

VACUUM SURFACE FLASHOVER AND HIGH PRESSURE GAS STREAMERS

J. M. Elizondo, M. L. Krogh, D. Smith, D. Stoltz, and S. N. Wright
AlliedSignal Federal Manufacturing & Technologies (FM&T)*

S. E. Sampayan, G. J. Caporaso, P. Vitello, and N. Tishchenko
Lawrence Livermore National Laboratories

Pre-breakdown current traces obtained during high pressure gas breakdown and vacuum surface flashover show similar signatures. The initial pre-breakdown current spike, a flat constant current phase, and the breakdown phase with voltage collapse and current surge differ mostly in magnitude. Given these similarities, a model, consisting of the initial current spike corresponding to a fast precursor streamer (ionization wave led by a photoionizing front), the flat current stage as the heating or glow phase, and the terminal avalanche and gap closure, is applied to vacuum surface flashover. A simple analytical approximation based on the resistivity changes induced in the vacuum and dielectric surface is presented. The approximation yields an excellent fit to pre-breakdown time delay vs applied field for previously published experimental data. A detailed kinetics model that includes surface and gas contributions is being developed based in the initial approximation.

I. INTRODUCTION

Vacuum surface flashover seems to be a more complex problem than the name implies. The physics behind flashover is complex and difficult to properly model. Depending on the convenience of the model applied, or suggested by the author, some elements are included or excluded from the picture. Just like with many processes in nature, the elements that control the phenomena may come into play at once, or in stages, as a function of some external controlling parameter. Some elements are present at all times, but their influence is not triggered until some other effect develops. For instance, the surface photoelectron production may not be a factor until a threshold photon fluence is produced. This fluence may not develop until a certain external electron number density develops by thermal ionization. This paper provides the preliminary description of an ongoing development of a flashover model that is inclusive; in other words, most relevant parameters are considered within the model. This model is being developed in conjunction with the high gradient insulator technology [1,2]. The high gradient insulator consists of alternating layers of insulating and conducting film. We have experimentally demonstrated that by controlling the surface and surface effects stimulated either by electrons or photons, field gradients comparable to vacuum breakdown levels are possible [3,4].

There are several papers reviewing some of the most popular models described during the last few years [5]. There are, also, many papers describing ways to enhance surface flashover holdoff voltage [6]. The most popular model describing vacuum surface flashover is based on surface secondary electron emission developing a surface avalanche. This results in that by controlling secondary electron emission (SEE), a substantial enhancement to voltage holdoff is obtained. By far the largest voltage holdoff enhancement is provided by controlling SEE. This has been done in several ways, the most popular being surface coating with oxides. This is even true in RF applications where multipactoring suppression is extremely beneficial for the lifetime of accelerator windows [7].

The results shown in this paper are only an approximation of an ongoing model development effort. A description of the physical processes involved in the model as well as the experimental/theoretical fit is presented. Preliminary calculations performed using the physical description of the proposed model yielded a good approximation to experimental data between pre-breakdown time delay and applied field.

* Operated for the United States Department of Energy under Contract No. DE-ACO4-76-DP00613.
©Copyright AlliedSignal Inc., 1997.

Report Documentation Page

*Form Approved
OMB No. 0704-0188*

Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

1. REPORT DATE JUN 1997	2. REPORT TYPE N/A	3. DATES COVERED -			
4. TITLE AND SUBTITLE Vacuum Surface Flashover And High Pressure Gas Streamers		5a. CONTRACT NUMBER			
		5b. GRANT NUMBER			
		5c. PROGRAM ELEMENT NUMBER			
6. AUTHOR(S)		5d. PROJECT NUMBER			
		5e. TASK NUMBER			
		5f. WORK UNIT NUMBER			
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) AlliedSignal Federal Manufacturing & Technologies(FM&T)		8. PERFORMING ORGANIZATION REPORT NUMBER			
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)			
		11. SPONSOR/MONITOR'S REPORT NUMBER(S)			
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES See also ADM002371. 2013 IEEE Pulsed Power Conference, Digest of Technical Papers 1976-2013, and Abstracts of the 2013 IEEE International Conference on Plasma Science. Held in San Francisco, CA on 16-21 June 2013. U.S. Government or Federal Purpose Rights License.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT SAR	18. NUMBER OF PAGES 6	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

II. DESCRIPTION OF THE MODEL

A comprehensive model of vacuum surface flashover must include as many relevant processes as computationally possible. Some of the most popular models are based on surface secondary emission with minimum influence from the gaseous components present at the surface vicinity. A robust model cannot assume only surface effects and ignore gas and gaseous elements of the discharge. In fact, gaseous components may initiate and open the ionization path that allows energy deposition into the flashover path, and gaseous components may also be responsible for the intense luminosity observed before full current collapse [8]. One of the first suggestions that gas desorbed by electron bombardment creates a high pressure environment for a gas streamer type of breakdown was presented in the late 60s [9, 10]. An excellent argument for a vacuum flashover model based on high pressure was suggested in the mid-80s by Eoin W. Gray [11]. The model presented in this paper follows the approach suggested by these two papers and complements them by introducing surface effects not previously considered. The model is presented in a comprehensive form by way of different medium impedance. An impedance distribution is assigned to each strong contributor: 1) dielectric subsurface, formed by the first few solid monolayers; 2) dielectric solid-gas interface, first monolayers outside the solid where adsorbed molecules reside and interact; and 3) molecular expanding flow into the measurable vacuum; this is the out-gassed material and desorbed molecules region. Each region contributes differently to the collapse of the overall impedance (or electron number density increases), and they couple in a series parallel type of arrangement. The proposed impedance distribution interacts in a unique way to initiate, develop, and sustain the current flow during flashover.

There are several elements that come into play once the dielectric is introduced in a vacuum chamber with a pair of electrodes: 1) a solid dielectric; 2) a solid-to-vacuum interface, which may be high pressure; 3) a layer of adsorbed material, strongly interacting with the first few solid monolayers; 4) a layer of desorbed and outgassed molecules, from the solid into the vacuum; 5) a region of vacuum, measured by instruments; and 6) the electrode metallic surface. This environment exists, within the gas dynamics of the molecular flow established by the vacuum pumping system, before voltage is applied to the system.

Once voltage is applied, the conditions for flashover may exist as follows: 1) the cathode surface injects electrons to the gap by explosive field emission; this emission is statistically distributed throughout the surface including the triple point; current resulting from this emission follows Child's-Lagmuir equation [12]; 2) the triple point electric field is enhanced by the dielectric mismatch at the interface following:

$$E2(h1,h2) := \frac{\epsilon1 \cdot Ut}{\epsilon2 \cdot h1 + \epsilon1 \cdot h2}$$

where: h1 and h2 are the dielectric thickness and the mismatch gap at the triple point, $\epsilon1$ and $\epsilon2$ are the dielectric constants of the dielectric and the gap, and Ut is the applied voltage; 3) emission from the triple point is enhanced and results in dielectric electron injection and local electron impact ionization; 4) photons are generated by the developing ionization front [13] following a simple relation that involves the population of excited states Ne :

$$\frac{d}{dt} Nph := \delta \cdot Ne - \frac{Nph}{\tau i}$$

where Nph is the number of photons, δ is a multiple of the impact ionization rate and τi is the lifetime of the excited states; 5) surface conductivity is dramatically increased, to a constant value, by photoelectron production down to the dielectric photon absorption length; 6) gas conductivity is also increased by

precursor ionization and enhanced cathode photoionization [14]; 7) dielectric and gas impedance drop in a parallel configuration with a constant surface impedance in series (ahead of the propagating discharge); 8) the propagating discharge is dominated by surface released material and secondary electron emission strongly coupled to the surface; 9) secondary emission provides the electron number density required to initiate fast streamer precursor mechanism; 10) if the material is a semiconductor or a photo-conductor, photon density downstream of the ionization front may generate bulk photoelectrons faster than all outside the surface processes. As a consequence, the streamer “walks” into the surface producing a partial flash [15].

Table 1 shows the simplified time dependent electron number density equations for the three different sections considered to participate in the flashover process.

BULK	IN-SURFACE	OUT-SURFACE
$\frac{dn}{dt} = L - An_i n + N_B + Bn_t$	$\frac{dn}{dt} = L - An(n + N_i) + Bn_t - Cn$	$\frac{dn}{dt} = S + ns + Dn - An^2 - Bn$
$\frac{dp}{dt} \approx similar$	$\frac{dp}{dt} \approx similar$	S: Primary Electrons Source
L: PhotoElectron Source	Cn: Attachment by adsorbed molecules	ns: Secondary Electron Emission
Bnt: Thermal Evaporation of Trap Carriers	$N_i > N_B$	Dn: Desorbed ionized species
A: Recombination Rate	Trap concentration greater than in the bulk -	A: Recombination
n Carrier Concentration	Secondary conditions	B: Attachment
p	a) Thermal effects	Secondary conditions
N_B Traps or Impurity Concentration	b) Lowering of work function by adsorbed molecules	a) High density of desorbed material
	c) Dipolar layers	b) High density of ionizing residues
	d) Polarization and Polaron density.	c) Wide spectrum photon flux
	e) Resonant ionization.	

III. MODEL APPROXIMATION RESULTS

Based on the resistivity changing as a function of time, a simple analytical approximation to this model was developed. This was done to test the basic idea and to see if the processes involved will produce a sensible answer. The resistivity changes as a function of time based in the increasing electron number density. The electron number density increases based on several mechanisms such as 1) electron impact ionization, 2) photoionization, and 3) secondary electron production. We assumed an initial electron number density based on the surface charge left behind after flashover (SEE production is considered as the minimum electron number density required to flash) [16]; the flash propagation velocity was taken from observations [17]. The dielectric surface resistivity was compared with measurements taken on ceramic (after the sample flashover and not recovered) [18].

A simple approximation for the resistivity (no ion contribution) is:

$$\rho_0(t, t_i) := \frac{m_e w}{n_e(t, t_i) \cdot q^2} + 10^{3.3} \cdot \text{ohm}\cdot\text{cm}$$

where: m_e is the electron mass, w is the collision frequency (approximated by the plasma density), t and t_i are the gap distance and pulse length required to calculate the electron number density n_e ; the constant factor ($10^{3.3}$) represents the dielectric surface resistivity.

It has been estimated that the threshold electron number density required to sustain a streamer-like avalanche is 10^8 electrons [19], with the channel radius of 0.2 mm [20] and a length of 1 mm. This corresponds to $3.18 \cdot 10^{13}$ e/cc ($3.18 \cdot 10^{14}$ e/cc with a 0.1 mm length). Current measurements [21], confirmed by full 2D simulations [22], set the initial electron number density for high pressure streamer formation at 10^{14} e/cc. In our model the initial number density is on the order of 10^{10} - 10^{11} e/cc in correspondence with the lower pressures.

The model results were compared with data relating applied electric field gradient with time delay to flashover [17]. Figure 1 shows the results of such comparison.

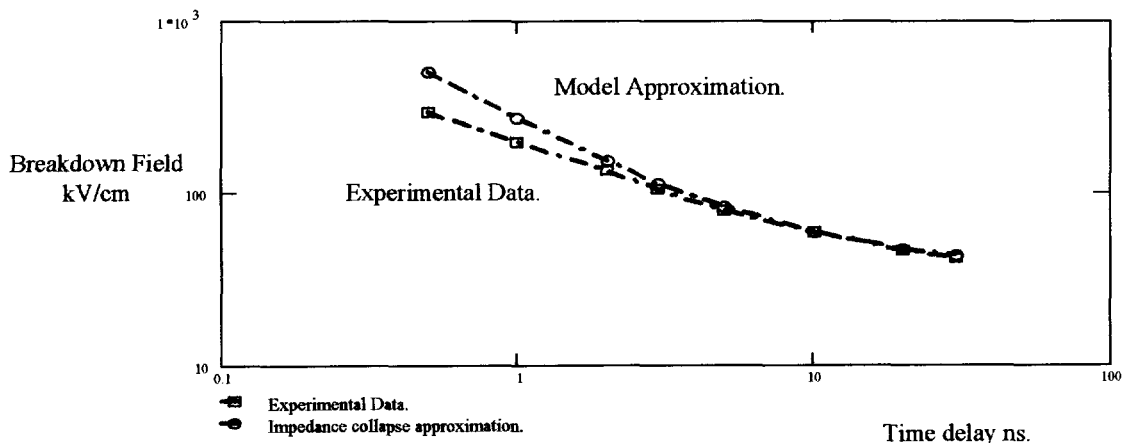


Figure 1. Comparison of model results with experimental data for Alumina insulators. The fit up to 1 ns is within the data scatter; the model follows the bend at long delay times.

As can be seen, the fit is within the data scatter down to 1 ns. The fit follows the bend observed after 10 ns which departs from the simple E^{-2} fit. The curve fit is greatly improved when a larger electron number density is initially used, but we are most interested in the long pulse regimen. Figure 2 shows the resistivity drop as a function of time for two field gradient levels; as expected, the higher the gradient, the faster the impedance collapses. This corresponds to shorter delay times at higher gradients.

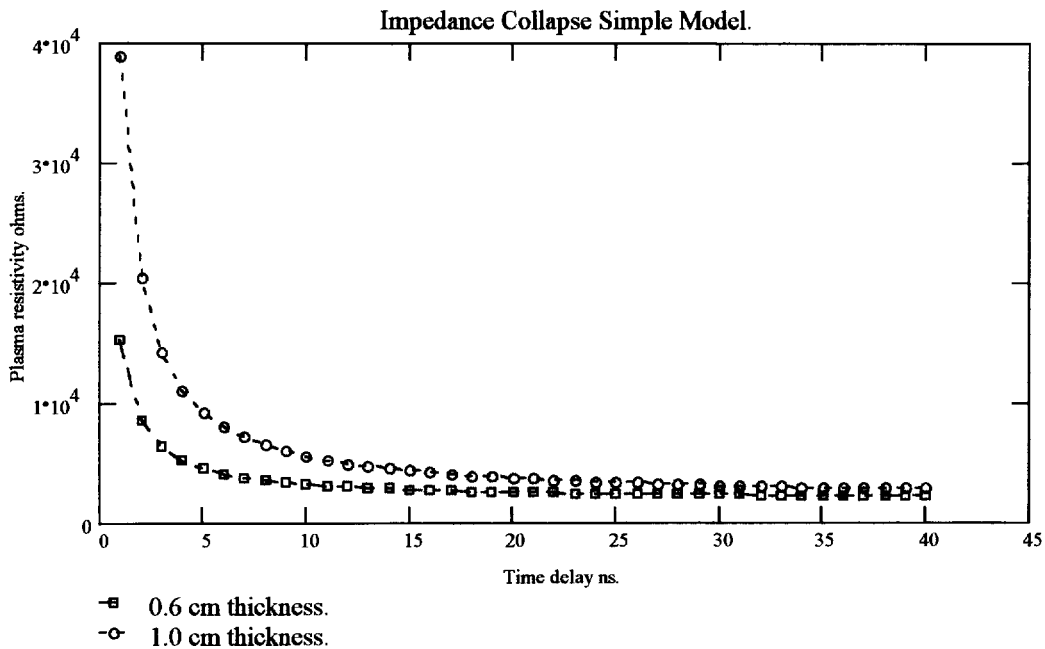


Figure 2. Resistive drop of the surface-gas interface. The model predicts the threshold impedance value for flashover.

IV. CONCLUSIONS

A code is being developed to model surface flashover in a comprehensive manner. The formal model includes most fundamental physical processes identified throughout the years as participants of flashover. The model will gauge contributions from these processes and identify, by comparison with experimental data, those that dominate. A simple approximation, to test the validity of the model approach, was used to fit existing experimental data not completely matched by other proposed models. Experimental values and data from successful high pressure streamer formation codes were used in the approximation. It is significant to observe that the surface resistance measured, after tracks formed at the insulator surface, provide threshold value for the surface impedance required in the resistivity equation for the model approximation. Suppression of secondary electron emission produces a great improvement, in flashover strength, because a greater number of electrons are required from the triple point enhancement to initiate an out surface avalanche. The contributions from the first two equations is greatly reduced given that photon production is enhanced by electron stimulated processes. A second important prediction by the model is that the surface impedance changes faster than that of the medium with a strong dependence on the initial DC surface resistivity. The dependence is being explained elsewhere [23]. This explains the strong correlation between surface resistivity values and flashover voltages previously indicated by some researchers [10].

V. REFERENCES

- [1] J. M. Elizondo and A. Rodriguez, Proceedings of the 1992 15th International Symposium on Discharges and Electrical Insulation in Vacuum, Vde-Verlag Gmbh, Berlin, Germany, pp. 198-202.
- [2] S. Sampayan, et. al., Proceedings of the 1995 Particle Accelerator Conference, (IEEE), New York, N.Y., pp. 1269-1271.
- [3] J. M. Elizondo, Proceedings of the 1993 9th International Pulsed Power Conference, (IEEE), Albuquerque, N.M., pp. 257-260.

- [4] S. Sampayan, et. al., Proceedings of the 1995 Particle Accelerator Conference, (IEEE), New York, N.Y., pp. 2123-2125.
- [5] R. A. Anderson, 1978 Ann. Rept. CEIDP, 173-178, (1979).
- [6] L. L. Hatfield, E. R. Boerwinkle, G. R. Leiker, H. Krompholz, R. Korzekwa, M. Lehr, M. Kristiansen, "Methods of Increasing the Surface Flashover Potential in Vacuum," IEEE Transactions on Electrical Insulation 13th International Symposium on Discharges and Electrical Insulation in Vacuum (ISDEIV).
- [7] T. L. Houck, G. J. Caporaso, C. C. Shang, S. E. Sampayan, N. E. Molau, M. L. Krogh, "Measured and Theoretical Characterization of the RF Properties of Stacked, High-Gradient Insulator Material," Proceedings of 1997 IEEE Particle Accelerator Conference.
- [8] H. Kirkici, "Optical Emission Characteristics of Polycrystalline Diamond During Surface Flashover in Vacuum," 1996 IEEE 17th International Symposium on Discharges and Electrical Insulation in Vacuum, Berkeley, 1996.
- [9] S. P. Bugaev, A.M. Iskol'dskii, and G. A. Mesyats, Sov. Phys. Tech. Phys. 12, 1358 (1968).
- [10] A. A. Avdienko and M. D. Malev, Sov. Phys. Tech. Phys. 22, 986 (1977).
- [11] Eoin W. Gray, "Vacuum Surface Flashover: A High-Pressure Phenomenon," J. Appl. Phys. 58(1), 1 July 1985.
- [12] J. M. Elizondo, A. E. Rodriguez, E. M. Honig, "Characterization of an Insulated SCL Non-relativistic Electron-beam Diode Operating at 300 kV/cm," IEEE Transactions on Dielectrics and Electrical Insulation, Vol. 2, No. 3, June 1995.
- [13] Penney, G. W. and Hummert, G. T., "Photoionization Measurements in Air, Oxygen, and Nitrogen," J. of Appl. Phys., Vol. 41, No. 2, pp. 572-577, February 1978.
- [14] C. Wu and E. E. Kunhardt, "Formation and Propagation of Streamers in N₂ and N₂- SF₆ Mixtures," Phys. Rev. A., Vol. 37, No. 11, pp. 4396-4406, June 1988.
- [15] J. M. Elizondo and M. Lenzner, "Silicon and Gallium Arsenide Vacuum Surface Flashover Improvement," 15th International Symposium on Discharges and Electrical Insulation in Vacuum, Darmstadt, 1992.
- [16] C. H. DeTourel and K. D. Srivastava, IEEE Trans. EI 8, 17, (1973).
- [17] R. A. Anderson, Appl. Phys. Letts., 24, 54-56, (1974).
- [18] T. Suzuki, "Flashover Voltage over Ceramics in High Vacuum," Japanese Journal of Applied Physics, Vol. 13, No. 10, October, 1974.
- [19] E. W. Gray and T. A. Uhrig, IEEE Trans. Comp. Hybrids and Manuf. Tech., CHMT-3, 442-448, (1980).
- [20] A. E. Rodriguez, W. L. Morgan, K. J. Touryan, W. M. Moeny, and T. H. Martin, "An Air Breakdown Kinetic Model," J. Appl. Phys. 70 (4), 15 August 1991.
- [21] J. Wells, UNM MS thesis EE, 1987.
- [22] A. E. Rodriguez, K. J. Touryan, and W. M. Moeny, in Proceedings of the 1989 IEEE Conference on Plasma Science, Buffalo, N.Y., 22-24 May 1989.
- [23] J. Elizondo, et. al., submitted for publication to the Journal of Applied Physics, 1997.