are identified in time to enable development of appropriate strategies to meet the FOC objectives for the Cube and SAS.

3 POLICY, GOVERNANCE, AND STANDARDS

A Policy Team established under the NextGen Weather Working Group is developing policies and governance systems for NextGen weather. The Policy Team proposes the following framework and approach to complete this effort. The following section should be considered preliminary. The policy portion of this plan will be updated in the next iteration of this plan, to be completed by 30 Sept 2009.

A key to the governance for NextGen weather is the establishment of a set of ‘rules’ by which all participants, as described below, must abide. These rules will address system and security requirements, information formats and standards and other architectural details. The rules for different subdomains of the Cube will vary in alignment with information requirements established by operational applications. These rules will comply with appropriate regulations, to the extent possible, and will also attempt to address recommendations by academic and oversight bodies for weather information standards, such as the FAA’s Research, Engineering and Development Advisory Committee (REDAC) and the National Research Council.

Framework

1. All participants in the Cube fall into one or more of the following categories:
 - a. Providers (Publishers) create weather information (both public and private)
 - b. Consumers (Subscribers) access weather information (both public and private)
 - c. Authorities¹ establish the rules that Providers and Consumers abide by and operate infrastructure elements of the Cube that implement/enforce these rules.
2. As a condition of participation, Providers must agree to:
 - a. Follow rules established by the Authorities
 - b. Make Service information available (publish) to Consumers in accordance with these rules.
3. As a condition of participation, Consumers must agree to:
 - a. Follow rules established by the Authorities or Providers
4. Authorities operate within an established governance system which grants them specific authorities with respect to the operation of the Cube and identifies the institutional structure they will use to exercise this authority.

Approach

Within this framework, the Policy Team is chartered to:

1. Define the range of applications of information from within the Cube that place requirements on, and drive rules for the Cube.

¹ The term “Authority,” when capitalized, is used throughout to refer to the category of NextGen weather participants. When not capitalized, the term is used in its common sense. For example, “What authorities will each Authority exercise?” Also note this term is used in a very broad sense. For example, it encompasses bodies that might convene occasionally / intermittently to review / revise Cube standards as well as bodies that might operate 24x7 to execute certain Cube functions.

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2. Formulate the rules² for Providers and Consumers.
3. Determine the number of Authorities needed, the function and scope of responsibilities³ of each, and the governance system each will employ. *Existing mechanisms (i.e., committees, boards, groups, etc.) will be used to the extent feasible to implement these “Authorities.”*

The “rules” for Providers and Consumers and the “responsibilities” for each Authority are likely to change over the life of NextGen. Recognizing this, the following policy maturity model is proposed:

Level I policies are essential to the design / architecture of the Cube.

Level II policies are essential to IOC operations of the Cube.

Level III policies are essential to healthy evolution of the Cube from IOC to FOC.

The Policy Team’s work will proceed through the following steps:

1. Inventory and describe existing models of NEO governance for lessons learned.
2. Inventory and describe a range of applications of information from within the Cube that would drive pub/sub rules for that information.
3. Adapt/develop policies for Providers
 - a. Identify necessary Authorities
 - b. Identify and propose initial “Level I” policies for Providers.
4. Adapt/develop policies for Consumers
 - a. Identify necessary Authorities
 - b. Identify and propose initial “Level I” policies for Consumers
5. Adapt/develop policies for Authorities
 - a. Propose governance system for each Authority
 - b. Develop (initial) governance documents

The Policy Team will sunset in stages as each Authority is established.

The intent is to establish these Authorities with sufficient time for the Authorities (not the Policy Team) to be responsible for Level II and III policies.

These policies will be established by going through the following generic process:

1. IOC team identification of Level I policies.
2. Policy team identifies, describes, and evaluates existing governance models and applications of information to identify best practices for use in Cube governance.
3. Policy team develops recommendations for identified areas.

² Policy Team needed to establish initial rules for Providers and Consumers until Authorities are established and assume this role.

³ For example some Authorities will address data standards, others will address access controls, and others will address content management.

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4. IOC team review and concurrence.
5. NEWP review and concurrence.
6. As appropriate, publication, comment, and approval through standard JPDO publication and review procedures.

High-Level Schedule

Fall 2009:

Identification of best practices for use in Cube governance.

Detailed schedule for policy and governance work reflected in NextGen Weather Work Plan.

June 2010:

Completion of Level I policies for Providers and Consumers. Supports IOC Team with policies that affect design/architecture.

June 2011:

Completion of governance system and initial governance documents for each Authority. Allows a year for approval of governance documents, and establishment of each Authority as a working organization.

June 2012:

Each Authority established and operating.

4 NEXTGEN WEATHER SCIENCE ROADMAP

The NextGen Functional Requirements for weather present a set of daunting research challenges. In order to maximize the research contributions of each agency, we will define a NextGen Science Roadmap that will define science activities by agency by year. The objective of the science roadmap is to align each agency's activities, prevent duplication and identify gaps for further investment. The first phase of the Science Roadmap will be completed by 30 Sept 2009.

5 WEATHER DEMONSTRATION COORDINATION

5.1 Introduction

The JPDO Weather Working Group has established a NextGen Demonstration Coordination Team (also known as the "Demo Team") to help categorize and coordinate the various demonstrations of NextGen weather capabilities. The team has established a charter based on direction from the NextGen Executive Weather Panel, the JPDO Weather Working Group, and the IOC Team. The critical goals and objectives of the team are detailed in section 5.2.

5.2 Team Goals and Objectives

The Demo Team is the "one stop shop" for NextGen weather capability demonstration information. With that in mind, the Demo Team charter establishes several critical goals and objectives as detailed below:

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- Create and Manage a Centralized NextGen Demonstration Inventory – The first critical task of the Demo Team will be to build a formal inventory of weather demonstration information. This will include current and proposed demonstrations from multiple government agencies, specifically FAA, NOAA, NASA, and DOD. It will also include information from private industry and NextGen contributors as deemed appropriate. The content of the demonstration inventory is detailed in section 5.4. The Demo Team will be responsible for managing and keeping the demonstration inventory representative and up-to-date.
- Facilitate Information Sharing – The existence of a complete and comprehensive demonstration inventory will ensure access to information is available to interested parties. Understandably, the level of detail made public may be restricted.
- Assume Demonstration Coordination Guidance Responsibility – A key expectation of the Demo Team is to solicit cooperation and coordination of future demonstrations. The Demo Team will urge agencies and private industry to participate in the demonstration inventory, or to reach out to a Demo Team member to assist with future coordination. For example, this could include contact information of partners working on similar endeavors, or more detailed information on supporting activities.
- Identification of Demonstration Gaps – The Demo Team will monitor the demonstration inventory to identify potential gaps in areas that need exploitation. The Demo Team will evaluate demonstration content and suggest topics for additional work. This process and detail will be determined after the full demonstration inventory is complete.

5.3 Team Organization

The Demo Team has between 15-25 team members from various government agencies and organizations, including FAA, NOAA, NASA, and DOD. Representation is also encouraged from private industry, and JPDO institute members are part of the team. Two government co-leads and one industry co-lead will lead Demo Team activities. Their responsibilities include coordinating executive deliverables, planning and leading meetings, information exchange between members, and communication with leadership.

5.4. The Demonstration Inventory

As stated in Section 5.2.1, one of the key goals of the Weather Demonstration coordination effort is to create and manage a centralized NextGen weather demonstration inventory. This will include both weather community specific demonstrations, as well as NextGen demonstrations that may benefit from the assimilation of weather data.

5.4.1. How Information is Catalogued

Demonstrations will be catalogued into one of four categories. They are defined as follows:

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- **Environmental Information:** These demonstrations focus on the science of observing and forecasting meteorological conditions which meet the demanding functional and performance requirements emerging with NextGen.
- **Information Technology:** These demonstrations focus on the information technology, including communications, used for the dissemination, storage, and access of weather data via service oriented architecture.
- **Interpretation/Decision Support:** These demonstrations focus on how weather observation and forecast information are applied to ATM-Weather Integration.
- **Potential Demonstrations:** This category includes two different types of demonstrations. First, there are potential demonstrations that are currently in the early planning stages and may not be sponsored or funded yet. Additionally, capabilities outlined in the NextGen Weather Plan and in the ATM Weather Integration Plan that are not currently planned for demonstration are considered potential demonstrations.

The demonstration inventory will not contain all details about each specific demonstration. Rather, key information will be included in the inventory, which will provide an overview of the demonstration and can direct parties to the proper points of contact to get more information. The following is a list of demonstration parameters that will be tracked for each demonstration:

- Demonstration Title
- Demonstration Category
- Last Update
- Objective
- Description
- Start and End Dates
- Completed or Uncompleted
- Funding source(s)
- Agency Program
- Performing Organization
- Location
- Weather Phenomena
- Is the output format net enabled
- NextGen Solution Set Addressed
- POC E-mail and Phone Number

5.4.2. Update Frequency

Demonstration planning is a constantly evolving process. For this reason, the demonstration inventory will be continually updated, whenever new information is available. This will require effective communication between programs that plan demonstrations and Demo Team members who are tracking these efforts.

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5.5. Outreach

To facilitate the information exchange described in the previous section, a coordinated outreach effort to many communities of interest is necessary. Relationships must be built between Demo Team members and the agencies, programs, and industry partners who are performing these demonstrations. The Demo Team will outreach using such methods as letters, surveys, and participation in public forums. The connections built through this outreach will also be used to aid in the coordination of future demonstrations between potential partners.

5.6. Weather Integration in Non-Weather Demonstrations

In NextGen, the effects of weather, including space weather, will impact the envisioned improved operational capability. Unfortunately, such effects have not been identified as an element within many non-weather demonstrations or proof of concepts.

5.6.1 Non-Weather Demonstrations

There are several on-going or planned non-weather demonstrations (e.g., Optimized Profile Descents (OPD), Staffed Virtual Towers, etc.) designed to test and validate early or near-term NextGen desired capabilities. Furthermore, there are other on-going activities that are still emerging from the research world (e.g., Re-route Impact Assessment (RRIA), System Enhancement for Versatile Electronic Negotiation (SEVEN), etc.). The inclusion of weather information has not been identified.

5.6.2 Demo Team Strategy

The Demo Team and operational communities, including the users, will work together to identify other non-weather demonstrations whose envisioned outcomes can be affected by weather. Opportunities for collaborative or supporting (weather) demonstrations allows the weather community to design the functionality (e.g., net-centric weather access and availability) to make the required weather information available, in the necessary fidelities, to support NextGen operations.

5.6.3 Demo Team Support

The Demo Team will support weather integration into non-weather demonstrations by facilitating awareness of such activities to the weather community via the demonstration inventory database. The team will suggest alignments for on-going or planned weather demonstrations with likely non-weather demonstrations to help quantify supporting roles of weather and more importantly, to identify possible gaps in weather demonstration capabilities. This includes a high-level approach for alignment or ‘best fit’ as well as methodologies for gap identification. Additionally, the team will serve as outreach to coordinate and provide status on all the demonstration initiatives, status, alignments, and gaps. As can be seen by the required scope of this effort, the Demo Team may assume a larger role if warranted.

This section highlights the vast scope of demonstrations needed that are expected to make NextGen a reality. It also gives a perspective on the work to be done somewhere between the

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current scope of the Demo Team and the integration activities to be done by the agencies and the industry partners.

5.7. Team Scope

A multi-agency team, with representatives from the private sector, must make important assumptions about the scope of the Demo Team work. The goals and objectives are clearly stated above, but several key clarifications are necessary:

- The Demo Team **will** work as an “honest broker” in situations where agency or private sector interests are at stake
- The Demo Team **will not** authorize demonstrations
- The Demo Team **will not** be involved in allocating resources
- The Demo Team **will not** suggest industry partners

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APPENDIX A. ACRONYMS

Acronym/Abbreviation	Definition
4-D Wx Data Cube	Four Dimensional Weather Data Cube
4-D Wx SAS	Four Dimensional Weather Single Authoritative Source
ADDS	Aviation Digital Display System
AIRMET	Airman's Meteorological Advisories
AMS	Acquisition Management Strategy (FAA)
AMS	American Meteorological Society
ANC	AutoNowCaster
ANSP	Air Navigation Service Provider
AOC	Air and Space Operations Center
ASOS	Automated Surface Observing System
ATC	Air Traffic Control
ATM	Air Traffic Management
ATO	Air Traffic Organization (FAA)
AWC	Aviation Weather Center
AWIPS	Advanced Weather Interactive Processing System
AWOS	Automated Weather Observing System
AWRP	Aviation Weather Research Program (FAA)
C&V	Ceiling and Visibility
CCFP	Collaborative Convective Forecast Product
CDM	Collaborative Decision Making
CIP/FIP	Current/Forecast Icing Potential
CIWS	Corridor Integrated Weather System
CMP	Configuration Management Plan
COI	Community of Interest
ConOps	Concept of Operations
CONUS	Continental United States
CoSPA	Consolidated Storm Prediction for Aviation
CRP	Complex Retrieval Process
CSDGM	Content Standard for Digital Geospatial Metadata
DOC	Department of Commerce
DOD	Department of Defense
DODAF	DOD Architecture Framework
DOT	Department of Transportation
DST	Decision-support Tool
EA	Enterprise Architecture
ebXML	Electronic Business using XML
EC	Executive Committee
EI	Environmental Information
ES	Enterprise Service
EXI	Efficient XML Interchange
FAA	Federal Aviation Administration
FAR	Federal Aviation Regulation
FEAF	Federal Enterprise Architecture Framework
FGDC	Federal Geographic Data Committee
FOC	Full Operational Capability
FTE	Full-Time Equivalents
G-AIRMET	Graphical AIRMET
GEOSS	Global Earth Observation System of Systems
GIG	Global Information Grid
GOES-R	Geostationary Operational Environmental Satellite – Series R
GTG	Graphical Turbulence Guidance
IC4D	Interactive Calibration in Four Dimensions

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Acronym/Abbreviation	Definition
ICAO	International Civil Aviation Organization
IOC	Initial Operating Capability
ISO	International Organization for Standardization
IT	Information Technology
ITES	Information Technology/Enterprise Services
IWP	Integrated Work Plan
JMBL	Joint METOC Broker Language
JMCDM	Joint METOC Conceptual Data Model
JMDB	Joint METOC Data Base
JPDO	Joint Planning and Development Office
LAMP	Localized Aviation Model Output Statistics
MDL	Meteorological Development Laboratory
METOC	Meteorology and Oceanography
MITL	Meteorologist-In-The-Loop
MOC	Mid-Term Operational Capability
MOS	Model Output Statistics
MOTL	Meteorologist-Over-The-Loop
NAS	National Airspace System
NASA	National Aeronautics and Space Administration
NCV	National Ceiling & Visibility
NDFD	National Digital Forecast Database
NEO	Net Enabled Operations
NEVS	Network-Enabled Verification Service
NEWP	NextGen Executive Weather Panel
NEXRAD	Next Generation Radar
NextGen	Next Generation Air Transportation System
NNEW	NextGen Net-Enabled Weather
NOAA	National Oceanic and Atmospheric Administration
NPOESS	National Polar-orbiting Operational Environmental Satellite System
NWEC	NextGen Weather Evaluation Capability
NWP	Numerical Weather Prediction
NWS	National Weather Service
OASIS	Organization for the Advancement of Structured Information Standards
OGC	Open Geospatial Consortium
OI	Operational Improvement
OMB	Office of Management & Budget
OSIP	Operations Services Improvement Process
OT&E	Operational Test & Evaluation
PIREPS	Pilot Reports
PMP	Program Management Plan
PNT	Position, Navigation, Timing Services
PPBES	Planning, Programming, Budgeting and Execution System
QA/QC	Quality Assurance/Quality Control
QICP	Qualified Internet Communications Provider
R&D	Research and Development
RMP	Risk Management Plan
SAS	Single Authoritative Source
SIGMETS	Significant Meteorological Information
SOA	Service Oriented Architecture
SOAP	Simple Object Access Protocol
SPC	JPDO Senior Policy Committee
STD	Standard
SWIM	System-Wide Information Management
TAF	Terminal Area Forecast
TDWR	Terminal Doppler Weather Radar
TFM	Traffic Flow Management

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Acronym/Abbreviation	Definition
USAF	US Air Force
USN	US Navy
WAFC	World Area Forecast Center
WAFS	World Area Forecast System
WBS	Work Breakdown Structure
WCS	Web Coverage Service
WFS	Web Feature Service
Wx	Weather
XML	Extensible Markup Language

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APPENDIX B. LEXICON

VERBS

Term	Definition
Accept	To receive or contain.
Acquire	To come into the possession of something concrete or abstract.
Analyze	To examine carefully and in detail so as to identify causes, key factors, possible results, and so on.
Archive	To place or store in an archive; see definition under Nouns.
Assimilate	To become absorbed or incorporated into the system.
Average	To find an average value for (a variable quantity); reduce to a mean.
Backup	To make copies of weather information to protect against loss of needed data.
Calculate	To determine or ascertain by mathematical methods; compute.
Catalog	To accept measurements of atmospheric conditions and associate the time and location of the observation with the measurement data.
Collect	To bring together in a group or mass; gather.
Compare	To examine in order to note the similarities or differences of.
Derive	To arrive at by reasoning; deduce or infer.
Detect	To discover or ascertain the existence, presence, or fact of.
Determine	To establish or ascertain definitely, as after consideration, investigation, or calculation.
Forecast	To estimate or calculate in advance, especially to predict (weather conditions) by analysis of meteorological data.
Fuse	To unite or blend into a whole, as if by melting together.
Generate	To produce something according to an algorithm or program or set of rules.
Impact	To affect aviation in either a positive (e.g., tail wind) or negative (e.g., hazardous weather) manner.
Ingest (Weather)	To take in weather data (or product) for the purpose of integration and/or processing.
Initialize	To set (as a computer program counter) to a starting position, value, or configuration.
Integrate	To make into a whole by bringing all parts together; unify. To make part of a larger unit.
Interpolate	To estimate mathematically the value of a weather parameter in between two known values on a grid.
Interpret	To determine or ascertain something in understandable terms from that which is not intuitively obvious (e.g., possible limit on who can perform—for weather, a meteorologist).
Issue	To put forth or distribute, usually officially.
Manage	To handle, direct, govern, or control in action or use.
Measure	To ascertain the extent, dimensions, quantity, capacity, etc., of, especially by comparison with a standard.
Merge	To combine or unite into a single enterprise, organization, body, etc.
Observe	To watch, view, or note for a scientific, official, or other special purpose.
Observe (Detect)	To evaluate or measure, by human or automated means, one or more meteorological parameters (e.g., temperature, wind speed/direction, visibility, precipitation) that describe the state of the atmosphere either at the Earth's surface or aloft.
Overlay	To superimpose one or more images over a common background.
Perform	To carry out; execute; do.
Predict	To determine 4-D state of an atmospheric parameter(s).
Prepare	To manufacture, compound, or compose.
Present	To convey information.
Process	To handle (e.g., papers, records) by systematically organizing them, recording or making notations on them, following up with appropriate action, or the like.
Protect	To secure or preserve against encroachment, infringement, restriction, or violation.
Provide	To make available; furnish.
Publish	To issue (as, a product) for public distribution or access. The act of publishing often includes the addition or updating of an entry in a data directory or catalog that is accessible to the user

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Term	Definition
	community. Such directory or catalog entries publicize the availability of data to subscribers or other potential users, and occasionally even instruct them on where the product can be acquired.
Quality Control (QC)	To use a system for verifying and maintaining a desired level of quality in a product or process by careful planning, use of proper equipment, continued inspection, and corrective action as required.
Quantify	To express as a number or measure or quantity.
Receive	To have delivered or brought to one.
Refine	To improve the result by increasing product resolution or accuracy, or increasing product detail.
Reformat	To reshape, resize, or alter the form or appearance of data or product.
Request	To inquire for (information).
Request/Reply	To ask for an unscheduled weather product (or information) and receive it in a timely manner.
Respond	To act in return or in answer.
Retain	To store or archive for future use. (Note: see definition of "store" or "archive" for amount of time retained.)
Retrieve	To locate and read (data) from storage.
Run	To process, refine, manufacture, or subject to an analysis or treatment.
Select	To choose or make a choice.
Standardize	To establish agreed-on criteria or values governing the accepted use of data or information.
Store	To copy (data) into memory or onto a storage device (short trend as opposed to archiving).
Store (Weather)	To retain for short time (< 2 days) for purpose of enhancing interpretation (e.g., weather image looping, trending).
Subscribe	To establish an ongoing agreement whereby the user (subscriber) receives one copy of each edition or version of one or more data products, or receives one version of a data product at some agreed-on frequency.
Sum	To combine into an aggregate or total.
Support	To maintain (e.g., a person, family, establishment, institution) by supplying with things necessary to existence.
Tailor	To adapt product or information output for a particular use.
Update	To incorporate new or more accurate information in, for example, a database, program, or procedure.
Verify	To determine the accuracy of a weather forecast by comparing the predicted weather with the observed weather of the forecast period.

NOUNS AND OTHER TERMS

Term	Definition
4-Dimensional State (4-D) (Weather)	In the context of weather, the three dimensions of space, plus that of time (forecast value of a parameter in the future).
4-Dimensional Weather Data Cube (4-D Wx Data Cube)	All unclassified weather information used directly and indirectly for aviation decisions. It contains all relevant aviation weather information formed from the collection of observations, automated gridded products, models, climatological data, and human forecasters from public and private sources. The Cube is composed of text products, graphic products, and machine-readable products. It contains products in the public domain and products that are proprietary. The Cube is a virtual repository of weather information housed in various locations which contains domestic and nondomestic weather information. The production of the Cube and its utilization by NAS users' applications operationally is the essence of NextGen weather capabilities.

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Term	Definition
4-Dimensional Weather Single Authoritative Source (4-D Wx SAS)	Single, standardized source of a parameter of weather information used for making air transportation management decisions. The source is designated by the domain authority as the authoritative source so that service providers and users will access common weather information for making air traffic management (ATM) decisions. Each type of information can have its own authoritative source. For example, information taken directly from a numerical model may be the authoritative source for forecast winds and temperatures, whereas airport wind and temperature sensors may be the authoritative sources for current terminal winds and temperatures. The authoritative source provides the information used by default. Users <i>other than ATM</i> personnel may occasionally decide to use information other than that from the authoritative source (e.g., an airline may use a forecast from its own meteorology department); however, in so doing, they are aware of the authoritative source and they are opting to override and deviate from the default. The 4-D Wx SAS data are in the public domain and available to all users.
Accuracy	Ability of a measurement to match the actual value of the quantity being measured.
Advisory (Weather)	Abbreviated plain-language product or a statement from a federal actor concerning the occurrence or expected occurrence of weather phenomena that may be hazardous or that may affect the safety of aircraft operations.
Air Traffic Management (ATM)	Dynamic, integrated management of air traffic and airspace—safely, economically, and efficiently—through the provision of facilities and seamless services in collaboration with all parties.
Analysis	Projection of the current state of the atmosphere (or any system) as known from a finite set of imperfect, irregularly distributed observations onto a regular grid, or to represent the atmospheric state by the amplitude of standard mathematical functions.
Availability	Readiness for use; that is, degree to which a system, subsystem, or equipment is operable and in a committable state for a mission or purpose. The proportion of time a system is in a functioning condition. Typical availability objectives are specified either in decimal fractions, such as 0.9998, or sometimes in a logarithmic unit called “nines,” which corresponds roughly to a number of nines following the decimal point, such as “five nines” for 0.99999 availability.
Climatology	Thorough, quantitative descriptions of climate, particularly with reference to tables and charts that show characteristic values of weather parameters at a station or over an area. In this paper, we often refer to aeronautical climatology, which is the application of the data and techniques of climatology to aviation meteorological problems.
Common Operating Picture (COP)	Single identical collection of relevant information shared by more than one command. A COP facilitates collaborative planning and helps all echelons to achieve situational awareness.
Consistency	Coherent representation of the physical atmosphere.
Critical Service	Function or service which, if lost, would prevent the National Airspace System (NAS) from exercising safe separation and control over aircraft.
Data (Generic)	Facts, concepts, or instructions represented in a formalized manner suitable for communication, interpretation, or processing by human or automated means.
Data (Model)	Output from a weather computer model (e.g., analyses and forecasts of weather parameters).
Data (Weather)	Data (weather) acquired directly from a sensor (or observed by a human) that has had minimal processing, only formatting or QC; can be in human-readable (text) form or can require additional processing (e.g., radar imagery, gridded data) to be useful.
Data (Weather) (Historical)	Archived weather data that relates characteristic values for meteorological parameters with respect to a particular time (day or hour), place, and season, as opposed to (and distinct from) climatology, which represents an average over time.
Data (Weather) (Trend)	Recent weather data (observations) or weather products arranged/displayed chronologically for viewing to readily discern patterns or behavior
Decision-support Tool (DST)	Tool that incorporates observations, forecasts, model/algorithm data, and climatology, including surface observations and weather aloft, to allow full integration of weather into traffic flow decision-making.
Diagnosis	See entry entitled “Analysis.”
Digital	Expressed in numerical form, especially for use by a computer.

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Term	Definition
Domain Authority	Lead office or position sanctioned to define the authoritative weather parameter data sources for a given domain, such as the continental United States (CONUS) atmospheric, European atmospheric, or space domains. To prevent potentially conflicting weather information from being provided to various decision-makers (within the ATM community), the domain authority defines and implements clear operating rules for determining the data source to be used for a given time, location, and application. The domain authority will implement operating rules, which could change with time (e.g., seasonally or during phases of the solar cycle) and with model upgrades. Examples include selecting the numerical model or ensemble with the best performance statistics for a given location and season. The end goal is to ensure that all decision-makers who request weather information from the 4-D Wx SAS for a similar location, time, and application receive consistent information.
Efficiency	Ratio of the effective or useful output to the total input in any system.
Essential Service	Function or service that, if lost, would reduce the capability of the NAS to exercise safe separation and control over aircraft.
Flexibility	Ability to change (or be changed) to fit changed circumstances.
Forecast	Prediction of the future state of the atmosphere, with specific reference to one or more associated meteorological parameters.
Forecast (Deterministic)	Forecast governed by and predictable in terms of definite laws (e.g., dynamic equations), with the notion that there is at any instant exactly one future outcome (forecast). A deterministic forecast might be based on one specific outcome of a numerical weather prediction model (versus a probabilistic forecast, which might be based on an ensemble member set).
Forecast (Probabilistic)	Forecast arrived at using stochastic processes and represented in probabilistic terms, such as in a probability density function (i.e., a statistical function that shows how the density of possible observations or forecasts in a population is distributed) or a probability distribution function (i.e., a mathematical description of a random variable in terms of its admissible values and the probability associated, in an appropriate sense, with each value). In the field of numerical weather prediction, probabilistic forecasts are often arrived at based on evaluations or analyses of model ensembles.
Forecast Confidence	Confidence in a forecast, which is sometimes inferred or provided by ensemble forecasts, where a model run, in which members diverge, often corresponds to a lower forecast certainty, whereas member convergence implies or indicates a higher forecast certainty.
Function (System Engineering)	Characteristic action or activity that must be performed to achieve a desired objective or stakeholder need.
Geo-Referenced	Defined or specified in physical space. Related (e.g., via one or more coordinate systems or map projections) to a position relative to the Earth. In a geographic information system (GIS), a system for capturing, storing, analyzing, and managing data and associated attributes that are spatially referenced to the Earth. The association of geophysical data (e.g., image data or grid points) to some geographic control framework (e.g., specifying the location by its latitude, longitude, and altitude above mean sea level).
Geosynchronous	Any equatorial satellite with an orbital velocity equal to the rotational velocity of the Earth. The net effect is that the satellite is virtually motionless with respect to an observer on the ground.
Impact	Effect of weather on NAS safety or capacity.
Input (Weather)	Weather data (usually formatted) "ingested" by an algorithm or computer model.
Latency	In information processing and dissemination, the time required for an event to occur. This event might be attributed to database access times, computer processing times, and network or communication lags (e.g., time taken for a data packet to be sent by an application and received by another application). Such events might include, for example— 1. The time elapsed between the moment a user requests a product and the moment the product is delivered to that user's system. 2. The time elapsed between the moment a new product is available on some central server and the moment that a subscriber of that product receives a complete copy of that product. 3. The time elapsed between the moment a central database is updated and the moment a user is informed that new information is available. 4. The time elapsed between the moment an observation is taken by a sensor system (e.g., a surface observation or a weather radar) and the moment that observation is available to a user.

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Term	Definition
Machine-Readable	Suitable for feeding directly into a computer. Information encoded in a form that can be read (i.e., scanned/sensed) by a machine/computer and interpreted by the machine's hardware and/or software. Common machine-readable data storage and data transmission technologies are processing waveforms, optical character recognition (OCR), and barcodes.
Meta Tag	Commonly used to describe the contents of a Web page. May be either description or keyword meta tag. Most search engines use this data when adding pages to their search index.
Metadata	Structured information that describes, explains, locates, or otherwise makes it easier to retrieve, use, or manage an information resource. Metadata is often called "data about data."
Model (Weather)	Description or analogy used to help visualize something that cannot be observed directly (generic). For Weather—See entry entitled "Weather Model."
National Airspace System (NAS)	Common network of U.S. airspace; air navigation facilities, equipment and services, airports, or landing areas; aeronautical charts, information, and services; rules, regulations, and procedures; technical information; and manpower and material. Included are system components shared jointly with the military.
Need	User stated capability necessary to accomplish a task or mission.
Network-Enabled Operations (NEO)	Decision-support and other applications using NEI for information transfer and retrieval.
Operational Decision-maker	Within FAA, an air traffic controller, traffic management specialist, or flight service specialist. Outside the FAA, a pilot or dispatcher.
Output	Products or information from a computer (e.g., weather model, algorithm) generated for dissemination.
Position	Position represents the location of an object in space. Position can be represented via coordinates (e.g., xyz, for East-West, North-South, and altitude) or via various GIS representations (e.g., shape files).
Predictability	Extent to which future states of a system may be predicted based on knowledge of current and past states of the system. Because knowledge of the system's past and current states is often imperfect, as are models that use this knowledge to produce a prediction, predictability is inherently limited. Even with arbitrarily accurate models and observations, limits to the predictability of a physical system may still exist.
Private Weather Providers	Business organizations that supply weather information, generally for a profit. Such organizations are outside the public (government) and voluntary sectors. Weather information from such private weather providers may occasionally have restrictions on access, use, and redistribution.
Probability Forecast	A forecast containing the likelihood of the occurrence of a specific event during a specific time interval at a specific location.
Process (Weather)	Series of actions or functions (e.g., data assimilation, analysis, and product generation).
Product (Weather)	Output tailored for use by a meteorologist or a decision-maker; may not require meteorological training to interpret.
Reliability	See Statistical Reliability.
Representative	Serving as a typical or characteristic example.
Requirement (Weather)	Weather need that has undergone a validation process, such a requirement to support a FAA decision-maker function.
Resolution	1. Degree to which nearly equal values of a quantity can be discriminated. 2. Smallest measurable change in a quantity. 3. Least value of a measured quantity that can be distinguished. 4. Formal inference rule permitting computer programs to reason logically.
Resolution (Spatial)	Degree to which nearly equal values of a quantity can be discriminated in space. The smallest measurable change in a quantity. The least value of a measured quantity that can be distinguished. For gridded products, the distance between two grid points (e.g., in the horizontal and vertical dimensions).
Resolution (Time)	Degree to which nearly equal values of a quantity can be discriminated in time. The smallest measurable change in a quantity. The least value of a measured quantity that can be distinguished. For gridded products, the temporal difference (e.g., in seconds or hours) between two successive grids.

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Term	Definition
Risk (in relation to vulnerability, threat probability, and impact)	Concept that denotes a potential negative impact to an asset or some characteristic of value that may arise from some present process or future event. The probability of a known or plausible harm or loss. The possibility of an event occurring that will have an impact on the achievement of objectives. Risk is measured in terms of impact and likelihood. For large information technology systems, there are potentially numerous risks. A few examples of risk areas are security threats (e.g., system intrusion, malicious software, denial of service), physical or facilities risks (e.g., related to power, environmental controls, physical access), maintainability risks (e.g., availability of hardware equipment replacement parts, software maintenance or operator support), and business/administrative risks (e.g., organizational and management support for system functions).
Service Provider	For FAA, an air traffic controller, traffic management specialist, or flight service specialist; also meteorological personnel providing aviation support (government or vendor).
Statistical Reliability	Consistency of a set of measurements or forecasts. The extent to which the forecasts remain consistent over repeated simulations with permuted initial conditions or with variable (plausible) model physics. Reliability does not necessarily imply validity. That is, a reliable measure is measuring something consistently, but not necessarily what it is supposed to be measuring. The expected reliability of weather forecasts shows drastic variations depending on the daily flow configuration. On certain days, a 10-day forecast might have highly predictable features in it; on other days, a 3-day forecast might have features that have very little or no predictability. Ensemble forecasts can identify at the time a forecast is prepared how much predictability a particular weather feature has, given the initial uncertainty in the analysis and the time evolution of the possible atmospheric states up to a particular lead time of interest.
Sufficiency	Quantity (enough) or quality of data for processing leading to product generation.
Threshold	Value that, when passed, from below or above, initiates or limits an action For example, if a temperature goes above a preset threshold level, it might trigger an automated surface observing system [ASOS] to make a special observation; or if the RVR goes below a preset threshold level, a pilot may not be able to land at a specific airport.
Time	Value in the non-spatial dimension associated with an event. The non-spatial dimension describes a continuum in which events occur in apparently irreversible succession from the past through the present to the future. Time is used to synchronize activities throughout the NextGen.
Time Referenced	Associated with a particular moment in time or a time period. For example, temperature analysis grid valid at 1200 UTC on a particular day; radar image time valid over a 1-minute period between 1200 and 1201 UTC; model forecast temperature grid valid at 48 hours in the future (relative to a reference time).
User	Service provider or end user that needs weather product(s) or information to perform an aviation-related function.
User Access	User's ability to communicate with, especially by computer, products, information, and other users.
Warning	Issued when a hazardous weather or hydrologic event is occurring, is imminent, or has a very high probability of occurring. A warning is used for conditions posing a threat to life or property.
Watch	Issued when the risk of a hazardous weather or hydrologic event has increased significantly, but its occurrence, location, and/or timing are still uncertain. It is intended to provide enough lead time so that those who need to set their plans in motion can do so.
Weather	Category of individual and combined atmospheric phenomena that must be drawn on to describe the local atmospheric conditions at a specific time.
Weather Data	See entry entitled "Data (Weather)."
Weather Element	Any one of the observable properties of the atmosphere (i.e., temperature, humidity, precipitation), which together specify the physical state of weather or climate at a given place for any particular moment or period of time
Weather Information (classified)	Data withheld (i.e., of strictly limited availability and distribution) for national security reasons.

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Term	Definition
Weather Information (unclassified)	4-D Wx Data Cube data (used directly and indirectly for aviation decisions) that is not classified. Note that this includes freely available public domain weather information, as well as data restricted for reasons other than a classified rating (e.g., regulatory or proprietary information).
Weather Information (proprietary)	Information over which private ownership and control are exercised. This information may still be in the 4-D Wx Data Cube, but in general, access to it and/or its dissemination is controlled.
Weather Information (public domain)	Weather information in the 4-D Wx Data Cube whose access is unrestricted. This includes information whose visibility and use is not limited because it is unclassified, proprietary, or restricted regulatory.
Weather Model	Software program or application that uses algorithms generally used for numerical weather diagnosis and prediction (e.g., RUC, AVN, ETA).
World Area Forecast System (WAFS)	Program developed by the International Civil Aviation Organization (ICAO) and the World Meteorological Organization (WMO) to improve the quality and consistency of en route guidance provided for international aircraft operations. Currently, two World Area Forecast Centers (WAFS), one in the United States and one in the United Kingdom, are providing en route wind and temperature forecasts and some significant weather charts. In the final phase of the WAFS, en route significant weather forecasting responsibilities also will be fully transferred to the two WAFSs. WAFS-Washington is responsible for satellite data broadcasts to the Americas, the Atlantic, the Pacific, and Eastern Asia Backup. WAFS-London is responsible for broadcasts to Europe, Africa, and western Asia.

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**APPENDIX C. DETAILED PROGRAM PLAN FOR 4-D WEATHER DATA CUBE
INITIAL OPERATIONAL CAPABILITY**

1. Program Management

Reference: WBS 1

Description: This task defines the execution of the necessary management activities that support and guide the WBS. This includes coordination within and interagency coordination, as well as coordination with other JPDO working groups. The team will ensure agency tasks are aligned with the plan and there is no duplication between agencies. It includes the coordination and oversight of contract staff and all procurement activities.

Objectives

- FY09
 - Stand-up rigorous program management structure
- FY10
 - Formalize execution processes
 - Develop acquisition strategies
 - Complete supporting management plans
- FY11
 - Interface control documents approved and base-lined
- FY12
 - Test plan complete
- FY13
 - Manage deployment and development of follow on capabilities

Time frame: Ongoing

Deliverables: Deliverables under this task include, but are not limited to, the following:

- viii. Quarterly Status reports
- ix. Budget reports
- x. Budget updates and inputs to the budget planning process
- xi. Tasking for contractors and staff for all sub-tasks
- xii. Earned Values reports.
- xiii. Supporting Management Plans

Agency roles and responsibilities: Each agency will maintain a separate management structure responsible for planning and executing the tasks assigned by this plan. The overarching management structure is governed by the Cube Team, which is chaired by representatives from NOAA, FAA, DoD and Industry.

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1.1 4-D Weather Data Cube IT Conops

Reference: WBS 1.1

Description: This document shall describe Information Technology (IT) aspects of the operation of the Cube, including the functional portion known as the Single Authoritative Source (SAS) for ATM decision-making. These aspects include but are not limited to synchronization of distributed data sets, insertion and extraction services with respect to the Cube and the SAS, management of its metadata, domain authority methods including that which allows Meteorologists in the Loop (MITL)/Meteorologists over the Loop (MOTL) (see Appendix C, section 3.4.2), flexibility to accept new data sets, quality control operations, operational security, performance and IT Security requirements, archiving requirements, and performance management.

Timeframes: July 2008 – December 2009

Inputs: Concept of Operations for the Next Generation Air Transportation System, version 2.0

Deliverables: 1) Final 4-D Weather Data Cube IT ConOps, 2) Adjudicated list of comments and questions from the previous iterations with action taken and reason that action was taken.

Exit Criteria: Completion of the Final 4-D Weather Data Cube IT ConOps and publication on the JPDO website.

Agency Roles and Responsibilities: NOAA will be the lead agency for this effort but the document will be developed with input from FAA and DoD. DoD will contribute their net centric IT operations expertise. Supporting input will come from R&D Community and Industry.

1.2 IT Requirements

Reference: WBS 1.2

Description: This activity will develop the detailed IT requirements for the Cube

Timeframes: June 2009 – December 2009

Inputs: 4-D Weather Data Cube IT ConOps, Four Dimensional Weather Functional Requirements for NextGen Air Traffic Management, NNEW/RWI Preliminary Portfolio Requirements, Performance Requirements

Deliverables: Draft IT Requirements Document

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Exit Criteria: Completed set of IT requirements

Agency Roles and Responsibilities: IT Requirements will be developed jointly by NOAA and FAA. DoD will assist.

1.3 Governance

Reference: WBS 1.3

Description: This task defines the overall Governance activities necessary to insure a successful IOC. Governance is a critical activity that spans multiple domains. Governance is applied to multiple levels with respect to the Cube. There is the overall governance of the Cube infrastructure, especially with respect to the physical configuration. There are also unique Governance issues associated with management of a Service Oriented Architecture (SOA).

1.3.1 *Development of 4-D Weather Data Cube Governance Model*

Reference: WBS 1.3.1

Description: This task defines the activities with defining the overall Governance Model of the Cube. The Governance Model defines the different domains and scope of Governance, the governing authorities, scope of decision making and escalation procedures.

Time Frame: February 2009 – April 2010

Inputs: None

Deliverables: White paper defining the Cube Governance Model.

Exit criteria: Acceptance of the white paper

Agency roles and responsibilities: This is a joint task, conducted jointly by the management and policy teams from each agency.

1.3.2 *4-D Weather Data Cube Governance Structure*

Reference: WBS 1.3.2

Description: This task defines the activities with defining The Governance Structure of the Cube. This includes definition of the membership, domain authority and scope of the decision making.

Time Frame: April 2009 – December 2010

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Inputs: Governance Model White Paper.

Deliverables: White paper defining the Governance Structure

Exit criteria: Acceptance of the white paper

Agency roles and responsibilities: This activity is joint inter-agency activity. Participants include the NNEW IOC leadership Team, the Policy Team and representatives from the NCO Division.

1.3.3 Weather Information Regulatory Structure

Reference: WBS 1.3.3

Description: This task defines the regulatory structure governing the contents of the Cube, particularly the SAS.

Time Frame: December 2009 – August 2010

Inputs: None

Deliverables: White paper defining the Cube Governance Model.

Exit criteria: Acceptance of the white paper

Agency roles and responsibilities: This task will be lead by the FAA with support by the NOAA members of the EI team.

1.3.4 Develop SOA Governance

Reference: WBS 1.3.4

Description: This task defines the activities with defining the Governance of the SOA of the Cube. The SOA Governance first starts with defining the Community of Interest (COI), which includes users and owners of the systems that will contribute to the IT infrastructure of the Cube. Rules defining how services within the architecture will evolve, configuration management, and decision making authority will be defined during this task.

Time Frame: February 2009 – March 2010

Inputs: 4-D Cube Governance Model

Deliverables: White paper defining SOA, Governance, membership, domain and decision-making authority.

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Exit criteria: Acceptance of the white paper

Agency roles and responsibilities: This is a joint interagency activity. Participation will be between members of the NCO Division, the ITEST and the NNEW IOC leadership team.

1.4 Program Management Plan

Reference: WBS 1.4

Description: This task will include all activities necessary to develop the 4-D Weather Data Cube Program Management Plan (PMP). The PMP will outline the plans and procedures used by the IOC Team in the management of 4-D Weather Data Cube development. The PMP will clearly define the roles, responsibilities, procedures, and processes required to execute, monitor, and control the Program. The PMP will address the program management processes to be used, how work will be executed to accomplish Program objectives, and the required performance measures to be used by participating organizations. The PMP will also address interagency coordination and support to ensure there is not duplication and that tasks are aligned.

Time frame: April 2009 - March 2010

Inputs: NextGen Weather Plan, agency specific management processes and requirements

Deliverables: Completed and approved Program Management Plan

Exit criteria: Baseline Program Management Plan approval

Agency roles and responsibilities: Each agency will maintain a separate management structure responsible for planning and executing the tasks assigned by this Plan. The overarching management structure is governed by the NextGen Network Enabled Weather (NNEW) IOC team, which is chaired by representatives from NOAA, FAA, DoD, and Industry. The overall management structure for the NextGen Weather Plan is shown in Figure 2.1.

1.5 Risk Management Plan

Reference: WBS 1.5

Description: This task will include all activities necessary to develop the 4-D Weather Data Cube Risk Management Plan (RMP). The RMP will define how risks are identified, managed and mitigated. The initial development of a risk register, which will be used to identify, track and resolve risks, is also included in this task.

Time frame: June 2009 – March 2010

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Inputs: NextGen Weather Plan, agency specific risk management processes

Deliverables: Completed and approved Risk Management Plan and preliminary risk register

Exit criteria: Baseline Risk Management Plan approval

Agency roles and responsibilities: Each agency will participate in and contribute to the development of the RMP.

1.6 Configuration Management Plan

Reference: WBS 1.6

Description: This task will include all activities necessary to develop the 4-D Weather Data Cube Configuration Management Plan (CMP). The CMP will define the processes and procedures for managing required changes to the Cube Program. The CMP will include procedures for identifying needed changes, reviewing and approving changes, updating the plan baseline, and determining and documenting the impact of requested changes. The CMP will provide a clear, standardized process for managing changes in this Plan, requirements, cost estimates, and schedules.

Time frame: July 2009 – March 2010

Inputs: Program Management Plan, agency specific management processes and requirements

Deliverables: Completed and approved Configuration Management Plan

Exit criteria: Baseline Configuration Management Plan approval

Agency roles and responsibilities: Each agency will provide input to the Configuration Management Plan. The Configuration Management Process will be governed by the Cube Team. Consultation and approval for any major changes impacting the Program completion date or significant cost overruns will be provided by the WWG Executive Council and the NEWP.

1.7 Integrated Science Roadmap

Reference: WBS 1.7

Description: This task formulates an Integrated Science Roadmap of all sponsored activities within the participating agencies and contributors from private Industry. The roadmap will align activities enabling or directly related to aviation weather elements required for IOC, MOC, and FOC. Included will be the current, programmed, and out-year activities necessary

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to reach FOC as defined by the JPDO. This document will be updated annually to adjust for available funding and changing requirements.

Time frame: January - September 2010

Deliverables: Deliverables include an iterative approach to achieving a completed roadmap.

- i. Retrospective science infusion to current state (three year retrospective), May '09
- ii. Proposed IOC research, development, and operations July 1, 2009
- iii. Proposed MOC research, development, and operations August 1, 2009
- iv. Proposed FOC research, development, and operations September 1, 2009
- v. Final assembled report September 30, 2009

Exit criteria: Completed and approved Integrated Science Roadmap.

Agency roles and responsibilities: DOC/NOAA will lead the development of an integrated roadmap with input from all partner agencies. Each agency will be required to maintain a separate science roadmap disclosing all current science plans including state of funding profile and risks.

1.8 Define Initial Operational Capabilities

1.8.1 Sources of IOC Content

Description: Data and information for the Cube arise from a number of sources, e.g., satellites, radar, automated weather observing systems, numerical weather prediction models of varying resolution and geographical coverage, algorithms for specific forecasts, and human-generated forecasts. This task is to determine which sources of weather information are to be included in the Cube.

Timeframes: January 2009 – September 2009

Inputs: Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management; FAA Performance Requirements; space-based measurements from Geostationary Operational Environmental Satellite – Series R (GOES-R) and National Polar-orbiting Operational Environmental Satellite System (NPOESS) capabilities; ground-based sensor direct-measurement capabilities, such as Automated Surface Observing System (ASOS)/Automated Weather Observing System (AWOS); ground-based remote sensing systems, such as Weather Surveillance Radar 88D (Also called the Next Generation Weather Radar (NEXRAD), Terminal Doppler Weather Radar, National Lightning Detection Network; aircraft measurements of weather; modeling capabilities from the National Centers for Environmental Prediction; forecast capabilities from centralized and dispersed locations.

Deliverable: Output is a description of data and information sources containing at minimum the following:

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- Catalog of current agency observational systems with potential to contribute data to IOC capability
- Catalog of numerical weather prediction models with potential to contribute information to IOC capability
- Description of emerging data and information sources expected to be operational and able to contribute to IOC
- Estimates of product/data size

Exit Criterion: Weather data and information sources identified for integration into a network-enabled Cube.

Agency Roles and Responsibilities: NOAA will lead the collection of information regarding the disparate data sources available to the Cube for IOC with coordination from FAA, DoD, NASA, and members of the R&D community, including those from Industry.

1.8.2 IOC Content Definition

Description: The IOC state requires agency-coordinated definition to facilitate planning, programming, and budgeting. This task will define the aviation weather impact variables that will be present at IOC.

Timeframes: June 2008 – September 2012

Inputs: NextGen Integrated Work Plan (IWP), Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Performance Requirements

Deliverables: Output is a yearly updated document containing at minimum the following:

- Identification of threshold IOC content, current or in development but approaching maturity. Threshold content represents the minimum environmental information committed for IOC.
- Identification of objective IOC content, current or in development but approaching maturity. Objective content represents additional environmental information that is desired or required in future builds of the net-enabled Cube but is not committed for IOC.
- Baseline of current agency capabilities to contribute aviation weather hazard information selected for IOC
- Identification of Research and Development (R&D) required to permit emerging techniques to mature for IOC

Milestones:

- Initial definition COMPLETED September 19, 2008
- Update September 30, 2009
- Update September 30, 2010
- Update September 30, 2011
- Final September 30, 2012

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Exit Criteria: Each year, a plan detailing IOC content is finalized, approved by the Cube Team and each agency.

Agency Roles and Responsibilities: Participating agencies and the WWG will approve IOC content. Support and additional input will come from individual agencies and other NextGen working groups composed of members of the R&D community, including those from Industry.

1.9 Investment Analysis

Reference: WBS 1.9

Description: Agency specific investment analysis processes will be required to ensure stable funding and submission of NextGen Weather budget requests to the appropriate agency processes. Activities include cost-benefit analyses, OMB Exhibit 300s, budget narratives and defense documentation, and the preparation of materials required for appropriate key decision points.

1.9.1 FAA Investment Analysis

Reference: WBS 1.9.1

Description: The FAA Acquisition Management System (AMS) mandates a series of phases and decision points for FAA acquisitions. The FAA Program, NextGen Network Enabled Weather (NNEW), which will establish the FAA's portion of the Cube, has completed the Concept and Requirements Definition Phase and is now beginning the Investment Analysis Phase. There are two parts of this phase, Initial Investment Analysis and Final Investment Analysis. Approval at Final Investment Analysis provides authority for the Program to proceed with the acquisition and fielding of the necessary assets to establish FAA's portion of the Cube.

Timeframes: March 2008 – September 2011

Inputs: Artifacts developed in Concept and Requirements Definition

Deliverables: Various artifacts associated with Investment Analysis (e.g., OMB Exhibit 300)

Exit Criteria: Approval to proceed with acquisition

Agency Roles and Responsibilities: FAA will conduct its Investment Analysis, but must ensure that documents are consistent with this Plan and appropriately support partner activities and investments.

1.9.2 NOAA Investment Analysis

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Reference: WBS 1.9.2

Description: This task will include all activities necessary to support NOAA's Planning, Programming, Budgeting and Execution System (PPBES) and NWS' Operations and Service Improvement Process (OSIP).

Time frame: January 2008 – December 2011

Inputs: NextGen Weather Plan, NextGen Weather Concept of Operations V1.0, NextGen Integrated Work Plan, V1.0, agency specific management processes and requirements

Deliverables: PPBES and OSIP required documentation (e.g., ConOps/Operational Requirements Document, Business Case Analysis, Annual Budget Narratives, OMB Exhibit 300, Capital Planning and Investment Control Process reports

Exit criteria: NOAA 4-D Weather Data Cube Final Deployment Decision (OSIP Gate 4) complete

Agency roles and responsibilities: NOAA will be responsible for the generation and submission of all required documentation, but must ensure that documents are consistent with the NextGen Weather Plan and appropriately support partner activities and investments.

2.0 IT Services

The IT Services tasks encompass IT-related hardware and software lifecycle elements from specification of the multiagency, high-level architecture through operational test and evaluation (OT&E).

Security planning, implementation, and review will be ongoing tasks involving all participating agencies throughout design, development, deployment, and OT&E.

The architecture tasks will be carried out on two levels: A high-level architecture which will apply to all participating agencies, identifying interfaces and categories of data flows between them as well as components of the central Cube; and lower-level architectures for each agency which are relatively independent of each other in keeping with the nature of a SOA.

One category of software design and development encompasses the central Cube infrastructure and framework components. Those include a scalable registry system to enable registration, exposure, and discovery of services and an associated repository to persist the metadata defining the addresses and interfaces of those services. Translators and adapters to support seamless data request/response transactions between participants using possibly different data transfer languages also fall into this category.

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Another category of software includes the underlying capabilities, services, and clients that participants will use and provide. Design and development of this software requires less coordination among participants in different agencies and offices. Definition and development of data formats and data transfer languages are included in this category.

One of the significant research and development efforts included in the IT Services category will be complex retrieval processing (CRPs). In traditional retrieval of gridded data, a gridded data set covering a rectangular parallelepiped bounded by specified maximum and minimum values of latitude, longitude, and altitude is requested. Such grids are requested for time steps throughout the period of interest. If a decision maker requests such a data set to cover the time and path of a flight plan covering a thousand kilometers or so, the amount of data requested is very large; and most of it is of no value to the decision maker. CRP will allow the decision maker to retrieve only the data along the aircraft's planned four-dimensional trajectory.

The usability of the Cube will depend critically on its performance, so performance analysis, testing, monitoring, and enhancement will be an important part of the IT Services development effort. Part of that will be the investigation of efficient Extensible Markup Language (XML) technologies, whereby the bandwidth and CPU cycle impact of verbose ASCII tags and data can be mitigated without sacrificing the rich capabilities for XML processing and transformation offered by existing, mature COTS and open source software packages.

2.1 Architecture

Description: ANSI 1471-2000 defines architecture as “the fundamental organization of a system embodied in its components, their relationships to each other, and to the environment, and the principles guiding its design and evolution.”

The Cube system architecture will identify and describe:

- The major hardware components of the system;
- The major software components of the system;
- How the software components map to the hardware components;
- Data paths between constituent systems;
- The major system interfaces.

Development of the architecture will proceed at two levels. The high-level architecture will describe the interagency aspects of the Cube including net-centric access and net-centric interfaces with the architectures of each agency. The Cube architecture is a subset of the NextGen Architecture.

The lower level architectures will describe the systems within each participating agency. In keeping with the loosely coupled nature of a SOA, those lower-level architectures will be largely independent of each other.

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The SAS, including net-centric access and net-centric interfaces for NAS weather information, is by definition a portion of the Cube. Due to its direct operational use by all stakeholders of NextGen, its requirements are expected to be different, and in most cases more stringent than the rest of the Cube. As such the SAS architecture is a primary consideration of both the interagency and agency Cube architectures.

2.1.1 Develop High-Level Architecture

Reference: WBS 2.1.1

Description: A high-level architecture that describes the Cube in the context of the larger NextGen Enterprise will be developed. It will identify the boundaries of the Cube, components that comprise the Cube, and interfaces with external and internal systems and users. It will provide a means for defining high-level system requirements tied to the needs of users.

Timeframes: March 2009 – October 2009

Inputs: IWP, Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Enterprise Architecture artifacts

Deliverables: 4-D Weather Data Cube High-Level Architecture

Exit Criteria: Complete and approved High-Level Architecture

Agency Roles and Responsibilities: FAA will lead development of the High-Level Architecture with participation from NOAA. The Weather Working Group will coordinate with the JPDO's Net-Centric Task Force to ensure that the weather architecture conforms to the larger, NextGen architecture.

2.1.2 FAA Detailed Architecture

Reference: WBS 2.1.2

Description: A detailed architecture will be developed for the FAA portion of the Cube that structures the components, both hardware and software, in a way that demonstrates how the assembled components interoperate to deliver value. It provides a means for defining detailed system requirements.

Timeframes: September 2009 – December 2010

Inputs: 4-D Weather Data Cube High-Level Architecture, IWP, Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, NNEW/RWI Preliminary Portfolio Requirements, Performance Requirements.

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Deliverables: FAA Detailed Architecture

Exit Criteria: Detailed Architecture accepted

Agency Roles and Responsibilities: FAA will develop its Detailed Architecture

2.1.3 NOAA Detailed Architecture

Description: Recommend framework for System Architecture Description (e.g., DODAF, FEAF). Investigate standard model architectures (e.g., OASIS Reference Architecture for SOAP) as candidates for models for NOAA Cube architecture. Set up distributed-access configuration management system for architectural artifacts. Utilize input from the development of the NOAA Cube reference implementation to develop and document detailed architecture for the NOAA Cube. Identify architecturally important data storage and transfer issues (e.g., translation between different data transfer languages, forensic archiving requirements, performance implications, etc.) and develop architectural solutions.

Reference: WBS 2.1.3

Timeframes: October 2009 – December 2010

Inputs: Report on prototype JMBL server (due at end of CY2009)
Report on JMBL gap analysis (due at end of CY2009)
Progress reports on development of 4-D Weather Data Cube reference implementation (throughout FY2010)

Deliverables: System Architecture Description

Exit criteria: Delivery of final System Architecture Description

Agency Roles and Responsibilities: NOAA is lead agency. FAA and DoD will collaborate and consult on data transfer language issues.

2.2 Services and Format Standards

In the context of a SOA, a “service” includes an underlying capability (for example, a set of gridded data with a local data extraction mechanism) and the tools that expose that capability and make it available to users, possibly across organizational boundaries. The participant providing the underlying capability may provide some or all of those tools, or they may be provided by other participants.

The participating agencies have varying degrees of previous investment in and varying degrees of current operational dependence on different data formats and data transfer languages. In keeping with the loosely-coupled SOA approach, some of those different

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formats and languages will be accommodated within the Cube by software translators and adaptors while others will be replaced by more widely shared alternatives.

Tasks in this category will include definition and development of the services to be offered by the Cube, identification of the various formats and data transfer languages involved, and development of translators, adaptors, and data caches to fully enable the underlying capabilities as services.

Open, nonproprietary service standards that will support “public” and private Cube operations such as store, merge/blend/assimilate, access (e.g., extract via subset), and replace will be developed. This will include any necessary interpreters or templates for adopted standards.

2.2.1 FAA Weather Specific Services Design Standards

Reference: WBS 2.2.1

Description: Develop, document and adopt open nonproprietary service standards that will support “public” and private Cube operations such as store, merge/blend/assimilate, access (e.g., extract via subset), and replace. The FAA is adopting Open Geospatial Consortium (OGC) standards, principally the Web Feature Service (WFS) and Web Coverage Service (WCS) standards, for use within FAA. The OGC standards are broadly used across many domains and form a good baseline for domain-agnostic data interchange. This cross-domain capability is especially useful given that weather data will be integrated with aeronautical, topographical, and other geospatial information for use in decision making. By developing needed extensions to the OGC standards, a large degree of commonality is preserved while enabling the weather data exchange capabilities required in the Cube.

Timeframes: January 2008 – September 2010

Inputs: Concept of Operations for the Next Generation Air Transportation System, version 2.0, IWP

Deliverables: Final version of standards for IOC

Exit Criteria: Accepted service standards

Agency Roles and Responsibilities: FAA will develop standards for use within the FAA. Standards will be made available for use within the larger NextGen Enterprise.

2.2.2 FAA Weather Product Data Format Standards

Reference: WBS 2.2.2

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Description: The FAA is developing a weather data model and weather data formats for the weather data that will reside in FAA's portion of the Cube. These formats are being developed in conjunction with, and therefore will be common with, formats used by Eurocontrol. These formats will be based on Weather Information Models and Schemas being jointly developed by FAA and Eurocontrol. NOAA and DoD are also participating in developing the models and schemas.

Timeframes: January 2008 – September 2010

Inputs: Integrated Work Plan, IOC product list

Deliverables: Weather product data formats for IOC

Exit Criteria: Agreement of data formats

Agency Roles and Responsibilities: FAA will develop standards for use within the FAA.

2.2.3 NWS JMBL Gap Analysis

Reference: WBS 2.2.3

Description:

- 1) Analyze and document the suitability of the Joint METOC Broker Language (JMBL) for use in NWS operational data transfer. Analysis of the level of effort required to meet NWS requirements for weather data transfer and to achieve compliance with applicable OGC standards will also be conducted.
- 2) Analyze and document changes needed in the JMBL business rules to meet NWS requirements.

Timeframes: December 2008 -- December 2009

Inputs:

- 1) *User's Guide for the Air Force Weather Weapon System Joint METOC Broker Language Version 3.x July 2007* (or later version)
- 2) JMBL schemas.

Deliverables:

- 1) Report documenting changes needed in JMBL to meet: (a) NWS requirements for data transfer; and (b) applicable OGC standards.
- 2) Report documenting changes needed in JMBL business rules to meet NWS requirements.

Exit criteria: Delivery and acceptance of the two reports identified above.

Agency Roles and Responsibilities: NOAA is lead agency for this task. DoD will assist NOAA personnel in learning JMBL and staying abreast of JMBL updates by providing

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JMBL user documentation, facilitating NOAA participation in relevant change control activities, and providing occasional consultations.

2.2.4 NWS IOC Services

Reference: WBS 2.2.4

Description: Develop and demonstrate a reference implementation of the data transfer standards and services selected by NWS. Determine detailed technical requirements for the operational Cube. Enhance various NWS systems to interact with the Cube including National Digital Forecast Database (NDFD) to accommodate IOC aviation weather element forecasts, analyses, and observations.

Timeframes: September 2009 -- December 2010

Inputs:

IOC product list

NextGen Network-Enabled Weather IT CONOPS

Deliverables:

Data services reference implementation(s) (software, documentation)

Technical requirements specification for the operational Cube

Exit criteria:

Acceptance of reference implementation(s)

Acceptance of the Cube technical requirements specification

Agency Roles and Responsibilities:

NOAA is lead agency. FAA and DoD will collaborate and consult on data transfer language issues.

2.3 Software Development

The software development tasks encompass the design and development of Cube “central” software (as distinct from services and clients).

Discovery—the ability of would-be service consumers (clients) to find services meeting their needs—is central to a SOA. Discovery is supported by one or more registries, where services publish their resource identifiers and expose the interfaces by which they may be invoked. That information is persisted in an associated repository. The Cube will include a scalable number of Organization for the Advancement of Structured Information Standards (OASIS) ebXML-compliant registry/repositories.

Web coverage services will be developed to support the dissemination of large gridded data sets via OGC-compliant interfaces. OGC web feature services will support dissemination of

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non-gridded data. Service adapters will be developed to allow customers to request data using one data transfer language from data providers supporting a different language.

For a specific flight, the weather information needed to support decision making by the pilot and air traffic controllers may span thousands of miles and several hours. If gridded data to meet that need were retrieved by traditional means, the data set would be very large—too large for timely retrieval. The Cube will support complex retrieval processes, whereby only the data within a specified radius of a four dimensional flight path will be transferred.

2.3.1 & 2.3.2 *Web Coverage Service (WCS) and Web Feature Service (WFS)*

Reference: WBS 2.3.1 & 2.3.2

Description: The FAA is developing software that implements the WFS and WCS services in accordance with standards discussed above (WBS 2.2.1). The development effort will include WCS and WFS requirements documents and architecture and design documents, as well as functional test suites. Four versions of the software will be developed.

Timeframes: October 2008- May 2012

Inputs: FAA Weather Specific Services Design Standards

Deliverables: Final software for IOC that implements WCS and WFS services

Exit Criteria: Software that has been shown to meet requirements

Agency Roles and Responsibilities: FAA is developing software for use within FAA. Software will be made available to NOAA, other agencies, and interested external users (e.g., airlines).

2.3.3 *Service Adapters*

Reference: WBS 2.3.3

Description: FAA and NOAA will develop Service Adapters for legacy systems so that these systems, weather data providers and weather data users, can utilize the Cube without significant modifications to their systems.

Timeframes: October 2009 – March 2011

Inputs: Legacy systems data formats, FAA Weather Product Data Format Standards, NOAA Product Description Documents

Deliverables: Service adapters for FAA and NOAA legacy systems

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Exit Criteria: Service adapters that meet requirements

Agency Roles and Responsibilities: Generally, FAA will develop service adapters for FAA legacy systems and NOAA will develop service adapters for NOAA systems. Exceptions, if required, will be negotiated through the IOC Team.

2.3.4 Registry/Repository

Reference: WBS 2.3.4

Description: At least one registry/repository is required as a point of control and governance within the Cube SOA deployment. A registry/repository stores and manages service information artifacts in a consistent manner supporting publishing, discovery, and consuming of weather information, and enforcement of Cube policies. For the Cube, the FAA plans to use a registry/repository that conforms to the OASIS ebXML registry/repository standard, allowing organizations to share and link information with other organizations in a secure manner. The ebXML registry/repository will support federated information management, allowing multiple registry/repositories to federate together and appear as a single, virtual registry/repository, while allowing individual organizations to retain local control over their own registry-repositories. FAA is acquiring the registry/repository from a commercial source.

Timeframes: January 2008 – December 2013

Inputs: 4-D Weather Data Cube IT ConOps, Four Dimensional Weather Functional Requirements for NextGen Air Traffic Management, NNEW/RWI Preliminary Portfolio Requirements

Deliverables: Registry/repository that conforms to the OASIS standard

Exit Criteria: Effective federation of registry/repository capabilities

Agency Roles and Responsibilities: FAA is procuring the registry/repository for FAA and NOAA in the development phase. FAA and NOAA will jointly provide the metadata needed to register all required data sets.

2.3.4.1 Metadata Guidelines

Reference: WBS 2.3.4.1

Description: A standard set of metadata is essential to enable discovery and access to weather datasets and associated data access services. The International Organization for Standardization (ISO) 19115 data model, and accompanying ISO 19139 XML schema definition, has been selected for use in the Cube. Adopting this standard, however, is not sufficient to achieve true, large-scale interoperability due to the flexibility provided by

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the standard. In view of this, the FAA NNEW Program is developing Metadata Guidelines that provide further guidance on how metadata should be prepared. These Guidelines will be coordinated with NOAA and the JPDO in order to ensure adoption of a consistent set of guidelines for the Cube. Five versions will be developed.

Timeframes: October 2008 – August 2012

Inputs: Content Standard for Digital Geospatial Metadata (CSDGM), Version. 2 (FGDC-STD-001-1998); ISO 19139 XML schema definition standard

Deliverables: Metadata guidelines

Exit Criteria: Metadata guidelines acceptable to NOAA and FAA

Agency Roles and Responsibilities: FAA is developing the metadata guidelines and coordinating its development with NOAA.

2.3.5 Ontology and Mediation

Reference: WBS 2.3.5

Description: A requirement of the Cube is to support net-centric interoperability across diverse domain models and terminologies, such as Climate and Forecast (C&F) terms, and Joint METOC Conceptual Data Model (JMCDDM) and the associated METOC/JMBL terms. Ontology will provide semantically enhanced discovery of datasets. This feature will enable users to discover datasets registered in the Registry/Repository and the corresponding service endpoints, in a vocabulary-independent manner, i.e., no matter if data are stored using C&F or JMBL. Mediation will provide the capability for translating CF weather data terms into JMBL terms and vice versa so that a user will receive weather data in a manner his system can accommodate no matter the format used by the provider of the data.

Timeframes: February 2009 – September 2011

Inputs: IWP, Climate and Forecast terms, METOC/JMBL terms

Deliverables: Completed ontology and mediation capabilities

Exit Criteria: Ontology and mediation capabilities that meet requirements

Agency Roles and Responsibilities: FAA is developing the ontology and will make it available to other agencies. NOAA will provide mediation that translates C&F terms to JMBL and FAA will provide mediation that translates JMBL terms to C&F.

2.3.6 Complex Retrieval Processing Capability

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Reference: WBS 2.3.6

Description: The retrieval of only the data required by a data consumer such as the weather along an aircraft's 4-D trajectory is a key requirement of NextGen Weather. Services must be developed and the standards must be adopted which are capable of providing this capability. This task develops the requirements for the Complex Retrieval Capability, then develops the prototype services and standards required to implement the capability.

Timeframes: Jun 2009 – Jul 2011

Inputs: NextGen ConOps, NextGen Weather ConOps, Integrated Work Plan, WFS & WCS standards (2.3.1/2.3.2), requirements from Integration Team.

Deliverables: Complex Retrieval Capability requirements, functional services and standards ready for transition to operations.

Exit Criteria: Complete software and standards approved by appropriate governing bodies.

Agency Roles and Responsibilities: NOAA will lead this effort with support from the FAA and DoD.

2.4 Security

Reference: WBS 2.4

Description: A robust IT Enterprise Security framework must be established to ensure data security and integrity for air traffic operations. In cooperation with the Net-Centric Operations Division, the Cube Team will develop appropriate SOA IT security standards, develop required security software and hardware, and achieve all necessary certifications for operation. The policy and regulatory issues involved with interagency security must also be resolved, while ensuring appropriate access to NextGen weather data by commercial and private users and providers.

2.4.1 FAA Security

Reference: WBS 2.4.1

Description: This effort consists of three components parts. The first is developing a Security Guidance Document that will detail aspects of security for the different layers of the NNEW architecture framework. Each layer, physical network layer, SOA and IT infrastructure layer, the NNEW/Weather domain layer, and the application layer, will be described in terms of what types of security protocols, standards, and tools should be used to ensure that the Cube is secure. In addition, the document will specify the administrative work that will have to be done in order to satisfy government security policies. This would include a high-level overview of Federal certification and accreditation policies.

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The second part is development of a security plug-in for the SWIM (System Wide Information Management) service container security framework and the WCS and WFS data access services.

The third is obtaining certification and accreditation of the components forming the FAA's portion of the Cube.

Timeframes: October 2008 – August 2012

Inputs: Agency Security Standards

Deliverables: Security Guidance Document, security plug-in, and certification and Accreditation

Exit Criteria: Certification and Accreditation

Agency Roles and Responsibilities: FAA will carry out this work

2.4.2 NOAA Security

Description:

As part of WBS 2.2.4 NOAA will identify and document SOA-specific security requirements and implementation measures (e.g., authorization and authentication for customer and data provider access, data-set-specific security requirements for proprietary or otherwise sensitive data, measures to ensure service and network availability and data integrity, etc.). The NOAA CIO's office will identify and document agency-specific requirements for certification, accreditation, and other documentation. All measures (hardware and software) so identified will be put in place.

Reference: WBS 2.4.2

Timeframes: July 2009 - April 2013

Inputs:

DoC/NOAA Certification and Accreditation requirements
FAA, DoD security requirements for interconnection
Usage agreements for proprietary data

Deliverables:

Security plan
Certification and Accreditation package

Exit criteria: Completion of Certification and Accreditation

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Agency Roles and Responsibilities:

NOAA will be the lead agency. DoD and FAA will have security requirements that NOAA must meet in order for DoD and FAA to allow interconnections with the NOAA Cube. And NOAA will have requirements that they must meet.

2.5 Latency and Performance Analysis

Reference: WBS 2.5 (2.5.1, 2.5.2, & 2.5.3)

Description: This work consists of a number of efforts involving latency and performance monitoring. One effort involves testing of the latency and performance of the registry repository. A White Paper will be written to document the results. Another effort will assess the high-level, total system performance of the WFS, the WCS, and the registry/repository through rigorous testing. This work will be documented in a Latency and Performance Monitoring Report. The third effort is development of, and incorporation into the WCS and WFS software, an operational monitoring infrastructure framework to enable monitoring of services and clients.

Timeframes: November 2008 – June 2011

Inputs: Architecture, security requirements, and performance requirements

Deliverables: Registry/Repository Performance Analysis White Paper, Latency and Performance Monitoring Report, capability to monitor services and clients

Exit Criteria: A system that can be effectively monitored and managed

Agency Roles and Responsibilities: FAA will conduct this work

2.6 Efficient XML Technology

Reference: WBS 2.6

Description: While XML has many benefits with regards to extensibility, transformation, self-description, and other key areas, it tends to have an impact on system performance due to increased data sizes over previous technologies. This work will investigate different solutions to this problem for the weather domain, and the relative advantages of each approach. The work will be summarized in a report.

Timeframes: November 2009 – March 2010

Inputs: Current state of WXXM and Efficient XML Interchange (EXI) Format 1.0

Deliverables: Efficient XML Technology Report

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Exit Criteria: An acceptable report

Agency Roles and Responsibilities: FAA will perform testing, analysis results, develop the report, and make it available to other agencies.

2.7 Demonstrations

Reference: WBS 2.7

Description: Two types of demonstrations are planned, IT Demonstrations and NextGen User Demonstrations. IT Demonstrations are conducted periodically in order to demonstrate and test various components and capabilities that have been developed. NextGen User Demonstrations are supported in order to show improvements that can be made when weather data are integrated into traffic management operations.

Timeframes: September 2008 – November 2012

Inputs: Capabilities as developed prior to each demonstration

Deliverables: Demonstrations of Cube-type capabilities

Exit Criteria: Successful demonstrations

Agency Roles and Responsibilities: Going forward, these demonstrations will be jointly supported by NOAA and FAA.

2.8 Procure-Deploy Hardware and Software

Description: The Procure Hardware and Software activities include agency specific acquisition procedures ranging from acquisition plan development to contract award to delivery and installation of systems.

2.8.1 Procure-Deploy FAA Hardware and Software

Reference: WBS 2.8.1

Description: This effort consists of the work needed to procure hardware to host the software being developed and to deploy the hardware and software to the field. This includes any specifications and procurement documentation needed.

Timeframes: September 2010 – October 2012

Inputs: Final Investment Decision; Performance requirements and FAA Detailed Architecture

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Deliverables: Fielded FAA hardware and software to provide the FAA's portion of the Cube

Exit Criteria: Successfully deployed hardware and software

Agency Roles and Responsibilities: FAA will procure and deploy its hardware and software

2.8.2 Procure-Deploy NOAA Hardware and Software

Reference: WBS 2.8.2

Description: NOAA is planning to award a contract for a Lead Systems Integrator to 'productionize' the Cube systems and software. Acquisition plan development begins in FY10, with contract award in Q2 FY11. Production and deployment of NOAA systems will be complete by the end of FY12.

Timeframes: October 2009 – September 2012

Inputs: Program Management Plan, NOAA Developmental systems and software

Deliverables: Acquisition Plan, production systems and software

Exit Criteria: final IOC systems installed and operational, ready for OT&E

Agency Roles and Responsibilities: NOAA responsible for delivering and deploying its own systems. Close coordination with partner agencies required to ensure compatibility.

2.9 Operational Test and Evaluation (OT&E)

Reference: WBS 2.9

Description: The OT&E activity represents the agency specific test and evaluation processes required to implement an operational system. OT&E will ensure the Cube meets the minimum standards specified in the system requirements.

Timeframes: "Planning" Nov 2010 – Nov 2012

"Execution, Certification, & Accreditation" Nov 2010 – Sep 2013

Deliverables: This task will produce an OT&E Plan and execute that plan to ensure system performance. Following OT&E, a final report listing discrepancies and remediation actions will be prepared.

Exit Criteria: Authority to operate. System meets interoperability, cost and manpower estimates, command and control supportability, applicable security and information assurance requirements.

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Agencies Roles and Responsibilities: Both the NWS and FAA will work together to determine a contractor who will develop the OT&E plan that will be approved and implemented by both offices.

3.0 Cube Content

3.1 Element R&D

Reference: WBS 3.1

Description: This section focuses on research and development needed to ensure individual observational and forecast elements are ready for transition to the Cube by IOC. Specific algorithms in development by NWS and the FAA's Aviation Weather Research Program (AWRP) are considered for potential. Products will be compared against the Four Dimensional Weather Functional Requirements for NextGen Air Traffic Management and the FAA Performance Requirements.

For this section, threshold requirements should be considered as the minimum acceptable, those labeled objective are optional, but work should proceed toward meeting those requirements.

3.1.1 Develop IOC Icing Content

Reference: WBS 3.1.1

Description: Icing issues consist of a ground deicing component and an in-flight icing component. For IOC, the threshold capability will be analyses and forecasts of in-flight icing.

Timeframes: November 2008 – September 2010

Inputs:

- [Threshold] Current regulatory icing information comes from Airman's Meteorological Advisories (AIRMETs), specifically the AIRMET Zulu product, issued for widespread moderate icing and freezing levels; and Significant Meteorological Advisories (SIGMETs), issued for severe icing. It is anticipated these products will still be required by FAA as primary for flight-related decision making at IOC and thus must be considered as a threshold input for the Cube.
- [Threshold] The state-of-the-science automated icing algorithms at this time produce a Current Icing Product (CIP) (analysis) and a Forecast Icing Product (FIP). These algorithms produce icing probability and severity with hourly updates, but FAA has certified them for use only as supplementary products, which means they can only be used in conjunction with a primary product (AIRMET/SIGMET).
- [Threshold] Graphical AIRMETs (G-AIRMET). This product is in development, and FAA has requested it be included as threshold information for the Cube.

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- [Objective] World Area Forecast System (WAFS) global maximum and average icing grids. The World Area Forecast System (WAFS) has commitments to produce global digital (gridded) products provided from the Washington World Area Forecast Center (WAFC). As a member of the WAFS, the U.S. participates in preparation and dissemination of these products. The algorithms underlying these products differ from those used in CONUS products, so issues surrounding these differences must be addressed prior to IOC.

Exit Criteria: Gridded icing data emerges as the standard for the Cube.

Agency Roles and Responsibilities: FAA continues to fund in-flight icing research to refine the CIP and FIP algorithms. In addition, FAA Flight Standards completes safety analysis of the algorithms to permit advancement from supplementary to primary status and develops pilot training and testing standards for use of the new information. NWS transitions the algorithms to run in an operational environment (e.g. the supercomputing environment OR at Aviation Weather Center (AWC)).

3.1.2 Develop IOC Turbulence Content

Reference: WBS 3.1.2

Description: Turbulence can span the vertical from the surface to high levels, in cloud or in clear air. Near the surface, turbulence is normally referred to as “low-level wind shear.” This content specifically does not include wake vortex.

Timeframes: November 2008 – September 2010

Inputs:

- [Threshold] Current regulatory turbulence information comes from AIRMETs, specifically the AIRMET Tango product, issued for widespread moderate turbulence or sustained winds of 30 knots or more at the surface; and SIGMETs, issued for severe or extreme turbulence. It is anticipated these products will still be required by FAA as primary for flight-related decision making at IOC and thus must be considered as a threshold input for the Cube.
- [Threshold] The state-of-the-science automated turbulence algorithms at this time produce Graphical Turbulence Guidance (GTG) analysis and forecasts (updated hourly). The algorithms output turbulence severity and potential (not probability) above 10,000 feet for clear air turbulence and are still in development to expand the vertical extent and include other turbulence regimes, such as mountain wave and convective. FAA has certified this product for use only as a supplement to, not a replacement for, the primary product (AIRMET/SIGMET)..
- [Threshold] Graphical AIRMETs (G-AIRMET). This product is in development, and FAA has requested it be included as threshold information for the Cube.
- [Objective] WAFC global maximum and average, clear air and in-cloud turbulence grids. The WAFS has commitments to produce global digital (gridded) products. As a member

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of the WAFS, the U.S. participates in preparation and dissemination of these products. The algorithms underlying these products differ from those used in CONUS products, so issues surrounding these differences must be addressed in the prior to IOC.

Exit Criteria: Gridded turbulence data` emerges as the standard for the Cube.

Agency Roles and Responsibilities: FAA continues to fund turbulence research to refine and expand the GTG algorithm. In addition, FAA Flight Standards completes safety analysis of the algorithms to permit use for flight related decisions (at minimum) and as a primary, stand-alone turbulence product (optimal) and develops pilot training and testing standards for use of the new information NWS transitions the algorithms to run in an operational environment.

3.1.3 Develop IOC Convective Content

Reference: WBS 3.1.3

Description: Convection is the weather element most disruptive to air traffic management. The term convection encompasses thunderstorms in various configurations (cell, area, line) and related phenomena, such as tornadoes, hail, and strong surface winds. Because icing and turbulence are expected in and near thunderstorms, convection is forecast as a construction of the related and implicit phenomena. There are numerous sources of current and forecast thunderstorm information, from radar depictions to many automated and human-generated forecasts.

Timeframes: September 2009 – April 2011

Inputs:

- [Threshold] Current regulatory convection information comes from Convective SIGMETs, issued for severe surface weather (surface winds greater than or equal to 50 knots, hail greater than or equal to 3/4 inches in diameter, and tornadoes), embedded thunderstorms, lines of thunderstorms, or strong thunderstorms affecting a widespread area. It is anticipated the Convective SIGMET will still be required by FAA as primary for flight-related decision making at IOC and thus must be considered as a threshold input for the Cube.
- [Threshold] Collaborative Convective Forecast Product (CCFP). The CCFP is the currently used product arising from collaborative decision making (CDM) by forecasters, operators, and air traffic managers. Because CDM results in agreement on use of airspace, CCFP is included at this time, though it may be supplanted in the future.
- [Threshold] Corridor Integrated Weather System (CIWS) 0-2 hour nowcasts of convection. FAA has requested CIWS be included in the Cube at IOC. CIWS is still in development and has recently expanded to national coverage.
- [Threshold] A 2-6 hour planning forecast, such as the as Consolidated Storm Prediction for Aviation (CoSPA)

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- [Objective] WAFC global thunderstorm grids for tops and extent. The WAFS has commitments to produce global digital (gridded) products. As a member of the WAFS, the U.S. participates in preparation and dissemination of these products. The algorithms underlying these products differ from those used in CONUS products, so issues surrounding these differences must be addressed prior to IOC.
- [Objective] Gridded Localized Aviation Model Output Statistics (MOS) Program (LAMP) probabilistic thunderstorm forecasts.

Exit Criteria: Gridded thunderstorm data for aviation application emerges as the standard for the Cube.

Agency Roles and Responsibilities: FAA continues to fund convection research to refine and expand the CIWS and to produce longer-range thunderstorm forecasts. In addition, FAA Flight Standards completes safety analysis of the algorithms to permit use for flight-related decisions (at minimum) and as a primary, stand-alone convection product (optimal) and develops pilot training and testing standards for use of the new information. NWS or FAA transitions the algorithms to run in an operational environment. NWS continues to fund development of probabilistic forecast capability in LAMP.

3.1.4 Develop IOC Ceiling and Visibility (C&V) Content

Reference: WBS 3.1.4

Description: Ceiling and visibility are among the most difficult elements to forecast due to strong terrain and microclimate influences resulting in fine-scale variations. The outlook for development of a fully developed and vetted gridded C&V product by IOC is poor at this point.

Timeframes: November 2008 – March 2011

Inputs:

- [Threshold] Current regulatory C&V information comes from AIRMETs, specifically AIRMET Sierra, issued ceilings less than 1000 feet and/or visibility less than 3 miles affecting 50 percent of the area. It is anticipated the AIRMET will still be required by FAA as primary for flight-related decision making at IOC and thus must be considered as a threshold input for the Cube.
- [Threshold] Additional C&V information is contained in the Terminal Aerodrome Forecast (TAF), which is expected to continue as a regulatory product through IOC.
- [Threshold] The AWRP is developing the National Ceiling and Visibility (NCV) product to address C&V between reporting stations. FAA has requested NCV be included in the Cube as a Threshold product, but there is concern the product may not be ready for routine operational use at IOC.
- [Threshold] Graphical AIRMETs (G-AIRMET). This product is in development, and FAA has requested it be included as threshold information for the Cube.
- [Objective] Gridded and point LAMP probabilistic C&V forecasts are in development.

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Exit Criteria: One primary, gridded ceiling and visibility product for aviation application emerges as the standard for the Cube.

Agency Roles and Responsibilities: FAA continues to fund C&V research to advance the NCV Analysis product from experimental to operational and to develop a forecast extension. In addition, FAA Flight Standards completes safety analysis of the algorithms to permit use for flight related decisions (at minimum) and as a primary, stand-alone C&V product (optimal) and develops pilot training and testing standards for use of the new information. NWS or FAA transitions the algorithms to run in an operational environment. NWS continues to fund development of probabilistic forecast capability in LAMP.

3.1.5 Develop IOC Observation Content

Reference: WBS 3.1.5

Description: Observations from a multitude of ground-based, airborne, and satellite sensors must meet common data standards and be net-enabled and included in the Cube in an architecture that permits fast retrieval.

Timeframes: November 2008 – March 2011

Inputs:

[Threshold] Ground-based direct measurement: ASOS

[Threshold] Ground-based remote sensing: NEXRAD Level III, TDWR Level II, lightning (proprietary)

[Threshold] Airborne direct sensing: rawinsonde, aircraft-sensor measurements of temperature, winds, moisture (some possibly proprietary), Pilot Reports (PIREPs) (subjective)

[Threshold] Space-based remote sensing: GOES-R, NPOESS

Exit Criteria: Required data net-enabled and in the Cube following common data standards and exchange protocols

Agency Roles and Responsibilities: NWS, FAA, and DoD agree on standards and architecture that will permit data to exist in virtual Cube. NWS, FAA, and DoD reach agreement with international partners on data standards.

3.1.6 Develop Enhanced Numerical Modeling Enabling Capabilities

Reference: WBS 3.1.6

Description: Enhanced and improved numerical modeling capabilities are enablers critical to meeting many of the resolution and update frequency requirements for NextGen weather forecasts. Though many of the significant requirements and improvements are expected

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beyond IOC, it will be important to support any existing and needed initiatives the modeling community has planned between now and 2013. It is also important to support the modeling community R&D plans for those requirements already in place beyond IOC.

Timeframes: July 2008 – March 2012

Inputs: Four Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Performance Requirements, WBS 1.8 (IOC Content Definition), WBS 3.1.1 – 3.1.5

Deliverables: All systems that produce analysis and forecast products of aviation specific weather impact variables will transition to, or be capable of transitioning to, output run from the latest applicable model sources available through IOC. There is a commitment to work with the modeling community to provide them with updated NextGen requirements and ensure R&D to meet these requirements is fully supported. This R&D will be needed to meet both tactical (at least hourly updating with latest observations) and strategic (updated less frequently) requirements.

Exit Criteria: Approval of forecast process prototype that includes the most recent initiatives and updates from the modeling community. Approval of an R&D support plan that addresses future requirements.

Agency Roles and Responsibilities: NOAA will lead this effort but significant input and coordination is required from the FAA, the DoD, and the research community.

3.2 *Contents Tool Production*

Reference: WBS 3.2

Description: The aviation specific weather parameters identified for inclusion in the Cube will be generated by various observation dissemination and forecast generation processes

Timeframes: February 2010 – Nov 2012

Inputs: EI Team IOC Definition List, developmental or existing weather products, tools, and techniques

Deliverables: A full suite of content generation tools will be available for content generation of the threshold observation and forecast parameters. These tools will include legacy systems (such as NWS TAF generation systems) and the development of new systems such as MADIS for observations or new forecast processes detailed in section 3.4

Exit Criteria: All threshold parameters, as identified in the EI Team IOC Definition, are available in the Cube at IOC

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3.3 *Element Transition to Operations*

Reference: WBS 3.3

Description: The aviation-specific weather parameters, including icing, turbulence, convection, ceiling/visibility, and observed surface weather must be transitioned, and/or certified for operational use in the Cube.

Timeframes: September 2010 – July 2013

Inputs: EI Team IOC Definition List, developmental or existing weather products, tools, and techniques

Deliverables: FAA and NWS will transition existing aviation-specific weather algorithms to operations in the Cube. The path to operations for new and/or experimental algorithms will be agency specific. For example, gridded forecast products from advancements in the NWS forecast process will go through internal NWS certification, while transition of AWRP elements from the FAA will be certified through the NextGen Weather Evaluation Capability (NWECA). It is important to distinguish that transitioning elements to the Cube at IOC is not the same process that will be used to fuse, merge, authorize, etc., the aviation weather parameter algorithms within the Cube and designate them as components of the SAS for Air Traffic Management (ATM).

Exit Criteria: All threshold parameters, as identified in the EI Team IOC Definition, are available in the Cube at IOC

3.4 *Forecast Process*

Reference: WBS 3.4

Description: The NextGen Weather Paradigm will drive changes to the way aviation weather parameters are forecast. This forecast process is expected to be some combination of model-generated output and algorithms, such as those from the FAA's Aviation Weather Research Program, along with the development and evaluation of tools which allow a Meteorologist in the/over the Loop (MITL/MOTL) to add value to provide additional input to forecasting algorithms as required. In the process of MITL/MOTL input to the forecasting algorithms, a meteorologist will provide additional input to forecasting algorithms using robust, user-friendly, man-machine interface software tools. This allows meteorologists to provide additional insight to the forecasting algorithms found in today's Numerical Weather Prediction (NWP) models, especially for terminal and route forecasts. It also provides confidence to the various users of weather information that the forecaster's best intelligence has been incorporated.

Timeframes: October 2008 – December 2010

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Inputs: IWP, Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Performance Requirements, algorithms from FAA's AWRP

Deliverables: As the IOC aviation weather impact variables are identified and requirement sets are finalized, forecast processes to meet these requirement suites will be fully supported. This set will likely include (but will not be limited to) existing AWRP algorithms for turbulence (Graphical Turbulence Guidance, GTG), icing (Current Icing Product, CIP/Forecast Icing Product, FIP), and ceiling/visibility (National Ceiling/Visibility, NCV); or for convection products such as the Corridor Integrated Weather System and the 2-6 hour planning forecast such as CoSPA. Also needed is the development of techniques for MITL/MOTL interactions with these AWRP algorithms and for convective products. Other initiatives from NOAA, NASA, and other government and non-governmental organizations may also surface and should be considered, as appropriate.

Exit criteria: Limited set of new forecast products produced by NWS forecasters to deliver required aviation weather impact parameters to the Cube for IOC

Agency Roles and Responsibilities: NWS will work with its research partners and with output from the FAA's AWRP

3.4.1 Framework Development

Reference: WBS 3.4.1

Description: Prototype and evaluate the forecast processes for the generation of NextGen 4-D weather datasets.

Timeframes: January 2009 – December 2010

Inputs: IWP, Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Performance Requirements, algorithms from FAA's AWRP

Deliverables: The NWS has allocated a variety of tasks to either internal NWS research entities (NWS' Meteorological Development Laboratory (MDL)) or other NWS research partners to evaluate the current state of the aviation forecast process and then assess where and how forecasters will add value in the NextGen paradigm. These include:

- A feasibility study of adding ceiling and visibility to the NWS National Digital Forecast Database (NDFD)
- Evaluation report on the role of the forecaster in the NextGen era.

A prototype/ test bed of 4-D Weather Forecast Process will be in place which allows evaluation of both the model-generated techniques and algorithms with their MITL/MOTL processes. A process will also be in place to compare/measure the quality of the MITL/MOTL data with the fully automated forecasting systems without forecasters, though a comprehensive Quality Assurance/Quality Check (QA/QC) cannot be accomplished in this

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time period. A goal of this QA/QC process will be to provide feedback to the automated forecast system developers to enhance automated forecast system performance in areas where MITL/MOTL interactions add value on a regular basis.

Exit criteria: Approval of a defined forecast process for each aviation weather impact variable.

Agency Roles and Responsibilities: NOAA will lead this effort but the forecast process validation team will include members from the FAA, the DOD, and the research community including participation from the private/commercial sector.

3.4.2 MITL/MOTL Tool Development

Reference: WBS 3.4.2

Description: Prototype and evaluate specific forecast processes for which allows meteorologists to adjust or enhance gridded NWP data

Timeframes: October 2008 – January 2010

Inputs: IWP, Four-Dimensional Weather Functional Requirements for NextGen Air Traffic Management, FAA Performance Requirements, algorithms from FAA's AWRP

Deliverables: NWS has tasked MDL and its research partners to continue with testing and evaluation of two specific MITL/MOTL processes:

- Interactive Calibration in Four Dimensions (IC4D) – IC4D allows NWS forecasters to modify and adjust 4-D gridded output from AWRP algorithms. IC4D test-bed has been in place in AK for the last 2 years and is newly expanded to the NWS Pacific Region. An IC4D test-bed is also planned for the NWS Aviation Weather Center in 2009
- AutoNowcaster (ANC) – ANC is a program to assist NWS forecasters in identifying convective initiation fields which add value to short-term (often automated) thunderstorm forecasts. ANC has been tested at the NWS Forecast Office in Ft. Worth, TX for the past few years and is planned for expansion to FL in 2009. It is also desired to incorporate ANC into live NextGen demonstrations as soon as possible.

NWS has tasked MDL and its research partners to continue expanding, testing, and evaluating both IC4D and ANC in the coming years with some limited application of these processes in place before IOC.

Exit criteria: Limited operational use of both IC4D and ANC output to generate forecasted aviation-impact variables at IOC, specifically icing and turbulence from IC4D and convective-initiation parameters from ANC

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Agency Roles and Responsibilities: NOAA will lead this effort with continued support from MDL and its research partners. FAA will support through the AWRP and will help QA/QC

3.5 Verification

Reference: WBS-3.5

Description: A NWS forecast evaluation process will be established to provide metrics on aviation weather impact variable forecast skill in terms of both accuracy and quality. Capabilities for independent verification assessments and automated verification approaches will be used to determine where, when, and how forecasters add value in the forecast process using various product generation applications. Verification tools for local prototyping will be developed.

Verification and assessment techniques will be applied to evaluate aviation-weather-impact variables generated interactively from numerical and statistical guidance and made available in the Cube as deterministic and probabilistic forecasts. A Network-enabled Verification Service (NEVS) will provide capabilities for verification and performance management of all NOAA-generated, aviation-impact variables and products in the Cube.

High spatial and temporal resolution analyses of data from the Analysis of Record shall be provided as the basis for verification of gridded Aviation impact variables in the Cube including icing, ceiling height, obstruction to visibility, turbulence, and convection.

Timeframes: March 2009 – October 2012.

Deliverables: NEVS infrastructure interface to the Cube, reports on NWS Forecast Evaluation Process for IOC, verification analysis tools for applications used to generate the weather impact forecast variables in the Cube at IOC, aviation analyses of record, and verification metric reports for all forecast variables in the Cube at IOC. A demonstration of NEVS operations capabilities will be completed by October 2012.

Exit criteria: Operational NEVS capabilities required for verification of IOC weather elements in the Cube. Verification skill assessment of IOC gridded aviation-impact variables.

Agency Roles and Responsibilities: NOAA's Office of Oceanic and Atmospheric Research Global Systems Division will develop the NEVS and establish the forecast evaluation process. NOAA's NWS MDL will provide routine verification reports for generated products. NOAA's NWS Environmental Modeling Center will provide analyses of aviation-impact variables for gridded verification.

4.0 Single Authoritative Source

Reference: WBS 4

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Description: The capability to have a rudimentary SAS of aviation weather information for use by Air Traffic Managers and systems is desired for IOC. Though the SAS available at IOC will not meet all functional or performance requirements of the SAS, the capability to designate selected products as members of the SAS, apply appropriate metadata and standards, and allow access to all required users is fundamental to future development. The SAS concept and architecture is a primary consideration of both the interagency and agency Cube architectures.

4.1 *Single Authoritative Source Concept*

Reference: WBS 4.1

Description: This task will develop the foundational documents for the IOC SAS capability. A SAS white paper, Concept of Operations, and an initial set of business rules will be delivered. The SAS requirements and architecture will be derived from these documents which will allow the techniques and tools required to manage the SAS to be developed.

Timeframes: Jan 2009 – May 2010

Deliverables: SAS White Paper, IOC SAS ConOps, requirements, and architecture

Exit criteria: Completed requirements and architecture for the IOC SAS

Agency Roles and Responsibilities: NOAA will be the lead agency for this effort but the documents will be developed under the auspices of the JPDO with input from FAA, DoD, and Industry participants.

5.0 *Initial Operational Capability*

Reference: WBS 5

Description: Initial Operational Capability of the Cube will be delivered, having completed all required OT&E and C&A processes. The IOC capability may be regional in coverage, with a limited number of users. Appropriate IT infrastructure will be in place to provide weather information from the Cube to NAS users.

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APPENDIX D: COST ESTIMATE

This Appendix will be updated following passage of the FY10 Budget.

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APPENDIX E. TEAM MEMBERSHIP

Initial Operating Capability Team

Name	Agency/Company
Steve Abelman	NOAA
Mark Andrews	JPDO / Weather WG Co-Chair
Michael Asmussen	NOAA
William Benner	FAA
Mary Cairns	FAA
Lou Cantrell	JPDO/Integrated Systems Solutions
Thomas Carty	FAA
Sal Catapano	JPDO/Concept Solutions
Darien Davis	NOAA
Richard Deininger	Boeing
Sotelo Guillermo	FAA
Richard Heuwinkle	FAA
Tim Hopkins	NOAA
Chris Kuruppu	NOAA
Arnold Lee	JPDO/Integrated Systems Solutions
Ken Leonard	FAA
Thomas Mak	NOAA
Eric Mandel	AvMet
David Miller	DoD
Cecilia Miner	NOAA
Raymond Moy	FAA
Curtis Neidhart	NOAA
Kurt Nelson	Navy
Tri Nguyen	FAA
Alan Nierow	JPDO/ Booz Allen
David Pace	FAA
Thomas Ryan	FAA
Joe Sherry	MITRE
Russell Sinclair	Harris
Jim Stobie	Ensco
Paul Strande	FAA
Bill Swart	NOAA
James Tauss	Aviation Management
Jason Tuell	NOAA
Jamie Vavra	NOAA

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Information Technology / Enterprise Services Team

Name	Agency/Company
Steve Abelman	NOAA
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Robert Avjian	Lockheed Martin
Keith Bourke	Harris
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Mary Cairns	FAA
Thomas Carty	FAA
Michael Cetinich	Jeppesen
Cranston Coleman	Sonalysts
Bela Collins	LOCB
William Curry	Navy
Beth Ducot	MIT
Stowell Davison	NOAA
Richard Deininger	Boeing
Rich Delaura	MIT
Paul Fiduccia	ASE Incorporated
Alan Frasier	Raytheon
Eldridge Frazier	FAA
Brian Gockel	NOAA
Andrew Gordon	Unisys
Timothy Hopkins	NOAA
Michael Howland	Air Force
Ronald Jones	NOAA
Christopher Kuruppu	NOAA
Michael Lewis	Boeing
Michael Little	JPDO/NASA
Chris MacDermaid	NOAA
Steve Maciejewski	FAA
Eric Mandel	AvMet
Starr McGettigan	FAA
Patty Miller	NOAA
Cecilia Miner	NOAA
Kalani Nannette	FAA
Steve Newton	Flat Iron Solutions
Oliver Nuell	MIT
Ed Ost	Flat Iron Solutions
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Victor Passetti	FAA
Tom Ryan	FAA
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Robert Showalter	BAE Systems
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Clinton Wallace	NOAA
Walter Wilkerson	Air Force
Eric Wise	Air Force

Demo Team

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Sal Catapano	JPDO/ Concept Solution
Jenny Colavito	FAA
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Al Kaehn	NOAA
Robert Lee	AvMet
Arnold Lee	JPDO/ Integrated Systems Solutions
Tenny Lindholm	UCAR/NCAR
Aaron Maddux	Harris
Eric Mandel	AvMet
Cecilia Miner	NOAA
Pat Murphy	NOAA
John Murray	NASA
Tri Nguyen	FAA
Dave Pace	FAA
Phillip Shaffner	NASA
Lynn Sherretz	ESRL/GSD
Joe Sherry	MITRE
Cammye Sims	NOAA
Kevin Starr	Northrop Grumman
Paul Strande	FAA
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John Warburton	AvMet
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Environmental Information Team

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Steve Abelman	NOAA
Steve Albersheim	FAA
Mark Andrews	JPDO/Weather WG Co-Chair

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Robert Lee	AvMet
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Brian Moore	Air Force
Stephan Moran	Raytheon

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Victor Passetti	FAA
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Maria Pirone	Harris
Marcia Politovich	UCAR
Warren Qualley	Harris
Roy Rasmussen	UCAR
James Riley	FAA
David Ruth	NOAA
Tom Ryan	FAA
Philip Schaffner	NASA
Sharman Sharman	UCAR
Joe Sherry	MITRE
Walter Smith	NOAA
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Matthias Steiner	UCAR
Scott Stevens	FAA
Jim Stobie	ENSCO
Scott Swerdlin	UCAR
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Jason Tuell	NOAA
Jamie Vavra	NOAA
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Walter Wilkerson	Air Force
Eric Wise	Air Force
Marilyn Wolfson	MIT/Lincoln Lab