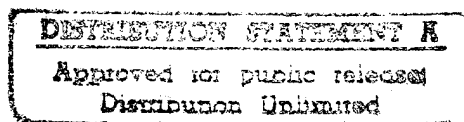


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Inventor Bruce S. Maccabee

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DTIC QUALITY INSPECTED 1

METHOD AND SYSTEM FOR DETECTING OBJECTS AT OR BELOW THE WATER'S SURFACE

ORIGIN OF THE INVENTION

The invention described herein was made in the performance of official duties by an employee of the Department of the Navy and may be manufactured, used, licensed by or for the Government for any governmental purpose without payment of any royalties thereon.

FIELD OF THE INVENTION

The invention relates generally to object locating systems, and more particularly to a method and system of detecting an object at or below the water's surface by using electromagnetic radiation to generate acoustic energy in the water.

BACKGROUND OF THE INVENTION

The avoidance of objects at or just below the water's surface is of interest to pleasure, commercial and military boat/ship operators. The locating/detecting of such objects runs the gamut from on-board human lookouts to complex optical systems operated from airplanes flying above the water's surface. The human system suffers from the obvious drawbacks of inefficiency and error while the complex flyover systems are costly and impractical in most scenarios.

SUMMARY OF THE INVENTION

Accordingly, it is an object of the present invention to provide a method and system for efficiently and accurately detecting/locating objects that are at or just below the water's surface.

Another object of the present invention is to provide a shipboard based method and system for detecting/locating objects at or just below the water's surface.

Other objects and advantages of the present invention will become more obvious hereinafter in the specification and drawings.

In accordance with the present invention, a method and system are provided for detecting objects at or below a surface of a body of water. A high-power beam of amplitude varying electromagnetic radiation, e.g., a pulsed laser beam of megawatt peak power, is focused and directed through the air towards the water's surface. When the focused beam strikes a small area on the surface of an object floating on the water's surface, or when it strikes the water's surface above an object floating below the water's surface, pressure pulses are generated at either the object surface or at the water's surface. The pressure pulses cause characteristic acoustic returns in the water. Accordingly, acoustic energy under the water's surface is monitored for any acoustic return that may be generated in the water as a result of the beam being incident either on an object floating above the water's surface or on the surface of the water above an object. The characteristics of the acoustic returns, e.g., time of occurrence of the acoustic return, duration of the acoustic return, frequency spectrum of the acoustic return, etc., are used to detect and locate the objects and possibly to classify them.

BRIEF DESCRIPTION OF THE DRAWINGS

The sole figure is a schematic representation of an embodiment of the system used to detect objects at or just below the surface of water according to the present invention.

DETAILED DESCRIPTION OF THE INVENTION

Referring now to the sole figure, an embodiment of the system used in the present invention to detect objects at or below the water's surface is shown schematically and is referenced generally by numeral 100. Floating platform 10, e.g., a ship, is equipped with focused beam radiator 12, underwater acoustic detector 14, processor 16 and an output device such as display 18. Briefly, radiator 12 directs a high-power, focused beam of electromagnetic radiation having an amplitude that varies with time. By way of illustrative example, it will be assumed that the radiation is a laser beam of several megawatts peak power radiated in the form of focused pulses represented in the figure by dashed lines 20, 21, and 22. However, it is to be understood that other forms of high-power electromagnetic radiation such as microwave radiation can be used by the present invention. The pulses can be of fixed or varying duration, equally spaced in time or spaced as a time-varying sequence as will be explained further below. In all cases, the electromagnetic radiation output from radiator 12 should be of a frequency or wavelength that is strongly absorbed by water over a very short distance (typically less than a millimeter) in order to maximize the conversion of electromagnetic energy into acoustic energy. For example, electromagnetic radiation in the wavelength bands from 2.5 to 3 microns and from 9 to 11 microns is strongly absorbed by water.

In the illustrative embodiment, radiator 12 includes pulsed laser 120, focusing system 122, beam director 124, laser control 128, and scan control 126. Pulsed laser 120 generates the

1 amplitude varying electromagnetic radiation used in the present invention. As described above,
2 the frequency or wavelength band of laser 120 is chosen to provide the most efficient generation
3 of sound when its beam strikes the dry or wet surface of a floating object or the surface of the
4 water just above a slightly submerged object. Laser control 128 informs processor 16 of the
5 time of occurrence of a pulse output from laser 120. In the event that two or more lasers of
6 different wavelengths are used, laser control 128 provides information to processor 16 as to
7 which wavelength is used.
8

9
10 Focusing system 122 is an optical system that focuses the output beam from pulsed laser
11 120. In terms of the present invention, focusing is defined as reducing the cross-sectional area
12 of the laser beam as much as possible in order to maximize energy density of the beam at the
13 water surface or at the surface of a floating object. For example, if focusing system 122 were
14 achieved using astigmatic optics, the cross-sectional area of the laser beam could typically be
15 reduced to a few square centimeters.
16

17 Beam director 124 directs the focused beam, e.g., beam 20, 21 or 22, through the air and,
18 typically, out in front of platform 10. Accordingly, beam director 124 is generally located above
19 the water's surface which is referenced in the figure by numeral 200. Beam director 124 can be a
20 motorized mirror whose position is controlled by scan control 126 to direct the output of laser
21 120 at any given time as either beam 20, 21 or 22. Scan control 126 also provides the directed
22 location of each beam to processor 16. Thus, for each beam output from generator 12, processor
23 16 is informed of the beam's frequency, time of occurrence, duration of pulse and directed
24 location.
25

26 In general, the focused beam from beam director 124 impinges on either:
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1 1) surface 200 just above a slightly submerged object such as object 300 as in the case of
2 beam 20,

3 2) a floating object such as object 301 floating on surface 200 as in the case of beam 21, or

4 3) surface 200 with no object just below surface 200 as in the case of beam 22.

5
6 However, in all cases as will be explained further below, the impingement of the focused beam
7 creates small pressure pulses or explosions at either:

8 1) surface 200 just above object 300 as in the case of beam 20,

9 2) object 301 as in the case of beam 21, or

10 3) surface 200 as in the case of beam 22.

11
12 In the case of beam 20, the pressure pulses or explosions occurring at surface 200 propagate
13 through the water as acoustic wave 30A. The distance of propagation could be less than an inch
14 up to many feet depending on the presence or absence of objects beneath surface 200. In this
15 example, wave 30A strikes object 300 thereby producing an acoustic return or echo by direct
16 reflection which is represented in the figure by acoustic return 30B. Further, if the amplitude of
17 acoustic wave 30A is sufficiently great (owing to the energy density of beam 20), wave 30A can
18 also cause object 300 to vibrate in a characteristic manner that contributes to acoustic return
19 30B. In the case of beam 21, the pressure pulses or explosions occur on the surface of object
20 301 thereby causing it to mechanically vibrate at some characteristic frequency resulting in
21 acoustic return 31 propagating into the water. In the case of beam 22, the pressure pulses or
22 explosions occurring at surface 200 propagate uninterrupted through the water as acoustic return
23 32. Each acoustic return can be thought of as an acoustic signal source, the location and/or
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1 classification of which can be detected/analyzed by means of a high-speed sonar processing
2 system that includes underwater detector 14, processor 16 and display 18.

3
4 Underwater acoustic detector 14 is configured to detect underwater sound such as acoustic
5 returns 30B, 31 and/or 32. Underwater acoustic detector 14 could simply be a single
6 hydrophone or an array of hydrophones located underwater at some distance from the area of
7 surface 200 being illuminated by the beams output from generator 12. Typically, detector 14
8 would be supported from or towed along with platform 10. In general, detector 14 receives
9 underwater sound and converts same to an electrical signal representation thereof for input to
10 processor 16. In terms of the present invention, detector 14 could be configured to be sensitive
11 to the expected range of sound signatures associated with acoustic returns 30B, 31 and 32.
12

13 Processor 16 is programmed to discriminate between the acoustic returns detected by
14 detector 14 to identify the location, and possibly classification, of either object 300 or object
15 301. Processor 16 can transfer data to some apparatus, e.g., display 18, for viewing the range
16 and direction of object 300 or 301 as is done with conventional sonar or radar. If certain types
17 of objects are of concern, e.g., mines, icebergs, etc., processor 16 could be programmed to
18 specifically look for acoustic returns having signatures indicative of such objects that are
19 expected to be at or just below surface 200. The acoustic return signatures can be analyzed in
20 terms of, for example, time of occurrence and duration relative to the particular beam output
21 from radiator 12 and the frequency spectrum of the acoustic return.
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23
24 Processor 16 can be configured to employ a variety of signal processing techniques
25 depending on the type of information that is useful to those operating system 100. For example,
26 processor 16 could simply compare the acoustic returns from detector 14 with known acoustic
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1 signatures in order to determine when an object has been detected at or just below surface 200.
2 One such typical signal processing technique is based on the fact that, as the radiation beam is
3 scanned over the water surface and impacts upon various spots or areas, most of the acoustic
4 pulses generated by the beam will result from the beam striking the water surface with no object
5 present at or just below the surface. Processor 16 can therefore capture in real-time an arbitrary
6 number, e.g., 50, of the acoustic returns and create in real-time an average of these returns
7 which is to be considered as a "typical" return in the absence of an object at or just below the
8 surface. This can be a running average once processor 16 has captured a sufficient number of
9 returns to establish the typical return. In other words, for the example of a typical return based
10 on 50 returns, the first return captured can be dropped once the fifty-first return is captured. The
11 typical return is then recalculated using the new set of returns. The returns correspond to the
12 beam impacting the water surface at different locations as the beam is scanned. Typically, these
13 locations would lie in a line along the scan direction which might be perpendicular to the
14 direction of motion of platform 10.

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18 The characteristics of a return pulse could be calculated by processor 16 in a variety of
19 ways. By way of illustrative example, one technique will be described. For each return pulse,
20 the peak pulse amplitude, the duration at the half-amplitude points, and the half-width
21 (bandwidth) of its power spectrum could be measured. Processor 16 could average these values
22 for the chosen number of returns, e.g., 50 in the current example, to determine "typical" values.
23 Processor 16 could also calculate a numerical value for the statistical deviations about the
24 average amplitude, average duration and average bandwidth. Processor 16 would make the
25 same types of measurements/calculations for each new return. The new values would be
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1 compared with the previously calculated typical values to determine whether or not the new
2 values lay within the statistical range of peak amplitude, pulse width and bandwidth that had
3 been determined for the previously determined chosen number of pulses. The new return would
4 differ substantially from the typical value if there were an object present at or below (within
5 several centimeters of) the water surface. At this point, processor 16 could direct scan control
6 126/beam director 124 to scan the beam on either side of the location on the surface where the
7 difference was detected. Pulses/returns at these side locations would then be created/measured
8 for comparison. This procedure could be repeated several times to see if the difference persists.
9 Processor 16 could then use the vertical and horizontal angles that determine the direction of the
10 beam to determine the location of the difference and pass same on to display 18. Note that these
11 angles are consistently monitored by the beam scanning system.

12 The above discussion applies to objects which are at the water surface or within some small
13 distance, e.g., 10 centimeters, of the surface. However, the present invention could also be used
14 to detect objects at greater depths, e.g., meters to tens of meters below the surface. A bistatic
15 sonar system is created by the combination of the sound generated at the surface by the radiation
16 beam and the remote location of detector 14 relative to the sound generation. In this case,
17 detector 14 would receive a sound pulse, called the direct pulse, after the time needed for the
18 straight-line transmission of sound from the point of impact of the beam to detector 14. If there
19 were an object at some depth, detector 14 would also receive, at some time after receipt of the
20 direct pulse, the reflection of sound or echo from the object. As an example of this, consider the
21 typical sound speed in water to be about 1500 meters per second. Further assume that the
22 radiation beam impinged on the water at a distance of 100 meters from platform 10, detector 14
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1 is at a depth of 10 meters, and an acoustically reflective object is at a depth of 10 meters below
2 the impact point of the radiation on the surface such that it receives the direct pulse. It would
3 thus require about 0.0067 seconds for direct pulse to reach the object at 10 meters and 0.067
4 seconds for the direct pulse to reach detector 14. The echo reflection from the object reaches
5 detector 14 about 0.067 seconds after reflection from the object. Hence, the echo arrives at
6 detector 14 about 0.0067 seconds after the direct pulse. Similar calculations could be done to
7 predict the times of receipt of echoes from object at other depths and for other beam/water
8 impact distances and other detector depths.
9

10
11 Processor 16 could also be configured for further analysis of the acoustic returns in order to
12 distinguish one type of object from another type of object. For instance, processor 16 might
13 need to distinguish the echo from an object of interest from the echoes caused by ambient
14 reflectors such as fish and from ambient background acoustic noise. In this case, processor 16
15 could compile a running catalogue of pulse shapes from ambient reflectors and also a running
16 catalogue of any ambient noises that might resemble the radiation induced acoustic pulse.
17 Processor 16 could also be configured for further analysis of the acoustic returns in order to
18 classify the type of object detected. Thus, processor 16 could include a memory bank of
19 experimentally or theoretically generated acoustic signatures. Processor 16 might also be part of
20 a trained system if it were implemented as a neural network.
21

22 In a typical operation scenario, beam director 124 directs the pulsed and focused laser beam
23 towards one location on surface 200 before being steered to another location. At each such
24 selected location, a burst of pulses from laser 120 can be generated as required to determine the
25 presence or absence of an object at or below the surface at that location. For example, the pulse
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1 burst produced by laser 120 could be a series of fixed duration pulses equally spaced in time in
2 order to generate a specific type of acoustic return, e.g., mechanical resonance of an object. The
3 spacing between each pulse burst is selected to be long enough to allow any type of acoustic
4 return of interest to reach detector 14. The pulse bursts produced by laser 120 might also consist
5 of a fixed number of pulses of varying frequency, i.e., a chirped series, with a continually
6 changing time spacing between pulses. This type of radiation will excite more than one
7 mechanical resonance in an object. Each such mechanical resonance causes vibration of the
8 object which, in turn, causes an acoustic return of a particular frequency or set of frequencies in
9 the water. If the chirped pulse excites several frequencies of vibration, then these frequencies
10 constitute a characteristic acoustic spectrum of the object. Such a chirped series is appropriate
11 for the more complex operational scenarios of system 100 which may need to characterize the
12 type of object in addition to the detection/location of the object.
13

14
15 In all cases, it is critical that the focused beam output from radiator 12 vary in amplitude.
16 The present invention utilizes the fact that any amplitude varying electromagnetic radiation, e.g.,
17 pulsed laser, accelerates the rate of expansion of a thin layer of the impinged surface. For
18 example, when the radiation impinges on the water's surface, the radiation penetrates some
19 distance into the water depending on the energy density of the radiation and the absorption rate
20 of the water for the particular radiation frequency or wavelength. The absorption of the
21 radiation increases the temperature of the water (at the point of impingement) thereby causing a
22 volume expansion. Depending on the power of the radiation, the absorption can also result in
23 evaporation and explosive ejection of material from the surface of the water. The creation of
24 such a volume expansion or evaporation and ejection of material causes an acoustic pressure
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1 wave to emanate from the surface of the water. If the radiation impinges directly on an object,
2 absorption of the radiation can cause a pressure wave due to volume expansion or due to
3 ejection of material from the surface of the object. This pressure wave can cause the object to
4 vibrate characteristically which results in a particular acoustic return being propagated into the
5 water. If the acoustic pressure wave is created at the water's surface, the wave travels downward
6 from the surface. If the wave strikes an object under the water's surface before dissipating, the
7 object will reflect the wave characteristically and/or vibrate characteristically to generate a
8 characteristic acoustic return. Thus, by analyzing the acoustic returns relative to the input
9 radiation, the detection, location and, possibly, classification of an object at or just below the
10 water's surface is possible with the present invention.

13 By way of a more detailed example, system 100 might be implemented as follows. Laser
14 120 could be a pulsed carbon dioxide laser capable of delivering 1 to 10 joule pulses at a
15 frequency from 1 to 1000 Hz. Laser control 128 operates pulsed laser 120 at a chosen rate and
16 sends signals to processor 16 indicating each time the laser is fired. To accommodate instability
17 generally associated with a floating platform, focusing system 122 and beam director 124 could
18 be an inertially stabilized beam focusing and steering system in the form of a telescope with a
19 rotatable output mirror. Scan control 126 scans the beam and provides electronic signals to
20 processor 16 which indicate the direction of the beam at any time.

23 As mentioned above, laser 120, focusing system 122 and beam director 124 would typically
24 be positioned on elevated platform 11 at a height above surface 200. The height of platform 11
25 should be sufficient to allow the beam output from beam director 124 to be projected an
26 appropriate horizontal distance away from floating platform 10. For example, if floating
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1 platform 10 were a ship, a suitable horizontal distance might be 200 feet or more and generally
2 in front of the ship's direction of travel. Further, if the size of objects of interest were 2 feet in
3 width or greater and the width of the surface to be examined were 200 feet, beam director 124
4 could be controlled by scan control 126 to scan the beam across the water's surface at
5 equi-spaced intervals of 1 foot. That is, immediately after a location is illuminated by a burst of
6 laser pulses, beam director 124 is controlled to move the beam to a next location 1 foot away.
7 This insures more than one impingement of the radiation on any object of interest. (If an object
8 were detected at or just below the water's surface at a particular location, that location could be
9 probed in greater detail using some of the methods described above.) The choice of number of
10 pulses per location as well as pulse rate are generally determined by the amount of redundancy
11 desired at each location and the speed of floating platform.
12

13
14 Underwater acoustic detector 14 could be a sensitive hydrophone or hydrophone array
15 deployed under surface 200. Detector 14 would generally have a broad bandwidth, e.g., up to
16 100 kHz, for sensitivity to a wide variety of acoustic returns. The output of detector 14 serves as
17 an input to processor 16.
18

19 The advantages of the present invention are numerous. The method is easily implemented
20 as a shipboard system that can be configured simply and inexpensively for specific detection
21 applications. Further, the method can be extended to more complex operational scenarios where
22 it is critical to detect and classify an object at or just below the surface of the water.
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24 Although the invention has been described relative to a specific embodiment thereof, there
25 are numerous variations and modifications that will be readily apparent to those skilled in the art
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1 in light of the above teachings. It is therefore to be understood that

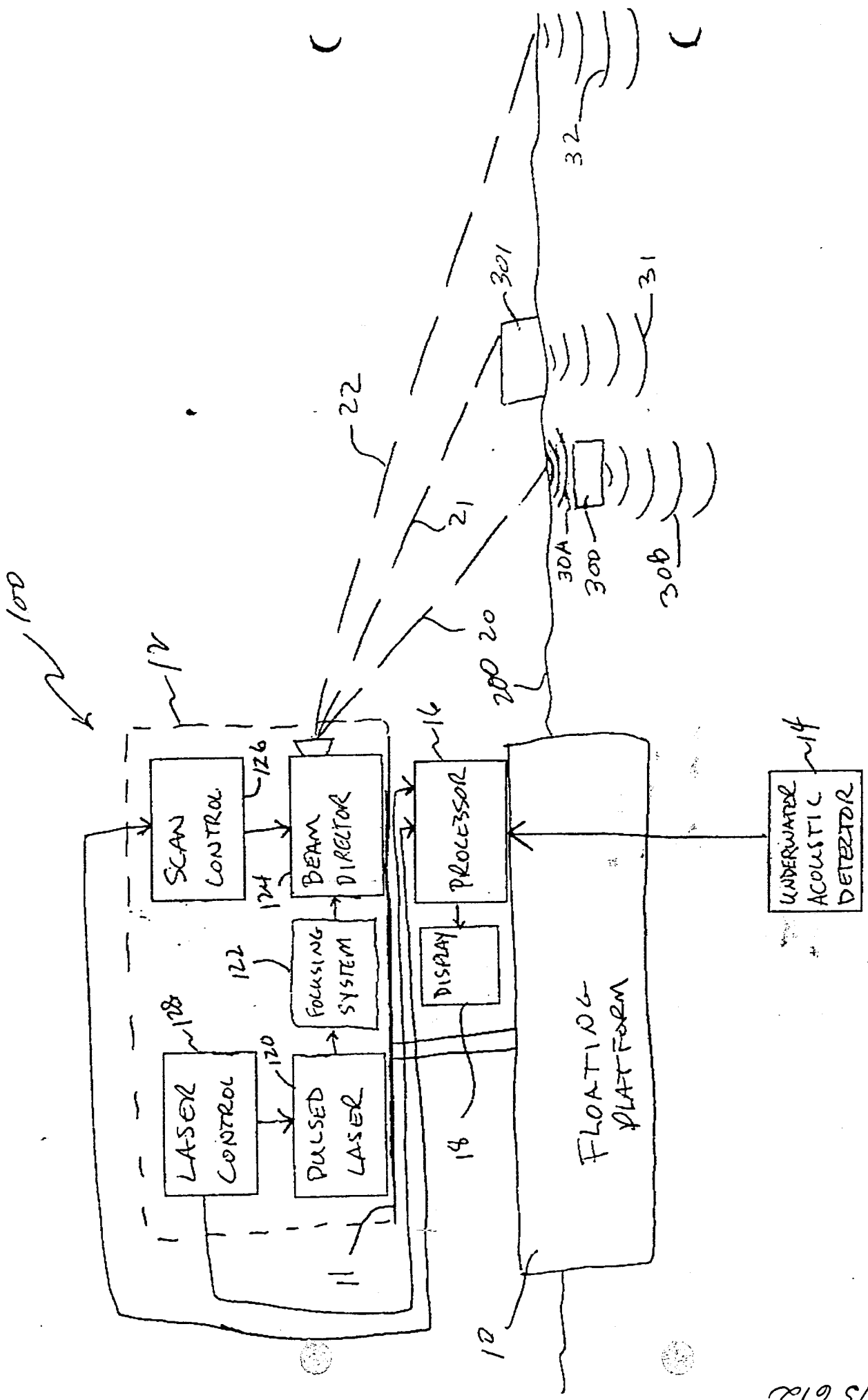
2 the invention may be practiced other than as specifically described.
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1 Navy Case No. 75,612

2 METHOD AND SYSTEM FOR DETECTING OBJECTS
3 AT OR BELOW THE WATER'S SURFACE

4 ABSTRACT

5 A method and system are provided for detecting objects at or below a surface of a body
6 of water. A focused beam of amplitude varying electromagnetic radiation, e.g., a pulsed laser
7 beam, is directed through the air towards the water's surface. Acoustic energy under the water's
8 surface is monitored for any acoustic return that may be generated in the water as a result of the
9 beam being incident on either an object at the water's surface or the water's surface above an
10 object.
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