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1 Navy Case No. 76591

2  
3 METHOD AND APPARATUS FOR SEPARATING SUSPENDED  
4 PARTICLES FROM A FLOWING FLUID

5  
6 STATEMENT OF GOVERNMENT INTEREST

7 The invention described herein may be manufactured and used  
8 by or for the Government of the United States of America for  
9 governmental purposes without the payment of any royalties  
10 thereon or therefor.

11  
12 CROSS REFERENCES TO RELATED PATENT APPLICATION

13 The instant application is related to U.S. Patent  
14 Application entitled "METHOD AND APPARATUS FOR SEPARATING  
15 SUSPENDED PARTICLES FROM A FLUID" (Navy Case No. 76638) having  
16 same filing date.

17  
18 BACKGROUND OF THE INVENTION

19 (1) Field of the Invention

20 This invention relates generally to the displacement of  
21 particles suspended within a fluid and more particularly to  
22 selective displacement of particles within a flowing fluid by the  
23 generation of acoustic waves.

24 (2) Description of the Prior Art

25 Filtration of particulate matter from fluids drawn into a  
26 port located on a surface within a body of fluid has generally

1 been accomplished by placing a filtering screen or grate over the  
2 port. Such filtering of the fluid, however, causes a pressure  
3 drop across the port. Additionally, the matter filtered by the  
4 filtering screen tends to accumulate on the filtering screen  
5 eventually clogging the port. Periodic cleaning of the filtering  
6 screen can be inconvenient.

7 It is also known that generation of acoustic waves in a  
8 fluid having particulate matter dispersed therein can separate  
9 particulate matter from various fluids. The state of the art for  
10 acoustic wave separation devices and methods to isolate  
11 particulate matter within a fluid are generally represented by  
12 the following:

13 United States Letters Patent No. 4,759,775 to Peterson et  
14 al. discloses a method and apparatus for controlling the movement  
15 of particles having different physical properties when one of the  
16 materials is a fluid. The method and apparatus works by  
17 propagating first and second acoustic waves through a vessel  
18 containing the materials with the frequency of the waves being  
19 different so that the two acoustic waves are superimposed upon  
20 each other. The superposition of the two waves creates a beat  
21 frequency wave with pressure gradients dividing the vessel into  
22 moving regions of maximum and minimum pressure. Selective  
23 control of the frequency differences in the two waves can then be  
24 used to position one of the two materials to a selected  
25 aggregating location within the vessel.

1 United States Letters Patent No. 4,877,516 to Schram  
2 discloses a method for manipulating particulate matter by  
3 generating an acoustic standing wave with nodal planes of varying  
4 energy density in a fluid medium. Particles in the fluid medium  
5 responsive to the acoustic energy of the standing wave accumulate  
6 at these nodal planes so that in conjunction with the fluid  
7 viscous force and field forces acting in the direction of the  
8 nodal planes, movement of particles held at these planes can be  
9 controlled. The attenuation of the acoustic beams producing the  
10 standing wave do not adversely affect the action of this method  
11 due to the imbalance of the acoustic forces that tend to be  
12 perpendicular to the movement of the particles in the nodal wave  
13 direction.

14 United States Letters Patent No. 5,006,266 to Schram  
15 discloses apparatus for generating two standing waves along  
16 transverse axes in a column of water so that the node to the  
17 standing waves intersect within the column. The nodes cause  
18 relative displacement between the particles in the liquid in a  
19 direction transverse to the axis of the standing waves.

20 United States Letters Patent No. 5,225,089 to Benes et al.  
21 discloses a method and apparatus for separating particles which  
22 are dispersed in a medium by generating an ultrasonic standing  
23 wave. Specifically a composite resonator and a reflector are  
24 disposed in or along the walls of a vessel with the dispersion  
25 medium therebetween. The resonator generates a characteristic  
26 frequency wave with an amplitude slightly smaller than an upper

1 threshold amplitude toward the reflector to generate standing  
2 wave. The pressure forces extended by the standing wave pattern  
3 on the particles accumulated at the nodes and antinodes are  
4 equivalent to longitudinal holding forced on the particles. Thus  
5 the particulates tend to congregate and remain at nodes and  
6 antinodes of the standing wave.

7 Generally the foregoing prior art references fail to  
8 disclose a method and apparatus for separating particulate matter  
9 in a fluid body from those selected portions of the fluid that  
10 enter a port. Particularly, they fail to teach a method and  
11 apparatus for enabling relatively large amounts of fluid to be  
12 drawn from substantially an infinite reservoir of such fluid.  
13 That is, the methods and apparatus for filtering that include  
14 generating sonic waves are limited to relatively confined fluid  
15 bodies, e.g., sludge ponds, enclosed containers, or between the  
16 walls of a conduit. While the prior art directed fluid from  
17 large bodies of fluids (e.g., covering the intake port with a  
18 mesh grate or screen filter) enables filtration of fluid entering  
19 such ports, these methods and filters suffer from the  
20 disadvantage of requiring periodic cleaning and of operating with  
21 a pressure drop across the filter.

#### 22 23 SUMMARY OF THE INVENTION

24 An object of this invention is to provide a method and  
25 apparatus for moving particles suspended in a flowing fluid  
26 without affecting the flow characteristics of the fluid.

1 Another object of this invention is to provide a method and  
2 apparatus for separating particles suspended in a flowing fluid  
3 from a portion of the fluid.

4 Yet another object of this invention is to provide a method  
5 and apparatus for diverting fluid from which particles have been  
6 separated into a conduit as a source of substantially particle-  
7 free fluid within the conduit.

8 Still another object of this invention is to provide a  
9 method and apparatus for moving particulate matter from a flowing  
10 fluid without requiring filters or other devices subject to  
11 clogging.

12 Yet still another object of this invention is to provide a  
13 method and apparatus for filtering particulate matter entering a  
14 port from a large body of flowing fluid without a substantial  
15 pressure drop across the port.

16 A further object of this invention is to provide apparatus  
17 for delivering a flow of relatively clear water to a surface  
18 vessel or an undersea vehicle travelling in water having  
19 particulate matter suspended therein.

20 According to this invention apparatus for separating  
21 particulate matter from flowing fluid that enters a port includes  
22 first and second ultrasonic wave generators that produce  
23 superposed ultrasonic wave patterns in a region of the fluid body  
24 upstream of an inlet port. A resulting composite wave transports  
25 particulate matter away from the fluid flowing into the port and

1 into fluid that bypasses the port whereby the fluid entering the  
2 port is relatively free from particulate matter.

3  
4 BRIEF DESCRIPTION OF THE DRAWINGS

5 The appended claims particularly point out and distinctly  
6 claim the subject matter of this invention. The various objects,  
7 advantages and novel features of this invention will be more  
8 fully apparent from a reading of the following detailed  
9 description in conjunction with the accompanying drawings in  
10 which like reference numerals refer to like parts, and in which:

11 FIG. 1 a plan view in partial cross-section of a filtering  
12 apparatus according to the invention;

13 FIG. 2 is a top view of the embodiment of FIG. 1;

14 FIG. 3 is a diagram graphically illustrating a superposed  
15 composite wave pattern generated by the embodiment of FIG. 1;

16 FIG. 4 is a view of the diagram of FIG. 3 taken along the  
17 lines 4-4;

18 FIG. 5 is a plan view of another embodiment of this  
19 invention;

20 FIG. 6 is a plan view of still another embodiment of this  
21 invention;

22 FIG. 7 is a plan view of yet another embodiment of this  
23 invention; and

24 FIG. 8 is a plan view of a further embodiment of this  
25 invention.



1 wave pattern 24 in the region 13. The composite wave pattern 24  
2 includes nodes 25 and antinodes 26. The nodes 25 and antinodes  
3 26 extend in planes in the region 13 that, in this embodiment,  
4 are generally parallel with the flow of the fluid body 14. In  
5 this embodiment the frequencies of the first and second wave  
6 patterns are slightly different so that the composite wave  
7 pattern resembles a standing wave except that the nodes 25 and  
8 antinodes 26 move at a velocity  $V_w$  through the region 13. The  
9 composite wave pattern 24 formed by superposing the wave patterns  
10 11A and 12A of such differential frequencies is often called a  
11 beat wave or pseudo-standing wave.

12 Particulate matter entrained in the fluid located in the  
13 region 13 tends to accumulate along such nodial and antinodial  
14 planes which are minima and maxima pressure gradients (see FIGS.  
15 3 and 4). More specifically, particulate matter in the fluid  
16 body 14, such as depicted in a cloud 27, will, upon entering the  
17 region 13 and depending upon the characteristics of the  
18 particulate matter, accumulate along ones of the planes defined  
19 by the moving nodes 25 and antinodes 26. Thus, as the nodes 25  
20 and antinodes 26 move away from the surface 23 at a velocity  $V_w$ ,  
21 the particulate matter accumulating along the nodes 25 and  
22 antinodes 26 tends to be transported in the same direction and at  
23 the same rate (i.e., at a speed  $V_w$ ).

24 If the region 13 has a sufficient width dimension in the  
25 direction of the velocity  $V_f$  and a sufficient height above the  
26 surface 23, particulate matter entering the region 13 will

1 accumulate along the nodes 25 and antinodes 26 and will be  
2 transported to a location beyond the stream line 21 before the  
3 fluid between the stream line 21 reaches the port 15.  
4 Additionally, the region 13 should also be formed sufficiently  
5 long in the transverse direction to include, as depicted in FIG.  
6 2, the stream lines 29, which, although diverted, are not drawn  
7 into the port 15. In this manner particulate matter accumulating  
8 along the nodes 25 and antinodes 26 will be separated from the  
9 fluid entering the port 15, so that only filtered fluid that is  
1 substantially free of particulate matter will enter the port 15.

2 The velocity  $V_w$  of the nodes 25 and antinodes 26 of the  
3 composite wave pattern is a function of wave spacing of the  
4 composite wave pattern, which in turn is a function of the  
5 wavelength and angle of incidence of the wave patterns 11A and  
6 12A and the difference in the frequency of such wave patterns.  
7 Specifically:

8 
$$V_w = \frac{\lambda}{2\sin\alpha} (f_1 - f_2) \quad (1)$$

- 9 where:  $f_1$  is the frequency of the wave pattern produced by  
10 signal generator 11  
11  $f_2$  is the frequency of the wave pattern produced by  
12 signal generator 12  
13  $\lambda$  is the wavelength of the signals  
14  $\alpha$  is the tilt angle of each transducer

1 The force produced by each of the nodes and antinodes of the  
2 composite wave upon a particle entering the region is given  
3 approximately by the equation:

$$4 \quad F = \pi R^2 (KR) \rho V_0^2 \left( \frac{\rho_b + \frac{2}{3}(\rho_b - \rho)}{2\rho_b + \rho} - \frac{c^2 \rho}{3c_b^2 \rho_b} \right) \quad (2)$$

5 Where: R = the radius of the particle  
6 K = is the wave number of the composite wave  
7 (2  $\pi/\lambda$ )  
8 V<sub>0</sub> = is the fluid's velocity amplitude which is  
9 a function of the energy of the composite  
10 wave  
11  $\rho$  = fluid density  
12  $\rho_b$  = particle density  
13 c = speed of sound in the fluid  
14 c<sub>b</sub> = speed of sound in the particle material

15 Assume, for example, that the apparatus 10 of FIG. 1 is  
16 disposed in a shipboard hull 23 moving at a velocity V<sub>F</sub> equal to  
17 15 knots (approximately 25 feet./sec.) in the fluid body 14 that  
18 includes particulate matter in the 25-400 micron range, (e.g., a  
19 muddy river, a lake or the ocean with disturbed bottom silt or  
20 the like). To filter this particulate matter the ultrasonic  
21 generators 11 and 12 would produce signals in the 1 MHz range  
22 with a frequency shift or difference (f<sub>1</sub> - f<sub>2</sub>) of 2875 Hz. For

1 optimal wave spacing, the transducers are tilted at 45° with  
2 respect to the surface.

3 At a nominal 1 MHz operating frequency, the wavelength  $\lambda$  is  
4 approximately 4750 microns. The waves have an incidence angle of  
5 90° as shown in FIG. 1, the velocity  $V_w$  of the nodes and  
6 antinodes within the region 13 would be approximately 10 feet/sec  
7 or 3m/sec. The wave length of the composite wave would be  
8 approximately 4242 microns with the spacing between adjacent  
9 nodes and antinodes being approximately 1060 microns.

10 Assuming the height of the stream line 21 is five feet above  
11 the surface 23, the region 13 in which the composite wave pattern  
12 exists would have to be large enough to include a diagonal line  
13 13A extending 12.5 feet at an angle B of 23.5° at the point 13B  
14 on the surface 23 where the upstream portion of the region 13  
15 contacts the surface 40. Length of diagonal line 13A is  
16 calculated so that all particulate matter of the size filtered by  
17 the apparatus 10 that enters the region 13 would be transported  
18 above the stream line 21 and thus separated from the fluid  
19 entering the port 15. In practice, the diagonal line 13A could  
20 actually be smaller, as the flow rate of the fluid below the  
21 stream line 21 typically will be less than 25 feet/sec and will  
22 approach zero only closely adjacent the surface 23.

23 Continuing to refer to FIG. 1, in one specific embodiment  
24 the first and the second ultrasonic generators 11 and 12 include  
25 frequency sources 30 and 31 and amplifiers 32 and 33 that drive  
26 transducers 34 and 35, respectively. A chamber 36 filled with an

1 appropriate sound transmitting medium 37, such as oil or foam,  
2 carries the transducers 34 and 35. An upper cover 40 made of a  
3 acoustically transparent material defines the interface between  
4 the fluid body 14 and the chamber 36. Acoustic lenses 34A and  
5 35A can be positioned at transducers 34 and 35 to focus the  
6 emitted acoustic radiation.

7 The composite wave pattern form in the region 13 of FIG. 1,  
8 as previously indicated, includes a series of nodes 25 and  
9 antinodes 26 that are each separated by  $\frac{1}{4}$  the wave length of the  
10 composite wave pattern (i.e., the fringe spacing which in the  
11 previously described example is approximately 1060 microns), as  
12 illustrated in FIGS. 3 and 4. Also as previously described, each  
13 of the nodes 25 corresponds to a minimum pressure in the  
14 composite wave pattern, and each of the antinodes 26 corresponds  
15 to a maximum pressure in the composite wave pattern. Particulate  
16 matter in the region 13 will tend to accumulate along these  
17 gradients depending upon their characteristics (e.g., particulate  
18 matter of both low density and size, for example, tend to  
19 accumulate along the antinodes 26 while particulate matter with  
20 higher density and size tend to accumulate at the nodes 25). The  
21 velocity  $V_w$  of these pressures tend to transport such  
22 accumulating particulate matter in the same direction at the same  
23 rate.

24 In an embodiment disclosed in FIG. 5 the apparatus 10 is  
25 positioned upstream of a streamlined port 43. Stream lines above  
26 a stream line 44 pass over the port 43 while stream lines under

1 the stream line 44 enter the port 43. Thus the stream line 44  
2 represents a boundary between the fluid that flows into the port  
3 44 and that bypasses the port 43.

4 The ultrasonic wave generators 11 and 12 are supported and  
5 operate in substantially the same manner as described with  
6 respect to the embodiment of FIG. 1. They generate superposing  
7 wave patterns 11A and 12A in the region 13 upstream of the port  
8 43 to form a composite wave pattern. By selecting appropriate  
9 wave lengths and an intersection angle  $\alpha$  for the wave patterns  
10 11A and 12A particulate matter in the fluid flowing through the  
11 region 13 will be diverted to the portion of the fluid flow above  
12 the stream line 44. Such particulate matter therefore bypasses  
13 the port 43.

14 Referring now to the embodiment in FIG. 6, ultrasonic wave  
15 generators 45 and 46 generate a superposed composite wave pattern  
16 in a region 47 in response to signals from a single, common  
17 frequency source 50 connecting through amplifiers 32 and 33 to  
18 the transducers 51 and 52. The wave patterns emanating from the  
19 transducers 51 and 52 are of the same frequency. The transducers  
20 51 and 52 are orientated and physically located to form a  
21 composite wave pattern in the region 47 upstream from the port  
22 15. Nodes 54 and antinodes 55 of the composite wave pattern in  
23 this instance are not moving (i.e., the composite wave pattern is  
24 a true standing wave), because the frequency of the generated  
25 wave patterns are the same. However, the relative positioning  
26 and orientation of the transducers 51 and 52 enables this

1 apparatus to produce nodes 54 and antinodes 55 that extend at an  
2 acute angle  $\gamma$  relative to the flow of the fluid body 14 and the  
3 surface 23. This is accomplished by tilting the transducers 51  
4 and 52 with respect to the horizontal.

5           Entrained particulate matter entering the region 47 tends to  
6 accumulate along the nodes 54 and antinodes 55 as the gradients  
7 resist the flow of such particulate matter with the stream lines.  
8 The force on the particulate matter due to the flow of the fluid  
9 tends to urge such particulate matter upward along such nodes 54  
10 and antinodes 55. Provided that the length of a line 56 times  
11 the  $\sin \gamma$  is greater than the length of line 57 ( $L_{56} \sin \gamma > L_{57}$ ),  
12 particulate matter accumulating along the nodes 54 and antinodes  
13 55 will be filtered from the fluid passing into the port 15.

14           For example, assume that the angle  $\gamma$  is  $23.5^\circ$  and that the  
15 stream line 21 is 5 feet above the surface 23 (i.e.,  $L_{57} = 5$   
16 ft). The length of the line 56 across the region 47 along the  
17 node 56 should be at least 12.5 feet (i.e.,  $L_{56} \sin \gamma$ ). Those  
18 skilled in the art will appreciate that as  $\gamma$  increases, the  
19 extent of the region 47 decreases. However, there is a limit in  
20 the value of  $\gamma$ . Above that limit, particulate matter may  
21 accumulate along the nodes and antinodes but not move along the  
22 inclined flow lines. Eventually such particulate matter will  
23 "leak" through the region 47.

24           Yet another embodiment of this invention as illustrated in  
25 FIG. 7 comprises ultrasonic filtering apparatus 60 with  
26 ultrasonic wave generators 61 and 62 oriented as in the

1 embodiment of FIG. 6. However, the wave generators 61 and 62  
2 connect with the separate frequency sources 30 and 31. The  
3 frequency sources 30 and 31 operate at differential frequencies  
4 so that the nodes 54 and antinodes 55 of the composite wave  
5 pattern in the region 47 move at a velocity  $V_w$ . The resulting  
6 nodes 54 and antinodes 55 urge the particulate matter  
7 accumulating thereon upwardly at the velocity  $V_w$ .

8 Additionally, since the nodes 54 and antinodes 55 are  
9 included at the angle  $\gamma$  the flow of the fluid body 14 urges the  
10 particulate matter upwardly along the nodes 54 and antinodes 55.  
11 Thus, when using this embodiment, the region 47 need not be as  
12 extensive in the direction of the fluid flow as with the other  
13 previously described embodiments of FIGS. 1, 5 and 6, because the  
14 particulate matter is moved out of the flow by both the force  
15 exerted by the moving nodes and antinodes of the composite wave  
16 and by the flow of the fluid urging the particulate matter along  
17 the inclined planes of the nodes and antinodes in the region 47.

18 Yet a further embodiment of this invention comprises an  
19 ultrasonic filtering apparatus 70 as depicted in FIG. 8. This  
20 apparatus includes a first set of ultrasonic wave generators 71  
21 and 72 and a second set of ultrasonic wave generators 73 and 74,  
22 respectively. Each is positioned upstream of the port 15. The  
23 generated waves superpose in the regions 75 and 76. However, the  
24 generators 70 and 71 produce a pattern with nominal wavelengths  
25 that differ from the pattern that the generators 72 and 73  
26 produce. Consequently, the spacing of nodes 77 and 78 in the

1 region 75 is different from the spacing of nodes 79 and antinodes  
2 80 in the region 76. This embodiment allows each set of  
3 generators to be customized for removing particulate matter of  
4 different sizes. For example, the first region 75 could be  
5 formed to filter smaller particulate matter, and the region 76  
6 larger particulate matter. As a more specific example, the wave  
7 generators 71 and 72 could be set to displace 25 to 100 micron  
8 diameter particles; the wave generators 72 and 73, to displace  
9 100 to 500 micron diameter particles. In this embodiment while  
10 each of the composite waves are depicted like the composite wave  
11 of FIG. 1, those skilled in the art will appreciate that either  
12 or both of the wave generators 71 and 72 and the wave generators  
13 73 and 74 can produce a composite pattern in the regions 75 and  
14 76, respectively, like the composite wave patterns disclosed by  
15 the embodiment of FIGS. 1, 6 or 7, (that is, the composite waves  
16 could include moving horizontally oriented moving nodes and  
17 antinodes, static inclined nodes and antinodes, or both).

18 In summary there is disclosed apparatus and methods for  
19 filtering particulate matter from a fluid that flows across a  
20 surface into a port. Ultrasonic wave generators produce  
21 ultrasonic wave patterns in the fluid that superpose in a region  
22 extending from the surface upstream of the port to form a  
23 composite wave pattern. The resulting composite wave pattern  
24 with its nodes and antinodes actively or passively transports  
25 particulate matter in the fluid away from the port. That is, the  
26 ultrasonic generating devices are oriented to form a composite

1 wave pattern with nodes and antinodes extending at an acute angle  
2 with respect to the flow. Consequently flow through the nodes  
3 and antinodes causes the particulate matter to be transported.  
4 The generators also may operate at differential frequencies to  
5 control the velocity of the nodes and antinodes displaces the  
6 particulate matter. Systems can be constructed using both nodes  
7 and antinodes at acute angles and differential frequency  
8 generators.

9 In constructing the embodiments of this invention those  
10 skilled in the art will appreciate that the energy of the  
11 ultrasonic waves necessary to achieve the aims and objects of  
12 this invention will be a function of the velocity  $V_p$  of the  
13 fluid, the density of particles to be moved, the area of the  
14 region of the composite wave pattern, and the like. The  
15 apparatus of this invention can thus produce the composite wave  
16 pattern to provide either active or passive interaction or a  
17 combination thereof transporting particulate matter out of the  
18 fluid entering the port. Generally a composite wave pattern  
19 exhibiting an energy level between 50 and 250 db will be  
20 sufficient. Increasing energy levels beyond a threshold value  
21 according to the characteristics of the fluid such as density or  
22 temperature, increases the effectiveness of the process. However  
23 such increases may have deleterious effects such as degassing the  
24 fluid (e.g., water) and/or causing cavitation.

25 This invention has been disclosed in terms of certain  
26 embodiments. It will be apparent that many modifications can be

1 made to the disclosed apparatus without departing from the  
2 invention. Therefore, it is the intent to  
3 cover all such variations and modifications as come within the  
4 true spirit and scope of this invention.

Navy Case No. 76591

METHOD AND APPARATUS FOR SEPARATING SUSPENDED  
PARTICLES FROM A FLOWING FLUID

ABSTRACT OF THE DISCLOSURE

Apparatus and methods for filtering particulate matter from a fluid traveling proximate a surface containing a port. First and second ultrasonic wave generators produce superposed ultrasonic waves to define a composite wave pattern in a region of the fluid body upstream of the port. Nodes and antinodes in the composite wave region define regions at which the particulate matter accumulates. The accumulating particulate matter is displaced out of the fluid that enters the port.

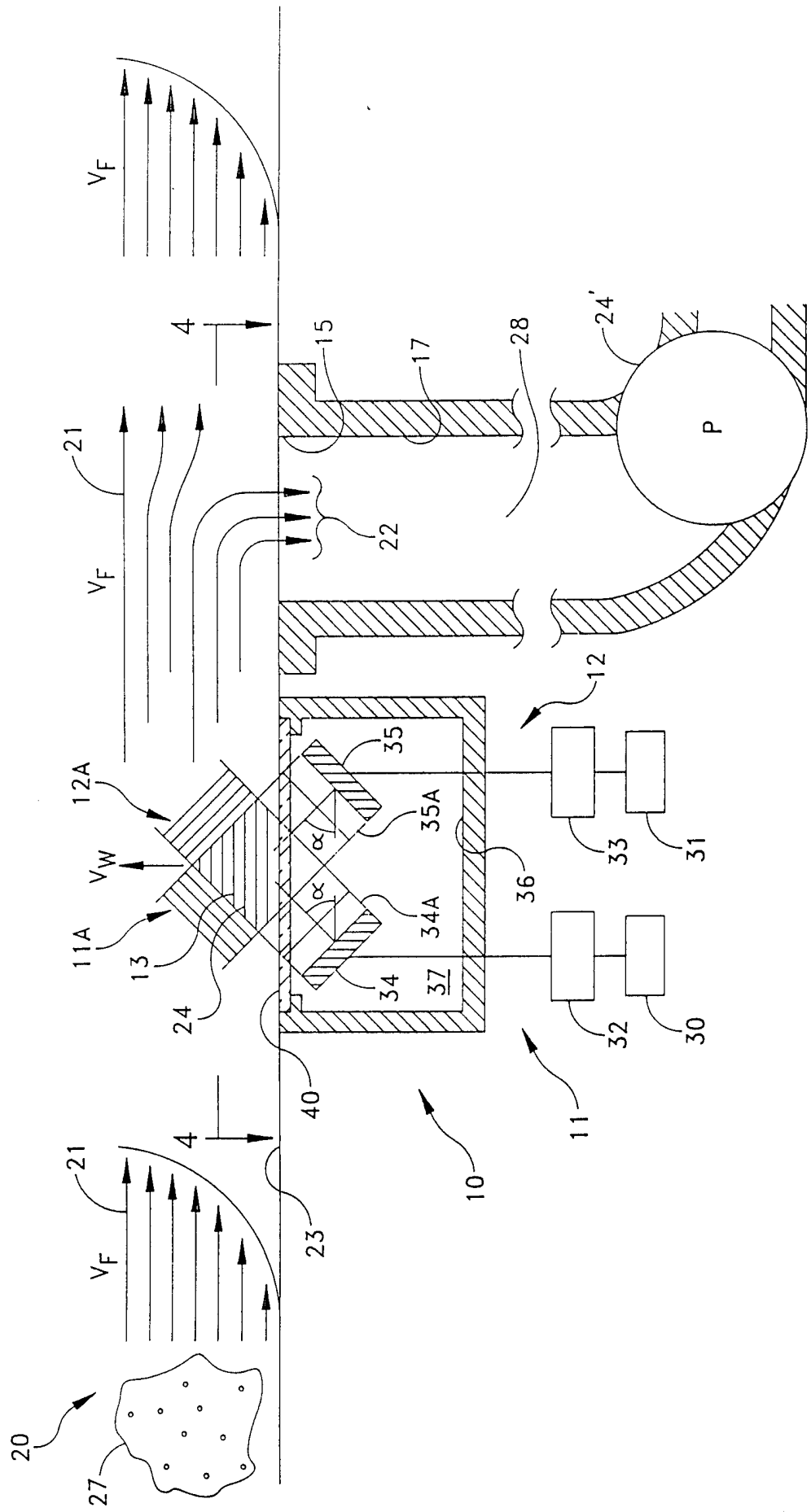


FIG. 1

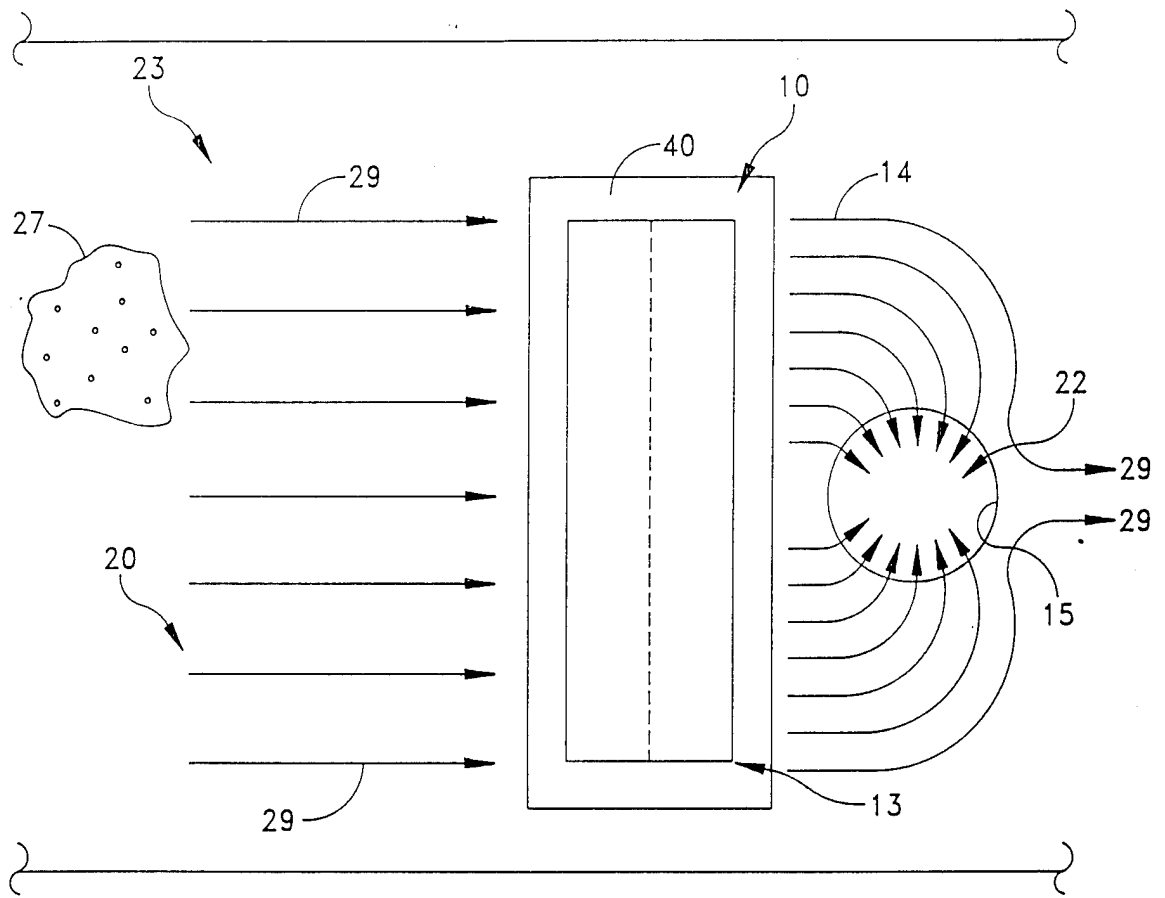


FIG. 2

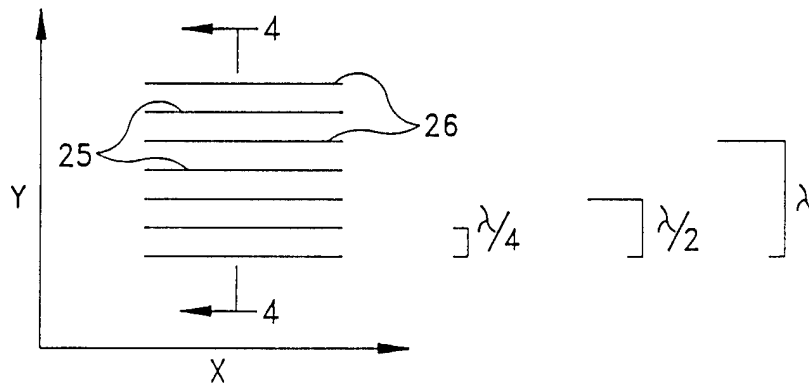


FIG. 3

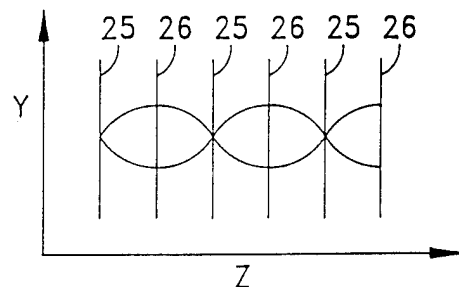


FIG. 4

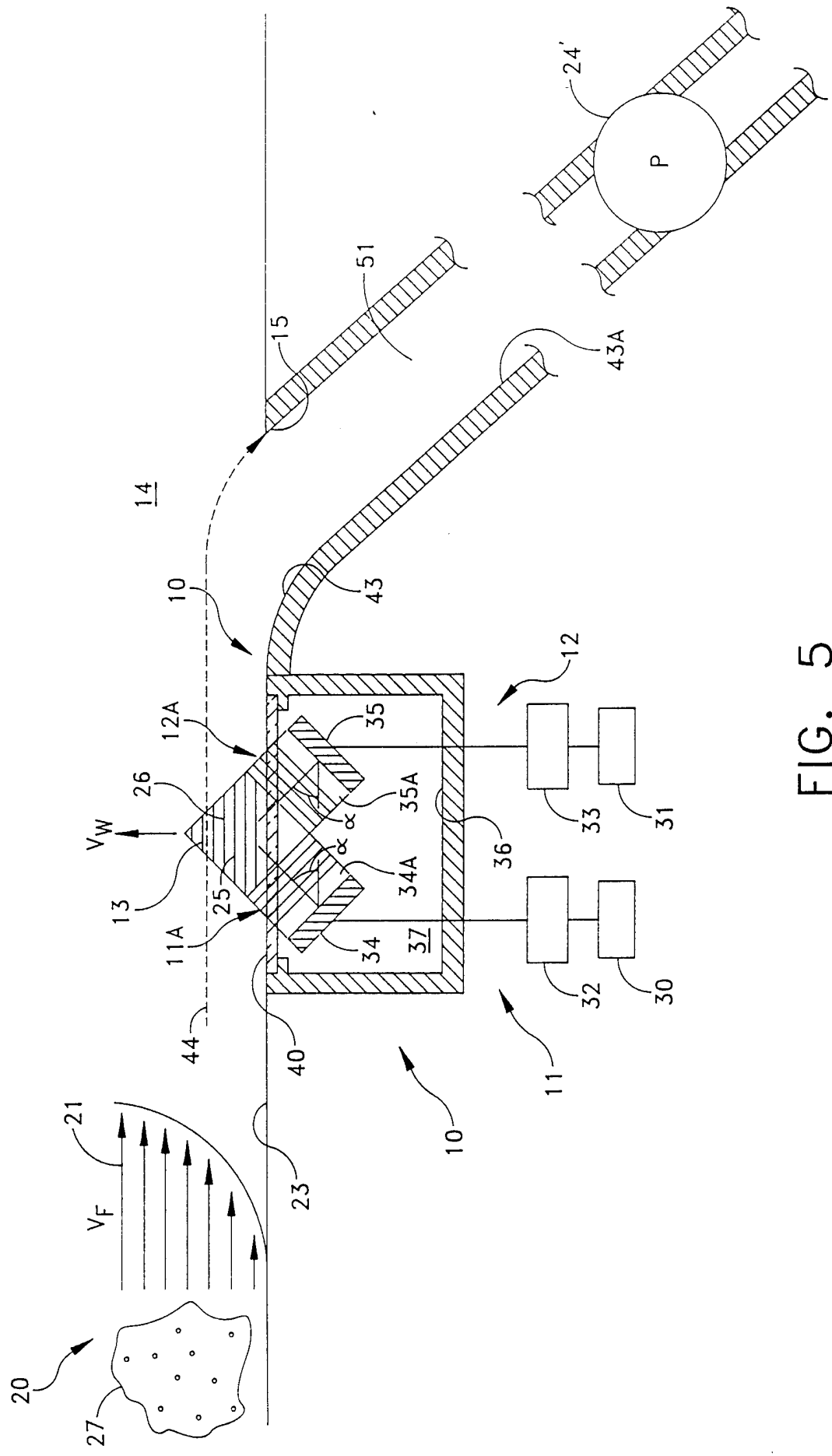


FIG. 5

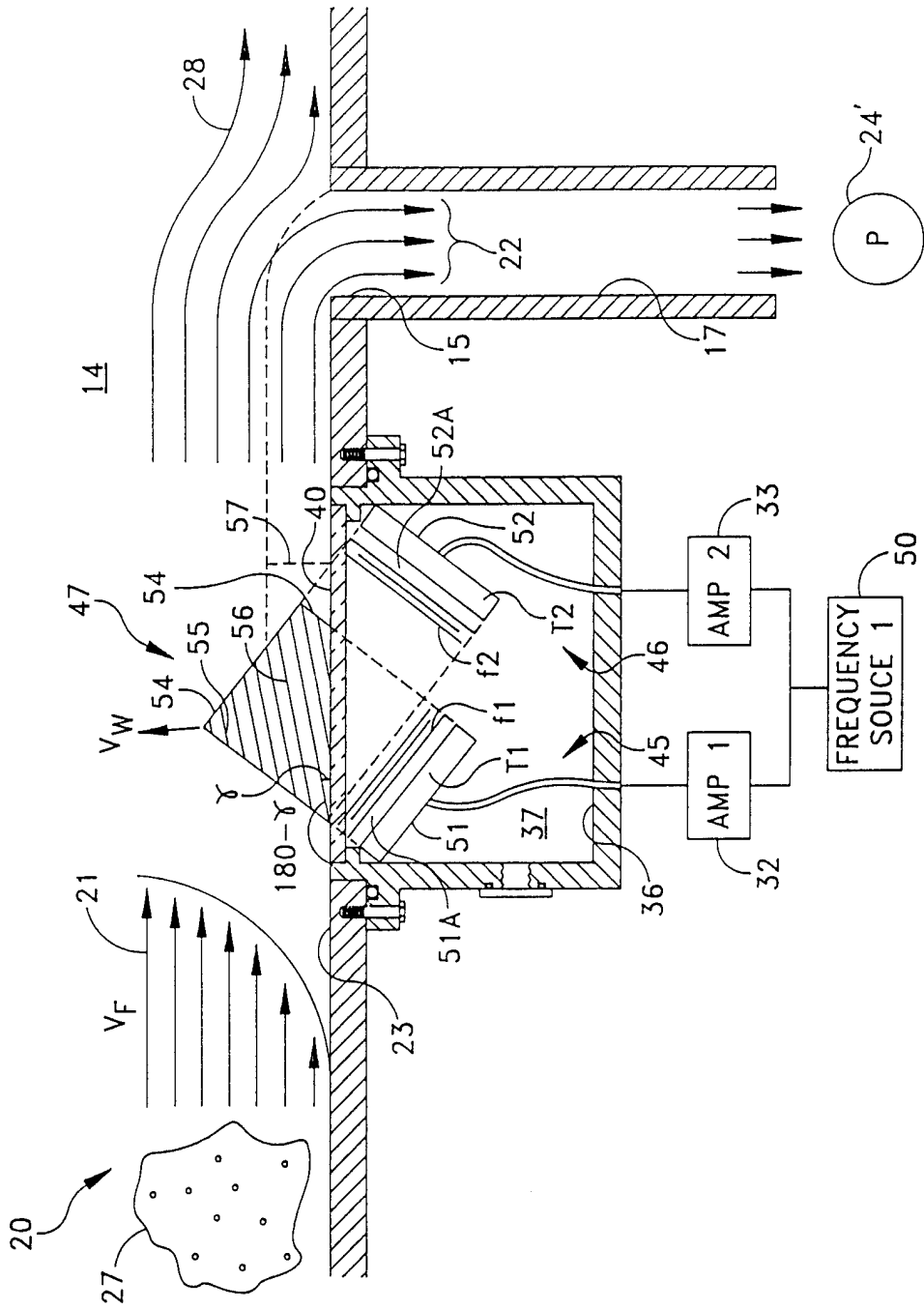


FIG. 6

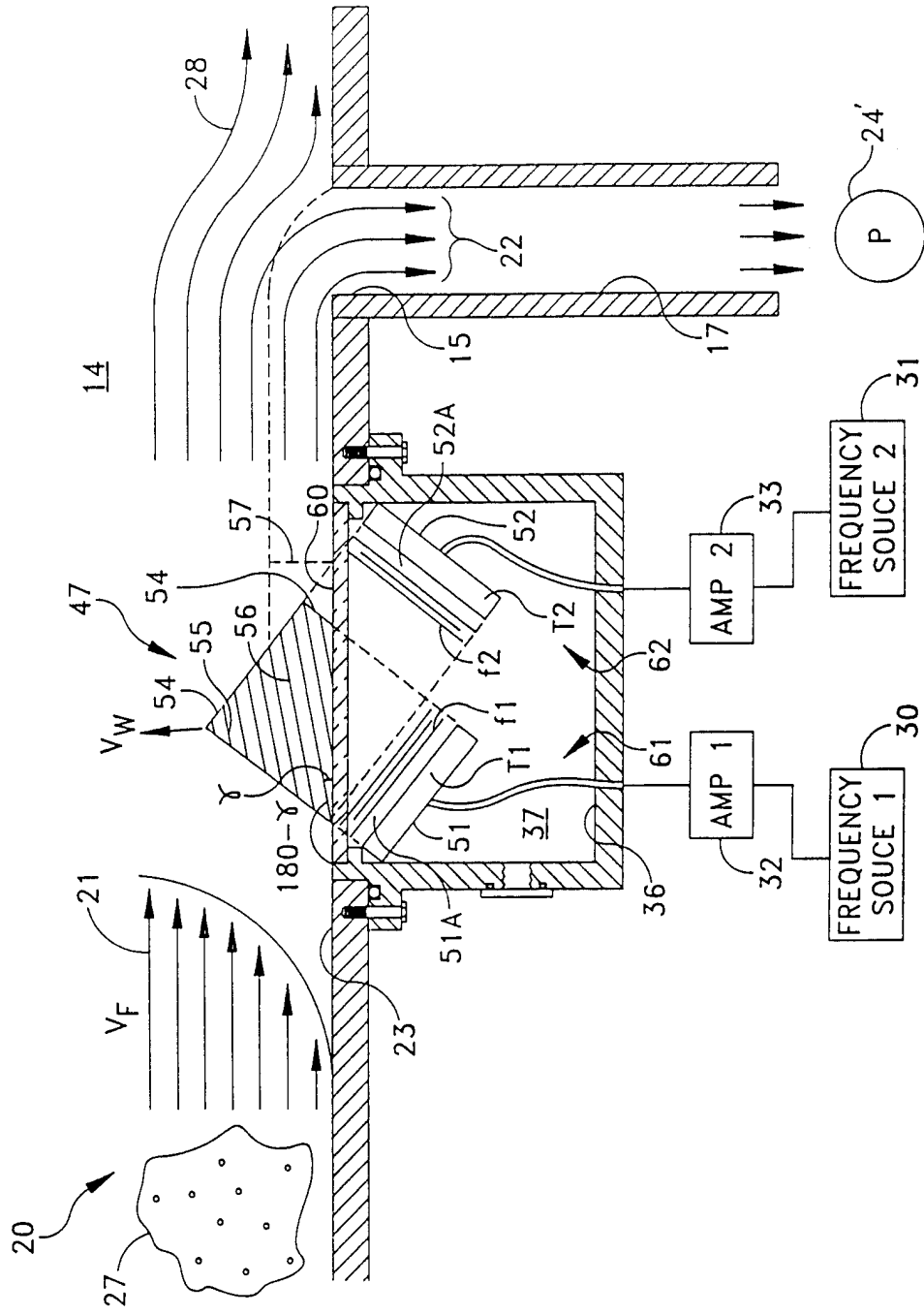


FIG. 7

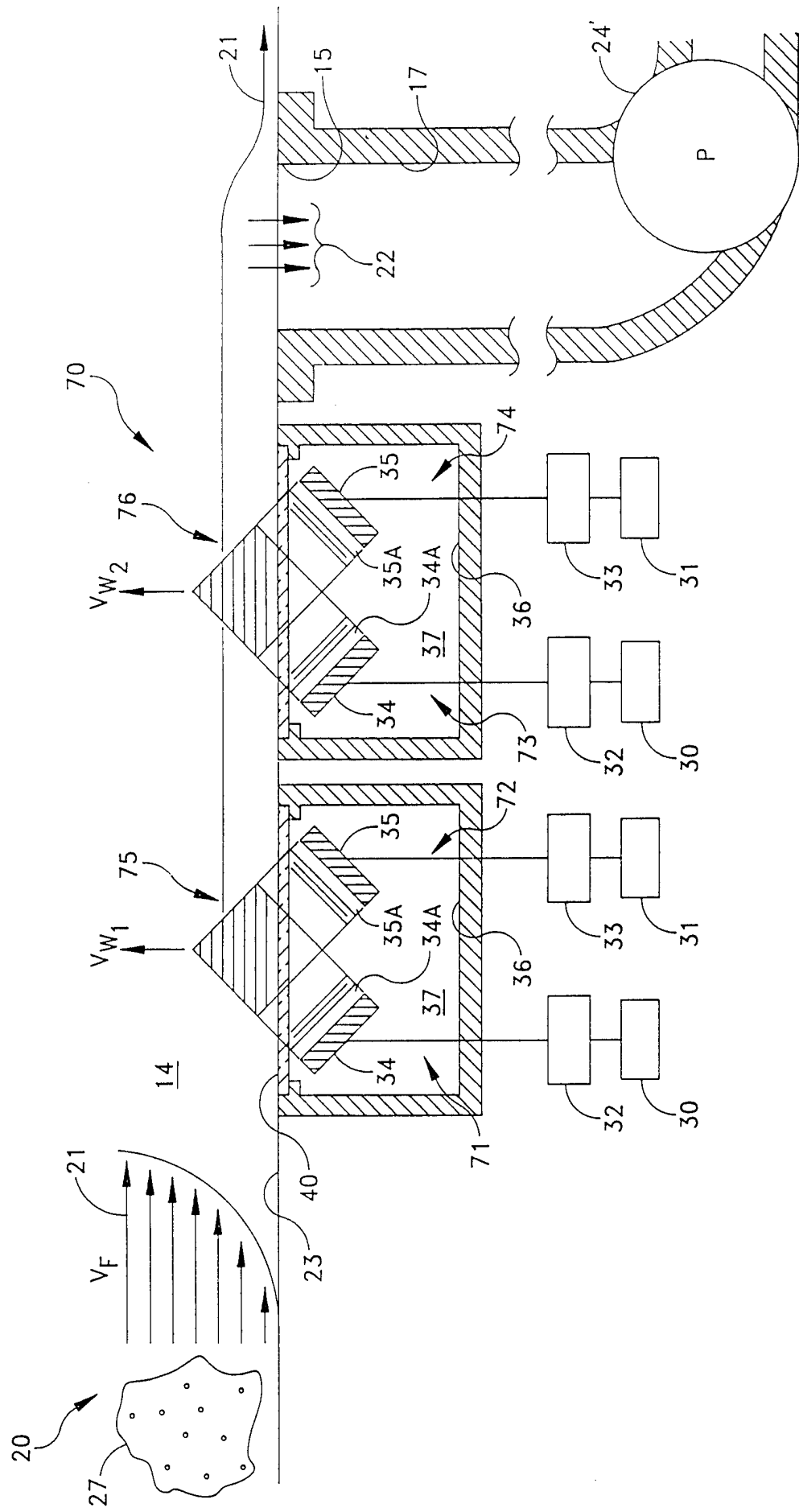


FIG. 8