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Data Science at the Defense Personnel and Security Research Center

Mission: Improve the Effectiveness, Efficiency, and Fairness of DoD Personnel Security and Suitability Programs

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PERSEREC – Who We Are

1986

In the wake of catastrophic espionage by John Walker and other spies in the mid 1980s, PERSEREC was established to improve the effectiveness, efficiency, and fairness of personnel security in the DoD

Since 2011

In the wake of events like 9-11, Ft. Hood, and the Washington Navy Yard shootings, we expanded our mission to address a broader range of human capital, insider threat, workplace safety, and security issues

Throughout our history, we have:

- Conducted long-term programmatic research for the military, security, and intelligence communities
- Provided quick-response analyses and studies in support of policy makers, systems operations, military leaders, and security practitioners
- Developed innovative tools and job aids

PERSEREC's Core Capabilities

1. Automated Background Record Checks
2. Emerging Indicators, e.g., Social Media, Mental Health
3. Special Policy Initiatives, e.g., Suicide & Sexual Assault
4. Security and Suitability Vetting
5. Insider Threat
6. **Data Science**

Several current and planned initiatives apply advanced data integration and analytic techniques to improve the quality, speed and cost-effectiveness of solutions to important policy and operational challenges.

Data Science: Current Initiatives

- Data Science Hadoop cluster projects since 2013, e.g.:
 - ✓ Modeling attrition and retention of high value mil occupations
 - ✓ Exploring innovative efficiencies for DMDC database operations
 - ✓ Modeling security clearance requirements for OUSD(I)
- Working on wider DoD Data Science Collaborations, e.g.:
 - ✓ DMDC as the Advanced Analytics Center for OUSD(P&R)
 - ✓ Partnerships with NPS, local universities and other groups

Data Science: Possible AT&L Initiatives

1. Modeling/Predicting Key Acquisition Program Outcomes
Goal: Identify key and unknown causal factors associated with the performance of AT&L programs and contracts.
 - ✓ Predictors: structured and natural language data from AT&L DBs
 - ✓ Analysis: e.g., Random Forest and Logistic Regression
 - ✓ Predicting: Factors associated with contracts/programs being:
 - on-time (or length of over-run)
 - within budget (or amount over/under budget)
 - acceptable deliverables (ideally quality of products/services)

Data Science: Possible AT&L Initiatives

2. Modeling/Estimating DoD Security Costs

Goal: Create a taxonomy of DoD security types and costs to help estimate overall costs of security across DoD.

- ✓ Predictors: e.g., DTIC, FPDS, DAMIR, personnel records, others
- ✓ Analysis: e.g., Clustering and taxonomic modeling
- ✓ Predicting: Taxonomies and costs for each taxonomy, covering:
 - Personnel security
 - Information security
 - Physical security
 - Industrial security
 - Operations security

Summary Thoughts

- Potential efficiencies in AT&L's \$360B managed portfolio
- Extant Data + Data Science = innovative actionable insights
- Data Science HW & SW are necessary but not sufficient
- Success = Data Science HW & SW + domain expertise
- Data Science capabilities exist now in the Monterey area

Back Up Slides

PERSEREC: Example Areas of Success

Success = Implementation of PERSEREC recommendations and tools

- Production and revision of the National Adjudicative Guidelines specified in E.O. 12968
- Phased Periodic Reinvestigation (PPR) method for continuing TOP SECRET access eligibility
- Electronic adjudication (“eAdjudication”) of clean SECRET cases
- Tools for evaluating the quality of investigations and adjudications
 - RAISE: Rapid Assessment of Incomplete Security Evaluations
 - RADAR: Review of Adjudication Documentation Accuracy and Rationales
- Automated Continuous Evaluation System (ACES) for clearances holders IOC
- Tools for security and intel professionals (e.g., “Adjudication Desk Reference,” “Customizable Security Guide,” and “Insider Risk Evaluation and Audit Tool”)
- Espionage trend analyses (e.g., “Changes in Espionage by Americans 1947-2007”)
- DIRE: “Dispositional Indicators of Risk Exposure” [DIRE] tool for assessing personality characteristics that are security and safety risks
- DoD adjudicator certification standards and procedures

The PPR and eAdjudication have saved the DoD more than \$250M