



AFRL-RI-RS-TR-2013-165

WIDEBAND COOPERATIVE SPECTRUM SENSING AND SIGNAL DETECTION (BRIEFING SLIDES)

JULY 2013

INTERIM TECHNICAL REPORT

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED

STINFO COPY

**AIR FORCE RESEARCH LABORATORY
INFORMATION DIRECTORATE**

NOTICE AND SIGNATURE PAGE

Using Government drawings, specifications, or other data included in this document for any purpose other than Government procurement does not in any way obligate the U.S. Government. The fact that the Government formulated or supplied the drawings, specifications, or other data does not license the holder or any other person or corporation; or convey any rights or permission to manufacture, use, or sell any patented invention that may relate to them.

This report was cleared for public release by the 88th ABW, Wright-Patterson AFB Public Affairs Office and is available to the general public, including foreign nationals. Copies may be obtained from the Defense Technical Information Center (DTIC) (<http://www.dtic.mil>).

AFRL-RI-RS-TR-2013-165 HAS BEEN REVIEWED AND IS APPROVED FOR PUBLICATION IN ACCORDANCE WITH ASSIGNED DISTRIBUTION STATEMENT.

FOR THE DIRECTOR:

/ S /

BRENT HOLMES
Chief, Cyber Operations Branch

/ S /

WARREN H. DEBANY, JR.
Technical Advisor, Information
Exploitation & Operations Division
Information Directorate

This report is published in the interest of scientific and technical information exchange, and its publication does not constitute the Government's approval or disapproval of its ideas or findings.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.					
1. REPORT DATE (DD-MM-YYYY) JULY 2013		2. REPORT TYPE INTERIM TECHNICAL REPORT		3. DATES COVERED (From - To) MAR 2011 – NOV 2011	
4. TITLE AND SUBTITLE Wideband Cooperative Spectrum Sensing & Signal Detection (BRIEFING SLIDES)				5a. CONTRACT NUMBER IN-HOUSE	
				5b. GRANT NUMBER N/A	
				5c. PROGRAM ELEMENT NUMBER 61102F	
6. AUTHOR(S) Daniel Zhou				5d. PROJECT NUMBER WC3S	
				5e. TASK NUMBER IH	
				5f. WORK UNIT NUMBER 01	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Air Force Research Laboratory/RIGB 525 Brooks Road Rome NY 13441-4505				8. PERFORMING ORGANIZATION REPORT NUMBER N/A	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) Air Force Research Laboratory/RIGB 525 Brooks Road Rome NY 13441-4505				10. SPONSOR/MONITOR'S ACRONYM(S) AFRL/RI	
				11. SPONSORING/MONITORING AGENCY REPORT NUMBER AFRL-RI-RS-TR-2013-165	
12. DISTRIBUTION AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited. PA# 88ABW-2011-6198 Date Cleared: 30 NOV 2011					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT These presentation slides are provided as an interim report of the in-house project titled "Wideband Cooperative Spectrum Sensing". The objective of this effort is to develop wideband cooperative spectrum sensing and signal detection techniques. Two sensing steps are used to detect and exploit the signals of interest: Coarse sensing to determine the presence or absence of signals within scanned frequency bands; and fine sensing by exploiting the features of signals.					
15. SUBJECT TERMS Cooperative Spectral Sensing, Energy Detection, Frequency Allocation					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 25	19a. NAME OF RESPONSIBLE PERSON ALFREDO VEGAIRIZARRY
a. REPORT U	b. ABSTRACT U	c. THIS PAGE U			19b. TELEPHONE NUMBER (Include area code) N/A

Contents

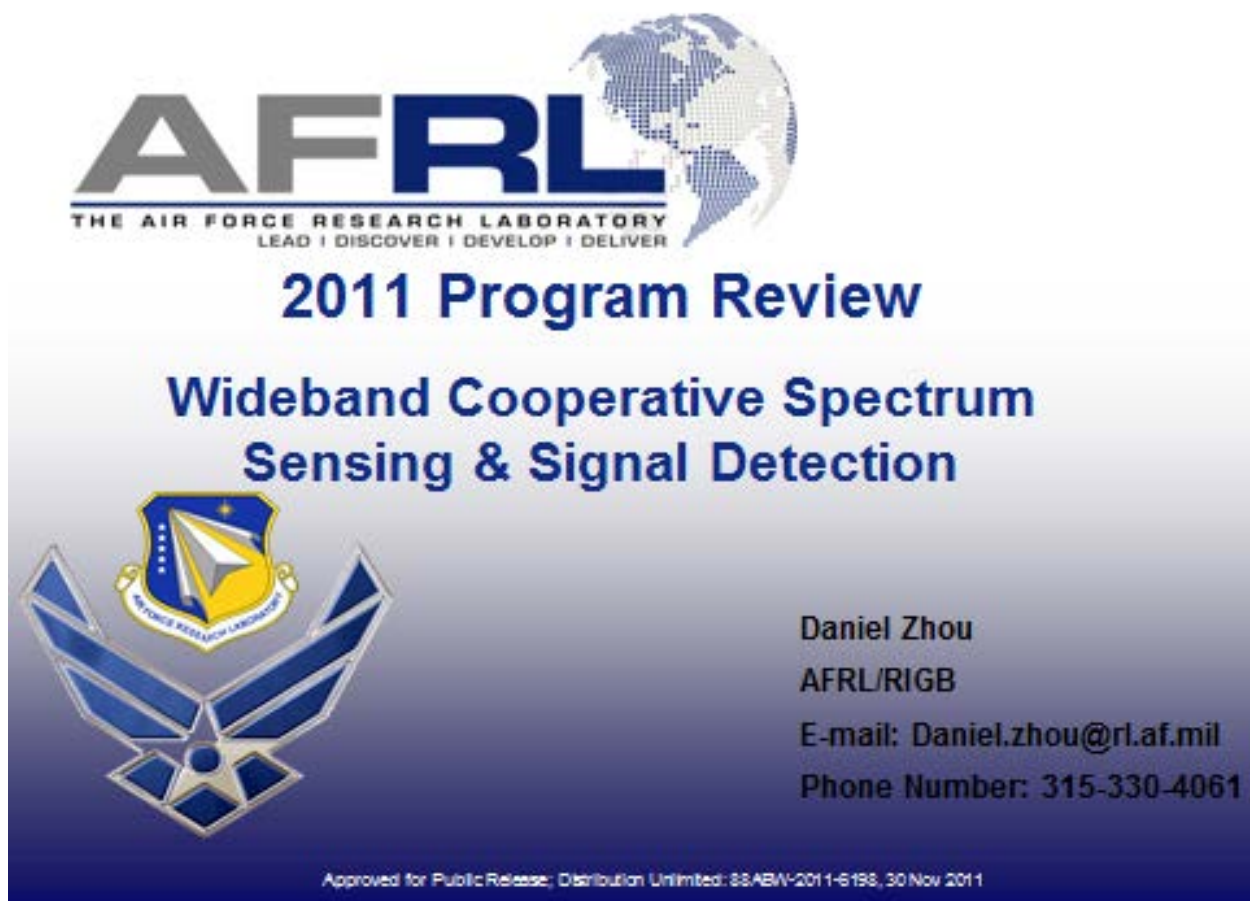
1	Abstract.....	1
2	Presentation Slides	2
3	References	20
	List of Acronyms.....	21

1 Abstract

This presentation slides are provided as an interim report of the in-house project titled “Wideband Cooperative Spectrum Sensing”. The objective of this effort is to develop wideband cooperative spectrum sensing and signal detection techniques. (Slide 3) Two steps sensing are used to detect and exploit the signals of interest: Coarse sensing to determine the presence or absence of signals within scanned frequency bands; and fine sensing by exploiting the features of signals. (Slide 5)

2 Presentation Slides

The presentation slides provided in the next pages summarize the work performed in this in-house project during the FY-12.





DoD Spectrum Dependence

- Communication
- Telemetry
- Surveillance
- Radio location
- Radio navigation
- RDT&E





Program Objective



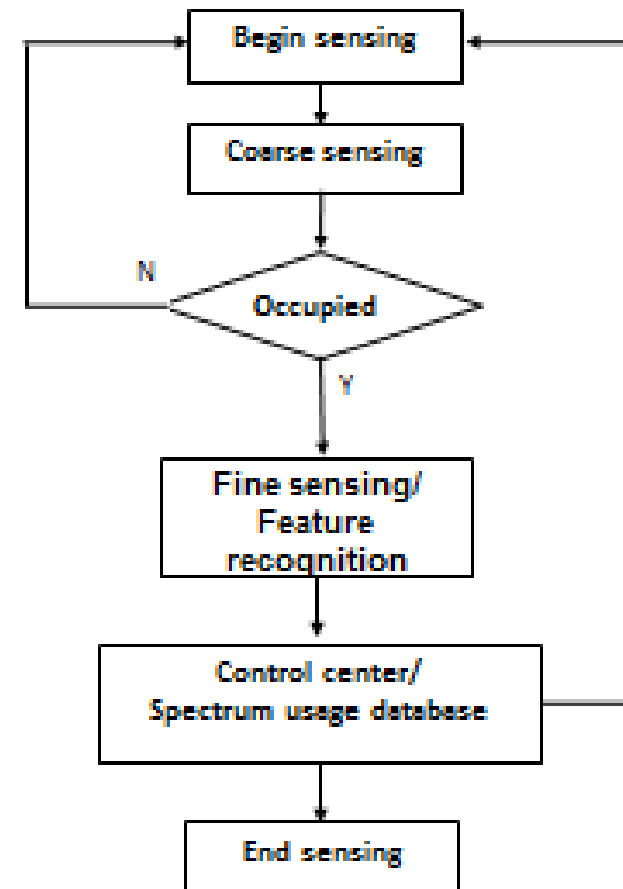
- To achieve effective spectral awareness
 - Sensing method capable of making cooperative decisions over multiple frequency bands is essential
 - Many conventional spectrum sensing methods
 - Either single-channel or non-cooperative signal detection
 - Vulnerable to noise uncertainty, fading, and shadowing
- Objective: develop wideband cooperative spectrum sensing and signal detection techniques
 - Spatial diversity: multi-sensors joint decision
 - Spectral diversity: multi-channel detection
 - Effective spectral awareness



Approach



- Cooperative sensing: single sensor to multiple sensors
- Wideband sensing: single-channel to multi-channel
- Two steps sensing to detect and exploit the signals of interest (SOI)
 - Coarse sensing (signal detection): determine the presence or absence of signals within scanned frequency bands
 - Fine sensing (feature recognition): exploiting the features of signals





Coarse Sensing/Signal Detection



- Objective: quickly & reliably determine the existence of signals in multiple frequency bands by joint detection
- Challenge:
 - No prior information about the signals, blind/semi-blind detection approaches
 - Speed: low computational complexity to achieve quick sensing (low complexity leads to less accurate sensing result)
 - Accuracy: multi-sensors/antennas cooperative sensing to improve the performance
- Two main tasks:
 - Develop a wideband blind/semi-blind sensing method that has the right balance between speed and accuracy
 - Find optimal cooperation strategy to combine sensing results from multiple sensors



Fine Sensing/Feature Recognition



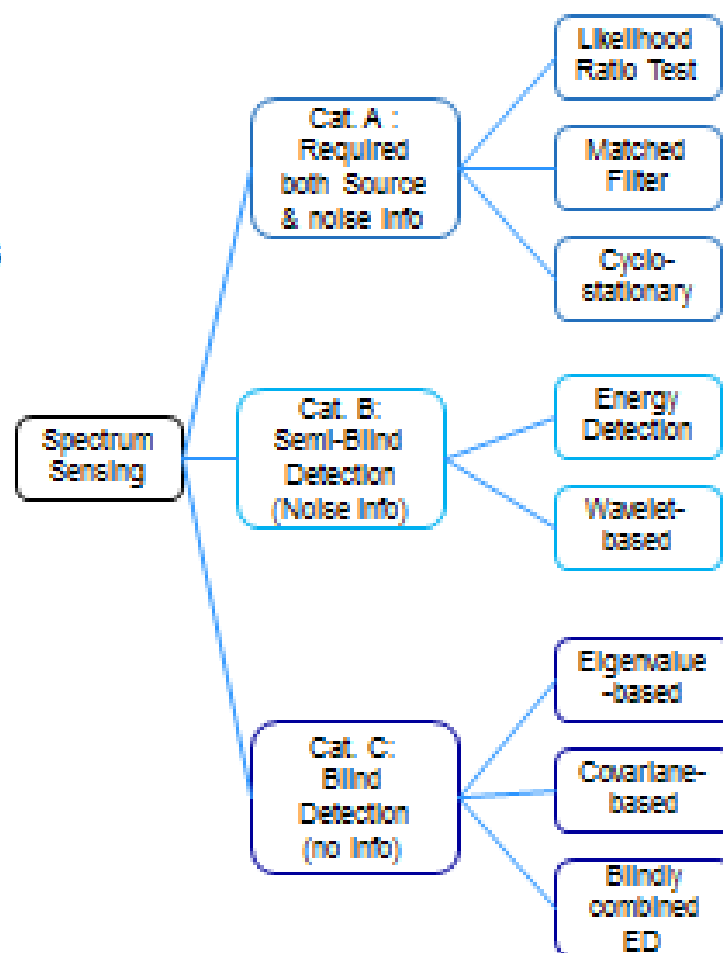
- **Objective: exploit & estimate the features (i.e. bandwidth, center frequency, modulation type, etc.) of a signal**
 - **Fine sensing initiate only when signals been detected in the coarse sensing**
 - **Some information regarding the noise distribution or signal distribution may already been obtained**
 - **Known pieces of information can be passed on to fine sensing to assist with features recognition**
 - **Methods require signal and/or noise information can be used**



Sensing Methods



- Spectrum sensing & signal detection is not new
- Cognitive Radio further stimulates its development
- Each method has different requirements for implementation
- Complexity and accuracy of sensing methods is increasing as the information required for detection increasing



7



Cooperative Detection



- **Constraint of many conventional detection methods**
 - Non-cooperative signal detection in single frequency band
 - Sensing reliability degraded due to noise and fading
- **Information from multiple sensors can be combined to obtain more accurate decision**

Cooperative Sensing		
Distributed	Centralized	
share information among each other but make own decisions	Data feed: each node send raw data, central unit make decision	Decision feed: process data, send only the decision to central unit



Cooperation Strategies



- **Each cooperation strategy has its own decision rule for signal detection**

Strategies	Decision Rule for Detection
Voting	# of votes for detection > # of votes for no detection
OR	Out of N individual decisions, any one detected signal
AND	All sensing nodes have to detect signal
Linear combination	Normalized weight assigned to local decision according to their SNR, global decision reached by combining weighted local decisions



Mathematical Model



- The problem of detection of the signal can be modeled as a binary hypothesis testing problem:

$$\mathcal{H}_0 : y_i(n) = w_i(n)$$

$$\mathcal{H}_1 : y_i(n) = s_i(n) + w_i(n)$$

- The performance of the detection algorithm can be summarized with 2 probabilities: probability of detection and probability of false alarm:

$$p_f = \Pr (T > \gamma | \mathcal{H}_0)$$

Small P_f results in higher spectrum efficiency

$$p_d = \Pr (T > \gamma | \mathcal{H}_1)$$

Large P_d value lead to better chance of detecting signal



Linear Cooperative Sensing



- **Individual sensor**
 - Calculates a summary statistic over an interval of N samples
 - Then statistic is sent to fusion center
- **Fusion center**
 - Assigns a weight to each sensor based on the summary statistic received, then combine them linearly
 - The weight for a particular sensor represents its contribution to the global decision
 - Large weight assigns to those sensors have high-SNR; small weight for sensors with low-SNR



MATLAB Simulation



Parameters: Primary user's signal $s(k)=1$; # of samples: $N=20$;
fixed channel noise variance of 0.5; # of cooperative sensors: $M=1, 3$, and 6

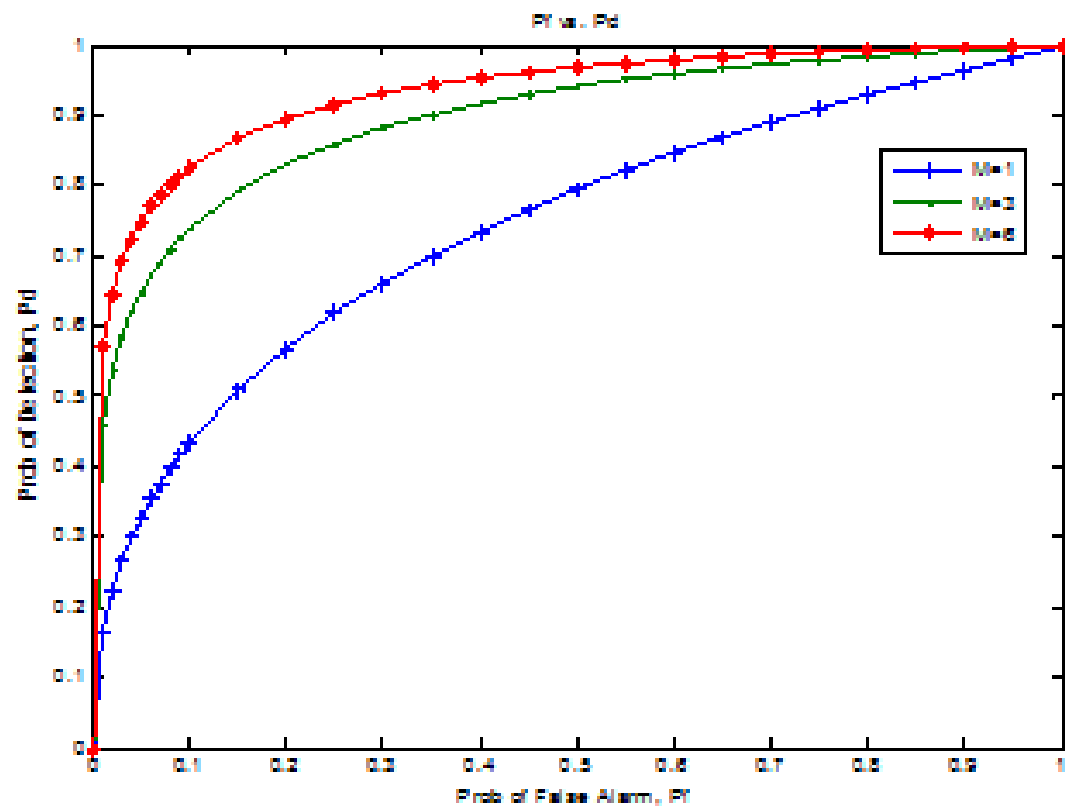
	M=1	M=3	M=6
SNR (dB)	8.3	{10.4, 9.3, 2.6}	{7.2, 5.1, 10.8, -1.2, 3.6, 9.7}
Sensing noise Variance	1.9	{0.7, 1.0, 0.9}	{0.9, 1.3, 1.0, 2.0, 1.8, 1.2}

Result of optimal weights and P_d values for a given P_f value

	M=1	M=3	M=6
Weight Vector	1	{0.8348 0.5165 0.1909}	{0.4713; 0.2328 0.6758; 0.0438 0.1285; 0.4986}
P_d (given $P_f=0.1$)	0.4342	0.7408	0.8265
P_d (given $P_f=0.6$)	0.8463	0.9599	0.9803



Simulation Plot



Probability of detection vs. Probability of false alarm



Wavelet-based Sensing



Goal:

- **Investigate the potential of wavelet transform for wideband cooperative signal detection**

Motivation:

- **Extensive research on wavelet analysis for images and time series**
- **Only limited research has applied wavelet approach to spectral detection**
 - Work by Z. Tian & G.B. Giannakis: Edge detection [2]
 - Work by Y. Hur, & J. Park: Multi Resolution [3]
 - Non-cooperative detection

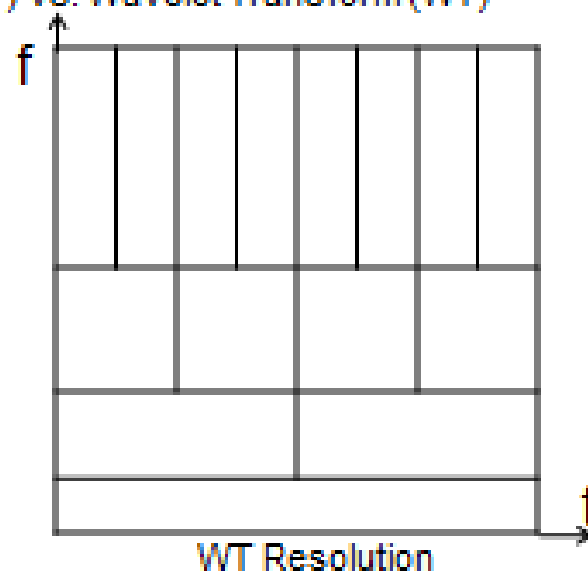
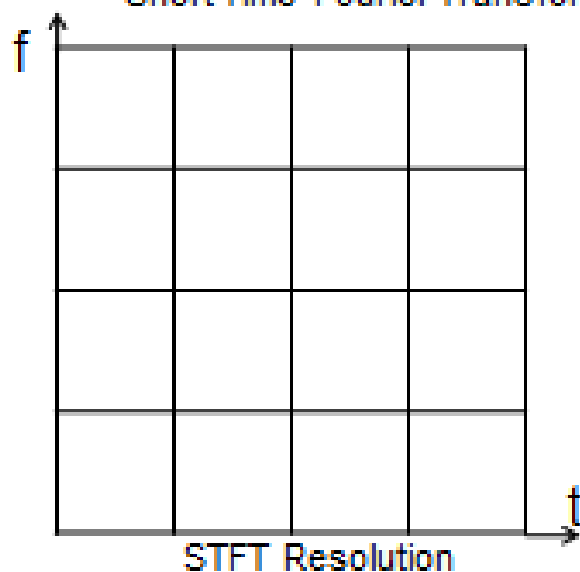


Time-Frequency Analysis

Fourier Transform vs. Wavelet Transform

	Fourier Transform	Wavelet Transform
Processing Signal Type	Stationary	Non-stationary
Provided Information	Frequency	Both time and frequency

Short Time Fourier Transform (STFT) vs. Wavelet Transform (WT)



13



Wavelet Transform



- **Continuous Wavelet Transform (CWT)**

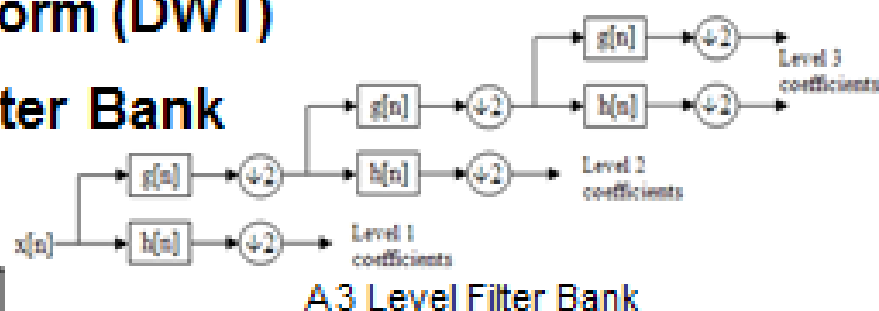
- The mother wavelet function $\psi(t)$

- The scale factor a

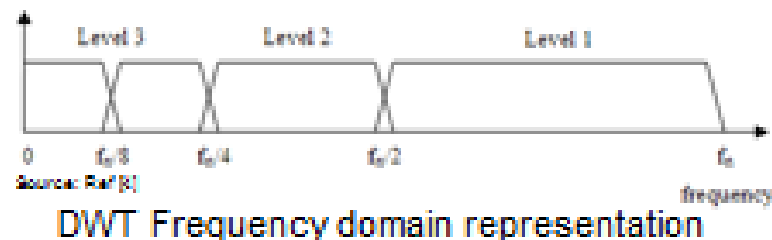
$$X_w(a, b) = \frac{1}{\sqrt{a}} \int_{-\infty}^{\infty} x(t) \psi^* \left(\frac{t - b}{a} \right) dt$$

- **Discrete Wavelet Transform (DWT)**

- **Decomposition by Filter Bank**



Level	Frequencies	# of Coefficients
3	0 to $f_n / 8$	$n/8$
	$f_n / 8$ to $f_n / 4$	$n/8$
2	$f_n / 4$ to $f_n / 2$	$n/4$
1	$f_n / 2$ to f_n	$n/2$





Next Step



- **Linear Cooperative Sensing**
 - Extend to wideband detection
- **Wavelet-based Sensing**
 - Continue working on the simulation code to investigate the effectiveness for wideband sensing
 - Feasibility for cooperative sensing
- **Investigating other blind detection methods**
 - Eigenvalue-based
 - Sub-space analysis



Program Schedule



	FY11	FY12	FY13
Project Phases			
• Research	▲		▲
• Algorithm for coarse sensing	▲	▲	
• Algorithm for fine sensing		▲	▲
• Software Development	▲		▲
• Software Test		▲	▲
• Final Technical Report			▼

3 References

- [1] Department of Defense Net-Centric Spectrum Management Strategy, Assistant Secretary of Defense (Networks and Information Integration) Department of Defense Chief Information Officer, 3 August 2006.
- [2] Z. Tian and G. B. Giannakis, "A wavelet approach to wideband spectrum sensing for cognitive radios", in Proc. *1st Int. Conf. Cogn.Radio Oriented Wireless Netw. Commun.(CROWNCOM)*, Greece, Jun. 8–10, 2006, pp. 1–5.
- [3] Y. Hur, J. Park, W. Woo, K. Lim, C.-H. Lee, H. S. Kim, and J. Laskar, "A wideband analog multi-resolution spectrum sensing (MRSS) technique for cognitive radio (CR) systems," in Proc. *IEEE Int. Symp. Circuits Systems (ISCAS)*, Island of Kos, Greece, May 2006, pp. 4090–4093.
- [4] http://en.wikipedia.org/wiki/Discrete_wavelet_transform

List of Acronyms

SOI	Signals of Interest
SNR	Signal to Noise Ratio
Pd	Probability of Detection
Pf	Probability of False Alarm
CWT	Continuous Wavelet Transform
DWT	Discrete Wavelet Transform