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Task/Subtask IS15.2
CDRL Sequence 03705-001B
30 September 1991

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SOFTWARE TECHNOLOGY FOR ADAPTABLE, RELIABLE SYSTEMS (STARS) PROGRAM

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**SPMS Training Class:
Student Handout**

**Addendum to:
Software Process Tools & Techniques Report**

Contract No. F19628-88-D-0032

Task IS15 - Software Process Management

Prepared for:

**Electronic Systems Division
Air Force Systems Command, USAF
Hanscom AFB, MA 01731-5000**

Prepared by:

**IBM Federal Sector Division
800 North Frederick Avenue
Gaithersburg, MD 20879**

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REPORT DOCUMENTATION PAGE

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13. ABSTRACT (Maximum 200 words) This document is the student handout prepared for the "SEI/STARS P.3 Asset Acquisition Sub-task" training class. The student handout covers basic aspects of process management with respect to the "Software Process Management System". The handout also includes several sample workshop problems. This document is a companion document to IBM CDRL 03705-001, the "Software Process Tools and Techniques Evaluation Report".			
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Training Materials for the

SPMS

Software Process Management System

Click Anywhere To Begin

Another great tool from the SPI Group

Course Objectives

The course will focus on :

- Using the Software Process Management System
- Learning to create process models
- Learning how each element of the SPMS interacts with other elements
- Learning how to use the project specific plans to assist in validation of the process models

By the end of the course , students will have:

- Become familiar with the elements of the SPMS
- Created, instantiated, and simulated their own process models

Course Schedule

Day 1

9:00 Course Introduction and Overview

SPMS Overview

Process Models vs Project Plans

Granularity Issues

Nodes, Constraints, Phases

Architectural Levels

Development Modes

Hierarchical Models

Measurement Model

Graphical Representation

10:00 Building a simple Model in Xpert

Exercise 1

10:30 SPMS model representation

Exercise 2

11:00 Project Specific Data

11:30 Exercise 3

12:00 Lunch

1:00 Discussion of exercises

1:30 Scheduling the Plan

2:00 Exercise 4

2:30 Graphic Monitoring

3:00 Simulation of the Plan

3:30 Exercise 5

4:00 Discussion and review

Day 2

9:00 Review/Questions

9:30 Validation tasks and Rework

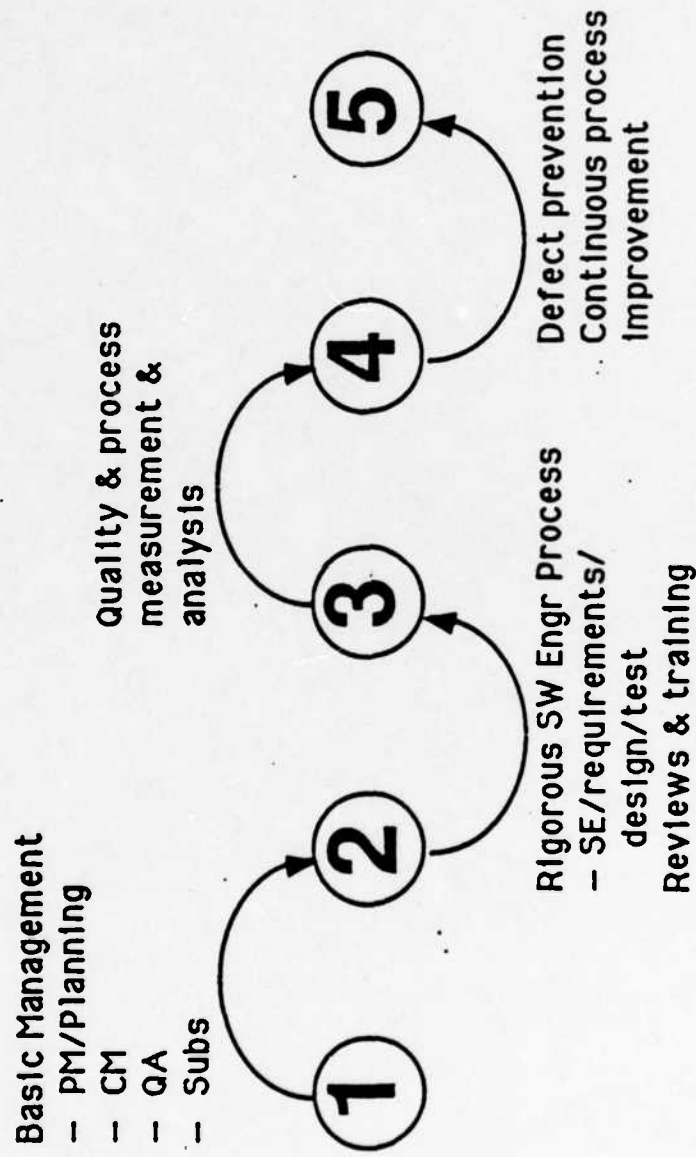
10:00 More Complex Models

10:30 Exercise 6

11:00 Discussion & Questions

11:30 Summary and support issues.

Process Capability Improvement Focus



What is Software Process Management?

Process Model = How

Project Data = What

Plan = How + What

Resources = Who

Durations + Scheduling = When

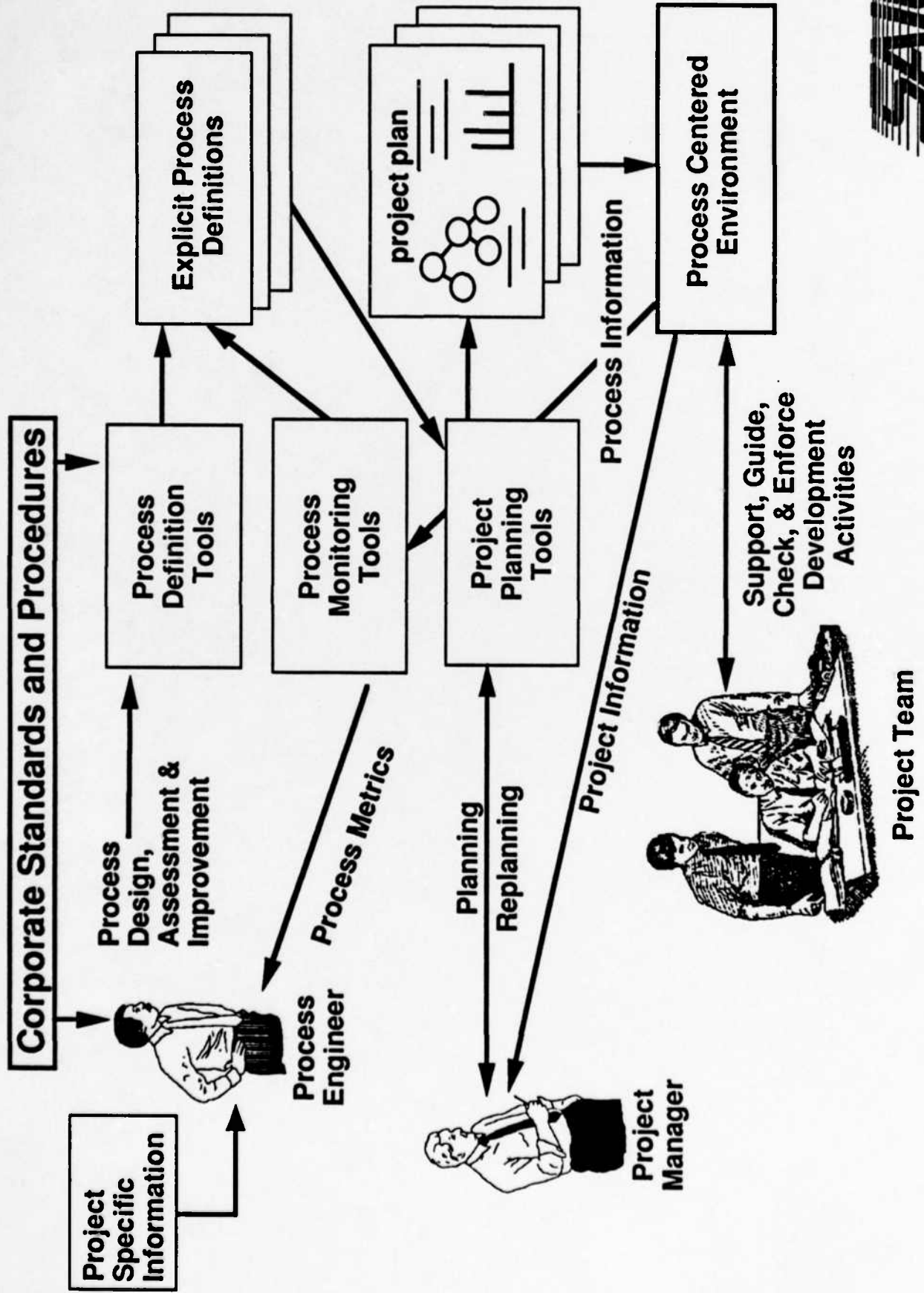
Scheduled Plan with Resources = Who, What, When, How

This Plan + monitoring methods + enactment =

Software Process Management



Process Management Paradigm



Process Models vs Instantiated Plans

Process Model:

Represents a prototypical sequence of tasks, milestones, constraints, and products necessary to produce a prototypical single instance of each of the types of software component within the process model.

Process models provide the framework for producing plans that may be replicated and a framework for metrics which may measure the process.

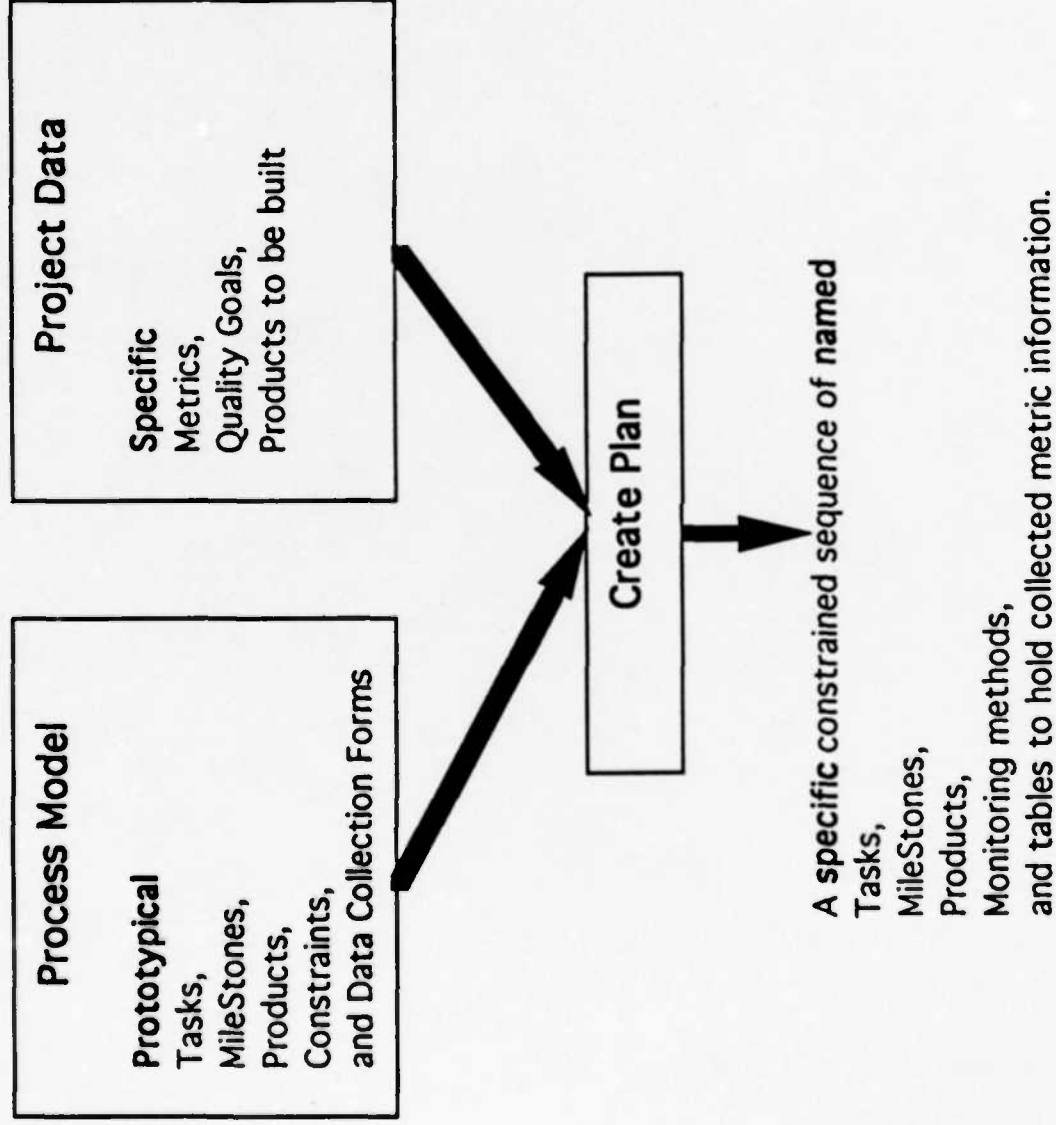
Plans:

Contain numerous specific named and inter-related instances of the software components and the tasks necessary to produce them according to the process model.

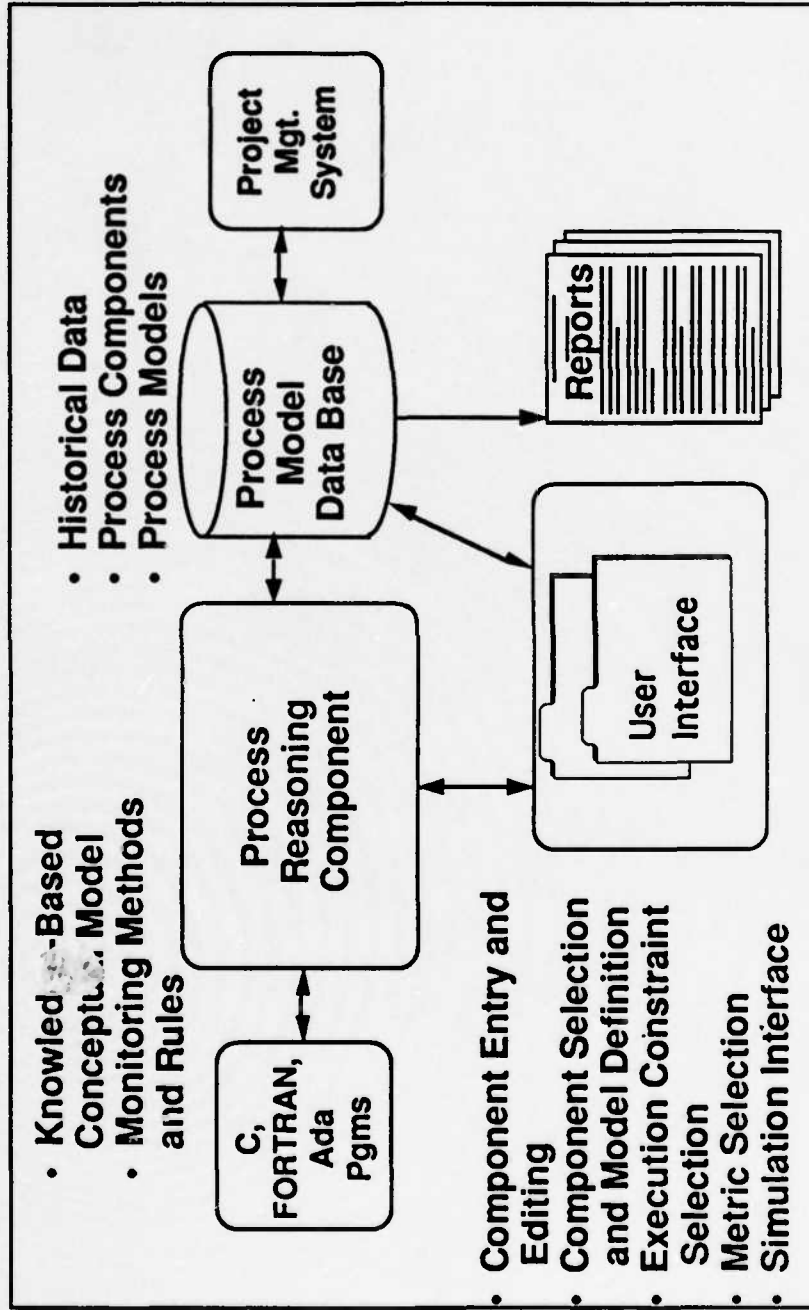
Plans are the baselines for monitoring progress of a specific software development project.

The logo for SAIL, featuring the word "SAIL" in a bold, italicized, sans-serif font. The letters are black with a white outline, and there are horizontal lines trailing off to the right of the letters, giving it a sense of motion or speed.

Model + Project Data = Plan



Architecture of SPMS



Process Model Granularity Issues

Process Interface



Import Graphic Model



Edit Process



Edit IO and Constraints



Edit a Model



Edit Product



Edit Constraints



Delete Model

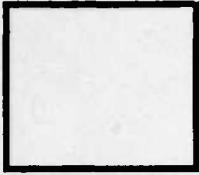


Project Interface

- Entire model
 - Import Graphic Model
 - Delete Model
- Named Groups of Components
 - Edit Model
- Individual Components
 - Edit Process
 - Edit Product
 - Edit Constraints
 - Edit IO and Constraints

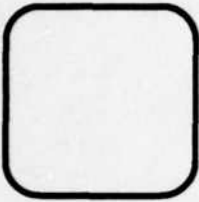
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Nodes



- Task:

The basic component of a process model.



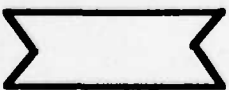
- Milestone:

A special node used to highlight important events in a process model.



- Interface:

A special node used to link subnetworks in the process model. Represents a product in the SPMS.

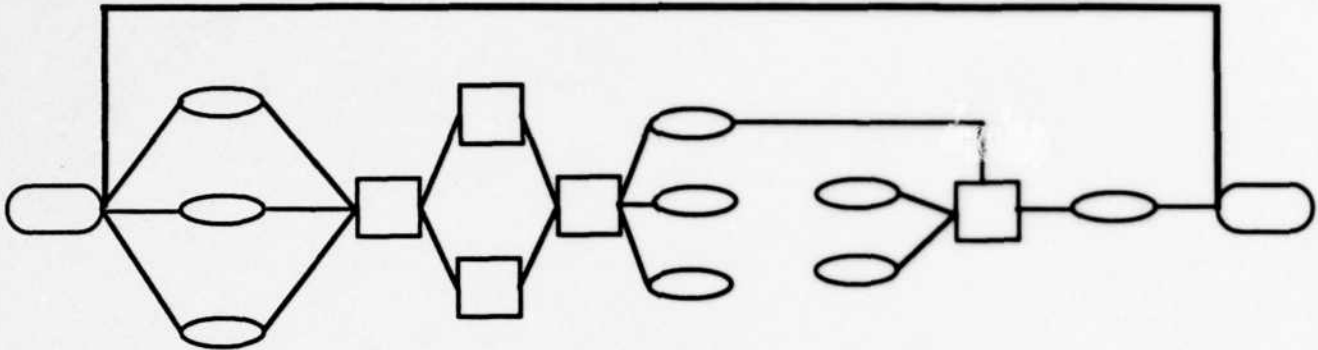


- Reverse: (OR)

A special node used which allows the successor to start when *any* predecessor is complete rather than when *all* predecessors are complete.

Constraints

Systems Analysis Phase



- **Finish to Start:**

- Specifies that a task cannot start until its predecessor is complete.

- **Finish to Finish:**

- Specifies that the completion of a task dictates the completion of its successor. May have a duration to specify lag time between a task and its successor.

- **Start to Finish:**

- Specifies that a task cannot finish until its predecessor starts.

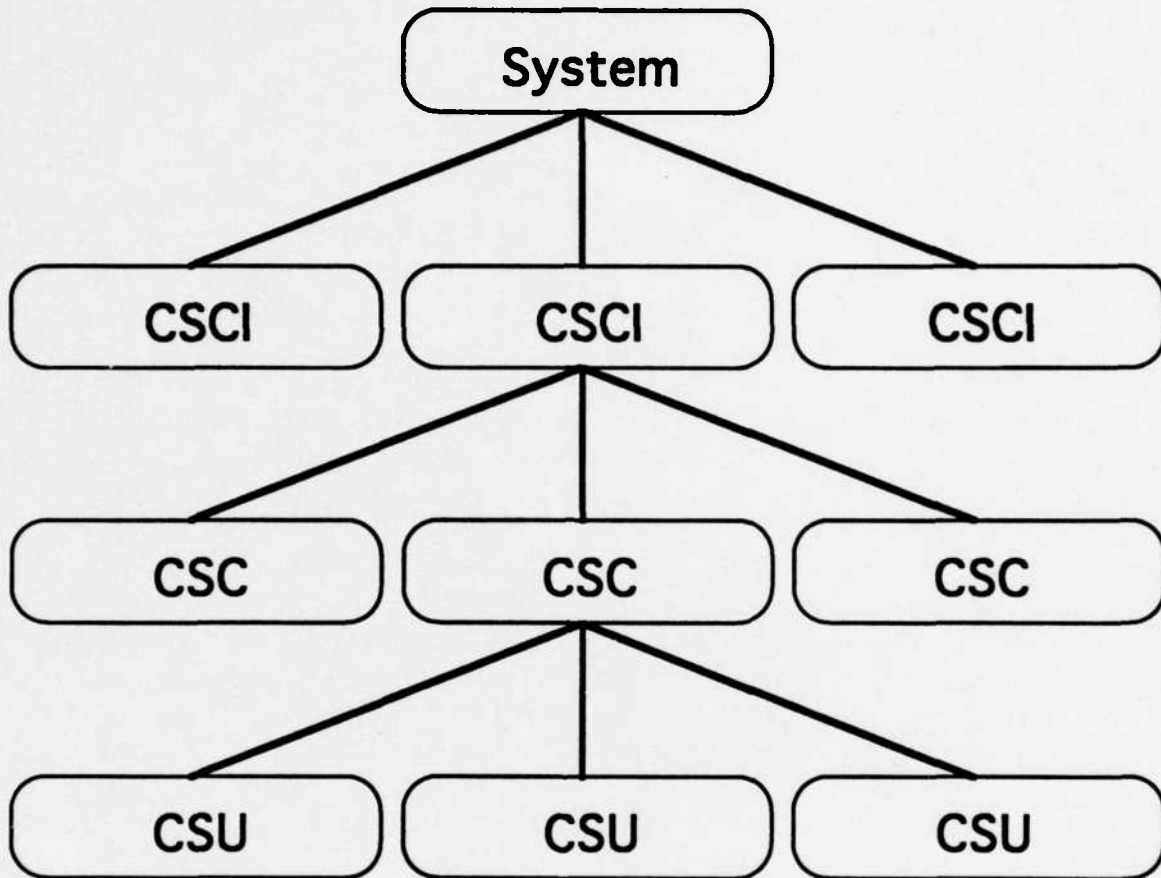
- **Start to Start:**

- Specifies that two tasks can start together. A duration applied to this type of constraint indicates a lead time between the start of one task and the start of its predecessor.

- **Hammock links:**

- May have resources
- Dynamic durations calculated as elapsed time between end points.
- Used to represent phases in SPMS

Architectural Levels



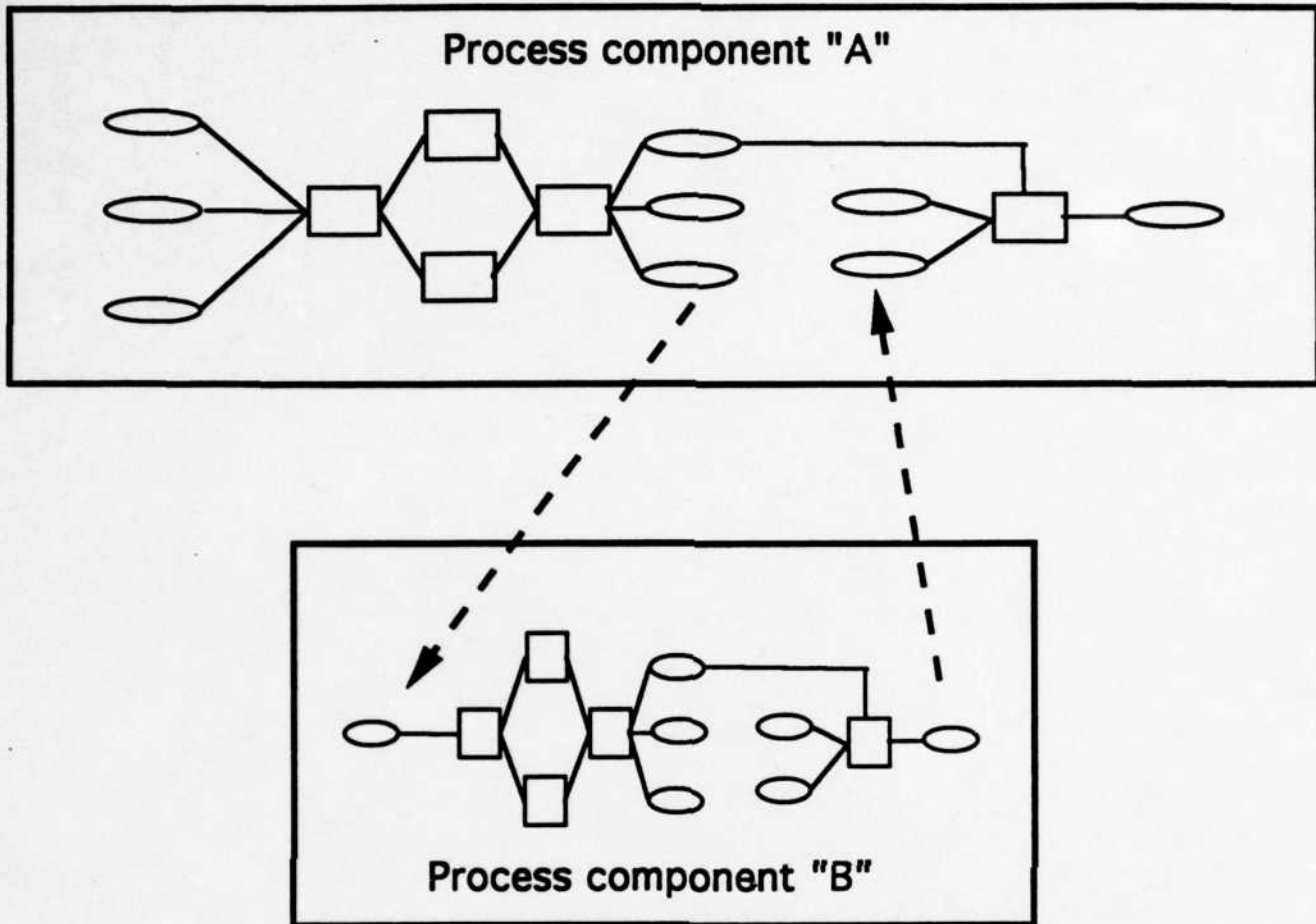
- Alternative architectural levels within a process model
- Nodes in a process model contain an architectural level parameter.
- Project specific software components also contain this parameter.
- Plans contain nodes in which the model and component parameters match on both architectural level and development mode.

Development Modes

- Develop module?
 - Reuse module?
 - Prototype module?
 - User defined....
-
- Alternative sequences of nodes within a process model
 - Nodes in a process model contain a development mode parameter.
 - Project specific software components also contain this parameter.
 - Plans contain nodes in which the model and component parameters match on both architectural level and development mode.
 - Allows instantiation time tailoring of model.

SAIC

Hierarchical Models



Some Possibilities:

Process component "A" might be at the SYSTEM level.

Process component "B" might be at the CSCI level.

"B" might be considered "Part of" "A"

Process components "A" and "B" might be at the same level and "B" specify greater detail than other portions of "A". "B" might be considered "Part of" "A"

How do you want to view parts in the model editor?

Executable Process Models and Metrics

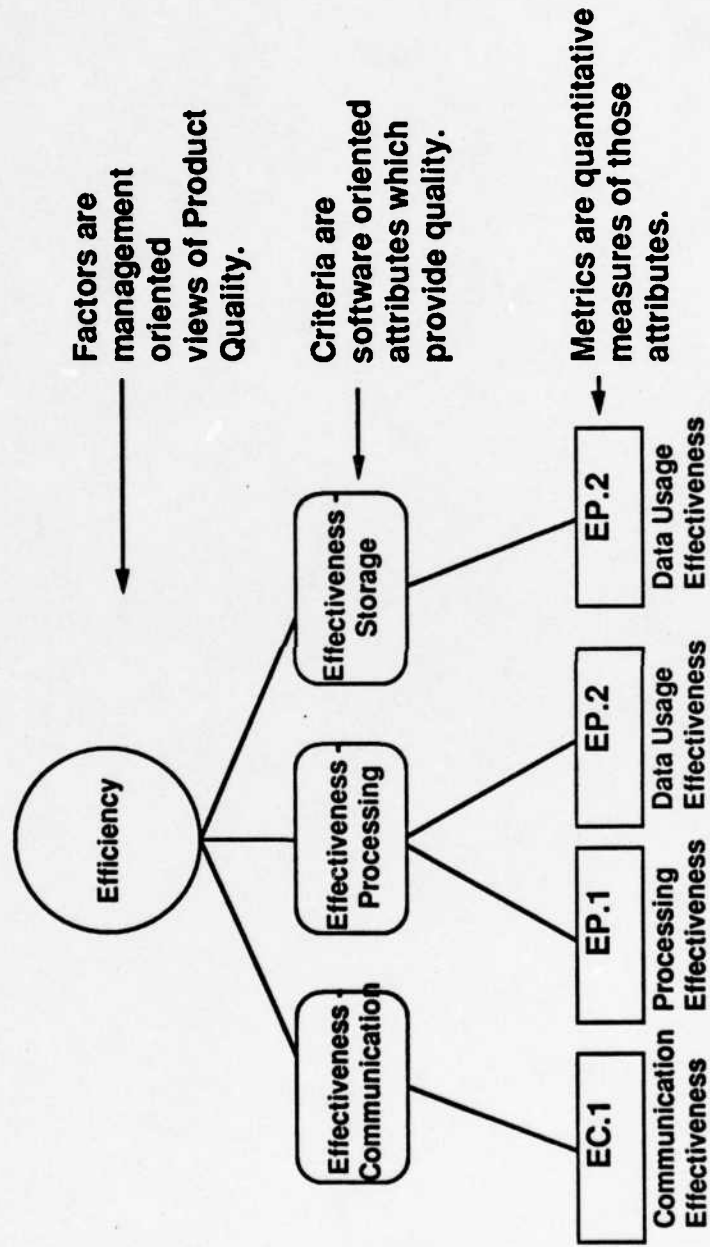
"Define the process model, then the metrics. You must know what you are doing before measuring how well you do it...The need to collect metrics on a process and items it produces... is defined in the exit criteria for that process. Thus, the process model for data collection and analysis becomes integral to the production process model ...An important secondary discovery about the interaction of metrics and process models was that metric collection could drive model refinement." (N. Ross, July'90)

Without metrics the exit criteria for executable process components cannot be evaluated

Without process models one cannot effectively identify what or when to measure, or know what actions should be taken as a result of metric evaluation

Both metrics and process models are integral to SPMS's

RADC Quality Framework



**TABLE 1.1-3 SOFTWARE QUALITY FRAMEWORK
FACTORS AND ASSOCIATED CRITERIA**

FACTOR CRITERION	E F F I C I E N C Y	I N T E G R I T Y	R E L I A B I L I T Y	S U R V I V A B I L I T Y	U S A B I L I T Y	C O R R E C T N E S S	M A I N T A I N A B I L I T Y	V E R I F I A B I L I T Y	E X P A N D A B I L I T Y	F L E X I B I L I T Y	I N T E R O P E R A B I L I T Y	P O R T A B I L I T Y	R E U S A B I L I T Y
ACCURACY ANOMALY MANAGEMENT AUTONOMY DISTRIBUTEDNESS EFFECTIVENESS - COMMUNICATION EFFECTIVENESS - PROCESSING EFFECTIVENESS - STORAGE OPERABILITY RECONFIGURABILITY SYSTEM ACCESSIBILITY TRAINING	X X X		X X	X X X									
COMPLETENESS CONSISTENCY TRACEABILITY VISIBILITY						X X X	X X	X					
APPLICATION INDEPENDENCE AUGMENTABILITY COMMONALITY DOCUMENT ACCESSIBILITY FUNCTIONAL OVERLAP FUNCTIONAL SCOPE GENERALITY INDEPENDENCE SYSTEM CLARITY SYSTEM COMPATIBILITY VIRTUALITY									X		X X		X X X X
MODULARITY SELF-DESCRIPTIVENESS SIMPLICITY			X	X			X X X	X X X	X X X	X X X	X X X	X X	X X X

Data Collection Forms by Phase and Architectural Level

Software Development Phase	Form
System requirements analysis/design	DCF A
Software requirements analysis	DCF B
Preliminary design	DCF C
Detailed design	DCF D
Coding and CSU testing	DCF E
CSC integration and test	DCF F
CSCI testing	DCF G
System testing	DCF H
Operational test and evaluation	DCF I

Architectural Level	Data Collection Forms								
	A	B	C	D	E	F	G	H	I
SYSTEM	X							X	X
CSCI		X	X	X	X	X	X		
CSC			X	X	X	X			
CSU				X	X	X			



Software Quality



Component: boo, 1-1-1

DCF A-Level

AC.1.1.a

Is there a reference to available documentation which describes the results of an error analysis?

- ☐ Yes ☐ No
- ☐ Not Applicable



Contents

DCF A-Level

AC.1.2.a

Have accuracy requirements been budgeted to the individual capabilities?

- ☐ Yes ☐ No
- ☐ Not Applicable



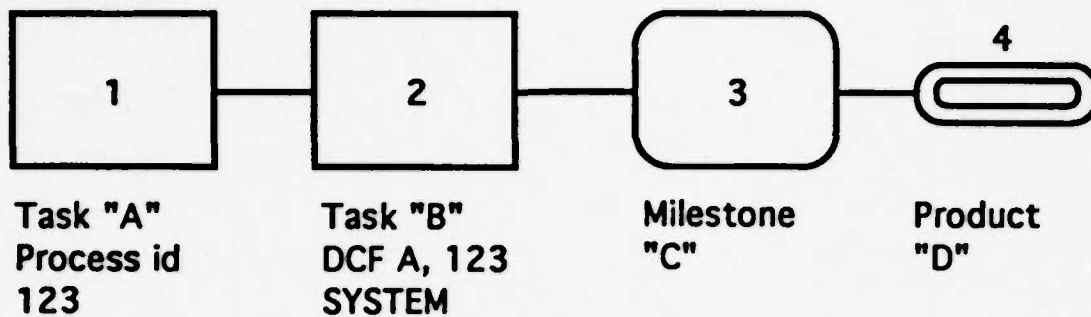
More...

A B C D E F G H I

**Appendix A. Metric Scoring Formulas Based on Metric Elements
by Architecture Level and Phase**

METRIC	DCF	LEVEL	METRIC ELEMENTS FORMULA
	b	CSCI	(SL2.1.b)
	c	CSCI	(SL2.1.c)
	d	CSU	(SL2.1.d)
	e	CSCI	(SL2.1.g)
	b	System	(SL2.1.b)
SL3	d	CSU	$1 / (1 + SL3.1.d + SL3.2.d)$
	e	CSU	$1 / (1 + SL3.1.e + SL3.2.e)$
SL4	d	CSU	$a^*(SL4.1.d) + b^*(1 - (SL4.3.d / AU.1.2.d)) + c^*(1 - (SL4.5.d / SL4.6.d)) + d^*(1 - (SL4.7.d / SL4.6.d)) + e^*(SL4.8.d) + f^*(1 / SL4.10.d) + g^*(1 - (SL4.11.d / AU.1.2.d)) + h^*(1 - (SL4.12.d + SL4.13.d) / AU.1.2.d) + i^*(SL4.15.d / SL4.14.d) + j^*(SL4.16.d)$
	d	CSCI	(SL4.18.d)
	e	CSU	$a^*(SL4.1.e) + b^*(SL4.2.e) + c^*(1 / (1 + SL4.3.e)) + d^*(1 - (SL4.5.e / SL4.6.e)) + e^*(1 - (SL4.7.e / SL4.6.e)) + f^*(SL4.8.e) + g^*(1 - (SL4.9.e / AP.3.3.e)) + h^*(1 / SL4.10.e) + i^*(1 - (SL4.11.e / AP.3.3.e)) + j^*(1 - (SL4.12.e + SL4.13.e) / AP.3.3.e) + k^*(SL4.15.e / SL4.14.e) + l^*(SL4.16.e) + m^*(SL4.17.e)$
	e	CSCI	(SL4.18.e)
SL5	d	CSU	$a^*(1 / (1 + SL5.1.d)) + b^*(SL5.3.d / SL5.2.d) + c^*(SL5.4.d)$
	e	CSU	$a^*(1 / (1 + SL5.1.e)) + b^*(SL5.3.e / SL5.2.e) + c^*(SL5.4.e)$

Validation Tasks and Rework Node Id'S



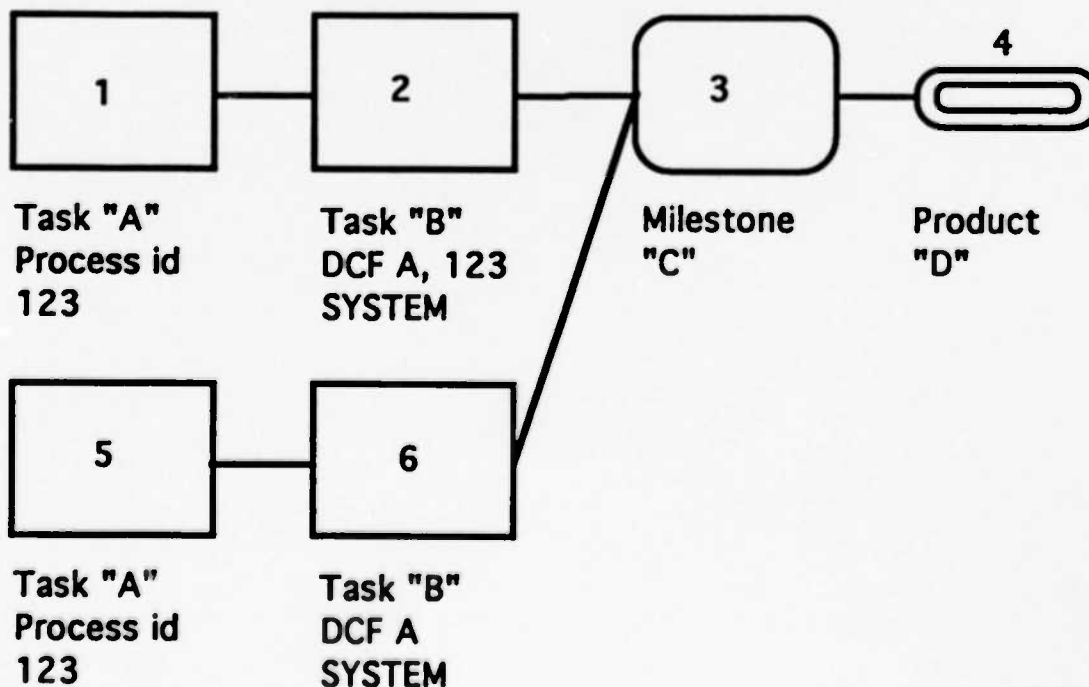
Task "B" is a validation task.

When Task "B" begins it requests that the Data Collection Form (DCF A) associated with it be filled with data.

When the data becomes available, the metrics associated with this project are computed and compared with the quality goals of Product "D".

- Quality goals met, then Task "B" is complete and the Milestone "C" is met and Product "D" becomes available.

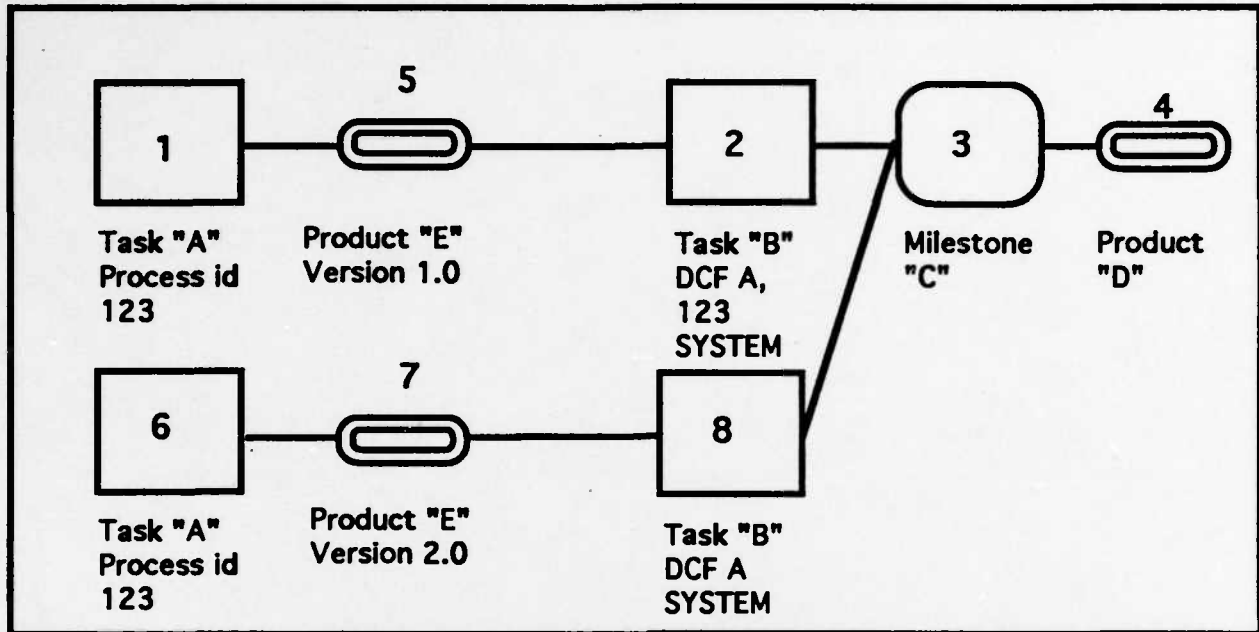
- Quality goals not met then replanning will find the task associated with product "D" which is of the process type "123" and use it as the starting point for cloning up to and including the validation task.



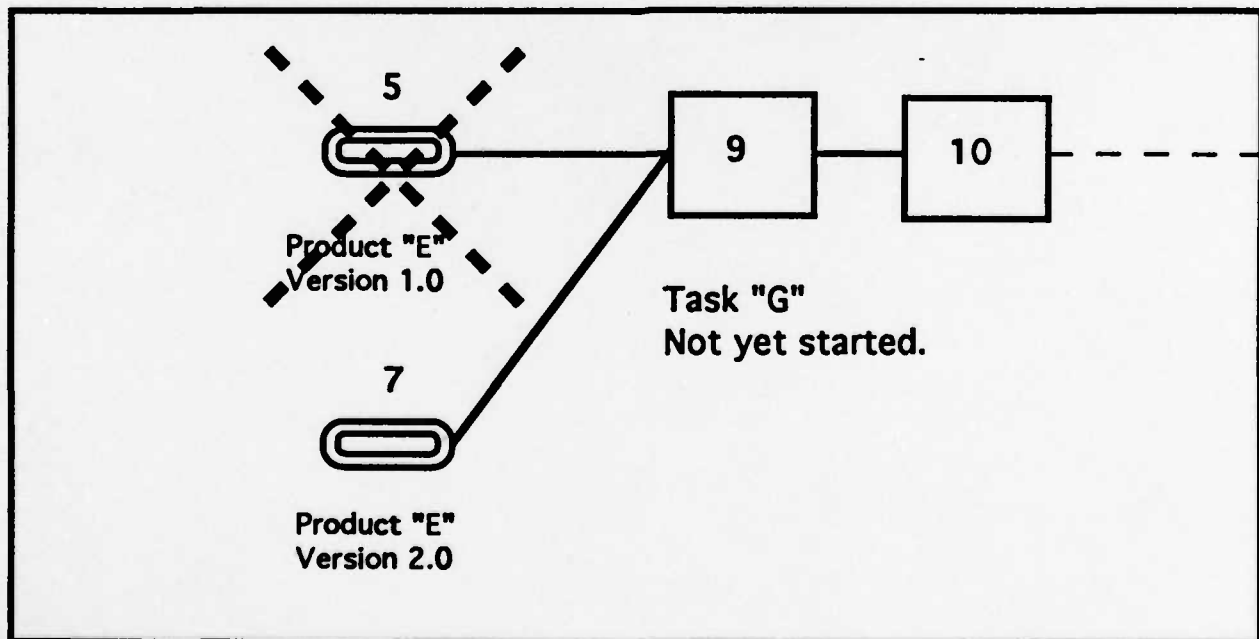
Impact of Re-Work on other Process Components

Tasks Not Started Case

Process Component 1

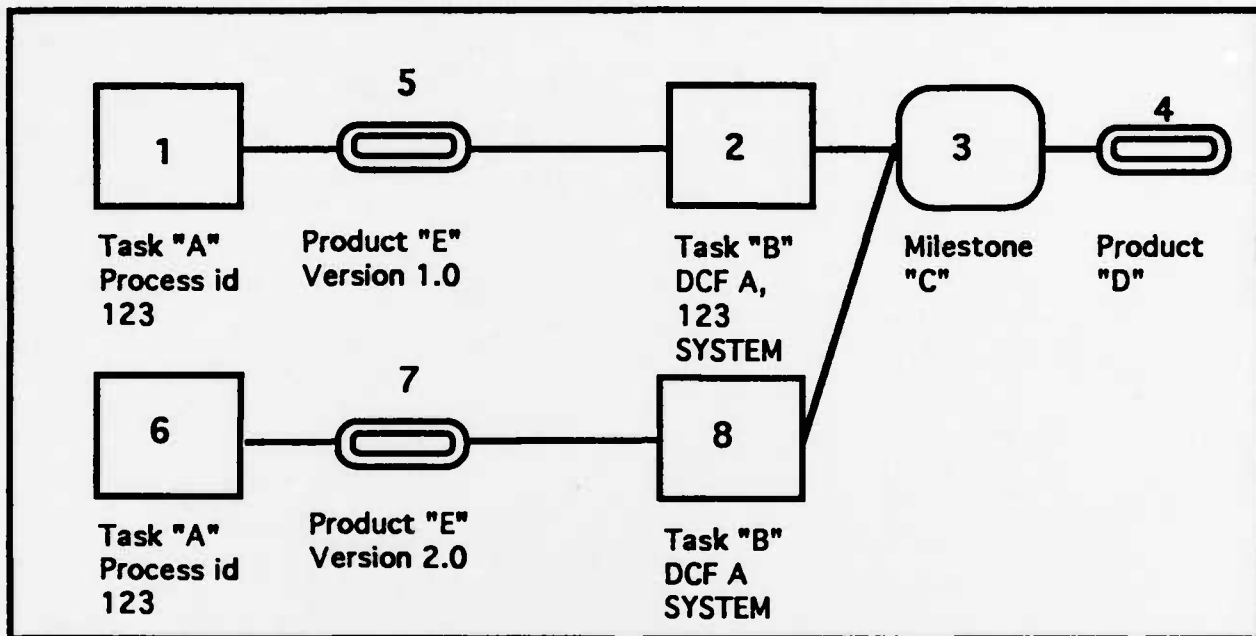


Process Component 2

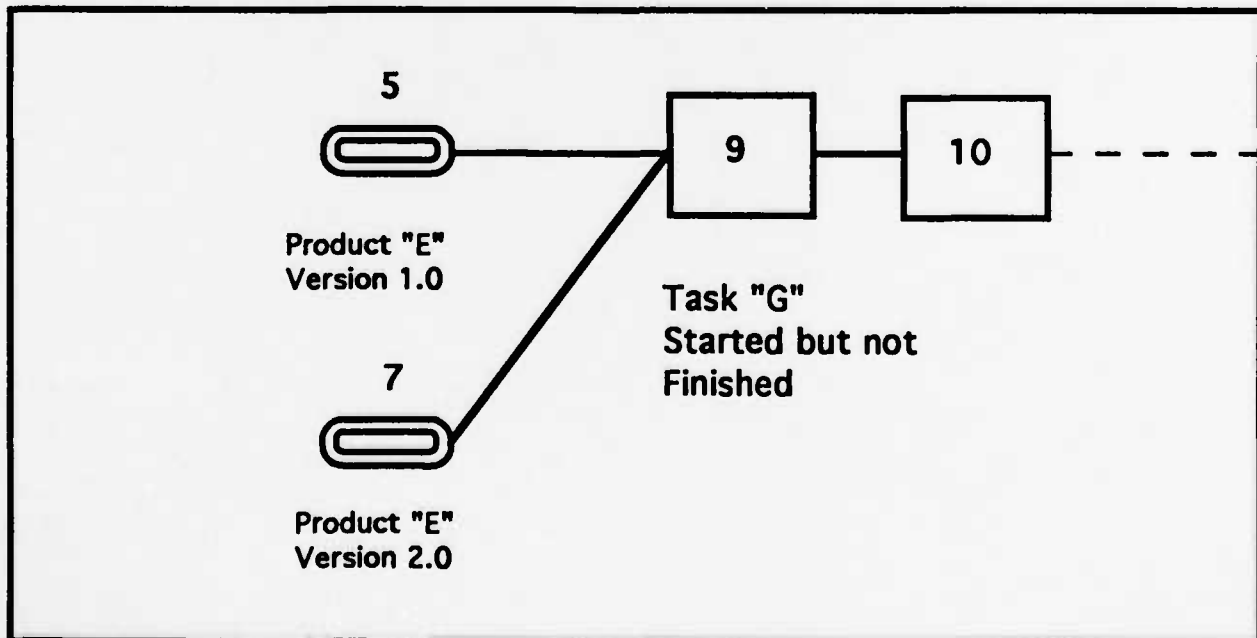


Impact of Re-Work on other Process Components Tasks In Progress Case

Process Component 1



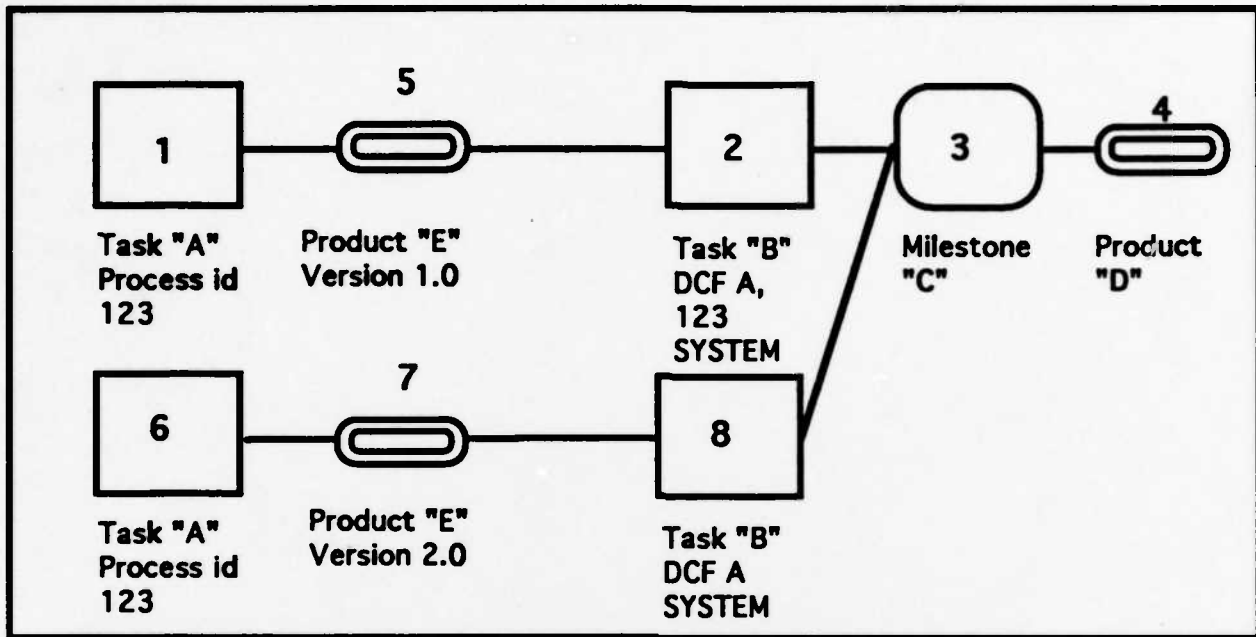
Process Component 2



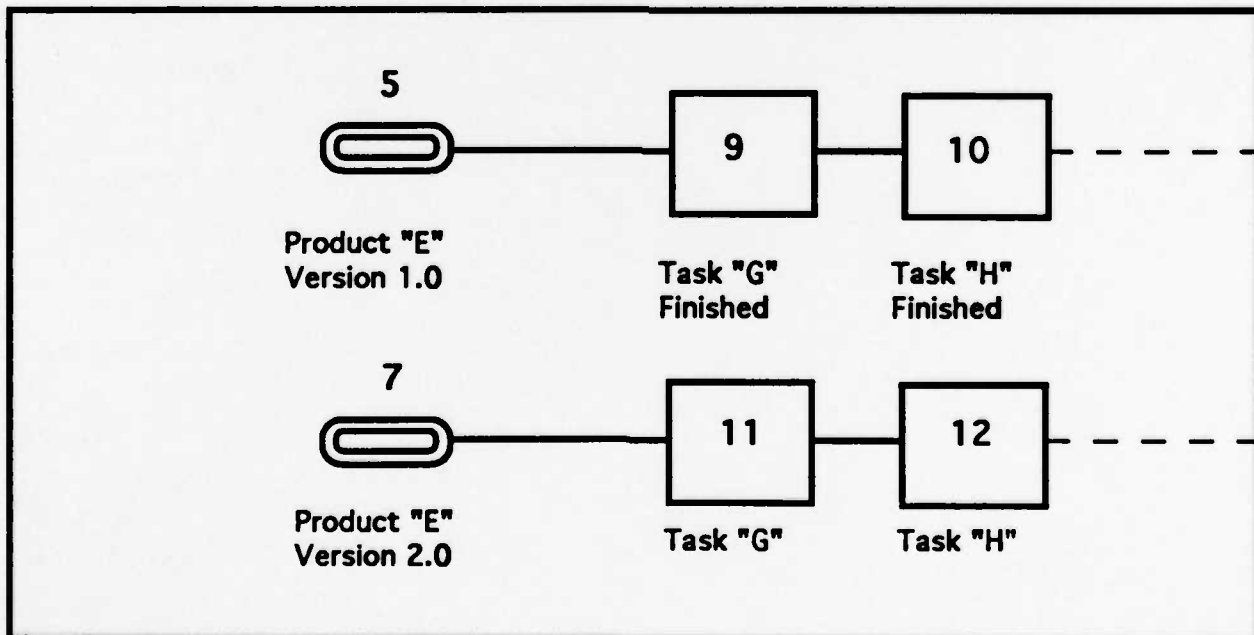
Impact of Re-Work on other Process Components

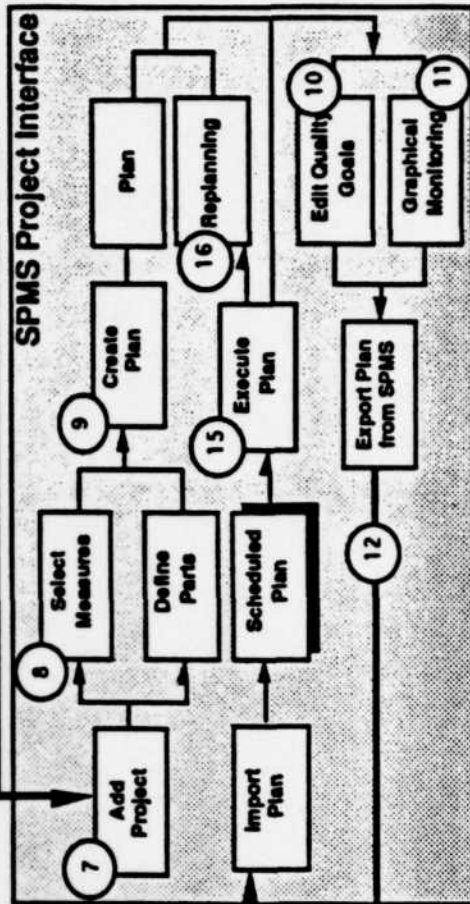
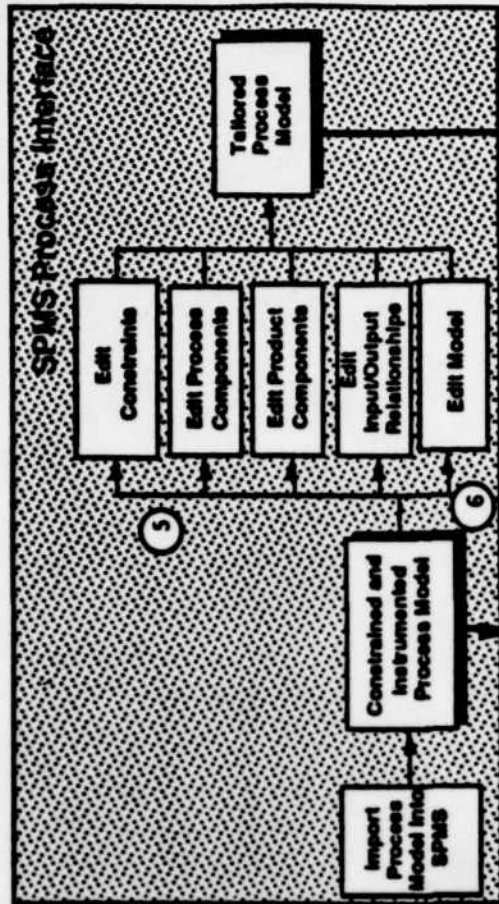
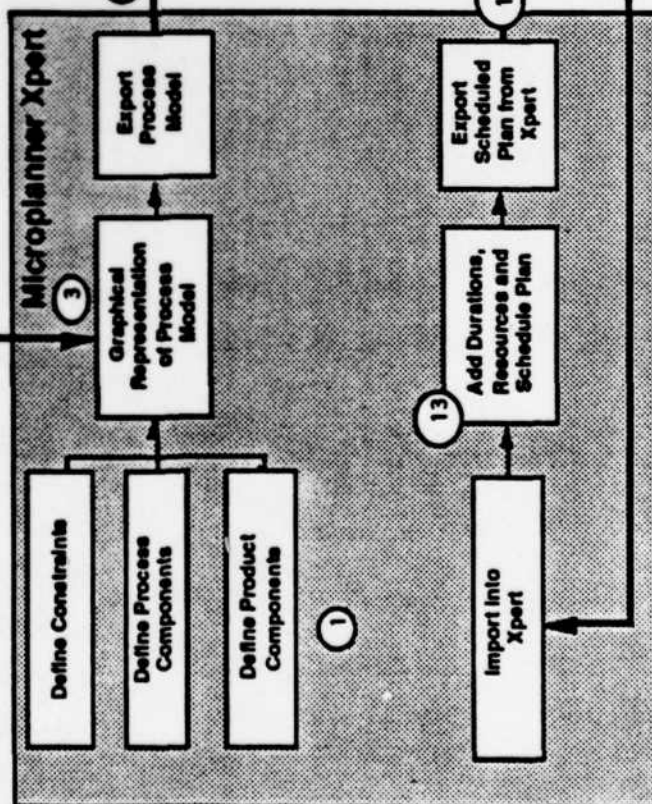
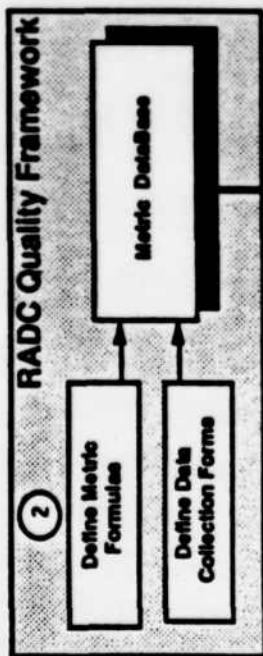
Tasks Complete Case

Process Component 1



Process Component 2





Exercise 1

1. Using the associated hand-out input the System Requirements Analysis subset of the process model as a part of a larger model.
2. Create a Systems Analysis Phase .
3. Use only Finish-to-Start constraints.
4. Export the model from Xpert.

6. DEVELOPMENT PROCESS

6.0.1 The developer shall implement a process for developing the software. The development process will be composed of the following major activities:

- a. System Requirements Analysis
- b. System Design
- c. Software Requirements Analysis
- d. Software Architectural Design
- e. Software Detailed Design
- f. Software Coding and Testing
- g. Software Integration and Testing
- h. Software Configuration Item Testing
- i. System Integration and Testing
- j. System Testing
- k. System Installation and Checkout.

6.0.2 The developer shall select and map these activities onto the life cycle model established for the software project. The selected activities may overlap and may be performed iteratively or recursively.

6.0.3 The developer shall use the methodologies, standards, and procedures that are systematic, adequately documented, and established by the developer's organization for performing the activities.

6.0.4 The developer shall use the computer programming language(s) as specified in the contract to code the deliverable software.

6.0.5 The developer shall consider incorporating non-developmental items into the deliverable software. Incorporation of such items shall comply with the documentation, data rights, warranty, and other requirements as specified in the contract.

6.0.6 The developer may employ non-deliverable items in the development or maintenance of software. However, the developer shall ensure that the operation and maintenance of software after its delivery to the purchaser will be independent of such non-deliverable items. In case such independence cannot be ensured, the developer shall treat the non-deliverable items as non-developmental upon notifying the purchaser regarding the impact of non-deliverable items on the cost, schedule, operation, and maintenance of the deliverable software.

6.1 System Requirements Analysis. The developer shall perform or support the following system requirements analysis activities.

6.1.1 Engineering. The developer shall analyze the statement of need, statement of work, and recommended solutions, if available, to define a set of system requirements addressing the following as a minimum:

- a. Functions and capabilities of the total system, including performance, quality, and physical characteristics and environmental conditions under which the system will perform;
- b. Safety requirements, including those related to equipment characteristics and degradation, methods of operation and maintenance, environmental influences, and personnel injury;
- c. Security requirements, including those related to operational and maintenance environments and compromise of sensitive information or materials;
- d. Human engineering requirements, including those related to constraints on personnel, areas needing concentrated human attention and sensitive to human errors, and training;
- e. Interfaces requirements for interfaces external to the system, including interfaces with users;
- f. Operation and maintenance requirements.

6.1.2 Qualification Testing. The developer shall define a set of system qualification requirements, including qualifications methods, for verification, validation, and testing of the system requirements.

6.1.3 Documentation. The developer shall document the system and qualification requirements in a system requirements document in accordance with section 9.4.

6.1.4 Product Evaluation. The developer shall perform evaluations of the system and qualification requirements for the criteria identified below as a minimum. When a problem is detected, corrective actions shall be taken in accordance with section 9.3.3.

- a. Consistency -- external and internal;
- b. Traceability;
- c. Test coverage of requirements;
- d. Testability;
- e. Feasibility of design, operation, and maintenance;

6.1.5 Formal Review. The developer shall conduct one or more system requirements reviews in accordance with section 9.2.

6.1.6 Configuration Management. The developer shall place the documents identified below under configuration management and perform configuration control in accordance with section 9.1:

- a. Statement of work
- b. System requirements document.

Exercise 2

1. Import the graphic model of Exercise 1 into the SPMS.
2. Browse the fine grained components using "Edit Process", "Edit Products", and "Edit Constraints" buttons.
3. Browse the product to producer and consumer relations using the "Edit IO and Constraints" button
4. Browse the hierachical coarse grained components using the "Edit Model" button
5. Edit your model as desired .

Exercise 3

1. Move to the Project Interface and create a new Project.
2. Define a system level component with a source to match the development mode of your model.
3. Create a Plan by selecting your model and your project and providing a name for your plan.
4. Export the plan from the SPMS

Exercise 4

1. Create a new project in XPERT. Clear the New Subproject which is automatically created. From the Date Control Panel, Turn off the Show Hours/Minutes option .
2. Import your plan into XPERT.
3. Use "Clean Up" to improve the readability of the activity network.
4. Select the tasks in the network and enter durations. (Format is "weeks,days").
5. Perform Time Analysis.
6. Build a Gannt chart to display your results. See Gannt chart options for tailoring the chart.
7. Build a Table view. Use "Selected Table" with "0" resources displayed
8. Select the entire table and Export it from XPERT. (use name.DAT" format)

Exercise 5

1. Import the scheduled plan into the SPMS.
2. Randomize the durations of your plan.
3. Create some graphs for monitoring your plan during execution
4. Execute the plan.

Exercise 6

1. Open your model in Xpert.
2. Add a validation task for the Systems Requirements Document.
3. Be sure your DCF and rework node id are appropriate!
4. Alter the constraints to allow some tasks to begin when *any* of their inputs are available but only finish when *all* of their required inputs have been made available.
5. Export the model from Xpert.
6. Import the model into SPMS
7. Create a new project.
8. Select measures and default quality goals for this project.
9. Define Parts.
10. Create a new plan using your new model and new project.
11. Execute the plan.
12. Replan as necessary.