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Inventor's Name: Jewell et al.

PATENT APPLICATION

GERMANATE GLASS CERAMIC

Field of Invention

This invention relates to the field of germanate glass ceramics.

Background of Invention

Requirements for infrared domes and similar applications include hardness, infrared transparency, fracture toughness, and thermal shock resistance. There are currently three commercially available materials for use in applications such as infrared domes which can transmit in the infrared region of about 3-5 microns: ZnS, spinel, and sapphire. Although these materials are excellent in some respects, they all have important limitations.

ZnS has excellent transparency and is readily formed into the specific shapes required for windows and domes. However, this material is very soft and is abraded under normal operating conditions. The abrasion limits both the useful scope and the lifetime of products made from ZnS. Some improvement of the abrasion resistance is possible through the application of protective coatings, but the high temperatures which are rapidly generated during high speed acceleration leads to delamination. For instance, a speed of Mach 4, or about 2800 mph, generates a

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1 temperature of about 600°C.

2 Spinel has a slightly diminished transparency as compared to
3 ZnS, i.e., the 5-micron radiation is partially absorbed. Since
4 this material is about ten times harder than ZnS, it has greater
5 erosion resistance. It is also better at resisting thermal shock
6 because of higher fracture toughness than ZnS. However, difficulty
7 in the fabrication of required shapes makes windows and domes of
8 this material slightly more expensive than those made from ZnS.

9 Sapphire has a transparency about equal to that of spinel and
10 has hardness and fracture toughness properties that are about 40%
11 greater than those of spinel. Thus, windows or domes produced from
12 sapphire are highly abrasion resistant and can be used for super-
13 sonic applications. However, this material is very expensive to
14 produce and even more expensive to fabricate into the required
15 configurations.

16
17 Summary of Invention

18 It is an object of this invention to produce a germanate glass
19 ceramic with improved physical properties.

20 Another object of this invention is a germanate glass ceramic
21 with improved thermal shock, improved erosion resistance, and
22 improved fracture toughness, which glass ceramic can transmit in
23 the infrared region of about 3-5 microns at a transmission above
24 80% for a 0.5 cm thick sample.

25 Another object of this invention is a germanate glass ceramic

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1 that can be produced at a small fraction of the cost of the
2 commercially available materials currently used for infrared domes
3 and other related applications.

4 Another object of this invention is a germanate glass ceramic
5 that can be produced cheaply pursuant to conventional glass-forming
6 procedures and that can be formed into intricate configurations.

7 These and other objects of this invention can be accomplished
8 by an infrared-transmitting germanate glass ceramic article
9 prepared by processing germanate glass ceramic components,
10 including phase separating and/or nucleating agents, pursuant to
11 conventional glass forming procedure to form a solid glass article,
12 annealing the glass article, and subsequently nucleating and
13 crystallizing the article to form the germanate glass ceramic. The
14 resulting product is nearly all crystalline, maintains a high
15 infrared transparency and has improved thermal and mechanical
16 properties.

17
18 Detailed Description of Invention

19 This invention pertains to a novel non-silicate germanate
20 glass ceramic article and to a method for making same.

21 The article is a glass ceramic that is crystalline to a level
22 of above 98%, is made from a germanate glass and has improved
23 thermal and physical properties. The following Table A compares an
24 average of improved properties of the novel glass ceramic with the
25 properties of competing materials.

Table A

Property	Germanate Parent Glass	Germanate Glass Ceramic	ZnS	Spinel	Sapphire
Fracture Toughness (MPa-m ^{1/2})	0.7	2.6	1.0	1.9	2.0
Thermal Expansion (ppm/K)	8.0	5.6	6.0	5.6	6.6
Hardness (kg/mm ²)	390	570	160	1600	1880
Erosion Resistance -- Threshold Velocity (m/s)	---	460	190	390	490
Thermal Shock Figure of Merit (W/m)	---	3.4	0.8	1.4	2.1
Normalized Cost	---	0.1-0.2	1	1	2.5

In Table A, above, the term "Germanate Parent Glass" refers to the precursor glass for the glass ceramic material of the present invention. The term "Germanate Glass Ceramic" refers to glass ceramic material of the present invention. In the above table, the 2.6 MPa-m^{1/2} fracture toughness for the glass ceramic of this invention exceeds the 0.7 MPa-m^{1/2} fracture toughness of the germanate parent glass. Thus, subjecting a germanate glass to nucleation and crystallization can increase the fracture toughness of a germanate glass several fold.

The germanate glass ceramic of this invention has thermal shock in terms of figure of merit in the approximate range of 2.5-4.5W/m, preferably 3-4W/m; erosion resistance in terms of threshold velocity is in the approximate range of 350-1000 m/s, preferably 500-800 m/s; fracture toughness is 1.5-4.5 MPa-m^{1/2}, typically 2-4 MPa-m^{1/2}.

The method of the present invention includes the steps of mixing germanate glass ceramic components; melting the components

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1 to form a molten mass; cooling the molten mass to form a solid
2 glass article; annealing the glass article; nucleating the solid
3 article by heating it to an elevated temperature for a period of
4 several hours to develop nuclei in the article; and crystallizing
5 the nucleated article by heating it, after nucleation, at an
6 elevated temperature for a period of at least one minute to grow
7 the crystallites to an average diameter of less than about 1000
8 nanometers (nm); and cooling to form the glass ceramic with
9 improved thermal and physical properties. Phase separation may be
10 needed to allow nucleation to proceed. Phase separation can be
11 effected by addition of a phase separation agent or by heating or
12 upon cooling the parent glass to cause phase separation. When phase
13 separation takes place, nuclei form on the separated phase
14 followed by crystallization.

15 The germanate glass ceramic composition includes germanate
16 glass components, nucleating agents, and, optimally, phase
17 separation components. There can be at least three, preferably at
18 least four germanate glass components although the glass ceramic
19 article can contain more components than specified herein.

20 The primary germanate glass components include germanium oxide
21 (GeO_2); barium oxide (BaO), which is usually initially in the form
22 of barium carbonate (BaCO_3), which converts to an equivalent mol
23 amount of barium oxide (BaO) on heating, and gallium oxide (Ga_2O_3).
24 The secondary germanate glass components include calcium oxide
25 (CaO), zinc oxide (ZnO), alumina (Al_2O_3), gadolinium oxide (Gd_2O_3),

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1 lead oxide (PbO), indium oxide (In_2O_3), bismuth oxide (Bi_2O_3),
2 lanthanum oxide (La_2O_3), and yttrium oxide (Y_2O_3). The amount of
3 germanium oxide is 25-80, preferably 35-70 mol percent; amount of
4 barium carbonate is 10-60, preferably 15-45 mol percent; and amount
5 of gallium oxide is 5-30, preferably 10-20 mol percent. These
6 amounts are based on total number of mols of germanium oxide,
7 barium carbonate, and gallium oxide used in making the glass
8 ceramic. The secondary germanate glass components can be used in
9 conventional amounts.

10 To avoid crystallization when forming the glass, the mole
11 ratio of barium carbonate to gallium oxide should be in the range
12 of about 4:1 to 1:1.

13 The germanate glass ceramic composition includes about 0.1-20,
14 preferably 2-10 mole percent, based on the germanate glass
15 components, of at least one nucleating agent. If an insufficient
16 amount of a nucleating agent is used, nucleation will be
17 insignificant and an insufficient number of crystals will be
18 produced to significantly improve the properties of the germanate
19 ceramic. If too much nucleating agent is used, glass will not be
20 formed from the germanate glass ceramic composition. Typical
21 nucleating agents include arsenic oxide (As_2O_3), bismuth oxide
22 (BiO_3), phosphorus pentoxide (P_2O_5), hafnium oxide (HfO_2), indium
23 oxide (In_2O_3), antimony oxide (Sb_2O_3), tantalum oxide (Ta_2O_5),
24 titanium oxide (TiO_2), and zirconium oxide (ZrO_2). A combination
25 of the nucleating agents can constitute a nucleating agent.

1 The germanate glass ceramic composition includes about 0.1-20
2 mole percent of a phase separation agent such as indium oxide
3 (In_2O_3), phosphorus oxide (P_2O_3), antimony oxide (Sb_2O_3), and bismuth
4 oxide (Bi_2O_3). The amount of the phase separation agent is based
5 on the total of the germanate glass components and the phase
6 separation agent.

7 It should be understood that other components can be included
8 in making the glass ceramic article disclosed herein as long as
9 they do not significantly change the physical properties of the
10 article.

11 Components of the germanate glass ceramic composition are
12 typically powders that are mixed sufficiently in a suitable
13 receptacle to distribute the components. Mixing time can be on the
14 order of about one-half hour if a mixing tumbler is used.

15 After mixing the components, the resulting mixture is
16 transferred to a vessel that can withstand high germanate glass
17 melting temperatures. Such a vessel is typically a platinum boat
18 that can be preheated before the mixture is transferred thereto.
19 To melt the glass ceramic composition disposed in a platinum boat,
20 a furnace is heated to about 1350-1600°C, an inert atmosphere is
21 provided in the furnace, and the boat is placed in the furnace for
22 about 1/2-3 hours until the contents of the boat melt. Once
23 contents of the boat are melted, the boat is removed from the
24 furnace and conventional techniques can be used to produce a glass
25 article of any size or shape. A typical technique of preparing an

1 article involves pouring the molten germanate glass into a mold,
2 forming the glass into the desired shape, and cooling to solidify
3 the molten glass.

4 The solidified glass in the form of an article is then
5 annealed to relieve inherent stresses therein. This can be done by
6 heating the glass article to about the glass transition temperature
7 (T_g) of the glass and holding it at that temperature for an amount
8 of time sufficient to substantially relax the glass, typically
9 about 10 minutes to 4 hours followed by slow cooling. At this
10 point, the glass is amorphous and not a glass ceramic. Annealing of
11 the glass can be avoided by cooling the melt directly to the
12 nucleation temperature. Stresses develop in the solid glass upon
13 cooling the melt to below T_g .

14 To convert the glass from amorphous to essentially all
15 crystalline, i.e., to convert the glass to glass ceramic, the glass
16 is subjected to nucleation followed by crystallization.

17 Nucleation throughout the germanate glass can be accomplished
18 by heating the germanate glass article to a temperature range of
19 about 600-900, preferably 650-750°C in a time period of 1-16,
20 preferably 2-10 hours. The glass transition temperature (T_g) for
21 the germanate glass is above about 600°C and for nucleation to
22 occur, the glass is heated above T_g . Nucleation can be represented
23 as a bell-shaped curve with nucleation starting at about 600°C and
24 terminating at about 900°C, with the maximum nucleation rate taking
25 place at the maximum point on the nucleation curve, about 750°C.

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1 It is believed that a minimum of about 10^{11} - 10^{12} nuclei/cm³ may be
2 needed to realize significant property improvements after
3 crystallization in the germanate glass ceramic article. The nuclei
4 are below 1 micron, typically below 100 nanometers in average
5 diameter.

6 It may be necessary to cause phase separation to take place in
7 the glass to provide a phase on which nuclei can form. Phase
8 separation can be induced by adding to the germanate glass ceramic
9 composition a phase separation agent which causes phase separation
10 to take place when the glass is subjected to an energetic force.
11 Phase separation appears to facilitate nuclei formation on the
12 separated phase.

13 It is possible for a nucleation agent to function as a phase
14 separation agent, depending on the combination and amounts of the
15 components used. If a nucleation agent can function as a phase
16 separation agent, then its phase separation function preempts its
17 nucleation function and the agent functions as a phase separation
18 agent. In such a case, two or more nucleation agents should be
19 used to allow one to function as a phase separation agent and
20 another to function as a nucleation agent.

21 In order to induce phase separation, it may be necessary to
22 heat or cool the glass. Application of an energetic force,
23 apparently facilitates or expedites phase separation. Energetic
24 force can be heat treatment above T_g of the glass.

25 Neither nucleation nor phase separation need to commence at

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1 room temperature but can commence at any temperature as long as the
2 objectives of nucleation or phase separation are achieved.

3 Crystallization or growth of nucleated crystals is typically
4 carried out by heating nucleated glass to a temperature in the
5 approximate range of 750-1200°C for a period of 1/2 minute to 8
6 hours, preferably 900-1100°C for a period of 1 minute to 2 hours.
7 The crystal growth rate can be represented as a bell-shaped curve
8 with crystallization starting at about 750°C and terminating at
9 about 1200°C, with the maximum crystal growth rate taking place at
10 the maximum point on the growth rate curve, about 975°C. Melting
11 of the germanate glass commences at about 1200°C. Although the
12 crystal growth rate curve is generally at a higher temperature
13 range than is the nucleation curve, the upper temperature range of
14 the nucleation curve overlaps the lower temperature range of the
15 crystallization curve. The overlap means that at the overlapping
16 temperatures, nucleation and crystallization proceed simultaneously
17 although at different rates, depending on the location on the
18 respective curves.

19 The crystals in glass ceramic are typically different
20 chemically from the nuclei although they can be the same as the
21 nuclei. In a glass ceramic containing germanium oxide, barium
22 oxide and gallium oxide, the crystals are germanium, barium, and
23 gallium oxide and their average size is typically less than about
24 1000 nanometers and larger than about 20 nanometers. The crystals
25 should not be too large since the crystal oversize can lead to

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1 diminished infrared transparency of the glass ceramic. The size of
2 the crystals should be less than the wavelength of the transmitted
3 light. If the crystal size exceeds the wavelength of the
4 transmitted light, light will be scattered and/or absorbed and
5 transparency will diminish. Additionally, regardless of crystal
6 size, improvement in the thermal and physical properties in the
7 glass ceramic typically requires that the glass ceramic be in
8 excess of 98% by volume crystalline, and preferably essentially
9 100% crystalline.

10 After crystallization, the glass ceramic is in the solid state
11 and is cooled slowly to about room temperature. Since a germanate
12 glass is essentially solid at about 1100°C as it is cooled from a
13 higher temperature, it is possible to carry out nucleation and
14 crystallization while the glass is in the solid state. In fact,
15 typically, nucleation and crystallization are carried out on a
16 germanate glass that is in a solid state.

17 The invention having been generally described, the following
18 examples are given as particular embodiments of the invention to
19 demonstrate the practice and advantages thereof. It is understood
20 that the examples are given by way of illustration and are not
21 intended to limit in any manner the specification or any claims
22 that follow.

23
24 Example I

25 This example demonstrates preparation of a germanate glass

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ceramic from a germanate glass wherein nucleation was achieved without phase separation.

The following batch of germanate glass ceramic was prepared, as summarized in Table I, below:

Table I

Oxide	Weight Percent	Mole Percent	Batch Materials	Weight (grams)
<u>Base Glass</u>				
BaO	47.12	42.9	BaCO ₃	24.260
Ga ₂ O ₃	22.33	17.0	Ga ₂ O ₃	9.131
GeO ₂	30.05	40.1	GeO ₂	12.019
<u>Additions</u>				
Sb ₂ O ₃	5.22	2.5	Sb ₂ O ₃	2.088
TiO ₂	1.72	3.0	TiO ₂	0.688

The batch was mixed in a tumbler for about one-half hour and then melted in a platinum crucible at about 1400°C over a period of about one hour. As the batch was melted, dry nitrogen gas was flown over the batch to keep the water interaction therewith to a minimum. The melted batch was then quenched to about room temperature by dipping the bottom of the crucible in water, whereby a solid, amorphous glass was formed. For annealing, the glass was reheated to about 675°C for about one hour and then slowly cooled at about 1°C/min to 400°C followed by furnace cooling to about room temperature.

The glass was then nucleated by reheating to about 710°C and

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1 holding at 710°C for about four hours and then crystallized by
2 heating the glass at about 10°C per minute to about 1000°C and
3 holding at 1000°C for about one minute.

4 The nucleation and crystallization heat treatments produced
5 germanate glass ceramic that was over 98% by volume crystalline.
6 The resulting glass ceramic showed infrared transmission beyond 5
7 microns; its fracture toughness over the base glass increased from
8 0.7 to 2.6 MPa-m^{1/2}; its thermal shock was 3.4W/m, exceeding even
9 that of sapphire; its erosion resistance was 460m/s, which was
10 below that for sapphire but above that of zinc sulfide and spinel;
11 its thermal expansion over the base glass decreased from 8 to 5.6
12 ppm/K; its Vicker's hardness over the base glass increase from 390
13 to 570 kg/mm²; and its normalized cost was 0.1-0.2 compared to 1
14 and 2.5 for the competing materials.

15
16 Example II

17 This example demonstrates preparation of a glass ceramic from
18 a germanate glass wherein nucleation was achieved after phase
19 separation by using a phase separation agent as a component of the
20 germanate glass ceramic composition.

21 The following batch of germanate glass ceramic was prepared,
22 as summarized in Table II, below:
23

Table II

Oxide	Weight Percent	Mole Percent	Batch Materials	Weight (grams)
<u>Base Glass</u>				
BaO	17.00	15.0	BaCO ₃	9.032
Ga ₂ O ₃	10.72	7.5	Ga ₂ O ₃	4.289
In ₂ O ₃	15.88	7.5	In ₂ O ₃	6.354
GeO ₂	55.85	70.0	GeO ₂	22.339
<u>Additions</u>				
TiO ₂	5.0	8.2	TiO ₂	2.101

The batch was mixed in a tumbler for about one-half hour and then melted in a platinum crucible at about 1600°C over a period of about one hour. During melting of the batch, nitrogen flush was used to minimize water interaction with the batch. The melted batch was then quenched to about room temperature by dipping the bottom of the platinum crucible in water, whereby a solid, amorphous glass was formed. For annealing, the glass was reheated to about 675°C for about one hour and then slowly cooled at about 1°C/min to 400°C followed by furnace cooling to about room temperature.

Nucleation herein was preceded by phase separation of an indium-rich phase of BaO - 10.7 %, Ga₂O₃ - 10.0 %, In₂O₃ - 28.9 %, and GeO₂ - 50.4 % from original glass composition of BaO - 17.3 %, Ga₂O₃ - 10.8 %, In₂O₃ - 13.5 %, and GeO₂ - 58.4%, all in mol percent, by heating the glass above its T_g to about 725°C and holding it there for about two hours. About 10-20% by volume of the In₂O₃-

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1 phase in the form of solid droplets of about 0.1-0.5 microns in
2 size dispersed in a solid parent matrix of $\text{BaO-Ga}_2\text{O}_3\text{-GeO}_2$. The
3 phase-separated glass was then heated to about 1000°C and held
4 there for about 1 minute for crystallization to be carried out.

5 The resulting germanate glass ceramic was more than 98% by
6 volume crystalline, transmitted in the infrared region of 3-5
7 microns at a transmission in excess of 80%, had thermal shock of
8 3.6 W/m, erosion resistance of 470 m/s, thermal expansion of 5.8
9 ppm/K, hardness of 590 kg/mm², fracture toughness of 2.3 MPa/m^{1/2},
10 and was produced at a small fraction of the cost compared to a
11 competing material. For infrared window and dome applications, the
12 more important parameters are thermal shock and cost.

13 Many modifications and variations of the present invention are
14 possible in light of the above techniques. It is, therefore, to be
15 understood that the
16 invention may be practiced otherwise than as specifically;
17 described.

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Abstract

A germanate glass ceramic article which has better thermal and physical properties than the competing materials of zinc sulfide, spinel, and sapphire is made by mixing germanate ceramic glass components; melting the components to form a molten mass; cooling the molten mass to form a solid glass article; nucleating the solid article by heating it in the range of about 630-790°C for about 1-16 hours to develop nuclei in the article; and crystallizing the nucleated article by heating it, after nucleation, in the range of about 1/2 minute to about 8 hours to grow the nuclei to crystallites having an average diameter of less than about 1000 nanometer (nm); and cooling to form the glass ceramic.